

Absolute fraction of emitted Ps determined by GEANT4-supported analysis of gamma spectraB. Rienäcker^{1,2,*}, T. Gigl², G. Nebbia³, F. Pino^{3,4}, and C. Hugenschmidt²¹Physics Department, CERN, 1211 Geneva 23, Switzerland²Heinz Maier-Leibnitz Zentrum (MLZ), Technische Universität München, Lichtenbergstrasse 1, 85748 Garching, Germany³INFN Padova, Via Marzolo 8, I-35131 Padova, Italy⁴Department of Physics and Astronomy “Galileo Galilei”, University of Padova, Via Marzolo 8, I-35131 Padova, Italy

(Received 6 October 2020; accepted 2 December 2020; published 18 December 2020)

The fraction of positronium (Ps) emitted from a surface of a germanium single crystal at high temperature is usually assumed to approach unity at zero positron implantation energy. In the experiment, however, the determination of the absolute Ps fraction is not straightforward since recording a reference spectrum with 100% Ps formation remains demanding. We use GEANT4-simulated detector responses to 2γ and 3γ radiation sources mimicking positron and Ps annihilation inside the (coincidence) Doppler-broadening spectrometer at NEPOMUC, FRM II, in order to derive a reliable value for the Ps fraction reemitted from a Ge(100) target heated close to its melting point. Analysis of the measured spectra by fitting the simulated spectra shows an absolute value of $72 \pm 4\%$ maximum Ps formation, contradicting the 100% assumption.

DOI: [10.1103/PhysRevA.102.062212](https://doi.org/10.1103/PhysRevA.102.062212)**I. INTRODUCTION**

Positronium (Ps) is a unique matter-antimatter hydrogen-like bound state of a positron and an electron. Positronium exists in two spin configurations: The singlet state, called para-Ps (p-Ps) with a total spin of $S = 0$ and a vacuum lifetime of 125 ps, annihilates predominantly into two γ quanta with an energy of 511 keV each [1]; the triplet state, called ortho-Ps (o-Ps) with $S = 1$ and a ground-state lifetime in vacuum of 142 ns [2], annihilates predominantly into three γ quanta of photon energy ≤ 511 keV each, all three summing up to 1022 keV.

Since its discovery in a nitrogen atmosphere by Deutsch in 1951 [3], Ps has flourished in a large variety of studies in fundamental research and applied sciences. In solid-state and polymer physics, the free volume of amorphous matter can be characterized by the measurement of the o-Ps lifetime, which is considerably reduced due to annihilation via the interaction of the surrounding electrons (so-called pick-off process). Such investigations comprise, for example, the determination of the mean void size in polymers (see [4] and references therein), as well as the characterization of systems with open porosity [5] and biopolymers [6,7]. In fundamental research, Ps allows high-precision tests on bound-state quantum electrodynamics (QED) [8–11]. A limitation with respect to such experiments originates from higher-order effects that may introduce contributions from strong and weak interactions [12]. After the first observation of the Ps negative ion Ps^- [13], this leptonic

three-particle system has served for high-precision QED tests as well [14]. Recently, first experiments demonstrated the route to generate a mono-energetic Ps beam via the production of Ps^- and subsequent photo detachment of an electron [15]. Experiments on antihydrogen currently underway at CERN, AEGIS [16], and GBAR [17] make use of Ps and its ability to enhance the antihydrogen production via charge exchange. Indeed, AEGIS recently succeeded in producing the first pulsed antihydrogen source using Rydberg-excited Ps and cold antiprotons [18].

Research with Ps clearly benefits from intense cold Ps sources (around one-hundred meV) based on the efficient conversion of positrons into positronium. Positrons are usually provided by radioactive sources such as ^{22}Na or via pair production as exploited at the Neutron-induced Positron Source Munich (NEPOMUC). Formation of Ps can occur at surfaces where its emission is mainly thermally activated involving positrons trapped in surface states. At surfaces of metals and semiconductors, high values of Ps formation at high temperature and ~ 0 eV positron implantation energy have been measured [19–24]. In bulk, however, Ps formation is suppressed due to the effective shielding of conduction electrons. Insulators, on the other hand, and in particular porous ones, allow positron-electron binding inside the bulk and subsequent emission into vacuum with efficiencies in the range 45–84% of the amount of implanted positrons [25–28]. Therefore, efficient Ps converters are often nanoporous targets where positrons are implanted, thermalize, and diffuse back to the surface. Either during the diffusion inside the bulk or directly at the surface, positrons can bind to an electron and be emitted as Ps.

In general, there are two methods by which the amount of free Ps is estimated. The first technique exploits the characteristic long lifetime of o-Ps by recording time-resolved spectra after an initial pulsed production with a narrow time spread. The delayed annihilation signal of ground-state o-

*b.rienaecker@cern.ch

Published by the American Physical Society under the terms of the [Creative Commons Attribution 4.0 International license](https://creativecommons.org/licenses/by/4.0/). Further distribution of this work must maintain attribution to the author(s) and the published article's title, journal citation, and DOI.

Ps in flight thus enables an estimation of the amount of Ps produced [29,30]. This method, however, can only serve as a rough estimate for the emitted Ps fraction due to several systematic uncertainties such as limited flight space, nonlinear detector responses, and saturation effects at short times, where the signal is dominated by the initial peak of the positron annihilation.

The second approach is the detection of annihilation radiation with high-energy resolution of Ps either self-annihilating in flight or annihilating upon interaction with an obstacle. This technique ultimately aims to separate the amount of 2γ and 3γ annihilation events serving as fingerprints of positron and p-Ps, and o-Ps annihilations, respectively. In principle, the relative change of Ps emission from different samples or by variation of external parameters such as positron implantation energy or temperature can easily be estimated from the recorded energy spectra, whereas the determination of the absolute Ps fraction is not straightforward. A commonly used approach is the comparison of the measured γ spectrum with a reference spectrum recorded for 100% Ps formation. By referring to an experiment performed by Mills [19], it is often assumed that positron implantation with various energies and extrapolating to zero energy yields 100% Ps formation in germanium at high temperature, while other loss channels such as positron annihilation from a surface state can be neglected. Such a spectrum showing 100% Ps formation, however, is demanding to be obtained experimentally and cannot easily be verified. On the contrary, a theoretical calculation [31] even finds a saturating upper limit of 90% for the Ps emission from Ge(110) at high temperatures.

In the present paper, we discuss the various drawbacks of the commonly applied analysis method. Annihilation spectra that are dependent on positron implantation energy have been recorded for a Ge(100) target close to its melting point. By including the relevant parts of the spectrometer, we simulated the γ spectra for 2γ and 3γ annihilation in order to reliably estimate the absolute fraction of thermal and nonthermal Ps emitted from a Ge(100) surface.

II. PS EMISSION FROM SURFACES

A. Positrons and Ps at surfaces

The one-dimensional distribution of positrons thermalized in the bulk of a solid as a function of the incident positron energy can be described by the Makhovian implantation profile. The fraction of thermalized positrons at depth z with respect to the surface for a positron energy E is then given by material-dependent parameters n , m , B , and $z_0 = BE^n$ [see Eq. (1)], which can be found, for example, by means of Monte Carlo simulations [32]. After thermalization, the positrons obey the laws of diffusion. Multiplying the term for Makhovian positron implantation with the exponential term for subsequent positron diffusion and integrating the result from zero to infinity returns the amount of positrons diffusing back to the surface $J(E)$ [33]:

$$J(E) = J_0 \int_0^\infty \frac{m}{z_0^m} z^{m-1} e^{-\left(\frac{z}{z_0}\right)^m} e^{-\frac{z}{L_+}} dz. \quad (1)$$

The surface coefficient J_0 denotes the maximum fraction of thermalized positrons that is able to diffuse back to the surface

and finally escape from the target. This escape can either occur by Ps production without trapping, by reemission due to a negative positron work function, or by trapping into a surface state. Internal reflection at the surface and subsequent reimplantation into the bulk, on the other hand, is a loss channel for positrons causing J_0 to be smaller than one. In order to obtain the highest Ps yield, the coefficient J_0 has to approach unity, which is only given at high sample temperatures [21].

The relation between the amount of emitted Ps and thermalized positrons is then given by

$$f_{\text{Ps}}(E) = f_0 J(E), \quad (2)$$

where f_0 is the fraction of Ps that can be emitted from the surface with respect to all thermalized positrons arriving at the surface. By accounting for the different positron escape rates ν_i at the surface that involve thermal positrons, f_0 can therefore be expressed by the ratio

$$f_0 = \frac{\nu_{s,\text{Ps}} + \nu_{0,\text{Ps}}}{\nu_{s,\text{Ps}} + \nu_{0,\text{Ps}} + \nu_{0,e^+} + \nu_{s,2\gamma}}. \quad (3)$$

Here, $\nu_{s,\text{Ps}}$ denotes the rate of positrons getting trapped in a surface state and being thermally desorbed as Ps, and $\nu_{0,\text{Ps}}$ denotes the rate of positrons that bind to a surface electron without trapping. The other two escape channels, $\nu_{s,2\gamma}$ and ν_{0,e^+} , are the rate of positrons getting trapped in a surface state and annihilating with electrons into 2γ quanta, and the reemission of positrons into vacuum, respectively [21]. The latter case is only possible if the material has a negative positron work function.

From the two Ps emitting channels, only the thermal desorption leads to thermal Ps. The other, $\nu_{0,\text{Ps}}$, depends on the value of a negative Ps work function, which, if occurring, generally lies around a few eV [34]. However, not fully thermalized (epithermal) positrons can also contribute to the nonthermal Ps emission, but these are not correctly described by the diffusion model and therefore have to be treated independently. As a remark, epithermal positrons may also escape directly from the surface if their energy is exceeding the (positive) positron work function.

In an experiment aiming to obtain 100% Ps formation, one has to enable only those positron escape routes that produce Ps, while the others (ν_{0,e^+} , $\nu_{s,2\gamma}$, and epithermal positron emission) have to become negligible.

B. Analysis of energy-resolved positron annihilation spectra

The analysis of energy-resolved γ spectra, as, for example, obtained with high-purity germanium (HPGe) detectors, will be briefly reviewed using a spectrum recorded for a Ge target with the (coincidence) Doppler-broadening spectrometer (CDBS) in Munich [35] at 15 keV positron implantation energy. In Fig. 1, the abscissa denotes the photon energy recorded by a multichannel analyzer, whose channels have been converted into energies via calibration with an ^{152}Eu source. For such a spectrum, a so-called valley region can be defined as marked in the figure, which is the most sensitive region to o-Ps annihilation due to the low background. Other contributions in this region are the environmental background, pile-up events (coincident detection of two photons with lower energy), and annihilation gammas experiencing small-angle

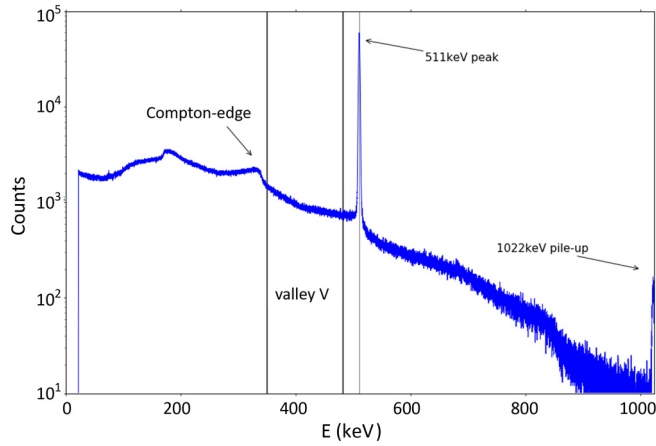


FIG. 1. Exemplary gamma spectrum resulting from positrons implanted at 15 keV detected by a high-purity germanium detector. The important features of this spectrum are the main positron annihilation photo peak at 511 keV, the pile-up peak at the right edge due to simultaneous detection of two such gamma quanta (1022 keV), the Compton edge at 340 keV, and the valley region V, which is the most sensitive to 3γ annihilation of o-Ps.

Compton scattering inside the sample or other materials in the field of view of the detector.

By switching off the positron source, the environmental background can simply be measured and subtracted from any spectrum of interest. The pile-up and small-angle Compton scattering events can roughly be approximated, e.g., by a sigmoidal function, and also be subtracted—or sometimes they are simply considered negligible.

In order to estimate the produced amount of Ps, one starts by defining the so-called valley-to-peak ratio $R = \frac{V}{P}$, where V is the integral of the valley region and P is the integral of a small window around the photo peak at 511 keV after background subtraction, respectively. Sometimes R is also defined as $R = \frac{T-P}{T}$, with T being the total integrated spectrum. In both cases, the term f_{Ps} (also called the F -parameter) can be derived from a spectrum using the value R [19,36]:

$$f_{Ps} = \left(1 + \frac{P_1 [R_1 - R]}{P_0 [R - R_0]} \right)^{-1}. \quad (4)$$

The subscripts 0 and 1 indicate that the corresponding values have to be obtained from two reference measurements with 0% and 100% Ps formation, respectively. One has to make sure that the values of $P_{0,1}$ are obtained with the same amount of implanted positrons since there is no automatic normalization included in this ratio (unlike the R values). However, this approach has to deal with a number of other possible error sources, which are summarized in the following.

A first uncertainty originates from the experimental setup, which influences the efficiency and the amount of detected gamma quanta. Naturally, only a fraction < 1 of total Ps emission can be detected, for example, due to gamma absorption in the surrounding material. For this reason, one cannot simply verify the absolute amount of produced Ps.

The velocity distribution of Ps leaving the target leads to a slow fraction annihilating in flight close to the origin and a faster one rather far from it [37,38]. Hence, in an experi-

ment, the geometric acceptance of the detector will weight the occurring radiation differently depending on the location of an annihilation event. Limiting the flight path of o-Ps, e.g., with an obstacle, will lead to pick-off annihilation which produces 2γ 's and the event is wrongly sorted into the peak region. The same holds for fast o-Ps actually hitting the chamber walls.

A generally trusted method for obtaining a 100% reference is to implant positrons into a pure germanium single crystal heated close to its melting point (1210 K) and extrapolate the recorded data to zero kinetic energy [19]. Although an upper limit for Ps conversion efficiency of 97% has been achieved with a Ge target using positron-annihilation induced Auger-electron spectroscopy (PAES) as an independent verification [22], one usually just assumes that the obtained Ps amount following from the Ge measurement is close to 100%, without further validation. However, surface contamination and the difficulty to prepare a positron beam with very low kinetic energy are important factors that directly challenge this assumption. Additional factors are backscattered or reemitted positrons and unwanted annihilations in the bulk due to impurities and other defects.

But the assumption of 0% Ps formation is also not without risk—even for high implantation energies—due to the energy- and material-dependent backscattering probability of positrons, which ranges between 12% to 25% for germanium [39]. This renders a considerable fraction of positrons to end up in an unpredictable state. In addition, large vacancy clusters and pores can lead to unwanted Ps formation in the bulk of the sample.

III. EXPERIMENT

We fixed a piece of an undoped Ge(100) wafer onto a molybdenum plate, which was attached to a controllable heater and a temperature sensor, all in an ultrahigh vacuum of 5×10^{-8} mbar. The sample was heated to 870 K for about 2 h in order to clean the Ge surface of remaining contaminants and to anneal it. Then, the temperature was increased to 1100 K, similar to the procedure described in [40]. For our experiments, we used the 20 eV positron beam with an intensity of 5×10^7 remoderated positrons per second from the positron source NEPOMUC. Inside the coincidence Doppler-broadening spectrometer, the positron beam was accelerated and implanted into the sample with adjustable energies ranging from 0.4 keV to 28 keV [35,41]. An environmental background in the absence of positrons was acquired for 600 s; the Ge spectra were acquired for 300 s. The latter resulted in 7×10^6 detected counts, on average, when integrating over the whole spectrum. The Ge spectra were evaluated for all energies using Eq. (4), still assuming that at 0.4 keV close to 100% of free Ps is produced and 0% at 28 keV. Although it is obvious that the highest yield of free Ps can be expected at the lowest positron implantation energy, the assumption of 100% free Ps is—as reasoned above—not justified *a priori*. Therefore, the experimental data in Fig. 2 are shown in arbitrary units, i.e., the relative change of Ps formation with respect to the value at 0.4 keV is correctly given. The absolute surface value of f_{Ps} , however, has to be verified by a simulation as presented in Sec. IV.

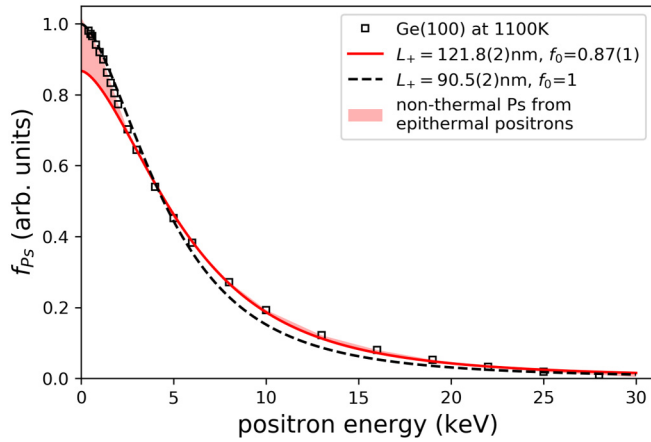


FIG. 2. Ps yield from pure Ge(100) heated to 1100 K as a function of positron implantation energy using the traditional F -parameter formalism as specified in the text. Measured values (symbols) were used for fitting the models describing the amount of implanted positrons diffusing back to the surface and forming Ps. The term L_+ is the positron diffusion length and f_0 is the maximum fraction of Ps formation following from thermal positron diffusion towards the surface. Note that f_0 can contain components of thermal and nonthermal Ps. Statistical error bars on the data are smaller than the symbols.

Using the diffusion model presented in Eqs. (1) and (2) for Ge with the parameters $n = 1.6$, $m = 1.68$, $B = 7.48 \text{ nm keV}^{-n}$ from [32] and using $f_0 = J_0 = 1$ (assuming that only thermal positrons contribute to 100% Ps production), one can numerically fit this model to the experimental data via the least-squares method with diffusion length L_+ as the free parameter. The result is shown in Fig. 2 as the dashed black line. The term $J(E)$ can additionally be evaluated at $E = 0.4 \text{ keV}$ with the obtained diffusion length of 90 nm, which results in 99% of thermal positrons returning to the surface. This confirms the initial assumption of $J_0 = 1$, but it does not tell anything about f_0 , which denotes the final escape route of the positrons. Furthermore, this fitted curve does not resemble well the measured data at all energies. Indeed, the extracted diffusion parameter E_0 (connecting the diffusion length with the Makhovian material constants by the relation $L_+ = BE_0^n$) amounts to 4.8(1) keV, which does not correspond to earlier results obtained, for example, in Ref. [40] where E_0 for Ge at 1100 K was around 6 keV. Changing the fit procedure in a way that f_0 is a fit parameter too, while keeping $J_0 = 1$ and using only energies $> 2 \text{ keV}$ in order to avoid contributions from not fully thermalized positrons that are not obeying the diffusion law [42], one obtains an improved fit (solid line) with $f_0 = 0.87(1)$ and $L_+ = 121.8(2) \text{ nm}$ [i.e., $E_0 = 5.8(1) \text{ keV}$]. This indicates that the contribution of nonthermal Ps to the total amount of emitted Ps is at least 13%.

In the next paragraph, we introduce a GEANT4-supported model allowing us to estimate the Ps emission. GEANT4 is a well-established toolkit for simulating the passage of particles through matter (see Ref. [43] for more details). We simulated detector responses to 3γ and 2γ annihilation sources as if they were located inside our experimental setup at the target

position, including the relevant photon interactions (Rayleigh scattering, Compton scattering, photoelectric effect, and photon absorption within surrounding materials). A superposition of the simulated detector responses for 3γ and 2γ annihilation events is then fitted to the measured spectra in order to determine the absolute Ps yield.

IV. SIMULATION

A pure Ps-annihilation spectrum consists of $N_{3\gamma}$ photons from 3γ annihilations (continuous spectrum up to 511 keV as derived by Ore and Powell [44]), and of $N_{2\gamma}$ photons from 2γ annihilations (discrete energy of 511 keV per photon). The formation ratio of ortho-Ps to para-Ps is 3:1 according to the spin multiplicity of the triplet state. Hence, the respective number of photons of a pure Ps spectrum has to obey the ratio $N_{3\gamma}:N_{2\gamma} = 9:2$. Consequently, knowing the amount of 3γ events in a measurement is equivalent to the knowledge of the number of ortho-Ps atoms. This, in turn, reveals the total fraction of produced Ps, i.e., p-Ps and o-Ps, with respect to the total number of implanted positrons,

$$f_{\text{Ps}} = \frac{\text{amount of Ps}}{\text{total number of } e^+} = \frac{\frac{4}{3}N_{3\gamma}}{N_{3\gamma} + \frac{3}{2}N_{2\gamma}}. \quad (5)$$

As the detector exhibits a unique energy-dependent response to gamma radiation, it is not trivial to count the number of respective quanta from a measured spectrum like in Fig. 1. One approximate solution was presented in Ref. [45], where the integrated valley region V as defined above (most sensitive to 3γ photons) was scaled according to the full theoretical 3γ spectrum derived by Ore and Powell [44] and was put into ratio to the total integrated signal, yielding an estimation for f_{Ps} .

In order to take into account the unique detector response to different energies as well, we introduced the geometry of the experimental setup including the HPGe detector into a GEANT4 simulation (Fig. 3). By this, major physical effects such as the Compton effect or gamma absorption by solids are appropriately taken into account. In the Ps-formation region, the CDB spectrometer consists of a cylindrical sample chamber made of stainless steel with 2-mm-thick walls and 150 mm inner diameter, and two 2-mm-thick aluminum rings (working as electrostatic lenses set to high potential) with an outer radius of 65 mm. A molybdenum disk as part of the target heater was placed in the center of the upper aluminum ring. At the top, roughly 60 mm away from the upper aluminum disk, is the last steel electrode of the positron beam guiding system, allowing one to steer the positrons directly onto the target placed below. Outside the sample chamber, four HPGe detectors are located facing towards the center of the molybdenum disk, where the Ge(100) target was located. Finally, only the shown upper one was actually used to simulate the detector response. The detector itself was modeled as a cylinder of solid germanium with radius 28 mm and a length of 54 mm, encapsulated inside an aluminum shell with 1 mm thin walls. The detector front face was 140 mm away from the center between the two disks. Exact details of the geometrical and functional setup can be found in Ref. [35].

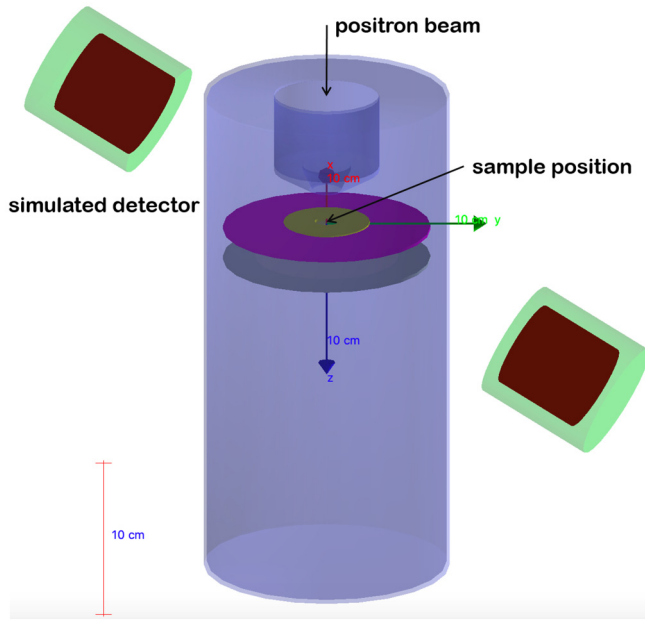


FIG. 3. GEANT4-applicable sketch of the (coincidence) Doppler-broadening spectrometer at NEPOMUC as it was set up during the measurements on Ge(100) targets. In the center of the upper molybdenum disk, where the sample was located during the experiment, a point source of annihilation radiation is assumed, mimicking a pure 2γ spectrum and a continuous 3γ spectrum, respectively. Spectra as usually recorded by the surrounding upper detector were therefore simulated.

In the simulation, we assumed a point source in the center of the heater from where annihilation radiation is emitted isotropically. First, we used the raw 3γ spectrum corresponding to pure o-Ps annihilation. Then, we introduced a 2γ source which generated two photons with 511 keV energy emitted in opposing directions. The energy-dependent energy resolution of the HPGe detectors has been found experimentally via the aforementioned ^{152}Eu calibration measurements. This information is required for the convolution of the simulated spectra with the finite-energy resolution of the detectors.

The two spectra following from the simulation with 1×10^9 initial photons are very distinct as can be seen in Fig. 4. Both spectra were normalized to the same number of photons. For the 2γ spectrum $C_{2\gamma}$, we find the main peak at 511 keV, the Compton edge at 340 keV, and an edge at 170 keV originating from photons that are Compton scattered in the surrounding material (e.g., the steel chamber walls, where a full backscattering event would allow one to reach the detector in the opposite direction, while the photon has lost $\frac{2}{3}$ of its original energy). The 3γ spectrum $C_{3\gamma}$ is rather flat, lacking, as expected, a clear peak structure. Finally, one can find the values for $N_{3\gamma}$ and $N_{2\gamma}$ by fitting a linear combination of both spectra to any measured data with our detector system,

$$C_{\text{meas}}(E) = N_{3\gamma}C_{3\gamma} + N_{2\gamma}C_{2\gamma} + C_{BG}, \quad (6)$$

where C_{BG} is a positron-induced background that might be present in the measured spectrum, as detailed in the following section.

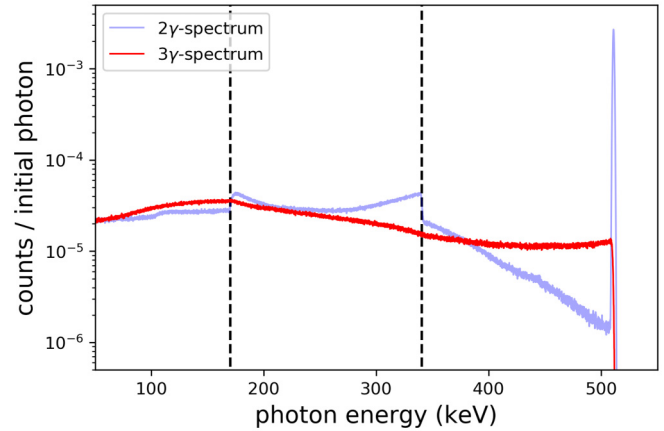


FIG. 4. Simulated Ps annihilation spectra for the upper HPGe detector in the CDB spectrometer. Light blue (gray): Pure 2γ spectrum as from para-Ps or direct positron annihilations. Red (black): 3γ spectrum as from ortho-Ps annihilations. The dashed lines mark the energies 170 keV and 340 keV, and hence $\frac{1}{3}$ and $\frac{2}{3}$ from the photo peak energy.

V. RESULTS AND DISCUSSION

In order to evaluate the total fraction of produced Ps, f_{Ps} , from the same experimental data shown in Fig. 2, we applied the procedure described above utilizing the simulated spectra. First, each measured spectrum is normalized to the same measurement time, resulting in a constant integrated positron signal since positrons were implanted at a constant positron rate. Second, the environmental background obtained with the positron beam switched off is subtracted from all spectra. The positron-induced background C_{BG} [see Eq. (6)] is found by taking the difference between the simulated 2γ spectrum and a measured spectrum where essentially no Ps formation occurs, i.e., with only 2γ annihilations and subsequent scattering processes. For this, a spectrum on Ge(100) at high implantation energy (28 keV) and low target temperature (300 K) was recorded. As before, the expected fraction of back-diffusing positrons to the surface at this high energy can be estimated via Eq. (1) using the positron diffusion length found in the experimental section. This fraction shows to be negligible, allowing one to use the corresponding spectrum as a 0% Ps reference.

We scaled the simulated 2γ spectrum $C_{2\gamma}$ by taking the integral within an energy interval of $\pm 2\sigma$ of the photo peak to be equal to the one of the measured spectrum. Consequently, the difference between a measured and scaled simulated spectrum yields the background spectrum C_{BG} , as shown in Fig. 5. Artifacts due to the subtraction were smoothed via moving window averaging.

The background spectrum C_{BG} is subtracted from all other measured spectra in order to obtain the “true” annihilation spectrum, which can be described by a superposition of a pure 2γ spectrum and a pure 3γ spectrum. First, the weighting factor of the 2γ spectrum has been extracted by scaling the simulated $C_{2\gamma}$ in such a way that its peak integral within $\pm 2\sigma$ around the peak center matches that of the measured one. After subtracting this scaled $C_{2\gamma}$ spectrum, the simulated $C_{3\gamma}$ spectrum was scaled up such that its integral between 100 keV

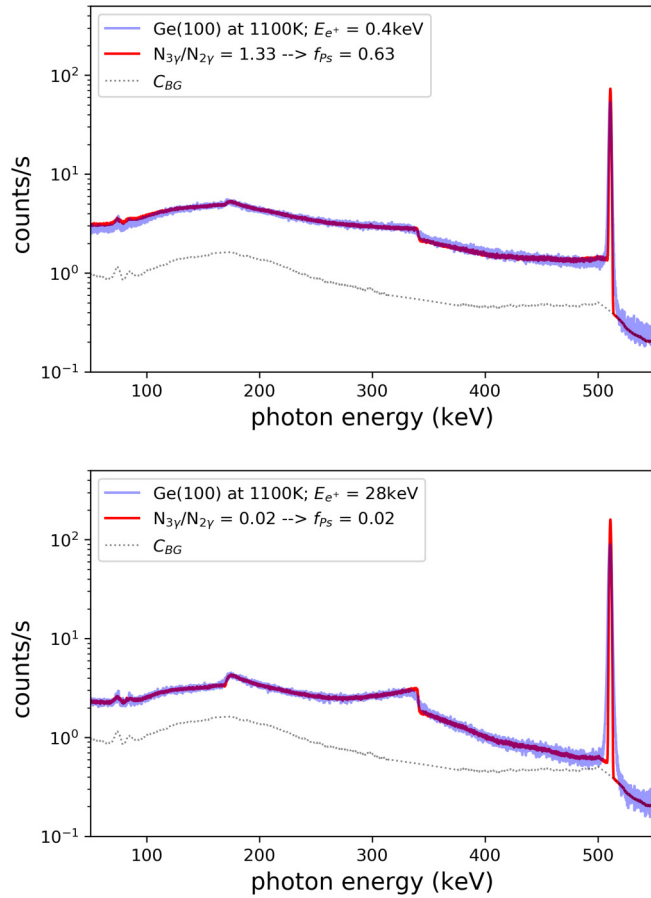


FIG. 5. Measured spectra (light blue/gray) fitted with a superposition of simulated 2γ and 3γ spectra (red/black) for a positron implantation energy of 0.4 keV (top) and 28 keV (bottom). The positron-induced background C_{BG} (dotted line) is the baseline on top of which the superimposed simulated spectra are derived. The amount of emitted Ps, f_{Ps} , is derived from the ratio $N_{3\gamma}/N_{2\gamma}$, yielding 0.63 and 0.02, respectively.

and 500 keV photon energy matched the one calculated for the remainder. The two determined scaling factors correspond to $N_{2\gamma}$ and $N_{3\gamma}$ in Eq. (6), respectively, from which f_{Ps} can be calculated. An example for the measurement with positrons implanted in Ge(100) at 1100 K with 0.4 keV and 28 keV is shown in Fig. 5. The ratio of $N_{3\gamma}/N_{2\gamma}$ is found to be 1.33 and 0.02, respectively. This can be translated via Eq. (5) to the total fraction of Ps production, $f_{Ps} = 0.63$ and $f_{Ps} = 0.02$.

It is noteworthy that without subtracting the background C_{BG} , we find up to 77% Ps formation at our lowest implantation energy, while f_{Ps} asymptotically approaches 25% for increasing energies. This behavior cannot be explained through Ps formation since this escape route is strongly suppressed in the high-energy regime. However, the detected counts at energies higher than 511 keV indicate significant contributions due to pile-ups. Mainly dependent on the detection system, such pile-ups are falsely counted as 3γ -annihilation events when occurring on the left-hand side of the photo peak. In addition, the positron-induced background comprises effects which the simulation does not account for, such as backscattered positrons. This is actually the reason

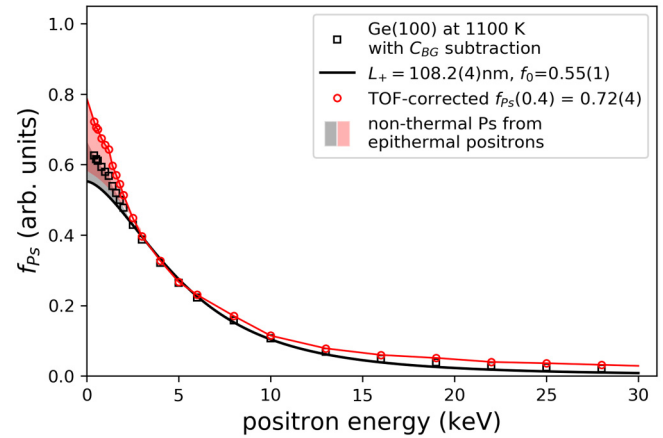


FIG. 6. Ps yields resulting from superposition of simulated 2γ and 3γ spectra, as demonstrated in Fig. 5, for all measured positron implantation energies (black squares). Fitting the data by a diffusion model of thermal positrons reproduces the expected positron diffusion lengths L_+ (solid black line). Applying a correction due to the limited flight path for o-Ps and consecutive pick-off annihilation, the absolute fractions of the emitted Ps are found (red circles and a thin line as a guide for the eye).

for including the term R_0 in the traditional F -parameter calculation, as denoted in Eq. (4), which consists of all the background events that cannot reasonably be ascribed to Ps formation. This is of particular importance since one would falsely obtain more than 50% Ps formation by setting $R_0 = 0$ using, for example, the results reported in Ref. [42]. In conclusion, it is reasonable to use the described background subtraction in order to find a trustworthy lower limit for the amount of produced Ps.

The new $f_{Ps}(E)$ values for the energy-dependent Ps formation in Ge(100) at high temperatures are given in Fig. 6 as the black squares. Applying the same diffusion model as before with f_0 and L_+ as free parameters and only considering implantation energies greater than 2 keV, where thermal positrons validate the diffusion model, one finds diffusion parameters comparable to the ones of Fig. 2, but f_0 is reduced by about 30%. We obtain 63% Ps formation at our lowest implantation energy, which can be interpreted as a lower limit. About 12% of the formed Ps leaves the surface as nonthermal Ps.

The final, absolute value of f_{Ps} close to zero implantation energy has to be estimated taking into account the free flight time of o-Ps after emission. We consider this contribution by performing a time-of-flight analysis in the given geometry above the target and plug in the expected o-Ps velocities for the thermal (1100 K) and the nonthermal (up to a few eV [21,24]) components. However, this step requires one to split the obtained maximum value for f_{Ps} into its thermal and non-thermal components, which is demonstrated in the Appendix. After this procedure, the absolute amount of produced Ps is found to be $f_{Ps}(0.4) = 0.72(4)$, clearly contradicting the commonly accepted assumption of 100% Ps formation under the presented experimental conditions. The given error is systematic since here all statistical errors are usually negligibly small. The dominant systematic error source on the absolute

Ps fraction has been identified to be the Ps velocity and consecutive pick-off annihilation on nearby obstacles, which we treated in a conservative way. A major effect leading to less than 100% Ps emission from heated germanium is the vacuum conditions; in our experiment, the pressure was 5×10^{-8} mbar. While oxides are efficiently removed at elevated temperatures [46], this may not be the case for carbon contaminants, which could be absorbed by the surface from the residual atmosphere. Surface contaminants, however, are considered to be responsible for a not optimal Ps emission into vacuum, emphasizing the importance of a verification of the absolute fraction of emitted Ps.

VI. CONCLUSIONS

We investigated a commonly used method for the estimation of Ps production which relies on two reference measurements with 0% and 100% Ps formation for scaling any other Ps measurement performed with a given detector system. In particular, the 100% reference is usually just assumed to be valid despite the experimental challenges and systematic effects this measurement might suffer from. In this work, we presented a method without relying on this usually not justified assumption. A GEANT4 simulation was introduced in order to generate spectra of annihilation radiation as seen by a high-purity Ge detector in our geometry. These spectra were obtained for a pure 2γ source as results from direct positron or para-positronium annihilation, and for a 3γ source as would be produced by ortho-positronium annihilations only. The simulated detector responses were scaled up and superimposed in order to match the measured spectra resulting from positrons implanted into a Ge(100) target heated to 1100 K with varying implantation energies. In contrast to the common method, i.e., assuming 100% as the maximum, our simulation method delivers an absolute value of $72 \pm 4\%$ formed Ps. Despite this considerable difference between both approaches, at the same time the diffusion parameters for undoped germanium are reestablished.

APPENDIX

The measured amount of Ps consists of a thermal and a nonthermal component,

$$f_{\text{Ps}} = f_{\text{th}} + f_{\text{nth}}. \quad (\text{A1})$$

The thermal fraction of Ps emitted from a target of temperature T is described by a Maxwell-Boltzmann velocity distribution,

$$p(v) = 4\pi \left(\frac{m_{\text{Ps}}}{2\pi k_B T} \right)^{3/2} v^2 \exp\left(\frac{-m_{\text{Ps}} v^2}{2k_B T} \right), \quad (\text{A2})$$

where m_{Ps} is the rest mass of positronium, i.e., two times the rest mass of the electron.

This velocity distribution is then converted into a time distribution, using the Jacobian determinant $\frac{d}{t^2}$, where $d = 64 \pm 1$ mm is the mean distance to the nearest obstacles in the upper half-sphere above the target in our setup,

$$p(t) = 4\pi \left(\frac{m_{\text{Ps}}}{2\pi k_B T} \right)^{3/2} (d/t)^2 \exp\left[\frac{-m_{\text{Ps}}(d/t)^2}{2k_B T} \right] \frac{d}{t^2}. \quad (\text{A3})$$

The time distribution is subject to the o-Ps ground-state lifetime ($\tau_{\text{o-Ps}} = 142$ ns), which allows the calculation of the fraction R_{th} of o-Ps that will annihilate into three gammas before hitting an obstacle. Since the pick-off probability for such slow o-Ps at metal surfaces is not well known, we assumed it to be 100%, while using at the same time a much bigger positive-only systematic uncertainty of +6 mm on the flight path, compensating for possible reflected o-Ps atoms. Hence, integrating overall the decaying time distribution leads to

$$\begin{aligned} R_{\text{th}} &= 1 - \int_0^\infty p(t) \exp\left(-\frac{t}{\tau_{\text{o-Ps}}} \right) dt \\ &= 0.943_{-0.002}^{+0.013}. \end{aligned} \quad (\text{A4})$$

Consequently, our detector can only detect circa 95% of all thermal o-Ps atoms annihilating into three γ quanta before it annihilates at an obstacle. We estimated that the mean acceptance of our detector for isotropically emitted gamma radiation along the expected flight paths of o-Ps is 1.07(1)%. The obtained variance of the acceptance due to different flight paths is considered negligible compared to the other uncertainty contributions.

For nonthermal o-Ps, the calculation is similar but simplified due to the assumption of mono-energetic Ps, i.e., constant velocity. The calculated Ps work function of Ge(100) lies around ≈ 0 eV [47,48]. This allows one to conclude that $f_{\text{th}} = f_0$. Nonthermal Ps is therefore originating from epithermal positrons only [21]. Assuming a rather wide range for nonthermal Ps energies around 3 eV, only a fraction of $R_{\text{nth}} = 0.46(16)$ can be detected by our detector before pick-off annihilation occurs at an obstacle. Due to the large uncertainty on the kinetic energy, the variance due to the unknown pick-off probability is negligible. The measured Ps amount f_{Ps} and the fitted value f_0 can then be translated to an absolute value $f_{\text{Ps,abs}}$:

$$f_{\text{Ps,abs}} = f_0 \left(\frac{0.75}{R_{\text{th}}} + 0.25 \right) + (f_{\text{Ps}} - f_0) \left(\frac{0.75}{R_{\text{nth}}} + 0.25 \right). \quad (\text{A5})$$

The outcome is summarized in Table I for a measurement at 1100 K.

TABLE I. Summary of Ps components. Fractions f_0 and f_{Ps} obtained from measurement can be divided by the correction factors R_{th} and R_{nth} , respectively, in order to get the absolute fraction of emitted Ps.

Ge(100)	f_0	R_{th}	$(f_{\text{Ps}} - f_0)$	R_{nth}	f_{Ps}	$f_{\text{Ps,abs}}$
1100 K	0.55(1)	$0.943_{-0.002}^{+0.013}$	0.076(10)	0.46(16)	0.626(3)	0.72(4)

- [1] H. Saito and T. Hyodo, *Phys. Rev. Lett.* **90**, 193401 (2003).
- [2] Y. Kataoka, S. Asai, and T. Kobayashi, *Phys. Lett. B* **671**, 219 (2009).
- [3] M. Deutsch, *Phys. Rev.* **82**, 455 (1951).
- [4] Y. C. Jean, P. E. Mallon, and D. M. Schrader, *Principles and Applications of Positron and Positronium Chemistry* (World Scientific, Singapore, 2003).
- [5] D. W. Gidley, H.-G. Peng, and R. S. Vallery, *Annu. Rev. Mater. Res.* **36**, 49 (2006).
- [6] M. Roussanova and M. A. Alam, *J. Phys.: Conf. Ser.* **443**, 012044 (2013).
- [7] C. Hugenschmidt and H. Ceeh, *J. Phys. Chem. B* **118**, 9356 (2014).
- [8] S. Chu and A. P. Mills, *Phys. Rev. Lett.* **48**, 1333 (1982).
- [9] D. B. Cassidy, T. H. Hisakado, H. W. K. Tom, and A. P. Mills, *Phys. Rev. Lett.* **106**, 173401 (2011).
- [10] D. B. Cassidy, T. H. Hisakado, H. W. K. Tom, and A. P. Mills, *Phys. Rev. Lett.* **109**, 073401 (2012).
- [11] S. Aghion *et al.* (AEGIS Collaboration), *Phys. Rev. A* **98**, 013402 (2018).
- [12] S. G. Karshenboim, *Intl. J. Mod. Phys. A* **19**, 3879 (2004).
- [13] A. P. Mills, *Phys. Rev. Lett.* **46**, 717 (1981).
- [14] H. Ceeh, C. Hugenschmidt, K. Schreckenbach, S. A. Gärtner, P. G. Thirolf, F. Fleischer, and D. Schwalm, *Phys. Rev. A* **84**, 062508 (2011).
- [15] Y. Nagashima, *Phys. Rep.* **545**, 95 (2014).
- [16] A. Kellerbauer, M. Amoretti, A. Belov, G. Bonomi, I. Boscolo, R. Brusa, M. Büchner, V. Byakov, L. Cabaret, C. Canali *et al.*, *Nucl. Instrum. Methods Phys. Res. Sect. B* **266**, 351 (2008).
- [17] P. Perez and Y. Sacquin, *Class. Quantum Grav.* **29**, 184008 (2012).
- [18] C. Amsler *et al.* (AEGIS Collaboration), *Commun. Phys.* (to be published).
- [19] A. P. Mills, Jr., *Phys. Rev. Lett.* **41**, 1828 (1978).
- [20] K. G. Lynn and D. O. Welch, *Phys. Rev. B* **22**, 99 (1980).
- [21] R. M. Nieminen and J. Oliva, *Phys. Rev. B* **22**, 2226 (1980).
- [22] E. Soininen, A. Schwab, and K. G. Lynn, *Phys. Rev. B* **43**, 10051 (1991).
- [23] D. B. Cassidy, T. H. Hisakado, H. W. K. Tom, and A. P. Mills, *Phys. Rev. Lett.* **106**, 133401 (2011).
- [24] A. Kawasuso, M. Maekawa, A. Miyashita, K. Wada, T. Kaiwa, and Y. Nagashima, *Phys. Rev. B* **97**, 245303 (2018).
- [25] M. Eldrup, A. Vehanen, P. J. Schultz, and K. G. Lynn, *Phys. Rev. B* **32**, 7048 (1985).
- [26] Y. Nagashima, M. Kakimoto, T. Hyodo, K. Fujiwara, A. Ichimura, T. Chang, J. Deng, T. Akahane, T. Chiba, K. Suzuki *et al.*, *Phys. Rev. A* **52**, 258 (1995).
- [27] S. Mariazzi, P. Bettotti, S. Larcheri, L. Toniutti, and R. S. Brusa, *Phys. Rev. B* **81**, 235418 (2010).
- [28] S. Van Petegem, C. Dauwe, T. Van Hoecke, J. De Baerdemaeker, and D. Segers, *Phys. Rev. B* **70**, 115410 (2004).
- [29] D. Cassidy and A. Mills, *Nucl. Instrum. Methods Phys. Res. Sect. A* **580**, 1338 (2007).
- [30] D. B. Cassidy, T. H. Hisakado, H. W. K. Tom, and A. P. Mills, *Phys. Rev. B* **84**, 195312 (2011).
- [31] S. B. Shrivastava and V. K. Gupta, *Acta Phys. Pol. A* **79**, 853 (1991).
- [32] J. Dryzek and P. Horodek, *Nucl. Instrum. Methods B* **266**, 4000 (2008).
- [33] P. J. Schultz and K. G. Lynn, *Rev. Mod. Phys.* **60**, 701 (1988).
- [34] Y. Nagashima, Y. Morinaka, T. Kurihara, Y. Nagai, T. Hyodo, T. Shidara, and K. Nakahara, *Phys. Rev. B* **58**, 12676 (1998).
- [35] T. Gigl, L. Beddrich, M. Dickmann, B. Rienäcker, M. Thalmayr, S. Vohburger, and C. Hugenschmidt, *New J. Phys.* **19**, 123007 (2017).
- [36] S. Marder, V. W. Hughes, C. S. Wu, and W. Bennett, *Phys. Rev.* **103**, 1258 (1956).
- [37] H. H. Jorch, K. G. Lynn, and T. McMullen, *Phys. Rev. B* **30**, 93 (1984).
- [38] A. Dupasquier and A. Zecca, *Riv. Nuovo Cimento* **8**, 1 (1985).
- [39] J. Makinen *et al.*, *J. Phys.: Condens. Matter* **4**, L503 (1992).
- [40] E. Soininen, J. Mäkinen, D. Beyer, and P. Hautojärvi, *Phys. Rev. B* **46**, 13104 (1992).
- [41] Heinz Maier-Leibnitz Zentrum *et al.*, *J. Large-Scale Res. Facil.* **1**, A23 (2015).
- [42] H. Huomo, E. Soininen, and A. Vehanen, *Appl. Phys. A* **49**, 647 (1989).
- [43] S. Agostinelli *et al.*, *Nucl. Instrum. Methods A* **506**, 250 (2003).
- [44] A. Ore and J. L. Powell, *Phys. Rev.* **75**, 1696 (1949).
- [45] C. Hugenschmidt *et al.*, *Nucl. Instrum. Methods A* **593**, 616 (2008).
- [46] H. Okumura, T. Akane, and S. Matsumoto, *Appl. Surf. Sci.* **125**, 125 (1998).
- [47] N. Buarissa, B. Abbar, J. P. Dufour, N. Amrane, and H. Aourag, *Mater. Chem. Phys.* **44**, 267 (1996).
- [48] J. Zhang, S. Fan, J. Liu, C. Liu, B. Ye, X. Gao, and D. Yao, *Comput. Mater. Sci.* **141**, 75 (2018).