

Non-perturbative renormalization in QCD+QED and its application to weak decays

Matteo Di Carlo* † **, Guido Martinelli**

Dipartimento di Fisica, Università di Roma La Sapienza and INFN, Sezione di Roma Piazzale Aldo Moro 5, 00185 Rome, Italy

Davide Giusti , Vittorio Lubicz

Dipartimento di Matematica e Fisica, Università Roma Tre and INFN, Sezione di Roma Tre Via della Vasca Navale 84, 00146 Rome, Italy

Christopher T. Sachrajda

Department of Physics and Astronomy, University of Southampton Southampton SO17 1BJ, UK

Francesco Sanfilippo , Silvano Simula

Istituto Nazionale di Fisica Nucleare, Sezione di Roma Tre Via della Vasca Navale 84, 00146 Rome, Italy

Nazario Tantalo

Dipartimento di Fisica and INFN, Università di Roma "Tor Vergata" Via della Ricerca Scientifica 1, 00133 Rome, Italy

We present a novel strategy to renormalize lattice operators in QCD+QED, including first order QED corrections to the non-perturbative evaluation of QCD renormalization constants. Our procedure takes systematically into account the mixed non-factorizable QCD+QED effects which were neglected in previous calculations, thus significantly reducing the systematic uncertainty on renormalization corrections. The procedure is presented here in the RI'-MOM scheme, but it can be applied to other schemes (e.g. RI-SMOM) with appropriate changes. We discuss the application of this strategy to the calculation of the leading isospin breaking corrections to the leptonic decay rates $\Gamma(\pi_{\mu2})$ and $\Gamma(K_{\mu2})$, evaluated for the first time on the lattice. The precision in the matching to the *W*-regularization scheme is improved to $O(\alpha_{em} \alpha_s(M_W))$ with respect to previous calculations. Finally, we show the updated precise result obtained for the Cabibbo-Kobayashi-Maskawa matrix element |*Vus*|.

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^{*}Speaker.

[†]matteo.dicarlo@roma1.infn.it

1. Introduction

Current lattice calculations are generally performed in the isospin symmetric limit of QCD, in which the up and down quark masses are taken to be equal $(m_u = m_d)$ and electromagnetic (e.m.) interactions are switched off. These have reached a precision below the percent level for many hadronic quantities [[1](#page-6-0)]. This implies that further improvements in the determination of physical observables useful to extract the Cabibbo-Kobayashi-Maskawa (CKM) matrix elements and test the limits of the Standard Model are only possible if strong and e.m. isospin breaking (IB) effects, which are expected to be of $O(1\%)$, are included in lattice calculations. A possible way to include such effects in lattice simulations is to use a perturbative approach (the *RM123 method* proposed in Refs. [\[2,](#page-6-0) [3\]](#page-6-0)) in which the lattice path-integral is expanded in terms of the two small parameters $\alpha_{\rm em}$ and $(m_d - m_u)/\Lambda_{\rm QCD}$ and IB corrections to observables computed in the iso-symmetric limit are evaluated at first order in these parameters. Such a perturbative approach also allows one to control the subtraction of infrared (IR) divergences arising when evaluating QED corrections to hadron decay rates. While IR divergences are cancelled by including both virtual corrections and the real emission of photons, ultraviolet (UV) divergences have to be treated by including QED corrections in the renormalization procedure. When e.m. corrections at $O(\alpha_{\rm em})$ are added to QCD, renormalization constants (RCs) of composite operators can be written as

$$
Z = \left(1 + \frac{\alpha_{\rm em}}{4\pi} \Delta Z\right) Z^{\rm QCD} \,,\tag{1.1}
$$

where *Z*^{QCD} is the RC computed in pure QCD and ∆*Z* represents the correction introduced by e.m. interactions. In general ∆*Z* and *Z* QCD are matrices which mix different operators, and hence the order in which they are multiplied defines the correction ∆*Z*. The calculation of ∆*Z* has been so far performed in the so-called *factorization approximation*, in which the correction to the RC is simply evaluated as $\Delta Z \equiv \Delta Z^{\text{QED}}$, namely the pure e.m. correction at $O(\alpha_{\text{em}})$ computed in perturbation theory through the evaluation of one loop discrepse. In this engravisation wined non-fotographe theory through the evaluation of one-loop diagrams. In this approximation mixed non-factorizable QCD+QED contributions to the RCs are neglected and hence a systematic uncertainty is introduced in the calculation. In this talk we present a novel framework to compute non-perturbatively such mixed contributions, thus overcoming the factorization approximation and improving the precision of RCs. In the following, we will focus on the renormalization of the operator O_1 entering the effective Hamiltonian which describes the leptonic decay of a pseudoscalar meson,

$$
\mathcal{H}_{\text{eff}} = \frac{G_F}{\sqrt{2}} V_{q_1 q_2}^* O_1 \equiv \frac{G_F}{\sqrt{2}} V_{q_1 q_2}^* \left[\bar{q}_2 \gamma_\mu (1 - \gamma_5) q_1 \right] \left[\bar{\nu}_\ell \gamma^\mu (1 - \gamma_5) \ell \right],\tag{1.2}
$$

but the discussion can also be applied to other lattice operators (e.g. quark bilinear operators). A method to compute the decay rate of such processes on the lattice has been proposed in Ref. [[4](#page-6-0)] and, as a first step, the leading IB corrections to the ratio $\Gamma[K \to \mu \nu_\mu(\gamma)]/\Gamma[\pi \to \mu \nu_\mu(\gamma)]$ have been computed [\[5\]](#page-6-0). In the ratio there is a large cancellation of renormalization corrections and the factorization approximation only affects the quark mass RCs. However, to obtain a separate determination of the first order corrections to the kaon and pion decay rates it is necessary to overcome the factorization approximation and renormalize O_1 non-perturbatively on the lattice. In the following we discuss how to implement the non-perturbative renormalization in QCD+QED and show its impact on the evaluation of leptonic decay rates of kaons and pions. All the details of the calculation of the decay rates are given in Ref. [[6](#page-6-0)], while a specific paper on the QCD+QED renormalization is in preparation [\[7\]](#page-6-0).

2. Leading IB corrections to light meson leptonic decay rates

When studying QED corrections at order $O(\alpha_{\rm em})$ to the leptonic decay of a pseudoscalar meson *P*, diagrams with both virtual and real photons, which are separately IR divergent, must be considered in order to get a finite result for the decay rate [[8](#page-6-0)],

$$
\Gamma(P_{\ell 2}) \equiv \Gamma_P^{(0)} (1 + \delta R_P) = \Gamma_0 (P \to \ell \nu_\ell) + \Gamma_1 (P \to \ell \nu_\ell \gamma) , \qquad (2.1)
$$

where $\Gamma_p^{(0)}$ ⁽⁰⁾ is the decay rate in pure QCD, δR_P its correction at first order in α_{em} and $(m_d - m_u)/\Lambda_{\text{QCD}}$
where it a denotes the number of photons in the final state. The initial numeral of Bef. [4] and the subscript ⁰,¹ denotes the number of photons in the final state. The initial proposal of Ref. [[4](#page-6-0)] was to consider sufficiently soft photons, emitted in the meson rest frame with a maximum energy ΔE_{γ} , such that they do not resolve the internal structure of the meson. The meson can then be treated as a point-like particle and $\Gamma_1(P \to \ell \nu_\ell \gamma) \simeq \Gamma_1(\Delta E_\gamma)$ can be computed in perturbation theory. The non-perturbative evaluation of Γ_1 is now in progress as reported at this conference [\[9,](#page-6-0) [10](#page-6-0)]. In this framework, we are interested in the calculation of the correction δR ^{*P*} for light-mesons. This quantity gets two kinds of contributions: one coming from the strong IB and e.m. corrections to the amplitude and one coming from QED corrections to the renormalization of $O₁$, namely

$$
\delta R_P = \delta R_P^{ampl} + \delta R^{ren} \tag{2.2}
$$

The first term has been already computed in Ref. [\[5\]](#page-6-0) for kaons and pions in the evaluation of the first order correction to the ratio $\Gamma(K_{\mu2})/\Gamma(\pi_{\mu2})$, while the calculation of the second one has been addressed in Ref. [\[6\]](#page-6-0) and is the aim of this talk¹.

3. Non-perturbative renormalization in QCD+QED

The calculation of e.m. effects must be consistent at $O(\alpha_{\rm em})$ with the value of G_F extracted from the lifetime of the muon. The most natural way of proceeding is to renormalize O_1 in the *W*-regularization scheme [[11\]](#page-6-0) (see Sec. II of Ref. [\[4\]](#page-6-0) for details), in which the effective Hamilto-nian of Eq. [\(1.2](#page-1-0)) gets a finite correction and the operator O_1^{W-reg} $\frac{1}{1}$ ^{w-reg} is renormalized using a properly regularized photon propagator. Since we are not able to implement the *W*-regularization directly in lattice calculations, the inverse lattice spacing being much smaller than the *W*-boson mass M_W , the calculation takes place in two steps. We start by renormalizing the four-fermion operator O_1 on the lattice in a given scheme, e.g. in the RI'-MOM scheme [[12\]](#page-6-0), non-perturbatively in QCD and at $O(\alpha_{\rm em})$ in QED, taking into account possible mixing with other lattice operators. Then, the renormalized operator $O_1^{\text{RF}}(\mu)$ is perturbatively matched to the corresponding operator renormalized in the *W* requirements the *W*-regularization,

$$
O_1^{\text{W-reg}}(M_W) = Z^{\text{W-RI}}(M_W/\mu, \alpha_s(\mu), \alpha_{\text{em}}) O_1^{\text{RI}}(\mu).
$$
 (3.1)

¹Since the correction δR^{ren} only depends on the operator mediating the process, it follows that $\delta R_K^{ren} = \delta R_{\pi}^{ren}$ and δR_{π}^{ren} are specific to contribution cancels out in the coloration of $\delta R_{\pi} = \delta R_{\pi}$. therefore its contribution cancels out in the calculation of $\delta R_{K\pi} = \delta R_K - \delta R_\pi$ done in Ref. [\[5\]](#page-6-0).

The coefficient *Z* W-RI' can be computed in perturbation theory first by evolving the operator in the RI' scheme from the scale μ to M_W and then by matching it to the corresponding operator in the *W*scheme. By including the two-loop anomalous dimension of $O(\alpha_{em}\alpha_s)$ in the evolution operator, the residual truncation error of the matching is of $O(\alpha_{em}\alpha_s(M_W))$, reduced from $O(\alpha_{em}\alpha_s(1/a))$ of Ref. [[4](#page-6-0)]. We choose to renormalize the operator O_1 in the RI'-MOM scheme, but the same procedure can be applied to other schemes such as RI-SMOM with the appropriate modifications of kinematics and projectors [\[13](#page-6-0)]. The use of twisted-mass fermions implies that the operator O_1 mixes with four other lattice operators with different chiralities², due to the explicit breaking of chiral symmetry,

$$
O_1^{\text{RT}}(\mu) = \sum_{k=1}^{5} \left[Z_O(\mu a) \right]_{1k} O_k^{\text{bare}}(a) . \tag{3.2}
$$

The matrix Z_O can be obtained by applying the RI'-MOM condition on Γ_O , the matrix obtained projecting the amputated Green functions on the tree-level Dirac structures of the operators,

$$
Z_O(\mu a) \prod_f (Z_f(\mu a))^{-1/2} \Gamma_O(p a)|_{p^2 = \mu^2} = \hat{1},
$$
\n(3.3)

where Z_O and $Z_f^{-1/2}$ are respectively the RCs of the operator and of fermion fields, the latter being defined in the RI'-MOM scheme as

$$
Z_f(\mu a) = -\frac{i}{12} \text{Tr} \left[\frac{\cancel{p} \langle S_f(pa) \rangle^{-1}}{p^2} \right] \Big|_{p^2 = \mu^2} . \tag{3.4}
$$

Expanding the RCs in terms of α_{em} as in Eq. ([1.1](#page-1-0)), we obtain the condition for the correction ΔZ_O ,

$$
\Delta Z_O(\mu a) = -\prod_f \left(Z_f^{\text{QCD}}(\mu a) \right)^{-1/2} Z_O^{\text{QCD}}(\mu a) \Delta \Gamma_O(\mu a) + \frac{1}{2} \sum_f \Delta Z_f(\mu a) \,. \tag{3.5}
$$

To summarize, ∆*Z^O* is a combination of the corrections to the fermion field RCs, the corrections to the projected Green function Γ_O and the pure QCD RCs. This applies also to $\Delta Z^{\rm QED}_O$ $\frac{QED}{O}$, where all the ingredients must be evaluated in the absence of QCD, namely

$$
\Delta Z_O^{\text{QED}}(\mu a) = -\Delta \Gamma_O^{\text{QED}}(\mu a) + \frac{1}{2} \sum_f \Delta Z_f^{\text{QED}}(\mu a). \tag{3.6}
$$

The diagrams necessary for the computation of ∆Γ*^O* and ∆*Z^f* in the electro-quenched approximation are depicted in Fig. [1](#page-4-0) and they have been evaluated on the lattice both in QCD+QED and in pure QED. Photon propagators in Fig. [1](#page-4-0) are realized using stochastic photon fields generated from a Gaussian distribution as in Ref. [\[14](#page-6-0)], thus avoiding the usage of all-to-all quark propagators. More details are given in Ref. [\[7\]](#page-6-0).

3.1 QCD+QED renormalization beyond the factorization approximation

We find it convenient to introduce the ratio

$$
\mathcal{R} \equiv (Z^{\text{QED}})^{-1} Z (Z^{\text{QCD}})^{-1} = 1 + \frac{\alpha_{\text{em}}}{4\pi} \eta , \qquad (3.7)
$$

 $^{2}O_{1,2}^{\text{bare}} = [\bar{q}_{2}\gamma_{\mu}(1\mp\gamma_{5})q_{1}][\bar{\nu}_{\ell}\gamma^{\mu}(1-\gamma_{5})\ell], O_{3,4}^{\text{bare}} = [\bar{q}_{2}(1\mp\gamma_{5})q_{1}][\bar{\nu}_{\ell}(1+\gamma_{5})\ell], O_{5}^{\text{bare}} = [\bar{q}_{2}\sigma_{\mu\nu}(1+\gamma_{5})q_{1}][\bar{\nu}_{\ell}\sigma^{\mu\nu}(1+\gamma_{5})\ell].$

Figure 1: Diagrams considered to compute the corrections to the Green function of the operators *O*1−⁵ (*first line*) and to the quark propagator *(second line*). The last two diagrams in the second line represent the mass and critical Wilson parameter counter-terms.

and decompose any RC as

$$
Z = Z^{\text{QED}} \mathcal{R} Z^{\text{QCD}} = \left[1 + \frac{\alpha_{\text{em}}}{4\pi} (\Delta Z^{\text{QED}} + \eta) \right] Z^{\text{QCD}}, \tag{3.8}
$$

where

$$
\eta = \Delta Z - \Delta Z^{\text{QED}} \tag{3.9}
$$

quantifies the violation to the factorization approximation and encodes all the mixed QCD+QED contibutions not included in the product $\Delta Z^{\text{QED}} Z^{\text{QCD}}$. The advantage of computing η is that in the ratio ([3.7](#page-3-0)) the pure QCD and pure QED discretization effects and anomalous dimensions cancel out. Moreover, an attractive feature of η is that if ΔZ^{QED} is computed on the lattice using the *same*
etcohectic photon fields on for the coloribium of ΔZ , the *statistical uncertainty related* to the photon stochastic photon fields as for the calculation of ∆*Z*, the statistical uncertainty related to the photon sampling is significantly reduced in the difference (3.9) for several entries of the matrix η .

3.2 Numerical analysis

In our analysis, we have performed two different calculations, one in QCD+QED and one in pure QED at $O(\alpha_{\rm em})$, with the same lattice parameters and stochastic photon fields but with the QCD links set to 1. We have used gauge configurations with $N_f = 4$ degenerate dynamical quarks produced by the ETM Collaboration [[15\]](#page-6-0). The first step in the determination of the RCs is the computation of the Green functions in the two theories, at different values of the external momenta $(a\tilde{p})^2 = \sum_{\mu} \sin^2(ap_{\mu})$, followed by the calculation of the corrections using Eqs. [\(3.5\)](#page-3-0) and ([3.6\)](#page-3-0). Since RI-MOM is a mass independent scheme, a chiral extrapolation is needed. Particular attention has to be paid when extrapolating Green functions involving scalar or pseudoscalar currents, which in pure QCD suffer from the contamination of Goldstone poles $\propto 1/M_P^2$. Indeed, when including
OFD corrections, double poles of the form $\Lambda M^2/M_T^4$ are concerted in the correction to the Cream QED corrections, double poles of the form $\Delta M_P^2/M_P^4$ are generated in the correction to the Green
function and have to be generally subtracted. Once the green dependence is generated from the PGs function and have to be properly subtracted. Once the mass dependence is removed from the RCs (both in the valence and in the sea), we compute the matrix η according to Eq. (3.9). In Fig. [2](#page-5-0) we present the results for η_{11} and η_{22} obtained using the same or different stochastic photon fields and we notice that the statistical uncertainty is reduced by approximately a factor of 5 in the former case for both the matrix entries, as mentioned above. In order to remove the dependence on the renormalization scale, η is evolved to the reference scale $a\mu = 1$ using the two-loop anomalous dimension matrix of $O(\alpha_s \alpha_{\text{em}})$ [[7](#page-6-0)]. Once the matrix η_O is evaluated, the correction ΔZ_O is obtained $\Delta Z_O = \eta_O + [\Delta Z_O^{\text{QED}}]$ $O_{\text{D}}^{\text{QED}}$ _{*an*}, i.e. adding back the one-loop QED RC computed this time *analytically* in perturbation theory. We have finally reconstructed the mixing of the lattice operators (see Eq. [\(3.2\)](#page-3-0)) at $O(\alpha_{em})$ and we are now able to derive the operator $O_1^{\text{W-reg}}$ $\frac{1}{1}$ renormalized in the *W*-regularization

Figure 2: Results for η_{11} and η_{22} obtained using the same or different photon fields A_μ in the QCD+QED and the pure QED analyses. The stochastic noise is about 5 times smaller when using the same fields.

scheme by means of a perturbative matching. From the term of $O(\alpha_{em})$ of O_1^{W-reg}
to gut not the term SP^{ren} of Eq. (2.2) and the detailed establishing as he four $\int_{1}^{w \text{-reg}} (M_W)$ it is possible to extract the term δR^{ren} of Eq. [\(2.2\)](#page-2-0) and the detailed calculation can be found in Ref. [[6\]](#page-6-0). We
remind the reader that knowledge of δR^{ampl} along allows are to extract only the ratio of K and g remind the reader that knowledge of δR_p^{amp} *P* P_P alone allows one to extract only the ratio of *K* and π decay rates. Now, instead, we are able to determine separately the two quantities δR_{π} and δR_K .

4. Results

The results obtained for the leading IB corrections to light-meson leptonic decay rates [\[6\]](#page-6-0) are

$$
\delta R_{\pi^{\pm}} = 0.0153 \ (19) \ , \quad \delta R_{K^{\pm}} = 0.0024 \ (10) \ . \tag{4.1}
$$

Our results can be compared with those obtained in Chiral Perturbation Theory (χPT) and currently adopted by the PDG [\[16\]](#page-6-0), $\delta R_{\pi^{\pm}} = 0.0176(21)$ and $\delta R_{K^{\pm}} = 0.0064(24)$ respectively. The difference is within 1σ for δR_{π} , but is somewhat larger for δR_K . Our determination of δR_K has an uncertainty a factor of about 2.4 smaller than the one obtained in χPT and such improvement depends crucially on the non perturbative calculation of the mixing presented in this talk. Indeed, we can compare the results in Eq. (4.1) with preliminary estimates [\[17](#page-6-0)] computed in the factorization approximation (i.e. assuming $\eta = 0$), namely $\delta R_{\pi^{\pm}}^{\eta=0} = 0.0148(26)$ and $\delta R_{K^{\pm}}^{\eta=0} = 0.0020(20)$, where a conservative expression is a conservative systematic uncertainty was added to account for the missing non-factorizable contributions in the QCD+QED renormalization. The improvement of the uncertainties confirms the need for a nonperturbative calculation of η . The introduction of η allows also to update the result of the ratio of kaon and pion decay rates, ^δ*RK*π, previously obtained in Ref. [[5](#page-6-0)], where the factorization approximation was applied to the RCs of quark masses. The updated result is $\delta R_{K\pi} = -0.0126$ (14). Combining these results with the experimental measurements of the decay rates and the value $|V_{ud}| = 0.97420(21)$ from superallowed nuclear β decays [[18\]](#page-6-0), we are able to extract the CKM matrix element

$$
|V_{us}| = 0.22538 \ (46) \ . \tag{4.2}
$$

Our result is in agreement with the latest estimate $|V_{us}| = 0.2253(7)$ [[16\]](#page-6-0), but it improves the uncertainty by a factor of approximately 1.5. Taking the values $|V_{ub}| = 0.00413(49)$ [\[16](#page-6-0)] and $|V_{ud}| = 0.97420(21)$ [\[18](#page-6-0)], our result in Eq. (4.2) implies that the unitarity of the first row of the CKM matrix is confirmed to better than the per-mille level, $|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.99988$ (46).

5. Conclusions

We have presented a new strategy to renormalize operators non-perturbatively on the lattice with the inclusion of e.m. corrections of $O(\alpha_{\rm em})$ and the non-factorizable QCD+QED contributions, which had been neglected in previous calculations, have now been systematically included. By introducing the two-loop anomalous dimension at $O(\alpha_{\rm em}\alpha_s)$ in the matching we have reduced the residual uncertainty to $O(\alpha_{em}\alpha_s(M_W))$. The renormalization procedure has been presented here in the RI'-MOM scheme, but it can be extended to other schemes with appropriate modifications. The method has been applied to the calculation of the leading IB corrections to $\Gamma(\pi_{\mu2})$ and $\Gamma(K_{\mu2})$, where the introduction of the non-factorizable terms in the mixing yielded a significant improvement of the precision of final results. The details of the calculation are discussed in Refs. [6, 7]. We have presented the first result of $|V_{\mu s}|$ obtained from a first-principle calculation, with a better precision than that currently quoted in the PDG Review [16], and we have tested the unitarity of the first row of the CKM matrix with a relative uncertainty smaller than one per-mille.

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