

# Recent highlights of top-quark cross section and properties measurements with the ATLAS detector at the LHC

Lake Louise Winter Institute '23

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February 19, 2023  
Chateau Lake Louise, Canada



# Introduction



Participants at the 2022 ATLAS Top Workshop in Valencia, Spain



- There are **many** recent results from the top group in ATLAS!
  - Since last years LLWI: 20 papers, 4 CONF notes, and 3 PUB notes
  - I cannot cover them all...
- For top+X, see talk by Knut right after me 😊

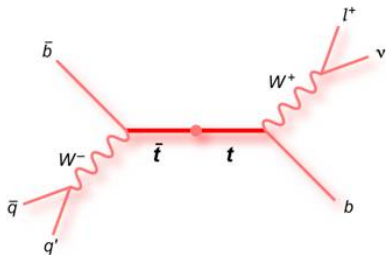
I have been tasked to cover **6** analyses in the next 12 minutes, so hang on tight for a whistle stop tour of top quark cross-sections and properties 📢

# Why do measurements of top quark processes?

The top quark is unique in the SM due to its large mass:  $m_t = y_t v / \sqrt{2}$

- decays before hadronisation
  - only quark that can be studied in isolation
  - ↪ precision QCD test
- same order as V.E.V in SM
  - $m_t \simeq 173 \text{ GeV}$ ,  $v = 246 \text{ GeV}$
  - ↪ Direct sensitivity to new physics

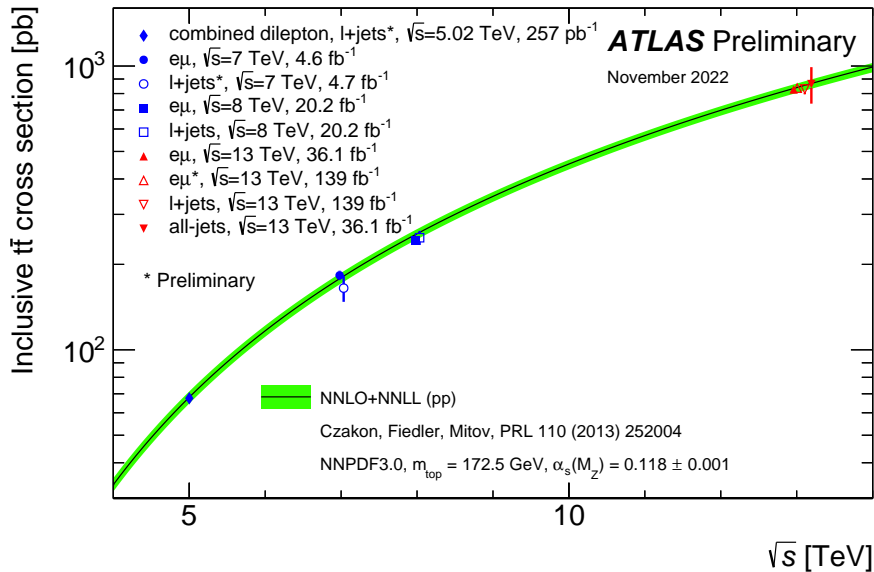
$$\Delta m_h^t \sim -\frac{m^2}{v^2} \frac{\Lambda}{4\pi^2}$$



- Also a major background to many interesting SM and exotic searches
  - Not always well described in current MC generators
  - Precision measurements crucial input to MC tuning efforts!

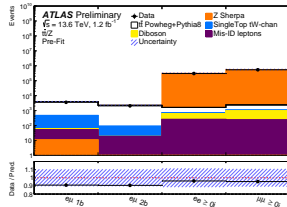
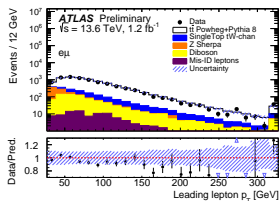
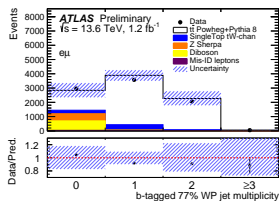
# Top Cross-Section

# Top Cross-Section



# 13.6 TeV $t\bar{t}$ XSec CONF Note

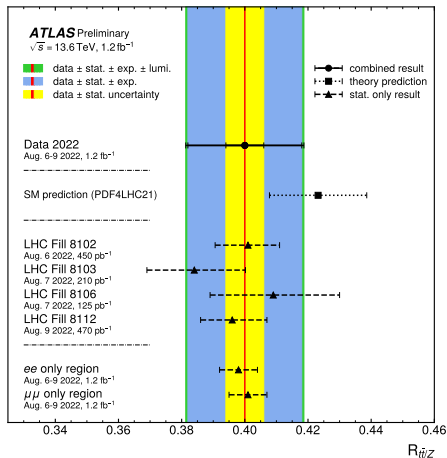
- Use  $1.2\text{fb}^{-1}$  of 13.6 TeV data from 2022
- Serves as an extensive validation of the upgraded detector!
  - Conservative uncertainties are used to cover the preliminary nature of object calibrations, background predictions, luminosity measurement, etc
- Profile-likelihood fit method to extract cross-section and  $b$ -tagging efficiency simultaneously



- Standard Model Prediction:  $\sigma_{t\bar{t}} = 924^{+32}_{-40} \text{ pb}$
- Fit result:  $\sigma_{t\bar{t}} = 830 \pm 12(\text{stat}) \pm 27(\text{syst}) \pm 86(\text{lumi}) \text{ pb} = 830\text{pb} \pm 11\%$ 
  - $\epsilon_b = 0.553 \pm 0.007(\text{stat}) \pm 0.005(\text{syst}) \pm 0.001(\text{lumi})$

→ consistent within  $1\sigma$  with SM

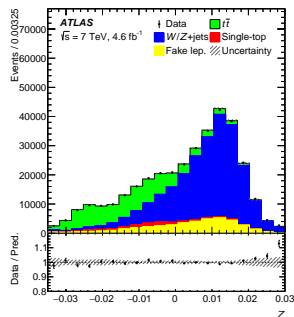
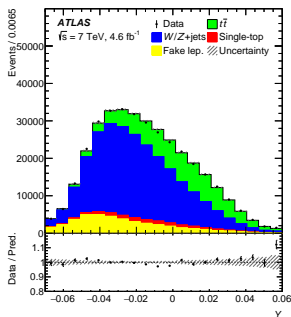
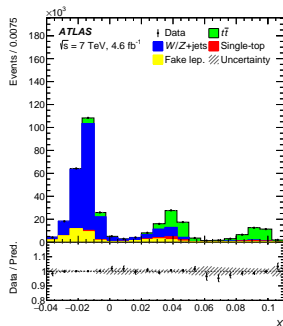
# $t\bar{t}/Z$ XSec Ratio @ 13.6 TeV CONF Note



- Some uncertainties - eg luminosity - can cancel when measuring **ratios** of cross-sections
  - Also sensitive to PDFs
- Utilise  $ee$  and  $\mu\mu Z$  decays: orthogonal to  $e\mu t\bar{t}$  selection
- Consistency between fills, lepton channels
- Consistent with SM to  $1\sigma$ , total uncertainty 4.7%

The same strategy was followed for an [early Run II measurement](#) as well as at 7 and 8 TeV

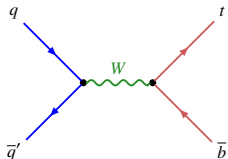
- Most precise ever measurement of top cross-section by using machine learning
- Select single lepton events and separate backgrounds with a 3-dimensional support vector machine based event classifier



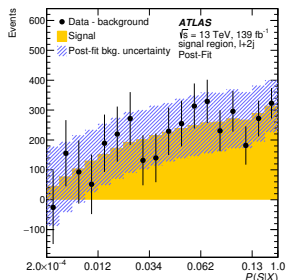
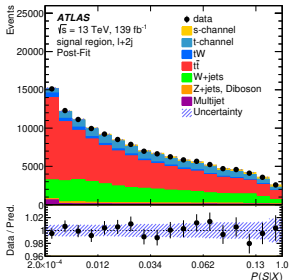
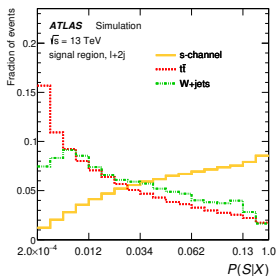
- Profile likelihood fit to 3D SVM output
- Result:  $\sigma_{t\bar{t}} = 168.5 \pm 0.7(\text{stat})^{+6.2}_{-5.9}(\text{syst})^{+3.4}_{-3.2}(\text{lumi}) \text{ pb} = 168.5 \text{ pb} \pm 4\%$ 
  - SM prediction:  $\sigma_{t\bar{t}} = 177^{+10}_{-11} \text{ pb}$
- Limited by luminosity uncertainty, lepton ID/trigger, and signal modelling



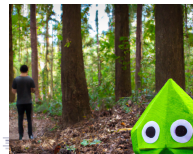
# 13 TeV s-chan Single Top XSec 2209.08990



- s-chan single top not yet observed at the LHC!
- Discovered by **CDF+D0 combination**
- **ATLAS** reached  $3.2\sigma$  in Run1 (**CMS**  $2.5\sigma$ )
- Sig:Bkg ratio gets worse at 13TeV:  $2.1\% \rightarrow 1.2\%$



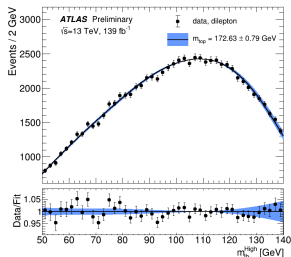
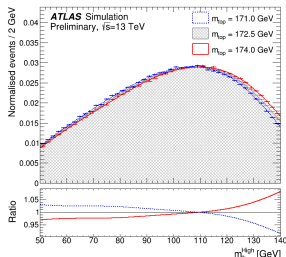
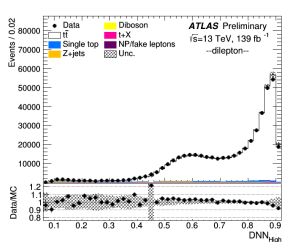
- Profile likelihood fit to **Matrix Element Method** probability
- Result:  $\sigma_{s\text{-chan}} = 8.2 \pm 0.6(\text{stat})_{-2.8}^{+3.4}(\text{syst})$  pb
  - SM: prediction:  $\sigma_{SM} = 10.32 \pm_{-0.36}^{+0.40}$  pb
  - Observed (expected):  $3.3\sigma$  ( $3.9\sigma$ )
- Limited by signal &  $t\bar{t}$  modelling, jet uncertainties



# Top Properties

# 13 TeV Top Mass 2022-058

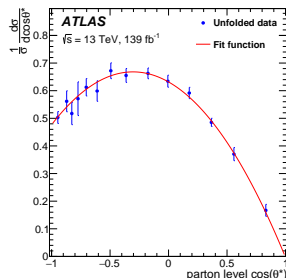
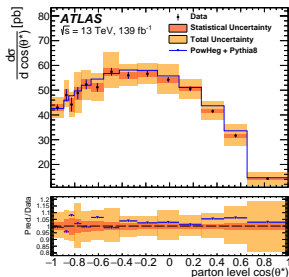
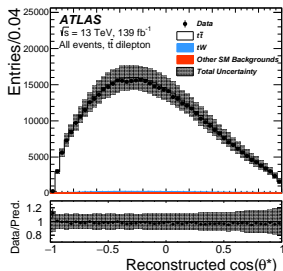
- Top quark mass a crucial SM parameter - **stability of the universe depends on it!**
- (Monte-Carlo) Mass extracted via template fit to  $m_{\ell b}$
- lep- $b$ -jet pairing with DNN using event kinematics as inputs



- Result:  $172.63 \pm 0.20(\text{stat}) \pm 0.67(\text{syst}) \pm 0.37(\text{recoil})$  GeV
  - Limited by previously unaccounted for systematic uncertainty due to **recoil effects** of colored objects in top decays
  - Other significant uncertainties from  $t\bar{t}$  modelling, jet reconstruction and **color reconnection**

# W polarisation 2209.14903

- Polarisation of  $W$ -boson from top decay comes from  $Wtb$  vertex structure
- Longitudinal, left-, and right-handed fractions extracted from polar angle in the  $W$  rest frame with  $\chi^2$  fit
- $t\bar{t}$  Reconstruction in dilepton channel with **neutrino-weighting** technique



- Results:
  - $f_0 = 0.684 \pm 0.005(\text{stat}) \pm 0.014(\text{syst})$
  - $f_L = 0.318 \pm 0.003(\text{stat}) \pm 0.008(\text{syst})$
  - $f_R = -0.002 \pm 0.002(\text{stat}) \pm 0.014(\text{syst})$
- SM Prediction:
  - $f_0 = 0.687 \pm 0.005$
  - $f_L = 0.311 \pm 0.005$
  - $f_R = 0.0017 \pm 0.0001$
- Leading systematics from jet reconstruction and  $t\bar{t}$  modelling

# W polarisation 2209.14903

ATLAS



Theory (NNLO QCD)

PRD 81 (2010) 111503 (R)

ATLAS 2011,  $\geq 1$  lepton,  $\sqrt{s}=7$  TeV,  $L_{\text{int}}=1.04 \text{ fb}^{-1}$

JHEP 1206 (2012) 088

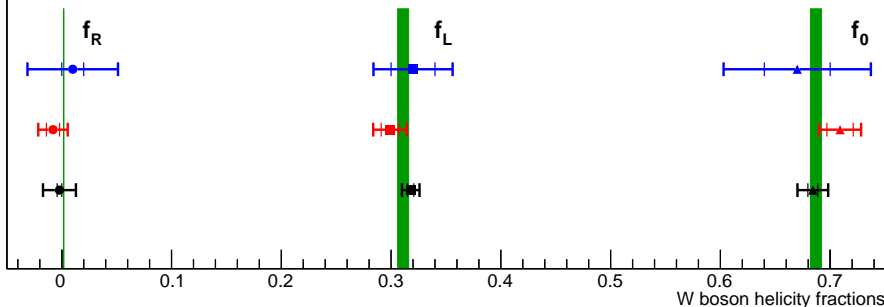
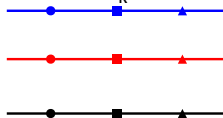
ATLAS 2012, single lepton,  $\sqrt{s}=8$  TeV,  $L_{\text{int}}=20.2 \text{ fb}^{-1}$

Eur. Phys. J. C (2017) 77, Eur. Phys. J. C (2019) 79

ATLAS 2022, di-lepton,  $\sqrt{s}=13$  TeV,  $L_{\text{int}}=139 \text{ fb}^{-1}$

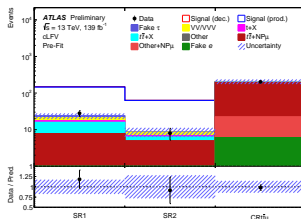
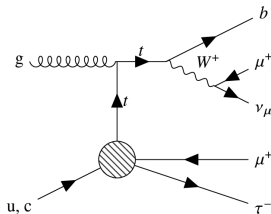
total stat

Data ( $f_R/f_L/f_0$ )



# Search for Charged Lepton Flavor Violation 2023-001

- Charged lepton flavor is conserved in the SM; but recent (now superseded...) LHCb results made searches for violation of the symmetry very interesting
- Search for interactions like  $\mu\tau qt$  diagrams involving top production/decay
  - eg some **models with leptoquarks** predict  $BR(t \rightarrow \mu\tau q) \simeq 10^{-6}$
- Interpret in EFT and set limits on Wilson Coefficients
  - Sensitive to 2Q2L operators that are highly unconstrained to date



Operator	Lorentz Structure	
$\mathcal{O}_{lq}^{1(ijkl)}$	$(\bar{l}_i \gamma^\mu l_j)(\bar{q}_k \gamma_\mu q_l)$	Vector
$\mathcal{O}_{lq}^{3(ijkl)}$	$(\bar{l}_i \gamma^\mu \sigma^I l_j)(\bar{q}_k \gamma_\mu \sigma^I q_l)$	Vector
$\mathcal{O}_{eq}^{(ijkl)}$	$(\bar{e}_i \gamma^\mu e_j)(\bar{q}_k \gamma_\mu q_l)$	Vector
$\mathcal{O}_{lu}^{(ijkl)}$	$(\bar{l}_i \gamma^\mu l_j)(\bar{u}_k \gamma_\mu u_l)$	Vector
$\mathcal{O}_{eu}^{(ijkl)}$	$(\bar{e}_i \gamma^\mu e_j)(\bar{u}_k \gamma_\mu u_l)$	Vector
$\ddagger \mathcal{O}_{lequ}^{1(ijkl)}$	$(\bar{l}_i e_j) \varepsilon(\bar{q}_k u_l)$	Scalar
$\ddagger \mathcal{O}_{lequ}^{3(ijkl)}$	$(\bar{l}_i \sigma^{\mu\nu} e_j) \varepsilon(\bar{q}_k \sigma_{\mu\nu} u_l)$	Tensor

- Set overall limit of  $BR(t \rightarrow \mu\tau q) < 11 \times 10^{-7}$
- Statistically limited,  $t\bar{t}$  modelling and fake muons also significant

See further: [measurement of BR\(tau\)/BR\(mu\) from 2020](#)

# Search for Charged Lepton Flavor Violation 2023-001

	95% CL upper limits on Wilson coefficients						$c/\Lambda^2$ [TeV $^{-2}$ ]	
	$c_{lq}^{-(ijk3)}$	$c_{eq}^{(ijk3)}$	$c_{lu}^{(ijk3)}$	$c_{eu}^{(ijk3)}$	$c_{lequ}^{1(ijk3)}$	$c_{lequ}^{1(ij3k)}$	$c_{lequ}^{3(ijk3)}$	$c_{lequ}^{3(ij3k)}$
Previous (u) [22]	12	12	12	12	26	26	3.4	3.4
Expected (u)	0.47	0.44	0.43	0.46	0.49	0.49	0.11	0.11
Observed (u)	0.49	0.47	0.46	0.48	0.51	0.51	0.11	0.11
Previous (c) [22]	14	14	14	14	29	29	3.7	3.7
Expected (c)	1.6	1.6	1.5	1.6	1.8	1.8	0.35	0.35
Observed (c)	1.7	1.6	1.6	1.6	1.9	1.9	0.37	0.37

	95% CL upper limits on BR( $t \rightarrow \mu\tau q$ ) ( $\times 10^{-7}$ )							
	$c_{lq}^{-(ijk3)}$	$c_{eq}^{(ijk3)}$	$c_{lu}^{(ijk3)}$	$c_{eu}^{(ijk3)}$	$c_{lequ}^{1(ijk3)}$	$c_{lequ}^{1(ij3k)}$	$c_{lequ}^{3(ijk3)}$	$c_{lequ}^{3(ij3k)}$
Expected (u)	4.6	4.2	4.0	4.5	2.5	2.5	5.8	5.8
Observed (u)	5.1	4.6	4.4	5.0	2.8	2.8	6.4	6.4
Expected (c)	54	51	51	52	35	35	61	61
Observed (c)	60	56	56	57	38	38	68	68

# Conclusion



- Top quark measurements are a vibrant industry at the LHC
- Limitations are usually from Monte-Carlo modelling and jet reconstruction
- New techniques, such as deep learning, are pushing the boundary of sensitivity
- Most measurements so far are consistent with the Standard Model 🤖



# Backup

# b-tag counting method for Run3 Xsec

$$N_1 = L\sigma_{t\bar{t}}\epsilon_{e\mu}2\epsilon_b(1 - C_b\epsilon_b) + N_1^{\text{bkg}} \quad (1)$$

$$N_2 = L\sigma_{t\bar{t}}\epsilon_{e\mu}C_b\epsilon_b^2 + N_2^{\text{bkg}} \quad (2)$$

$$C_b = \epsilon_{bb}/\epsilon_b^2 = \frac{4N_1^{\text{bkg}}N_2^{\text{bkg}}}{(N_1^{\text{bkg}} + 2N_2^{\text{bkg}})^2} \quad (3)$$

$C_b$  is a correlation factor to correct for kinematic dependence of the two b's in each  $t\bar{t}$  event,  $N_X$  is the number of events with X b-tags, and  $\epsilon_{e\mu}$  is the efficiency for selecting  $e\mu$  events.

$\epsilon_b$ , the b-tagging efficiency, is then floated in the fit and measured simultaneously with  $\sigma_{t\bar{t}}$ .

# Run3 XSec Uncertainties

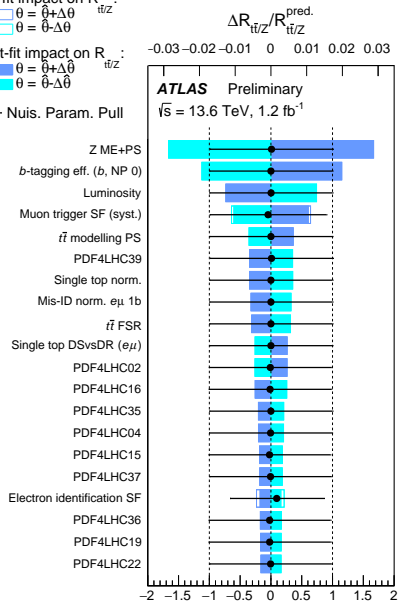
Pre-fit impact on  $R_{t\bar{t}/Z}$ :

- $\theta = \hat{\theta} + \Delta\theta$
- $\theta = \hat{\theta} - \Delta\theta$

Post-fit impact on  $R_{t\bar{t}/Z}$ :

- $\theta = \hat{\theta} + \Delta\hat{\theta}$
- $\theta = \hat{\theta} - \Delta\hat{\theta}$

● Nuis. Param. Pull



Category		Uncert. [%]		
		$\sigma_{t\bar{t}}$	$\sigma_{Z \rightarrow t\bar{t}}^{m_{t\bar{t}} > 40}$	$R_{t\bar{t}/Z}$
$t\bar{t}$	$t\bar{t}$ parton shower/hadronisation	0.6	0.2	0.7
	$t\bar{t}$ scale variations	0.5	0.1	0.5
$Z$	$Z$ scale variations	0.2	2.9	2.9
	Bkg.	0.6	< 0.01	0.6
Lept.	Diboson modelling	0.1	< 0.01	0.5
	Mis-Id leptons	0.6	< 0.01	0.6
	Electron reconstruction	1.6	2.3	1.1
Jets/tagging	Muon reconstruction	1.3	2.4	0.3
	Lepton trigger	0.2	1.3	1.1
	Jet reconstruction	0.2	< 0.01	0.2
PDFs	Flavour tagging	1.9	< 0.01	1.9
	PDFs	0.5	1.4	1.3
Luminosity		10.3	9.6	1.3
Systematic Uncertainty		10.8	10.7	4.4
Statistical Uncertainty		1.5	0.1	1.5
Total Uncertainty		11	10.7	4.7

# 7TeV XSec Uncertainties & SVM Inputs

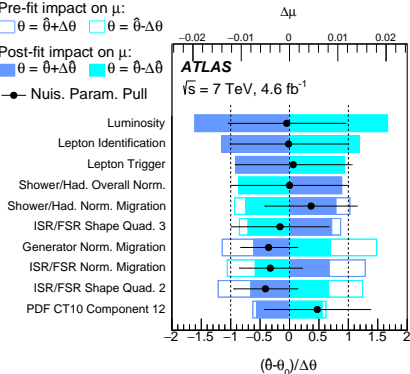
Pre-fit impact on  $\mu$ :

$\square \theta = \hat{\theta} + \Delta\theta$     $\square \theta = \hat{\theta} - \Delta\theta$

Post-fit impact on  $\mu$ :

$\blacksquare \theta = \hat{\theta} + \Delta\theta$     $\blacksquare \theta = \hat{\theta} - \Delta\theta$

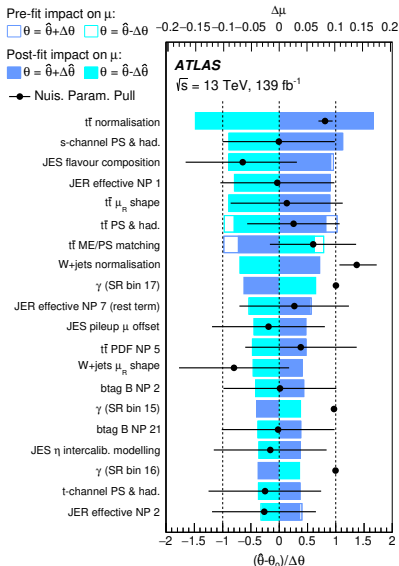
● Nuis. Param. Pull



Number	Feature	Divided by
1	$E_T^{\text{miss}}$ [GeV]	250
2	$\phi(E_T^{\text{miss}})$ [radians]	$2\pi$
3	Lepton $E$ [GeV]	400
4	Lepton $p_{\parallel}$ [GeV]	400
5	Lepton $p_z$ [GeV]	400
6	Mass(lepton+jets) [GeV]	750
7	Fox-Wolfram moment 1	1
8	Fox-Wolfram moment 2	1
9	Fox-Wolfram moment 3	1
10	Fox-Wolfram moment 4	1
11	Fox-Wolfram moment 5	1
12	Sum all jets $E_T$ [GeV]	500
13	Sum all jets $E$ [GeV]	750
14	Sum all jets $p_{\parallel}$ [GeV]	750
15	Sum all jets $p_{\perp}$ [GeV]	750
16	Sum all jets $p_z$ [GeV]	750
17	$H_T$ [GeV]	500
18	$p$ -tensor eigenvalue 1	1
19	$p$ -tensor eigenvalue 2	1
20	Number of jets	10
21	Number of $b$ -tags	10

# s-chan Uncertainties

Source	$\Delta\sigma/\sigma$ [%]
$t\bar{t}$ normalisation	+24/-17
$t\bar{t}$ shape modelling	+18/-15
PS & had.	+12/-10
ME/PS matching	+10/-8
$h_{\text{damp}}$	< 1
s-channel modelling	+18/-8
PS & had.	+18/-8
ISR/FSR	+3/-1
Jet energy resolution	+18/-12
Jet energy scale	+18/-13
MC statistics	+13/-11
Flavour tagging	+12/-10
W+jets normalisation	+11/-8
PDFs	+10/-9
$t\bar{t}$	+10/-9
s-channel	$\pm 1$
t-channel	$\pm 1$
$tW$	$\pm 1$
t-channel modelling	$\pm 6$
PS & had.	$\pm 5$
ISR/FSR	$\pm 4$
W+jets $\mu_r/\mu_f$ shape	+6/-5
Normalisation of other processes	+6/-5
Pile-up	+5/-3
Luminosity	+4/-3
$tW$ modelling	+1/-2
PS & had.	$\pm 1$
$t\bar{t}$ overlap	$\pm 1$
ISR/FSR	$\pm 1$
Missing transverse momentum	$\pm 1$
Multijet shape modelling	$\pm 1$
Other detector sources	$\pm 1$
Systematic uncertainties	+42/-34
Statistical uncertainty (UCI)	$\pm 8$



# Top Mass Uncertainties

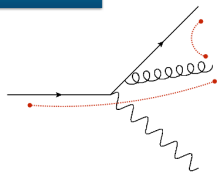
	$m_{\text{top}}$ [GeV]
Result	172.63
Statistics	0.20
Method	$0.05 \pm 0.04$
Matrix-element matching	$0.35 \pm 0.07$
Parton shower and hadronisation	$0.08 \pm 0.05$
Initial- and final-state QCD radiation	$0.20 \pm 0.02$
Underlying event	$0.06 \pm 0.10$
Colour reconnection	$0.29 \pm 0.07$
Parton distribution function	$0.02 \pm 0.00$
Single top modelling	$0.03 \pm 0.01$
Background normalisation	$0.01 \pm 0.02$
Jet energy scale	$0.38 \pm 0.02$
$b$ -jet energy scale	$0.14 \pm 0.02$
Jet energy resolution	$0.05 \pm 0.02$
Jet vertex tagging	$0.01 \pm 0.01$
$b$ -tagging	$0.04 \pm 0.01$
Leptons	$0.12 \pm 0.02$
Pile-up	$0.06 \pm 0.01$
Recoil effect	$0.37 \pm 0.09$
Total systematic uncertainty (without recoil)	$0.67 \pm 0.05$
Total systematic uncertainty (with recoil)	$0.77 \pm 0.06$
Total uncertainty (without recoil)	$0.70 \pm 0.05$
Total uncertainty (with recoil)	$0.79 \pm 0.06$

Uncertainty	$\Delta m_{\text{top}}$ [GeV]
Absolute in situ JES	$0.23 \pm 0.02$
Relative in situ JES	$0.12 \pm 0.02$
Flavour Composition	$0.00 \pm 0.02$
Flavour Response	$0.00 \pm 0.02$
Pile-up	$0.26 \pm 0.02$
AFII non-closure	$0.10 \pm 0.02$
Punch Through	$0.00 \pm 0.02$
Total	$0.38 \pm 0.02$

# Recoil Scheme for Top Mass

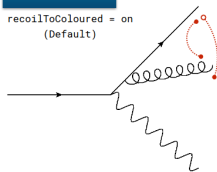
- “Recently discovered” systematic effect in top MC
  - First gluon emission from top decay products straightforward
  - *Second* emission has an ambiguity on how the colored object should recoil

VINCIA RF



PYTHIA

recoilToColoured = on  
(Default)



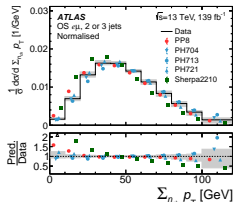
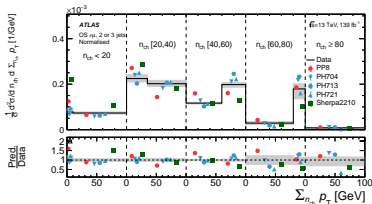
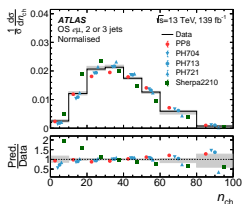
Credit: P. Skands

- Default is to the other colored object ie the  $b$ -quark
  - Results in too little out of cone radiation  $\rightarrow$  narrower mass peak
- “Better” scheme recoils against the top quark itself
  - More out of cone radiation
  - But setup is untuned and therefore probably over-estimates the effect
    - $\rightarrow$  for now, take full difference as uncertainty
    - $\hookrightarrow$  long term, switch to recoilToTop as default and tune this setup to data, or switch to more modern shower like VINCIA

# Color Reconnection 2209.07874

- Monte-Carlo generators use the **leading-color approximation**
  - Dealing with overlapping phase space with MPI then requires poorly understood **color reconnection** models
  - This is now a leading uncertainty in measurements of top mass!

→ Unfold variables sensitive to these effects to aid in MC tuning



- Sherpa (no CR) has the worst agreement as expected
- Nominal Pythia does best, but not able to exclude any CR models
  - Dominant uncertainties from track and jet reconstruction
  - These distributions will be valuable components of future tuning efforts



# W polarisation Uncertainties

Category	$\sigma_{f_0}$	$\sigma_{f_L}$	$\sigma_{f_R}$
<b>Detector modelling</b>			
Jet reconstruction	0.008	0.004	0.010
Flavour tagging	0.003	0.001	0.001
Electron reconstruction	0.003	0.002	0.002
Muon reconstruction	0.003	0.003	$< 10^{-3}$
$E_T^{\text{miss}}$ (soft term)	$< 10^{-3}$	0.002	$< 10^{-3}$
Pile-up	0.002	0.002	$< 10^{-3}$
Luminosity	0.001	0.001	$< 10^{-3}$
<b>Signal and background modelling</b>			
$t\bar{t}$ production	0.011	0.005	0.010
PDF	0.002	0.001	$< 10^{-3}$
Single top production	$< 10^{-3}$	0.002	$< 10^{-3}$
Other background	0.002	0.001	$< 10^{-3}$
Total systematic uncertainty	0.014	0.008	0.014
Data statistical uncertainty	0.005	0.003	0.002
Total uncertainty	0.015	0.008	0.014

