

# Recent results in charm physics at LHCb

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on behalf of the  
LHCb collaboration

5 July 2023

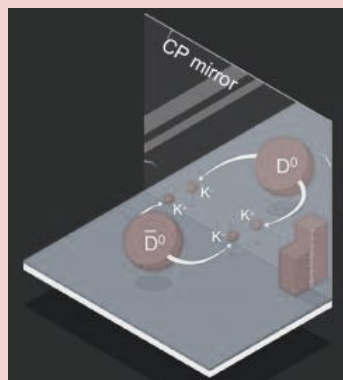
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# Charm Physics at LHCb<sup>\*</sup>

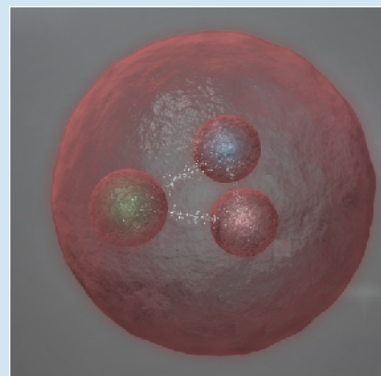
## Mixing and CPV

- Meson oscillations
- **Time-integrated CPV**
- Time-dependent CPV
- **Locally-enhanced CPV**
- ...



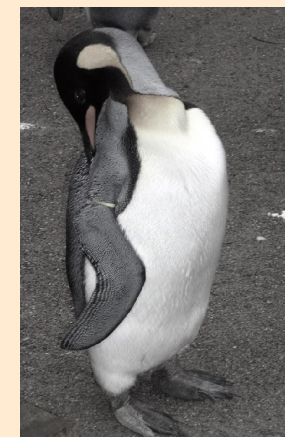
## Production and decay properties

- Doubly charmed baryons
- Charm production
- **Excited charm baryons**
- ...



## Rare decays

- **Flavour-changing neutral current processes**
- Lepton-flavour, lepton-number violation
- ...

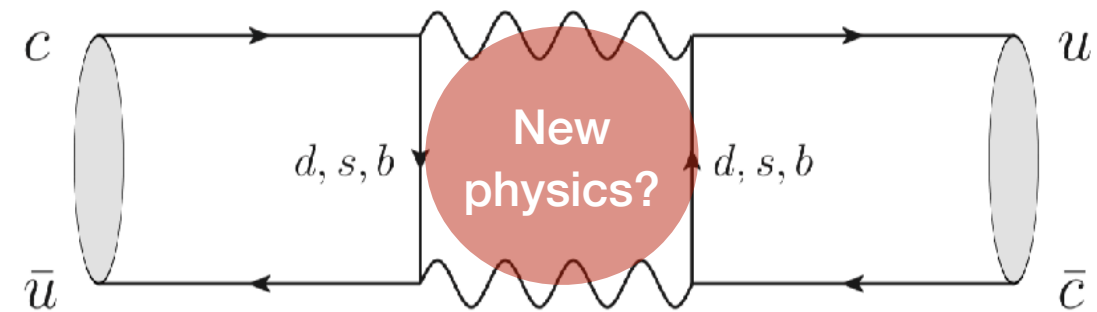


<sup>\*</sup>only LHCb analyses discussed in this talk, for charm results at Belle II and BES III see talks by Takeo Higuchi and Wei Xu

# Why care about Charm\* @BEAUTY? <sup>2</sup>

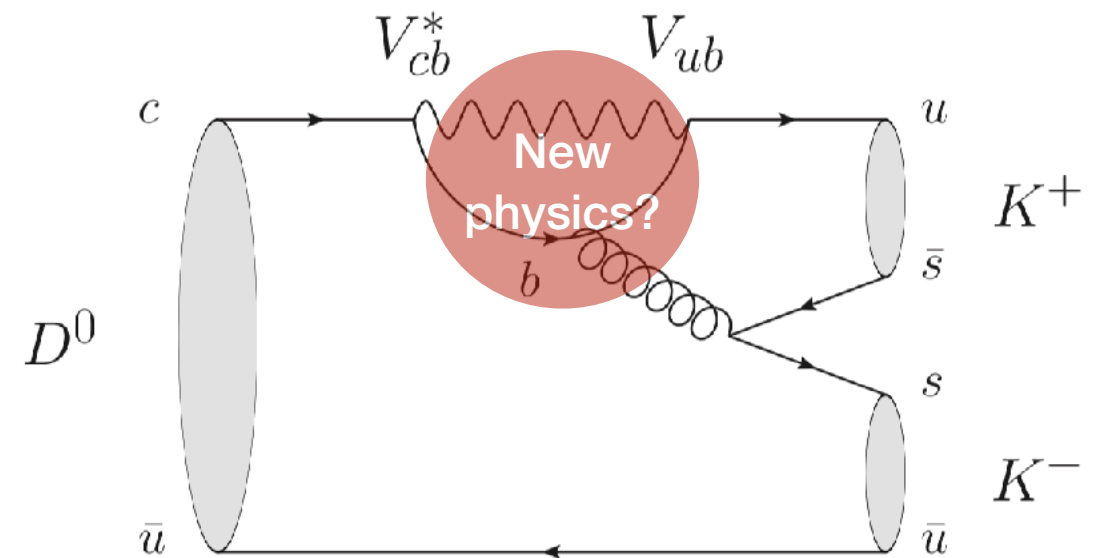
## Unique:

- Only bound HF system made of up-type quarks, complementary sensitivity to BSM couplings wrt to K and B<sub>(s)</sub> decays
- $m_c \sim 1.3 \text{ GeV}/c^2$  makes theoretical predictions hard, but allows for insights into QCD from a unique perspective



## Discovery tool:

- All processes involving quantum-loops are highly suppressed in the SM
  - Charm meson oscillation probability very low
  - CP violating effects tiny ( $\lesssim \mathcal{O}(10^{-3})$ )
  - Rare decays extremely rare ( $\lesssim \mathcal{O}(10^{-9})$ )



Room for new physics to show up!



# Charming beauty detector



JINST3(2008)S08005

Int.J.Mod.Phys.A30(2015)no.07 1530022

- Large production cross-sections of charm hadrons at LHCb

$$\sigma(pp \rightarrow c\bar{c}X) \approx 2.4 \text{ mb}$$

$$\sim 20 \times \sigma(pp \rightarrow b\bar{b}X)$$

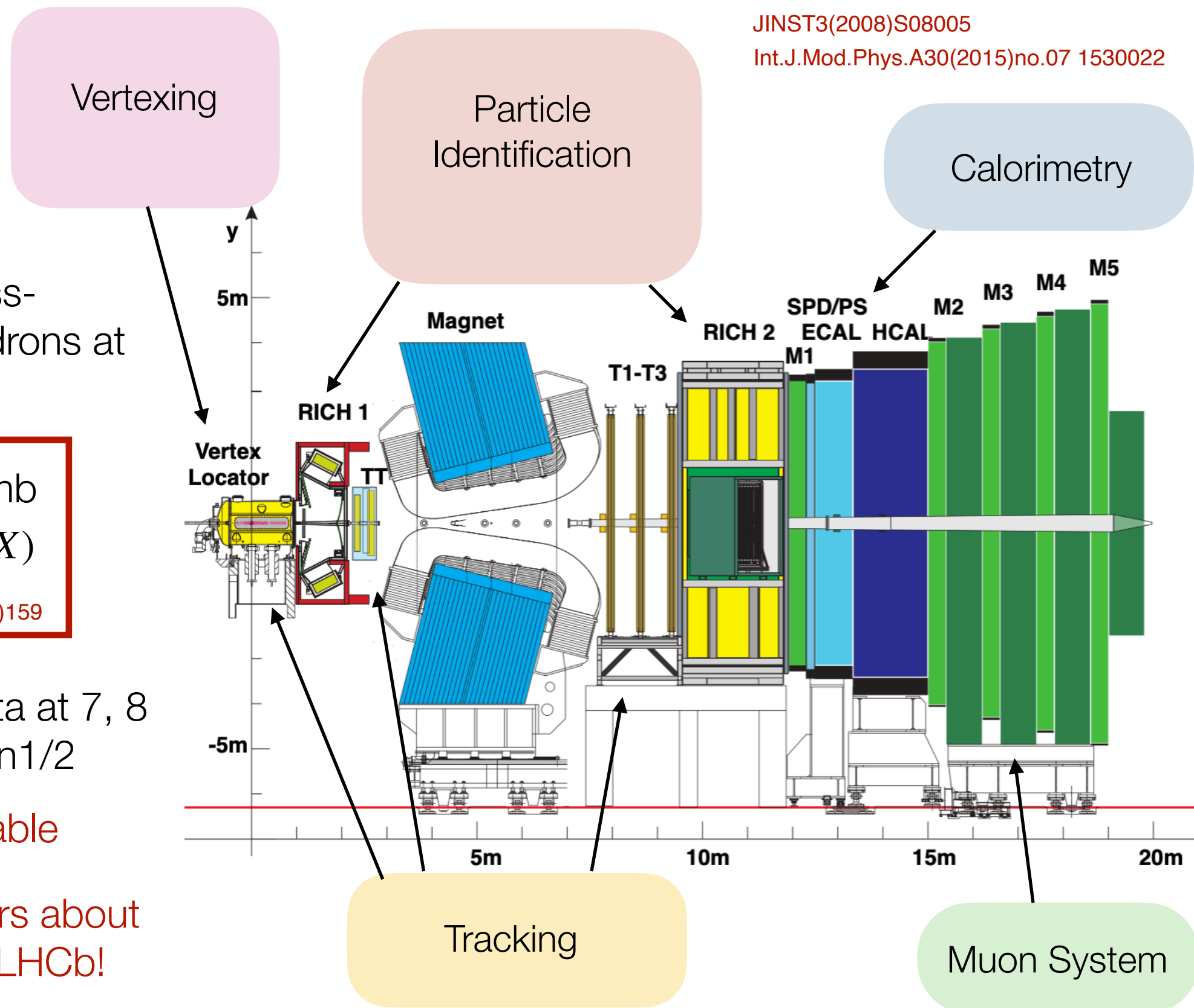
@ $\sqrt{s} = 13\text{TeV}$

JHEP03(2016)159

- Collected  $9 \text{ fb}^{-1}$  of data at 7, 8 and 13 TeV during Run1/2

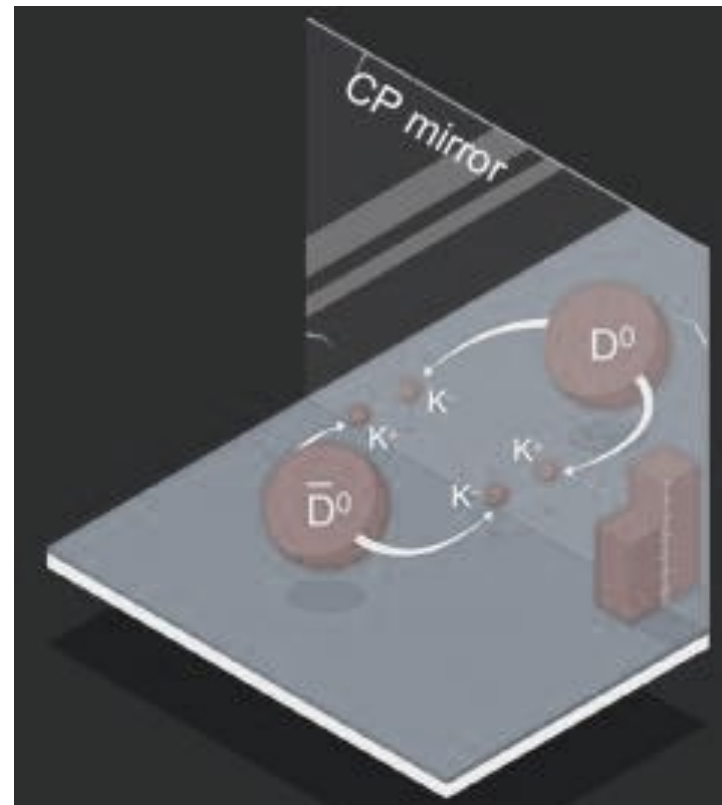
enormous yields available

Total of 95(!) papers about charm physics at LHCb!





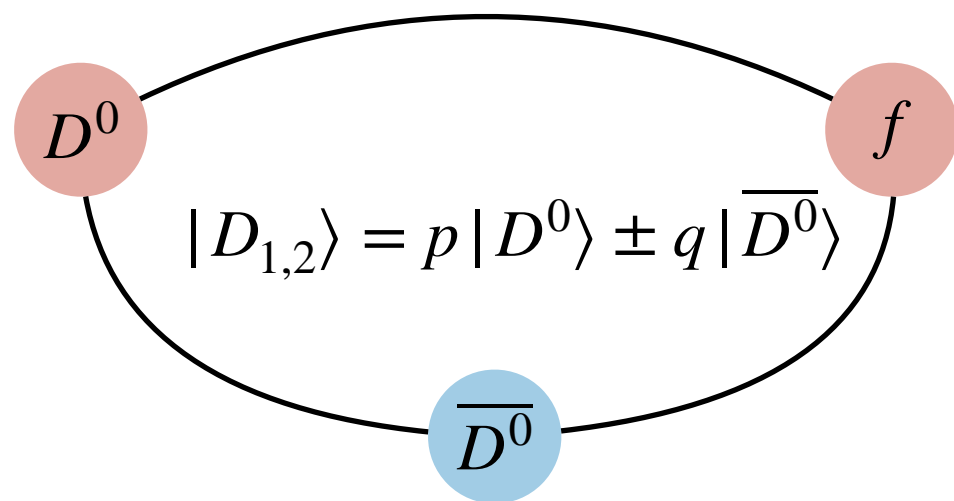
# Mixing & CPV



More: Mod.Phys.Lett.A 37 (2022) 24, 2230012

# Charm mixing & CPV

- Measurements of mixing ( $x, y$ ) and CPV ( $A_{CP}, |q/p|, \phi$ ) parameters



$$x = \frac{m_1 - m_2}{\Gamma}$$

$$y = \frac{\Gamma_1 - \Gamma_2}{2\Gamma}$$

arXiv: 2208.06512  
PRL 127 (2021) 111801  
PRL 122 (2019) 211803

- CPV in the decay  $\left| \frac{A_f}{\bar{A}_f} \right| \neq 1$

- CPV in mixing  $\left| \frac{q}{p} \right| \neq 1$

- CPV in interference between mixing and decay

$$\phi_f = \arg\left(\frac{q \bar{A}_f}{p A_f}\right) \approx \arg\left(\frac{q}{p}\right) \neq 0$$

**Observation of the Mass Difference between Neutral Charm-Meson Eigenstates\***  
R. Aaij *et al.*<sup>\*</sup>  
(LHCb Collaboration)

(Received 8 June 2021; accepted 8 July 2021; published 7 September 2021)  
A measurement of mixing and CP violation in neutral charm mesons is performed using data reconstructed in proton-proton collisions collected by the LHCb experiment from 2016 to 2018, corresponding to an integrated luminosity of 5.4 fb<sup>-1</sup>. A total of 30.6 million  $D^0 \rightarrow K_S^0 \pi^+ \pi^-$  decays are analyzed using a method optimized for the measurement of the mass difference between neutral charm-meson eigenstates. Allowing for CP violation in mixing and in the interference between mixing and decay, the mass and decay-width differences are measured to be  $x_{CP} = [3.97 \pm 0.46(\text{stat}) \pm 0.29(\text{syst})] \times 10^{-3}$  and  $y_{CP} = [4.59 \pm 1.20(\text{stat}) \pm 0.85(\text{syst})] \times 10^{-3}$ , respectively. The CP-violating parameters are measured as  $\Delta x = [-0.27 \pm 0.18(\text{stat}) \pm 0.01(\text{syst})] \times 10^{-3}$  and  $\Delta y = [0.20 \pm 0.36(\text{stat}) \pm 0.13(\text{syst})] \times 10^{-3}$ . This is the first observation of a nonzero mass difference in the  $D^0$  meson system, with a significance exceeding seven standard deviations. The data are consistent with CP symmetry and improve existing constraints on the associated parameters.

\* only possible due to CLEO and BESIII inputs

**Observation of CP Violation in Charm Decays**  
R. Aaij *et al.*<sup>\*</sup>  
(LHCb Collaboration)

(Received 21 March 2019; revised manuscript received 2 May 2019; published 29 May 2019)  
A search for charge-parity (CP) violation in  $D^0 \rightarrow K^- K^+$  and  $D^0 \rightarrow \pi^- \pi^+$  decays is reported, using  $pp$  collision data corresponding to an integrated luminosity of 5.9 fb<sup>-1</sup> collected at a center-of-mass energy of 13 TeV with the LHCb detector. The flavor of the charm meson is inferred from the charge of the pion in  $D^+(2010)^- \rightarrow D^0 \pi^+$  decays or from the charge of the muon in  $\bar{B} \rightarrow D^0 \mu^- \bar{\nu}_\mu X$  decays. The difference between the CP asymmetries in  $D^0 \rightarrow K^- K^+$  and  $D^0 \rightarrow \pi^- \pi^+$  decays is measured to be  $\Delta A_{CP} = [-18.2 \pm 3.2(\text{stat}) \pm 0.9(\text{syst})] \times 10^{-4}$  for  $\mu^-$ -tagged  $D^0$  mesons. Combining these with previous LHCb results leads to  $\Delta A_{CP} = (-15.4 \pm 2.9) \times 10^{-4}$ , where the uncertainty includes both statistical and systematic contributions. The measured value differs from zero by more than 5 standard deviations. This is the first observation of CP violation in the decay of charm hadrons.

We are in the post-mixing & post-CPV-observation phase, but...

Interpretation of observed size of CPV highly debated!

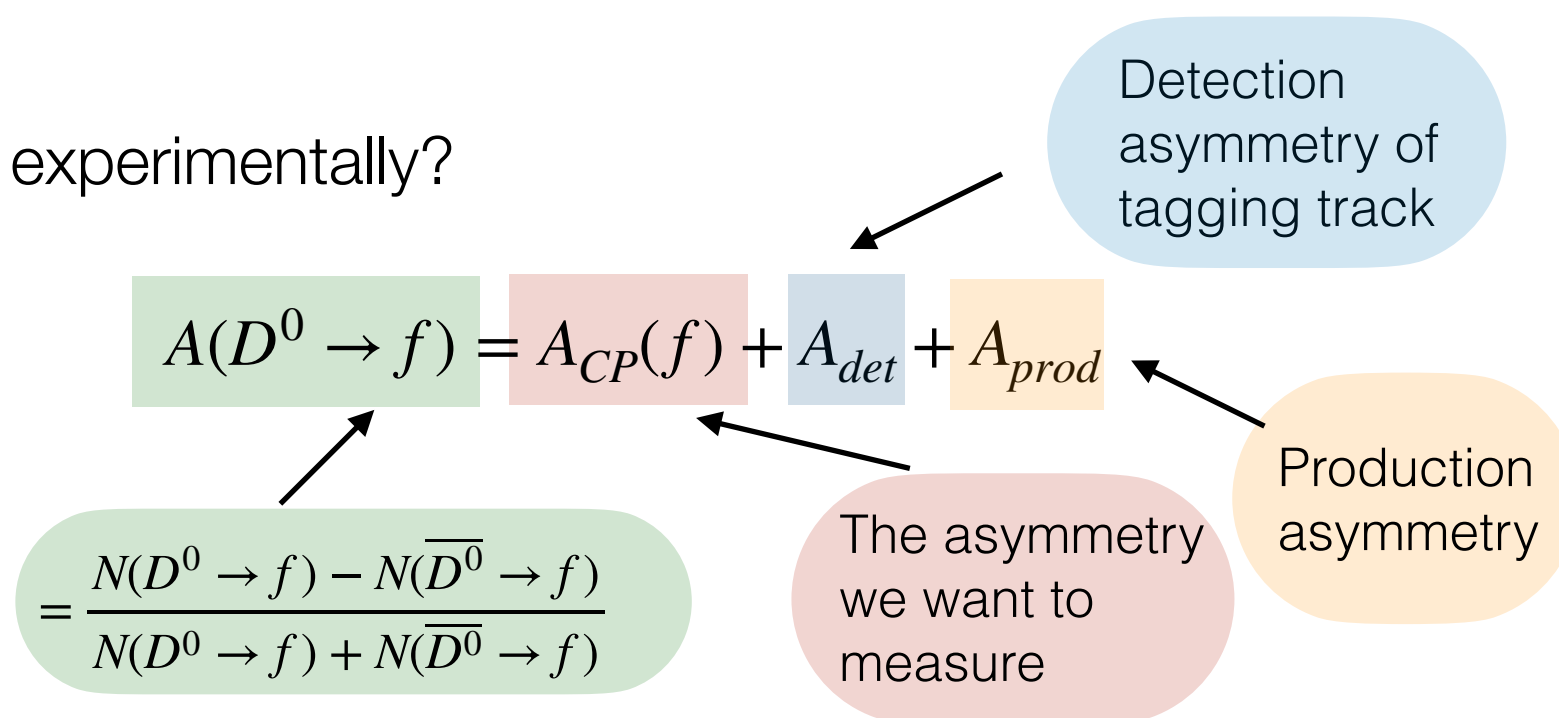
# Time-Integrated CP Asymmetries in $D^0 \rightarrow K^+K^-$

ARXIV:2209.03179

- Measurement of decay-time integrated CP asymmetries

$$A_{CP}(f) = \frac{\Gamma(D^0 \rightarrow f) - \Gamma(\bar{D}^0 \rightarrow f)}{\Gamma(D^0 \rightarrow f) + \Gamma(\bar{D}^0 \rightarrow f)}$$

- How experimentally?

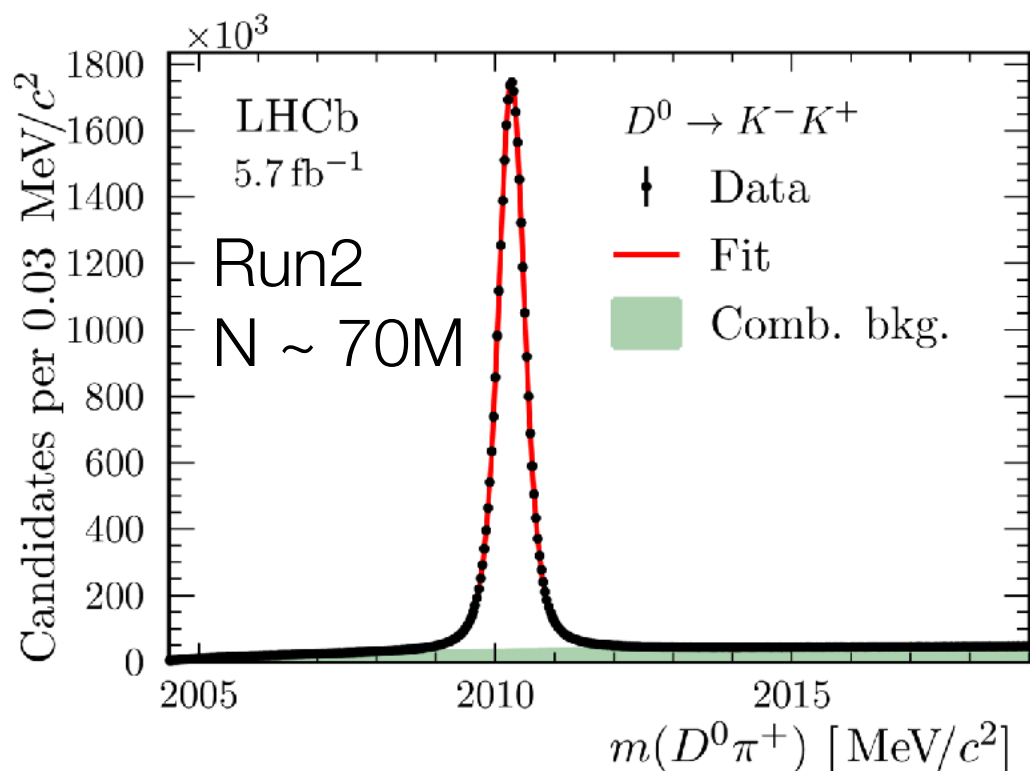


- This is why we started with  $\Delta A_{CP} = A(D \rightarrow K^+K^-) - A(D \rightarrow \pi^+\pi^-)$  PRL 122 (2019) 211803
- Now:** Two methods to cancel detector asymmetries using Cabibbo-favoured (no CPV)  $D^0, D^+, D_s^+$  decays to get individual CP asymmetries in  $D^0 \rightarrow K^+K^-$  decays



# Time-Integrated CP Asymmetries in $D^0 \rightarrow K^+K^-$

ARXIV:2209.03179

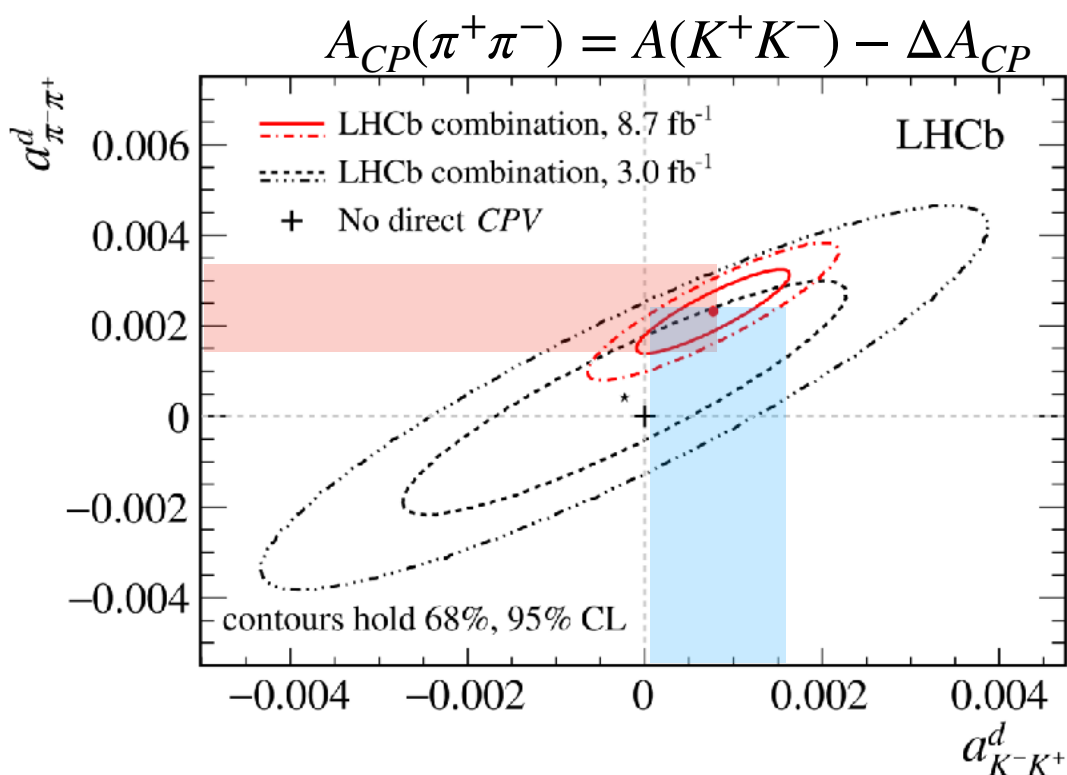


- Run 2 (5.6fb<sup>-1</sup>) data ~70M  $D^0 \rightarrow K^+K^-$  candidates

Combination of correction methods results (Run2 only):

$$A_{CP}(K^+K^-) = [6.8 \pm 5.4(\text{stat}) \pm 1.6(\text{sys})] \times 10^{-4}$$

- Combination with previous measurements



PRL 122 (2019) 211803

Run1+Run2 + combination with  $\Delta A_{CP}$

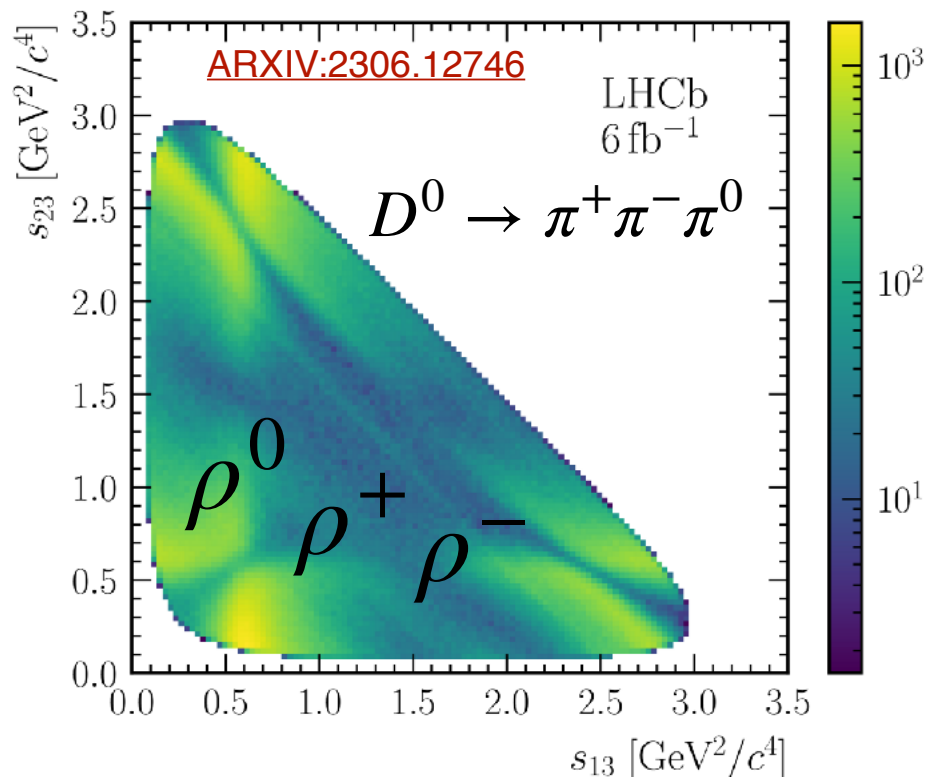
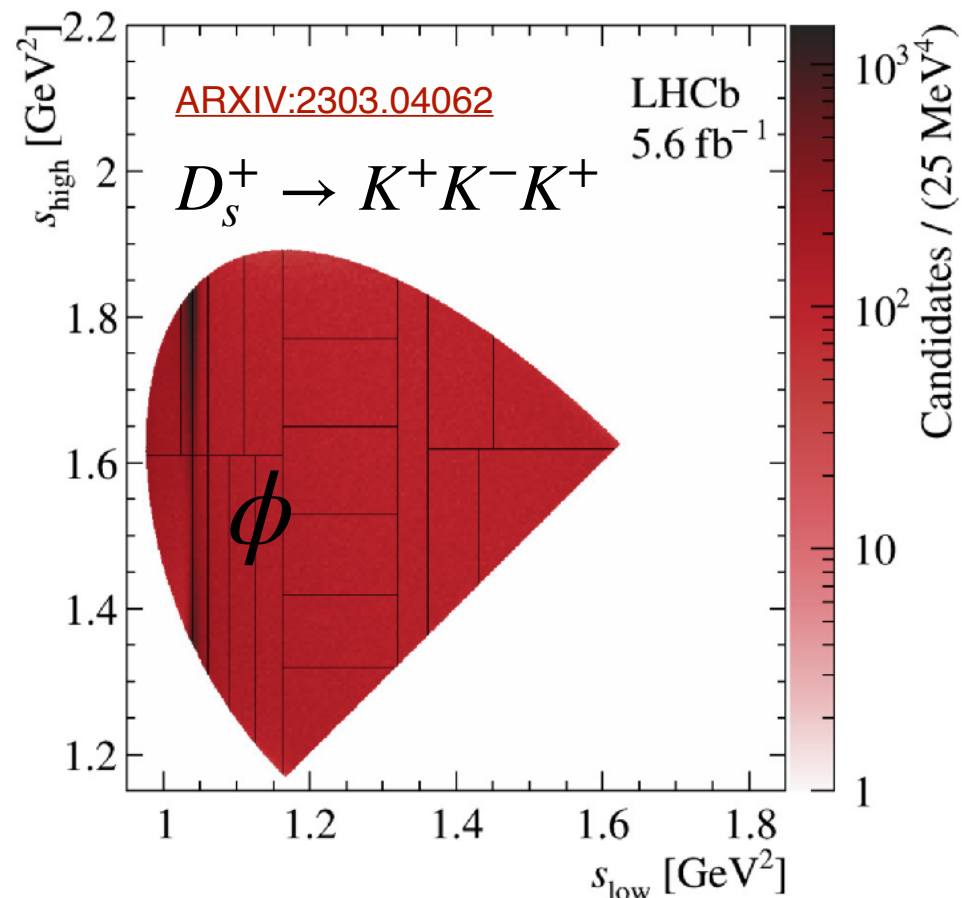
$$a_{CP}^d(K^+K^-) = [7.7 \pm 5.7] \times 10^{-4}$$

$$a_{CP}^d(\pi^+\pi^-) = [23.2 \pm 6.1] \times 10^{-4}$$

$$\rho(a_{KK}^d, a_{\pi\pi}^d) = 88\%$$

First evidence ( $3.8\sigma$ ) of CPV in  $D^0 \rightarrow \pi^+\pi^-$ !  
We need to understand better!

# Model-independent searches in multibody decays



- CP asymmetries generated via **interference** of at least two amplitudes  $A_1$  and  $A_2$

$$A_{CP} \sim \frac{|A_1|}{|A_2|} \sin(\Delta\delta) \sin(\Delta\phi)$$

Strong phase difference      Weak phase difference

- In **multibody decays** strong phase  $\delta$  dynamically varies across the phase space
- Locally enhanced CPV** effects possible (known from the b system arXiv:2206.07622!)
- New** (model-independent) analyses at LHCb:

- Search for CPV in  $D_{(s)}^+ \rightarrow K^+ K^- K^+$  ARXIV:2303.04062
- Search for CPV in  $D^0 \rightarrow \pi^+ \pi^- \pi^0$  ARXIV:2306.12746

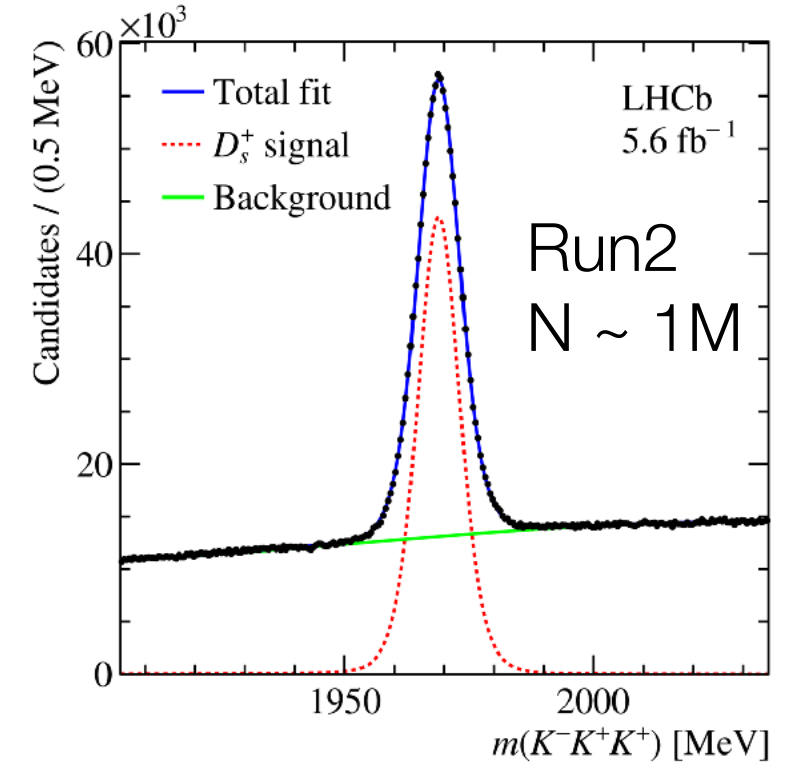
# Search for CPV in $D_{(s)}^+ \rightarrow K^+ K^- K^+$

ARXIV:2303.04062

- Run2 (5.6fb<sup>-1</sup>) data ~0.97M (1.27M)  $D_s^+$  ( $D^+$ ) candidates
  - validation with  $D_s^+ \rightarrow K^- K^+ \pi^+$  and  $D^+ \rightarrow K^- \pi^+ \pi^+$

$$S_{CP}^i = \frac{N_+^i - \alpha N_-^i}{\sqrt{\alpha(\delta_{N_+^i}^2 + \delta_{N_-^i}^2)}} \quad \alpha = \frac{\sum N_+^i}{\sum N_-^i}$$

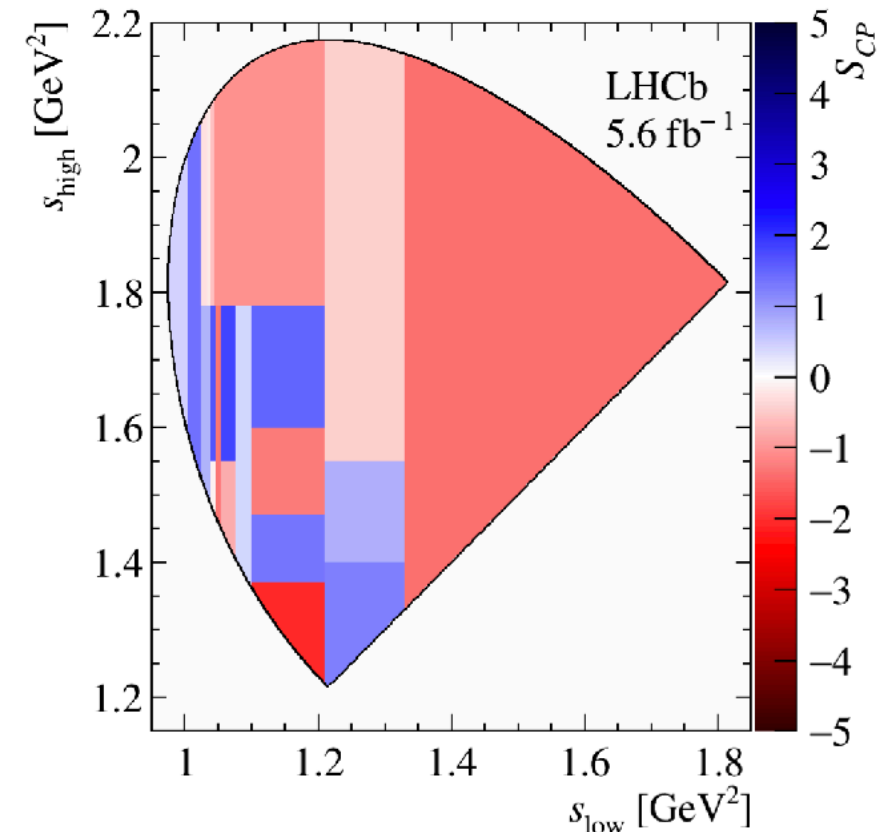
Global asymmetry



- If no CPV the  $S_{CP}^i$  are gaussian distributed with zero mean and width of unity

$$\chi^2 = \sum (S_{CP}^i)^2$$

exclude CP conservation if  $p < 3 \times 10^{-7}$  ( $n_{\text{dof}} = n_{\text{bins}}(21) - 1$ )



- Measured p-value 13% (31.6%) for  $D_s^+$  ( $D^+$ ) decays

No hint for CPV!



# Search for CPV in $D^0 \rightarrow \pi^+ \pi^- \pi^0$

ARXIV:2306.12746

- Run2 (6fb<sup>-1</sup>) data ~2.7M  $D^0 \rightarrow \pi^+ \pi^- \pi^0$  candidates
- **Energy Test** = hypothesis test comparing weighted distance between pairs in phase space

PRD 84 054015 (2011)

$$T = \sum_{i,j>i}^n \frac{\Psi_{ij}}{2n(n-1)} + \sum_{i,j>i}^{\bar{n}} \frac{\Psi_{ij}}{2\bar{n}(\bar{n}-1)} - \sum_{i,j} \frac{\Psi_{ij}}{n\bar{n}}$$

Average distance  
candidates same flavour

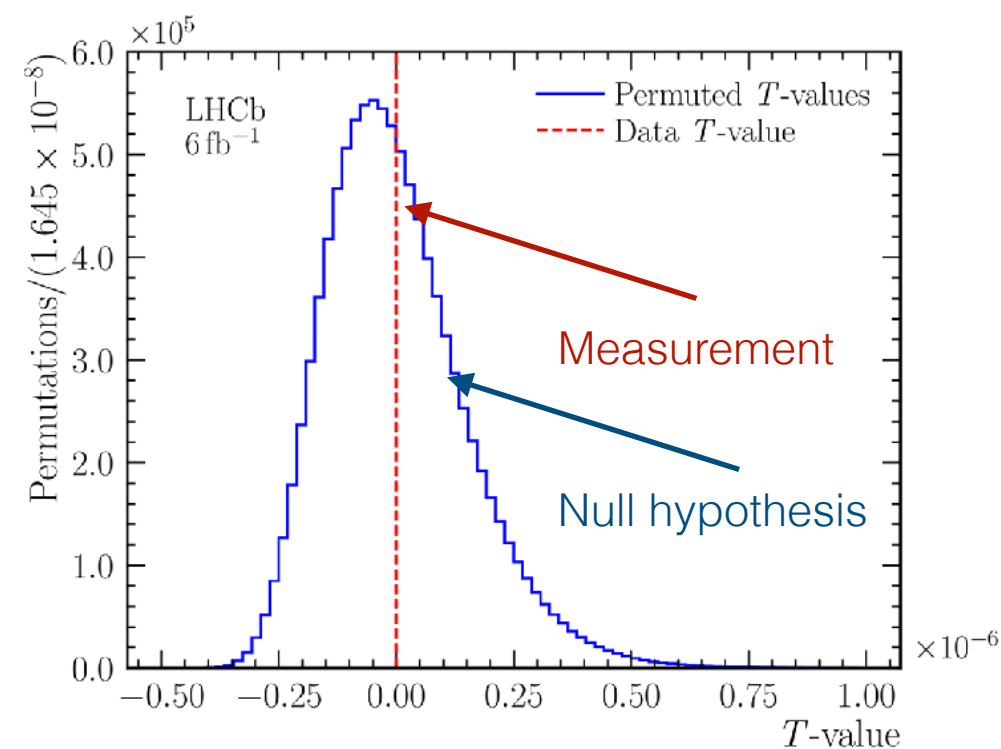
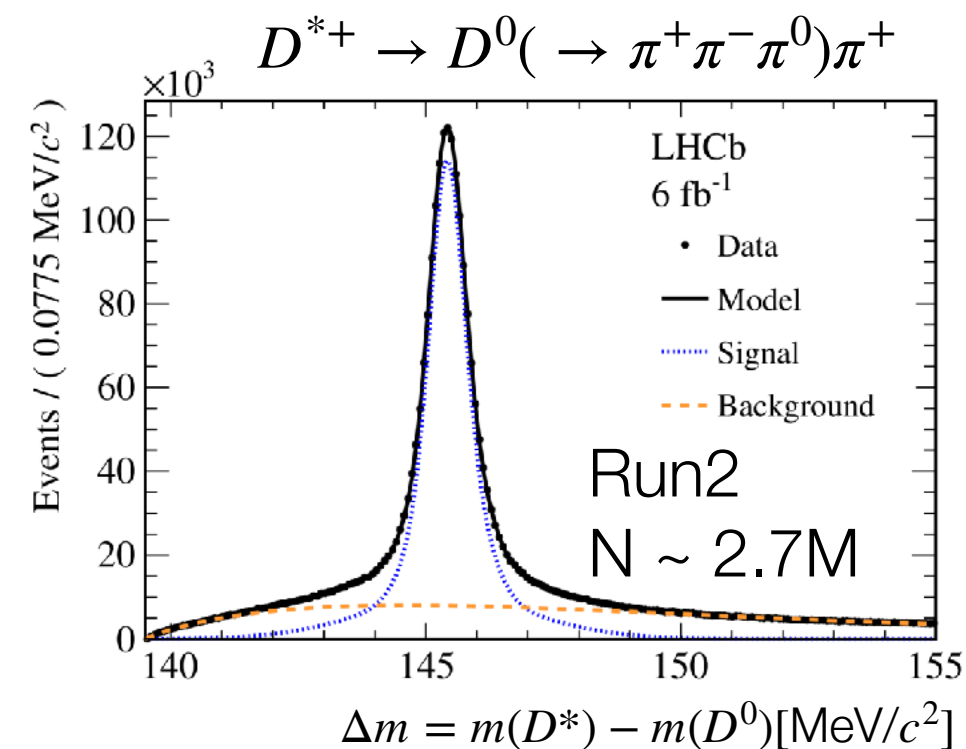
Average distance  
candidates opposite flavour

$$\Psi_{ij} = e^{-d_{ij}^2/2\delta^2}$$

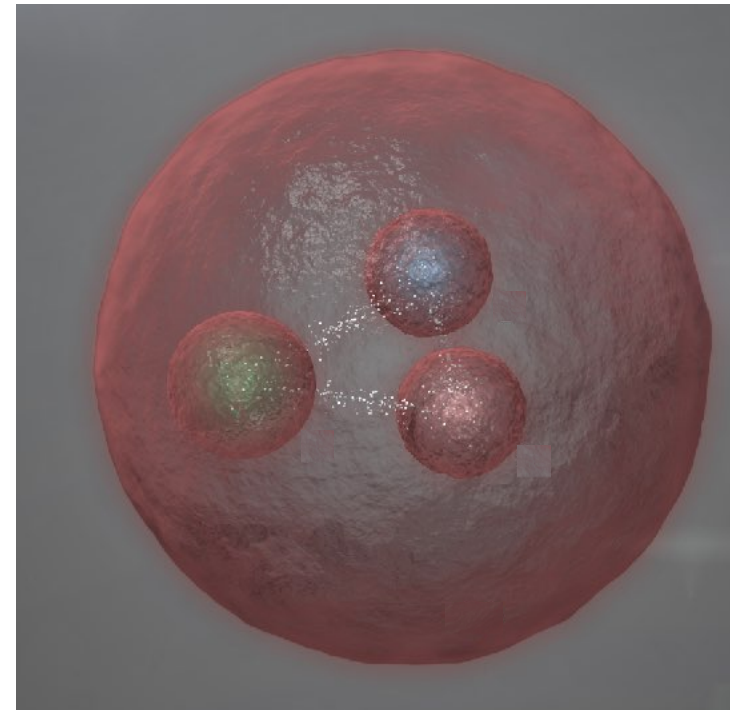
$$d_{ij} = [(\Delta s_{12})_{ij}^2 + (\Delta s_{13})_{ij}^2 + (\Delta s_{23})_{ij}^2]$$

- Null hypothesis from permutations of T-value with randomised tags
- Measured **p-value 62%**

Also here no hint for CPV!  
Still a lot to be understood in the future!



# Production & decay properties



# Observation new $\Omega_c^0$ states

ARXIV:2302.04733

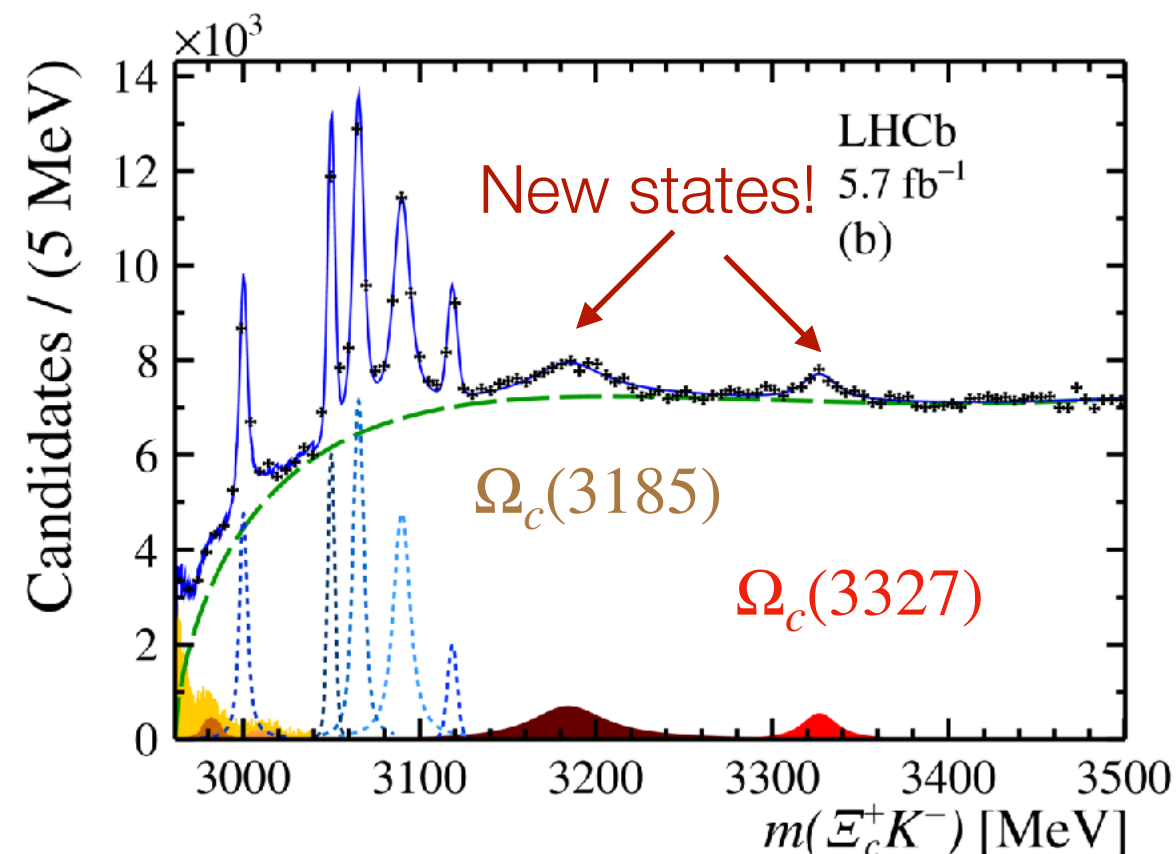
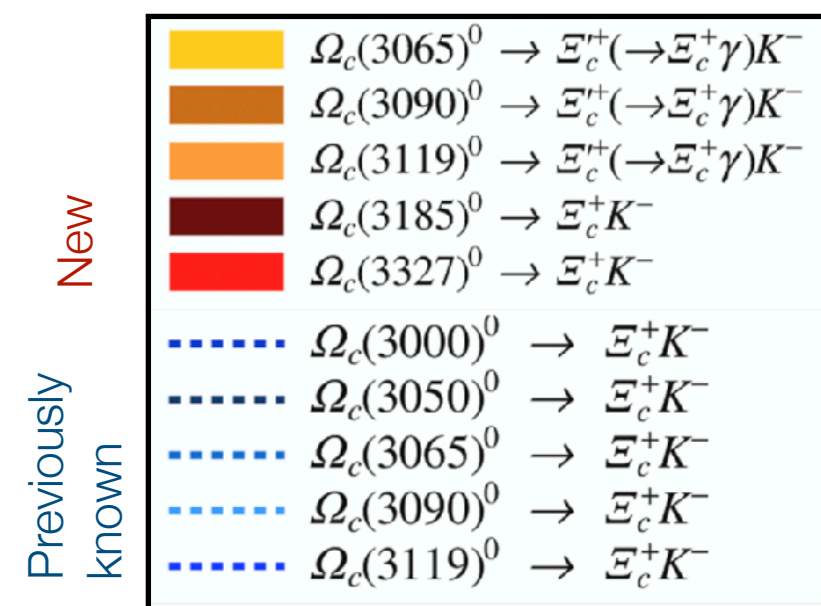
- Studies of production and (decay) properties of charmed hadrons (ground states + excited states) with increasing importance
- Crucial for understanding of QCD, effective models and the nature of bound states in a peculiar energy regime
- Full Run1/2 ( $9\text{fb}^{-1}$ ) update studying the  $\Xi_c^+ K^-$  invariant-mass spectrum

- Seven excited states observed
- Two new states

Resonance	$m$ (MeV)	$\Gamma$ (MeV)
$\Omega_c(3185)^0$	$3185.1 \pm 1.7 \begin{smallmatrix} +7.4 \\ -0.9 \end{smallmatrix} \pm 0.2$	$50 \pm 7 \begin{smallmatrix} +10 \\ -20 \end{smallmatrix}$
$\Omega_c(3327)^0$	$3327.1 \pm 1.2 \begin{smallmatrix} +0.1 \\ -1.3 \end{smallmatrix} \pm 0.2$	$20 \pm 5 \begin{smallmatrix} +13 \\ -1 \end{smallmatrix}$

More: Talk by Liming Zhang

QN yet to be determined!





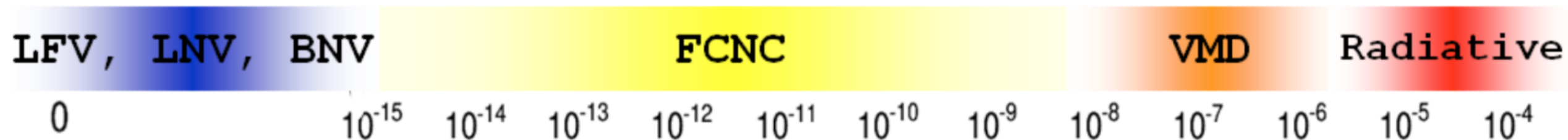
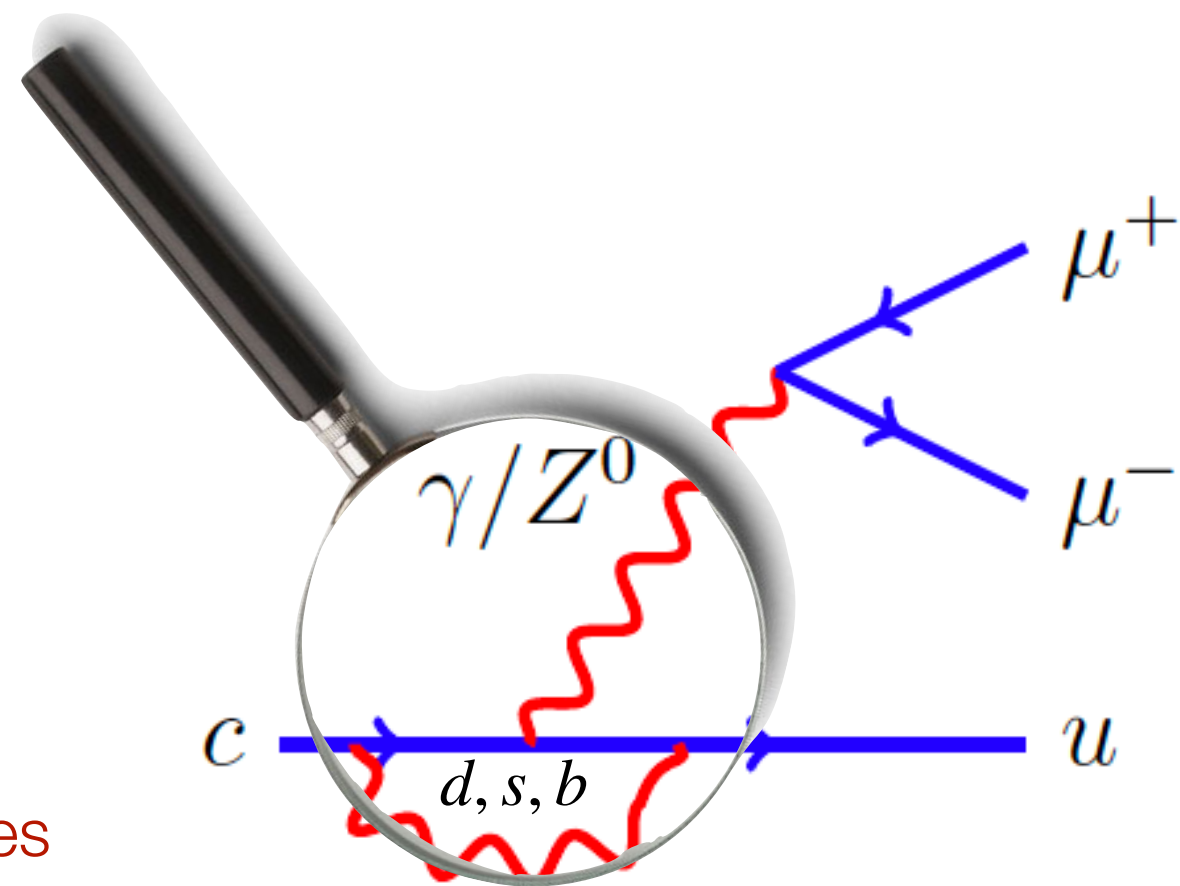
# Rare Charm decays



More: Mod.Phys.Lett.A 36 (2021) 04, 2130002

# Rare charm decays

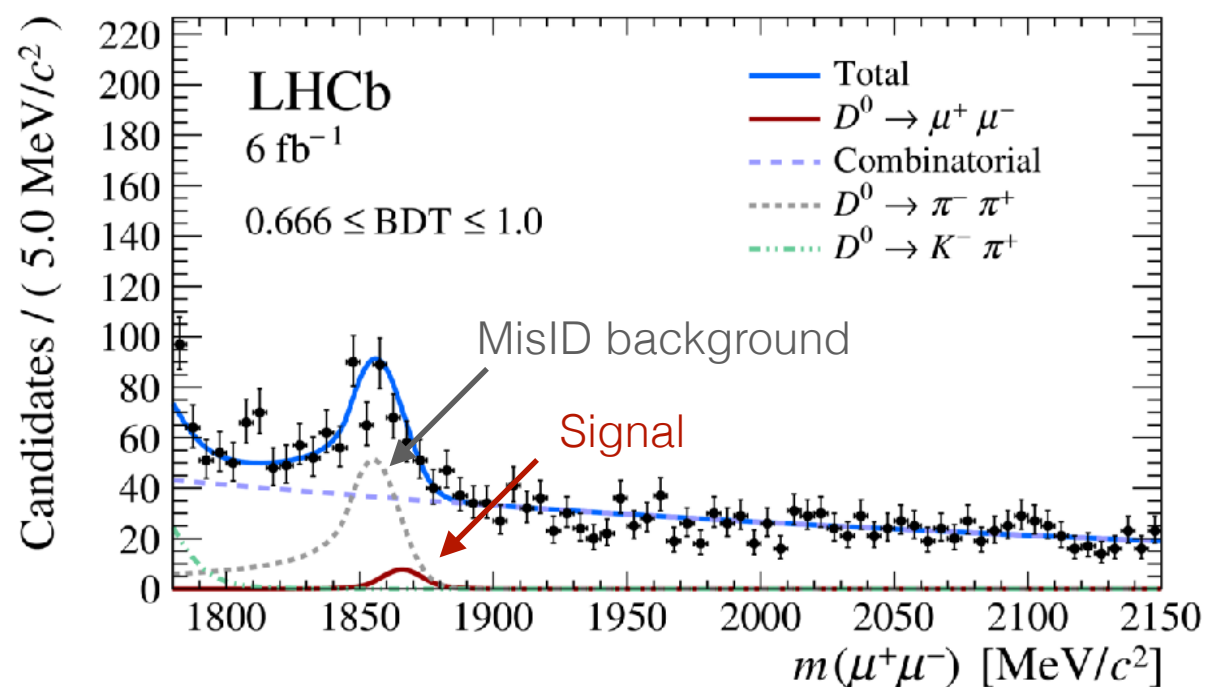
- Mainly investigation of processes involving FCNC  $c \rightarrow u\ell\ell$  transitions  
More: Talk by Hector Gisbert
- Covering a very **large variety of analyses**
  - BF measurements
  - Angular+CP asymmetries PRL 128 (2022) 221801
  - **Searches for forbidden/extremely rare modes**



# Search for $D^0 \rightarrow \mu^+ \mu^-$

ARXIV: 2212.11203

- CKM and helicity suppressed  $\rightarrow$  SM BF extremely low dominated by  $2\gamma$  intermediate state  $\leq O(10^{-13})$
- NP scenarios  $BF_{NP} \approx BF_{EXP}$
- Full Run1/2 ( $9\text{fb}^{-1}$ ) update

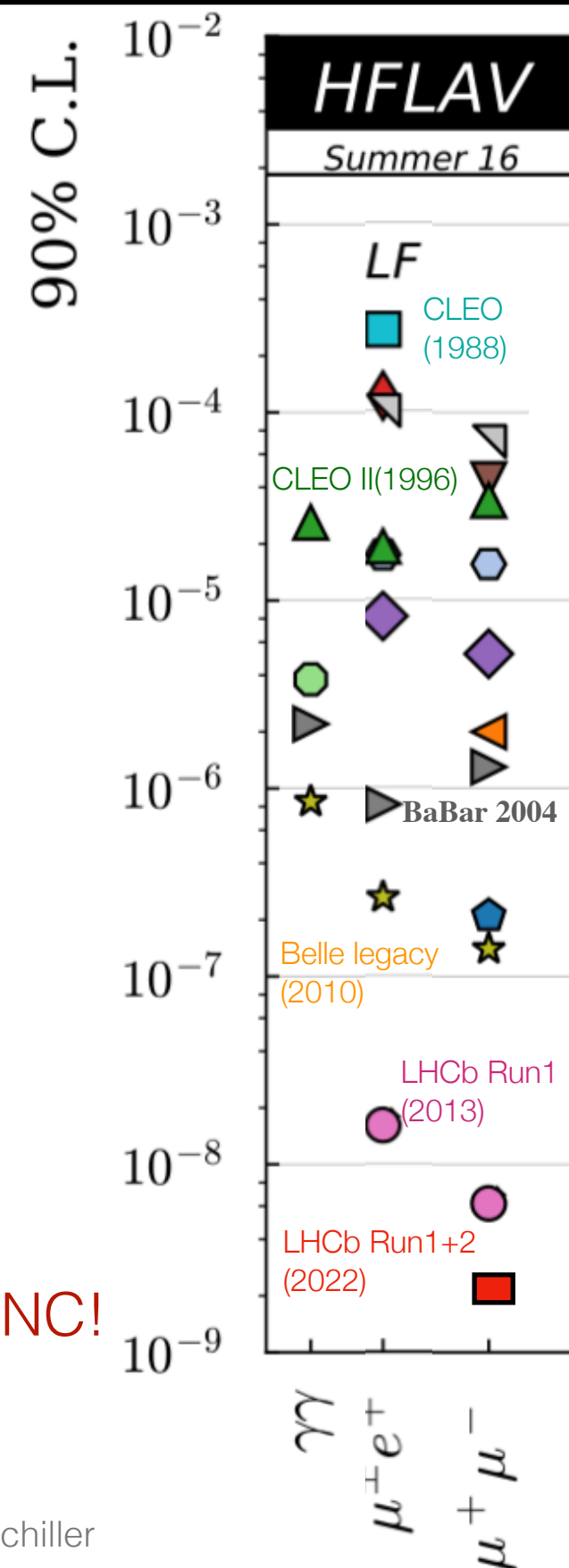


$$B(D^0 \rightarrow \mu^+ \mu^-) < 2.94 \times 10^{-9} @ 90\% \text{ CL}$$

(LHCb 9/fb Run1+2)

Most stringent  
limit on charm FCNC!

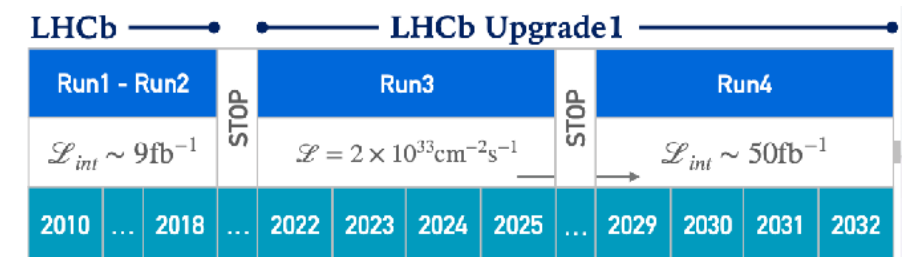
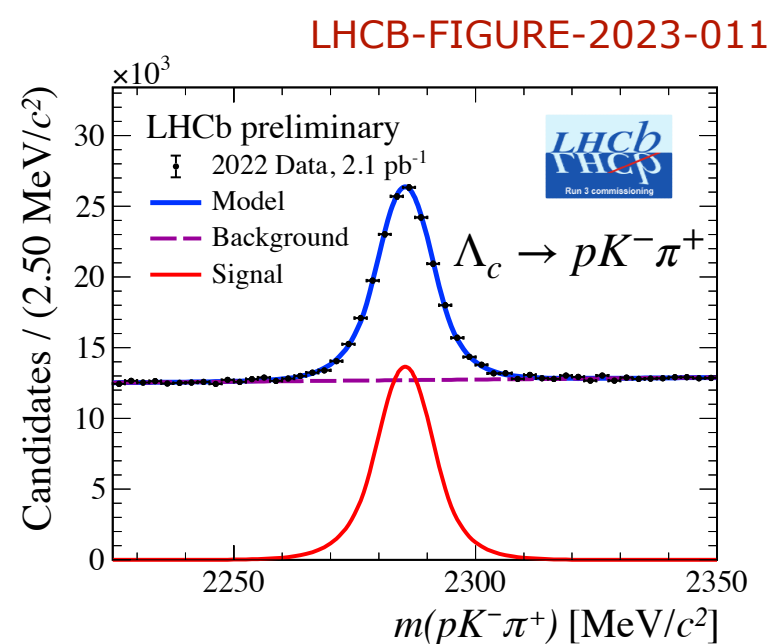
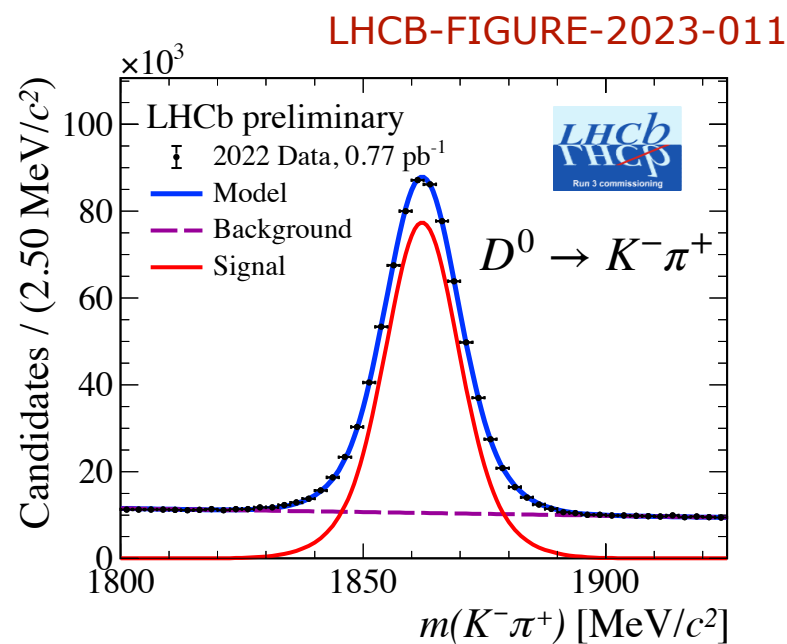
- Furthermore:  $B(D^{0*}(2007) \rightarrow \mu^+ \mu^-) < 2.6 \times 10^{-8} @ 90\% \text{ CL}$





# Future prospects

- We are working on fully exploiting the total Run1/2 data set (9/fb)
  - More to come for **mixing and CPV**, **production and decay properties** & **rare decays!**
- **Commissioning and Run3 data taking** with the upgraded detector ongoing!

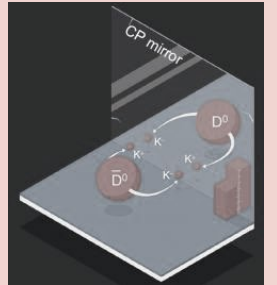


See Federica Oliva's and Alessandro Minotti's talks on Friday!

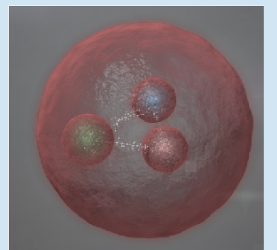
- **Upgrade II** is in preparation, eventually plan to collect up to 300fb<sup>-1</sup> by ~2038
- Projections for specific modes can be found in [CERN-PUB-LHCC-2018-027](#)

# Summary

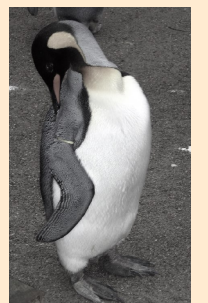
- Post-mixing & post-CPV-observation phase has started!
  - First evidence for CPV in a single decay channel
  - CPV results highly debated! See talks by Maria Laura Piscopo, Tim Höhne
  - No hints for CPV in multibody  $D^0$ ,  $D^+$ ,  $D_s^+$  decays so far



- Studies of charmed hadrons integral part of our physics program
  - At LHCb > 40 new states with charm quark content, 2 shown today
  - Results receive a lot of attention
  - Much more: Amplitude analyses, searches for doubly charmed baryons,...



- Promising and rather unexplored field!
  - Limits on BF of rare decays significantly pushed down
  - More results are soon to come with full Run2 data set, including CPV in rare/radiative decays and angular distributions

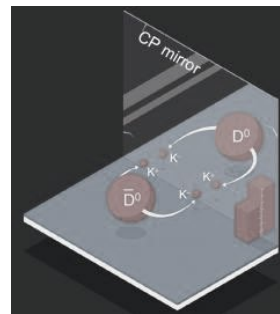
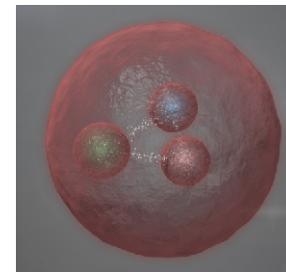
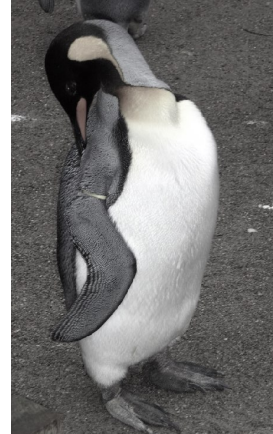




**Thank you!**



# Supplemental





# Time-Integrated CP Asymmetries in $D^0 \rightarrow K^+K^-$

ARXIV:2209.03179

- methods to cancel detector asymmetries

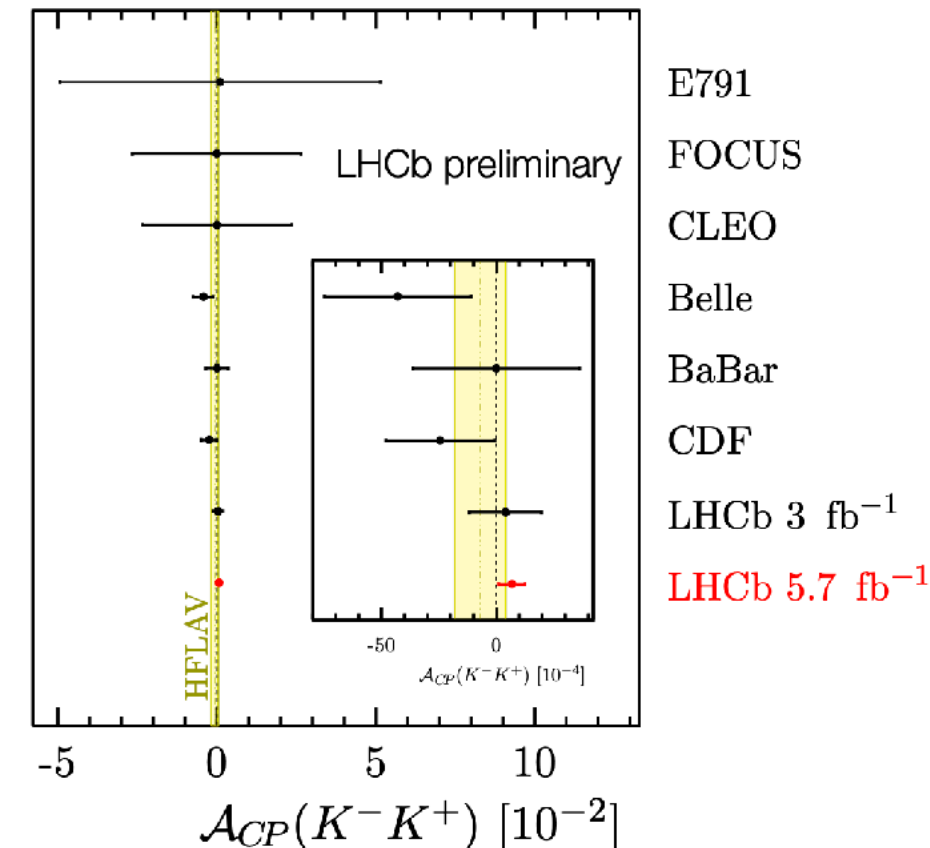
$$\mathbf{C}_{D^+}: \quad A_{CP}(D^0 \rightarrow K^-K^+) = +A(D^{*+} \rightarrow (D^0 \rightarrow K^-K^+) \pi_{soft}^+) - A(D^{*+} \rightarrow (D^0 \rightarrow K^- \pi^+) \pi_{soft}^+) \\ + A(D^+ \rightarrow K^- \pi^+ \pi^+) - [A(D^+ \rightarrow \bar{K}^0 \pi^+) - A(\bar{K}^0)]$$

$$\mathbf{C}_{D_s^+}: \quad A_{CP}(D^0 \rightarrow K^-K^+) = +A(D^{*+} \rightarrow (D^0 \rightarrow K^-K^+) \pi_{soft}^+) - A(D^{*+} \rightarrow (D^0 \rightarrow K^- \pi^+) \pi_{soft}^+) \\ + A(D_s^+ \rightarrow \phi \pi^+) - [A(D_s^+ \rightarrow \bar{K}^0 K^+) - A(\bar{K}^0)]$$

- Computation  $A_{CP}(\pi\pi)$

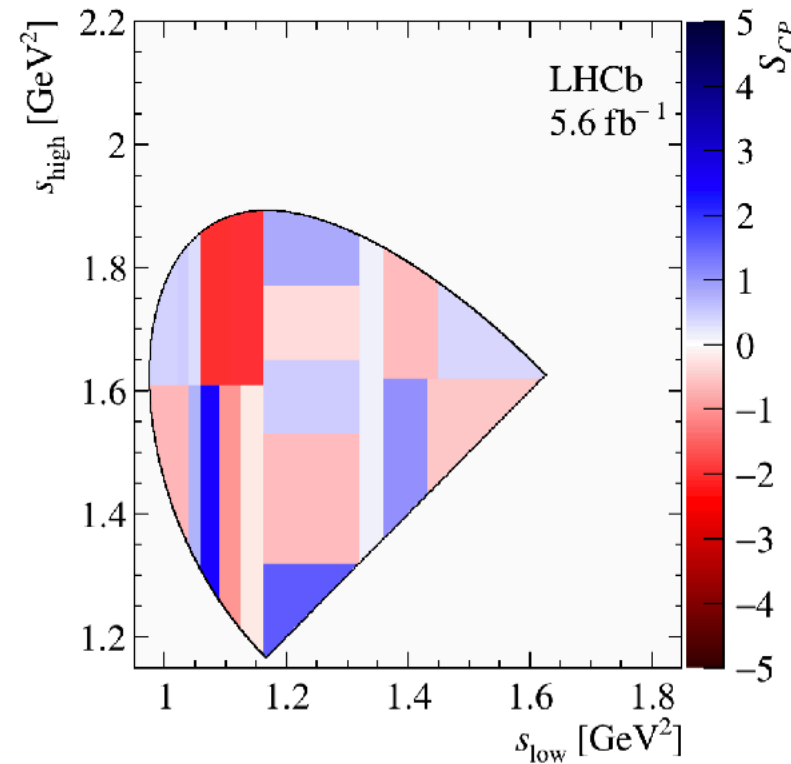
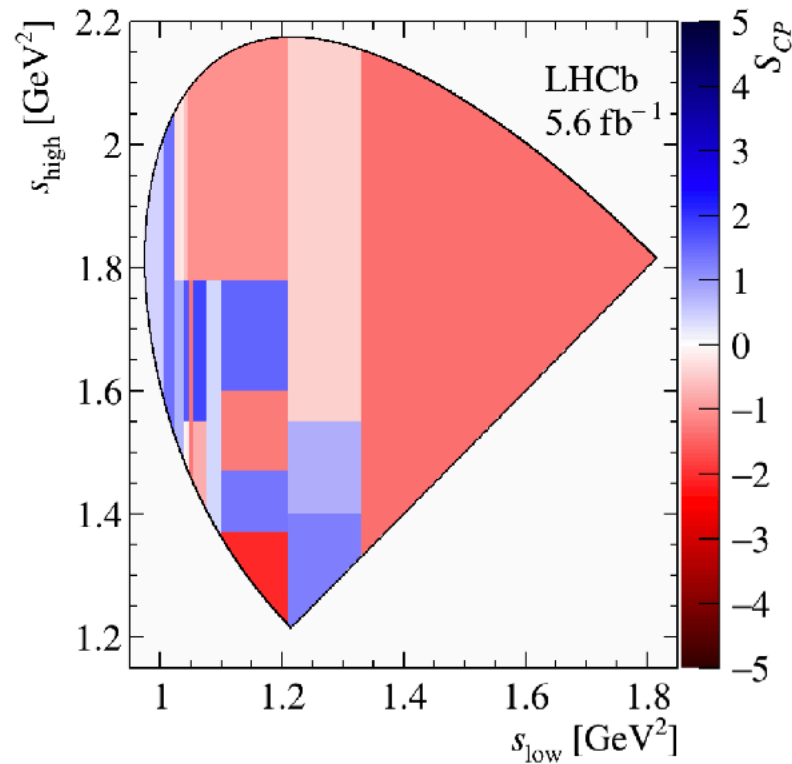
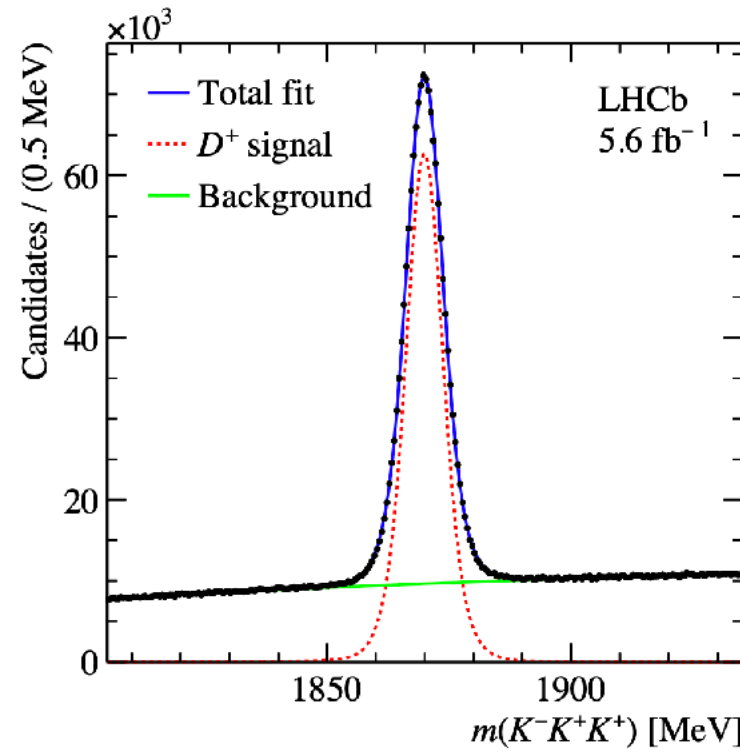
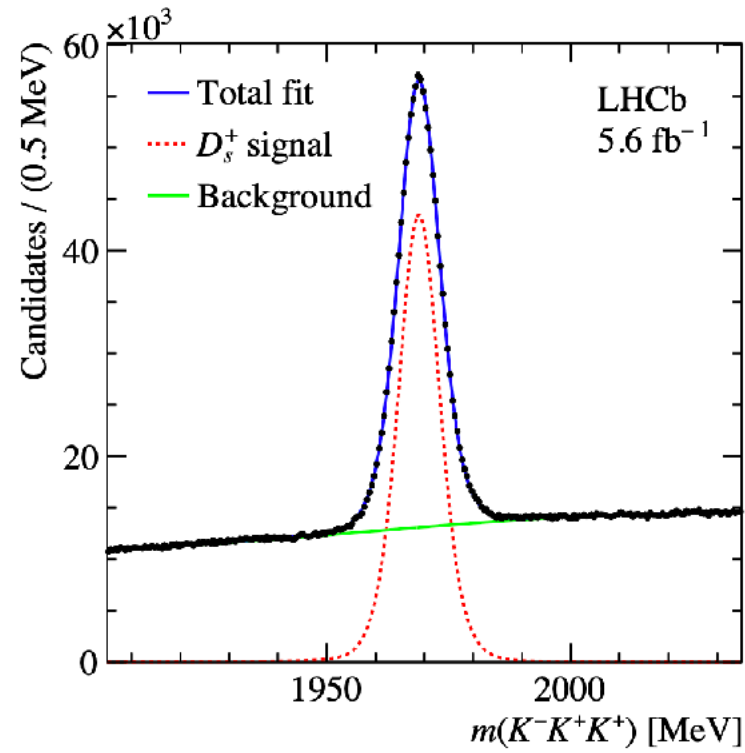
$$A_{CP}(K^-K^+) = a_{KK}^d + \frac{\langle t \rangle_{KK}}{\tau_{D^0}} \Delta Y$$

$$\Delta A_{CP} = a_{KK}^d - a_{\pi\pi}^d + \frac{\langle t \rangle_{KK} - \langle t \rangle_{\pi\pi}}{\tau_{D^0}} \Delta Y$$



# Search for CPV in $D_{(s)}^+ \rightarrow K^+ K^- K^+$ 9

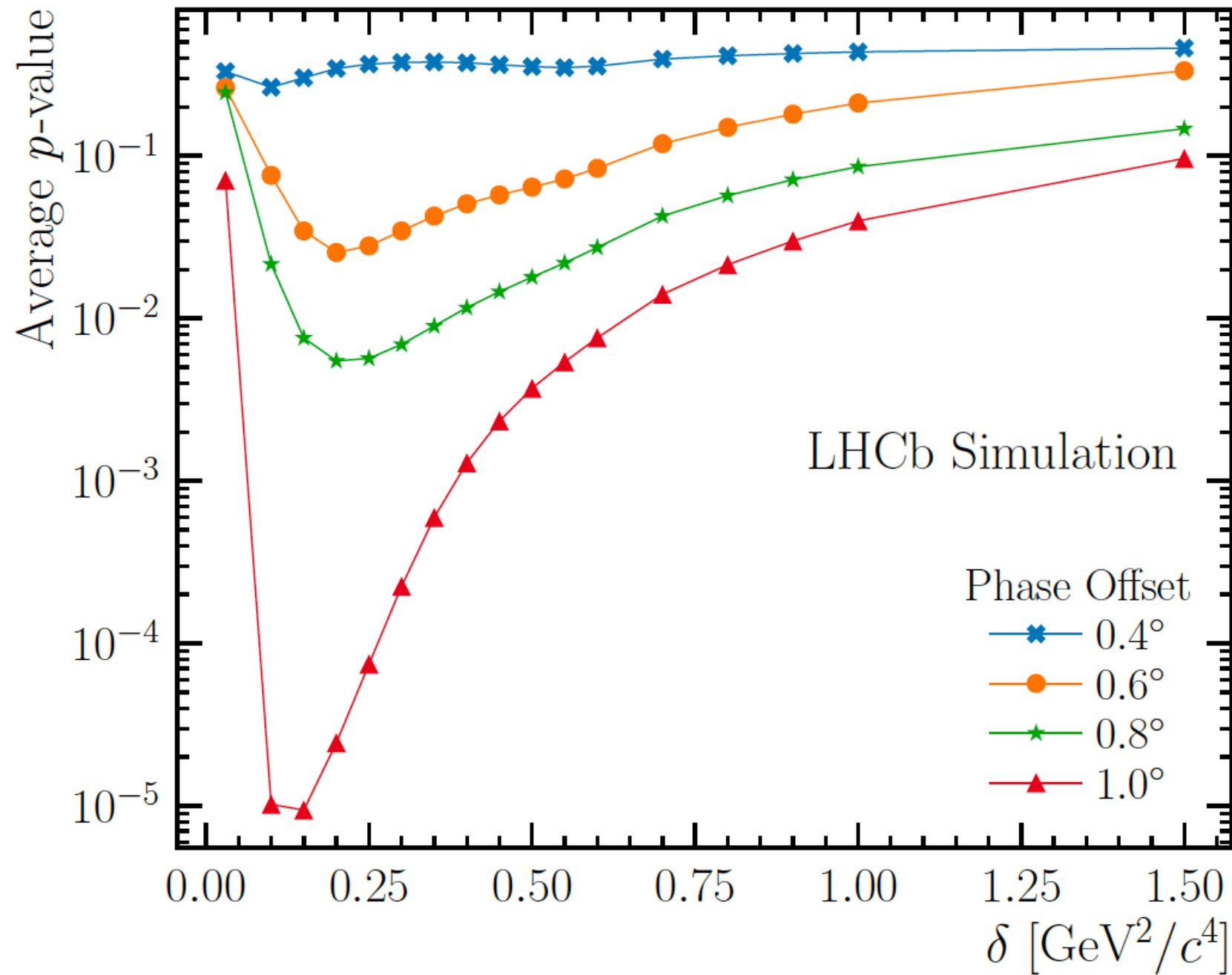
ARXIV:2303.04062



	$D_s^+ \rightarrow K^- K^+ K^+$	$D^+ \rightarrow K^- K^+ K^+$
$\sum_i N^i(D_{(s)}^+)$	$(487.8 \pm 1.1) \times 10^3$	$(638.8 \pm 1.1) \times 10^3$
$\sum_i N^i(D_{(s)}^-)$	$(484.4 \pm 1.1) \times 10^3$	$(631.4 \pm 1.0) \times 10^3$
$\alpha$	$1.007 \pm 0.003$	$1.012 \pm 0.002$

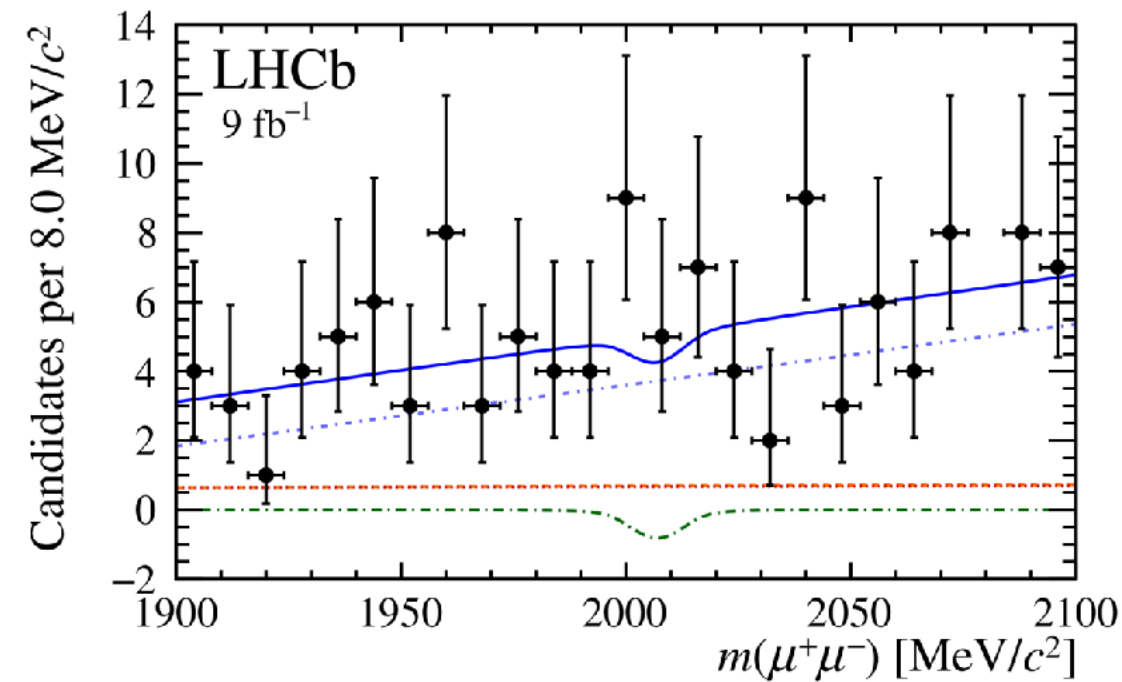
# Search for CPV in $D^0 \rightarrow \pi^+ \pi^- \pi^0$

ARXIV:2306.12746

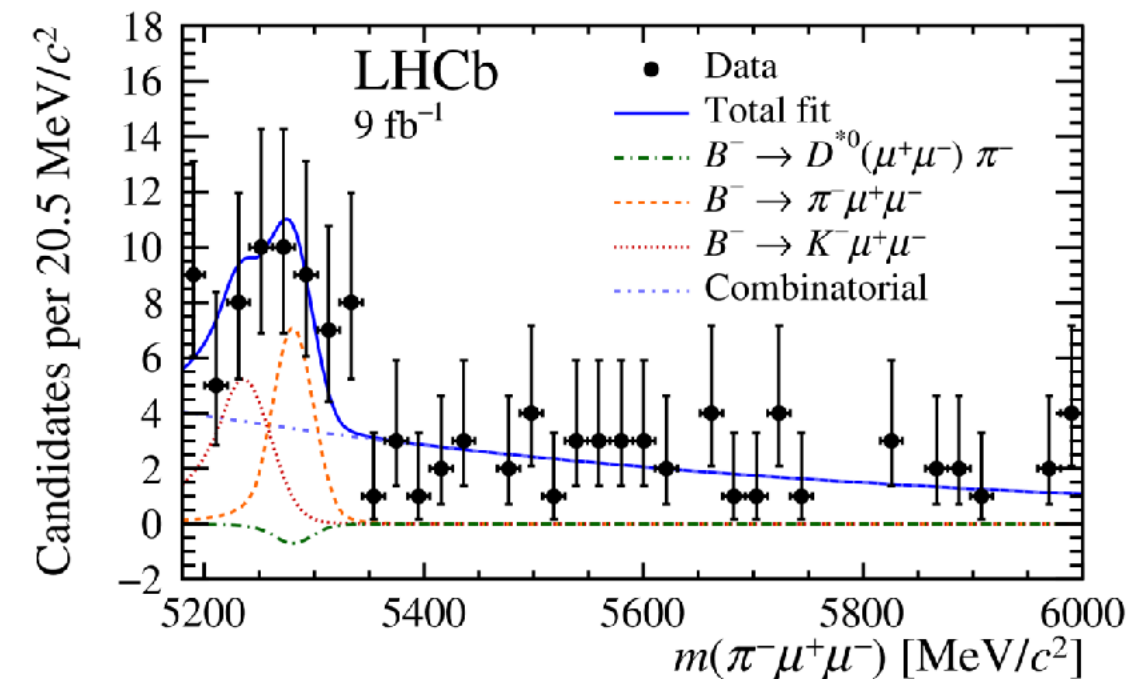


# Search for $D^{0*}(2007) \rightarrow \mu^+ \mu^-$

ARXIV: 2304.019821



- First limit  $B(D^{0*}(2007) \rightarrow \mu^+ \mu^-)$  using 9/fb Run1/2
- No chiral suppression, but same contributing operators in EFT
- Complementary approach to constrain NP couplings



- First search for rare charm exploding production via b decay  $B^- \rightarrow D^{*0}(\rightarrow \mu^+ \mu^-) \pi^-$

$$B(D^{0*}(2007) \rightarrow \mu^+ \mu^-) < 2.6 \times 10^{-8} @ 90 \% \text{ CL}$$

(LHCb 9/fb Run1+2)

Most stringent limit of leptonic decay of  $D^{*0}$  meson!



# Prospects selected measurements (I)

CERN-PUB-LHCC-2018-027

Table 6.1: Extrapolated signal yields, and statistical precision on the mixing and  $CP$ -violation parameters, from the analysis of promptly produced WS  $D^{*+} \rightarrow D^0(\rightarrow K^+\pi^-)\pi^+$  decays. Signal yields of promptly produced RS  $D^{*+} \rightarrow D^0(\rightarrow K^-\pi^+)\pi^+$  decays are typically 250 times larger.

Sample ( $\mathcal{L}$ )	Yield ( $\times 10^6$ )	$\sigma(x_{K\pi}^{\prime 2})$	$\sigma(y'_{K\pi})$	$\sigma(A_D)$	$\sigma( q/p )$	$\sigma(\phi)$
Run 1–2 ( $9 \text{ fb}^{-1}$ )	1.8	$1.5 \times 10^{-5}$	$2.9 \times 10^{-4}$	0.51%	0.12	$10^\circ$
Run 1–3 ( $23 \text{ fb}^{-1}$ )	10	$6.4 \times 10^{-6}$	$1.2 \times 10^{-4}$	0.22%	0.05	$4^\circ$
Run 1–4 ( $50 \text{ fb}^{-1}$ )	25	$3.9 \times 10^{-6}$	$7.6 \times 10^{-5}$	0.14%	0.03	$3^\circ$
Run 1–5 ( $300 \text{ fb}^{-1}$ )	170	$1.5 \times 10^{-6}$	$2.9 \times 10^{-5}$	0.05%	0.01	$1^\circ$

Table 6.3: Extrapolated signal yields, and statistical precision on the mixing and  $CP$  violation parameters, for the analysis of the decay  $D^0 \rightarrow K_S^0 \pi^+ \pi^-$ . Candidates tagged by semileptonic  $B$  decay (SL) and those from prompt charm meson production are shown separately.

Sample (lumi $\mathcal{L}$ )	Tag	Yield	$\sigma(x)$	$\sigma(y)$	$\sigma( q/p )$	$\sigma(\phi)$
Run 1–2 ( $9 \text{ fb}^{-1}$ )	SL	10M	0.07%	0.05%	0.07	$4.6^\circ$
	Prompt	36M	0.05%	0.05%	0.04	$1.8^\circ$
Run 1–3 ( $23 \text{ fb}^{-1}$ )	SL	33M	0.036%	0.030%	0.036	$2.5^\circ$
	Prompt	200M	0.020%	0.020%	0.017	$0.77^\circ$
Run 1–4 ( $50 \text{ fb}^{-1}$ )	SL	78M	0.024%	0.019%	0.024	$1.7^\circ$
	Prompt	520M	0.012%	0.013%	0.011	$0.48^\circ$
Run 1–5 ( $300 \text{ fb}^{-1}$ )	SL	490M	0.009%	0.008%	0.009	$0.69^\circ$
	Prompt	3500M	0.005%	0.005%	0.004	$0.18^\circ$

# Prospects selected measurements (II)

CERN-PUB-LHCC-2018-027

Table 6.5: Extrapolated signal yields and statistical precision on direct  $CP$  violation observables for the promptly produced samples.

Sample ( $\mathcal{L}$ )	Tag	Yield	Yield	$\sigma(\Delta A_{CP})$	$\sigma(A_{CP}(hh))$
		$D^0 \rightarrow K^- K^+$	$D^0 \rightarrow \pi^- \pi^+$	[%]	[%]
Run 1–2 ( $9 \text{ fb}^{-1}$ )	Prompt	52M	17M	0.03	0.07
Run 1–3 ( $23 \text{ fb}^{-1}$ )	Prompt	280M	94M	0.013	0.03
Run 1–4 ( $50 \text{ fb}^{-1}$ )	Prompt	1G	305M	0.007	0.015
Run 1–5 ( $300 \text{ fb}^{-1}$ )	Prompt	4.9G	1.6G	0.003	0.007

Table 6.4: Extrapolated signal yields, and statistical precision on indirect  $CP$  violation from  $A_\Gamma$ .

Sample ( $\mathcal{L}$ )	Tag	Yield $K^+ K^-$	$\sigma(A_\Gamma)$	Yield $\pi^+ \pi^-$	$\sigma(A_\Gamma)$
Run 1–2 ( $9 \text{ fb}^{-1}$ )	Prompt	60M	0.013%	18M	0.024%
Run 1–3 ( $23 \text{ fb}^{-1}$ )	Prompt	310M	0.0056%	92M	0.0104 %
Run 1–4 ( $50 \text{ fb}^{-1}$ )	Prompt	793M	0.0035%	236M	0.0065 %
Run 1–5 ( $300 \text{ fb}^{-1}$ )	Prompt	5.3G	0.0014%	1.6G	0.0025 %

# $D^0 \rightarrow h^+h^-\mu^+\mu^-$ decays at LHCb

- rarest charm meson decays observed, dominated by resonant contributions

$$\mathcal{B}(D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-) \sim 9.6 \times 10^{-7}$$
$$\mathcal{B}(D^0 \rightarrow K^+K^-\mu^+\mu^-) \sim 1.5 \times 10^{-7}$$

PRL 119 (2017) 181805

- measurement selected angular and CP asymmetries with 5/fb consistent with SM

PRL 121 (2018) 091801

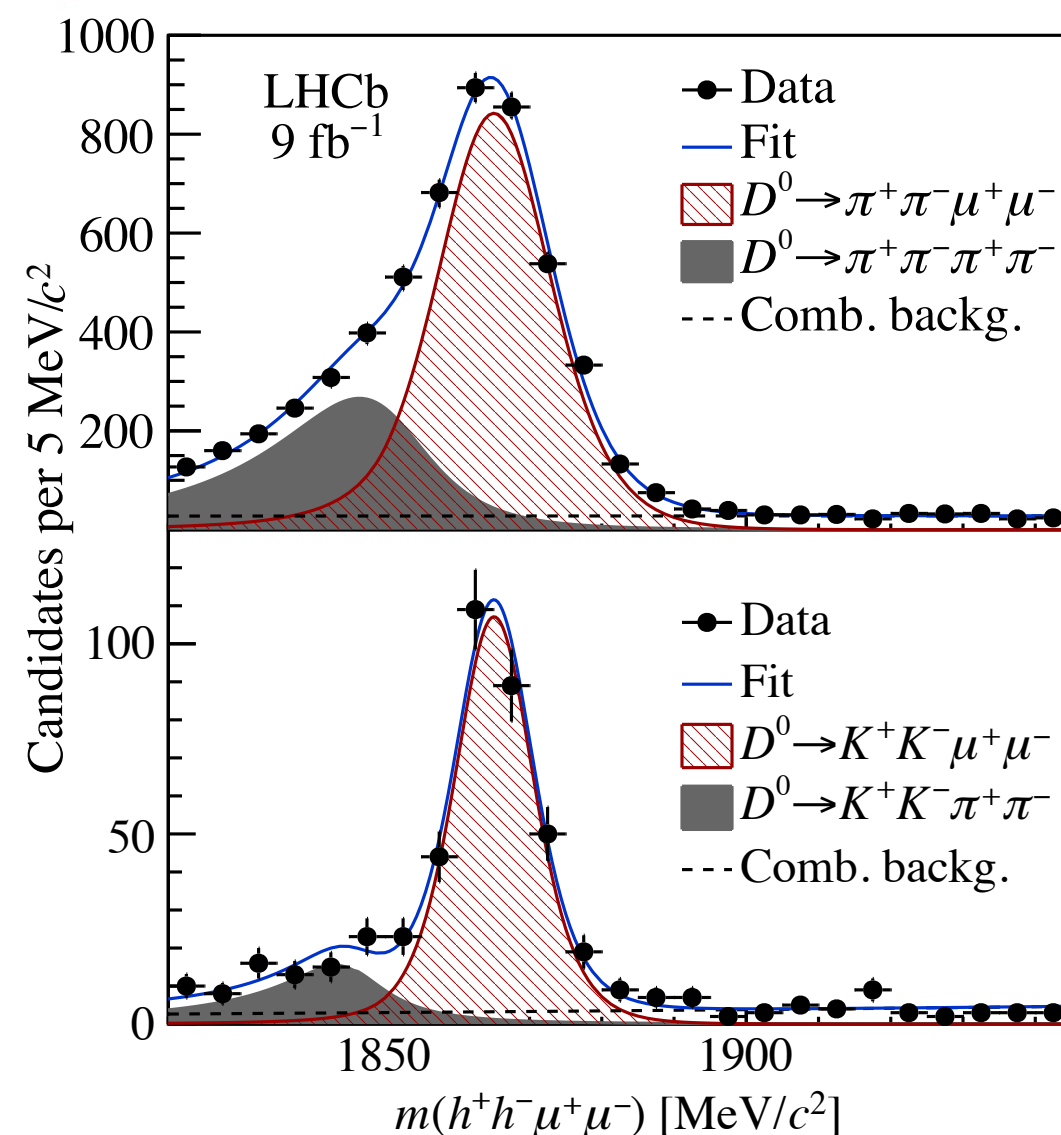
- TODAY: First full angular analysis

with 9/fb from 2011-2018 LHCb-PAPER-2021-035

- select  $D^0$  from flavour sepecific  $D^{*+} \rightarrow D^0\pi^+$  decays

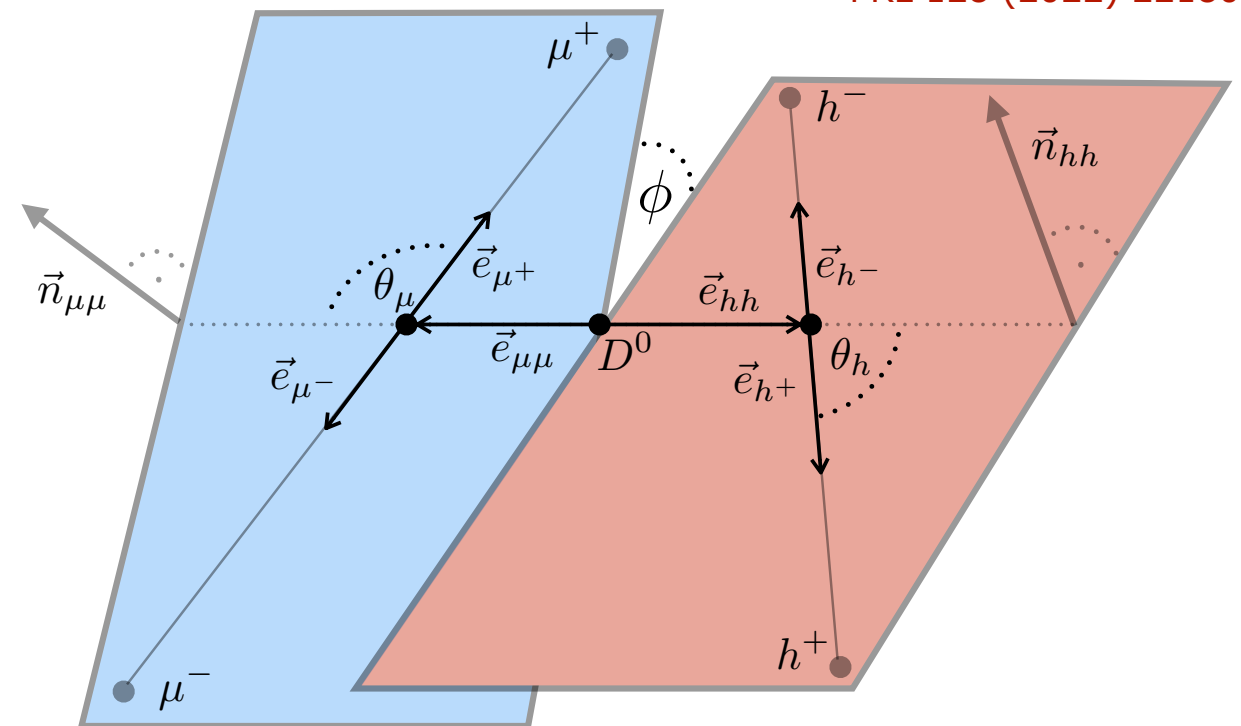
$$N(D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-) \sim 3500$$

$$N(D^0 \rightarrow K^+K^-\mu^+\mu^-) \sim 300$$



$$\frac{d\Gamma}{d\cos\theta_\mu d\cos\theta_h d\phi} = I_1 + I_2 \cdot \cos 2\theta_\mu + I_3 \cdot \sin^2 2\theta_\mu \cos 2\phi + I_4 \cdot \sin 2\theta_\mu \cos \phi + I_5 \cdot \sin \theta_\mu \cos \phi + I_6 \cdot \cos \theta_\mu + I_7 \cdot \sin \theta_\mu \sin \phi + I_8 \cdot \sin 2\theta_\mu \sin \phi + I_9 \cdot \sin^2 \theta_\mu \sin 2\phi$$

*I<sub>5</sub>, I<sub>6</sub>, I<sub>7</sub> clean null tests!*



$$p^2 = m^2(h^+h^-)$$

$$q^2 = m^2(\mu^+\mu^-)$$

- measure  $p^2, \cos \theta_h$  integrated\* observables  $\langle I_i \rangle$  separate for  $D^0$  and  $\overline{D^0}$

$$\langle I_{2,3,6,9} \rangle(q^2) = \frac{1}{\Gamma} \int_{4m_h}^{p_{max}^2} dp^2 \int_{-1}^1 d\cos\theta_h I_{2,3,6,9}$$

$$\langle I_{4,5,7,8} \rangle(q^2) = \frac{1}{\Gamma} \int_{4m_h}^{p_{max}^2} dp^2 \left[ \int_{-1}^0 d\cos\theta_h - \int_0^1 d\cos\theta_h \right] I_{4,5,7,8}$$

\*optimal for p-Wave in hadron system



# Measured observables and binning

- report flavour average  $\langle S_i \rangle$  and CP asymmetries  $\langle A_i \rangle$

$$\langle S_i \rangle = \frac{1}{2} [\langle I_i \rangle + (-) \langle \bar{I}_i \rangle] \quad \langle S_{5,6,7} \rangle^{SM} = 0$$

$$\langle A_i \rangle = \frac{1}{2} [\langle I_i \rangle - (+) \langle \bar{I}_i \rangle] \quad \langle A_i \rangle^{SM} = 0$$

i=2,...,9

for CP even (CP odd) coefficients

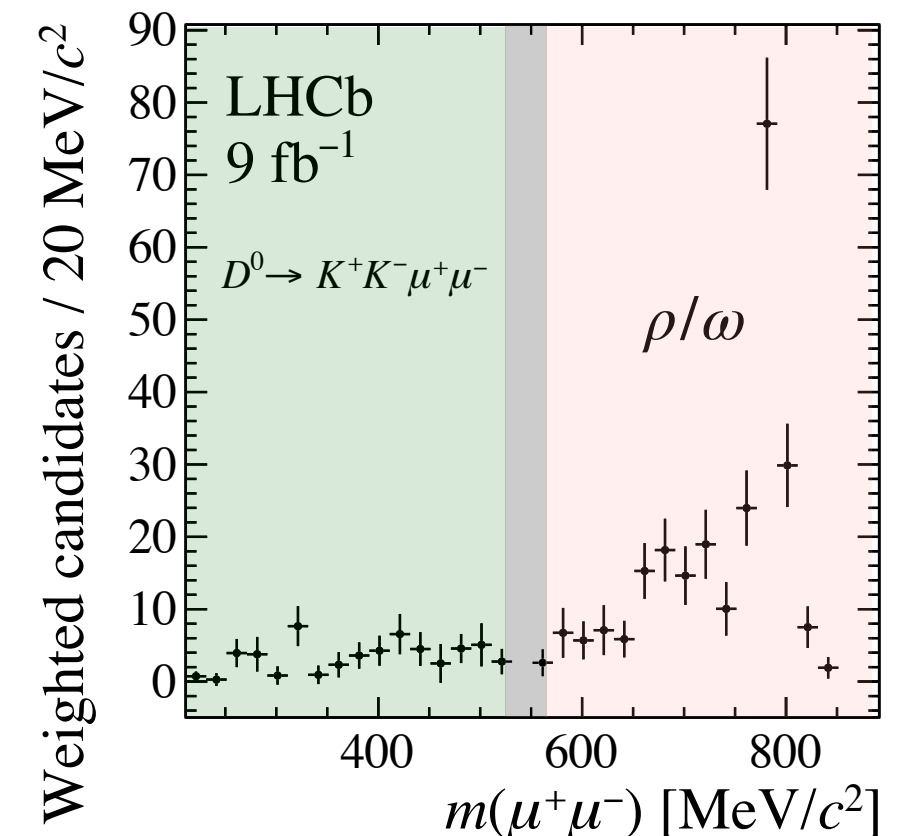
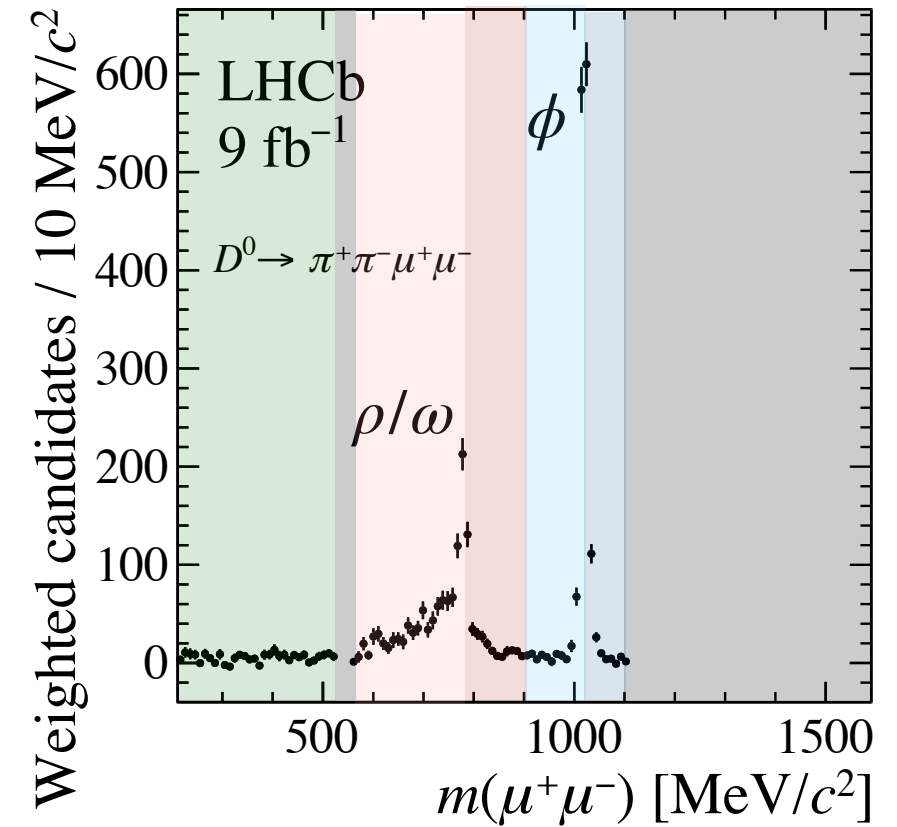
- updated measurement of  $A_{CP}$

$$A_{CP} = \frac{\Gamma(D^0 \rightarrow h^+ h^- \mu^+ \mu^-) - \Gamma(\bar{D}^0 \rightarrow h^+ h^- \mu^+ \mu^-)}{\Gamma(D^0 \rightarrow h^+ h^- \mu^+ \mu^-) + \Gamma(\bar{D}^0 \rightarrow h^+ h^- \mu^+ \mu^-)}$$

- 17 obs./channel [12 SM null-tests] in  $m(\mu^+ \mu^-)$  regions [“resonance enhanced NP effects”]

Decay mode	$m(\mu^+ \mu^-)$ [MeV/c <sup>2</sup> ]					
	low mass	$\eta$	$\rho/\omega$	$\phi$	high mass	
$D^0 \rightarrow K^+ K^- \mu^+ \mu^-$	< 525	NS	> 565	NA	NA	
$D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-$	< 525	NS	565-780	780-950	950-1020	1020-1100
						NS

[NA = not available NS = no signal]



# Experimental strategy

- measure angular observables via yield asymmetries, eg:

$$\langle I_6 \rangle = \frac{1}{\Gamma} \left[ \int_0^1 d \cos \theta_\mu - \int_{-1}^0 d \cos \theta_\mu \right] \frac{d\Gamma}{d \cos \theta_\mu}$$

$$\langle I_6 \rangle = \frac{N(\cos \theta_\mu > 0) - N(\cos \theta_\mu < 0)}{N(\cos \theta_\mu > 0) + N(\cos \theta_\mu < 0)} \quad [\text{see LHCb-PAPER-2021-035 for others}]$$

- correct for acceptance effects across the 5D phase space

- correct  $A_{CP}$  for nuisance asymmetries

$$A_{CP}^{raw}(f) = \frac{N(D^{*+} \rightarrow D^0(\rightarrow f)\pi^+) - N(D^{*-} \rightarrow \bar{D}^0(\rightarrow f)\pi^-)}{N(D^{*+} \rightarrow D^0(\rightarrow f)\pi^+) + N(D^{*-} \rightarrow \bar{D}^0(\rightarrow f)\pi^-)} \approx A_{CP} + A_d(\pi^\pm) + A_p(D^{*\pm})$$

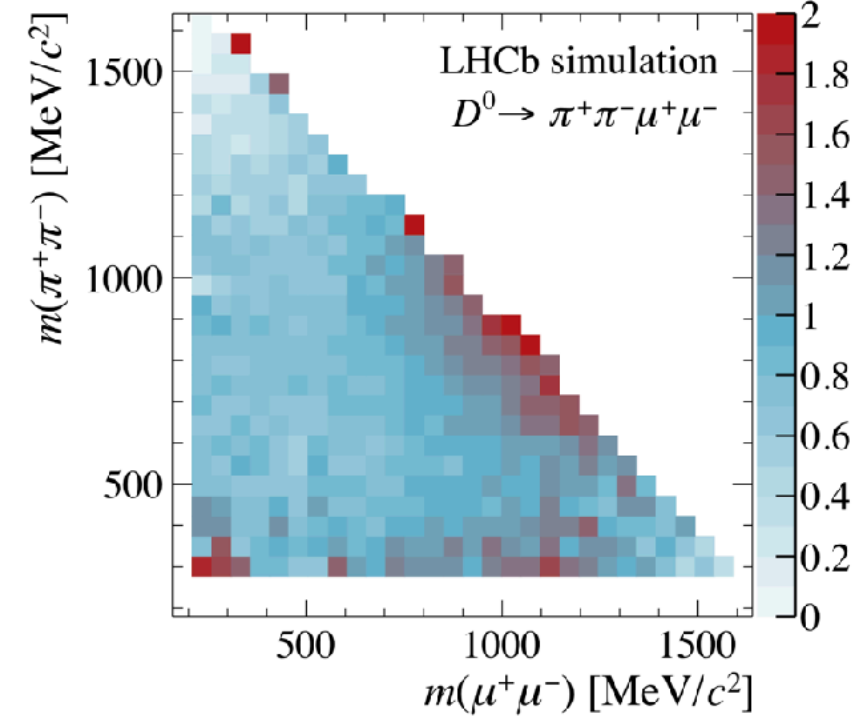
- evaluate systematic uncertainties

[use  $D^{*+} \rightarrow D^0(\rightarrow K^+K^-)\pi^+$  decays]

typically  $\frac{\sigma_{sys}}{\sigma_{stat}} \sim (10 - 50) \%$

limited by statistics!

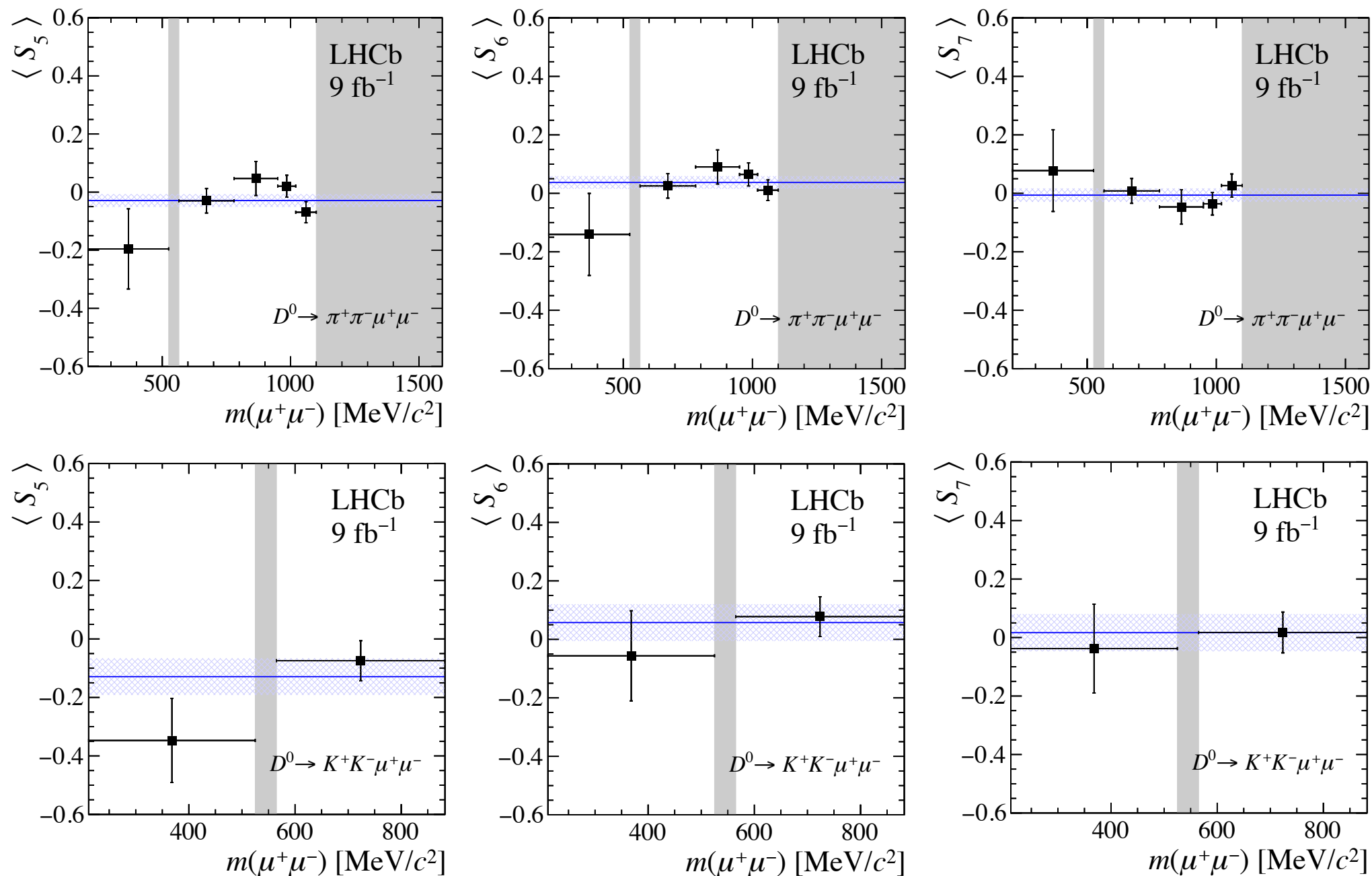
PRL 128 (2022) 221801



PRL 121 (2018) 091801

# Flavour-averaged observables $\langle S_i \rangle$

- Shown examples: SM null tests  $\langle S_{5,6,7} \rangle$  [ $\langle S_6 \rangle \sim A_{FB}$ ]

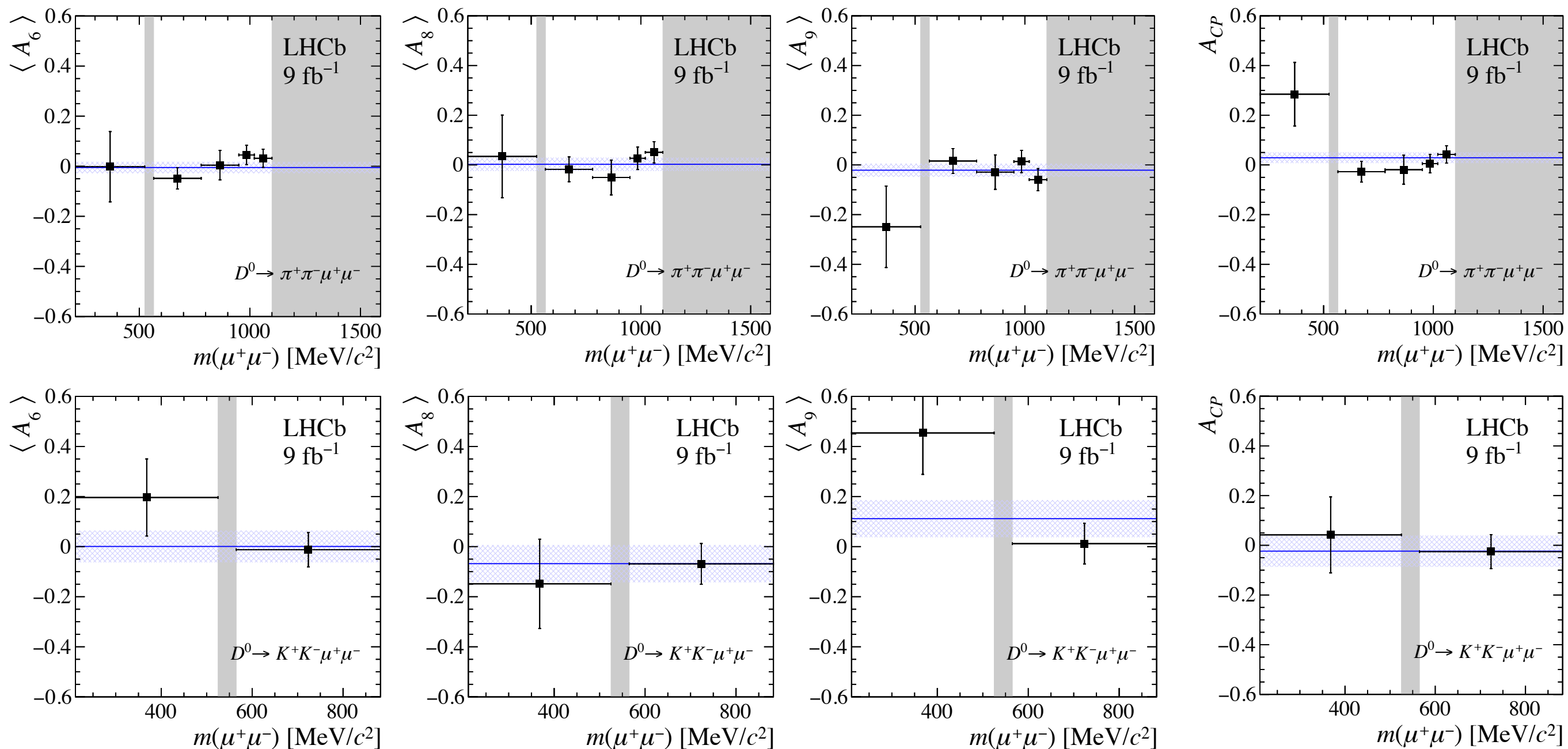


**agreement with SM predictions**  
 [JHEP 04 135 (2013),  
 PRD 98, 035041(2018)]

- all observables in backup, tabulated version & correlation matrices in LHCb-PAPER-2021-035

# CP asymmetries $\langle A_i \rangle$

- Shown:  $\langle A_6 \rangle$  [ $\langle A_6 \rangle \sim A_{FB}^{CP}$ ],  $\langle A_{8,9} \rangle$  [triple-product-asym.] &  $A_{CP}$



- overall agreement wrt. to SM hypothesis considering  $A_{CP}$ ,  $\langle A_{2-9} \rangle$  &  $\langle S_{5,6,7} \rangle$ :

$D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-$   $p = 79\%$  ( $0.3\sigma$ )  
 $D^0 \rightarrow K^+K^-\mu^+\mu^-$   $p = 0.8\%$  ( $2.7\sigma$ )

**consistent with SM**