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DARK SECTORS AT FIXED TARGETS: THE EXAMPLE OF NA62

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Abstract

If new physics manifests itself in the existence of very weakly coupled particles of MeV-GeV mass-scale, fixed-target experiments can be an excellent instrument to discover it. In these proceedings, we review especially the sensitivity of the NA62 experiment to this physics scenario.

1 Weakly coupled particles at fixed target set-ups

Albeit we can describe our findings about elementary particles and their interactions to an incredible precision in the 'Standard Model of particle physics' (SM), it is clear that the particle content therein is likely not complete. One of the most blatant evidence for our insufficient knowledge is: we do not know what Dark Matter (DM) particles (constituting $\sim 80\%$ of all matter) are. Exploration of the existence of particles at high energies (masses) is and will be performed, e.g., by the LHC. However, new particles might also be found at much lower energy scales but interaction strengths which are very tiny. If such particles exist, their feeble coupling would make them comparably long-lived and thus they could escape strong constraints from searches with colliders.

To search such long-lived particles, independently of whether they explain DM, a number existing and proposed fixed-target/beam-dump experiments

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have set up corresponding programs. Amongst the ones that exploit a highenergy proton beam as primary beam are the proposed SHiP experiment, as well as running experiments SeaQuest at Fermilab and NA62 at CERN ¹). Results and prospects of the latter will be subject of this article, albeit some of our plots of Sect. 4 also include prospects for other experiments. Comprehensive projections for Seaquest can be found in ²) and for SHiP in ³).

In general, a primary beam of protons, electrons or even muons is used to produce such long-lived particles of a 'dark' or 'hidden' sector, which is motivated by different BSM physics, e.g. (4, 5, 6). Typically, a higher primary beam energy is favored to achieve sizable production cross-sections. The experiment geometry then systematically shapes the accessible parameter space in the coupling-mass plane as it 'selects' the longevity of the particles that can be searched for. Importantly, the number of primary particles correlates with the feebleness of particles that can be probed.

If the exotic particles are stable and thus invisible for the experiment due to their small coupling, they could be found by missing-mass or missing energy techniques. Examples of such searches at NA62 are presented in sect. 3.

If the new particles decay, their final states will guide us in pin-pointing the new responsible interaction, see examples in sect. 4.

2 NA62 at CERN's SPS

The NA62 experiment aims at a precise measurement of the rare decay $K^+ \rightarrow \pi^+ \nu \bar{\nu}$. As the SM branching ratio of this decay is extremely small ⁷) $\mathcal{O}(10^{-10})$, the experiment is equipped with a hermetic detector system, cf. fig. 1. In addition, the experiment achieves a $\mathcal{O}(100)$ ps timing resolution.

The SPS primary 400 GeV proton beam interacting in an upstream beryllium target (at 0m in fig. 1) produces a 75 GeV unseparated secondary beam (containing around 6 % Kaons) for NA62, selected by an achromat around 23m downstream the target. This beam is guided through a beamline into the experimental hall, with the first detector (KTAG) located at around 70 m.

Two trackers: The GTK (Si-pixel), and the STRAWs allow to determine the 3-momentum of the incident particles and their decay products, respectively. The GTK data is matched with the KTAG (differential Cerenkov counter) to obtain the full Kaon 4-momentum. The CHANTI station provides protection by vetoing inelastic interactions of the 75 GeV beam in the third GTK tracker-station. A RICH positively identifies secondary charged pions. Further Hadron ID is provided by the calorimeters MUV1 and MUV2 . To veto unwanted decay modes, Muon ID is provided by the MUV3 plastic scintillator detector, placed after an iron absorber. Finally, photons can be vetoed at small angles by the IRC and SAC, at intermediate angles by the liquid krypton calorimeter (LKr) and, at large angles, by the lead-glass large-angle-veto (LAV) calorimeters.

First results of the analysis of the 2016 data set w.r.t. the decay $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ are presented at this conference ⁸). After a commissioning phase, NA62 is taking quality data towards this measurement since 2016 and the current run of NA62 continues until the end of 2018, that is the end of 'Run 2'. NA62 then pauses with the pause of the CERN accelerator infrastructure. Restart of the experiment is expected in 2021 for 'Run3'.

To understand NA62's capability for Exotics searches, it is helpful to once again consider experiment and beamline shown in Fig. 1.

Besides magnets, the 'achromat' near 23m in the Fig. 1 comprises two move-able, ~ 1.6 m long blocks with a set of holes allowing passage of the narrow beam and allowing adjusting its intensity. These blocks are also dubbed 'Target Attenuator eXperimental areas': TAXes.

During data-taking in the configuration with the beryllium target in place (i.e. when the Kaon beam reaches the NA62 decay volume), a sizable fraction (~ 40 %) of the protons pass through the target without interaction. Thus these impinge on the front, copper-part of the TAXes. These protons are defacto 'dumped' and can be the source of so-far undiscovered, weakly-interacting particles.

For this reason, during standard data-taking a number of parasitic triggerlines have been implemented which might help to detect the presence of new particles. For example, an 'exotic' multi-track trigger has been employed during 2017 data-taking, built to trigger on events that did not originate from a Kaon decay. This exotic trigger runs in parallel with a number of triggers optimized for Kaon decays, notably $K^+ \rightarrow \pi^+ \nu \bar{\nu}$.

To foster the production of weakly interacting, novel particles from dumped protons, NA62 can be run in 'pure' beam dump-mode by 'closing' the upstream collimators and removing the beryllium target. 'Closing the collimators/TAXes' means that these are moved into a position that completely blocks

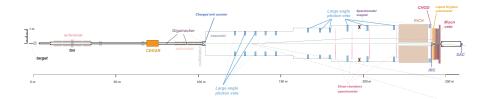


Figure 1: Layout of NA62. See ¹) for details on the detector. For closedcollimator runs the proton beam is dumped at $\sim 23m$ (w.r.t. to the nominal target position at 0m, relevant in Kaon-mode).

the primary SPS proton beam. Followingly, in 2016 and continuing in 2017, the experiment has started to take data samples in this 'pure' dump-mode to assess its capability and do first analyses for Dark Sector particles. The statistics here is on the order of $\mathcal{O}(10^{16})$ POT.

In summary, NA62 can search for hypothetical, very weakly-interacting particles, and thus illuminate the Dark Sector in at least three ways:

- 1. Meson decays: New Physics Particles can be produced in decays of the Kaon (see examples in sect. 3)
- 2. Parasitic dump production: In $(\pi^+ \nu \bar{\nu})$ data taking, exotic particles can be produced by proton interactions far upstream the decay volume. This might be by direct interactions of the primary particle in a target material or in the decay of secondaries. Weakly interacting new-physics particles can travel interaction-less up to the decay volume. If they decay away from the main beam-line they can be found and recorded by the use of dedicated trigger lines, running parasitically to the trigger line for the main Kaon analysis (see examples in sect. 4)
- 3. Dedicated dump runs: To suppress backgrounds, NA62 can be run as 'proton dump experiment', making it even more sensitive any particle of appropriate life-time potentially produced in upstream proton interactions. (see examples in sect. 4)

3 NA62 results for exotics from Kaon decays

Let us first review some results and prospects of searches for exotic particles in NA62 from Kaon decays.

3.1 Invisibly decaying Dark Photons

The decay chain $K^+ \to \pi^+ + \pi^0$, with $\pi^0 \to \gamma + A'$ has been investigated using 5% of 2016 data. This corresponds to about 1.5×10^{10} Kaon decays. Here, A' is a Dark Photon (DP) decaying invisibly (see sect. 4.3 for visible DP decays). The squared missing mass $m^2 = (p_K - p_\pi - p_\gamma)^2$, peaks at 0 for the SM process $\pi^0 \to \gamma\gamma$ (where one of the γ s is lost). By contrast, it should exhibit a peak around the A' mass for the $\pi^0 \to \gamma + A'$ decay, if the A' is sufficiently strongly coupled given the statistics. A data-driven background estimate, based on the tail with negative missing mass values, was used. No statistically significant excess has been observed and upper limits have been computed on the number of signal events.

The corresponding 90 % confidence level exclusion limit on the kinetic mixing parameter versus the mass of the DP is shown in Fig. 2 together with the limits from BaBar, NA64 and E949, as compiled in 9.

The "stalactite-like" shape shape of the NA62 exclusion region in fig. 2 can be understood as follows: Going to low A' masses, the search is limited by the SM background of π^0 decays with one photon lost. On the other hand, at high masses, the limiting factor is kinematics.

3.2 HNL from production search

A search for heavy neutral leptons (HNLs) that escape detection (see also section 4.4 for visible decay searches of HNLs) has been performed utilizing the decays $K^+ \rightarrow \mu^+/e^+ + N_{\mu/e}$, with N the HNL. The analysis proceeds through a "bump-hunt" in the positive squared missing mass region. This analysis was based on minimum-bias-triggered data from 2015 equivalent to $\sim 3 \times 10^8 K^+$ decays. No signal has been observed and upper limits have been placed 10). This search considerably improves the sensitivity with respect to previous experiments for both the electron and muon modes above HNL masses $\gtrsim 300 \text{MeV}$, cf. Fig. 3. Note that the upper blue curve labeled NA62-2007 shows the limit from 11), based on data from 2007 (with the apparatus of

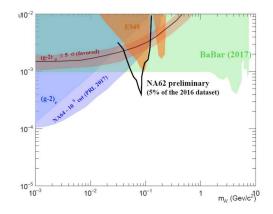


Figure 2: 90% CL exclusion limit in the coupling-mass plane for $\pi^0 \to \gamma A'$ with a Dark Photon A' that decays into invisible final states. The red band shows a region of the parameters suggested to explain the muon (g-2) anomaly (red band).

the NA48/2 experiment) corresponding to $\sim 10^7 K^+$ decays exploited to search for the leptonic muon mode.

3.3 Further avenues for new particle searches from kaon decays

The above-mentioned examples do not constitute a comprehensive list of interesting channels and the around $3 \times 10^{12} K^+$ collected in 2017 and the ongoing 2018 data taking can be harvested for a plethora of new-physics signatures. For example, as by-product of the main analysis, a very intriguing possibility concerns flavored, ultralight axions such as the model of 12), or a bump hunt in $K^+ \to \pi^+ + X$, with X decaying further to l^-l^+ with $l = \mu, e$, see prospects in 13).

4 Prospects for upstream-produced exotics at 10¹⁸ POT at NA62

In this section we discuss the prospects to search for novel weakly interacting particles produced upstream the NA62 fiducial volume (TAX/target region in Figure 1).

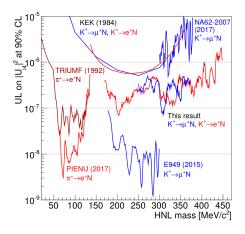


Figure 3: 90% CL exclusion limit in the plane of coupling $(|U_{l4}|^2)$ versus mass from various pion and kaon leptonic decays. Limits from other production searches are shown together with the results from NA62 data taken in 2007 and 2015. The latter is labeled as "This result" 10).

For definiteness, we show the sensitivity prospects for NA62 at 10^{18} POT for some new physics models that are also considered for a much wider set of experiments in the context of CERN's 'Physics beyond collider' (PBC) studies 14). Thus, we heavily follow the benchmark sets provided in 15). All plots show a potential 90% CL exclusion limit achievable by NA62, if full background rejection can be achieved.

4.1 ALPs with predominant photon coupling

For axion-like particles (ALPs) as portal particles, one has the possibility to write down couplings to gluons, quarks, leptons and other SM fields. Here only the prospect of a strictly predominant coupling to photons is shown. If this is realized, the pre-dominant production mechanism for ALPs would be via Primakov production through photons-from-protons in the target (favored by a coherent Z^2 enhancement over, e.g. an ALP-strahlung process 16).

The relevant interaction term including the ALP a is

$$\mathcal{L}_{a,\text{int}} = -\frac{1}{4} g_{a\gamma} \, a \, F^{\mu\nu} \tilde{F}_{\mu\nu} \,, \qquad (1)$$

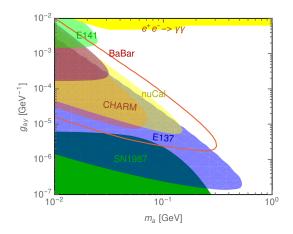


Figure 4: Status of exclusions for ALPs coupled to photons in the MeV-GeV range. The shaded areas correspond to excluded regions, the red line denotes the NA62 prospect at 10^{18} POT.

where $g_{a\gamma}$ denotes the photon ALP coupling.

The red, non-filled curve in Figure 4 shows the prospects of a search performed at NA62 at 10^{18} POT. Other curves are taken from $^{16)}$ (with updates provided in $^{17, 18}$). Projections are based on Primakov production through the equivalent photon approximation. In addition, detection of both photons from the ALP decay at a mutual distance of at least 10cm in the inner region of the LKr has been assumed in a toy MC. This toy MC has been cross-checked against the full NA62 MC. Note that this search needs to strictly be performed in beam-dump-mode as no tracking for the photons is available.

4.2 Higgs Portal

In the following we project the sensitivity for NA62 for a scalar ${\cal S}$ with an interaction

$$\mathcal{L}_{\text{int,scalar}} \sim \mu S H^{\dagger} H \quad , \theta = \mu v / (m_h^2 - m_S^2) \; , \tag{2}$$

where v is the Higgs VEV and a mixing parameter θ , valid for small mixings ¹⁹) is introduced. Above we have omitted the possibility of an additional S^2

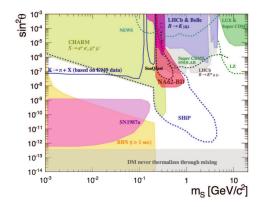


Figure 5: Status of exclusions for scalars mixing with the Higgs as described in the text. Plot as in ¹⁹) with NA62 sensitivity projection in red, labelled 'NA62-BD'. Also indicated are projections for SeaQuest and SHiP.

interaction. Our projection for NA62 at 10^{18} POT is given in Fig. 5.

The dominant production mode here is from B-Mesons produced in the dump, which subsequently decay into final states with S particles. The decay of S has been evaluated in a toy MC cross-checked against the full MC and considers final states $\mu\mu$, ee, $\pi\pi$, KK.

4.3 Dark Photons

The Dark Photon (vector) portal considered here is a minimal model in which an additional U(1) is introduced that mixes with the SM photon:

$$\mathcal{L}_{\rm int} \sim \frac{\epsilon}{2\cos(\theta_W)} F'^{\mu\nu} B_{\mu\nu} , \qquad (3)$$

with ϵ being the kinetic mixing.

Figure 6 shows the current state of exclusions together with the prospect sensitivity of NA62. Final states in ee, $\mu\mu$ have been considered, and plausible trigger and selection efficiencies have been accounted for. A toy MC has been set up and cross-checked against the full MC.

For this projection, only Dark Photon production via Meson decays of $D's, \pi^0, \eta, \eta', \Phi, \rho, \omega$ and in Bremsstrahlungs-production has been considered.

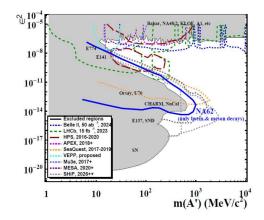


Figure 6: Status of exclusions for Dark Photons. The blue line shows the sensitivity projection of NA62 based only on production of Dark Photons from Meson decays and Bremsstrahlung at 10^{18} POT from the Beryllium target.

Additionally this production is assumed to take place in the Beryllium target only.

Considering production in the more downstream TAX will improve the projection further. Also, in principle, QCD processes such as $q\bar{q} \rightarrow A'$ can contribute, especially at higher masses ²⁰⁾. Albeit this is plagued by theoretical uncertainties in the corresponding production cross-section. Such processes are not considered here. In this sense, our projection is rather conservative.

4.4 Heavy Neutral Leptons

For the neutrino portal

$$\mathcal{L}_{\rm int,HNL} \sim \Sigma F_{\alpha i} (\bar{L}_{\alpha} H) N_i \tag{4}$$

with the sum over HNLs, N and the flavor of lepton doublets L. F denotes Yukawa couplings. More details can be found in 21, 5.

Figure 7 shows NA62 prospect sensitivity for 10^{18} POT in the coupling versus mass plane for a three theoretical scenarios of heavy neutral lepton models, corresponding to the highest possible couplings to electrons (left-most

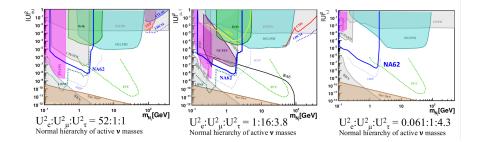


Figure 7: Status of exclusions for HNLs coupled in the MeV-GeV range (together with some projections of a selected set of other experiments). In the plots, three extreme coupling scenarios are addressed separately, cf. ²¹). The blue lines show the prospect of NA62 to test these scenarios if full background rejection can be achieved.

panel), muons (central panel), and taus (right-most panel) and normal neutrino hierarchy.

5 A word on background rejection

The strategy and performance of background rejection for charged final states (Dark Photons, Scalars, and HNLs as discussed above) can be to some extent understood from fig. 8. In it, we show some results obtained in in parasitic mode (see also 2^{2}). This sample is taken is during nominal data-taking, in a parasitic trigger stream that requires two coincident muons (10ns) window as well as an energy in the LKr calorimeter < 20 GeV. The statistics is ~ 10^{15} POT.

On the l.h.s. of fig. 8 we show the distance of the extrapolation of the total vertex momentum to the nominal beam-line at the closest approach to the nominal beam-line. All two-track vertices are shown after a number of quality and track acceptance cuts. As expected, the majority of vertices and thus the background for exotic final states comes from K and π decays in and before the fiducial region. One can employ however, that the number of such vertices decreases steaply as one moves away from the beam-line.

The right-hand side of Figure 8 shows the same data after a number of

additional veto conditions, including the requirement that the vertex is located in the fiducial volume in between a z of 105m and 165m: Most importantly, the r.h.s. requires that the 2-d vertex distance ρ is between 10 and 50 cm from the beamline.

As can be seen, for this data set, no event is compatible with stemming from an exotic particle produced in the region between the NA62 Beryllium target and the TAX collimators in between 0 and $\sim 25m$: The extrapolation of the total vertex momentum in the red-dashed signal box contains no entry.

Note, that for 'pure dump runs', a similar reasoning/analysis can be applied.

For the situation of fully neutral final states (such as ALPs) the above analysis is not useful, but in such a situation, it is feasible to exploit the fact that ALPs that exist in a still un-explored parameter region are necessarily very boosted when they reach the NA62 sensitive volume 2^{22} . The probability to reach decay volume for an ALP is ~ $\exp(-l_{\text{absorber}}/l_d)$, where $l_d = \gamma \beta \tau \sim \frac{E_a}{m} \frac{64\pi}{m^3 g^2}$ and the "absorber" length for NA62 is $l_{\text{absorber}} \simeq 81$ m. Following fig. 4, the yet-to-be discovered ALPs of interest have a short live-time (comparably large couplings) and will arrive at the NA62 sensitive volume at high E_a .

6 Conclusion: NA62 now and in run 3

The NA62 experiment, aimed at the measurement of $K^+ \to \pi^+ \nu \bar{\nu}$ has released its first analysis on this decay channel based on 2016 data, validating its strict performance requirements on the detector. 2017 data is being analyzed and the 2018 run ongoing. To reach a measurement of $K^+ \to \pi^+ \nu \bar{\nu}$ with a satisfactory precision, NA62 aims to continue to take data after LS2.

With this data, also exotic searches from Kaon decays can be performed. Recent results on production searches for heavy neutral leptons and preliminary results on invisible decays of dark photons were shown in this article.

The requirement of hermetic coverage and $\mathcal{O}(100)$ -ps timing resolution allows also for a number of searches for new particles, potentially residing in a 'Dark Sector' being produced in the upstream TAX collimator. In these proceedings we have shown the prospects for some of these models at ~ 10¹⁸ POT. This corresponds to a ~ 1-year long data taking.

For this reason, the NA62 collaboration is currently discussing the possibility to use a fraction of the beam time during Run 3 (2021-2023) to operate

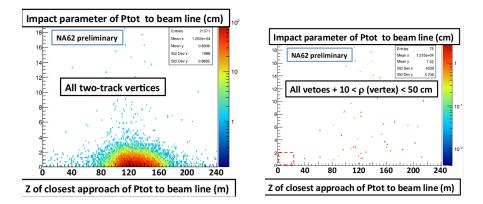


Figure 8: Left-hand Plot: data corresponding to $10^{15}POT$, after quality cuts described in text. Shown is the distance of the extrapolated total vertex momentum to the nominal beam-line at the position of the closest approach to the beam-line. Right-hand plot: Result after additional veto conditions and the requirement that the distance of the vertex to the nominal beamline is between 10 and 50cm. The red-dashed 'signal box' has no remaining event.

NA62 with closed upstream collimators (beam-dump). The current NA62 run is exploited to evaluate background rejection capability and perform first searches for new physics for some of the presented channels.

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