

UNIVERSITY OF
BIRMINGHAM



Top-quark properties at the LHC

($\sqrt{s} = 13 \text{ TeV}$)

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Introduction



- The top quark is the **heaviest** known fundamental particle. Could it play a special role in electroweak symmetry breaking?
- The top quark has a **very short lifetime**, and is the only quark that decays before forming **hadronic bound states**
- This leads to many **measurable properties** that we can test from its **decay products**, probing the **predictions of QCD**
- **Understanding $t\bar{t}$ production is crucial** for many searches for rare SM processes and **physics beyond the SM**
- **The LHC is a Top factory!**



Lots of interesting results!



- **ATLAS:**

- **Spin Correlations** [Eur. Phys. J. C 80 \(2020\) 754](#)
- **Charge Asymmetry** [ATLAS-CONF-2019-026](#)
- **Energy Asymmetry – (Pending)**
- **Top-quark Polarisation** [ATLAS-CONF-2021-027](#)
- **Flavour-Changing Neutral-Currents**
 - $tq\gamma$ – [Phys. Lett. B 800 \(2020\) 135082](#)
- **Lepton Flavour Universality** [Nat. Phys. 17 813–818 \(2021\)](#)

- **CMS:**

- **Spin Correlations / Asymmetries**
 - $t\bar{t}$ – [Phys. Rev. D 100 \(2019\) 072002](#)
 - tqZ – [CMS-PAS-TOP-20-010](#)
- **CP Violation** [CMS-PAS-TOP-20-005](#)
- **Flavour-Changing Neutral-Currents**
 - $tqH(\rightarrow \gamma\gamma)$ – [CMS-PAS-TOP-20-007](#)
 - $tqH(\rightarrow b\bar{b})$ – [CMS-PAS-TOP-19-002](#)
- **Lepton Flavour Universality** [CMS-PAS-SMP-18-011](#)



This talk



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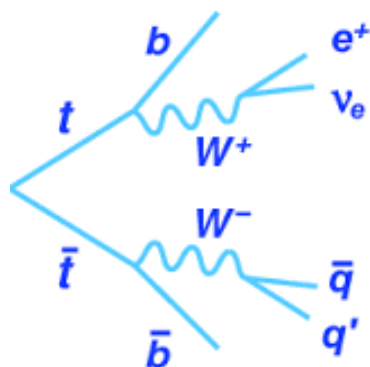
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15min, 6 topics...



Asymmetries that probe CP violating interactions are measured in observables constructed from linearly independent four-moment vectors
Define T-odd operators using final state objects of top decays to build asymmetries (see backup for details) [2]



$t\bar{t} l$ +jets selection, 90% purity
Backgrounds: $t\bar{t}$ dilepton, Single-top, W+jets, Drell-Yan+jets, Diboson...

χ^2 -based Jet assignment for Top and W reconstruction, ~75% accuracy

Fit m_{lb} with CR for W+jets normalisation

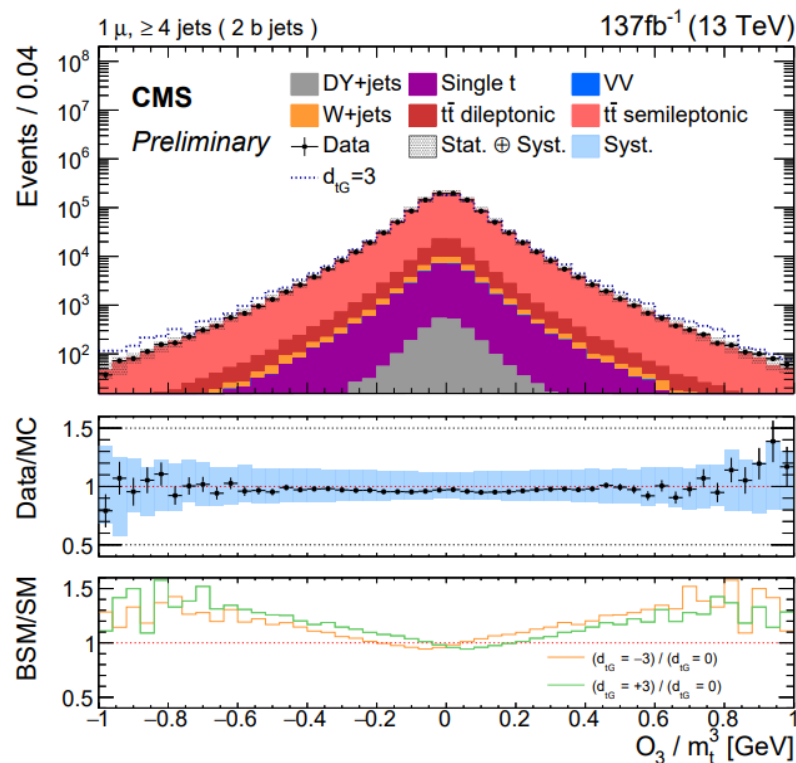
$$O_3 = Q_\ell \epsilon(p_b, p_{\bar{b}}, p_\ell, p_{j_1}) \propto Q_\ell \vec{p}'_b \cdot (\vec{p}'_\ell \times \vec{p}'_{j_1})$$

$$O_6 = Q_\ell \epsilon(P, p_b - p_{\bar{b}}, p_\ell, p_{j_1}) \propto Q_\ell (\vec{p}_b - \vec{p}_{\bar{b}}) \cdot (\vec{p}_\ell \times \vec{p}_{j_1})$$

$$O_{12} = q \cdot (p_b - p_{\bar{b}}) \epsilon(P, q, p_b, p_{\bar{b}}) \propto (\vec{p}_b - \vec{p}_{\bar{b}})_z \cdot (\vec{p}_b \times \vec{p}_{\bar{b}})_z$$

$$O_{14} = \epsilon(P, p_b + p_{\bar{b}}, p_\ell, p_{j_1}) \propto (\vec{p}_b + \vec{p}_{\bar{b}}) \cdot (\vec{p}_\ell \times \vec{p}_{j_1})$$

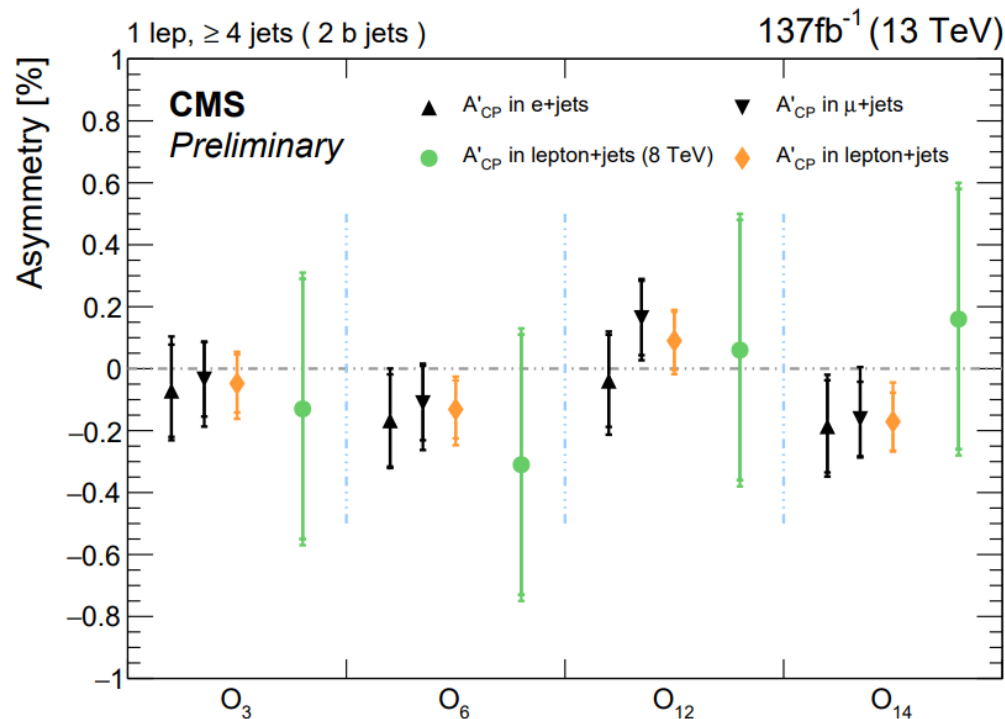
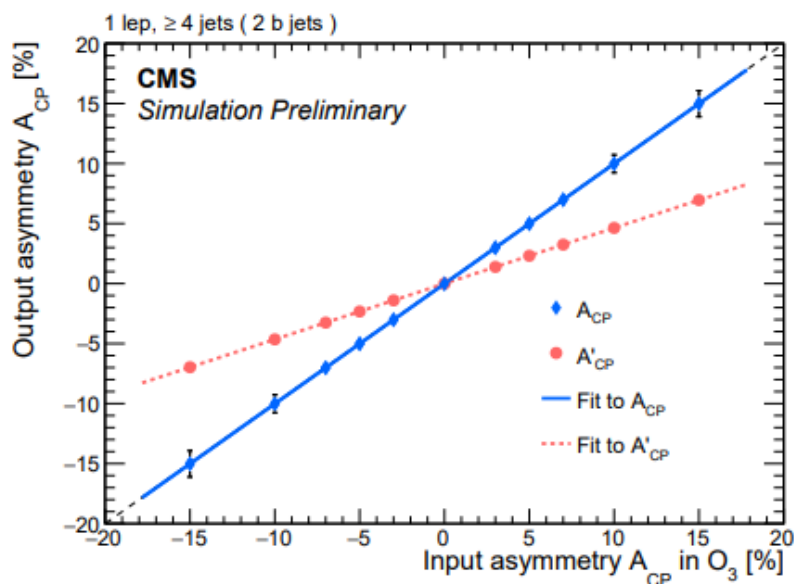
$$A_{CP}(O_i) = \frac{N_{\text{events}}(O_i > 0) - N_{\text{events}}(O_i < 0)}{N_{\text{events}}(O_i > 0) + N_{\text{events}}(O_i < 0)}, i = 3, 6, 12, 14.$$



Note that green and yellow ratio bands are **not** symmetric



- Many sources of ‘dilution’ may affect the measured asymmetry A_{CP} (e.g. object mis-reconstruction) which can be corrected for
- BSM physics could be large enough to appear even in an uncorrected asymmetry A'_{CP}



Precision of 10^{-3} achieved, no evidence for CP violating effects

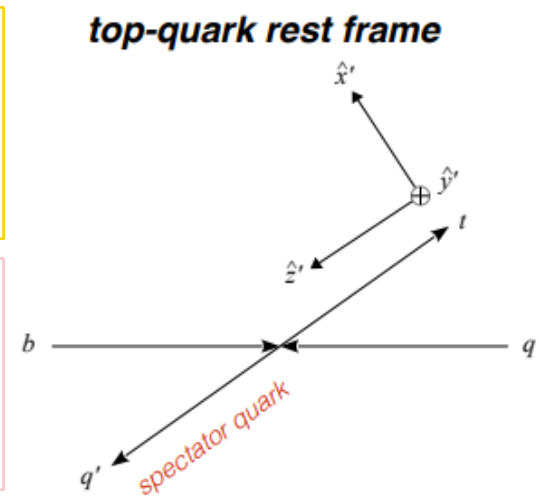
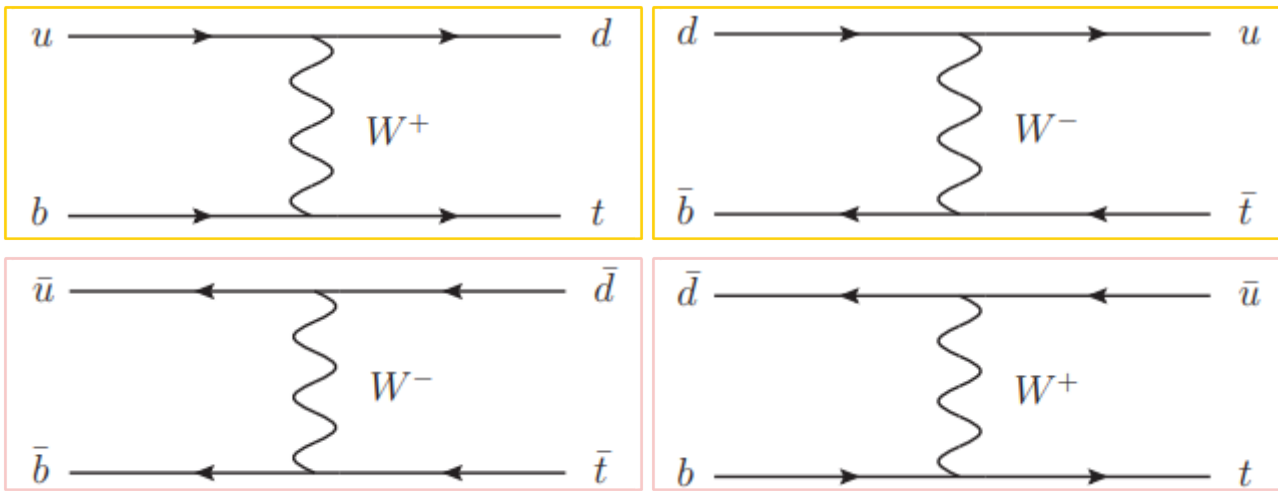
observed – consistent with SM expectation.

Results are stat dominated.

Largest systematics: Top Modelling (see backup)



Top Polarisation



$t\bar{t}$ produces unpolarised tops (parity conservation in QCD)

t-channel is dominant process for single-top production at LHC

Single-top production (V-A coupling in Wtb vertex) leads to tops with their spin completely aligned along (or against) the direction of the down-type quark (the 'spectator' quark), depending on the **dominant** or **subdominant** process and the production of a t or \bar{t}

t = Aligned

\bar{t} = Anti Aligned

$$P_{z'}(t) \sim 0.90$$

(Depend on \sqrt{s} , acceptance...)

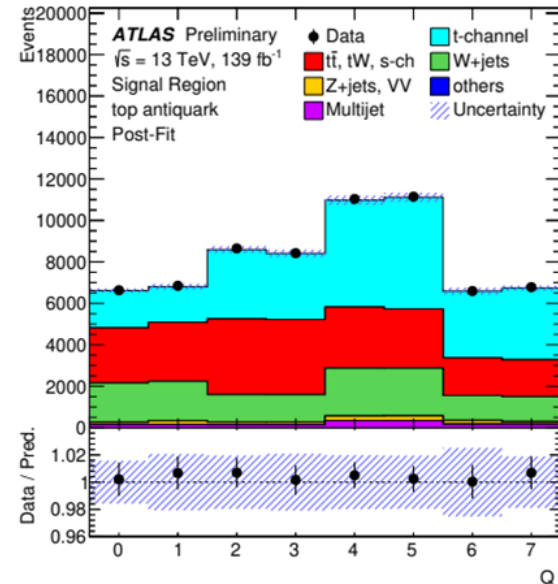
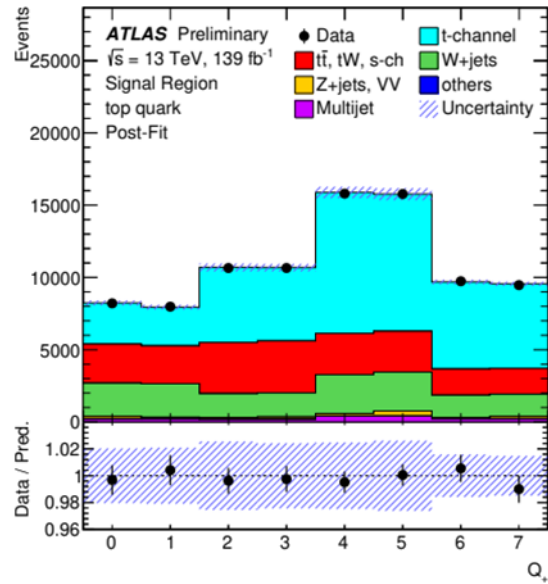
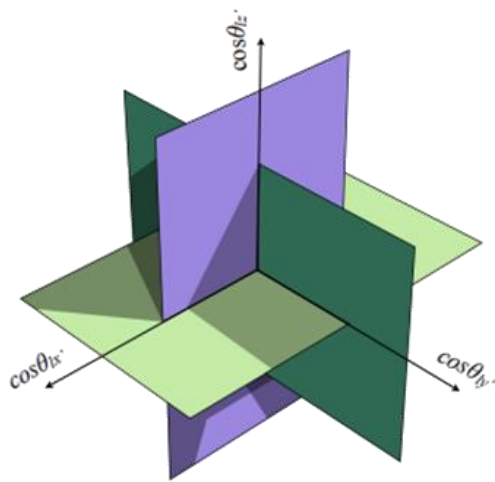
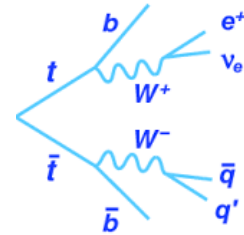
t = Anti Aligned

\bar{t} = Aligned

$$P_{z'}(\bar{t}) \sim -0.86$$



- Top quark spin affects angular distributions of decay products
- MC Templates may be built between samples to represent any combination of valid polarisations $\{P_{x'}, P_{y'}, P_{z'}\}$
- Build angular distributions for the charged lepton with respect to each axis θ_{li}



- *Octant Variable Q* defines all signal regions, broken by sign of $\cos\theta_{li}$ and q_l
- CRs are introduced for $t\bar{t}$, W +jets backgrounds

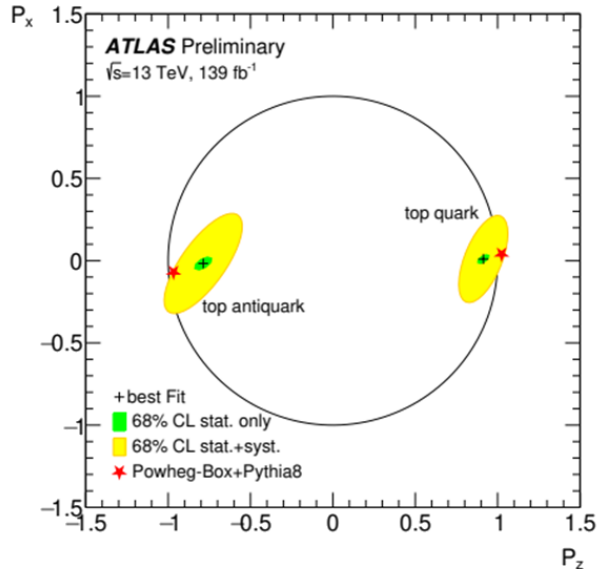
Profile likelihood fit over all regions simultaneously:

- 6 Pols (polarisations split by top charge)
- Normalisation Factors for CRs
- Nuisance parameters associated to systematic uncertainties





Polarisations in agreement with SM predictions to 1σ (Powheg+Pythia8)



Uncertainties dominated by Jet Energy Resolution (JER)

- Polarisation depends on kinematic angles determined in top-quark rest frame
- JER is key to reconstruction of this frame

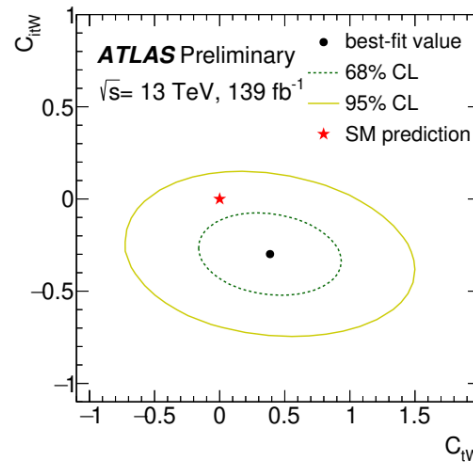
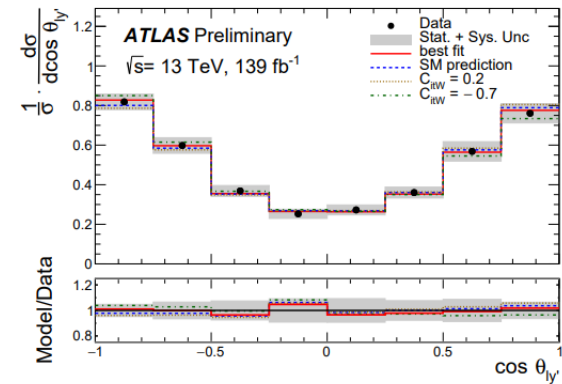
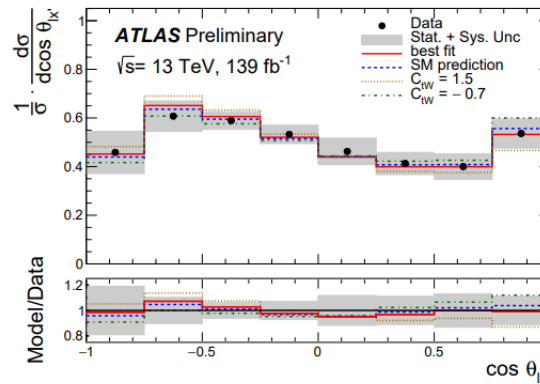
First order dim6 EFT operators that contribute to t-channel production:

- O_{tW} - Operator to focus on

C_{tW} most affects $P_{x'}$

C_{itW} most affects $P_{y'}$

(Non-zero value could imply CPV)



- Morphing technique used to interpolate between different Wilson Coefficient values
- Both coefficients fitted simultaneously (does not assume other is zero)

Result compatible with SM within 2σ (MG5_aMC@NLO+Pythia8)

Top Spin Asymmetry



In single-top tZq events, a 'spin asymmetry' A_l may be defined using leptons from top-decays which can be used as a proxy for the Top polarisation:

$$A_l = \frac{1}{2} P a_l$$

P = Top polarisation

a_l = Spin analysing power of lepton

$$\cos(\theta_{\text{pol}}^*) = \frac{\vec{p}(q'^*) \cdot \vec{p}(l_t^*)}{|\vec{p}(q'^*)| |\vec{p}(l_t^*)|}$$

Momenta of spectator quark q' and lepton from top decay l_t in

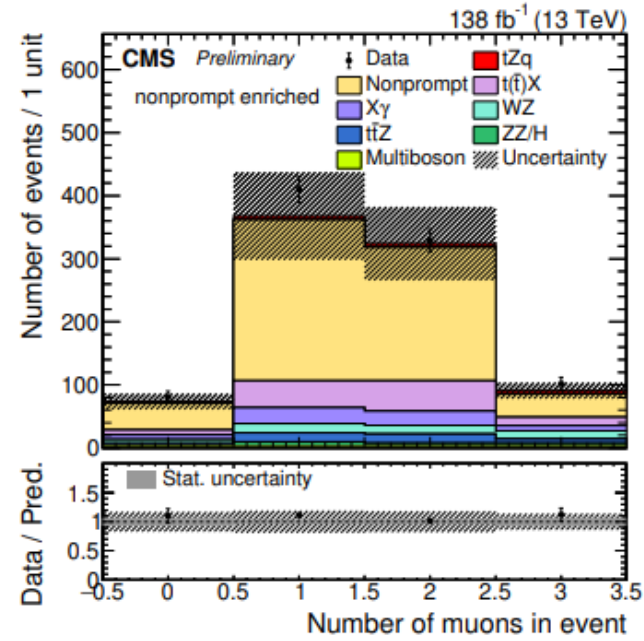
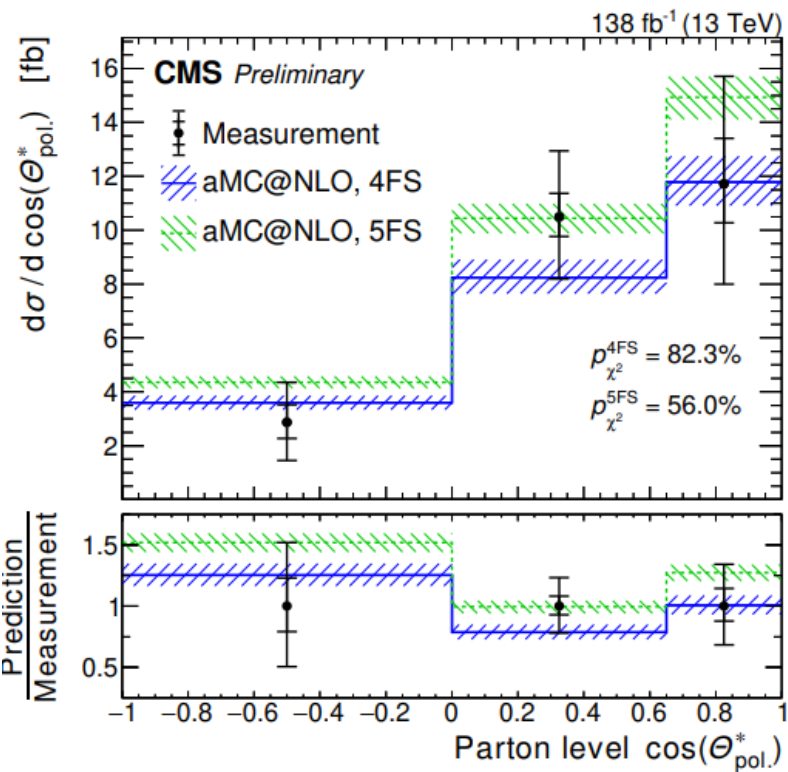
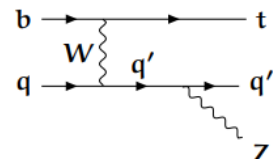
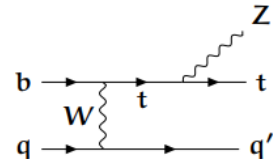
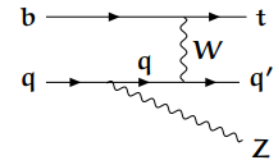
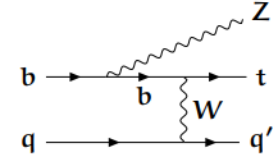
$$\frac{d\sigma}{d\cos(\theta_{\text{pol}}^*)} = \sigma_{tZq} \left(\frac{1}{2} + A_l \cos(\theta_{\text{pol}}^*) \right)$$

Spin asymmetry may be related to differential cross-section using top quark polarisation angle





- Measure tZq differential cross-sections using 3-lepton final states
- Neural Network (NN) to separate signal tZq events from backgrounds: $t\bar{t}Z/X$, Diboson, Non-prompt leptons
- Many CRs defined with enhanced processes to check modelling in a **profile-likelihood fit**



$$A_\ell = 0.58^{+0.15}_{-0.16} \text{ (stat)} \pm 0.06 \text{ (syst)}$$

$$A_l^{4FS,SM} = 0.437^{+0.004}_{-0.003} \quad (\text{ISR, FSR, PDFs, renormalisation + factorisation scales})$$

$$A_l^{5FS,SM} = 0.454^{+0.004}_{-0.005}$$

Unfolded polarisation distribution at parton level



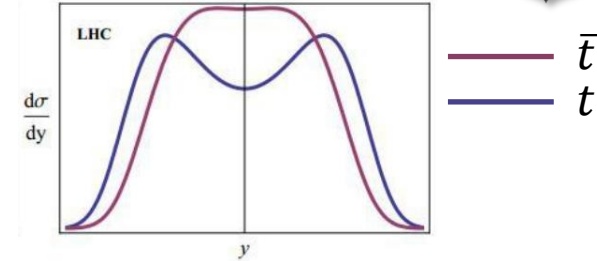


- LO $t\bar{t}$ production is symmetric
- Higher-order interferences between $q\bar{q}$ and qg create an asymmetric production

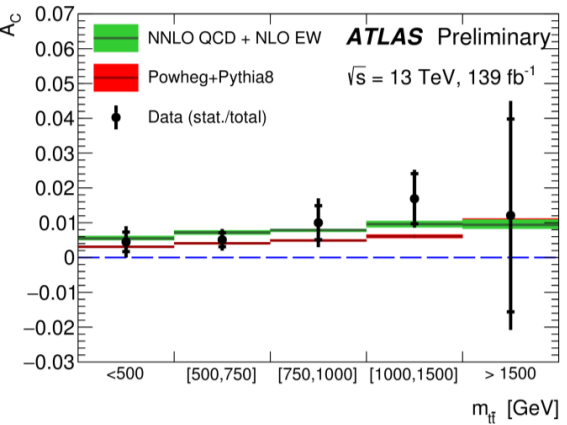
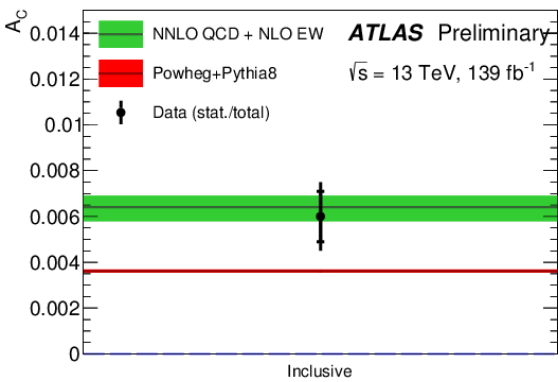
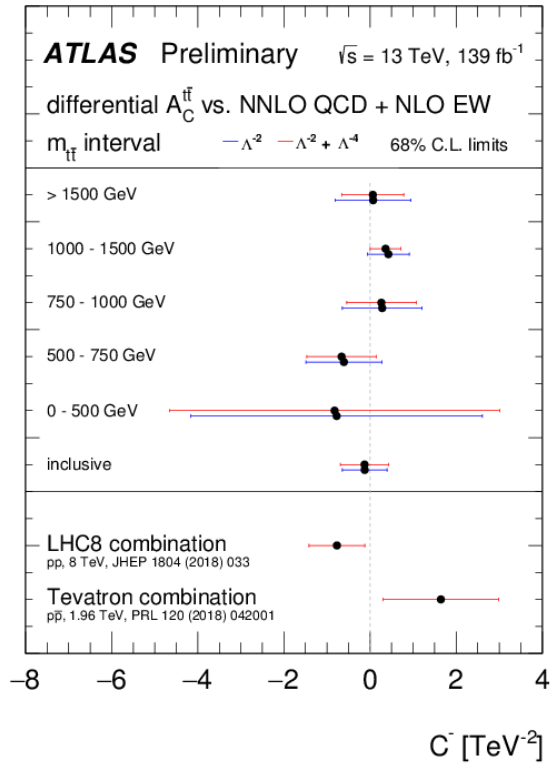
- t is produced preferentially in direction of incoming q

$$A_C = \frac{N(\Delta|y| > 0) - N(\Delta|y| < 0)}{N(\Delta|y| > 0) + N(\Delta|y| < 0)}$$

$$\Delta|y| = |y_t| - |y_{\bar{t}}|$$

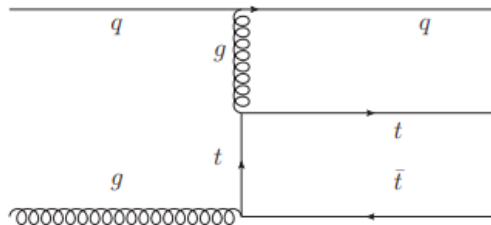
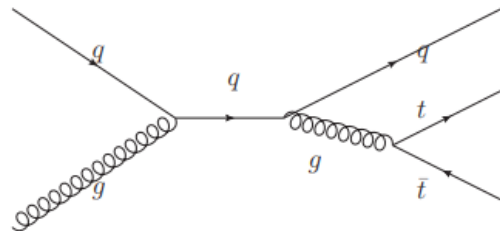


- Charge symmetric gg production dilutes asymmetry
- Several BSM models predict alterations to A_C , especially with variation as a function of $m_{t\bar{t}}$ and $\beta_{z,t\bar{t}}$
 - Anomalous vector/axial couplings (e.g. axigluons)
 - Heavy Z' bosons



$$A_C = 0.0060 \pm 0.0015 \text{ (stat+syst.)}$$

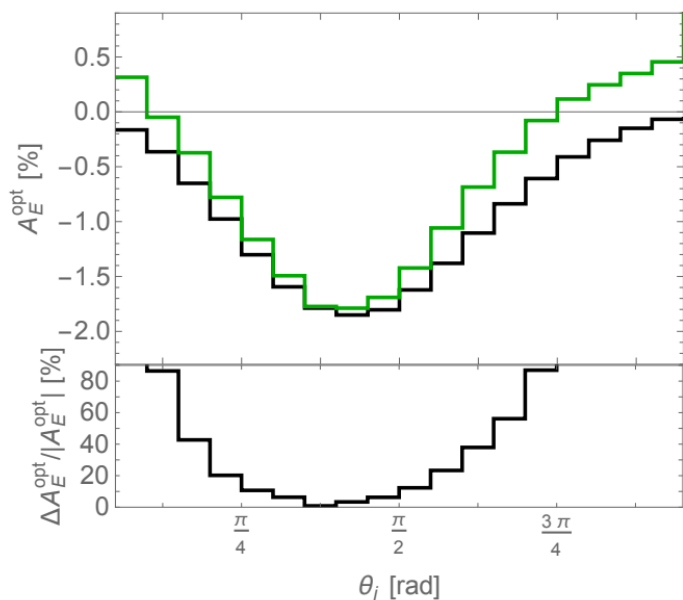
C^-/Λ^2 C^- is linear combination of Wilson Coefficients. Λ is scale of new physics
EFT coefficient useful for many models (axigluons, kaluza-klein, randall-sundrum)



Asymmetry built in $t\bar{t}j$ production

- $\Delta E = E_t - E_{\bar{t}}$
- $\theta_j = \text{Jet scattering angle w.r.t incoming parton}$
- $\Delta E, \theta_j$ defined in $t\bar{t}j$ rest frame

$$A_E(\theta_j) \equiv \frac{\sigma_{t\bar{t}j}(\theta_j, \Delta E > 0) - \sigma_{t\bar{t}j}(\theta_j, \Delta E < 0)}{\sigma_{t\bar{t}j}(\theta_j, \Delta E > 0) + \sigma_{t\bar{t}j}(\theta_j, \Delta E < 0)} \equiv \frac{\sigma_A(\theta_j)}{\sigma_S(\theta_j)}$$



- Complementary measurement to charge (rapidity) asymmetry (CA) – probes new directions in SMEFT
- Potential for future combination with CA
- Many BSM models generate multiple four-quark operators simultaneously

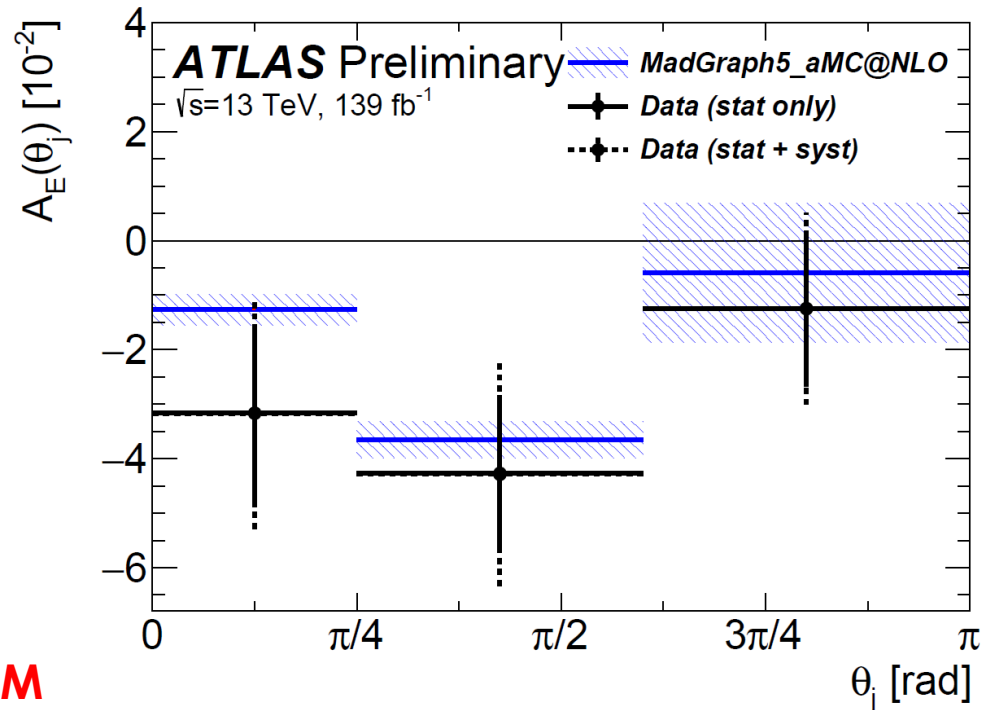
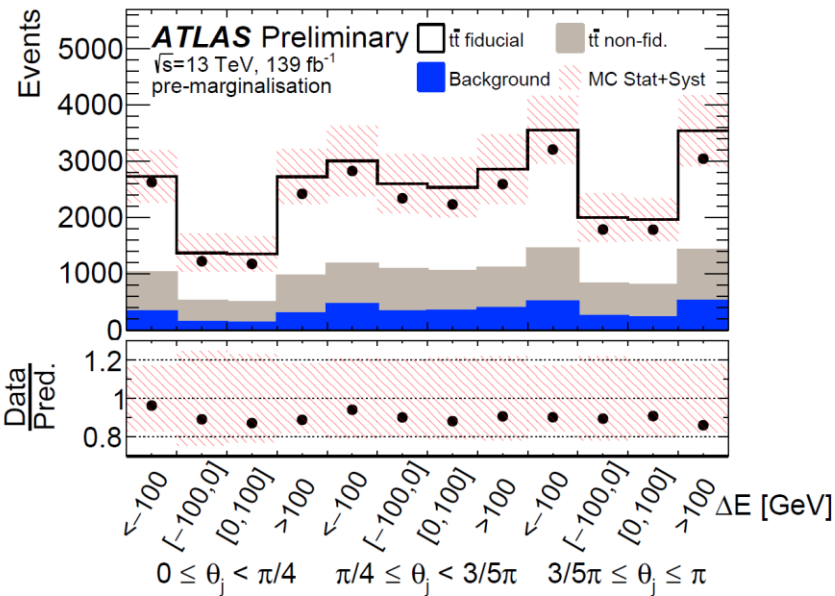
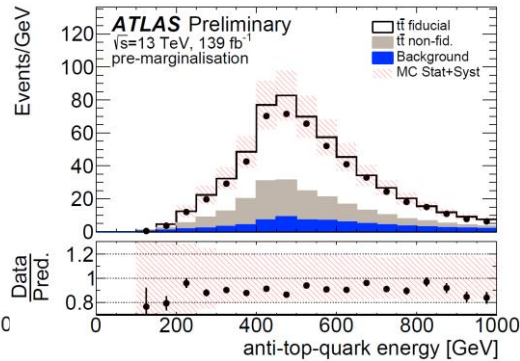
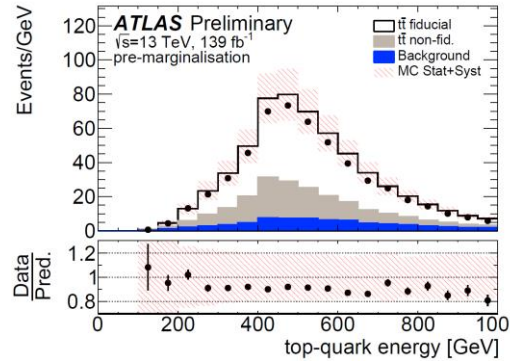
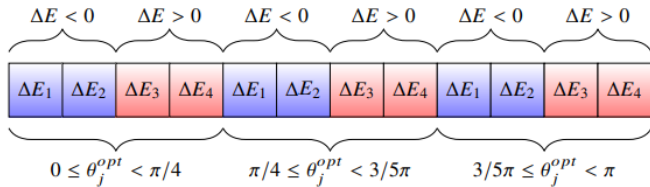


Energy Asymmetry

139 fb⁻¹

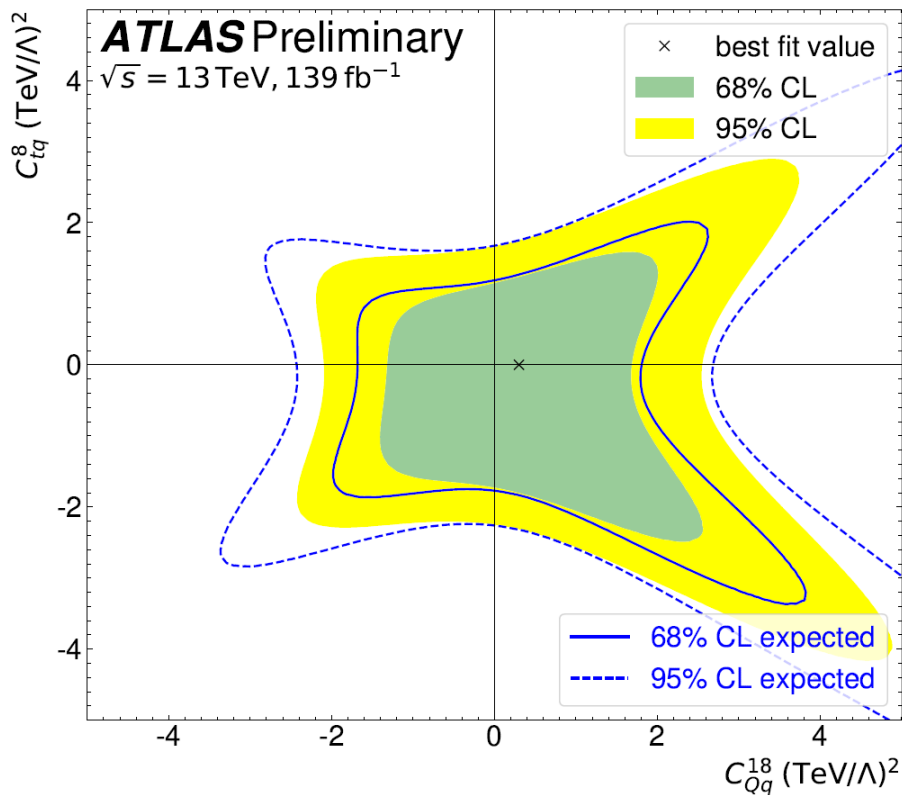


- $t\bar{t}$ lepton+jets boosted topology
- Fully Bayesian Unfolding to particle level
- Binned in θ_j to increase sensitivity

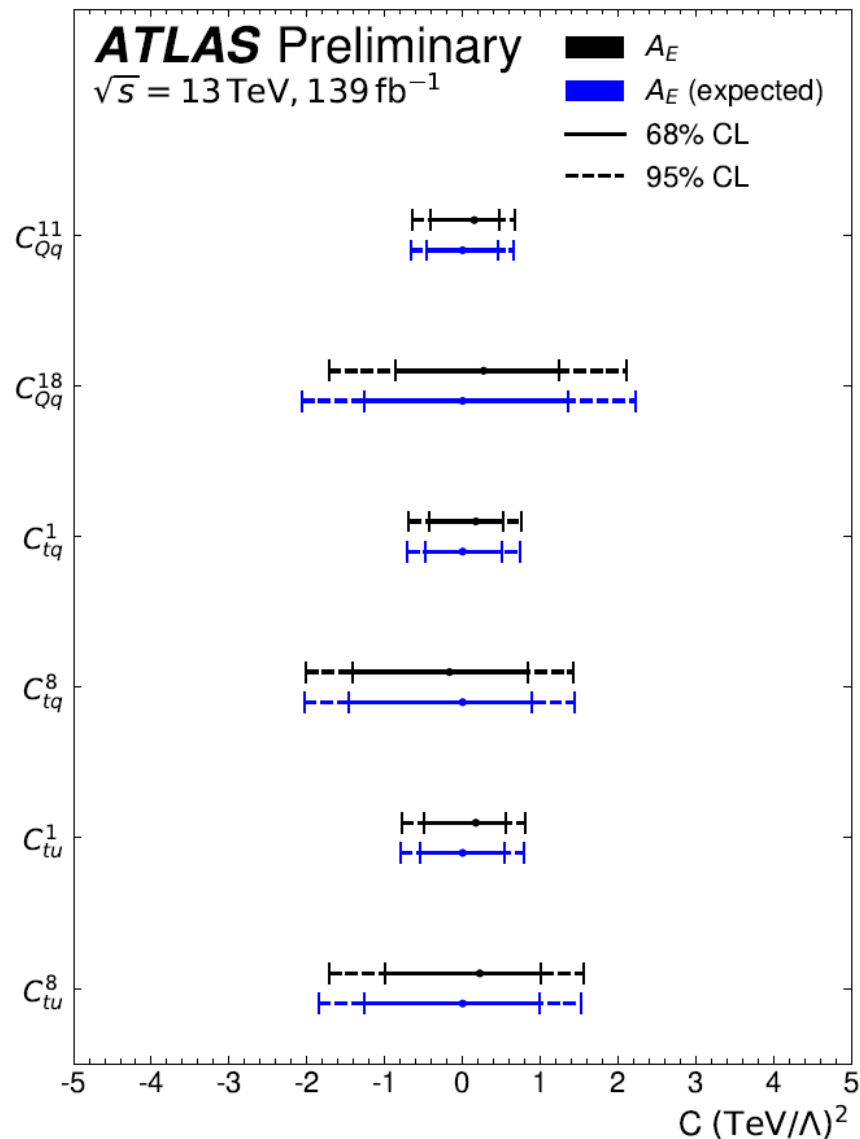


All asymmetry results agree with SM predictions (MG5_aMC@NLO+Pythia8, NLO QCD + PS)

Heavily dominated by statistical uncertainties



All EFT fits are consistent with zero



Lepton Flavour Universality (LFU)



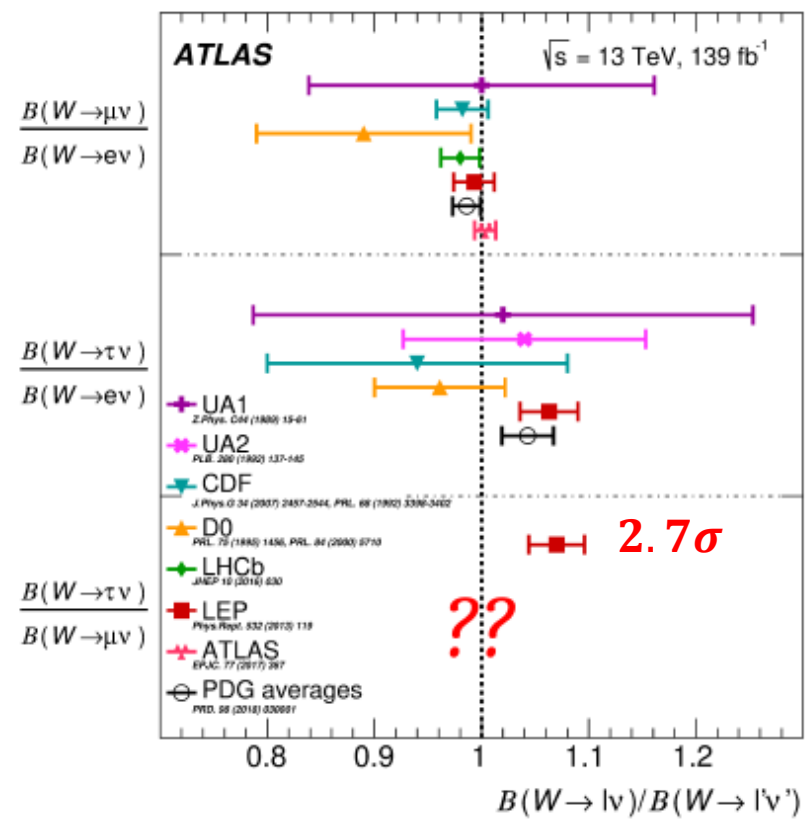
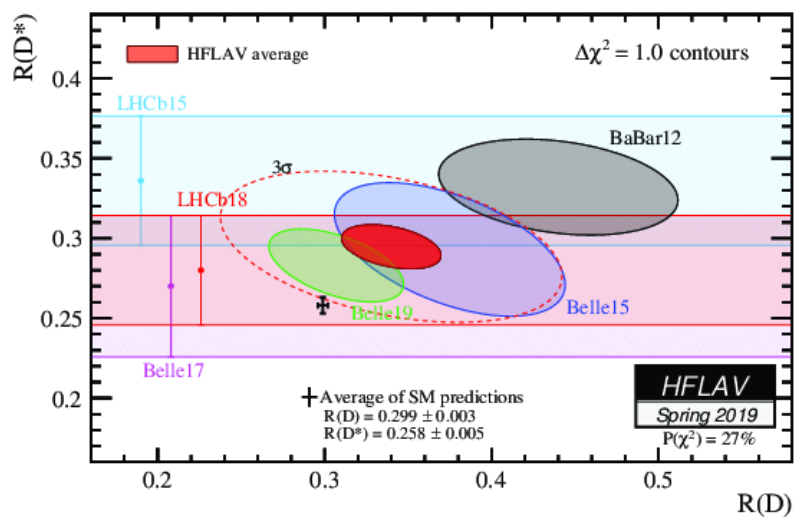
- Fundamental axiom of SM
- Branching ratios of W and Z boson decays should be equal for all lepton flavours and charges e^\pm, μ^\pm, τ^\pm - ratios can be used to check this!

LEP

$$\mathcal{B}(W \rightarrow \mu\bar{\nu}_\mu) / \mathcal{B}(W \rightarrow e\bar{\nu}_e) = 0.993 \pm 0.019,$$

$$\mathcal{B}(W \rightarrow \tau\bar{\nu}_\tau) / \mathcal{B}(W \rightarrow e\bar{\nu}_e) = 1.063 \pm 0.027,$$

$$\mathcal{B}(W \rightarrow \tau\bar{\nu}_\tau) / \mathcal{B}(W \rightarrow \mu\bar{\nu}_\mu) = 1.070 \pm 0.026.$$



$$R(D^{(*)}) = \frac{\text{BR}(B \rightarrow D^{(*)}\tau\nu)}{\text{BR}(B \rightarrow D^{(*)}\mu\nu)}$$

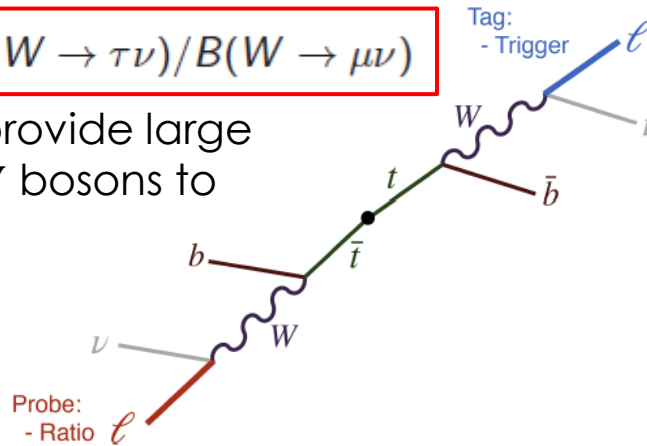
3.1 σ





$$R(\tau/\mu) = B(W \rightarrow \tau\nu)/B(W \rightarrow \mu\nu)$$

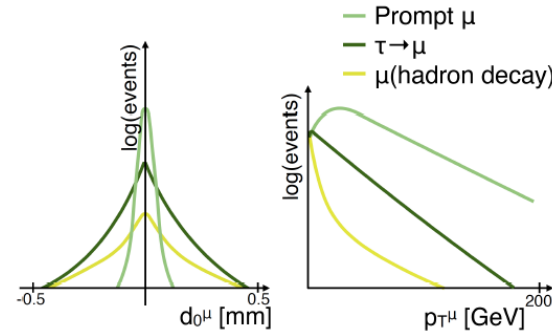
$t\bar{t}$ decays provide large source of W bosons to study



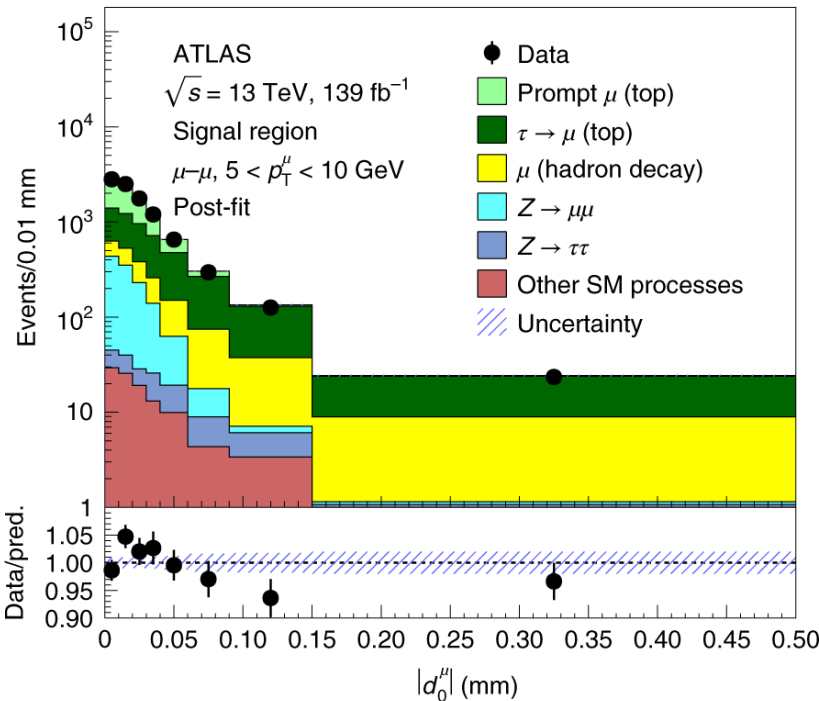
Focus on leptonic $\tau \rightarrow \mu$ decays, for a final state with opposite-sign $e\mu$, $\mu\mu$

Large background from $Z \rightarrow \mu\mu$, $\text{had} \rightarrow \mu$

- CRs for normalisation
- Focus on muons with low- p_T and large transverse-impact parameter $|d_0^\mu|$



Requires careful $|d_0^\mu|$ modelling – corrections for beamspot size, alignment and material interactions

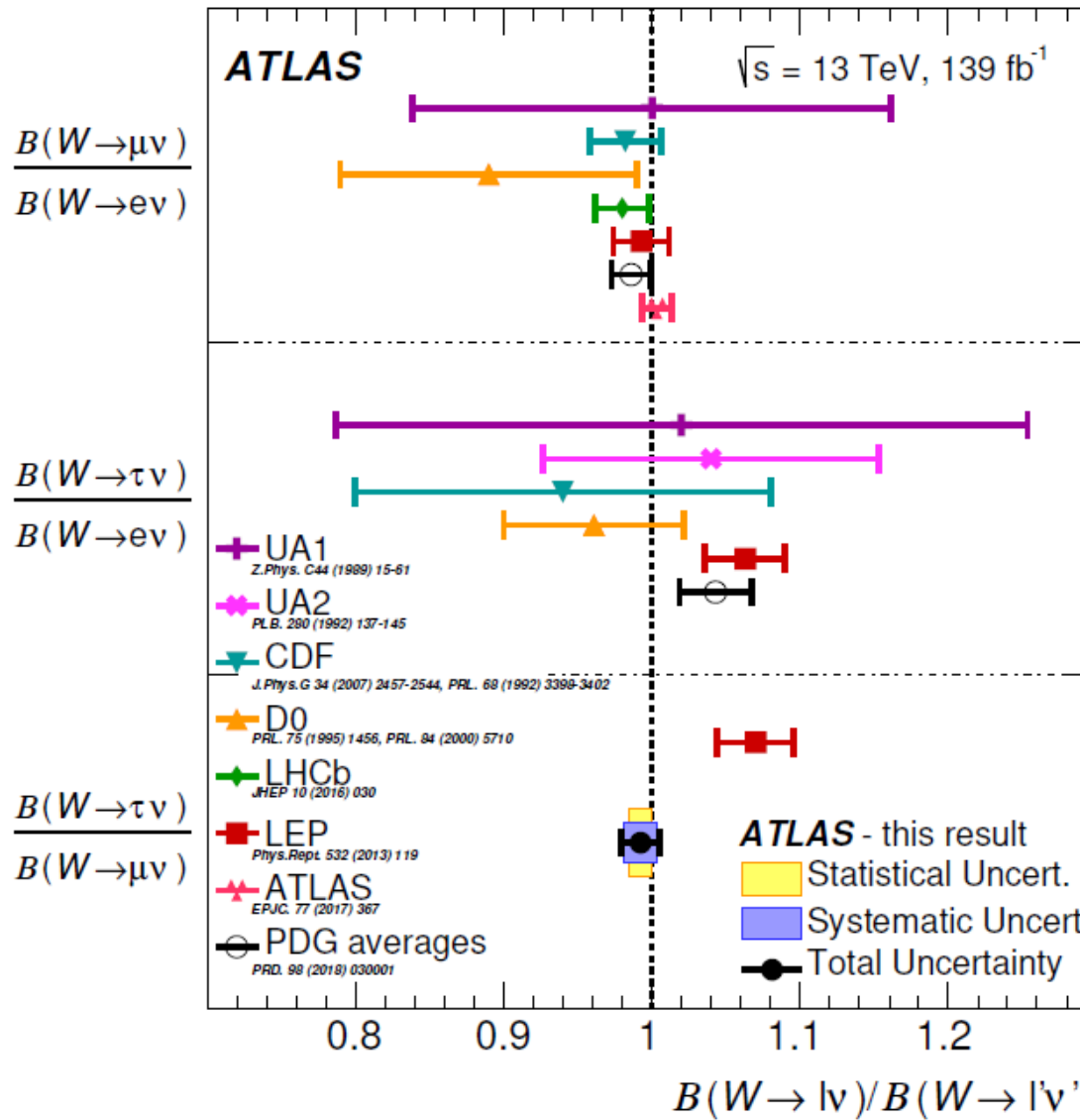


$$R(\tau/\mu) = 0.992 \pm 0.013 [\pm 0.007 \text{ (stat)} \pm 0.011 \text{ (syst)}]$$

Consistent with SM expectation!

Largest systematic uncertainties:

- Prompt d_0^μ templates
- Parton shower variations
- Muon isolation efficiency



Precision tighter than LEP

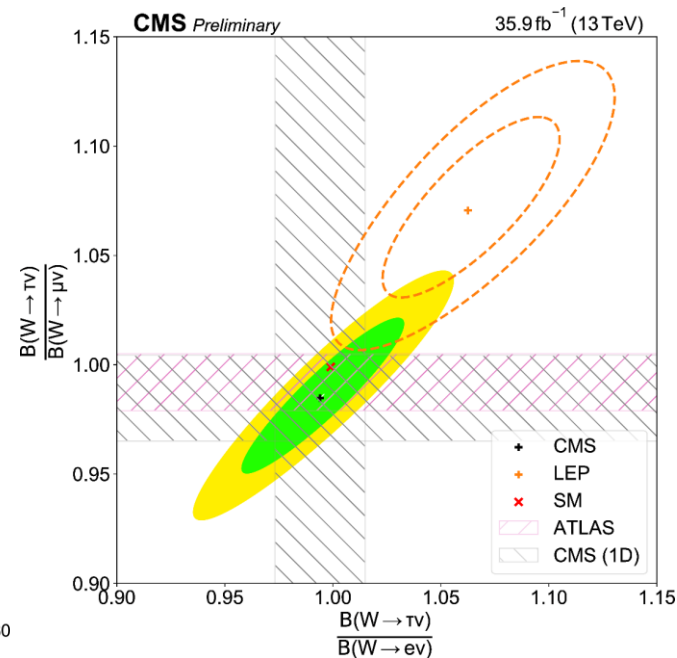
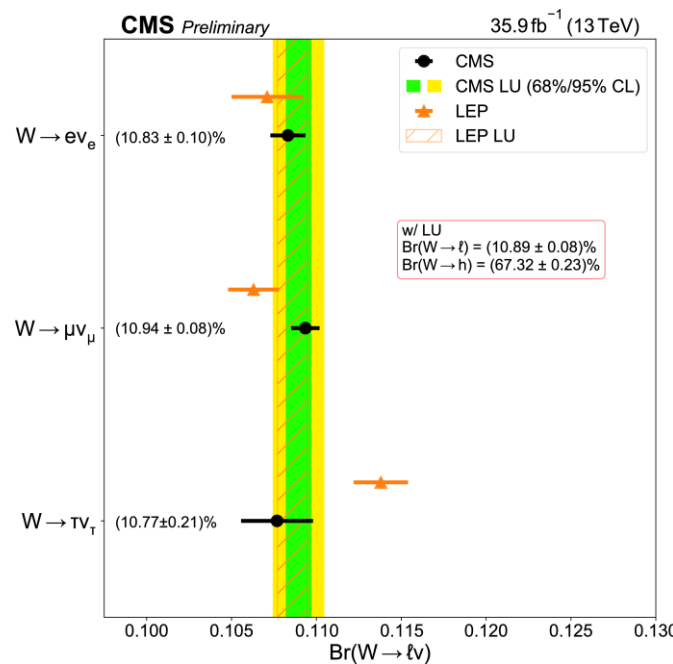
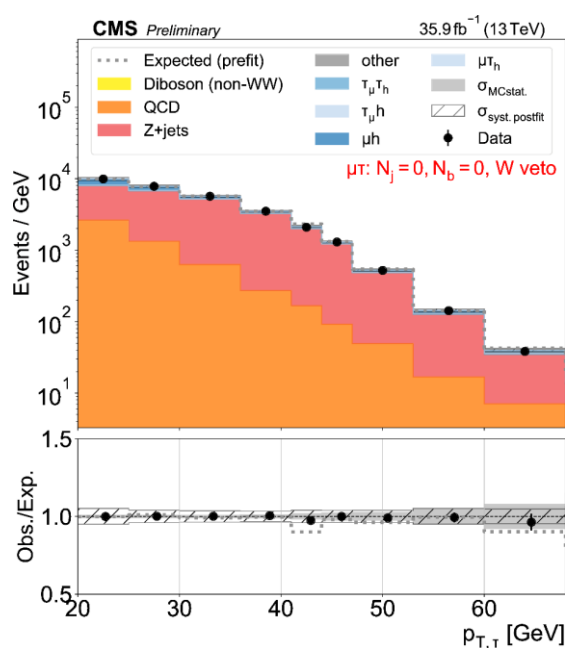




- Measuring each $W \rightarrow lv$ branching ratio using $t\bar{t}$, tW , WW and W +jets processes, including hadronic tau final states
- p_T spectrum used as primary distribution
- CRs for estimating non-prompt background SFs
- MLE approach using histogram templates

$R(\tau/\mu) = 0.985 \pm 0.020$ Agreement with SM!

	$N_j = 0$	$N_j = 1$	$N_j = 2$	$N_j = 3$	$N_j \geq 4$
$N_b = 0$	$e\tau, \mu\tau, e\mu$	$e\tau, \mu\tau, e\mu$	$e\tau, \mu\tau, ee, \mu\mu, e\mu$		
$N_b = 1$		$e\tau, \mu\tau, e\mu$	$e\tau, \mu\tau$	$e\tau, \mu\tau, ee, \mu\mu, e\mu$	
$N_b \geq 2$			$e\tau, \mu\tau$	$e\tau, \mu\tau, ee, \mu\mu, e\mu$	
					$eh, \mu h$



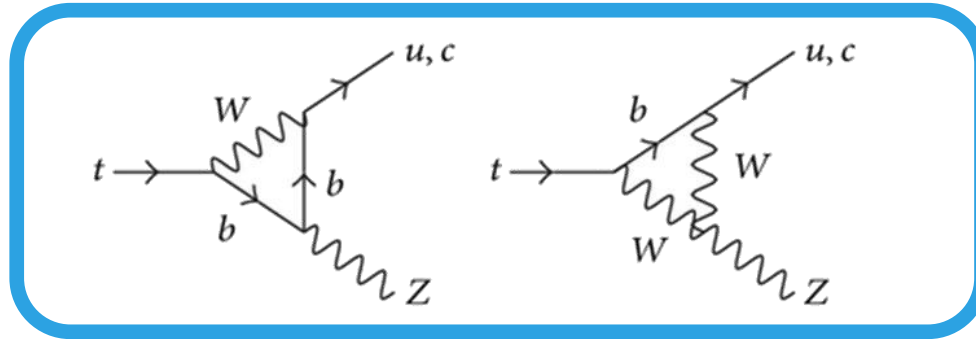
(Latest ATLAS result overlaid)



Flavour-changing neutral currents

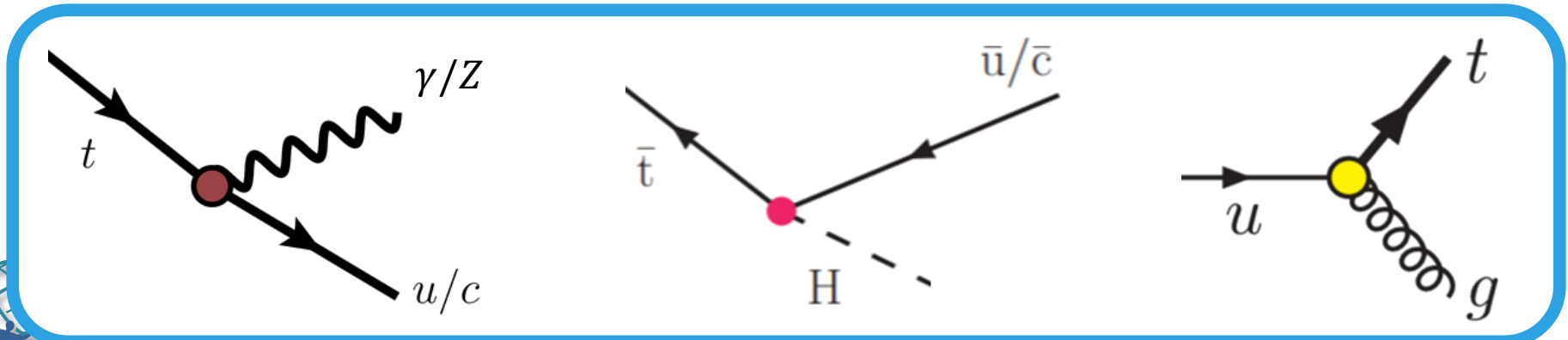


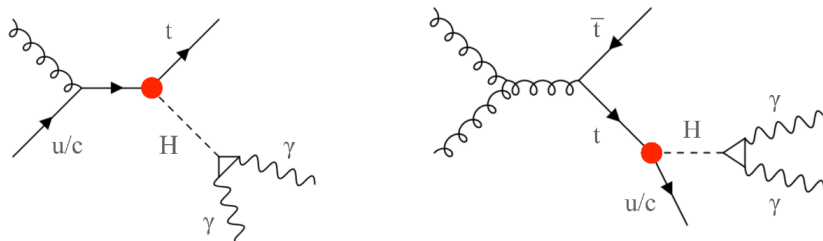
FCNCs are forbidden in SM at tree level and strongly suppressed at higher orders via GIM mechanism ($BR \sim 10^{-14}$)



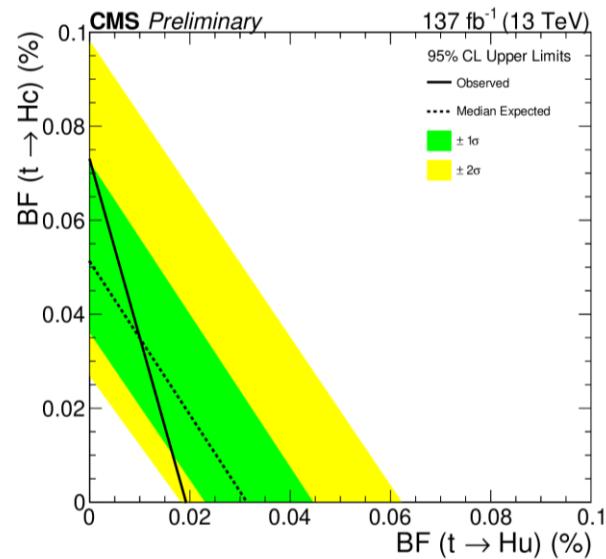
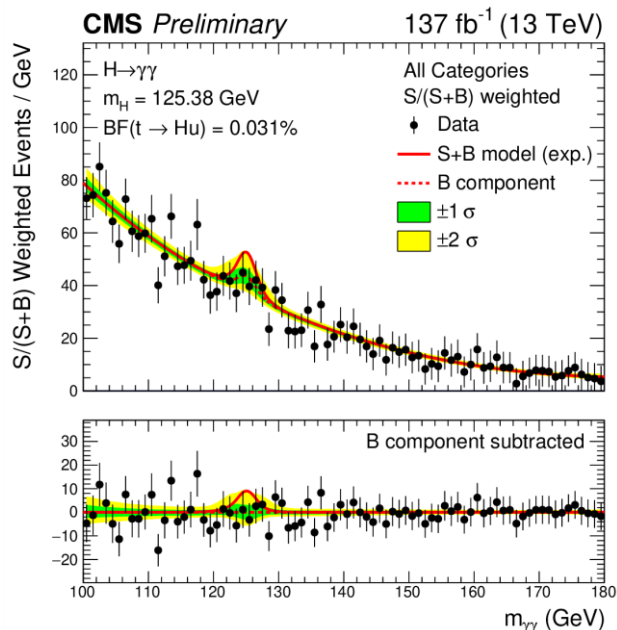
Some BSM models predict significantly larger branching ratios for FCNC processes ($BR \sim 10^{-4}$), e.g. R-parity-violating SUSY [1], 2HDM [2], new exotic quarks [3]

Top FCNCs may be studied in many channels:





- Both production and decay modes
- Non-resonant background is modelled using data
- Resonant background is modelled using simulation
- 14 $m_{\gamma\gamma}$ distributions simultaneously fit to extract FCNC signal strength (breakdown by background-category BDT scores)



- **No evidence of new physics found**
- Observed limits are significant improvement on previous combined results
- Dominant uncertainties are from b -jet and photon identification

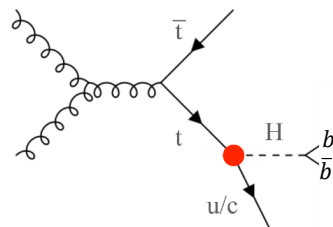
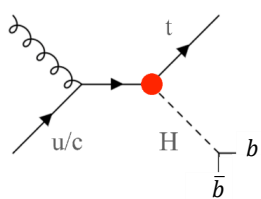
$$B(t \rightarrow Hu) \leq 1.9 \times 10^{-4}$$

$$B(t \rightarrow Hc) \leq 7.3 \times 10^{-4}$$



FCNC $tqH(\rightarrow b\bar{b})$

CMS-PAS-TOP-19-002
137 fb⁻¹

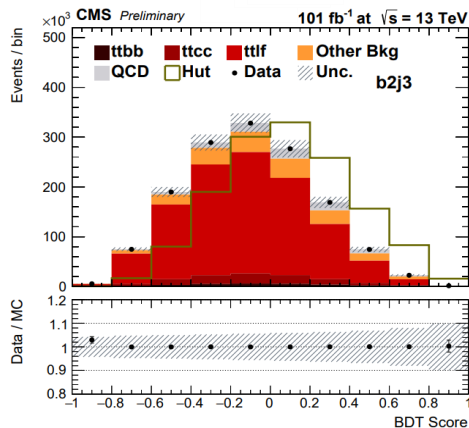


- Both production and decay modes
- Events are categorised by jet and b-jet tag multiplicities

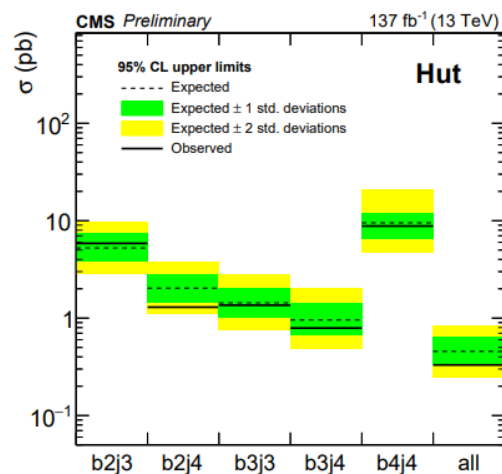
- DNN used to reconstruct tops with jet permutations
- Resultant kinematic distributions input to BDT for signal-background separation
- No evidence of new physics found**
- Observed limits are significant improvement on previous combined results
- Dominant uncertainties are from b -jet identification

$$B(t \rightarrow Hu) \leq 7.9 \times 10^{-4}$$

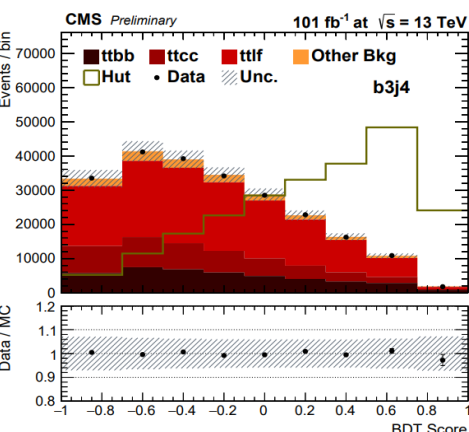
$$B(t \rightarrow Hc) \leq 9.4 \times 10^{-4}$$



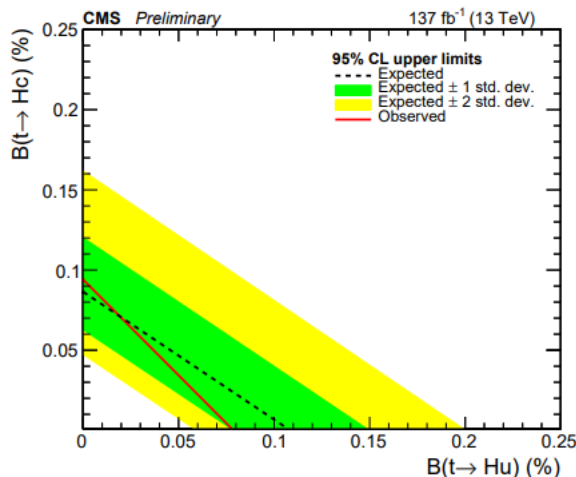
b2j3



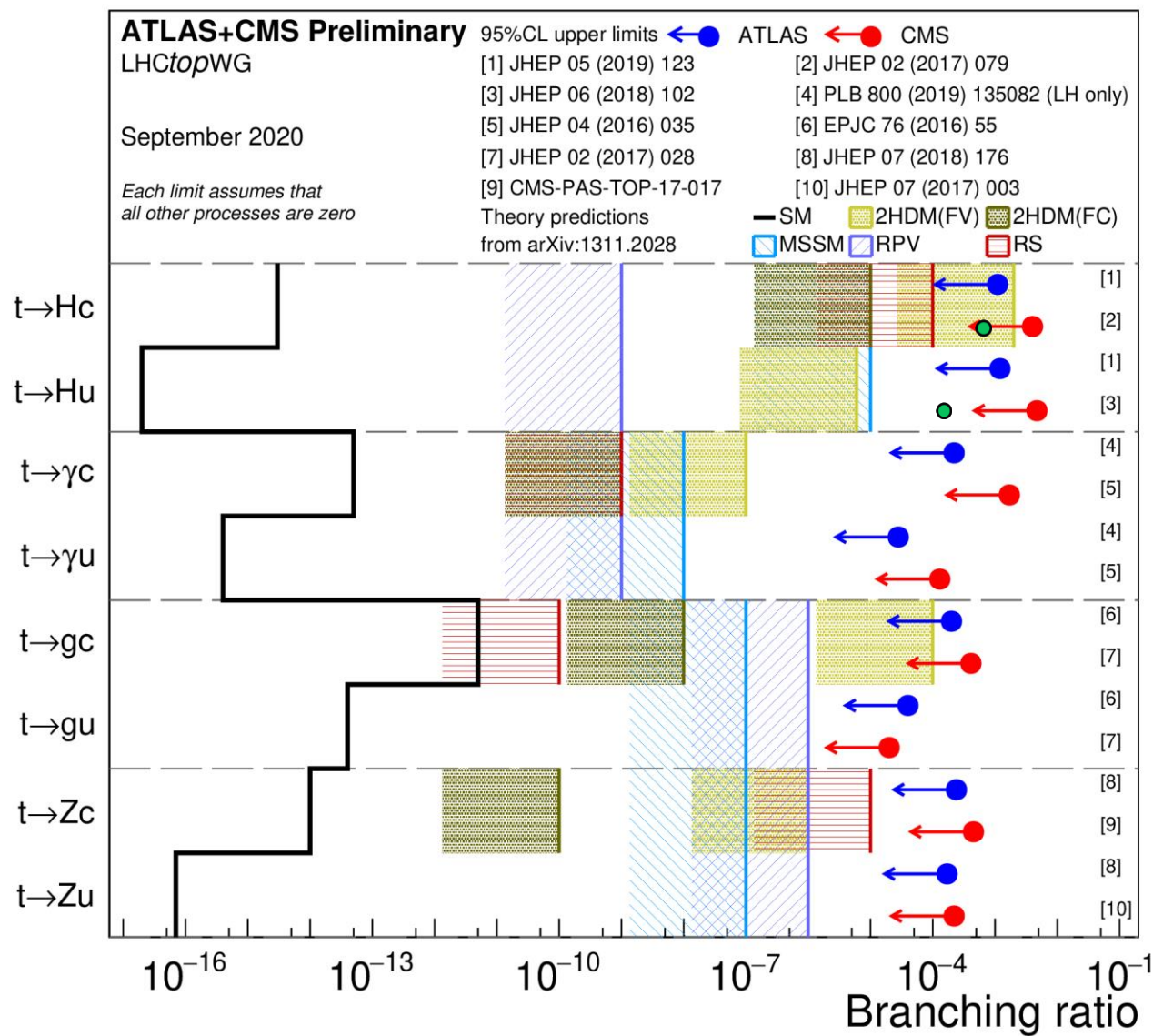
b2j3 b2j4 b3j3 b3j4 b4j4 all



b3j4



Flavour-changing neutral currents



● Approximate positions of new $t \rightarrow Hq$ result ($H \rightarrow \gamma\gamma$)

CMS-PAS-TOP-20-007



Summary



- There is a **lot** of very interesting physics being studied with respect to the properties of the top quark! (Far too much to cover in a single presentation)
- Novel analysis techniques are being created to probe new and interesting properties at increasing precision – aiming to answer fundamental universal questions
 - CPV limits set down to 10^{-3}
 - Top Polarisation / Spin Asymmetry / Energy Asymmetry in agreement with SM
 - LFU measurements more precise than LEP – agreement with SM
 - FCNC tHq limits set down to 10^{-4}
- FCNC analyses are beginning to take the next step forward using the full Run 2 dataset – these should make for tighter limits approaching BSM model sensitivities

[ATLAS Top Public Results](#)

[CMS Top Public Results](#)

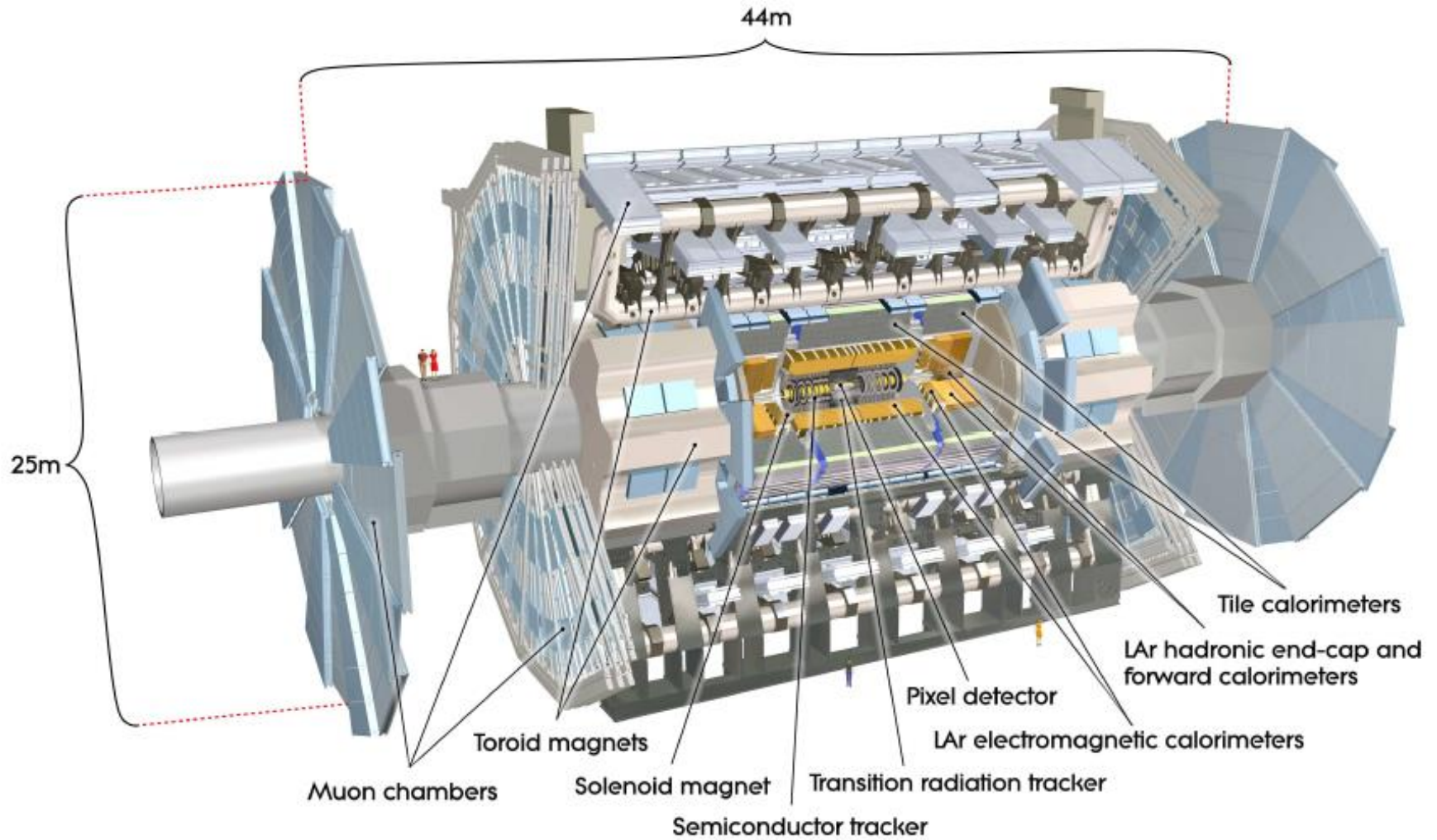
[LHC Top Working Group](#)





BACKUP







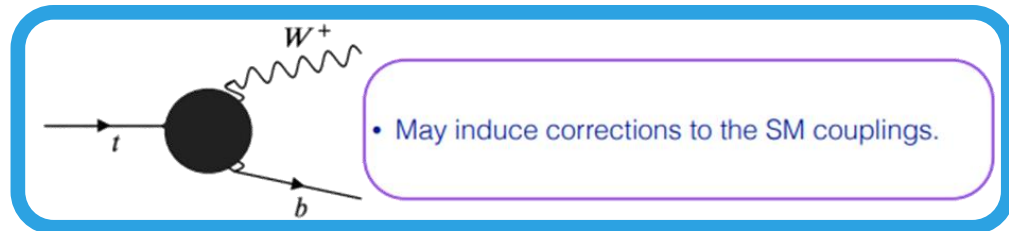
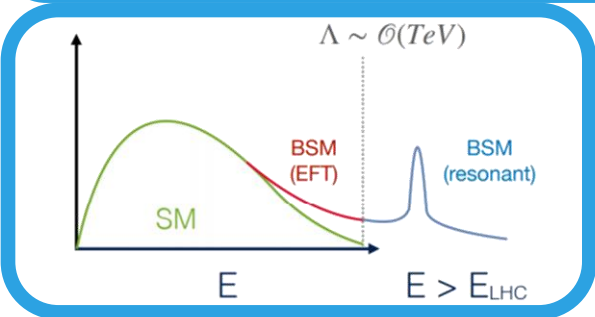
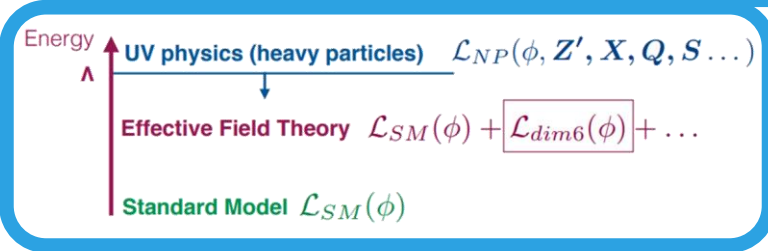
Effective Field Theories parameterise the effect of new physics via higher-dimension operators:

$$\mathcal{L}_{SM} = \mathcal{L}_{SM}^{(4)} + \frac{1}{\Lambda} \sum_k C_k^{(5)} Q_k^{(5)} + \frac{1}{\Lambda^2} \sum_k C_k^{(6)} Q_k^{(6)} + \mathcal{O}\left(\frac{1}{\Lambda^3}\right)$$

Wilson coefficients:
Couplings of SM fields to NP

Gauge invariant operators

Higher terms suppressed by increasing powers of Λ



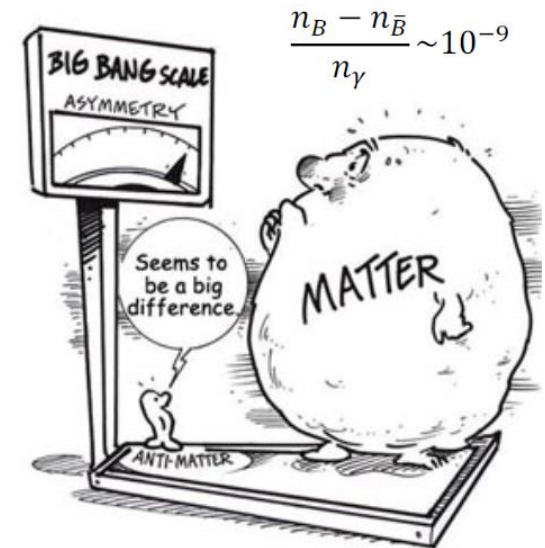
CP Violation (CPV)



- CPV originates from complex phase in CKM matrix
- Detailed measurements frequent in b, c, s -quark sectors
 - Consistent with SM expectation
 - Insufficient to explain matter-antimatter asymmetry in universe

1. *Baryon number violating interactions;*
2. *CP violation;*
3. *Departure from thermal equilibrium;*

Sakharov



- CPV in top decays is expected to be very small [1]
 - Any observation would imply BSM physics (e.g. chromo-electric dipole moments (CEDM))





$$O_3 = Q_\ell \epsilon(p_b, p_{\bar{b}}, p_\ell, p_{j_1}) \propto Q_\ell \vec{p}'_b \cdot (\vec{p}'_\ell \times \vec{p}'_{j_1}) \quad (3)$$

$$O_6 = Q_\ell \epsilon(P, p_b - p_{\bar{b}}, p_\ell, p_{j_1}) \propto Q_\ell (\vec{p}_b - \vec{p}_{\bar{b}}) \cdot (\vec{p}_\ell \times \vec{p}_{j_1}) \quad (4)$$

$$O_{12} = q \cdot (p_b - p_{\bar{b}}) \epsilon(P, q, p_b, p_{\bar{b}}) \propto (\vec{p}_b - \vec{p}_{\bar{b}})_z \cdot (\vec{p}_b \times \vec{p}_{\bar{b}})_z \quad (5)$$

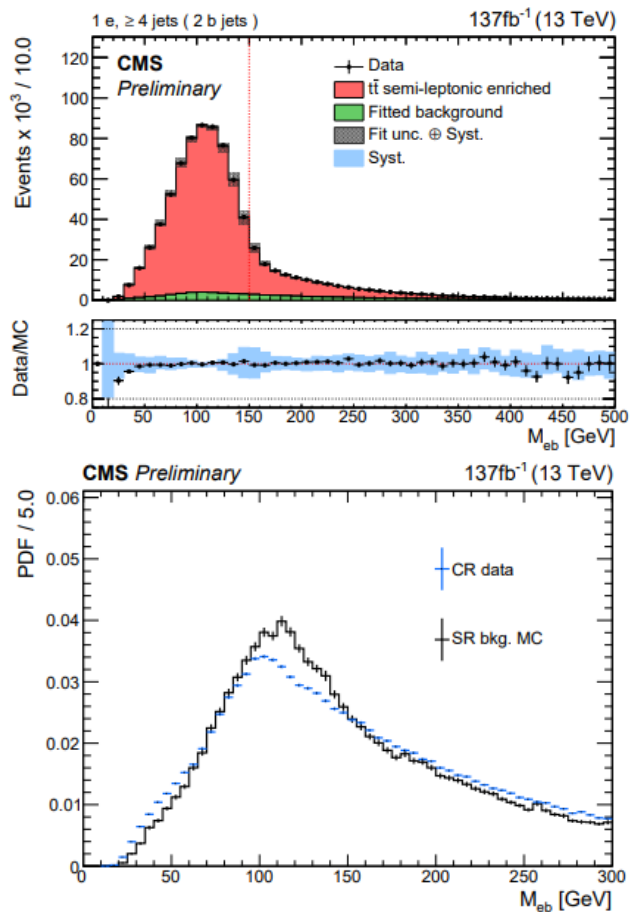
$$O_{14} = \epsilon(P, p_b + p_{\bar{b}}, p_\ell, p_{j_1}) \propto (\vec{p}_b + \vec{p}_{\bar{b}}) \cdot (\vec{p}_\ell \times \vec{p}_{j_1}). \quad (6)$$

The symbol \propto indicates a simplification of the observable value by only considering the sign of the triple product; ϵ is the Levi-Civita tensor, which is contracted with four vector $\epsilon(a, b, c, d) \equiv \epsilon_{\mu\nu\alpha\beta} a^\mu b^\nu c^\alpha d^\beta$ and $\epsilon_{0123} = 1$; P is the sum of the incoming protons' four-momenta; q is the difference of the incoming protons' four-momenta; p_b and $p_{\bar{b}}$ refers to the b jet momenta; p_ℓ refers to the momentum of an isolated lepton that decays from a W boson; p_{j_1} is the momentum of the highest transverse momentum (p_T) jet assigned to the hadronically decaying W; and Q_ℓ is the charge of isolated lepton. The z subscript indicates a projection along the beam axis (z-axis) of the CMS coordinate system. The $b\bar{b}$ CM represents transformation to the centre-of-mass frame of $b\bar{b}$. The ' sign represents the quantity measured in the centre-of-mass frame of the $b\bar{b}$ pair. The performance and sometimes the exact definition of these observables are different between the lepton+jets and dilepton decay channels. Therefore, the measured asymmetries may not be directly compared. This is in particular true for O_3 which is measured here and in Ref [7].

$$A_{CP}(O_i) = \frac{N_{\text{events}}(O_i > 0) - N_{\text{events}}(O_i < 0)}{N_{\text{events}}(O_i > 0) + N_{\text{events}}(O_i < 0)}, i = 3, 6, 12, 14.$$

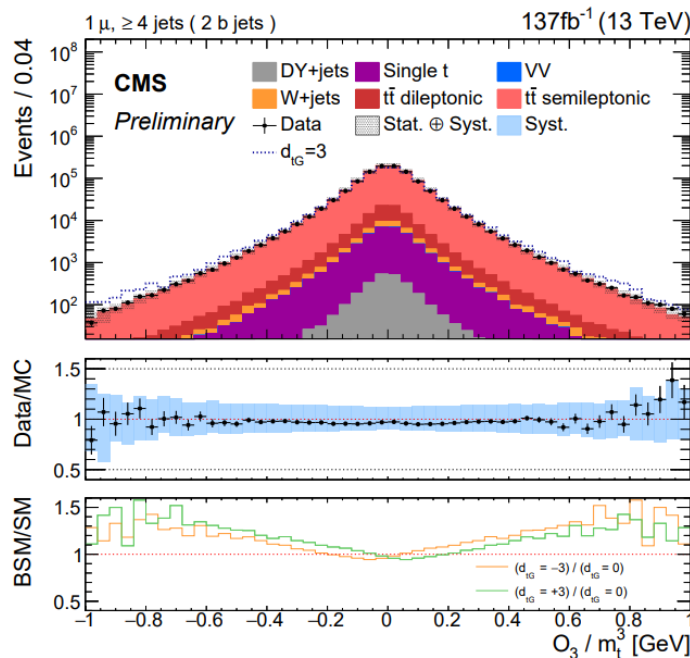


- $t\bar{t}l$ +jets selection, 90% purity
 - Backgrounds: $t\bar{t}$ dilepton, Single-top, W+jets, Drell-Yan+jets, Diboson...
- χ^2 -based Jet assignment for Top+W reconstruction, ~75% accuracy



• W+jets control region (CR) for data-driven background estimation

- CR composition differs to SR background, but kinematic shapes are similar (+ systematic)



- M_{lb} distribution used for template fit in signal region (SR) and CR, split by the sign of the relevant observable

CMS CP violation



Syst. source		$A'_{CP}(\%)$			
		O_3	O_6	O_{12}	O_{14}
Experimental uncertainties					
PU re-weight	$+1\sigma$	-0.0008	-0.0003	+0.0023	+0.0040
	-1σ	+0.0010	+0.0007	-0.0017	-0.0044
b-tag scale factor (b and c quark)	$+1\sigma$	+0.0002	+0.0001	+0.0000	+0.0000
	-1σ	-0.0002	-0.0003	-0.0000	-0.0002
b-tag scale factor (light quark)	$+1\sigma$	-0.0003	-0.0003	-0.0009	-0.0007
	-1σ	+0.0004	-0.0000	+0.0007	+0.0005
Lepton	$+1\sigma$	-0.0002	-0.0001	-0.0001	-0.0004
	-1σ	+0.0002	-0.0001	+0.0000	+0.0001
JER	$+1\sigma$	-0.0028	-0.0069	-0.0024	-0.0070
	-1σ	-0.0029	+0.0032	-0.0021	+0.0026
JEC	$+1\sigma$	-0.0051	-0.0046	-0.0046	-0.0062
	-1σ	-0.0018	+0.0065	+0.0011	+0.0041
Bkg. template	-	+0.0061	+0.0050	+0.0139	+0.0016



CMS CP violation



Syst. source		$A'_{CP}(\%)$			
		O_3	O_6	O_{12}	O_{14}
Theoretical uncertainties					
PDF	+1 σ	+0.0008	-0.0008	+0.0003	+0.0003
	-1 σ	-0.0008	+0.0006	-0.0004	-0.0006
μ_R and μ_F	+1 σ	+0.0008	+0.0008	+0.0013	+0.0007
	-1 σ	+0.0012	-0.0002	-0.0033	-0.0004
ISR	+1 σ	+0.0006	-0.0005	+0.0017	+0.0024
	-1 σ	-0.0004	+0.0004	-0.0015	-0.0021
FSR	+1 σ	-0.0001	-0.0215	+0.0053	-0.0129
	-1 σ	-0.0008	+0.0122	-0.0017	+0.0060
Color reconnection	CR1	-0.0162	+0.0186	+0.0091	+0.0384
	CR2	+0.0000	-0.0206	-0.0464	+0.0304
ME-PS matching	+1 σ	-0.0235	-0.0043	-0.0185	+0.0352
	-1 σ	+0.0399	+0.0177	+0.0139	+0.0376
Underlying event	+1 σ	-0.0515	-0.0576	-0.0082	+0.0116
	-1 σ	-0.0099	+0.0355	+0.0218	+0.0424
Flavour response	+1 σ	-0.0017	-0.0007	-0.0033	-0.0105
	-1 σ	-0.0024	+0.0024	-0.0004	+0.0070
Top mass variation	+1 GeV	+0.0049	+0.0152	+0.0119	+0.0082
	-1 GeV	-0.0179	-0.0118	-0.0097	-0.0046
Per event resolution	+10%	-0.0027	-0.0022	+0.0023	-0.0005
	-10%	-0.0004	+0.0040	+0.0014	+0.0048
W+HF enriched	-	-0.0174	-0.0132	-0.0102	-0.0098
w/o Top p_T reweighting	-	-0.0008	-0.0005	-0.0000	-0.0000



Top Polarisation



Top polarisation is sensitive to NP that affects the tWb vertex

First order dim6 EFT operators that contribute to t-channel production:

- $O_{\phi q}$ - Affects cross-section only
- $O_{\phi q}$ - Four-fermion operator, negligible effect on angular distributions

- O_{tW} - Operator to focus on

C_{tW} most affects $P_{x'}$

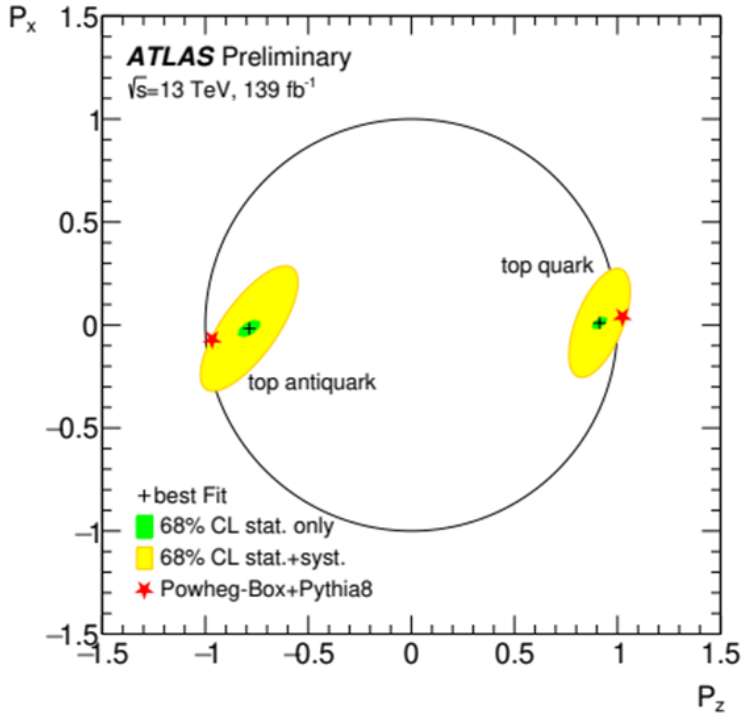
$P_{z'}$ less sensitive as ~ 1

C_{itW} most affects $P_{y'}$
(Non-zero value could imply **CPV**)





Polarisations in agreement with SM predictions to 1σ
(Powheg+Pythia8)



Parameter	Extracted value	(stat.)
<i>t</i> -channel norm.	+1.045 ± 0.022	(± 0.006)
<i>W</i> +jets norm.	+1.148 ± 0.027	(± 0.005)
<i>t</i> \bar{t} norm.	+1.005 ± 0.016	(± 0.004)
$P_{x'}^t$	+0.01 ± 0.18	(± 0.02)
$P_{x'}^{\bar{t}}$	-0.02 ± 0.20	(± 0.03)
$P_{y'}^t$	-0.029 ± 0.027	(± 0.011)
$P_{y'}^{\bar{t}}$	-0.007 ± 0.051	(± 0.017)
$P_{z'}^t$	+0.91 ± 0.10	(± 0.02)
$P_{z'}^{\bar{t}}$	-0.79 ± 0.16	(± 0.03)

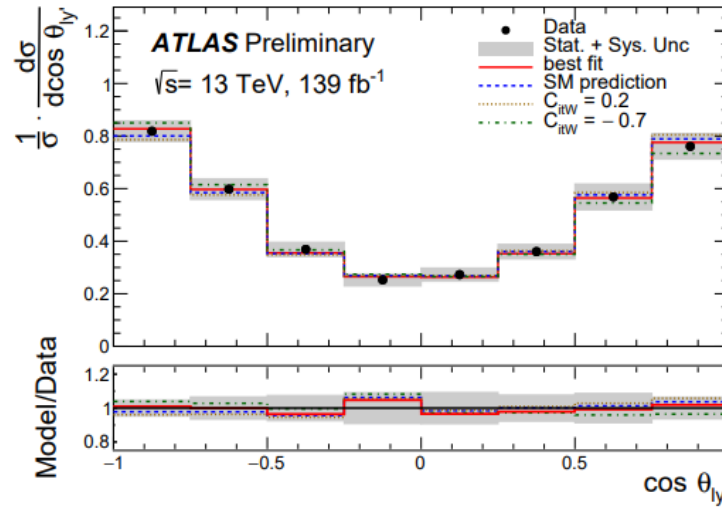
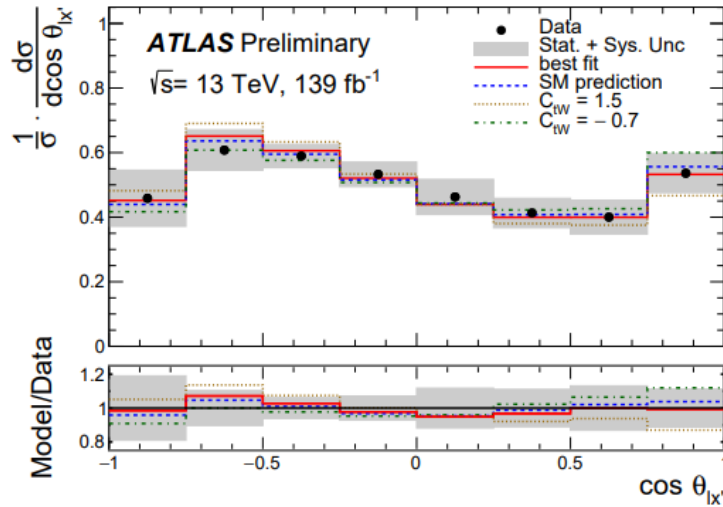
Uncertainties dominated by Jet Energy Resolution (JER)

- Polarisation depends on kinematic angles determined in top-quark rest frame
- JER is key to reconstruction of this frame

First order dim6 EFT operators that contribute to *t*-channel production:

- O_{tW} - Operator to focus on
 - C_{tW} most affects $P_{x'}$
 - C_{itW} most affects $P_{y'}$
(Non-zero value could imply CPV)





Unfolded to particle level fiducial region

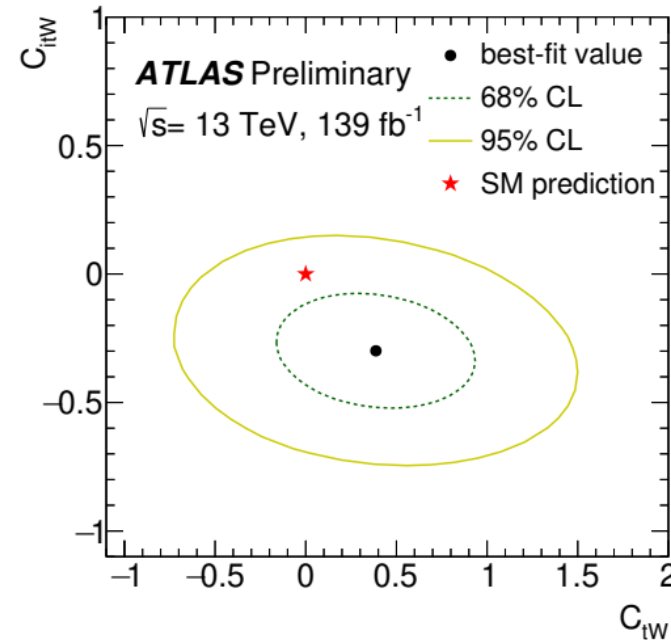
$$\sigma(C_{tW}, C_{itW}) \propto \left| \mathcal{O}_{SM} + \frac{C_{tW}}{\Lambda^2} \cdot \mathcal{O}_{tW} + \frac{C_{itW}}{\Lambda^2} \cdot \mathcal{O}_{itW} \right|_{\text{production}}^2 \cdot \left| \mathcal{O}_{SM} + \frac{C_{tW}}{\Lambda^2} \cdot \mathcal{O}_{tW} + \frac{C_{itW}}{\Lambda^2} \cdot \mathcal{O}_{itW} \right|_{\text{decay}}^2$$

Morphing technique used to interpolate between MC templates using difference Wilson Coefficient values

Both coefficients fitted simultaneously (does not assume other is zero)

Result compatible with SM within 2σ

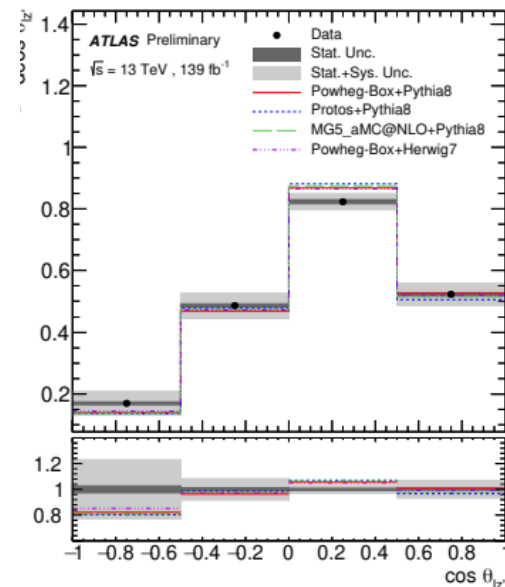
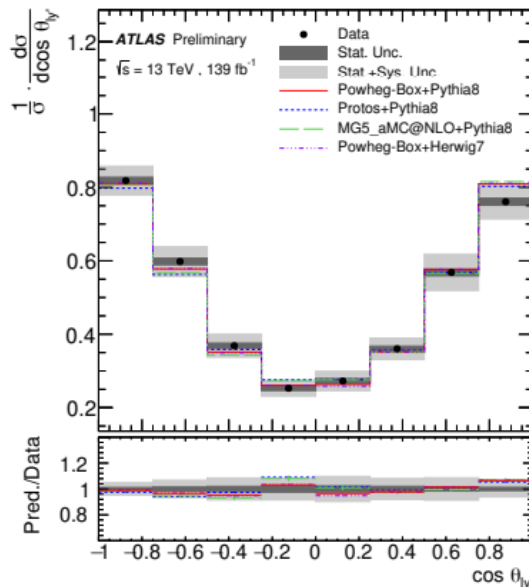
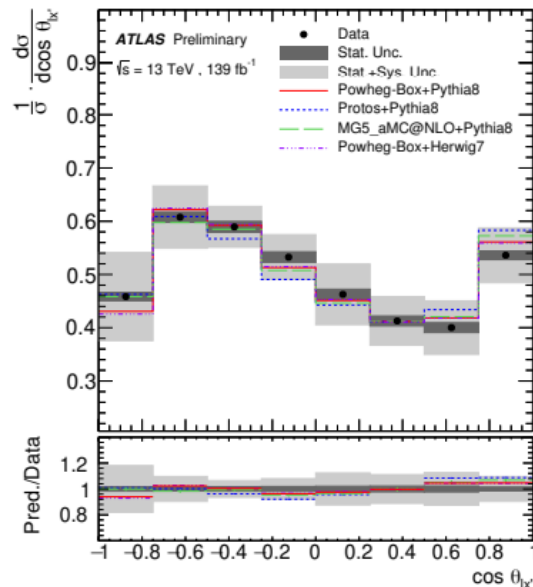
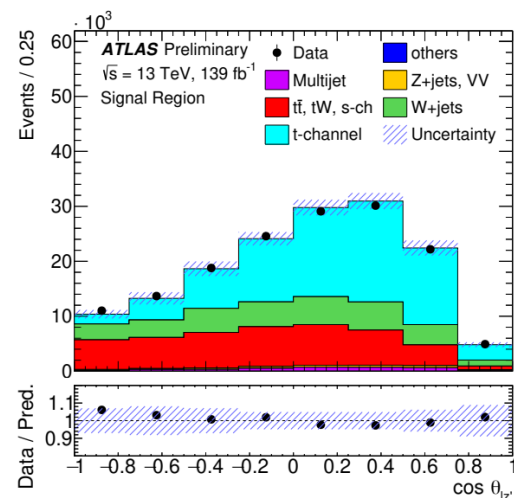
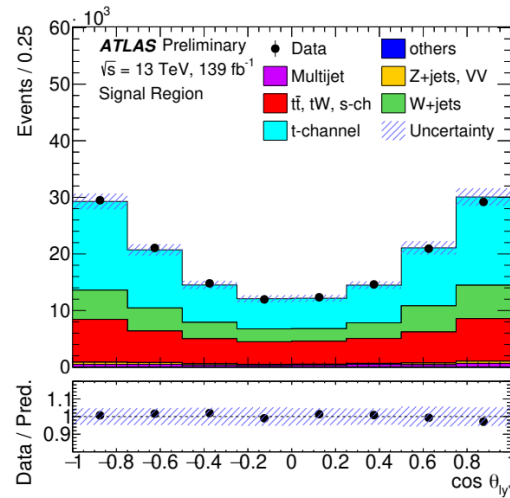
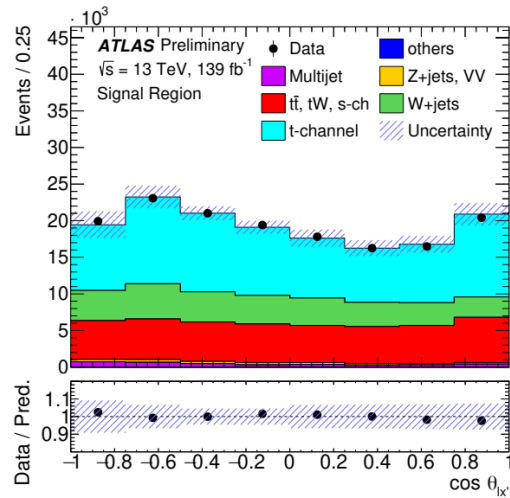
(MG5_aMC@NLO+Pythia8)

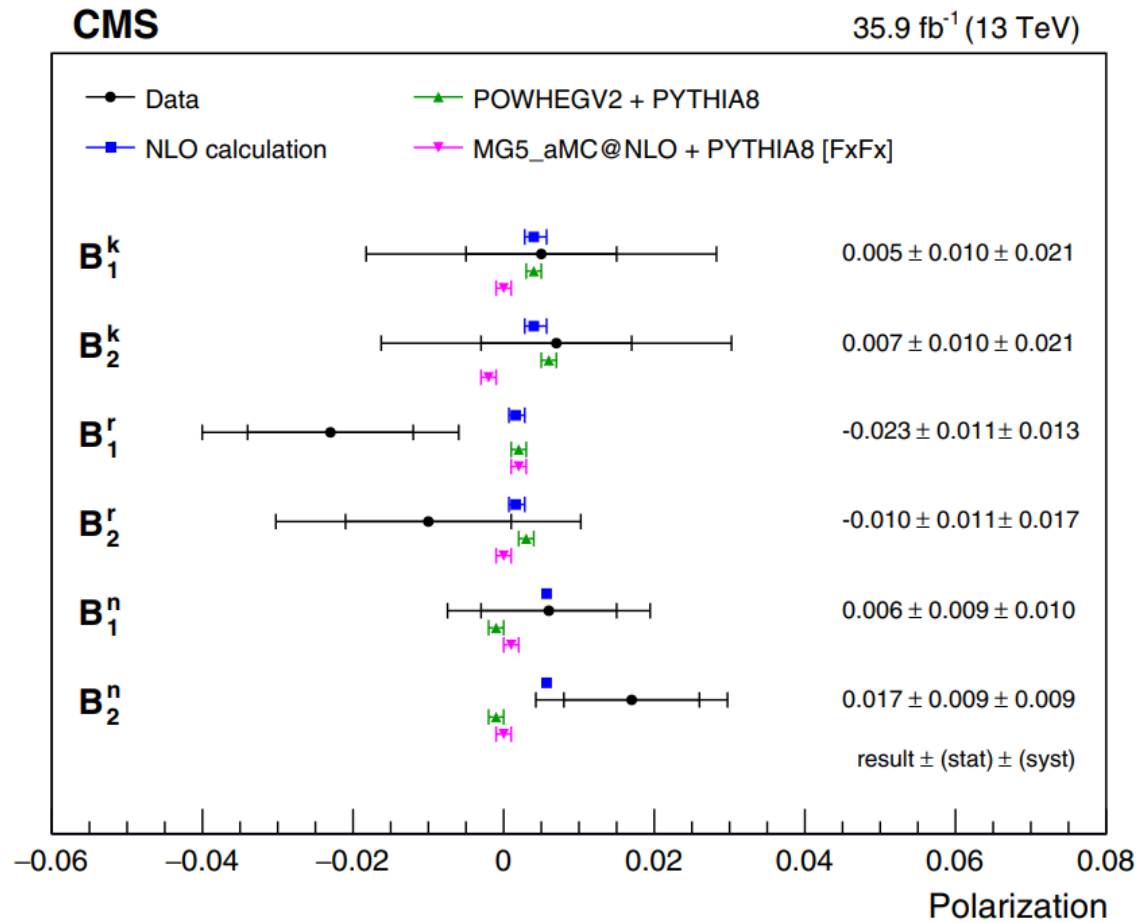


Measurement of Top Polarisation



June 2021





$$\frac{1}{\sigma} \frac{d^2\sigma}{d\cos\theta_+^a d\cos\theta_-^b} = \frac{1}{4} (1 + \underbrace{B_+^a}_{\text{Polarisation}} \cos\theta_+^a + \underbrace{B_-^b}_{\text{Polarisation}} \cos\theta_-^b - \underbrace{C(a,b)}_{\text{Spin correlation}} \cos\theta_+^a \cos\theta_-^b)$$





The top quark polarization is linked to the polarization of the lepton from its decay and can be measured in respect to the axis of the spectator quark. The top quark polarization angle $\cos(\theta_{\text{pol}}^*)$ is defined similarly to Ref. [4] as:

$$\cos(\theta_{\text{pol}}^*) = \frac{\vec{p}(q'^*) \cdot \vec{p}(\ell_t^*)}{|\vec{p}(q'^*)| |\vec{p}(\ell_t^*)|}, \quad (2)$$

where $\vec{p}(q'^*)$ and $\vec{p}(\ell_t^*)$ are the three-momenta of the spectator quark and the lepton from the top quark decay, respectively. The asterisk indicates that the three-momentum is measured in the top quark candidate rest frame. The polarization P of the top quark is related to the spin asymmetry as $A_\ell = \frac{1}{2} P a_\ell$, where a_ℓ refers to the spin-analyzing power of the lepton associated with the top quark decay and is equal to unity in LO calculations [42, 43]. The spin asymmetry A_ℓ is related to the cross section as a function of $\cos(\theta_{\text{pol}}^*)$ by:

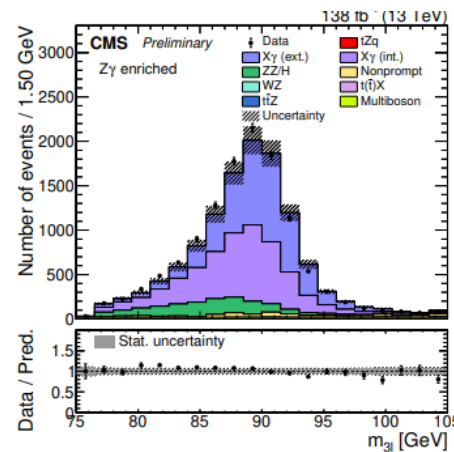
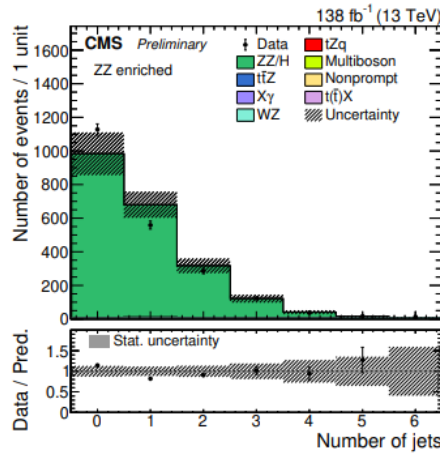
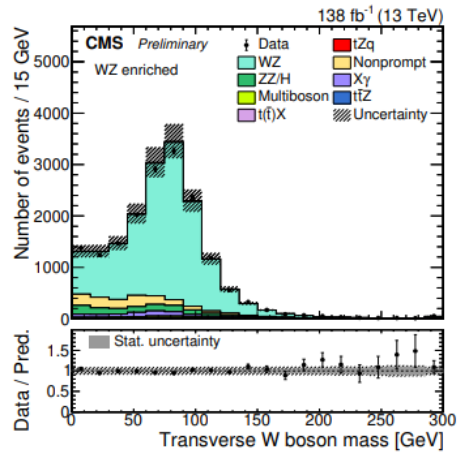
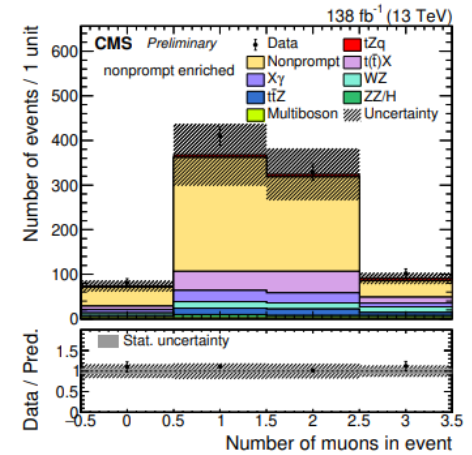
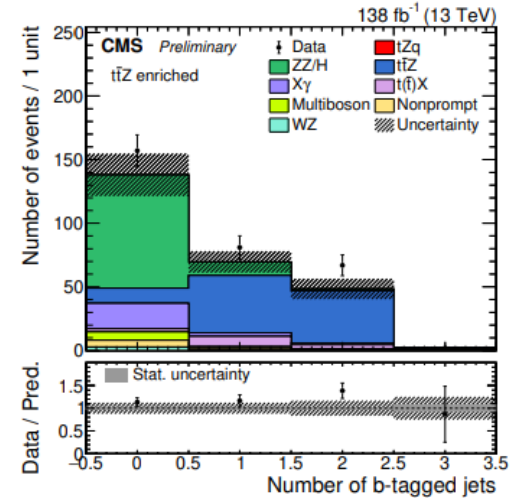
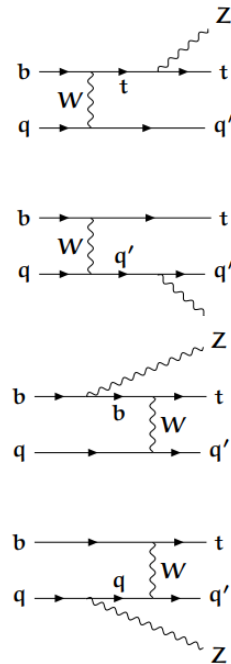
$$\frac{d\sigma}{d \cos(\theta_{\text{pol}}^*)} = \sigma_{\text{tZq}} \left(\frac{1}{2} + A_\ell \cos(\theta_{\text{pol}}^*) \right). \quad (3)$$



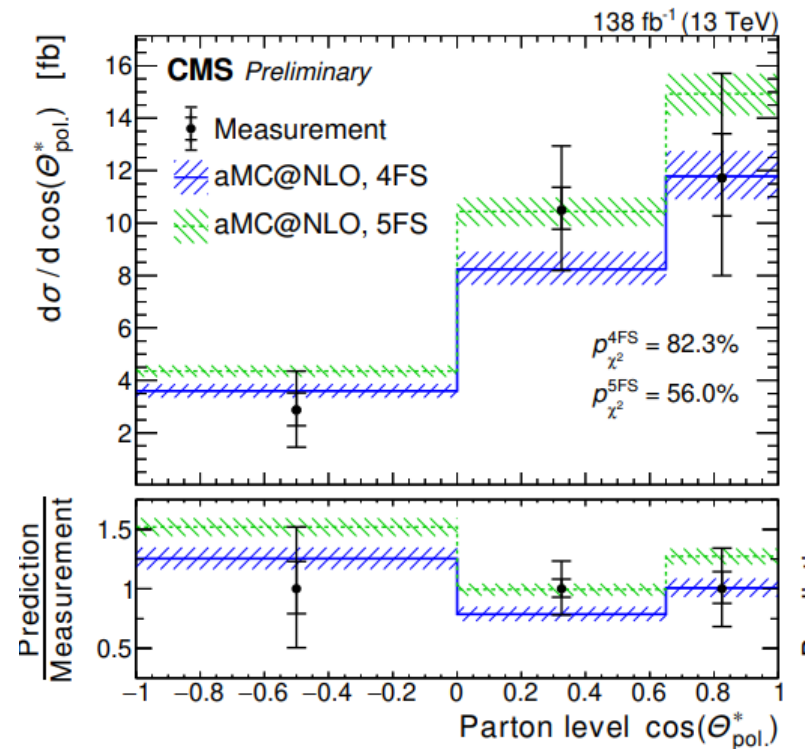
Top Spin Asymmetry



- Measure tZq differential cross-sections using 3-lepton final states
- Lepton opposite-sign same-flavour pair Z -mass requirement provides reconstruction ambiguity resolution
- Neural Network (NN) used to separate signal tZq events from backgrounds: $t\bar{t}Z/X$, Diboson, Non-prompt leptons
- Many CRs defined with enhanced processes to check modelling in a **profile-likelihood fit**



- Unfolded polarisation distribution at parton level
- Spin asymmetry is left as a free-parameter in the fit
- Comparisons are made using two schemes:
 - Four-flavour scheme (4FS); preferred for the kinematic modelling of final state particles, which the b-quark is explicitly required to be associated with the gluon-splitting process at the Matrix Element level. However, larger renormalisation and factorisation uncertainties.
 - Five-flavour scheme (5FS); preferred for the calculation of the total production cross-section



$$A_\ell = 0.58^{+0.15}_{-0.16} \text{ (stat)} \pm 0.06 \text{ (syst)}$$

$$A_l^{4FS,SM} = 0.417^{+0.004}_{-0.003}$$

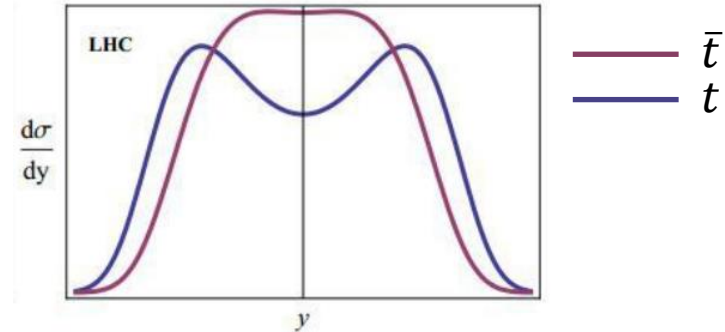
$$A_l^{5FS,SM} = 0.454^{+0.004}_{-0.005}$$



Charge Asymmetry



- LO $t\bar{t}$ production is symmetric
- Higher-order interferences between $q\bar{q}$ and qg create an asymmetric production
 - t is produced preferentially in the direction of the incoming q

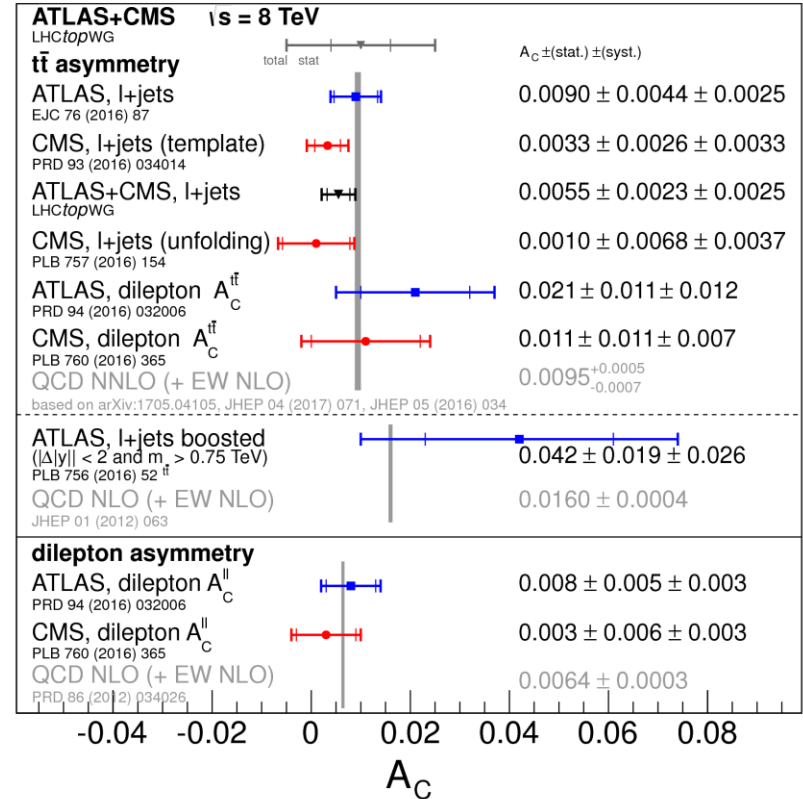


- At the LHC, this produces a central-forward charge asymmetry:

$$A_C = \frac{N(\Delta|y| > 0) - N(\Delta|y| < 0)}{N(\Delta|y| > 0) + N(\Delta|y| < 0)}$$

$$\Delta|y| = |y_t| - |y_{\bar{t}}|$$

- Charge symmetric gg production dilutes measurable asymmetry
- Several BSM models predict alterations to A_C especially with variation as a function of $m_{t\bar{t}}$ and $\beta_{z,t\bar{t}}$
 - Anomalous vector/axial couplings (e.g. axigluons)
 - Heavy Z' bosons



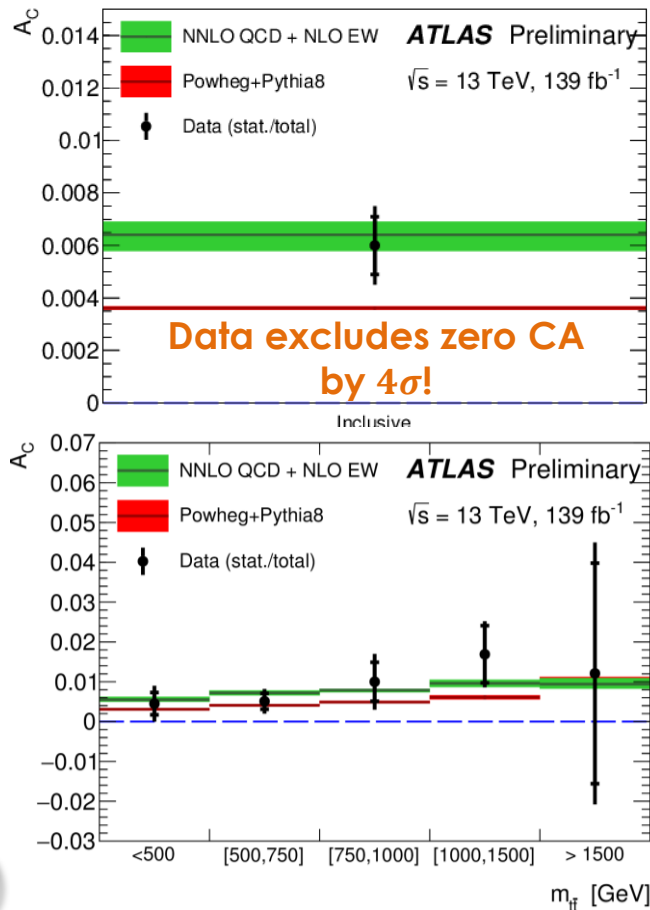
Possible to do an EFT interpretation to test many models!





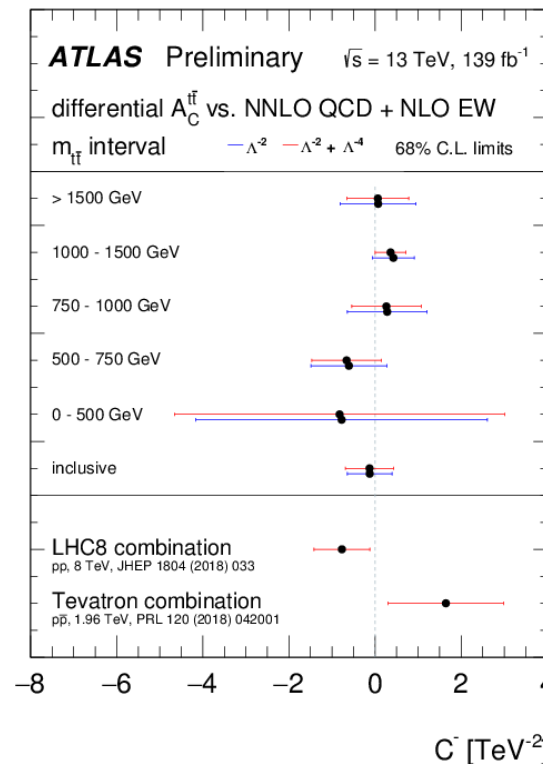
$A_C = 0.0060 \pm 0.0015$ (stat+syst.)

Both **inclusive and differential** measurements are found to be **compatible with SM** predictions, at NNLO in perturbation theory with NLO electroweak corrections



EFT interpretation probes single important parameter in the Warsaw basis (see CONF note!): C^-/Λ^2

C^- is linear combination of Wilson Coefficients
 Λ is scale of new physics



This is valid for many models (axigluons, kaluza-klein, randall-sundrum), for example:

$C^-/\Lambda^2 = -4g_s^2/m_A^2$

Tighter bounds achieved than for previous LHC 8 TeV combination!



Lepton Flavour Universality (LFU)



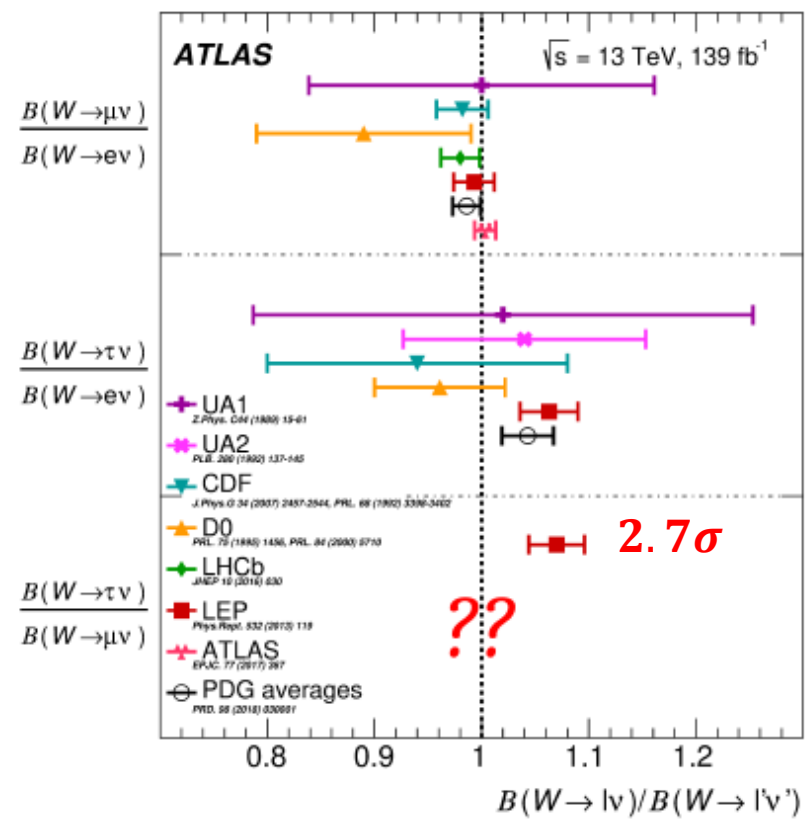
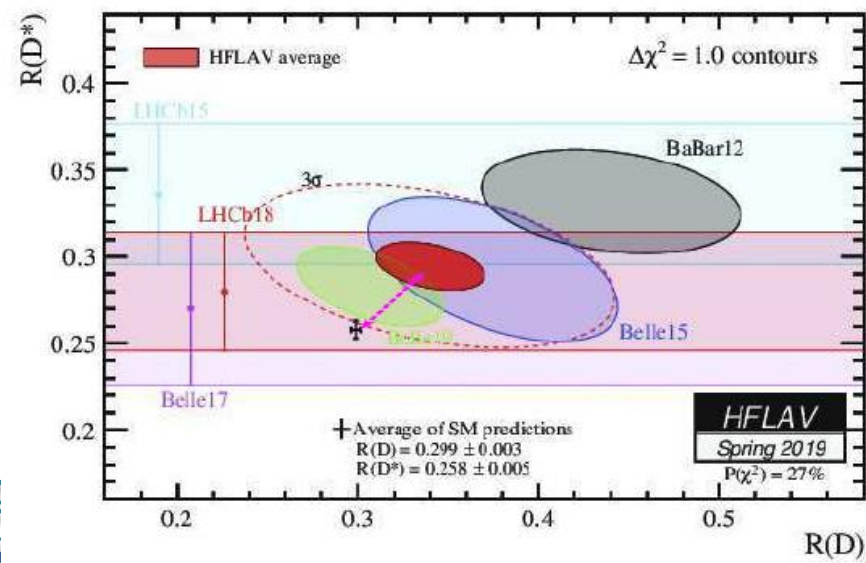
- Fundamental axiom of SM
- Branching ratios of W and Z boson decays should be equal for all lepton flavours and charges e^\pm, μ^\pm, τ^\pm - ratios can be used to check this!

LEP

$$\mathcal{B}(W \rightarrow \mu \bar{\nu}_\mu) / \mathcal{B}(W \rightarrow e \bar{\nu}_e) = 0.993 \pm 0.019,$$

$$\mathcal{B}(W \rightarrow \tau \bar{\nu}_\tau) / \mathcal{B}(W \rightarrow e \bar{\nu}_e) = 1.063 \pm 0.027,$$

$$\mathcal{B}(W \rightarrow \tau \bar{\nu}_\tau) / \mathcal{B}(W \rightarrow \mu \bar{\nu}_\mu) = 1.070 \pm 0.026.$$



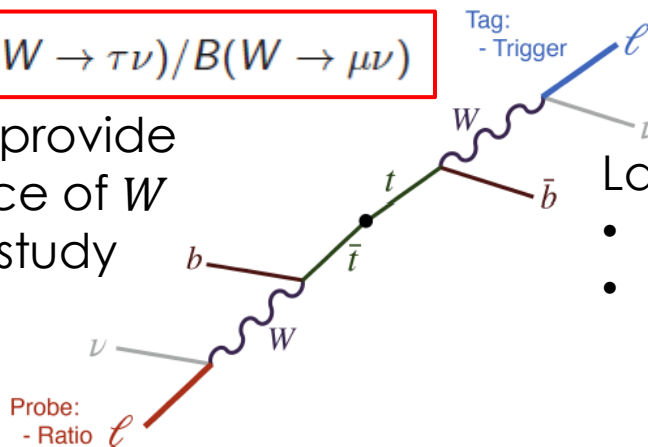
$$R(D^{(*)}) = \frac{\text{BR}(B \rightarrow D^{(*)} \tau \nu)}{\text{BR}(B \rightarrow D^{(*)} \mu \nu)}$$

3.1σ



$$R(\tau/\mu) = B(W \rightarrow \tau\nu)/B(W \rightarrow \mu\nu)$$

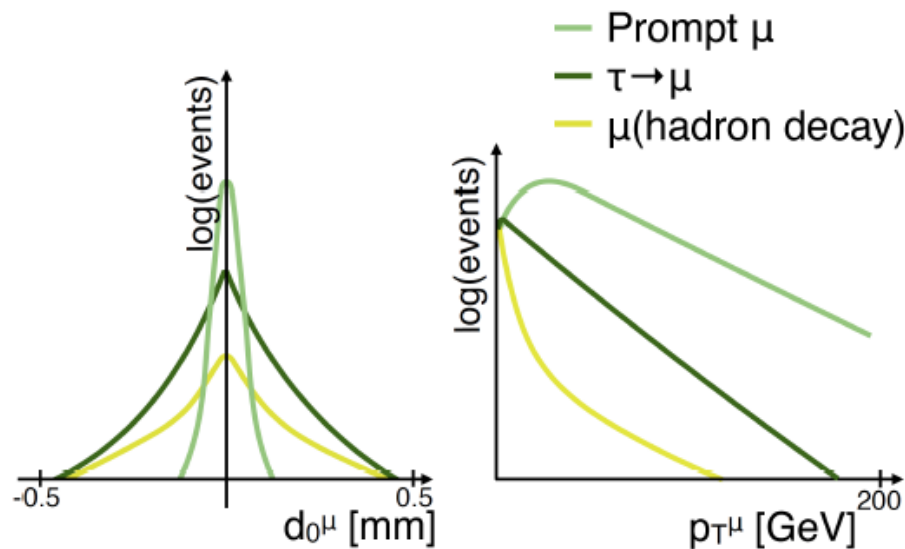
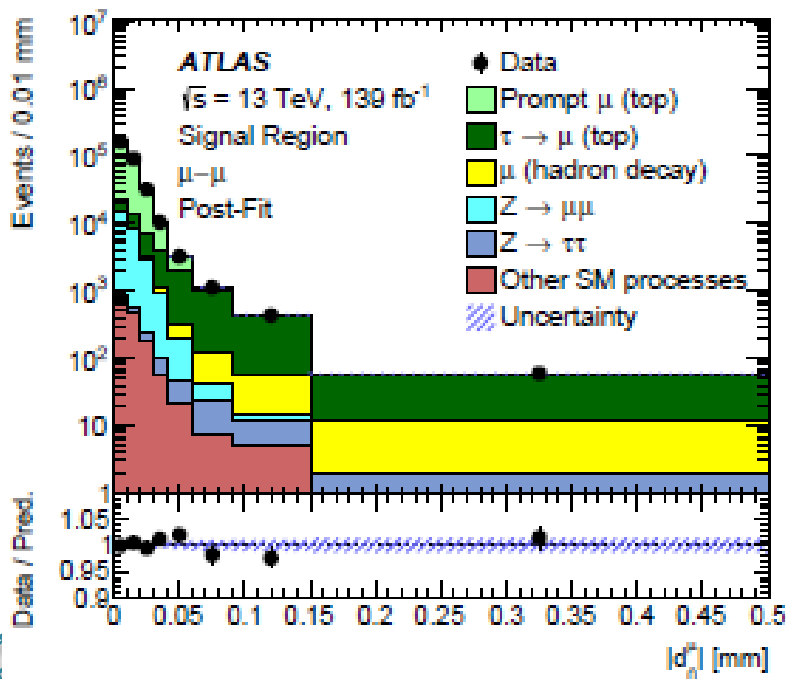
$t\bar{t}$ decays provide large source of W bosons to study



Focus on leptonic $\tau \rightarrow \mu$ decays, for a final state with opposite-sign $e\mu$, $\mu\mu$

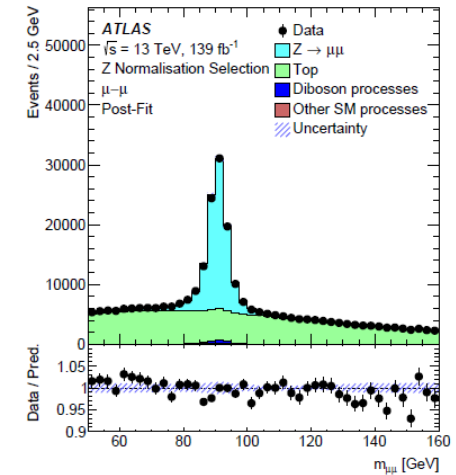
Large background from $Z \rightarrow \mu\mu$, $\text{had} \rightarrow \mu$

- Veto Z window
- Focus on muons with low- p_T and large transverse-impact parameter $|d_0^\mu|$



Requires careful $|d_0^\mu|$ modelling – corrections for beamspot size, alignment and material interactions

- Fit to Z mass peak in CR to extract normalisation
- Heavy-flavour normalisation extracted from SS lepton CR
- 2D profile-likelihood fit (p_T, d_0^μ) utilised, with two free-floating parameters
 - $R(\tau/\mu)$
 - $\kappa(tt\bar{t})$ – total normalisation
- Normalisations from CRs are extracted prior to fit

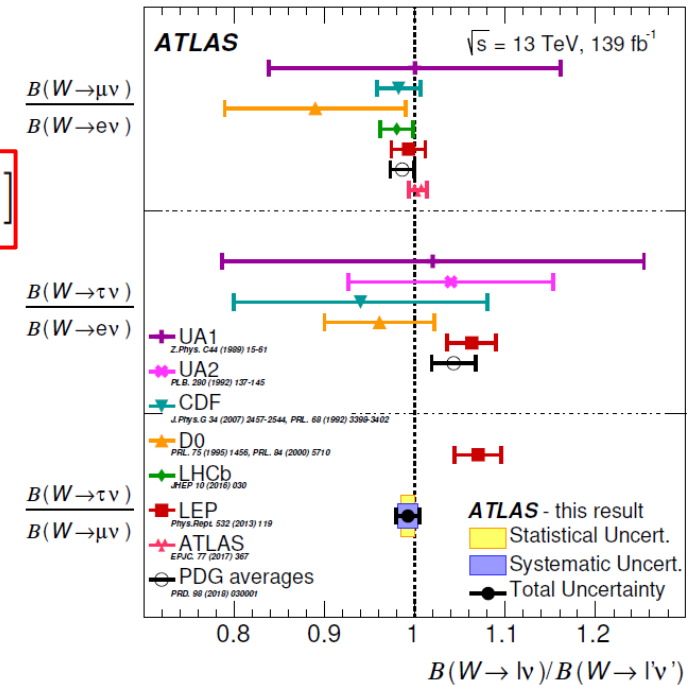


$$R(\tau/\mu) = 0.992 \pm 0.013 [\pm 0.007 \text{ (stat)} \pm 0.011 \text{ (syst)}]$$

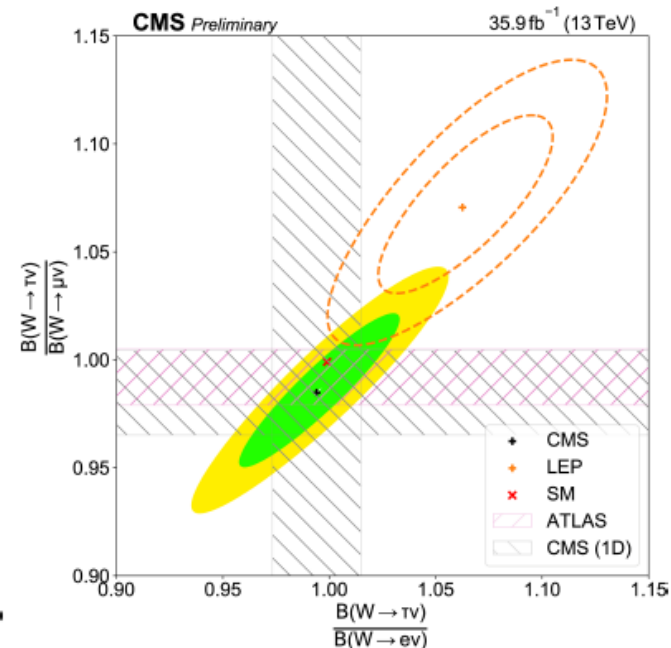
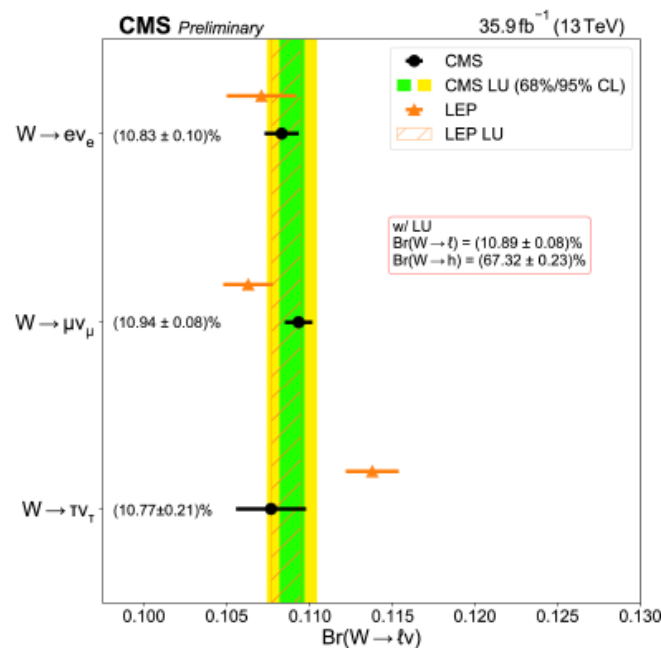
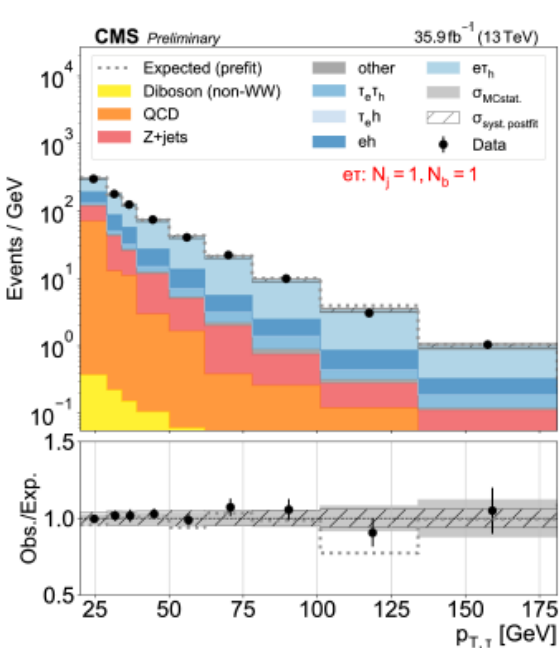
Consistent with SM expectation!

Largest systematic uncertainties:

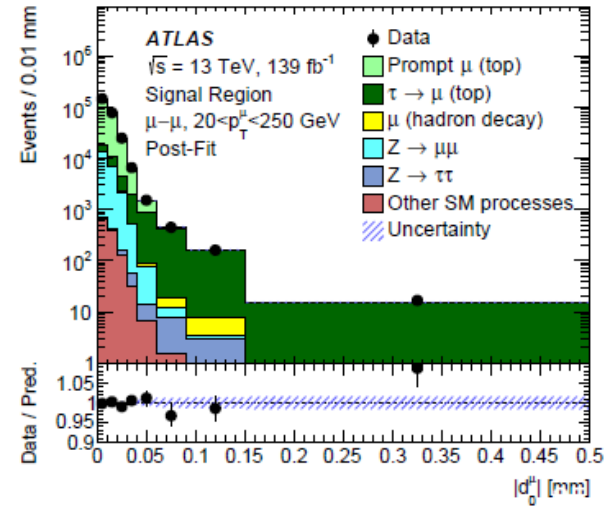
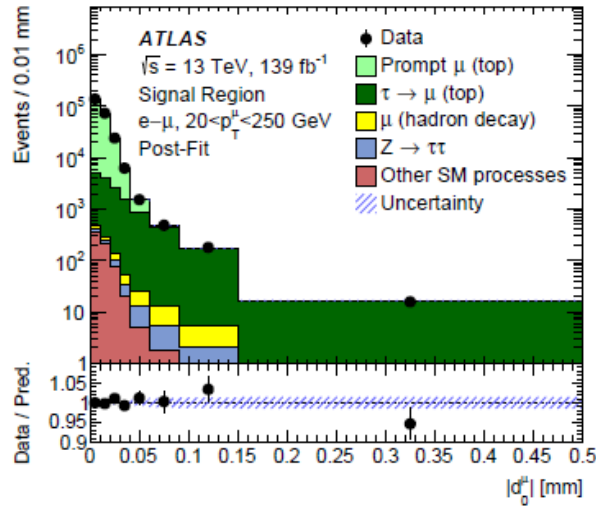
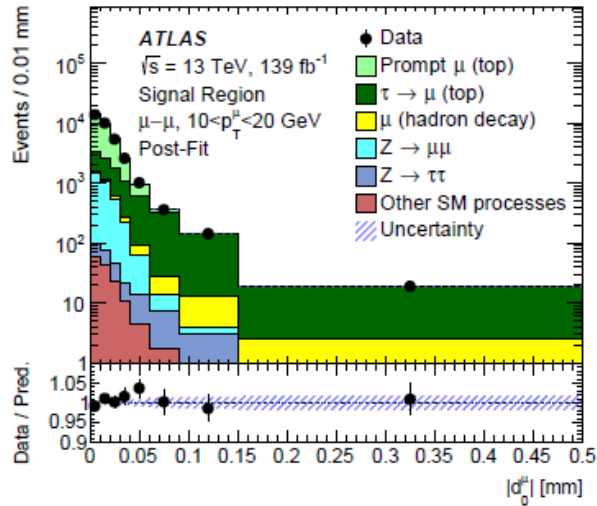
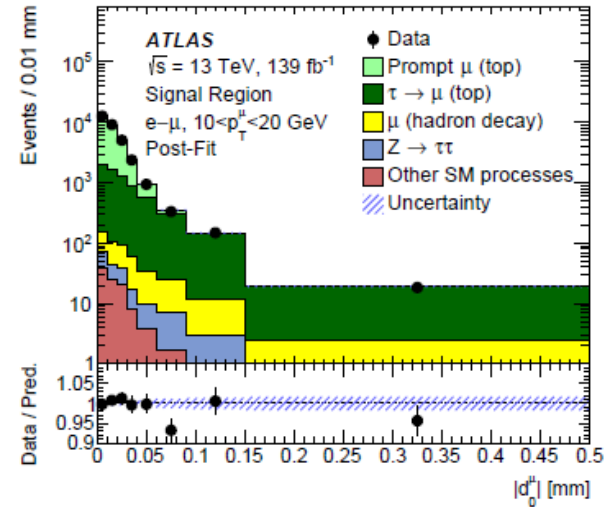
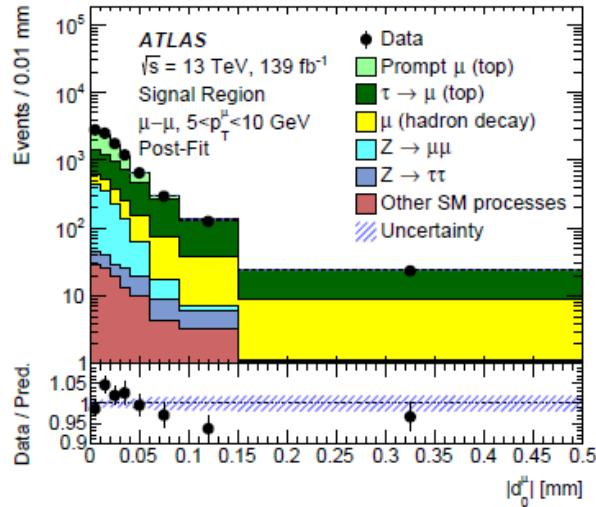
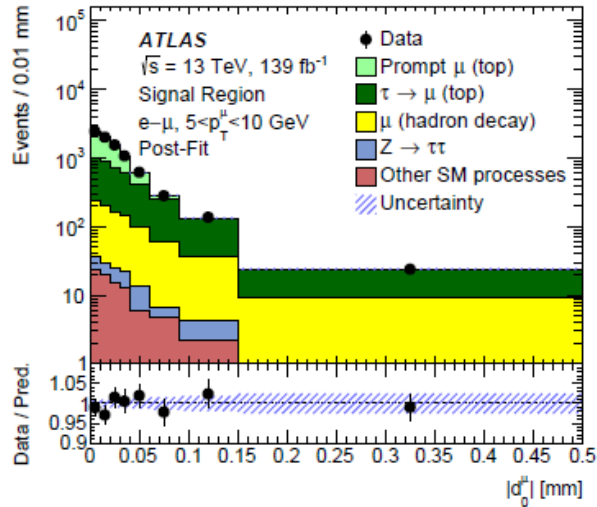
- Prompt d_0^μ templates
- Parton shower variations
- Muon isolation efficiency



- CMS have a preliminary result on LFU using $t\bar{t}$ dilepton and single-lepton channels, also with hadronic τ decays
- p_T spectrum used as primary distribution
- All branching ratios extracted
- $R(\tau/\mu) = 0.985 \pm 0.020$ Also in agreement with SM!

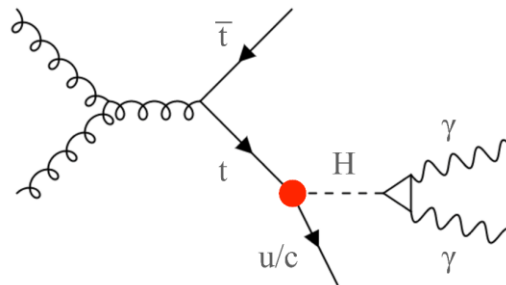
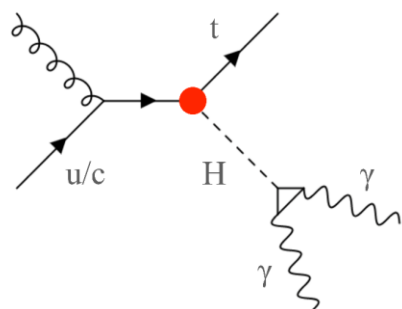


Lepton Flavour Universality



FCNC $tqH(\rightarrow \gamma\gamma)$

CMS-PAS-TOP-20-007
137 fb⁻¹



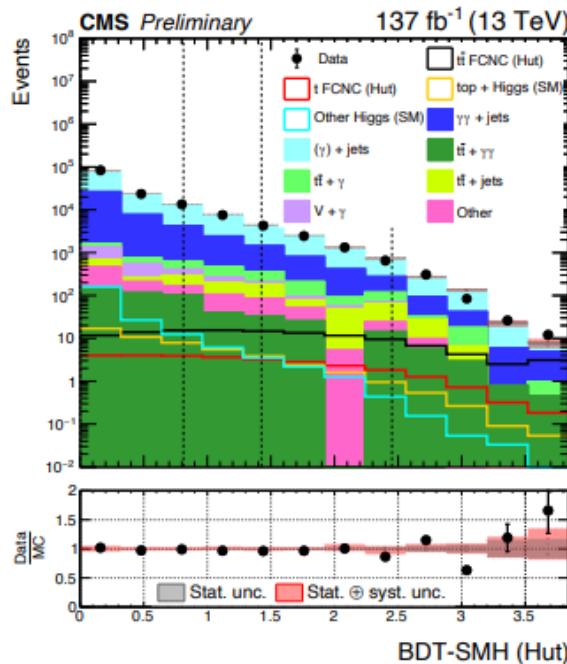
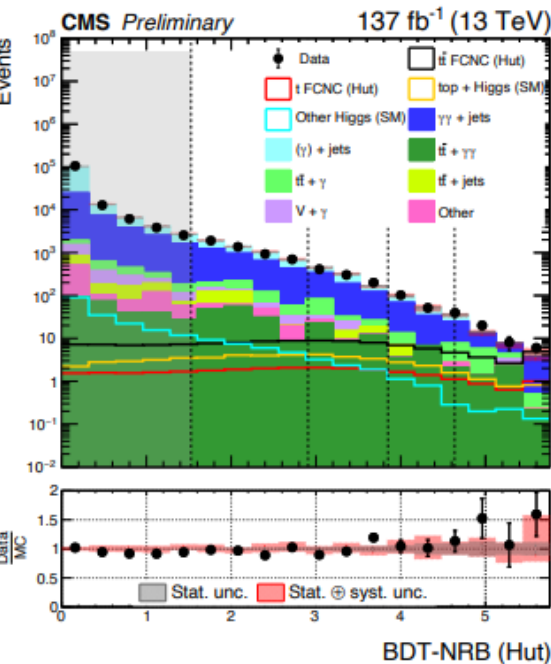
- Both production and decay modes considered

- Events are categorised according to two BDT scores

- NRB = Non-Resonant background
- SMH = SM Resonant Higgs

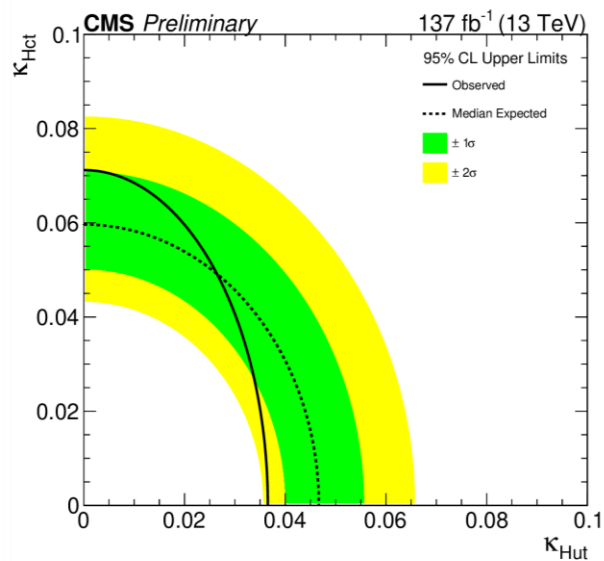
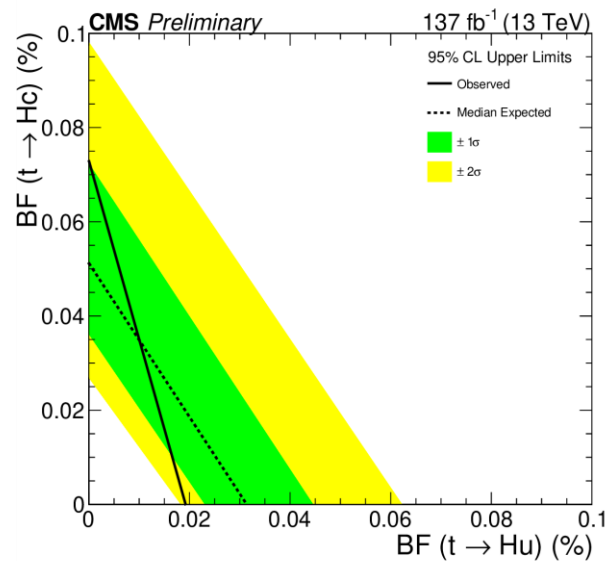
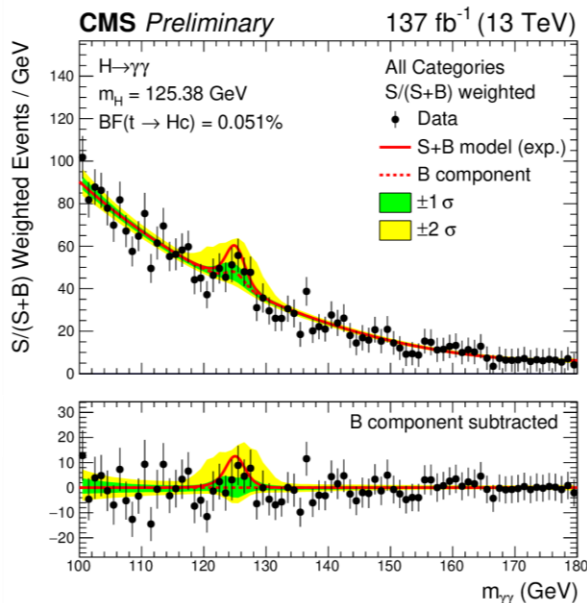
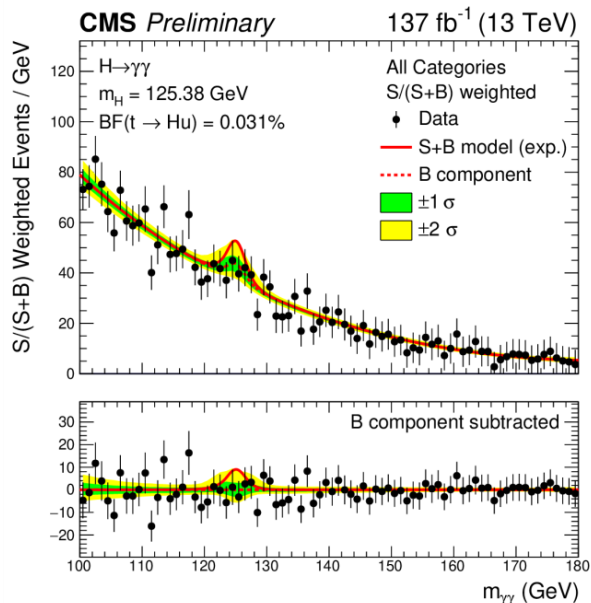
- 14 $m_{\gamma\gamma}$ distributions simultaneously fit to extract FCNC signal strength (CrystalBall+Gaussian)

- Non-resonant background is modelled using data
- Resonant background is modelled using simulation



FCNC $tqH(\rightarrow \gamma\gamma)$

CMS-PAS-TOP-20-007
137 fb⁻¹



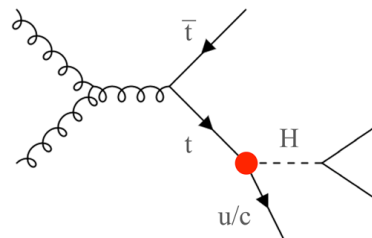
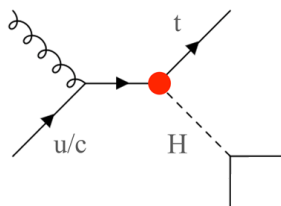
- No evidence of new physics found
- Observed limits are significant improvement on previous combined results
- Dominant uncertainties are from *b*-jet and photon identification

$$B(t \rightarrow Hu) \leq 1.9 \times 10^{-4}$$

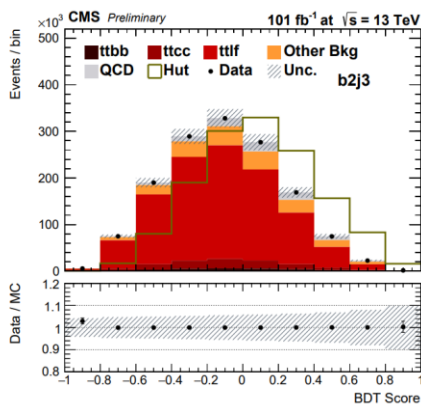
$$B(t \rightarrow Hc) \leq 7.3 \times 10^{-4}$$

FCNC $tqH(\rightarrow b\bar{b})$

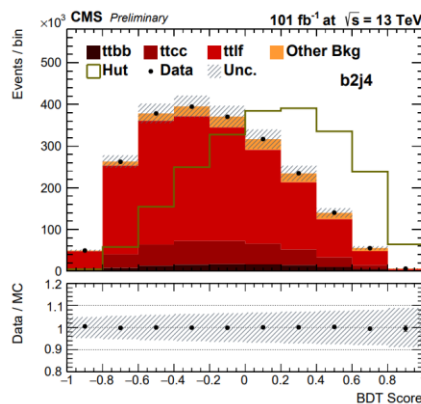
CMS-PAS-TOP-19-002
137 fb⁻¹



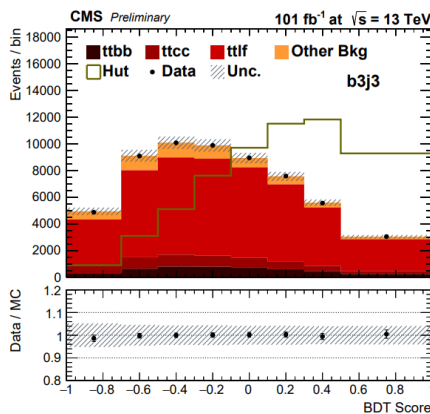
- Both production and decay modes considered
- Events are categorised by jet and b -tag multiplicities
- DNN used to reconstruct tops with jet permutations, label as FCNC production or decay signal-like, or background-like (including SM $t\bar{t}$)
- ~80% process efficiency



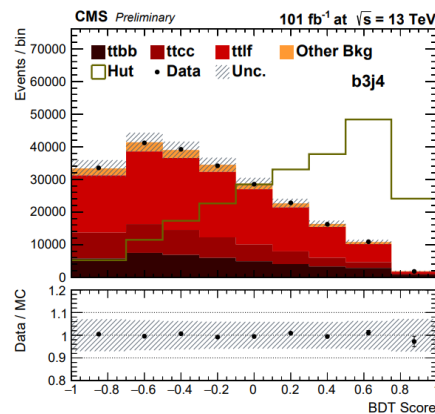
(a) b2j3



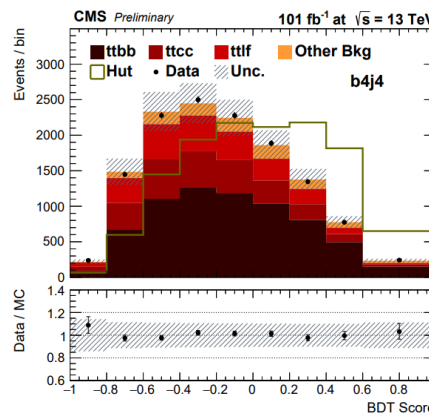
(b) b2j4



(c) b3j3



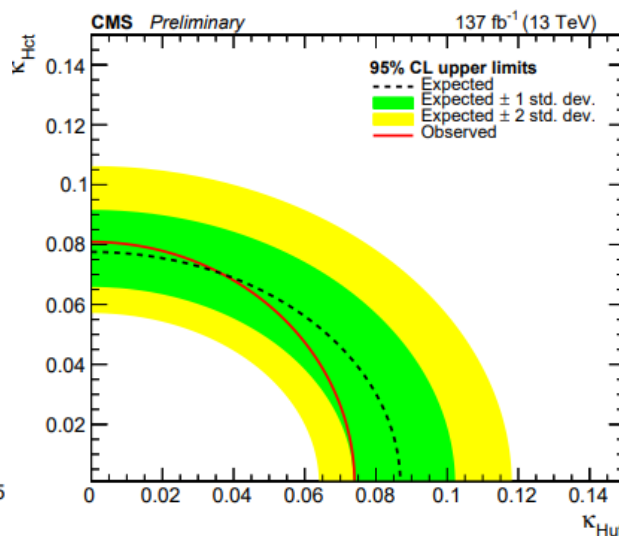
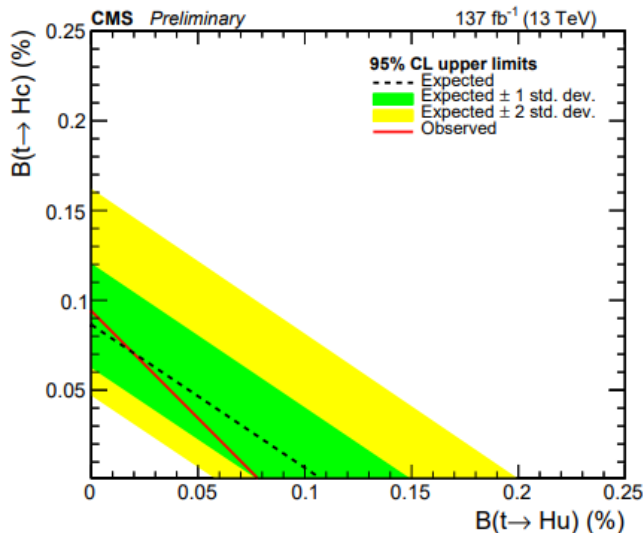
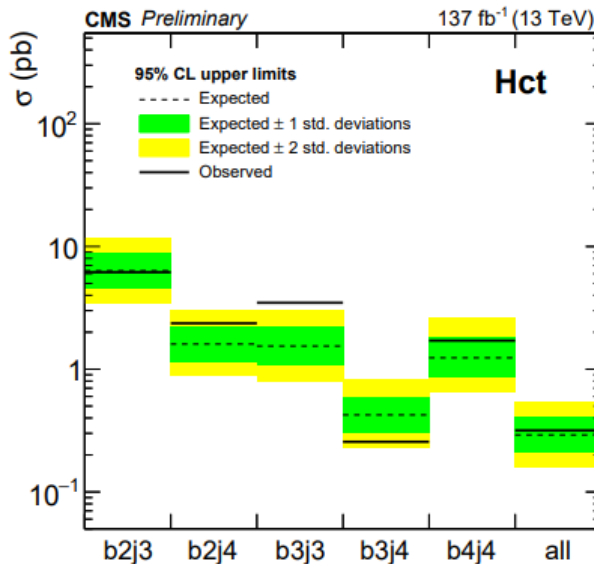
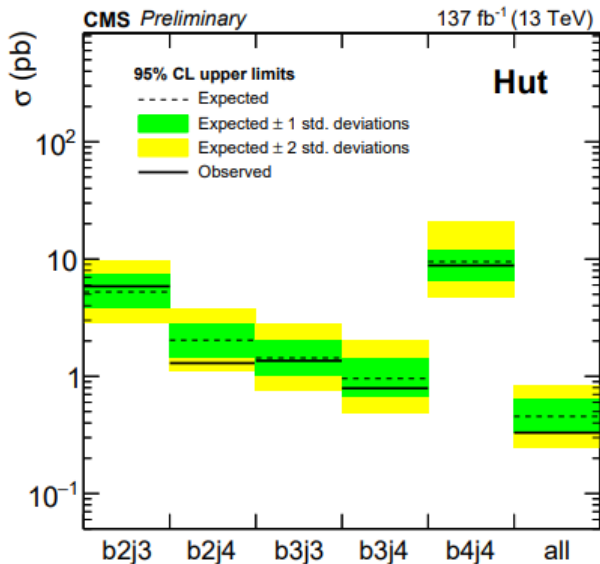
(d) b3j4



(e) b4j4

Resultant kinematic distributions input to BDT for signal-background separation

Asymptotic CLs method used to set 95% CLs



- No evidence of new physics found
 - Observed limits are significant improvement on previous combined results
 - Dominant uncertainties are from b -jet identification
- $B(t \rightarrow Hu) \leq 7.9 \times 10^{-4}$
 $B(t \rightarrow Hc) \leq 9.4 \times 10^{-4}$





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Thanks for your attention

Jacob Kempster

8 September 2021

