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# **COMPARISON OF METHODS TO EVALUATE THE**

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# **CHROMATICITY IN LEAR**

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#### 1. INTRODUCTION

The aim of this note is to describe the methods which we have used to calculate the chromaticity in LEAR, i.e. the dependence of the betatron wave number on momentum

$$
\xi = \frac{\Delta Q/Q_o}{\Delta p/p_o}
$$

which plays an important rôle in the design considerations for the correction and extraction system.

The obvious starting point was to run computer programs like SYNCH or AGS to determine the natural chromaticity (using the FXPT-tracking routine in SYNCH or the AGS lattice output for off-momentum particles). However, to our surprise, SYNCH and AGS gave very different results for the same LEAR lattice. In fact, it had been pointed out to us by B. Autin that care is necessary in interpreting chromaticity given by AGS for small machines. This was confirmed in discussions which we had with the SATURNE Group (J.L. Laclare, G. Leleux): using their computer programs they found a chromaticity for LEAR different from both the AGS and the SYNCH values.

We have therefore tried to compare other methods and programs available at CERN and to develop an independent method based on non-linear differential equations. The following machines were used as "test cases":

- a) the 25 GeV Proton Synchrotron  $(PS)^{\psi}$ , an existing combined function machine with sector magnets;
- b) the Antiproton Accumulator  $(AA)^{2}$ , an existing separated function machine (a simplified "model" of the AA with straight parallel ended magnets was taken for the purpose of comparison);
- c) the Low Energy Antiproton Ring (LEAR)<sup>3</sup>, a designed separated function machine with sector magnets.

Our results are summarized in Table 1, page 10.

### 2. THE COMPUTER PROGRAMS

## 2.1  $AGS<sup>4</sup>$

In AGS, the chromaticity is calculated in two different ways (see Appendix III):

- i) In the normal lattice output the program gives  $Q' = \frac{\Delta Q}{\Delta p / p_{\alpha}} = \xi Q_{o}$ . For simplicity, only contributions from the quadrupole components are taken into account in this calculation as explained in the AGS write-up. They are computed using the formula of Gratreau-Leleux (see 3.2). This is sufficient for machines like the PS or larger ones where the chromatic effect of the bending magnets is negligible  $(D/\rho)$  small compared to unity, with D the dispersion function and p the bending radius).
- ii) The second more general possibility is to compute the lattice properties for off-momentum particles and to determine the chromaticity from the off-momentum tune values. Sextupole lenses can be included in these computations and their strength can be adjusted by a matching routine to give the desired chromaticity in both planes.

## 2.2 PATRICIA<sup>5)</sup>

PATRICIA is a computer program which allows the tracking of particles and the determination of an appropriate set of sextupoles for chromaticity corrections provided the linear lattice is known. The calculations are restricted to machines with rectangular bending magnets (straight parallel ends). An example of the PATRICIA run for the AA is given in Appendix IV.

# $2.3$  SYNCH $6$ <sup>2</sup>

SYNCH is, like AGS, a general lattice program; computations for offmomentum particles are possible (see Appendix V).

The chromaticity estimations are carried out in a similar way to that in AGS, either by using the normal lattice calculations(CYC-routine) which compute the Q' from a simplified analytical expression or by tracking off-momentum particles (FXTP-routine) and calculating chromaticities from the corresponding phase advances  $2\pi Q$ .

# 2.4 TRANSPORT<sup>7</sup>)

TRANSPORT is a general purpose program for the design of beam transport systems, but it includes no direct option to calculate the chromaticity of a circular machine.

However, the first and second order matrix elements calculated by TRANSPORT (see Appendix VI) can be used as a basis for another method proposed by S. Peggs (see 3.3). It should be easy to introduce this method into TRANSPORT in order to have included in this program a standard chromaticity routine.

#### 3. ANALYTICAL METHODS

# 3.1 Method of B. Autin

The formalism of B. Autin  $\left(8\right)$  to obtain the chromaticity is based upon the determination of the trace of the transfer matrix of a period of the machine. It can be summarized as follows:

Let  $[A]$  denote the transfer matrix of an element (bending magnet, lens ...) which contributes to the tune shift; at least one element of  $[A]$  can be expressed as a function of the momentum. The inverse of this matrix multiplied by its derivative with respect to the momentum forms a matrix  $[C]$ ,

$$
\begin{bmatrix} C \end{bmatrix} = \begin{bmatrix} A \end{bmatrix}^{-1} \cdot \begin{bmatrix} \Delta A \end{bmatrix} = \begin{bmatrix} A \end{bmatrix}^{-1} \cdot \begin{bmatrix} \frac{dA}{d(\frac{P}{p_0})} \\ d\frac{P_0}{d(\frac{P}{p_0})} \end{bmatrix} \frac{\Delta p}{p_0}
$$

If the trace of  $[C]$  is zero then the tune shift due to this perturbation element is given by

$$
\Delta Q_{\text{element}} = -\frac{1}{4\pi} (2C_{11}\alpha - C_{12}\gamma + C_{21}\beta),
$$

where the Twiss parameters a, β and *y* are to be taken at the entrance of the element.

For our purpose, we consider the  $\texttt{C}_{\texttt{ij}}$  to first order in  $\Delta{\tt p}/\texttt{p}_{\hat{\texttt{o}}}$ . The contributions from all structures in the ring have to be added to determine the chromaticity.

An example will demonstrate this method:

The transfer matrix for the vertical motion in a thin lens is

$$
\begin{bmatrix} A \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ -K\ell & 1 \end{bmatrix}
$$

Since the strength of the lens is a function of the momentum

$$
K = \frac{K_V}{P/P_O} = K_V (1 - \frac{\Delta p}{P_O} + \dots)
$$
 with  $K_V = -\frac{1}{B\rho} \frac{\partial B_Z}{\partial x}$ 

[δa] is given by

$$
[\Delta A] = \begin{bmatrix} 0 & & & 0 \\ -K_V \ell & & & 0 \\ -K_V \ell & & & 0 \end{bmatrix} \cdot \frac{\Delta p}{P_O}
$$

and one gets

$$
C_{11} = C_{12} = C_{22} = 0
$$
  
 $C_{21} = K_V^2 \frac{\Delta p}{p_0} + \dots$  higher order terms

Therefore the contribution of a thin lens to the tune shift in the vertical plane is to first order

$$
\Delta Q_V \quad 1 \text{ens} = -\frac{1}{4\pi} \quad K_V \ell \quad \beta_V \quad \frac{\Delta p}{p_o}
$$

In this method, the transfer matrices of the magnetic elements play the main rôle. In an unpublished note<sup>9</sup> B. Autin gives the exact transfer matrices for zero gradient magnets of both sector and parallel ended type. For a sector magnet with a bending angle  $\phi$  and a bending radius  $\rho$ the matrices lead to the following expressions for the tune shift, up to first order in  $\Delta p/p_0$ :

$$
\Delta Q_{\text{H}} = -\frac{1}{4\pi} \{ 2\alpha_1 \sin \phi (D_2 \cos \phi - \sin \phi) - \gamma_1 \rho (\cos \phi \sin \phi)
$$
  
+  $D_1^1 - D_2^1 \cos^2 \phi$  +  $\beta_1 \frac{1}{\rho} \sin \phi (D_2^1 \sin \phi + \cos \phi)$  }  $\frac{\Delta p}{p_o}$   

$$
\Delta Q_{\text{V}} = -\frac{1}{4\pi} \{ -\gamma_1 \rho (\phi + D_1^1 - D_2^1) + \frac{1}{\rho} (\beta_2 D_2^1 - \beta_1 D_1^1) \} \frac{\Delta p}{p_o}
$$
 (1)

where "1" denotes the entrance and "2" the exit of the magnet. Together with the well-known contributions of the quadrupoles and the equivalent horizontal focusing  $(K = -\frac{1}{\rho^2})$  of the bending magnets

$$
\Delta Q_H = -\frac{1}{4\pi} \left\{ f \left( \frac{1}{\rho^2} - K_V \right) \beta_H ds \right\} \frac{\Delta p}{P_o}
$$
\n
$$
\Delta Q_V = -\frac{1}{4\pi} \left\{ f K_V \beta_V ds \right\} \frac{\Delta p}{P_o}
$$
\n(2)

these formulae have been used for the chromaticity calculation of LEAR included in Table 1.

#### 3.2 Formula of P, Gratreau-G. Leleux

A formula derived from a Hamiltonian formalism, first by P. Gratreau<sup>10</sup> and then given in a very concise derivation by G. Leleux<sup>11</sup> leads to the following expressions for the natural chromaticities:

$$
\xi_{\rm H} = -\frac{1}{4\pi Q_{\rm H}} \left\{ \int_0^C \left( \frac{1}{\rho^2} - K_{\rm V} \right) \beta_{\rm H} ds + \int_0^C \frac{2}{\rho} \left( D \beta_{\rm H} K_{\rm V} + \alpha_{\rm H} D' - \frac{1}{2} \gamma_{\rm H} D \right) ds \right\}
$$
  

$$
\xi_{\rm V} = -\frac{1}{4\pi Q_{\rm V}} \left\{ \int_0^C K_{\rm V} \beta_{\rm V} ds - \int_0^C \frac{1}{\rho} D(\beta_{\rm V} K_{\rm V} + \gamma_{\rm V}) ds \right\}
$$
(3)

C is the circumference of the machine,  $\rho$  the bending radius and  $\alpha_{V,H}$ ,  $\beta_{\text{V,H}}$  and  $\gamma_{\text{V,H}}$  the Twiss parameters of the lattice.

The application of these relations to a machine with parallel ended magnets is not obvious, since the quadrupole effects due to the non-orthogonal beam entry and exit are not directly included in the formulae above (for the AA the corresponding result in Table 1 is denoted by (iii)). By replacing a straight magnet with a bending angle  $\phi$  by a sector magnet enclosed between

$$
\left[\begin{array}{ccc} 1 & & & 0 \\ & & & 0 \\ \pm \frac{1}{\rho} \tan \frac{\phi}{2} & & 1 \end{array}\right]
$$

(+ ... for the horizontal plane, - ... for the vertical plane) this can be overcome (for the AA the corresponding result in Table 1 is denoted by (iv)) .

A further remark is in order: In ref 11, the magnetic field  $\bar{B}$  = rot  $\bar{A}$ is derived from a vector potential which has only a longitudinal (s) component. Hence the contributions of the stray field B<sub>s</sub> at the magnet edges are neglected both for straight and for sector magnets.

 $- 5 -$ 

## 3.3 Method of S. Peggs

S. Peggs' method<sup>12)</sup> needs the elements of the first and second order matrices R<sub>.</sub>, and T<sub>ijk</sub> of the computer program TRANSPORT. The analysis described in more detail in his thesis<sup>13)</sup> gives the betatron wave number as a function of the momentum spread  $\delta = \Delta p/p_{\alpha}$  in terms of the TRANSPORT matriées for a periodical machine:

$$
\cos \left[ 2\pi Q_{H}(\delta) \right] = \cos \left[ 2\pi Q_{H}(0) \right] + \frac{\delta}{2} \left\{ (2T_{111} + T_{212})D + T_{116} + T_{226} \right\}
$$
  

$$
\cos \left[ 2\pi Q_{V}(\delta) \right] = \cos \left[ 2\pi Q_{V}(0) \right] + \frac{\delta}{2} \left\{ (T_{313} + T_{414})D + T_{336} + T_{446} \right\}
$$

The dispersion function can also be expressed as

$$
D = \frac{1}{2T_{111}\delta} \left\{ (1 - R_{11} - T_{116}\delta) - \sqrt{(1 - R_{11} - T_{116}\delta)^2 - 4T_{111}\delta(T_{166}\delta + R_{16})} \right\}
$$

Similar relations can also be obtained for the variation of the Twiss parameters  $\beta(\delta)$  and  $\alpha(\delta)$  with the momentum. The machine tune for zero momentum spread can be calculated from the diagonal elements of the matrix  $[R]$  for one revolution

$$
\cos[2\pi Q_{\text{H}}(0)] = \frac{1}{2} (R_{11} + R_{22})
$$
  

$$
\cos[2\pi Q_{\text{V}}(0)] = \frac{1}{2} (R_{33} + R_{44})
$$

These equations permit one to obtain the relevant information from the TRANSPORT output.

Peggs' method is based on the observation that the Twiss transfer matrix  $[\overline{R}]$  for the motion around the off-momentum orbit can be represented in terms of the first  $[R]$  and second order  $[T]$  matrix for the central ray. One obtains e.g. for horizontal motion

$$
\overline{R}_{11} = R_{11} + (2T_{111} D + T_{112} D^{\dagger} + T_{116})\delta
$$
  

$$
\overline{R}_{12} = R_{12} + (2T_{122} D^{\dagger} + T_{112} D + T_{126})\delta
$$
  

$$
\overline{R}_{21} = R_{21} + (2T_{211} D + T_{212} D^{\dagger} + T_{216})\delta
$$
  

$$
\overline{R}_{22} = R_{22} + (2T_{222} D^{\dagger} + T_{212} D + T_{226})\delta
$$

The chromatic tune values are obtained from the transfer matrix  $\overline{R}$ for one turn by interpreting

$$
\overline{R}_{11} + \overline{R}_{22} = 2\cos[2\pi Q_{H}(\delta)] \quad \text{etc.}
$$

The method implies that the determinand of  $\left[\overline{R}\right]$  is unity which can be used as an "accuracy test". By expansion for small  $\Delta Q$  and assuming sin( $2\pi Q$ )  $\neq$  0 one obtains the following practical formulae for ξ

$$
\xi_{\rm H} = \frac{-100}{4\pi Q_{\rm H} \sin(2\pi Q_{\rm H})} \{2T_{111} + T_{212}\} \mathbf{D} + T_{116} + T_{226}\},
$$
\n
$$
\xi_{\rm V} = \frac{-100}{4\pi Q_{\rm V} \sin(2\pi Q_{\rm V})} \{ (T_{313} + T_{414}) \mathbf{D} + T_{336} + T_{446} \}
$$
\n(4)

The factor 100 comes in due to the convention of TRANSPORT to use δ in units of percent.

A further remark is worth mentioning: since the accuracy of this method depends only on the second order matrix elements  $T^{\text{ijk}}$ , great care should be taken in the input of the program TRANSPORT to get all second order contributions. For example, to take into account the edge effects of *a* magnet a pole-face rotation instruction must immediately precede the first and follow the last magnet element instruction. Even for a sector magnet where no pole-face rotations are necessary in first order, "pseudo"-rotations by an angle zero must be included as they contribute to the second order matrix elements.

# 3.4 A method based on non-linear differential equations

Following the Courant and Snyder  $14$ ) formalism, we derive from the 2nd order differential equations given, for example by K. Steffen<sup>15)</sup>, formulae for the chromaticity contributions of quadrupoles and sector magnets. As outlined in more detail in Appendix I, this gives the relations

$$
\xi_{\text{H}} = -\frac{1}{4\pi Q_{\text{H}}} \left\{ \int_{0}^{C} (h^{2} - K_{\text{V}}) \beta_{\text{H}} ds + \int_{0}^{C} h(2K_{\text{V}}DB_{\text{H}} + 2D^{*}\alpha_{\text{H}} - D\gamma_{\text{H}}) ds \right\}
$$
\n
$$
\xi_{\text{V}} = -\frac{1}{4\pi Q_{\text{V}}} \left\{ \int_{0}^{C} K_{\text{V}}\beta_{\text{V}}ds - \int_{0}^{C} hK_{\text{V}}DB_{\text{V}} ds - \int_{0}^{C} hD\gamma_{\text{V}} ds - \int_{0}^{C} h^{*}D^{*}\beta_{\text{V}} ds \right\}
$$
\nterm due to:

\n
$$
\text{focusing}
$$
\nfrequency

\nbeing

\n
$$
\text{strength}
$$
\n1

\n1

with h(s) =  $\frac{1}{0}$ . For the horizontal plane the formula is identical to that of Gratreau-Leleux but in the vertical plane they differ by the ''edge-effect" term, which using hard edge approximation<sup>15</sup> can be expressed as

$$
\int_0^{\mathbf{C}} \mathbf{h}^{\mathsf{T}} \mathbf{D}^{\mathsf{T}} \boldsymbol{\beta} \, \mathrm{d} \mathbf{s} = \sum \frac{1}{\rho} \left( \mathbf{D}_1^{\mathsf{T}} \boldsymbol{\beta}_1 - \mathbf{D}_2^{\mathsf{T}} \boldsymbol{\beta}_2 \right)
$$

Here again "1" denotes the entrance and "2" the exit of a magnet.

The advantage of the form (5) for the vertical chromaticity  $\xi_{\mathbf{y}}$  is that it exhibits the origin of the different contributions and can therefore be helpful for a physical interpretation of these contributions. For practical calculations the following equivalent relation (see Appendix II) may be useful:

$$
\xi_{V} = -\frac{1}{4\pi Q_{V}} \left[ \int_{0}^{C} K_{V} \beta_{V} ds - \int_{0}^{C} h(D\gamma_{V} + 2D' \alpha_{V} - h\beta_{V} + h^{2} D\beta_{V}) ds \right]
$$
 (5a)

#### 4. COMPARISON OF THE RESULTS AND THE CHROMATICITY IN LEAR

Results are summarized in Table 1 below.

For the PS, all methods applied led to chromaticities which agree within a few percent error with each other and with the measurements. Since the PS bending radius is about 70 m and the dispersion function D  $\lesssim$  3 m, the contributions of the bendings are negligible. For this machine where  $D_{\text{max}} \ll \rho$  all the approaches considered are satisfactory.

For the AA, which has a bending radius of about <sup>7</sup> m and a maximum dispersion function of about 11 m, the tracking for off-momentum particles in SYNCH gives the correct chromaticity. The other big computer programs (AGS, PATRICIA) are unsatisfactory. Since the "simplified AA" considered has parallel-ended bending magnets, the formulae of Gratreau-Leleux as we interpret them cannot be used as mentioned in section 3.2. Replacing the straight magnets by sector magnets enclosed between the edge-rotation matrices the application of the same formulae give an acceptable value only in the horizontal plane but not in the vertical since there the edge effect contribution of the bending magnets in the form

$$
\Delta \xi_{\rm V} = -\frac{1}{4\pi Q_{\rm V}} \left\{ \sum (1 + \tan^2 \frac{\phi}{2}) \left( -\frac{1}{\rho} \beta_1 D_1 + \frac{1}{\rho} \beta_2 D_2^1 \right) \right\} \tag{6}
$$

is missing, The other two analytical possibilities, namely Antin's approach which was developed for the AA, and Pegg's method, lead to results which agree very well with the measured chromaticities.

For LEAR (bending radius and  $D_{\text{max}}$  both about 4 m) the off-momentum tracking calculations of both AGS and SYNCH failed. The same values for the horizontal chromaticity in LEAR are obtained using the formulae of Gratreau-Leleux, Peggs and Autin. In the vertical plane only the results of Autin's and Pegg's methods agree with each other. The edge effect neglected by Gratreau-Leleux changes the result by about 20%.

Since also the approach based on non-linear differential equations gives chromaticities which agree exactly with the results obtained by other analytical methods we believe that the natural chromaticity ôf LEAR is close to the values

$$
\xi_{\text{H}} = -1.29
$$
  

$$
\xi_{\text{V}} = -2.76.
$$





**(i) Normal lattice output**

**(ii) Tracking routine**

Normal lattice output<br>Tracking routine<br>Pure application of the formulae (3) to a machine with rectangular magnets<br>Rectangular magnets replaced by sector magnets enclosed between "short lenses" with  $K\ell = \frac{1}{D} \tan \frac{\phi}{2}$ **(iii) Pure application of the formulae (3) to a machine with rectangular magnets . (iv) Rectangular magnets replaced by sector magnets enclosed between "short lenses" with** $\begin{array}{c} \text{(i)}\\ \text{(ii)}\\ \text{(iii)}\\ \text{(iv)}\\ \text{(iv)} \end{array}$ 

#### 5. CONCLUSION

The problem of estimating the natural chromaticity of LEAR has led us to compare various computer programs available at CERN and analytical methods.

Whereas all programs work in a satisfactory way for large machines, care has to be taken for small synchrotrons and storage rings where the dispersion function is not negligible compared to the bending radius. In this situation the tracking routine of SYNCH gives the correct chromaticity for parallel ended magnets. The other programs fail in all situations.

From the non-linear differential equations we have derived analytical formulae to calculate the natural chromaticity which for the horizontal plane turn out to be identical with the ones of Gratreau-Leleux but differ in the vertical plane by the edge effect. The formulae of Gratreau-Leleux are also applicable for machines with parallel ended magnets if the magnets are replaced by sector magnets enclosed between "short lenses". Also in this case the edge effect contributions should be taken into account to get acceptable results for the vertical plane.

The approach of Peggs' and Autin's method  $-$  both based on matrix formalism - seem to work in a satisfactory manner for both types of magnets. Thus these two methods or the Gratreau-Leleux formulae augmented by the edge effect term (eq.(5)) can be used to calculate the natural chromaticity of small machines.

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# APPENDIX <sup>1</sup>

# An independent derivation of the chromaticity

# 1. An approach based on differential equations

We start from the trajectory equations of a particle in a static magnetic field, as given by K. Steffen<sup>15</sup>, but with opposite sign convention for the  $x$ -coordinates

$$
z'' + \frac{\dot{w}}{w^2} z' = \frac{e}{p} \frac{v}{w} \left[ -x^1 B_s + (1 + hx) B_x \right]
$$
  

$$
x'' + \frac{\dot{w}}{w^2} x' - h(1 + hx) = \frac{e}{p} \frac{v}{w} \left[ z' B_s - (1 + hx) B_z \right]
$$
 (A1)

with



Within a small range of S the co-ordinates  $z$ ,  $x$ , s can be viewed as a cyclindrical system z, r,  $\theta$  with  $r = \rho + x$  and  $\theta = s/\rho$ The terms  $v^2/w^2$  and  $w/w^2$  are given by  $15$ )

$$
\frac{v^2}{w^2} = x'^2 + z'^2 + (1 + hx)^2
$$
  
\n
$$
\frac{v}{w_2} = -\frac{1}{2} \frac{d/ds (v^2/w^2)}{(v^2/w^2)}
$$
 (A2)

These equations so far include no approximation.

To calculate the chromaticity we expand them around the reference  $A_{\text{Dper}}$ <br>
To calculate the chromaticity we expand them around the reference<br>
orbit characterized by  $p = p_0$ ,  $h = h_0 = e \frac{B_0}{p}$ ,  $s = s_0$ . We retain terms<br>
up to second order in x, z and their derivatives and insert  $x = D$ up to second order in x, z and their derivatives and insert  $x = D\delta$ with  $\delta = \Delta p / p_0$  into the vertical and  $x = \overline{x} + D\delta$ ,  $z = 0$ ,  $z' = 0$  into the horizontal equation, i.e. we define the chromaticity in one plane by referring to particles of zero betatron amplitude in the other plane. In the same spirit we neglect terms with  $\bar{x}^2$ ,  $\bar{x}^{12}$ ,  $\delta^2$  ... thus referring to small amplitude oscillations around an off-momentum orbit for small  $\Delta p$  but mixed terms like  $\overline{x}$  D<sub>6</sub>,  $\overline{x}$ 'D'<sub>6</sub> ... have to be retained as they give a linear contribution to the chromatic frequency shift.

We then arrive at differential equations of the type

$$
y'' + (k + \Delta k)y + 2g y' = 0
$$
 (A3)

where g and  $\Delta k$  are the perturbations linear in  $\delta$ . We elimintate the y' term by the transformation

$$
y = u \exp(- \int g ds)
$$

which yields

$$
u'' + (k + \Delta k - g' - g^2)u = 0
$$
 (A4)

Dropping the second order  $g^2$  term, we obtain the frequency shift from the Courant and Snyder<sup>14</sup>) theory as

$$
\Delta Q = \frac{1}{4\pi} \int (\Delta k - g^t) \beta ds
$$
 (A5)

What remains to be done is to find field representations for the magnetic elements. This will be carried out below for sector magnets.

## 2. Application to sector magnets

With the field expansion for a sector magnet

$$
\frac{e}{p_o} B_z = h - Kx
$$
\n
$$
\frac{e}{p_o} B_x = - Kz
$$
\nwith  $K = K_y = -\frac{1}{p_o B_o} \frac{\partial B_z}{\partial x}$ \n
$$
\frac{e}{p_o} B_s = h'z
$$

we obtain the following differential equations for z and x up to second order :

$$
z'' - (\hbar x' + \hbar' x)z' = - [K + K\delta - 2K\hbar x + x'\hbar'] z
$$
  

$$
x'' - \frac{1}{2} \hbar x'^2 - \hbar' x x' = \hbar \delta - [\hbar^2 - K + K\delta - 2\hbar^2 \delta] x - [\hbar^3 - 2K\hbar] x^2
$$

Putting  $x = D$  in the equation for the vertical plane and substituting  $x = \overline{x} + D\delta$  (where  $\overline{x}$  presents the deviation from the off-momentum orbit Dδ) in the equation for the horizontal plane, we get

$$
z'' - (hD)' \delta z' + [K + (h'D' + 2KhD - K) \delta] = z = 0
$$
  
 $\overline{x''} - (hD)' \delta \overline{x'} + [h^2 - K - (h'D' + 2h^2 - 2Dh^3 + 4Kh - K) \delta] \overline{x} = 0$ 

By virtue of  $(A3) - (A5)$  these equations yield the tune shifts

$$
\frac{\Delta Q_V}{\delta} = \frac{1}{4\pi} \int \left[ h^{\dagger} D^{\dagger} + \frac{1}{2} (hD)^{\dagger} + 2KhD - K \right] \beta ds
$$
  

$$
\frac{\Delta Q_H}{\delta} = -\frac{1}{4\pi} \int \left[ h^{\dagger} D^{\dagger} - \frac{1}{2} (hD)^{\dagger} + 2h^2 - 2Dh^3 + 4KhD - K \right] \beta ds
$$

Integrating by parts, using the identities

$$
\beta' - 2\alpha , \alpha' = K\beta - \gamma
$$

and the differential equation for the dispersion function

$$
D'' + (h^2 - K)D = h
$$

and taking into account that  $h = 0$ ,  $h' = 0$  far enough outside the magnet, one finds the following expressions for the chromaticity in the vertical

and horizontal plane respectively:

$$
\xi_{V} = -\frac{1}{4\pi Q_{V}} \{ f \kappa_{V} ds - f h D (K \beta_{V} + \gamma_{V}) ds - f h' D' \beta_{V} ds \}
$$
  

$$
\xi_{H} = -\frac{1}{4\pi Q_{H}} \{ f (h^{2} - K) \beta_{H} ds + f h (2K D \beta_{H} + 2D' \alpha_{H} - D \gamma_{H}) ds \}
$$

#### 3. A further derivation for sector dipole magnets

Since our formula for the vertical chromaticity is different from the one of Gratreau-Leleux we give yet another derivation of the vertical contribution for the special case of dipole sector magnets:

For  $\delta = 0$  the magnet simply acts as a drift space with length  $\ell = \int ds$ M in the vertical plane. For  $\delta \neq 0$  the drift length is changed to

$$
\ell(\delta) = \int_{M} (1 + hD\delta) ds
$$

to first order in δ. In addition the off-momentum orbit enters and leaves the magnet with an edge angle  $D_1^1 \delta$  and  $D_2^1 \delta$  respectively. We represent the corresponding edge focusing by a thin lens with

$$
K\ell = \frac{1}{\rho_0} \tan(D'\delta) \approx \frac{1}{\rho_0} D'\delta
$$

We calculate the contributions of the changed orbit length and the nonorthogonal beam entry and exit to the tune shift  $Q_V$  following the method of Courant and Snyder (eq. 4.24-4.30). In our case, the matrices are given by:

$$
\mathbf{m}_o = \begin{bmatrix} 1 & \mathrm{d}s_0 \\ 0 & 1 \end{bmatrix} \qquad , \qquad \mathbf{m} = \begin{bmatrix} 1 & (1 + \mathrm{h}D\delta)\mathrm{d}s_0 \\ -\mathrm{h}^t D^t \delta \mathrm{d}s_0 & 1 \end{bmatrix}
$$

We need the trace of

$$
[\mathbf{M}] = \mathbf{m}_0^{-1} \mathbf{M}_0 = \begin{bmatrix} 1 & \mathbf{h} \mathbf{D} \delta \mathbf{d} \dot{\mathbf{s}} \\ -\mathbf{h}^{\dagger} \mathbf{D}^{\dagger} \delta \mathbf{d} \mathbf{s}_0 & 1 \end{bmatrix} \begin{bmatrix} \cos \mu_0 + \alpha' \sin \mu_0 & \beta \sin \mu_0 \\ -\gamma \sin \mu_0 & \cos \mu_0 - \alpha \sin \mu_0 \end{bmatrix},
$$

Tr[M] = 2cos 
$$
\mu
$$
 = 2cos  $\mu_0$  -  $\gamma$ hDôds<sub>o</sub> sin  $\mu_0$  -  $\beta$ h'D'ôds<sub>o</sub> sin  $\mu_0$ 

and get for  $\sin \mu \neq 0$  with  $\mu = \mu_0 + \Delta \mu$ 

$$
2\Delta \mu = 4\pi \Delta Q = \gamma h D \delta ds_{o} + \beta h^{\dagger} D^{\dagger} \delta ds_{o}
$$

Adding the contributions over the whole circumference we obtain for the chromaticity contribution of sector dipole magnets in the vertical plane

$$
\xi_{V} = \frac{1}{4\pi Q_{V}} \{ f h D \gamma_{V} ds + f h' D' \beta_{V} ds \}
$$

This agrees exactly with the formula derived from the non-linear differential equation putting  $K = 0$ .

This derivation helps in interpreting the contributions physically: the first integral is due to the changed orbit length and the second one is due to the edge focusing.

 $- A6 -$ 

# APPENDIX II

#### Equivalence between different formulae

#### 1. Vertical plane: equivalence of the equations (5) and (5a) with (1), (2)

Using the differential equation for the dispersion function  $D'' + D(h^2 - K) = h$ and the identity  $\beta' = -2\alpha$ , taking into account that h = 0 far enough outside the magnet, and integrating by parts, one finds that the magnet contribution to (5) may be expressed as

*- <sup>f</sup>* h(KDβ <sup>+</sup> Dy)ds - *<sup>f</sup>* h'D'βds = - *f* h(KDβ + Dy)ds + *<sup>f</sup>* h(D"β + D'β')ds

 $= - f h(KDB + DY - hB + h^2DB - KDB - D^B')ds$ 

$$
= - f h(D\gamma + 2D^{\dagger}\alpha - h\beta + h^2D\beta)ds
$$

In order to prove that the corresponding term in Autin's formula

$$
- \gamma_1 \rho (\phi + D_1^{\bullet} - D_2^{\bullet}) + \frac{1}{\rho} (\beta_2 D_2^{\bullet} - \beta_1 D_1^{\bullet})
$$

is equal to the above expression with  $K = 0$  we divide the magnet into infinitesimal slices of length dφ. Applying the formula to the i-th slice gives

$$
- \gamma_{i} \rho_{i} (d\phi + D_{i}^{\dagger} - D_{i+1}^{\dagger}) + \frac{1}{\rho_{i}} (\beta_{i+1} D_{i+1}^{\dagger} - \beta_{i} D_{i}^{\dagger})
$$

Adding over all slices and putting ds =  $\rho_i$  d $\phi$  leads to

$$
- f \gamma ds + \sum_{i=1}^{n} \rho_i (D_{i+1}^{\dagger} - D_i^{\dagger}) + \sum_{i=1}^{n} (\beta_{i+1} D_{i+1}^{\dagger} - \beta_i D_i^{\dagger})
$$

The sums can be expressed as

$$
\Sigma \mathbb{M}_{\mathbf{i}} \rho_{\mathbf{i}} \frac{D_{\mathbf{i}+1}^{\prime} - D_{\mathbf{i}}^{\prime}}{\Delta s} \Delta s \quad \text{and} \quad \Sigma \frac{1}{\rho_{\mathbf{i}}} \frac{\beta_{\mathbf{i}+1} D_{\mathbf{i}+1}^{\prime} - \beta_{\mathbf{i}} D_{\mathbf{i}}^{\prime}}{\Delta s} \Delta s
$$

which for infinitesimal  $\Delta s$  becomes f  $\gamma \rho$  D" ds and  $\int \frac{1}{\rho} (\beta D^*)^* ds$ . Substitution of  $D'' = h - Dh^2$  gives then

$$
- \int \gamma ds + \int \gamma ds - \int \gamma h D ds + \int h \beta^* D^{\dagger} ds + \int h^2 \beta ds - \int h^3 D \beta ds
$$

and finally

$$
- \int f(D\gamma + 2D^{\dagger}\alpha - h\beta + h^2D\beta)ds
$$

2. Horizontal plane: equivalence of the equations (5) and (1), (2) Neglecting terms with  $d\phi^2$ , Autin's formula

$$
2\alpha_1 \sin \phi (D_2^1 \cos \phi - \sin \phi) - \gamma_1 \rho (\cos \phi \sin \phi + D_1^1 - D_2^1 \cos^2 \phi)
$$

+ 
$$
\beta_1 \frac{1}{\rho} \sin \phi
$$
 (D<sub>2</sub><sup>1</sup> sin  $\phi$  + cos  $\phi$ )

gives for the i-th slice

 $\sim 10^7$ 

$$
2\alpha_i D_{i+1}^{\dagger} d\phi - \gamma_i \rho_i (d\phi + D_i^{\dagger} - D_{i+1}^{\dagger}) + \beta_i \frac{1}{\rho_i} d\phi.
$$

Under the same conditions as used above for the vertical plane, this leads to

$$
2 \int hD'ads - \int \gamma ds + \int \gamma \rho D''ds + \int h^2\beta ds
$$

which finally can be written as

$$
\int h^2\beta ds + \int h(2D^{\dagger}\alpha - D\gamma) ds
$$

This agrees with equation (5), derived from the non-linear differential equation, putting  $K = 0$ .

AGS - Output for LEAR

09/07/81 10.05.08 AGS VERSION 75,03  $Q(V) = 2.75$  $0(1) = 2.33$ Rea L E A R ann







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INPUT-STREAM

SYNCH - Output for LEAR

SYNCH RUN REGIN

CHROMATICITY-ESTIMATION FOR L E A R (4 SUPERPERIODS)



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# TRANSPORT - Output for LEAR

## "CHROMATICITY FOR L.E.A.R. "





**CHROMATICITIES EVALUATED BY DIFFERENT METHODS** TABLE 1 : CHROMATICITIES EVALUATED BY DIFFERENT METHODS

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**(i) Normal lattice output**

<span id="page-27-0"></span>**(ii) Tracking routine**

**(iii) Pure application of the formulae (3) to a machine with rectangular magnets .**  $\begin{array}{c} \text{(i)}\\ \text{(ii)}\\ \text{(iii)}\\ \text{(iv)}\\ \end{array}$ 

Normal lattice output<br>Tracking routine<br>Pure application of the formulae (3) to a machine with rectangular magnets<br>Rectangular magnets replaced by sector magnets enclosed between "short lenses" with K2 =  $\frac{1}{\rho}$  tan  $\frac$ **(iv) Rectangular magnets replaced by sector magnets enclosed between "short lenses" with K£ = — tan**