Nuclear elastic scattering of protons below 250 MeV in FLUKA v4-4.0 and its role in single-event-upset production in electronics

Alexandra-Gabriela Şerban^{a, b, c,}*, Andrea Coronetti^a, Rubén García Alía^a, Francesc Salvat Pujol^a, on behalf of the FLUKA.CERN Collaboration

^aEuropean Organization for Nuclear Research, Esplanade des Particules 1, 1211 Geneva 23, Switzerland ^bFaculty of Physics, University of Bucharest, 405 Atomistilor, 077125 Bucharest-Magurele, Romania ^c"Horia Hulubei" National Institute of Physics and Nuclear Engineering, 30 Reactorului, 077125

Bucharest-Magurele, Romania

Abstract

FLUKA is among the general-purpose codes for the Monte Carlo simulation of radiation transport that are routinely employed to estimate the production of single-event-upsets (SEUs) in commercial static random access memories (SRAMs) exposed to radiation. Earlier studies concerning the production of SEUs in commercial SRAMs under proton irradiation revealed very good agreement between experimental measurements and FLUKA estimates of the SEU production cross section for proton energies above 20-30 MeV. However, at lower proton energies, where the cross section for SEU production in such low-critical-charge components increases drastically, a FLUKA underestimation of up to two orders of magnitude was observed. Preliminary analyses indicated that this underestimation was in great measure due to the lack of nuclear elastic scattering of protons below 10 MeV in FLUKA up to version 4-3.4. To overcome this limitation, a new model for the nuclear elastic scattering of protons has been developed, combining partial-wave analyses and experimental angular distributions. This newly developed model has been included in FLUKA v4-4.0, and leads to an order-of-magnitude improvement in the agreement between FLUKA and experimental cross sections for the production of SEUs in SRAMs under proton irradiation in the 1-10 MeV energy domain.

Keywords: FLUKA, single-event-effects (SEEs), single-event-upsets (SEUs), nuclear elastic scattering, partial-wave analysis, distorted waves.

1. Introduction

Electronic systems such as those used in space missions, avionics, and particle accelerator facilities may be damaged as a result of exposure to radiation fields [\[1](#page-13-0)[–3\]](#page-13-1). Electronic components are sensitive to both cumulative radiation damage and stochastic single-event effects (SEEs), which significantly disrupt their operation. The radiation environment relevant to SEE production in the context of particle accelerators is typically characterized relying on the fluence of hadrons with energies higher than 20 MeV [\[4\]](#page-13-2). This approximation assumes that the production of SEEs is mostly governed

Email address: fluka.team@cern.ch

by the energy deposition of fragments and recoiling residual nuclei from nuclear reactions of highenergy hadrons, thus neglecting contributions from direct ionization by hadrons, as well as from their elastic scattering on the electronically screened target nuclei. However, recent studies have shown that the production of SEEs, and particularly of single-event-upsets (SEUs), induced by protons below 20 MeV is indeed dominated by direct ionization [\[5–](#page-13-3)[7\]](#page-13-4) and elastic scattering [\[7–](#page-13-4)[11\]](#page-13-5).

The role played by various radiation-matter interaction mechanisms in the production of SEUs can be quantitatively assessed through Monte Carlo simulations of particle transport, employing general purpose codes, e.g. FLUKA [\[12](#page-13-6)[–14\]](#page-13-7), readily accessible through <https://fluka.cern> for noncommercial purposes. FLUKA simulates the coupled hadronic and electromagnetic showers set up in

[∗]Corresponding author

⁽Alexandra-Gabriela Şerban)

Figure 1: Comparison between experimental SEU production cross section (black dots; uncertainties are smaller than the symbol size) [\[8,](#page-13-8) [9\]](#page-13-9) and FLUKA v4-3.4 predictions (darkblue crosses with solid line to guide the eye) induced by low-energy protons in the ISSI SRAM. See text for detailed discussion.

complex material geometries by more than 60 particle species, with energies from the keV up to the PeV domain, with neutrons exceptionally tracked down to thermal energies. Thus, FLUKA covers a broad range of applications, from particle accelerator design and operation, to radiation protection aspects, medical applications, cosmic ray physics, to name a few, in the interest of a community of nearly 4000 users. FLUKA is among the simulation tools employed by the Radiation to Electronics (R2E) team at CERN [\[15](#page-13-10)[–17\]](#page-13-11), which ensures the successful operation of the accelerator infrastructure taking into account the effects of radiation exposure on electronic components and systems.

In a recent R2E study [\[8,](#page-13-8) [9\]](#page-13-9), the production of SEUs in an ISSI SRAM [\[18\]](#page-13-12) under proton irradiation was assessed. Figure [1](#page-1-0) displays the cross section for SEU production, σ_{SEU} , in this device as a function of the proton energy. Black dots display the experimental measurements, for which the uncertainty is smaller than the symbol size, while the dark-blue crosses (connected with a solid line to guide the eye) represent the FLUKA v4-3.4 prediction. For proton energies above 20-30 MeV, where SEU production is driven by nuclear reactions [\[7\]](#page-13-4), remarkable agreement was obtained. However, in the 1-10 MeV range, where σ_{SEU} drastically increases towards lower energies, FLUKA exhibits an underestimation of up to two orders of magnitude. Preliminary analyses suggested that in the 1- 10 MeV range, proton nuclear elastic scattering significantly contributes to SEU production in the ISSI SRAM [\[8\]](#page-13-8). However, this interaction mechanism was not available for protons below 10 MeV as of FLUKA v4-3.4. Furthermore, above 10 MeV, a too simplistic account of large-scattering-angle deflections was provided, often over- or under-estimating their importance. These deflections, however, contribute significantly to the production of SEUs, even at energies of up to $\mathcal{O}(100)$ MeV [\[8\]](#page-13-8). To overcome these limitations, a new model for the nuclear elastic scattering of protons from Coulomb barrier up to 250 MeV has been developed and included in FLUKA v4-4.0.

This work is structured as follows. In Section [2](#page-1-1) the drawbacks of the FLUKA v4-3.4 model for proton nuclear elastic scattering are briefly outlined. In Section [3](#page-2-0) the FLUKA v4-4.0 model for the nuclear elastic scattering of protons up to 250 MeV is presented, highlighting its advantages over the preceding model. The performance of this newly implemented model is assessed in Section [4,](#page-9-0) comparing FLUKA v4-4.0 estimates with experimental measurements of the SEU production cross sections induced by low-energy protons in the aforementioned commercial ISSI SRAM. Finally, Section [5](#page-12-0) provides both a summary of this work, and an outlook on future works detailing recent benchmarking efforts to assess and document the good performances of this new model in further SEU-production scenarios, as well as in energy deposition by proton beams in water phantoms for medical physics applications.

2. Proton nuclear elastic scattering as of FLUKA v4-3.4

FLUKA is a condensed-history Monte Carlo code relying on the Molière multiple Coulomb scattering (MCS) theory for an aggregate description of the effect of multiple elastic collisions of charged particles on the electrostatic potential of atoms along macroscopic particle steps in matter [\[19\]](#page-13-13). In this condensed-history approach, a screened Rutherford differential cross section (DXS) is assumed; finite-size effects and spin-relativistic corrections are treated by means of form factors [\[19,](#page-13-13) [20\]](#page-13-14). While this approach is effective for the elastic scattering of leptons, it is insufficient for that of charged hadrons since, in addition to the Coulomb force, they are subject to the strong nuclear force. Unfortunately, the Molière MCS theory does not allow for an easy extension to account for the latter. Thus, the effect of the nuclear force on the elastic scattering of charged hadrons must be accounted for by a separate interaction mechanism, henceforth called nuclear elastic scattering. Formal difficulties are encountered when treating nuclear and Coulomb elastic scattering as separate interaction mechanisms (elucidated in Section [3.5\)](#page-8-0), especially near Coulomb barrier. For this reason, the proton nuclear elastic scattering model of FLUKA v4-3.4 is not active below 10 MeV.

As of FLUKA v4-3.4, the DXS for the nuclear elastic scattering of protons is based on an effective bimodal description attempting to capture on the one hand the forward-scattering peak and on the other hand the large-scattering-angle domain [\[21\]](#page-13-15). Figure [2a](#page-2-1) displays the DXS for the elastic scattering of 65 MeV protons on ²⁸Si as a function of the scattering angle in the center-of-mass frame (CM). Experimental data [\[22,](#page-13-16) [23\]](#page-13-17) are represented by the black dots (uncertainties are smaller than the symbol size), while the DXS for nuclear elastic scattering sampled from FLUKA v4-3.4 is displayed by the dashed blue curve (statistical uncertainties omitted for clarity); the black solid curves are discussed in Section [3.1.](#page-3-0) The dominant forward scattering feature extending up to ∼30 deg is indeed reasonably reproduced. However, at large scattering angles there is an order-of-magnitude discrepancy which is even more accentuated for heavier target nuclei and higher proton energies, see e.g. Fig. [2b](#page-2-1) for 160 MeV protons elastically scattering on ²⁰⁸Pb.

Up to FLUKA v4-3.4, an effective integrated cross section for proton nuclear elastic scattering was obtained based on parametrizations of the total and nuclear reaction cross sections of neutrons [\[24\]](#page-13-18). This effective integrated cross section typically provides the DXS with the correct intensity as far as the forward-scattering peak is concerned, see $e.g.$ Fig. [2.](#page-2-1) At large scattering angles, instead, neither the intensity nor the structure of minima and maxima of the DXS are correctly reproduced. Unfortunately, as discussed in Section [4,](#page-9-0) elastic collisions with large scattering angle strongly contribute to SEU production. Therefore, particular effort has been made in the modelling work discussed in the next section to characterize such collisions.

3. New model for proton nuclear elastic scattering in FLUKA v4-4.0

To overcome the limitations outlined in the foregoing sections, a new model for the nuclear elastic scattering of protons has been developed and

Figure 2: (a) Differential cross section for 65 MeV protons elastically scattering on ²⁸Si. (b) Same as (a) for 160 MeV protons on ²⁰⁸Pb. See text for detailed discussion.

included in FLUKA v4-4.0. The optical potential model (OPM) of Koning and Delaroche [\[25\]](#page-13-19) has been employed to effectively describe the interaction of protons of up to 250 MeV with target nuclei with mass number $A \geq 20$, and to evaluate a database of DXSs by means of a partial-wave analysis (see Section [3.1\)](#page-3-0). To minimize memory requirements, an effective parametrized expression depending on 7 parameters has been fitted onto the calculated DXSs database (see Section [3.2\)](#page-4-0). For lighter target nuclei, where OPMs are scarcer, the parametrized expression has been directly fitted onto available experimental angular distributions (see Section [3.3\)](#page-6-0). An effective integrated cross section for proton nuclear elastic scattering has been obtained by numerical integration of the aforementioned parametrized expression. Finally, an algorithm to numerically sample nuclear elastic scattering events has been implemented (see Section [3.4\)](#page-7-0). The error incurred when treating Coulomb and nuclear elastic scattering as separate interaction mechanisms has been assessed in Section [3.5.](#page-8-0)

3.1. Partial-wave analysis

The DXS for the elastic scattering of nonrelativistic spin-1/2 particles on a central potential as a function of the polar and azimuthal CM scattering angles θ and φ is given by

$$
\frac{\mathrm{d}\sigma}{\mathrm{d}\hat{\Omega}} = |f(\hat{\Omega})|^2 + |g(\hat{\Omega})|^2, \tag{1}
$$

where $\hat{\Omega} = (\theta, \varphi)$, while

$$
f(\hat{\Omega}) = f_{\text{C}}(\theta) + \frac{1}{2ik} \sum_{\ell=0}^{\infty} P_{\ell}(\cos \theta) e^{i2\Delta_{\ell}}
$$

$$
\times \left[(\ell+1) e^{i2\delta_{\ell,\ell+1/2}} + \ell e^{i2\delta_{\ell,\ell-1/2}} - (2\ell+1) \right]
$$
 (2)

and

$$
g(\hat{\Omega}) = \frac{1}{2ik} e^{i\varphi} \sum_{\ell=1}^{\infty} P_{\ell}^{1}(\cos \theta)
$$

$$
\times (e^{i2\delta_{\ell,\ell+1/2}} - e^{i2\delta_{\ell,\ell-1/2}})
$$
 (3)

are the direct and the spin flip scattering amplitudes, respectively. The CM wavevector is denoted by k , P_{ℓ} are the Legendre polynomials, and P_ℓ^m are the associated Legendre polynomials. The Coulomb scattering amplitude on a point nucleus is denoted by $f_{\text{C}}(\theta)$, while Δ_{ℓ} and $\delta_{\ell,j}$ are the Coulomb and the inner phase shifts, respectively. While the former are analytical, the latter have been obtained in this work by numerically solving the radial Schrödinger equation for protons in the aforementioned OPM using the RADIAL subroutine package [\[26\]](#page-13-20).

Defining

$$
f_{\rm N}(\theta) = \frac{1}{2ik} \sum_{\ell=0}^{\infty} P_{\ell}(\cos \theta) e^{i2\Delta_{\ell}}
$$

$$
\times \left[(\ell+1) e^{i2\delta_{\ell,\ell+1/2}} + \ell e^{i2\delta_{\ell,\ell-1/2}} - (2\ell+1) \right]
$$

(4)

and inserting Eqs. [\(2\)](#page-3-1) and [\(3\)](#page-3-2) in Eq. [\(1\)](#page-3-3), the DXS becomes

$$
\frac{\mathrm{d}\sigma}{\mathrm{d}\hat{\Omega}} = |g(\hat{\Omega})|^2 + |f_{\mathrm{C}}(\theta)|^2 + |f_{\mathrm{N}}(\theta)|^2 +
$$

+ 2 \operatorname{Re} [f_{\mathrm{C}}^{*}(\theta) f_{\mathrm{N}}(\theta)]. \t(5)

Figure 3: Differential cross section for the elastic scattering of 4.95 MeV protons on ²⁸Si with (black curve) and without (red curve) the contribution of the compound elastic scattering (dashed blue curve) compared with experimental DXSs (black dots; uncertainties are smaller than the symbol size) [\[22,](#page-13-16) [23\]](#page-13-17). See text for detailed discussion.

While the first three terms are positive, the remaining interference term between Coulomb and nuclear elastic scattering can be either positive or negative. This formally precludes treating nuclear and Coulomb elastic scattering as additive (separate) interaction mechanisms, especially at energies near Coulomb barrier, hence the lack of nuclear elastic scattering below 10 MeV up to FLUKA v4-3.4. Unfortunately, as mentioned in Section [2,](#page-1-1) the use of Molière MCS scheme in FLUKA necessarily implies a separate treatment of Coulomb and nuclear elastic scattering. In Section [3.5](#page-8-0) the error incurred by this approach is discussed.

Relying on a dedicated implementation of the partial-wave scheme outlined above, a database of DXSs for the elastic scattering of protons has been evaluated on a grid of 14 target nuclei, from 20 Ne to 238 U, and on a grid of 37 proton energies, from Coulomb barrier up to 250 MeV. To confirm the soundness of the implemented partialwave scheme, a systematic benchmark has been performed, wherein calculated DXSs have been compared with experimental DXSs [\[22,](#page-13-16) [23\]](#page-13-17). Over-all, good agreement has been obtained^{[1](#page-3-4)}, as shown in Fig. [2,](#page-2-1) where the black solid curves represent the DXSs calculated with the partial-wave scheme adopted here. At most, deviations in the order of 20-30% are occasionally encountered in narrow an-

¹Also for isotopes slightly beyond the strict domain of applicability of the employed OPM, e.g. ²⁰Ne and ²³⁸U.

gular domains, due to the use of a globally fitted OPM instead of a local fit.

Finally, following Koning and Delaroche [\[25\]](#page-13-19), the calculated DXSs include an account of compound nuclear elastic scattering, based on Ref. [\[27\]](#page-13-21) for target nuclei up to ⁴⁰Ar and proton energies up to 15 MeV. This additional contribution significantly improves the agreement with experimental angular distributions in the large-scattering-angle domain at low energies, as shown in Fig. [3](#page-3-5) for the elastic scattering of 4.95 MeV protons on ²⁸Si.

3.2. Parametrized differential cross section

The database of tabulated DXSs outlined above could have been readily adopted for sampling proton nuclear elastic scattering events in FLUKA. However, a database evaluation in a sufficiently dense grid of energies, target nuclei, and scattering angles would promptly lead to memory requirements in the order of tens if not hundreds of megabytes. Dedicating such an amount of memory from which a single interaction mechanism (nuclear elastic scattering) for a single particle species (protons) in a restricted energy range (from Coulomb barrier to 250 MeV) would benefit, has been discarded in the framework of a general-purpose multiparticle tracking code such as FLUKA.

Thus, an effective analytical DXS has been sought with sufficient flexibility to reasonably reproduce the structure of maxima and minima of the actual DXS. In a spirit similar to that of Refs. [\[28–](#page-13-22) [30\]](#page-13-23), the DXS for the elastic scattering of a particle on a fully absorptive imaginary potential (in the so-called black-disk limit [\[29\]](#page-13-24)) has been recast as follows:

$$
\frac{d\sigma_0}{d\hat{\Omega}} = \alpha k^2 R^4 \left[\left(\frac{J_1(Rq\delta_1)}{Rq} \right)^2 e^{-\beta_1 Rq} + \gamma J_0^2 (Rq\delta_0) e^{-\beta_0 Rq} \right],
$$
\n(6)

where J_1 and J_0 are Bessel functions of the 1st kind, and $R = 1.2 A^{1/3}$ is the nuclear radius in fm which provides a built-in scaling with the target mass number A. Furthermore,

$$
q = 2k \sin\left(\frac{\theta}{2}\right),\tag{7}
$$

is the CM wavevector transfer expressed as a function of the CM wavevector k and the CM scattering

Figure 4: Differential cross section for the elastic scattering of 70 MeV protons on ¹⁰⁷Ag. See text for detailed discussion.

angle θ . The quantities α , $\beta_{0,1}$, γ , $\delta_{0,1}$ are 6 dimensionless fit parameters.

As an example, the thick black curve in Fig. [4](#page-4-1) displays the DXS for the elastic scattering of 70 MeV protons on ¹⁰⁷Ag calculated within the partialwave approach discussed in the foregoing section, while the thin orange curve represents the DXS obtained by fitting parametrized expression [\(6\)](#page-4-2), yielding $\alpha = 6.141, \beta_0 = 0.296, \beta_1 = 0.369, \gamma = 0.008,$ and $\delta_0 = \delta_1 = 1.105$. The drastic rise of the DXS as the scattering angle approaches 0 deg is instead a feature captured by Coulomb scattering, as discussed in Section [3.5.](#page-8-0) The dashed green and blue curves in Fig. [4](#page-4-1) show the contributions of the J_1 and J_0 terms of Eq. [\(6\)](#page-4-2). Their minima and maxima are in phase opposition, allowing to capture the structure of minima and maxima of the actual DXS with a certain degree of flexibility, as requested. The 6 fit parameters play different roles: α is a mere scaling factor; β_1 and β_0 adjust the slope of the DXS; δ_1 and δ_0 allow for flexibility in capturing the position of the minima and maxima of the DXS, while γ drives the depth of the minima in the DXS.

As shown in Fig. [4,](#page-4-1) the proposed parameterized expression [\(6\)](#page-4-2) is able to reproduce not only the main forward scattering feature extending up to $\mathcal{O}(10)$ deg, but also a considerable amount of minima and maxima at large scattering angles. Occasional difficulties are however encountered when trying to capture accentuated backscattering features. Therefore, it has been decided to provide Eq. [\(6\)](#page-4-2) with a further term, prefaced by an addi-

Figure 5: Differential cross section for the elastic scattering of 6.5 MeV protons on ²⁸Si. See text for detailed discussion.

tional fit parameter ζ ,

$$
\frac{\mathrm{d}\sigma}{\mathrm{d}\hat{\Omega}} = \frac{\mathrm{d}\sigma_0(\theta)}{\mathrm{d}\hat{\Omega}} + \zeta \frac{\mathrm{d}\sigma_0(\pi - \theta)}{\mathrm{d}\hat{\Omega}},\tag{8}
$$

which facilitates the fit of large-angle scattering features. The effect of this additional term is highlighted in Fig. [5](#page-5-0) which displays in thick black curve the DXS calculated within the partial-wave scheme of Section [3.1,](#page-3-0) in dashed orange the DXS obtained by fitting Eq. [\(6\)](#page-4-2), missing the prominent backscattering feature, and in solid orange the DXS obtained by fitting Eq. [\(8\)](#page-5-1), yielding excellent agreement with the distorted-wave calculated DXS.

Finally, the adopted parametrized DXS [\(8\)](#page-5-1) depends on 7 fit parameters $(\alpha, \beta_{0,1}, \gamma, \delta_{0,1}, \zeta)$ which have been fitted to the database of DXSs generated as described in the previous section^{[2](#page-5-2)} by means of a dedicated least-squares minimization. This effort has effectively reduced the memory requirements to a mere tabulation of 7 parameters for 14 target nuclei and 37 tabular proton energies. Fit parameter values have been obtained with an uncertainty of $\pm 2.5\%$. A goodness-of-fit test has revealed that in 88.6% of the considered energy and target tuples, the obtained fit parameters can be accepted with a confidence level of 5%.

Figure [6](#page-6-1) displays the DXS for the elastic scattering of protons on ^{28}Si , ^{90}Zr and ^{232}Th (first, second, and third column, respectively) at 10 MeV, 70 MeV, and 200 MeV (first, second, and third row, respectively). The thick black curves have been evaluated with the partial-wave approach discussed in Section [3.1,](#page-3-0) while the thin orange curves have been obtained by fitting parametrized expression [\(8\)](#page-5-1). Finally, the dashed blue curves have been obtained by sampling nuclear elastic scattering events from FLUKA v4-3.4 and scaling the resulting (unitnormalized) angular distributions by the integrated cross section detailed in Section [2.](#page-1-1) The resulting DXSs generally capture the forward scattering feature, but tend to provide a too coarse account of the large-scattering-angle domain, missing the rich structure of maxima and minima exhibited by the actual DXSs. Moreover, subfigure (c) exemplifies a case in which the FLUKA v4-3.4 integrated cross section for nuclear elastic scattering provides insufficient intensity (see also Section [3.5\)](#page-8-0). Instead, parametrized expression [\(8\)](#page-5-1) captures not only the forward-scattering feature, but also a considerable number of minima and maxima at large scattering angles, especially at high energies and for large mass numbers, as shown by the thin orange curves in subfigures (f), (h) and (i). However, for low mass numbers, at localized energies in the few tens of MeV, the agreement is occasionally less optimal at large scattering angles (where, nevertheless, the DXSs have already dropped by several orders of magnitude), as shown in subfigures (d), (e) and (g). Furthermore, for intermediate and large mass numbers and proton energies near Coulomb barrier, mild wiggling of the fitted parametrized expression around the actual DXS is encountered, as seen in subfigure (c). Nonetheless, the parametrized expression fulfills its original purpose to provide a good description of the forward-scattering peak, as well as a fairly resolved account of the structure of minima and maxima at larger scattering angles, relying only on 7 fit parameters.

Figure [7](#page-6-2) displays the values of all relevant fit parameters (α is not needed for numerical sampling purposes) as a function of the proton energy for the nuclear elastic scattering of protons on ⁴⁰Ar. At energies above a few tens of MeV, the energy dependence is smooth since the parametrized expression (8) relies on the black-disk limit, *i.e.* it works best at high proton energies. At lower energies, the fit parameters exhibit a less smooth behaviour. Nevertheless, their values do not significantly deviate from $\mathcal{O}(1)$. To minimize posterior interpolation errors at low energies, the energy grid is roughly logarithmic.

²See Section [3.3](#page-6-0) for the analogous treatment of light target nuclei.

Figure 6: Fitted parametrized expression (thin orange curves) compared with DXSs evaluated within the partial-wave approach (thick black curves) for protons of 10 MeV, 70 MeV, and 200 MeV (first, second, and third row, respectively) elastically scattering on 28 Si, 90 Zr, and 232 Th (first, second, and third column, respectively), and with the FLUKA v4-3.4 sampled DXSs for nuclear elastic scattering (dashed blue curves). See text for detailed discussion.

Figure 7: Fit parameters as a function of proton energy for ⁴⁰Ar. See text for detailed discussion.

3.3. Nuclear elastic scattering on light target nuclei

The Koning and Delaroche OPM is not strictly applicable to model the elastic scattering of protons on target nuclei with mass number $A < 24$. Moreover, global OPMs for protons on light nuclei are not readily available. Thus, a complementary strategy has been adopted: the parametrized expression [\(8\)](#page-5-1) has been directly fitted on the available experimental DXSs [\[22,](#page-13-16) [23\]](#page-13-17) for 13 target nuclei with mass numbers from $A = 2$ to $A = 16$, for proton energies below 250 MeV. Figure [8](#page-7-1) displays the good fit of parametrized expression [\(8\)](#page-5-1) (represented by the solid orange lines) on the experimental DXSs (in black dots; uncertainties are smaller than the symbol size) for the elastic scattering of protons of various energies on 4 He (first column) and 16 O (second column). The proton-proton nuclear elastic scattering model has not been altered with respect to FLUKA v4-3.4.

Figure 8: Fitted parametrized expression (solid orange curves) compared with experimental DXSs (black dots; uncertainties are smaller than the symbol size) $[22, 23]$ $[22, 23]$ for protons of various energies on ⁴He (left) and ¹⁶O (right). See text for detailed discussion.

3.4. Implementation in FLUKA v4-4.0

A sampling scheme has been implemented in FLUKA v4-4.0 for the simulation of proton nuclear elastic scattering events from the fitted parametrized expression [\(8\)](#page-5-1). Since the inverse sampling equation for this distribution does not have an analytical solution, a rejection sampling scheme has been adopted using the exponential terms in Eq. [\(8\)](#page-5-1) as reasonable envelope functions and the remaining Bessel-function terms as acceptance weights.

In Fig. [9,](#page-7-2) the thick dashed dark-orange curve displays the fitted DXS based on Eq. [\(8\)](#page-5-1) for the nuclear elastic scattering of 50 MeV protons on ²⁰⁸Pb, while the blue solid curve represents the sampled DXS one would obtain relying on the unaltered integrated cross section for proton nuclear elastic scattering in FLUKA v4-3.4 [\[24\]](#page-13-18). While the shapes match $(i.e.$ the sampling scheme is effective), the intensity is not correctly reproduced $(i.e.$ the FLUKA

Figure 9: DXS for the nuclear elastic scattering of 50 MeV protons on ²⁰⁸Pb when using the proton nuclear elastic scattering integrated cross section of FLUKA v4-3.4 (solid blue curve) vs. when using that of FLUKA v4-4.0 (solid red curve) vs. fitted parametrized expression [\(8\)](#page-5-1) (thick dashed darkorange curve). See text for detailed discussion.

v4-3.4 integrated cross section is often not sufficiently accurate). A self-consistent scheme has been adopted instead, wherein the integrated cross section for the nuclear elastic scattering of protons, $\sigma_{\text{(p,el)}}$, has been obtained by numerically integrating the fitted parametrized expression [\(8\)](#page-5-1). The red solid curve in Fig. [9](#page-7-2) displays the sampled DXS obtained with this self-consistent approach, which is in perfect agreement with the fitted DXS.

In FLUKA v4-4.0, special care has been devoted to ensure that at energies below Coulomb barrier, proton nuclear elastic scattering is inactive, and that only Coulomb scattering is accounted for at these energies. Thus, below Coulomb barrier, the integrated cross section for proton nuclear elastic scattering has been set to zero, and finite-size form factors in Coulomb scattering (see Section [2\)](#page-1-1) have been set to unity. In an energy window within $\pm 5\%$ of the Coulomb barrier, the integrated cross section for proton nuclear elastic scattering is gradually switched on, and conversely the finite-size form factors for Coulomb scattering are allowed to deviate from 1. Finally, at higher energies, both quantities take their full values.

3.5. Error incurred when treating Coulomb and nuclear elastic scattering as separate interaction mechanisms

As discussed in Section [2,](#page-1-1) FLUKA's use of the Molière MCS theory necessarily implies a separate treatment of Coulomb and nuclear elastic scattering which, as shown in Section [3.1,](#page-3-0) faces formal difficulties. In this section, the error incurred by such a scheme is assessed.

A dedicated benchmark has been carried out, whereby Coulomb and nuclear elastic scattering events have been sampled with FLUKA v4-4.0. The combined DXS resulting from the sum of Coulomb and nuclear elastic scattering events (each scaled with their respective integrated cross section) has been compared in absolute units of mb/sr with the corresponding experimental or partial-wavecalculated DXS for light or heavy targets, respectively. This comparison has been performed for a series of 27 target nuclei from ²H to ²³⁸U on a grid of 30 energies from Coulomb barrier up to 250 MeV. Figure [10a](#page-8-1) displays the output of this benchmark for 5 MeV protons elastically scattered from ³²S. The thick black curve represents the DXS calculated with the partial-wave scheme of Section [3.1,](#page-3-0) the dotted dark-green curve represents the angular distribution of Coulomb single scattering events

Figure 10: (a) Error incurred when treating Coulomb and nuclear elastic scattering as separate interaction mechanisms for 5 MeV protons on ^{32}S . (b) Same as (a) for 200 MeV protons on ²⁰⁸Pb. See text for detailed discussion.

sampled with FLUKA v4-4.0, the dashed orange curve represents the angular distribution for nuclear elastic scattering sampled with the model presented here, while the red curve is the sum of the last two curves. At these rather low energies (near Coulomb barrier), and especially for light and intermediate target nuclei, localized overestimations at intermediate scattering angles are observed, of at most a few 10%. Instead, at large scattering angles (relevant for the radiation-to-electronics effects assessment in Section [4\)](#page-9-0) the agreement is by construction optimal. Finally, for energies well above Coulomb barrier and especially for heavy target nuclei, the incurred error is negligible, as shown in Fig. [10b](#page-8-1). Incidentally, this benchmark also probes the good performance of the integrated cross section scheme described in Section [3.4.](#page-7-0)

To further gauge the error incurred by treating Coulomb and nuclear elastic scattering as separate interaction mechanisms, Fig. [11](#page-9-1) extends Fig. [6](#page-6-1) with

Figure 11: Same as Fig. [6](#page-6-1) for the full (Coulomb plus nuclear) elastic scattering of protons on nuclei. See text for detailed discussion.

the inclusion of Coulomb elastic scattering, directly sampled from FLUKA v4-4.0 - with the relevant difference that now the thin red curves are sampled from (and not a direct evaluation of) parametrized expression [\(8\)](#page-5-1). This comparison reveals the typically negligible error incurred by the separate treatment of Coulomb and nuclear elastic scattering, and an overall very good agreement, both in the forward scattering and in the large-scattering-angle domains.

Additionally, Fig. [11](#page-9-1) shows that the proton nuclear elastic scattering model employed up to FLUKA v4-3.4 tends to either under- or overestimate the actual DXS at large scattering angles. For heavy targets, for which the Coulomb barrier for protons approaches 10 MeV, the FLUKA v4- 3.4 DXS for the elastic scattering (Coulomb plus nuclear) of protons with energies slightly above Coulomb barrier may underestimate the partialwave DXS by orders of magnitude, as illustrated in subfigure (c). Instead, the FLUKA v4-4.0 model for proton nuclear elastic scattering presented here does not suffer from these artefacts.

4. Application: SEU production in SRAMs under proton irradiation

As mentioned in Section [1,](#page-0-0) earlier FLUKA simulations [\[8,](#page-13-8) [9\]](#page-13-9) to estimate the cross section for SEU production in an ISSI SRAM [\[18\]](#page-13-12) under proton irradiation revealed discrepancies of up to two orders of magnitude against experimental cross sections in the 1-10 MeV proton energy range, see Fig. [1.](#page-1-0) This underestimation was mainly attributed to the lack of nuclear elastic scattering of protons below 10 MeV in FLUKA up to v4-3.4. In this section, the aforementioned simulations are revisited with FLUKA v4-4.0 in order to assess the performance of the newly developed model for proton nuclear elastic scattering presented in this work.

Figure 12: ISSI SRAM simulation geometry in FLUKA.

In Ref. [\[8\]](#page-13-8), a further limitation of FLUKA impacting the simulation of SEU production in the ISSI SRAM under proton irradiation was pointed out. As of FLUKA v4-3.4, in the course of a Coulomb single scattering event, the direction of the charged projectile is updated, while its energy is not. The energy transferred to target atoms in the course of multiple Coulomb collisions is however accounted for in an average way along macroscopic particle steps via a nuclear stopping power term. This approach prevents event-by-event analyses, and therefore does not allow to assess the contribution of individual Coulomb collisions to the production of SEUs. Nevertheless, Coulomb collisions may contribute to SEU production, especially those with large scattering angle, suppressed as they may be [\[10\]](#page-13-25). To quantify their event-byevent contribution to SEU production, a tentative closing of the Coulomb-single-scattering kinematics (as well as the explicit transport of the recoil where applicable) has been implemented in a development version of FLUKA. This model ingredient, amounting to an event-by-event account of nuclear-stopping-power effects, has been developed and tested for proton projectiles. An extension to heavier charged projectiles is underway and it is being intensively tested before being considered for public release in an ulterior version of FLUKA.

A simulation setup akin to that used in Ref. [\[8\]](#page-13-8) has been adopted. The sensitive volume (SV) of the ISSI SRAM is modelled in FLUKA as a 10 by 10 array of silicon cubes with a length of 310 nm embedded in a silicon matrix placed on a BEOL (back end of line) layer of $SiO₂$, as schematically displayed in Fig. [12.](#page-10-0) An extended parallel proton beam, cover-

Figure 13: Energy deposition histogram of 8 MeV protons in the ISSI SRAM, displaying the contributions from various kinds of particle histories. See text for detailed discussion.

ing the transverse face of the device, is considered, with energies ranging from 600 keV to 200 MeV. For each considered energy, the average energy deposition spectrum deposited in a cube, dN/dE_{den} , has been scored on an event-by-event basis.

Figure [13](#page-10-1) shows the simulated dN/dE_{den} for 8 MeV protons (thick black curve). The spectrum is further resolved into contributions of various kinds of particle histories, exploiting FLUKA's particle latching capabilities. The main peak (dashed yellow curve) corresponds to proton histories where there was only direct ionization by incident protons. The additional feature extending up to ∼600 keV is due to proton histories where a nuclear elastic scattering (red curve), a Coulomb single scattering (dark-green curve), or a nuclear reaction (dashed blue curve) occurred. For the first two kinds of histories, where a nuclear-elastic or a Coulomb collision occurred, energy is deposited in the SV by the recoiling target nucleus. The maximum kinetic energy transfer (T_{max}) during an elastic collision of a proton of 8 MeV on a target ²⁸Si or ¹⁶O nucleus is of the order of 1 MeV and 1.8 MeV, respectively, displayed by the black vertical lines in Fig. [13.](#page-10-1) Note that the maximum recoil energy is rarely deposited entirely in the SV: some of the delta-rays (knock-on electrons) produced by the elastic recoil may leave the SV. Still, energies of the order of hundreds of keV (well beyond what protons typically impart by direct ionization) are easily deposited in the SV. Instead, for histories where a nuclear inelastic interaction occurred (dashed blue curve), energy is deposited in the SV by the residual nucleus or by emitted light fragments.

In order to trigger a SEU, a threshold energy deposition in the SV must take place. This value is typically given in terms of a threshold displaced charge (electron-hole pairs), the socalled critical charge Q_{crit} . For the ISSI SRAM, $Q_{\text{crit}} = 0.96 \text{ fC } [8, 9]$ $Q_{\text{crit}} = 0.96 \text{ fC } [8, 9]$ $Q_{\text{crit}} = 0.96 \text{ fC } [8, 9]$, corresponding to a critical deposited energy $E_{\text{dep}}(Q_{\text{crit}}) = 21.6 \text{ keV}$, represented by the dashed grey line in Fig. [13.](#page-10-1) Note that the energy deposited in the SV by direct ionization does not exceed $E_{\text{dep}}(Q_{\text{crit}})$. Thus, for 8-MeV protons, direct ionization plays a negligible role in SEU production in the ISSI SRAM, which is instead driven by nuclear interactions. While energy deposition in the SV by Coulomb scattering events (dark-green curve) in this setup may reach $E_{\text{dep}}(Q_{\text{crit}})$, these kind of events are orders of magnitude less effective than nuclear elastic scattering (red curve) at producing higher energy depositions.

The cross section for the production of SEUs, σ_{SEU} , can be obtained for a given proton energy E_p as follows:

$$
\sigma_{SEU}(Q_{\rm crit}; E_{\rm p}) = \frac{1}{\Phi} \int_{E_{\rm dep}(Q_{\rm crit})}^{E_{\rm max}} dE_{\rm dep} \frac{dN}{dE_{\rm dep}}, \quad (9)
$$

where Φ is the incoming beam fluence. Figure [14](#page-11-0) displays σ_{SEU} as a function of the proton energy. Experimental values [\[8,](#page-13-8) [9\]](#page-13-9) are displayed by the black dots with uncertainties smaller than the symbol size. FLUKA v4-3.4 SEU production cross section estimates obtained with the prescription above are shown in crosses (connected with solid line to guide the eye) and exhibit the aforementioned underestimation of two orders of magnitude with respect to the experimental cross section in the 1-10 MeV range. SEU production cross sections obtained with FLUKA v4-4.0 (benefiting from the new model for proton nuclear elastic scattering discussed in this work) are displayed by stars. Note that in the energy range between 1-10 MeV the agreement with experimental cross sections improves by a factor 20, although there are still local underestimations. Thus, the newly developed model for the nuclear elastic scattering of protons, included in FLUKA v4-4.0, significantly contributes to close the gap with respect to experimental SEU cross sections. Finally, SEU production cross sections obtained with the FLUKA development version (additionally including a tentative closing of Coulomb-single-scattering kinematics together with an ad hoc biasing scheme for nu-

Figure 14: SEU production cross section in the ISSI SRAM as a function of the incident proton energy. See text for detailed discussion.

Figure 15: SEU production cross section in the ISSI SRAM induced by protons resolved into contributions of various kinds of particle histories. See text for detailed discussion.

clear elastic scattering) shown in squares, provide a slightly better agreement with experimental cross sections in the 2-6 MeV region.

Similar results are obtained using other Monte Carlo tools, e.g. G4SEE [\[31\]](#page-13-26), a Geant4-based [\[32\]](#page-13-27) application, as shown in Fig. [14](#page-11-0) by the curve with plus symbols. Note that residual discrepancies remain with respect to the experimental cross sections, themselves subject to uncertainty. These discrepancies may be due to uncertainties in the determination of Q_{crit} , to approximations and simplifications in the adopted simulation setup (the dimensions of the SV are not exactly known, the definition of the BEOL surrounding material does not reflect its actual complexity, etc.), or to model aspects such as the adopted Coulomb barrier prescription in FLUKA v4-4.0, which defines the energy at which proton nuclear elastic scattering opens. Overall, however, the agreement with experimental data is remarkable.

Finally, the particle-latching capabilities of FLUKA provide a practical means to elucidate which interaction mechanisms govern the production of SEUs in the considered ISSI SRAM in various proton energy domains. Figure [15](#page-11-1) displays the contribution to σ_{SEU} due to various kinds of particle histories. For proton energies below ∼2 MeV, direct ionization by incident protons largely dominates. In the energy range from roughly 2 to 8 MeV, recoils from nuclear elastic scattering (as well as Coulomb single scattering) events are the main contributors, while above ∼8 MeV contributions from recoiling residuals, as well as fragments from nuclear reactions drive SEU production.

5. Conclusions and outlook

Earlier attempts to simulate with FLUKA the cross section for the production of SEUs in an ISSI SRAM under proton irradiation revealed an underestimation of up to two orders of magnitude in the 1-10 MeV energy range. Preliminary assessments attributed this underestimation predominantly to the lack of nuclear elastic scattering of protons below 10 MeV in FLUKA up to version 4-3.4.

To overcome this limitation, as well as a too crude treatment of large-angle nuclear elastic scattering (among other shortcomings) at intermediate energies in FLUKA v4-3.4, a new model for the nuclear elastic scattering of protons from Coulomb barrier up to 250 MeV on nuclei ranging from ²H to ²³⁸U has been developed and included in the public release of FLUKA v4-4.0. A combined approach relying on a partial-wave analysis and experimental angular distributions has been adopted, based on an effective parametrized expression which offers sufficient flexibility to adapt to the rich structure of maxima and minima of the actual differential cross section. The employed parametrization provides a systematic way of separating nuclear and Coulomb elastic scattering, in spite of the underlying formal difficulties of this approach, even at proton energies near Coulomb barrier. The error incurred by this separate treatment has been assessed and deemed minimal for the applications where nuclear elastic scattering plays a dominant role. The new model overcomes the unphysical lack of nuclear elastic scattering of protons below 10 MeV in previous versions of FLUKA and, furthermore, it provides a more realistic description of the structure of minima and maxima at large scattering angles typically present in the differential cross section for proton energies above a few tens of MeV.

The performance of this newly developed model for the nuclear elastic scattering of protons below 250 MeV has been assessed in the production of SEUs in an ISSI SRAM under proton irradiation. Whereas FLUKA v4-3.4 underestimated the SEU production cross section by up to two orders of magnitude for 1-10 MeV protons, the new model for proton nuclear elastic scattering in FLUKA v4-4.0 has led to an improved agreement by a factor of 20. A minor, but still important further improvement has been obtained by properly closing the Coulombsingle-scattering kinematics and explicitly generating the elastic recoil when applicable (instead of accounting for it globally via a nuclear-stoppingpower term). This development feature is currently being tested and shall be made available in an ulterior public release of FLUKA, along with a scheme for the biasing of nuclear elastic scattering events.

The performances of FLUKA for a quantitative estimation of radiation effects in commercial electronic components have therefore significantly improved. Simulations presented here for the ISSI SRAM under proton irradiation are currently being extended to address SEU production in further commercial SRAMs and will be the subject of an upcoming benchmark work. In addition, nuclear elastic scattering of protons plays a relevant role in other applications. For instance, it governs the offaxis dose deposition by 50-250 MeV proton beams in water phantoms [\[33,](#page-13-28) [34\]](#page-13-29), thus directly affecting dose delivered in hadron therapy to healthy tissue near cancer cells. The performances of the newly developed model for the nuclear elastic scattering of protons below 250 MeV have been recently assessed in the proton dosimetry context and will be documented in an upcoming publication.

Finally, the employed parametrized differential cross section, Eq. [\(8\)](#page-5-1), may be applicable to describe the nuclear elastic scattering of heavier ions (d, t, 3 He, 4 He, and beyond), missing as of FLUKA v4-4.0, where their elastic scattering is purely electrostatic. Moreover, Eq. [\(8\)](#page-5-1) may also be applied to refine FLUKA's nuclear elastic scattering of neutrons above 20 MeV, relying on Ref. [\[21\]](#page-13-15) as of FLUKA v4-4.0.

References

- [1] G. C. Messenger, M. S. Ash, Single Event Phenomena I, Springer US, 1997, Ch. 6, pp. 179–231.
- [2] J. Barak, N. Yitzhak, SEU Rate in Avionics: From Sea Level to High Altitudes, IEEE Transact. Nuc. Sci. 62 (2015) 3369–3380.
- [3] M. Huhtinen, F. Faccio, Computational method to estimate Single Event Upset rates in an accelerator environment, Nucl. Instrum. Meth. A 450 (1) (2000) 155–172.
- [4] R. G. Alía, et al., Single event effects in high-energy accelerators, Semicond. Sci. Technol. 32 (3) (2017) 034003.
- [5] B. D. Sierawski, et al., Impact of Low-Energy Proton Induced Upsets on Test Methods and Rate Predictions, IEEE Trans. Nuc. Sci. 56 (6) (2009) 3085–3092.
- [6] E. H. Cannon, et al., Heavy Ion, High-Energy, and Low-Energy Proton SEE Sensitivity of 90-nm RHBD SRAMs, IEEE Transact. Nuc. Sci. 57 (6) (2010) 3493– 3499.
- [7] P. Caron, et al., Physical Mechanisms of Proton-Induced Single-Event Upset in Integrated Memory Devices, IEEE Transact. Nuc. Sci. 66 (7) (2019) 1404– 1409.
- [8] A. Coronetti, Relevance and guidelines of radiation effect testing beyond the standards for electronic devices and systems used in space and at accelerators, [https://cds.cern.ch/record/2799812/files/CERN-T](https://cds.cern.ch/record/2799812/files/CERN-THESIS-2021-255.pdf) [HESIS-2021-255.pdf](https://cds.cern.ch/record/2799812/files/CERN-THESIS-2021-255.pdf) (2021).
- [9] A. Coronetti, et al., Assessment of Proton Direct Ionization for the Radiation Hardness Assurance of Deep Submicron SRAMs Used in Space Applications, IEEE Transact. Nuc. Sci. 68 (5) (2021) 937–948.
- [10] A. Akkerman, J. Barak, N.-M. Yitzhak, Role of Elastic Scattering of Protons, Muons, and Electrons in Inducing Single-Event Upsets, IEEE Transact. Nuc. Sci. 64 (2017) 2648–2660.
- [11] Z. Wu, et al., Recoil-Ion-Induced Single Event Upsets in Nanometer CMOS SRAM Under Low-Energy Proton Radiation, IEEE Transact. Nuc. Sci. 64 (1) (2017) 654– 664.
- [12] <https://fluka.cern>.
- [13] G. Battistoni, et al., Overview of the FLUKA code, Annals Nucl. Energy 82 (2015) 10–18.
- [14] C. Ahdida, et al., New Capabilities of the FLUKA Multi-Purpose Code, Front. in Phys. 9 (2022).
- [15] G. Lerner, et al., Analysis of the Photoneutron Field Near the THz Dump of the CLEAR Accelerator at CERN With SEU Measurements and Simulations, IEEE Transact. Nuc. Sci. 69 (7) (2022) 1541–1548.
- [16] M. Cecchetto, et al., 0.1-10 MeV Neutron Soft Error Rate in Accelerator and Atmospheric Environments, IEEE Transact. Nuc. Sci. 68 (5) (2021) 873–883.
- [17] R. G. Alía, Radiation fields in high energy accelerators and their impact on single event effects, [https://cds.](https://cds.cern.ch/record/2012360) [cern.ch/record/2012360](https://cds.cern.ch/record/2012360) (2014).
- [18] [https://www.issi.com/WW/pdf/61-64WV204816BLL.p](https://www.issi.com/WW/pdf/61-64WV204816BLL.pdf) [df](https://www.issi.com/WW/pdf/61-64WV204816BLL.pdf) (2016) .
- [19] A. Ferrari, et al., An improved multiple scattering model for charged particle transport, Nucl. Instrum. Meth. B 71 (4) (1992) 412–426.
- [20] Y.-S. Tsai, Pair production and bremsstrahlung of charged leptons, Rev. Mod. Phys. 46 (1974) 815–851.
- [21] J. Ranft, Estimation of radiation problems around high-

energy accelerators using calculations of the hadronic cascade in matter, Part. Accel. 3 (1972) 129–161.

- [22] N. Otuka, et al., Towards a More Complete and Accurate Experimental Nuclear Reaction Data Library (EX-FOR): International Collaboration Between Nuclear Reaction Data Centres (NRDC), Nucl. Data Sheets 120 (2014) 272–276.
- [23] V. Zerkin, B. Pritychenko, The experimental nuclear reaction data (EXFOR): Extended computer database and Web retrieval system, Nucl. Instrum. Meth. A 888 (2018) 31–43.
- [24] J. W. Wilson, et al., Nucleon-nucleus interaction data base-total nuclear and absorption cross sections, NASA TM-4053 (1988).
- [25] A. J. Koning, J. P. Delaroche, Local and global nucleon optical models from 1 keV to 200 MeV, Nuc. Phys. A 713 (2003) 231–310.
- [26] F. Salvat, J. M. Fernández-Varea, RADIAL: A Fortran subroutine package for the solution of the radial Schrödinger and Dirac wave equations, Comp. Phys. Comm. 240 (2019) 165–177.
- [27] E. H. Auerbach, S. O. Moore, Calculations of Inelastic Scattering of Neutrons by Heavy Nuclei, Phys. Rev. 135 (1964) B895–B911.
- [28] A. Ferrari, P. Sala, The Physics of High Energy Reactions, in: Proceedings Workshop on Nuclear Reaction Data and Nuclear Reactor Physics, Design, and Safety, World Scientific, 1998, p. 424.
- [29] I. M. Dremin, Elastic scattering of hadrons, Physics-Uspekhi 56 (1) (2013) 3.
- [30] V. M. Grichine, Geant4 hadron elastic diffuse model. Comp. Phys. Comm. 181 (5) (2010) 921–927.
- [31] D. Lucsányi, et al., G4SEE: A Geant4-Based Single Event Effect Simulation Toolkit and Its Validation Through Monoenergetic Neutron Measurements, IEEE Trans. Nuc. Sci. 69 (3) (2022) 273–281.
- [32] S. Agostinelli, et al., GEANT4–a simulation toolkit, Nucl. Instrum. Meth. A 506 (2003) 250–303.
- [33] N. Verbeek, et al., Single pencil beam benchmark of a module for Monte Carlo simulation of proton transport in the PENELOPE code, Med. Phys. 48 (1) (2021).
- [34] D. C. Hall, et al., Validation of nuclear models in Geant4 using the dose distribution of a 177 mev proton pencil beam, Phys. Med. Biol. 61 (2015) N1–N10.