## **EUROPEAN ORGANIZATION FOR NUCLEAR RESEARCH ORGANISATION EUROPEENNE POUR LA RECHERCHE NUCLEAIRE**

## **CERN-PS DIVISION**

PS/ ARNote 93-08

# **NEUTRALISATION OF THE LEAR-ECOOL ELECTRON BEAM**

# **SPACE CHARGE**

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> Geneva, Switzerland 10 mai 1993

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## **1. THE AIM AND PRINCIPLE OF NEUTRALISATION**

Neutralisation of stored beams by ionised particles has been reported in many papers [1, 2]. In the present report we will see how the presence of a longitudinal magnetic field, used for electron cooling, modifies significantly the classical approach to this problem.

The space-charge effects of the dense electron beam used at the LEAR electron cooler (Ecool) may deteriorate the efficiency of the cooling process. These effects take place, mainly, in the drift space where the cooling electron beam current, of intensity *I* (A), at longitudinal velocity v, is mixed with the ion beam which has to be cooled. This has been detailed in Refs. [3,4]. One can simply recall from Ref. [4] that:

a) the space charge will cause an increase of the electron transverse velocity  $v_d$ . This can be defined by (refer to Figs, la and lb for symbols):

$$
\theta_d = \frac{v_d}{v} = \frac{E_r - v B_\phi}{v B_0} [rad],
$$

where

 $E_r = -enr/2\varepsilon_0$  is the radial electrical field (V/m) at radius *r* due to the space charge of an electron beam of density  $n \, (\text{m}^{-3})$  such that

$$
n=n_{\rm e}-n_+
$$

 $n_e = I/e\nu\pi a^2$  is the electron density of the beam of radius *a* and  $n_+$  the positive ion density of the neutralisation charges inside the electron beam. The neutralising particles are created by ionisation of the residual gas as will be explained below.

 $B_{\phi} = -\mu_0 I/2\pi r$  is the azimuthal magnetic field, due to the electron beam, at radius *r*.

*B0* is the longitudinal magnetic field of the electron cooler.

It is worth mentioning that the related space-charge force acting on an electron at radius *r*is given by:



*Fig. <sup>1</sup> . Definition ofsymbols*

b) the space charge will induce a potential distribution  $U$  (volt) which, at radius  $r$ , is approximated by (Fig. 2):

$$
U(r) = C_1 \begin{cases} 1 - \left(\frac{r}{a}\right)^2 + 2\ln\left(\frac{b}{a}\right) & \text{for } 0 < r < a \\ 2\ln\left(\frac{b}{r}\right) & \text{for } a < r < b \end{cases}
$$

*b* is the vacuum-chamber (at potential 0) radius;  $b = 70$  mm,

*a* is the electron-beam radius;  $a = 25$  mm,

 $C_1 = (ena^2)/4\varepsilon_0 = 30I/\beta$  (when  $n = n_e$ ).

If the electron beam is accelerated by a potential  $U_0$ , the kinetic energy  $E_c$  of an electron at radius *r* will be:

$$
E_c = e[U_0 - U(r)] = (\gamma - 1)mc^2 \equiv \frac{mv^2}{2}
$$

showing us that electrons at different radius will have different velocities. This is quite detrimental to electron cooling.



## *Fig. 2 - Potential distribution*

Table 1 gives a numerical example for LEAR with a beam current  $I = 3$  A when  $B_0 = 0.06$  T (600 gauss) and  $n = n_e$ .

Electron energy, $E_c$ β	keV	30 0.3284	0.1638
Potential difference: $U(r=a)-U(r=0)$ $U(r=0)$	$\bf V$	274 $-836.3$	549.45 $-1680.1$
Relative longitudinal velocity difference: $[v(r=a)-v(r=0)]/v(r=0)$		$4.5 \times 10^{-3}$	$3.9 \times 10^{-2}$
$E_r$ max	V/cm	219.25	439.5
$B_{\phi}$ max	gauss	0.24	0.24
$\theta_d$ max	mrad	3.9	14.5

Table <sup>1</sup>

If the electron beam is neutralised, such that  $n_e = n_+$ , then the radial force and  $\theta_d$  are drastically reduced, namely *θd* becomes equal to 0.4 mrad.

The method and the consequences will be explained later but from now on we can say that it consists of the trapping, inside the electron beam, of the positive ions created by the ionisation of the residual gas by the primary beam. The corresponding secondary electrons must be cleared away [5].

The neutralisation factor *η* is defined by:

$$
\eta = \frac{n_+}{n_e}.\tag{1}
$$

### **1.1 Neutralisation Time, Secondary Electron or Ion Current**

We use the classical formula [1, 2] giving the increase of the ion density per unit of time (for single ionisation, see remark below):

$$
\frac{dn_+}{dt} = \sigma_i n_r n_e v
$$

where

 $\sigma_i$  = ionisation cross section.  $\sigma_i \propto E_c^{-1/2}$ . For simplicity we take  $\sigma_i \beta = 3.28 \times 10^{-18}$  cm<sup>-2</sup>,

 $n_r$  = residual gas volumic density,  $n_r \approx 3.5 \times 10^{16}$  *Ptorr* cm<sup>-3</sup> *(Ptorr* expressed in torr).

Full neutralisation  $n_+ = n_e$  is reached at the time  $t = \tau_{neutr}$  given by:

$$
\tau_{neutr} = \frac{1}{\sigma_i n_r v} = \frac{2.9 \times 10^{-10}}{Ptorr}, \quad [s]
$$
\n(2)

Example: If *Ptorr* =  $10^{-10}$  torr then  $\tau_{neutr} = 3$  s.

Let  $N_i$  be the number of trapped positive charges. We have, therefore, the following identity:

$$
\frac{dn_+}{dt} = \frac{1}{\pi a^2 L} \frac{dN_i}{dt}
$$

*L* = the ionisation length. *L*  $\equiv$  3 m in our practical case.

We may define the secondary current  $I_i$  by:

$$
I_i = e \frac{dN_i}{dt} = \sigma_i n_r L I \equiv 1 \times 10^2 \, \text{Ptorr} \, I
$$

Example:  $Ptorr = 10^{-10}$  torr,  $I = 3$  A,  $I_i \approx 30$  nA.

This would be the current on clearing electrodes which collect all the created ions.

**Remark:** In order to be more accurate one should take into account the ionisation to higher charge state of the ions already trapped, of density  $n_{+}$ , such that  $dn_{+}/dt$  should be written:

$$
\frac{dn_+}{dt} = (\sigma_i n_r + \sigma_{ii} n_+) n_e v.
$$

Usually  $\sigma_i \gg \sigma_i$ *ii.* 

Even at full neutralisation when  $n_+ = ne >> n_r$  we will neglect this secondary effect.

## **12 Instabilities**

When the neutralised cooling electron beam current density *J* exceeds an upper limit or is smaller than a lower limit, the ion-electron system may become unstable [6, 7]. A short explanation is given in Appendix A.

The upper limit current density, described in Ref. [7], is expressed in practical units by:

$$
J_{\text{max}} \cong 0.05 \frac{E_c[\text{keV}]B_0[\text{kgauss}]}{\text{L[m]}}
$$

where *L* is the neutralised beam length (about 3 m in our case).

The lower limit results from Landau damping properties of the ion cloud (see Appendix A). It is given, in practical units, by:

$$
J_{\min} = 0.25\beta \frac{B_0^2 \text{ [kgauss]}}{M_i}
$$

*Mi* being the ionised ion atomic mass.

Table 2 gives some values of  $I_{\text{max}}$  and  $I_{\text{min}}$  for a 5 cm diameter electron beam, and  $L = 3$  m. These numbers must be taken as guidelines.

Table 2

Electron energy, $T$	keV	30		
Magnetic field, $B_0$	gauss	600	600	200
max		5.9	1.37	0.44
$I_{\min}$ (for $M_i = 14$ )	mA	43.3	20.9	2.32

#### **1.3 Influence on Pressure**

Another effect of neutralisation is the worsening of the vacuum environment. Stored ions, inside the electron beam, are considered as an additional gas along the neutralisation length *L.* The increase of the average pressure will be:

$$
\Delta P = 2.8 \times 10^{-17} n_+ \left(\frac{L}{C}\right)
$$

where *C* is the storage ring circumference. For LEAR, when  $n_+ = 10^8$  cm<sup>-3</sup>,  $\Delta P \approx 5 \times 10^{-11}$ torr.

## **2. TECHNIQUE OF NEUTRALISATION**

The method is described in details in Refs. [5,6]. It consists of two sets of neutralisation or trapping electrodes, one,  $El_g$ , placed at the gun output and the other,  $El_c$ , placed at the collector entrance (Fig. 3). Each electrode consists of two metallic half-cylinders separated by a "resistive insulator", like conducting glass (Fig. 4).



*Fig. 3 - The electron cooling device with the implementation ofEl<sup>g</sup> and El<sup>c</sup>*

One electrode is polarised by a positive (referred to ground) potential  $U_{e2}$ , the other is close to zero potential,  $U_{e1} \equiv 0$  V. This positive potential will keep the ionised ions trapped

within the space between  $El_g$  and  $El_c$ , while the voltage difference  $(U_{e2} - U_{e1} \approx 5 \text{ kV})$  will allow the collection of the secondary electrons. These electrons are drifting in crossed electric and magnetic fields  $(E_r, B_0)$  and are collected by the glass support of the neutralisation electrodes.



*Fig. 4 - The neutralisation electrode*

These neutralisation electrodes will be installed in place of the present gun and collector electron beam position pickups, as indicated in Fig. 3. Also represented in Fig. 3 are the pickups in the drift space to measure the position of both the electron beam and the LEAR ion beam to be cooled.

### **3. POTENTIAL DISTRIBUTIONS OF THE NEUTRALISATION ELECTRODES**

The longitudinal and transversal equipotentials have been simulated.

### **3.1 Longitudinal Potential and Field**

Figure 5 represents the equipotential surfaces inside the electron beam and the longitudinal electrical field, at  $r = 0$ , as a function of the longitudinal abscissa. We have considered here the case where  $U_{e2} = U_{e1}$  and therefore where axial symmetry is of concern. The electron beam goes from the left to the right such that the ion density  $n<sub>+</sub> = 0$  is at the electrode entrance, whereas the electron beam is fully neutralised, and  $n_+ = n_e$  a few cm after the electrode.



*Fig. 5-1) Neutralisation electrode, 2) Vacuum chamber, 3) Module of the longitudinal electrical field* <sup>|</sup>*EZ|, 4) Equipotential surfaces for eU* = 7 *keV*,  $I = 3$  *A*,  $U_{e2} = U_{e1} = 5$  *kV*.

One can observe a strong radial electrical field at both electrode entrance and exit. This field will induce a harmful increment to the electron-beam transverse velocity. This increment must be estimated taking into account the longitudinal magnetic field and, therefore, using the techniques already developed for adiabatic guns [4].

In any case, a way to reduce the influence of these fields must be investigated such as, for example, an adequate shaping of the electrodes. One can also foresee two supplementary Helmholz coils for compensation at each end of the electrodes.

## **3.2 Transversal Potential**

The potential distribution *φ* is taken at the cross section, in the middle of the electrodes, where the density is still  $n = n_e$ . It is given by the following expression:

$$
\varphi(r,\psi) = \frac{U_{e2} - U_{e1}}{2} \left[ 1 - \frac{2}{\pi} \tan^{-1} \left( \frac{br \cos \psi}{b^2 - r^2} \right) \right] - U(r)
$$

where the symbols are represented in Fig. 6.



Fig. 6 - Transversal potential for  $U_{e2} = 3$  kV,  $U_{e1} = 0$  V, eU = 7 keV, I = 3 A

In Fig. 6,  $\varphi(r,\psi)$  is plotted for  $U_{e2} = 3$  kV and  $U_{e1} = 0$  V. One sees a curved potential which is closed in a certain region. In this case, some secondary electrons will stay inside the closed area and will perturb the goal of the trapping electrodes.

Figure 7 represents  $\varphi(r,\psi)$  when  $U_{e2} = 5 \text{ kV}$  and  $U_{e1} = 0 \text{ V}$ . There is no closed potential and, therefore, all the secondary electrons will move to the electrode where they are collected. It is worth mentioning that the potential at the electron-beam centre is about 1.3 kV.

As a consequence, a potential  $U_{e2}$  > 5 kV is necessary to fulfill the neutralisation requirements.



Fig. 7 - Transverse potential for  $U_{e2} = 5$  kV,  $U_{e1} = 0$  V, eU = 7 keV, I = 3 A

## **4. PHYSICS OF THE NEUTRALISATION PROCESS**

The ion life time inside the electron is determinant for a better understanding of the neutralisation process. To this end one must analyse the electron-beam behaviour when interacting with slow ions.

The subject is quite wide. Some details are mentioned in Appendix B, where more physical than mathematical demonstrations are given on:

- a) the dynamical equilibrium between the ionisation process and the ion escape from the beam,
- b) the ion temperature inside the beam,
- c) the time it takes for an ion to escape from the beam (a difference is shown between positively and negatively charged beams),
- d) the fluctuations of the neutralisation coefficient.

Any estimation will depend on the actual cooling parameters. In practical cases (see Tables B.l and B.2 of Appendix B), the electron-beam space charge can be neutralised, for an intensity of  $I = 3$  A, to the level:  $1-\eta \equiv 2 \times 10^{-3}$ .

The stability of such a system must conform to what has been detailed in 1.2 and for which an example is given in Table B.2 of Appendix B.

## **5. CONTROL OF THE NEUTRALISATION**

It is important to have some measurement of the neutralisation coefficient *η* with and without electron cooling in operation.

Some methods, taken from Ref. [6], are mentioned hereafter. Methods 5.1 to 5.3 do not need the stored cooled ion beams as does method 5.4.

#### **5.1 De>neutralisation Jump**

When operating under neutralised conditions, the beam-position monitor (BPM) electrodes have a nominal charge, nearly zero, proportional to  $(n_e - n_+)$ ; namely  $Q1 = \lambda(n_e - n_+)$ , where  $\lambda$  is constant.

If one sets one electrode  $(El_g \text{ or } El_c)$  to the ground potential such that the neutralisation is suppressed, the electron-beam density will come to  $n_e$  and the charge on the BPM electrodes will come to  $Q2 = \lambda(n_e)$ . The integration during de-neutralisation of the BPM current by a capacitance *C* gives a voltage:

$$
U_e = \frac{1}{C} \int i_{pu} dt = \frac{1}{C} \int \frac{\partial Q}{\partial t} dt = \frac{Q2 - Q1}{C} = \frac{\lambda n_+}{C} = kn_+.
$$

The calibration factor  $k$  can be obtained with a de-neutralised beam when increasing or decreasing the electron current intensity by a well-measured amount, keeping the electron beam velocity constant.

This integration method is not sensitive to the charge distribution inside the beam.

#### **5.2 Time of Flight Method (Fig. 8)**

As explained in l.b):

$$
\frac{mv^2}{2} = e[U_0 - U(r)]
$$

Since  $U(r)$  is a function of *n*, the cooling electron velocity v will depend on  $n = n_e - n_+$ .

Let us consider a longitudinal velocity modulation of the electron beam at high frequency. This can be obtained by applying a voltage  $U_1 = U_1$  cos $\omega t$  on both electrodes of *El<sub>g</sub>* or on the cathode. It corresponds to a density modulation of the cooling electrons. For the sake of simplicity we consider that this modulation is the same for all electrons moving at velocity v.



*Fig. 8 - Time offlight method*

The sum signal induced on the two electrodes of *Elc* is:

$$
U_2 = U_2 \cos \omega \bigg( t - \frac{L}{v} \bigg),
$$

 $L =$  distance between  $El_g$  and  $El_c$ .

If we perform the product:

$$
U_{cor} = U_1 U_2 = \frac{U_1 U_2}{2} \left[ \cos \omega \left( 2t - \frac{L}{v} \right) + \cos \left( \frac{\omega L}{v} \right) \right]
$$

and average it in time (correlation), we get:

$$
\langle U_{cor}\rangle = \frac{U_1 U_2}{2} \cos\left(\frac{\omega L}{v}\right)
$$

From the measurement of the phase  $\theta = \omega L/v$  we can deduce v and therefore  $\eta$ .

Example:  $E_c = 30 \text{ keV}, \beta = 0.3284, L = 3 \text{ m}.$  We expect to be able to have a resolution of 1<sup>o</sup>. Then:

$$
\theta = \frac{\omega L}{v} = \frac{\omega L}{v + \Delta v} \Rightarrow \Delta \theta = \left(\frac{\Delta L}{v}\right)\left(\frac{\Delta v}{v}\right) = \frac{\pi}{180}.
$$

Let  $\Delta v/v = 10^{-4}$ , then the required frequency  $f = \omega/2\pi = 90$  MHz.

If accurate enough this technique can be used in a servo loop which aims to maintain the electron velocity constant (or its kinetic energy constant) when neutralisation is in use. However, for that purpose another method is foreseen.

## **5.3 Use of a Pencil Beam:** *pb* **(Fig. 9)**

A pencil beam, generated by a gun *(pbgun)* and falling on a collector is flowing inside the drift space, parallel to the electron-beam axis at a distance  $r$ . Its velocity is  $v_{pb}$ . The pencil beam will sense the radial electrical field *E* of the electron beam being neutralised. Indeed the E-field will depend on the density  $n = n_e - n_+$  and, therefore, on  $n$ , and, of course, on  $r$  which is fixed.



The pencil beam,  $p_b$ , will drift in the crossed  $E_r$  and  $B_0$  fields. Its displacement (in the azimuthal direction) is:

$$
\Delta \phi \propto \frac{E_r}{B_0} \frac{L_{pb}}{v_{pb}}
$$

The  $p_b$  position at the gun output  $(p_{bgun})$  is adjusted by a displacement so as to cancel  $\Delta\phi$  in order to bring the beam right onto the collector input hole.

#### **5.4 Using Electron Cooling**

It is well known that electron cooling will impose, on average, the ion beam velocity to be the same as that of the electron beam. Longitudinal cooling is easily observed by displaying the longitudinal Schottky pickup signal on a spectrum analyser. The relative accuracy  $\Delta v/v_0$  can be of the order of 10<sup>-5</sup>.

$$
\frac{mv^2}{2} = e[U_0-U(r)]
$$

such as for  $r = 0$ :

$$
\frac{mv^2}{2} = e\left\{U_0 - \frac{ena^2}{4\varepsilon_0}\left[1 + 2\ln\left(\frac{b}{a}\right)\right]\right\}
$$

where  $n = n_e - n_+$ , as defined before.

We consider a fixed velocity (easily observed on the spectrum analyser)  $v = v_0$  and adjust  $U_0 = U_\eta$ , such that:

$$
\frac{mv^2}{2} = e[U_{\eta} - C_2(n_e - n_+)]
$$

 $C_2$  = constant.

From the measurement of  $U_{\eta}$  we can deduce  $(n_e - n_+)$ . Then, by measuring the electron current intensity *I* (from which we can deduce  $n_e$ ), it is easy to retrieve:

$$
\eta=\frac{n_+}{n_e}
$$

Example: According to Table 1, at 7 keV and  $I = 3$  A, the voltage change of  $U\eta$  is 1680 V when passing from a non-neutralised beam to a fully neutralised beam (or conversally).

## **6. CONCLUSION**

The goal and technique for neutralisation have been described. Some physical processes related to the beam stability and to the limit of the neutralisation coefficient have been more described than demonstrated.

Many studies remain to be made related to e.g. the effects on the electron-beam temperature and on the measurement techniques.

Experimental results will certainly ask for some refinement of the rough theory developed in this paper.

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## **APPENDIX A**

## **1. UPPER CURRENT DENSITY THRESHOLD**

Let us start from a well neutralised beam  $(n_e = n_+ = n_0)$ . Let the electron beam centre have a small displacement *r* with respect to the motionless ion beam. As a consequence (see Fig. A.l) of this displacement, the centre of mass of the electrons will be submitted to an electrical field, and consequently to a radial force:



*Fig. A.<sup>1</sup> - Relative displacement ofthe electron beam*

Taking into account the magnetic field the electron beam will rotate around the axis with a drift velocity  $v_d$  such that the electrical and magnetic forces cancel:

$$
\frac{n_0 e}{2\varepsilon_0} r = v_d B_0
$$

$$
\Omega_d = \frac{v_d}{r} = \frac{n_0 e}{2\varepsilon_0 B_0}
$$

This polarisation displacement travels along the beam as a wave with a phase velocity:

$$
v_{phase}=\frac{\omega}{k}.
$$

Resonance occurs when  $v_{phase} = v_0$ . So, equalising the wave frequency and the angular drift velocity  $\Omega_d$  one can have a resonance at  $k = 2\pi/\lambda = 2\pi/L$ , such that:

$$
v_0 \frac{2\pi}{L} = \frac{n_0 e}{2\varepsilon_0 B_0}
$$

or, for a threshold density:

$$
(n_0)_{thr} = 4\pi\varepsilon_0 \frac{v_0 B_0}{eL} = \frac{I_{thr}}{ev_0(\pi a)^2}.
$$

This will define a threshold current density:

$$
J_{thr} = \frac{(4\pi\epsilon_0)v_0^2B_0}{L} \propto \frac{E_cB_0}{L}
$$

## **2. LOWER THRESHOLD DENSITY**

We have now to consider the ion-ion collisions when the beam is almost neutralised. The collision time is given by the inverse of the plasma frequency

$$
\tau_{col} = \omega_{pl}^{-1} = \left(\frac{n_{+}e^{2}}{m_{i}\epsilon_{0}}\right)^{-1/2}.
$$

If the collision time is smaller than the Larmor rotation period which is equal to about  $(\Omega_d)^{-1}$ , the collision dynamics are not influenced by the magnetic field; we are in the case of a free plasma where the Landau damping is effective.

Conversely, the beam may become unstable if:

$$
\tau_{col} \equiv \omega_{pl}^{-1} > \tau_{Larmor} \equiv \Omega_d^{-1},
$$

or

$$
\left(\frac{m_i \varepsilon_0}{n_+ e^2}\right)^{1/2} > \frac{m_i}{e B_0},
$$
\n
$$
n_+ < \frac{\varepsilon_0 B_0^2}{m_i}.
$$

Since  $n_e \equiv n_+$  the lower level of the electron current density is given by:

$$
J_e < J_{low} = en_e v_0 = \frac{e \varepsilon_0 B_0^2 v_0}{m_i} \propto B_0^2.
$$

## **APPENDIX B**

### **1. DYNAMICAL EQUILIBRIUM OF AN ELECTRON BEAM INTERACTING WITH THE RESIDUAL GAS**

In a physical system where the cooling electron beam is mixed with slow ions, the equilibrium between the ionisation process and the ion escape process is given by

$$
\frac{dn_+}{dt} = \frac{n_+}{\tau_{neutr}} - \alpha_r n_+ n_e - \frac{n_+}{\tau_{life}} = 0
$$
 (B.1)

where

 $n<sub>+</sub>$  is the positive ion density, expressed in  $m<sup>3</sup>$ , within the electron beam.

*τneutr* is the neutralisation time expressed in s (see Formula 2),

 $\alpha_r$  is the recombination coefficient, for the neutralisasing ions

 $n_e$  is the electron beam density, expressed in m<sup>-3</sup>,

 $\tau_{life}$  is the ion lifetime within the electron beam.

The influence of the recombination can be neglected since the slow secondary electron density is small (see 1.1). Also the cooling electrons are too fast to be captured by the ions. As a consequence, the equilibrium is achieved when

$$
\tau_{neutr} = \tau_{life} \tag{B.2}
$$

## **2. ION TEMPERATURE**

Since we know the ion lifetime, its energy  $kT_i$  (or simply  $T_i$ , where  $T_i$  is expressed in Joules or eV,  $k =$  Boltzmann constant =  $8.62 \times 10^{-5}$  eV·K<sup>-1</sup>) can be determined. Immediately after ionisation the ion is almost at rest. However, during  $τ_{life}$  the ion will be heated by the electron beam and will acquire an energy  $kT_i$ . We can use the classical formula [Ref. 1, and remark below] for the temperature increase:

$$
\frac{dTi}{dt} = \frac{4\pi n_e e_0^4 Z^2}{m_i v} L_c \quad [J \cdot s^{-1}]
$$
 (B.3)

where

 $n_e$ ,  $v$  are the electron-beam nominal density and velocity,

*m<sub>i</sub>* is the ion mass (kg),  $m_i = M_i/N_o$ ,  $M_i \equiv$  ion atomic mass,  $N_o =$  Avogadro number,

*L<sup>c</sup>* is the classical Coulomb logarithm of the order of 10 for fast electrons and slow ions,

 $e_0^2 = e^2/4\pi\epsilon_0 = 2.31 \times 10^{-28} \text{ m}^3 \text{ kg} \cdot \text{s}^{-2}$ .

**Remark:** The factor  $Z^2$  comes from the fact that fast electrons will penetrate the atomic electron cloud and, therefore, interact with the nucleus itself of charge *(Ze).* Then the usual factor  $(e_0)^4$ , used in the electron cooling literature, will become  $e_0^2$  (Ze<sub>0</sub>)<sup>2</sup>.

Then, for  $\Delta T_i = \dot{T}_i \tau_{neutr}$ , one gets:

$$
\Delta T_i \equiv \frac{4\pi r_e c e_0^2 Z^2}{\beta} \frac{m}{m_i} L_c n_e \tau_{neutr} \equiv 8.34 \times 10^{-3} \left( \frac{Z^2 n_e^* \tau_{neutr}}{M_i \beta} \right) \quad [eV]
$$
\n
$$
\equiv \frac{2\pi e_0^4 Z^2}{\sigma_i E_c n_r} \frac{m}{m_i} L_c \tag{B.4}
$$

where

- *re* is the electron radius,
- $n_e^*$  is expressed in units of  $10^8$  cm<sup>-3</sup>,
- *nr* is the residual gas density,
- $\sigma_i$  is the ionisation cross section given in 1.b.

A numerical example is given in Table B.1 for  $P \equiv 1 \times 10^{-10}$  torr (nitrogen),  $n_r \approx 2 \times 10^6$  cm<sup>-3</sup>,  $M_i = 14$ ,  $Z = 7$  and  $I = 3$  A.

Electron energy	keV	30	
		0.3284	0.1638
Electron beam density	$\text{cm}^3$	$0.97 \times 10^{8}$	$1.94 \times 10^{8}$
Neutralisation time			
Ion temperature	$\rm{eV}$	$2.6 \times 10^{-1}$	1.04

Table B.l

#### **2.1 Some Comments on the Ion Temperature**

- a) The ion will be above the room temperature since  $kT_i = 8.62 \times 10^{-5} \times 273 = 2.35 \times 10^{-2}$  eV.
- b) To a nitrogen ion having a temperature of <sup>1</sup> eV, will correspond a velocity  $v_i = (kT_i/m_i)^{1/2} = 2625$  ms<sup>-1</sup>. If  $v_i$  is the longitudinal velocity the transit time between the two trapping electrodes  $\tau_{tr} = L/v_i = 1.14$  ms.
- c) If  $v_i$  is again the longitudinal velocity, a difference of potential of about 1 V, crossed by the ion will be sufficient to make it reflected.

d) The ion beam is supposed to be "thermalised". In other words, we suppose the transverse energy = longitudinal energy =  $k\Delta T_i$ .

## **3. ION ESCAPE PROCESS AND DYNAMICS OF NEUTRALISATION**

Let us consider the neutralisation process. Just after the electron beam is switched on, its potential  $\varphi$  is negative (see Fig. B1, point 1). During the time the beam is being neutralised *φ* is increasing until the time  $t = t_{neutr}$ , where *φ* is close to 0 V (point 2, Fig. B1). As explained before, during this time the ions are heated up to a temperature equal to  $\Delta T_i$  and presumed to be thermalised (pointB 2.Id).

We will be in a stationary regime when the rate of ions escaping the e-beam, and therefore hitting the vacuum chamber, is equal to the rate of the ions being ionised. This occurs when:

$$
\tau_{escape}=\tau_{neutr}.
$$

The stationary regime is reached when the beam is almost neutralised so that the potential barrier  $\varphi$ , which prevents the ion from escaping, is drastically reduced to the level:



*Fig. B.<sup>1</sup> - Electron-beam potential versus time during neutralisation*

Even a non-zero potential *φ* (or non-zero electrical field within the beam) cannot explain any escape of the ions from the beam. This is due to the magnetic field.

Consequently, we must introduce some diffusion mechanism.

We thus consider the diffusion of an ion in a magnetic field. The increase of the ion rms distance  $\langle r^2 \rangle$ , from the centre, can be roughly expressed by:

$$
\left\langle \mathbf{r}^2 \right\rangle = \rho_\perp \frac{t}{\tau_\parallel} \tag{B.6}
$$

where:  $\rho_{\perp}$  is the cyclotron radius,

 $\tau_{\parallel}$  is the time between two consecutive random scatterings.



*Fig. B.2 - Sketch ofequipotential, electricfield, ion trajectoryfor a non-neutralised electron beam*



*Fig. B.3 - Sketch ofequipotential, electricfield, ion trajectoryfor a neutralised electron beam*

This scattering occurs, during the ion-ion collision, but mainly when reflected by the electrical field of the electric field of the neutralisation electrodes (Figs. B.2 and B.3).

Consequently, the escape time is given from B.6 when  $\langle r^2 \rangle = b^2$  and so:

$$
\tau_{\text{escape}} \equiv \frac{b^2}{\rho_\perp^2} \frac{L}{v_T} \tag{B.7}
$$

*L*: distance between  $E_{lg}$  and  $E_{lc}$ 

 $v_r = v_{\parallel}$ : ion longitudinal velocity  $m_i v_r^2 = k \Delta T_i$ .

Depending on the sign of  $\varphi$ ,  $\rho_{\perp}$  will be different.

a)  $\varphi < 0$ 

In this case  $\rho_{\perp}$  is the cyclotron radius

$$
\rho_{\perp} = \frac{m_i v_T}{e B_0} \tag{B.8}
$$

and consequently:

$$
\left(\tau_{\text{escape}}\right)_N = \left(\frac{eB_0b}{m_i}\right)^2 \frac{L}{v_T^3} \tag{B.9}
$$

It is clear that the hypothesis of a slightly negatively charged beam implies that

$$
\tau_{\text{escape}} \le \tau_{\text{neutr}} \tag{B.10}
$$

$$
\varphi_N \equiv -\frac{\Delta T_i}{e} \tag{B.11}
$$

From these formulae, we can find the critical magnetic field *B<sup>x</sup>*

$$
B_0 \leq B_1 = \frac{m_i}{eb} v_T^{3/2} \sqrt{\frac{\tau_{neutr}}{L}}
$$
 (B.12)

b)  $\varphi > 0$ 

When  $B_0 > B_1$  the ion cannot escape quickly enough and the ion storing process will continue until the beam becomes positively charged (point 3 on Fig. B.l). The ion will acquire a cycloidal motion in the crossed fields  $B_0$  and  $E \equiv \varphi / a$ .

Now we must take into account the drift velocity  $v<sub>d</sub>$  (Fig. B.4) such that

$$
\rho_{\perp} = \frac{m_i v_d}{eB_0} \quad \text{with } v_d = \frac{E}{B_0} \approx \frac{\varphi}{aB_0} \tag{B.13}
$$

and so



*Fig. B.4 - Definition ofsymbols*

In this new regime  $(\varphi > 0)$  the condition for escaping (B.10) is given by the substitution of (B.13) into (B.7):

$$
\tau_{\text{neutr}} \equiv \tau_{\text{escape}} \equiv \left[\frac{eab}{m_i \varphi}\right]^2 B_0^4 \frac{L}{v_T} \tag{B.14}
$$

and so

$$
\varphi = \frac{eab}{m_i} B_0^2 \sqrt{\frac{L}{v_T \tau_{neutr}}}
$$
(B.15)

 $B_0 > B_1$ 

Table B.2 gives some values for:  $A = 14$ .  $L = 3$  m,  $b = 10$  cm,  $a = 2.5$  cm.

Electron energy	keV	30	
Neutralisation time,	S		
Ion temperature,	eV	0.26	1.04
Ion longitudinal velocity	$m·s-1$	$1.5 \times 10^{3}$	$3 \times 10^3$
Critical $B_1$ ,		0.05	0.14

Table B.2

For the LEAR electron cooler, the magnetic field  $B_0$  should not exceed 0.06 T. This means that we expect the beam to be negatively charged with:

$$
\varphi \equiv -\frac{\Delta T_i}{e} \equiv \div 1 \text{ V}.
$$

If we take  $\Delta T_i = 1$  eV and the values of *V* taken from Table 2, we obtain

$$
\eta \equiv \frac{1}{274} \div \frac{1}{550}.
$$

However, one can expect that for unforeseen reasons, we might not come to such a degree of neutralisation.

 $\mathcal{L}^{\text{max}}_{\text{max}}$