

# Measurement of associated charm production induced by 400 GeV/ $c$ protons

The SHiP Collaboration

## Abstract

An important input for the interpretation of the measurements of the SHiP experiment is a good knowledge of the differential charm production cross section, including cascade production. This is a proposal to measure the associated charm production cross section, employing the SPS 400 GeV/ $c$  proton beam and a replica of the first two interaction lengths of the SHiP target. The detection of the production and decay of charmed hadron in the target will be performed through nuclear emulsion films, employed in an Emulsion Cloud Chamber target structure. In order to measure charge and momentum of decay daughters, we intend to build a magnetic spectrometer using silicon pixel, scintillating fibre and drift tube detectors. A muon tagger will be built using RPCs. An optimization run is scheduled in 2018, while the full measurement will be performed after the second LHC Long Shutdown.

## The SHiP Collaboration

A. Akmete<sup>46</sup>, A. Alexandrov<sup>13</sup>, A. Anokhina<sup>37</sup>, S. Aoki<sup>17</sup>, E. Atkin<sup>36</sup>, N. Azorskiy<sup>27</sup>, J.J. Back<sup>52</sup>, A. Bagulya<sup>30</sup>, A. Baranov<sup>38</sup>, G.J. Barker<sup>52</sup>, M. Battistin<sup>42(EN)</sup>, J. Bauche<sup>42(TE)</sup>, A. Bay<sup>44</sup>, V. Bayliss<sup>49</sup>, G. Bencivenni<sup>14</sup>, A.Y. Berdnikov<sup>35</sup>, Y.A. Berdnikov<sup>35</sup>, M. Bertani<sup>14</sup>, C. Betancourt<sup>45</sup>, I. Bezshyiko<sup>45</sup>, O. Bezshyyko<sup>53</sup>, D. Bick<sup>8</sup>, S. Bieschke<sup>8</sup>, A. Blanco<sup>26</sup>, J. Boehm<sup>49</sup>, M. Bogomilov<sup>1</sup>, K. Bondarenko<sup>53</sup>, W.M. Bonivento<sup>12</sup>, J. Borburgh<sup>42(TE)</sup>, A. Boyarsky<sup>53</sup>, R. Brenner<sup>41</sup>, D. Breton<sup>4</sup>, R. Brundler<sup>45</sup>, M. Bruschi<sup>11</sup>, V. Büscher<sup>9</sup>, A. Buonaura<sup>45</sup>, L. Buonocore<sup>d</sup>, S. Buontempo<sup>14</sup>, S. Cadeddu<sup>12</sup>, A. Calcaterra<sup>14</sup>, M. Calviani<sup>42(EN)</sup>, M. Campanelli<sup>51</sup>, P. Chau<sup>9</sup>, J. Chauveau<sup>5</sup>, A. Chepurinov<sup>37</sup>, M. Chernyavskiy<sup>30</sup>, K.-Y. Choi<sup>25</sup>, A. Chumakov<sup>2</sup>, P. Ciambone<sup>14</sup>, K. Cornelis<sup>42(BE)</sup>, M. Cristinziani<sup>7</sup>, G.M. Dallavalle<sup>11</sup>, A. Datwyler<sup>45</sup>, N. D'Ambrosio<sup>13,15</sup>, G. D'Appollonio<sup>12,c</sup>, J. De Carvalho Saraiva<sup>26</sup>, G. De Lellis<sup>13,d</sup>, A. De Roeck<sup>42</sup>, M. De Serio<sup>10,a</sup>, L. Dedenko<sup>37</sup>, P. Dergachev<sup>32</sup>, A. Di Crescenzo<sup>13,d</sup>, N. Di Marco<sup>13</sup>, C. Dib<sup>2</sup>, H. Dijkstra<sup>42</sup>, V. Dmitrenko<sup>36</sup>, S. Dmitrievskiy<sup>27</sup>, D. Domenici<sup>14</sup>, S. Donskov<sup>33</sup>, A. Dubreuil<sup>43</sup>, J. Ebert<sup>8</sup>, M. Ehlert<sup>6</sup>, T. Enik<sup>27</sup>, A. Etenko<sup>31</sup>, F. Fabbri<sup>11</sup>, L. Fabbri<sup>11,b</sup>, A. Fabich<sup>42(EN)</sup>, O. Fedin<sup>34</sup>, G. Fedorova<sup>37</sup>, G. Felici<sup>14</sup>, M. Ferro-Luzzi<sup>42</sup>, R.A. Fini<sup>10</sup>, P. Fonte<sup>26</sup>, C. Franco<sup>26</sup>, M. Fraser<sup>42(TE)</sup>, R. Froeschl<sup>42(HSE)</sup>, T. Fukuda<sup>18</sup>, G. Galati<sup>13,d</sup>, G. Gavrilo<sup>34</sup>, S. Gerlach<sup>6</sup>, B. Goddard<sup>42(TE)</sup>, L. Golinka-Bezshyyko<sup>53</sup>, A. Golovatiuk<sup>53</sup>, D. Golubkov<sup>28</sup>, A. Golutvin<sup>50,44</sup>, D. Gorbunov<sup>29</sup>, P. Gorbunov<sup>28</sup>, S. Gorbunov<sup>30</sup>, V. Gorkavenko<sup>53</sup>, Y. Gornushkin<sup>27</sup>, M. Gorshenkov<sup>32</sup>, V. Grachev<sup>36</sup>, E. Graverini<sup>45</sup>, J.-L. Grenard<sup>42(EN)</sup>, V. Grichine<sup>30</sup>, N. Gruzinskii<sup>34</sup>, A. M. Guler<sup>46</sup>, Yu. Guz<sup>33</sup>, C. Hagner<sup>8</sup>, H. Hakobyan<sup>2</sup>, E. van Herwijnen<sup>42</sup>, A. Hollnagel<sup>8</sup>, B. Hosseini<sup>50</sup>, M. Hushchyn<sup>38</sup>, G. Iaselli<sup>10,a</sup>, A. Iuliano<sup>13,d</sup>, R. Jacobsson<sup>42</sup>, D. Joković<sup>39</sup>, M. Jonker<sup>42</sup>, I. Kadenko<sup>53</sup>, C. Kamiscioglu<sup>47</sup>, M. Kamiscioglu<sup>46</sup>, M. Karaman<sup>46</sup>, M. Khabibullin<sup>29</sup>, G. Khaustov<sup>33</sup>, A. Khotyantsev<sup>29</sup>, S.H. Kim<sup>21</sup>, V. Kim<sup>34,35</sup>, Y.G. Kim<sup>22</sup>, N. Kitagawa<sup>18</sup>, J.-W. Ko<sup>23</sup>, K. Kodama<sup>16</sup>, A. Kolesnikov<sup>27</sup>, D.I. Kolev<sup>1</sup>, V. Kolosov<sup>33</sup>, M. Komatsu<sup>18</sup>, A. Kono<sup>20</sup>, N. Konovalova<sup>30</sup>, M.A. Korkmaz<sup>46</sup>, I. Korol<sup>6</sup>, I. Korol'ko<sup>28</sup>, A. Korzenev<sup>43</sup>, V. Kostyukhin<sup>7</sup>, S. Kovalenko<sup>2</sup>, I. Krasilnikova<sup>32</sup>, K. Krivova<sup>36</sup>, Y. Kudenko<sup>29,36</sup>, P. Kurbatov<sup>32</sup>, V. Kurochka<sup>29</sup>, E. Kuznetsova<sup>34</sup>, H.M. Lacker<sup>6</sup>, A. Lai<sup>12</sup>, G. Lanfranchi<sup>14</sup>, O. Lantwin<sup>50</sup>, A. Lauria<sup>13,d</sup>, H. Lebbolo<sup>5</sup>, K.S. Lee<sup>24</sup>, K.Y. Lee<sup>21</sup>, J.-M. Lévy<sup>5</sup>, V. Likhacheva<sup>29</sup>, L. Lopes<sup>26</sup>, V. Lyubovitsky<sup>2</sup>, J. Maalmi<sup>4</sup>, A. Magnan<sup>50</sup>, V. Maleev<sup>34</sup>,

A. Malinin<sup>31</sup>, Y. Manabe<sup>18</sup>, M. Manfredi<sup>42(GS)</sup>, A. Mefodev<sup>29</sup>, P. Mermod<sup>43</sup>, S. Mikado<sup>19</sup>, Yu. Mikhaylov<sup>33</sup>, D.A. Milstead<sup>40</sup>, O. Mineev<sup>29</sup>, A. Montanari<sup>11</sup>, M.C. Montesi<sup>13,d</sup>, K. Morishima<sup>18</sup>, S. Movchan<sup>27</sup>, N. Naganawa<sup>18</sup>, M. Nakamura<sup>18</sup>, T. Nakano<sup>18</sup>, A. Nishio<sup>18</sup>, A. Novikov<sup>36</sup>, B. Obinyakov<sup>31</sup>, S. Ogawa<sup>20</sup>, N. Okateva<sup>30</sup>, J. Osborne<sup>42(GS)</sup>, M. Ovchynnikov<sup>53</sup>, N. Owtscharenko<sup>7</sup>, P.H. Owen<sup>45</sup>, P. Pacholek<sup>42(EN)</sup>, A. Paoloni<sup>14</sup>, B.D. Park<sup>21</sup>, S.K. Park<sup>24</sup>, R. Paparella<sup>10</sup>, A. Pastore<sup>10,a</sup>, M. Patel<sup>50</sup>, D. Pereyma<sup>28</sup>, A. Perillo-Marccone<sup>42(EN)</sup>, D. Petrenko<sup>36</sup>, K. Petridis<sup>48</sup>, D. Podgrudkov<sup>37</sup>, V. Poliakov<sup>33</sup>, N. Polukhina<sup>30,36</sup>, M. Prokudin<sup>28</sup>, A. Prota<sup>13,d</sup>, A. Rademakers<sup>42</sup>, A. Rakai<sup>42(EN)</sup>, F. Ratnikov<sup>38</sup>, T. Rawlings<sup>49</sup>, M. Razeti<sup>12</sup>, F. Redi<sup>50</sup>, S. Ricciardi<sup>49</sup>, M. Rinaldesi<sup>42(EN)</sup>, Volodymyr Rodin<sup>53</sup>, Viktor Rodin<sup>53</sup>, T. Roganova<sup>37</sup>, A. Rogozhnikov<sup>38</sup>, H. Rokujo<sup>18</sup>, G. Rosa<sup>13</sup>, T. Rovelli<sup>11,b</sup>, O. Ruchayskiy<sup>3</sup>, T. Ruf<sup>42</sup>, V. Samoylenko<sup>33</sup>, A. Sanz Ull<sup>42(TE)</sup>, A. Saputi<sup>14</sup>, O. Sato<sup>18</sup>, E.S. Savchenko<sup>32</sup>, J. Schliwinski<sup>6</sup>, W. Schmidt-Parzefall<sup>8</sup>, N. Serra<sup>45</sup>, S. Sgobba<sup>42(EN)</sup>, O. Shadura<sup>53</sup>, A. Shakin<sup>32</sup>, M. Shaposhnikov<sup>44</sup>, P. Shatalov<sup>28</sup>, T. Shchedrina<sup>30</sup>, L. Shchutska<sup>53</sup>, V. Shevchenko<sup>31</sup>, H. Shibuya<sup>20</sup>, A. Shustov<sup>36</sup>, S.B. Silverstein<sup>40</sup>, S. Simone<sup>10,a</sup>, R. Simoniello<sup>9</sup>, M. Skorokhvatov<sup>36,31</sup>, S. Smirnov<sup>36</sup>, J.Y. Sohn<sup>21</sup>, A. Sokolenko<sup>53</sup>, E. Solodko<sup>42(TE)</sup>, V. Solovev<sup>35</sup>, N. Starkov<sup>30</sup>, B. Storaci<sup>45</sup>, P. Strolin<sup>13,d</sup>, D. Sukhonos<sup>42</sup>, Y. Suzuki<sup>18</sup>, S. Takahashi<sup>17</sup>, I. Timiryasov<sup>44</sup>, V. Tioukov<sup>13</sup>, D. Tommasini<sup>42(TE)</sup>, M. Torii<sup>18</sup>, N. Tosi<sup>11</sup>, F. Tramontano<sup>d</sup>, D. Treille<sup>42</sup>, R. Tsenov<sup>1,27</sup>, S. Ulin<sup>36</sup>, A. Ustyuzhanin<sup>38</sup>, Z. Uteshev<sup>36</sup>, G. Vankova-Kirilova<sup>1</sup>, F. Vannucci<sup>5</sup>, P. Venkova<sup>6</sup>, V. Venturi<sup>42(EN)</sup>, S. Vilchinski<sup>53</sup>, M. Villa<sup>11,b</sup>, Heinz Vincke<sup>42(DGS)</sup>, Helmuth Vincke<sup>42(DGS)</sup>, K. Vlasik<sup>36</sup>, A. Volkov<sup>30</sup>, R. Voronkov<sup>30</sup>, R. Wanke<sup>9</sup>, J.-K. Woo<sup>23</sup>, M. Wurm<sup>9</sup>, S. Xella<sup>3</sup>, D. Yilmaz<sup>47</sup>, A.U. Yilmazer<sup>47</sup>, C.S. Yoon<sup>21</sup>, Yu. Zaytsev<sup>28</sup>

<sup>1</sup>*Faculty of Physics, Sofia University, Sofia, Bulgaria*

<sup>2</sup>*Universidad Técnica Federico Santa María and Centro Científico Tecnológico de Valparaíso, Valparaíso, Chile*

<sup>3</sup>*Niels Bohr Institute, University of Copenhagen, Copenhagen, Denmark*

<sup>4</sup>*LAL, Université Paris-Sud 11, CNRS/IN2P3, Orsay, France*

<sup>5</sup>*LPNHE, Université Pierre et Marie Curie, Université Paris Diderot, CNRS/IN2P3, Paris, France*

<sup>6</sup>*Humboldt-Universität zu Berlin, Berlin, Germany*

<sup>7</sup>*Universität Bonn, Bonn, Germany*

<sup>8</sup>*Universität Hamburg, Hamburg, Germany*

<sup>9</sup>*Johannes Gutenberg Universität Mainz, Mainz, Germany*

<sup>10</sup>*Sezione INFN di Bari, Bari, Italy*

<sup>11</sup>*Sezione INFN di Bologna, Bologna, Italy*

<sup>12</sup>*Sezione INFN di Cagliari, Cagliari, Italy*

<sup>13</sup>*Sezione INFN di Napoli, Napoli, Italy*

<sup>14</sup>*Laboratori Nazionali dell'INFN di Frascati, Frascati, Italy*

<sup>15</sup>*Laboratori Nazionali dell'INFN di Gran Sasso, L'Aquila, Italy*

<sup>16</sup>*Aichi University of Education, Kariya, Japan*

<sup>17</sup>*Kobe University, Kobe, Japan*

<sup>18</sup>*Nagoya University, Nagoya, Japan*

- <sup>19</sup> College of Industrial Technology, Nihon University, Narashino, Japan
- <sup>20</sup> Toho University, Funabashi, Chiba, Japan
- <sup>21</sup> Gyeongsang National University, Jinju, Korea
- <sup>22</sup> Gwangju National University of Education <sup>e</sup>, Gwangju, Korea
- <sup>23</sup> Jeju National University <sup>e</sup>, Jeju, Korea
- <sup>24</sup> Korea University, Seoul, Korea
- <sup>25</sup> Sungkyunkwan University <sup>e</sup>, Gyeong GI-DO, Korea
- <sup>26</sup> LIP, Universidade de Coimbra, Coimbra, Portugal
- <sup>27</sup> Joint Institute of Nuclear Research (JINR), Dubna, Russia
- <sup>28</sup> Institute of Theoretical and Experimental Physics (ITEP) NRC 'Kurchatov Institute', Moscow, Russia
- <sup>29</sup> Institute for Nuclear Research of the Russian Academy of Sciences (INR RAS), Moscow, Russia
- <sup>30</sup> P.N. Lebedev Physical Institute (LPI), Moscow, Russia
- <sup>31</sup> National Research Centre 'Kurchatov Institute', Moscow, Russia
- <sup>32</sup> National University of Science and Technology "MISiS", Moscow, Russia
- <sup>33</sup> Institute for High Energy Physics (IHEP) NRC 'Kurchatov Institute', Protvino, Russia
- <sup>34</sup> Petersburg Nuclear Physics Institute (PNPI) NRC 'Kurchatov Institute', Gatchina, Russia
- <sup>35</sup> St. Petersburg Polytechnic University (SPbPU) <sup>f</sup>, St. Petersburg, Russia
- <sup>36</sup> National Research Nuclear University (MEPhI), Moscow, Russia
- <sup>37</sup> Skobeltsyn Institute of Nuclear Physics of Moscow State University (SINP MSU), Moscow, Russia
- <sup>38</sup> Yandex School of Data Analysis, Moscow, Russia
- <sup>39</sup> Institute of Physics, University of Belgrade, Serbia
- <sup>40</sup> Stockholm University, Stockholm, Sweden
- <sup>41</sup> Uppsala University, Uppsala, Sweden
- <sup>42</sup> European Organization for Nuclear Research (CERN), Geneva, Switzerland
- <sup>43</sup> University of Geneva, Geneva, Switzerland
- <sup>44</sup> École Polytechnique Fédérale de Lausanne (EPFL), Lausanne, Switzerland
- <sup>45</sup> Physik-Institut, Universität Zürich, Zürich, Switzerland
- <sup>46</sup> Middle East Technical University (METU), Ankara, Turkey
- <sup>47</sup> Ankara University, Ankara, Turkey
- <sup>48</sup> H.H. Wills Physics Laboratory, University of Bristol, Bristol, United Kingdom
- <sup>49</sup> STFC Rutherford Appleton Laboratory, Didcot, United Kingdom
- <sup>50</sup> Imperial College London, London, United Kingdom
- <sup>51</sup> University College London, London, United Kingdom
- <sup>52</sup> University of Warwick, Warwick, United Kingdom
- <sup>53</sup> Taras Shevchenko National University of Kyiv, Kyiv, Ukraine
- <sup>a</sup> Università di Bari, Bari, Italy
- <sup>b</sup> Università di Bologna, Bologna, Italy
- <sup>c</sup> Università di Cagliari, Cagliari, Italy
- <sup>d</sup> Università di Napoli "Federico II", Napoli, Italy
- <sup>e</sup> Associated to Gyeongsang National University, Jinju, Korea
- <sup>f</sup> Associated to Petersburg Nuclear Physics Institute (PNPI), Gatchina, Russia



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# 1 Introduction

The accurate prediction of charm hadroproduction rates is an essential ingredient to establish the sensitivity of a high-intensity proton beam dump experiment like SHiP (Search for Hidden Particles) [1] to detect new particles possibly produced in charm decays and to make a precise estimate of the tau neutrino flux mostly produced in  $D_s$  decays.

The associated charm production can occur either directly from the interactions of the protons with the target or from subsequent interactions of the particles produced in the hadronic cascade. According to simulations [2], the contribution of secondary interactions increases the charm yield in the SHiP target by more than a factor two. However, no measurement of the cascade effect has been ever done. Moreover, there are currently no data concerning the angular and energy spectra of charmed hadrons produced from 400 GeV/ $c$  proton collisions.

In order to measure the different characteristics of charmed hadronic production in a SHiP-like target we propose a dedicated experiment to measure the double-differential cross section  $d^2\sigma/(dEd\theta)$  and the hadronic cascade effect.

## 2 Theoretical motivations

It is well established that the hadroproduction of heavy quarks, i.e with mass much larger than the QCD scale ( $\Lambda_{\text{QCD}}$ ), can be computed in the framework of perturbative QCD. Following the standard factorisation approach, the total cross section for heavy quark hadroproduction can be written as the convolution of three main ingredients:

- the parton distribution functions (pdf) of the colliding hadrons;
- the partonic hard scattering cross section;
- the fragmentation function, modeling the non-perturbative transition of a heavy quark to a specific hadron with heavy flavour.

Differential Next-to-Leading Order (NLO) calculations for the heavy quark hadroproduction at the partonic level are available in literature since long [3, 4, 5]. This machinery has proven to be successful in the qualitative and quantitative description of the top quark, the heaviest particle in the Standard Model (SM). The large value of its mass justifies the use of perturbation theory; moreover, unlike the case of charm and beauty, there is no need for a top quark fragmentation function since it decays semi-leptonically on a time scale much shorter than the typical hadronisation time.

The case of charm is more complicated. In Figure 1 a collection of its hadroproduction cross sections measured in fixed-target and collider experiments in a wide range of energies, is shown together with the NLO prediction. There is a general agreement

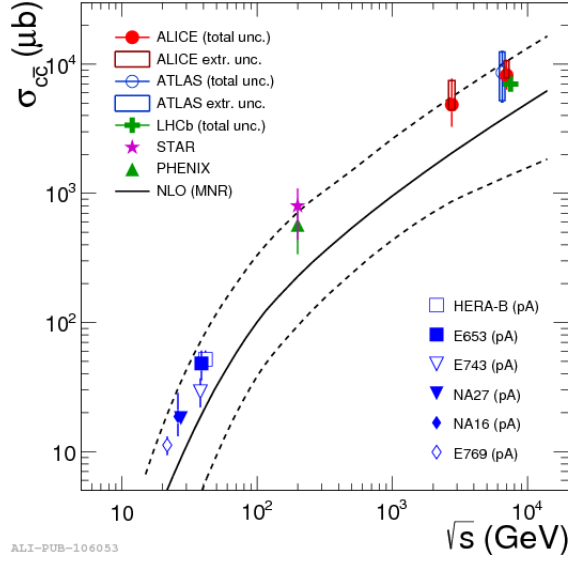


Figure 1: Collection of total inclusive charm production cross section measurements in nucleon-nucleon collisions as function of  $\sqrt{s}$ . NLO pQCD prediction (MNR[5]) and their uncertainties are shown as solid and dashed lines [6].

36 between data and theory within the estimated systematics. These systematics are typi-  
 37 cally dominated by large theoretical uncertainties: the renormalisation and factorisation  
 38 scale dependence, the value of the heavy quark mass, and the uncertainties of the parton  
 39 distribution functions.

40 Focusing on the configuration relevant for the SHiP experiment, i.e. a beam dump  
 41 experiment with incoming protons at 400 GeV/ $c$  we report the experimental cross section  
 42 as measured by NA27 [7] and the corresponding NLO predictions for typical choices of  
 43 the charm mass and the renormalisation and factorisation scales in Table 1. The main  
 44 source of uncertainty is given by the scale dependence, and in particular the dependence  
 45 on the renormalisation scale, which gives a theoretical error from higher orders as large as  
 an order of magnitude. The total cross section for charm hadroproduction is dominated

	exp NA27	th NLO ( $m_c = 1.3$ )	th NLO ( $m_c = 1.5$ )	th NLO ( $m_c = 1.8$ )
$\sigma[\mu b]$	$18.1 \pm 1.7$	$24.3^{+80.1}_{-12.4}$	$10.1^{+22.6}_{-4.8}$	$3.12^{+4.86}_{-1.36}$

Table 1: Comparison between measurement and NLO predictions of the charm production total cross section in  $pp$  collisions with typical values of the charm mass (in GeV). The lower and upper values refer to renormalization ( $\mu_R$ ) and factorisation ( $\mu_F$ ) scale variations in the range  $1/2 \leq \mu_R/\mu_F \leq 2$ .

46

47 by the low  $p_T$  region, near the threshold given by the charm mass  $m_c$ . Since  $m_c$  is



not so far above the  $\Lambda_{\text{QCD}}$  scale, the strong running coupling  $\alpha_s$  is large and challenges the convergence of the perturbative expansion. Then, it is expected that higher order corrections give a large contribution, as confirmed by recent approximated calculations at the Next-to-Next-to leading order [8], and reflected in the large uncertainty given by the scale variations at NLO. Thus, perturbative QCD calculations have little predictive power for the total charm cross section in high-energy hadron-hadron collisions. In view of these theoretical issues, experimental measurements become necessary and in turn might be used to constrain the theoretical calculations. Indeed, as for the charm quark production, the SHiP experiment requires a well tuned Monte Carlo event generator to interpret the data. Monte Carlo Parton Shower (MCPS) programs have reached a high level of maturity and de-facto represent the standard event generators for collider physics. They go beyond fixed order calculations, resumming the leading collinear logarithm contributions to all orders in perturbation theory in the parton branching formalism. Moreover, they simulate the hadronisation process giving a full description of the hadronic final states. Although MCPS programs rely on leading order matrix elements for the description of the hard scattering process at the partonic level, they have a lot of parameters that can be adjusted to tune the simulation according to experimental data.

Different methods [9, 10, 11, 12, 13] have been developed to apply the NLO accuracy of the fixed-order calculations to the MCPS evolution. One might wonder why including more radiative content where pQCD has poor predictive power as mentioned above. As argued in [14, 15], despite the use of input parameters taken from data, the NLO calculation gives a better description of the  $p_T$ -spectrum and other differential distributions. To constrain the range of values for the unphysical renormalization and factorisation scales we adopt a procedure similar to the one followed in [16]. In this approach, the inclusive measurement of charm hadronic cross section at several energies are fitted with the NLO predictions. We select the collection of fixed target data reported in Table 2. The value of the charm quark mass is a tunable parameter, here fixed to  $m_c = 1.27$  GeV (PDG) to perform the prediction with relatively large factorisation scales, reducing the probability of backward evolution in the pdf evaluation. We use the NNPDF3.0\_alphas\_0118 pdf set and adopt a dynamical scale defined as  $\mu_{\text{ref}} = \sqrt{p_T^2 + m_c^2}$ . The dynamical variable  $p_T$  is defined as the common transverse momentum of the heavy quark pair in the center-of-mass frame of the Born configuration, which represents the hardness of the short distance process. The result of our fit is shown in Figure 2 and it is summarized by the factorisation ( $\mu_F$ ) and renormalization ( $\mu_R$ ) bands:  $0.66 < \frac{\mu_F}{\mu_{\text{ref}}} < 3.24$ ,  $1.38 < \frac{\mu_R}{\mu_{\text{ref}}} < 1.74$ , with the central value given by  $\frac{\mu_F}{\mu_{\text{ref}}} = 1.18$  and  $\frac{\mu_R}{\mu_{\text{ref}}} = 1.58$ .

We use this scale variation band with the hvq event generator in the POWHEG framework [10] for NLO+PS computations (POWHEG+PYTHIA8.2).

At the characteristic energies of fixed target experiments the only available differential data have been provided by the E769 [17] experiment. As a consistency check in Figure 3 we compare these data with the uncertainty band as given by our fit, finding a rather good

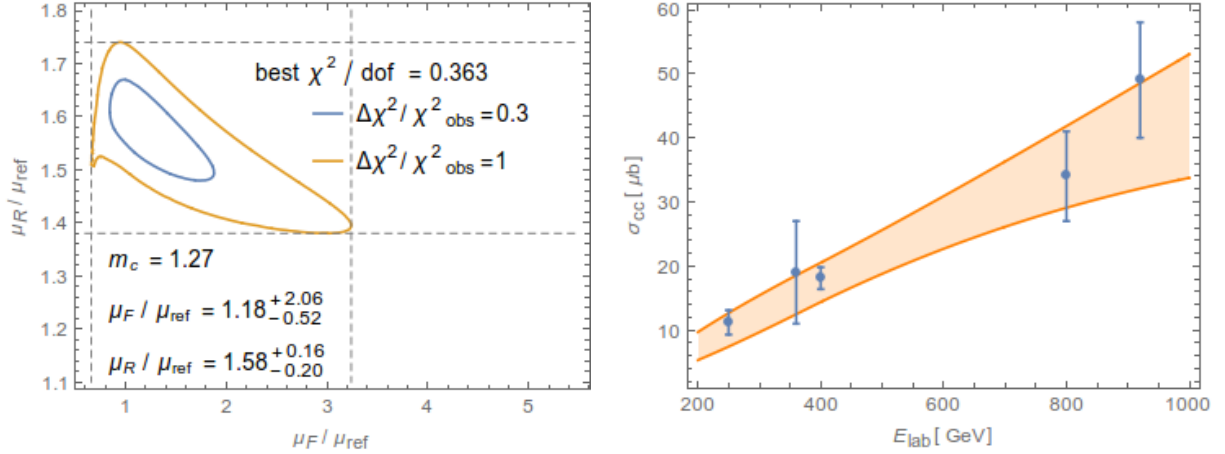


Figure 2: Left: contour plot for the reduced  $\chi^2$ . The bands are defined by the rectangle including the contour  $\Delta\tilde{\chi}^2 = 1$ . Right: data points and the resulting uncertainty band.

88 agreement. In Figure 4 we show differential predictions for prompt charm production in  
 89 proton-proton collisions at  $E_{lab} = 400$  GeV, varying scales within the bands of our fit,  
 90 the uncertainty on these distributions is of the order of 20%.

91 A 400 GeV/c proton beam dump produces charmed resonances from both prompt  
 92 protons and secondary hadrons. Therefore we have to consider an iterative “cascade” of  
 93 rescattering processes. A dedicated procedure has to be setup to describe this iterative  
 94 process. For a rough estimate of this effect, we report an heuristic argument: given  
 95 the probability  $P \sim 40\%$  that an energetic proton scatters through either an elastic (17%)  
 96 or a diffractive (24%) process retaining a large fraction of its energy, the cascade effect  
 97 can be estimated as  $1/(1-P) \sim 1.67$ . On top of this contribution, the charm yield from  
 98 hadrons induced by inelastic proton collisions has to be added. An attempt to estimate  
 99 the “cascade” effect based on experimental inputs and the PYTHIA MCPS program has  
 100 been reported in [2]. That simulation leads to a charm yield a factor 2.3 times larger

Experiment	Elab(GeV)	$\sigma$ [ $\mu\text{b}$ ]
E769	250	$11.2 \pm 1.7 \pm 0.8$
NA16	360	$18.6 + 9.9 - 5.5$
NA27	400	$18.1 \pm 1.7$
E743	800	$29 \pm 6 \pm 5$
E653	800	$48 \pm 6 \pm 11$
HERA-B	920	$51.7 \pm 5.8 \pm 6.6$

Table 2: Collection of measured total charm hadro-production cross sections.

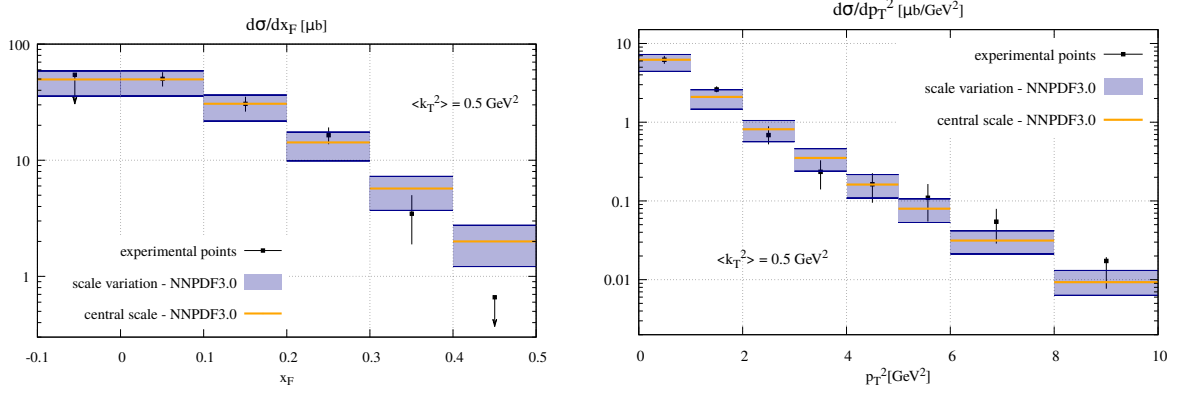


Figure 3:  $D$  meson ( $D^+$ ,  $D^-$ ,  $D^0$ ,  $\bar{D}^0$ ,  $D_s^+$ ,  $D_s^-$ ) single-inclusive distributions  $x_F$  (left) and  $p_T^2$  (right) for production induced by  $p$  beam measured by E769 compared to the NLO QCD matched to parton shower predictions. Arrows indicate 90% confidence level upper limits.

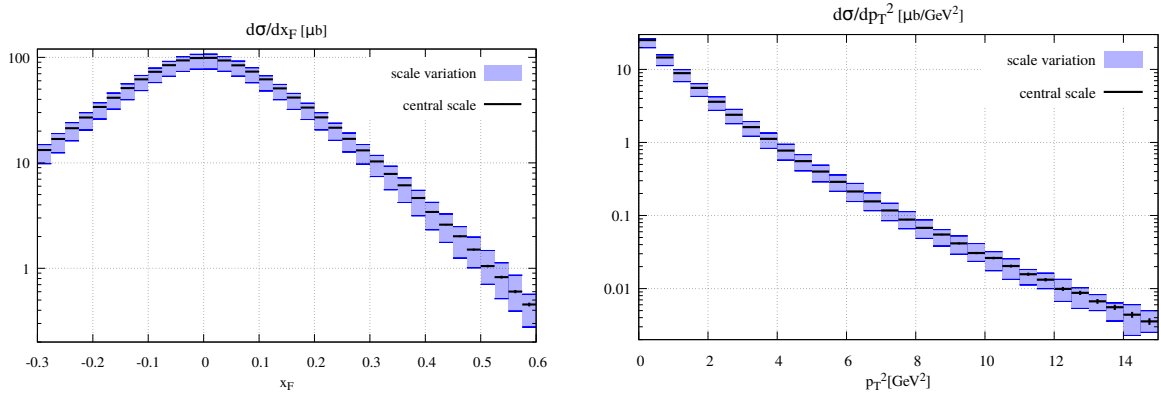


Figure 4: Predictions for  $D$  meson ( $D^+$ ,  $D^-$ ,  $D^0$ ,  $\bar{D}^0$ ,  $D_s^+$ ,  $D_s^-$ ) single-inclusive distributions  $x_F$  (left) and  $p_T^2$  (right) for 400 GeV proton collisions on target.

101 than the prompt contribution, with a softer energy spectrum for the secondary charmed  
 102 hadrons. An accurate estimate of the uncertainty associated to the cascade effect requires  
 103 a dedicated study. Nevertheless, assuming a comparable uncertainty of 20% in this factor,  
 104 an overall uncertainty of about 30% is obtained when including the error in the prompt  
 105 yield.

106 Finally, as mentioned above, a fully exclusive description in terms of hadronic fi-  
 107 nal states is required for a full simulation of the expected signal yields in the SHiP  
 108 detector. The transition from the partons to hadronic asymptotic states is controlled  
 109 by non perturbative, long range, QCD dynamics. Within the factorisation approach,  
 110 the non-perturbative content is modeled through scale-dependent universal fragmentation  
 111 functions which parametrize the probability that a given quark fragments into a specific  
 112 hadron species. In this context, universality means that the fragmentation functions do  
 113 not depend upon the particular quark production mechanism. On the other hand, the  
 114 factorisation theorem requires in general the presence of an high scale in the process or  
 115 high transverse momentum transfer. Both conditions do not apply to the bulk of the  
 116 associated charm production events in a beam dump experiment. Nevertheless there is  
 117 so far no experimental evidence against factorisation. The most precise measurements of  
 118 charm quark fragmentation fractions come from electron-positron annihilation (LEP [18])  
 119 and photo-production (ZEUSS [19] at HERA) processes. They are in good agreement  
 120 providing a solid indication for universality.

121 For hadron-hadron processes at the relatively low energies of fixed-target experiments,  
 122 the available level of statistics is not sufficient to perform an accurate analysis and to  
 123 uncover any discrepancy. In Table 3, we report a collection of the available measure-  
 124 ments. We remark that this list contains only experiments with pion beams. Those with  
 125 protons are characterized by a lower statistics. Within the experimental uncertainties,  
 126 they are consistent with the ones measured in electron-positron annihilation and photo-  
 127 production. Nevertheless, several dynamical effects modeled with the introduction of  
 128 phenomenological parameters in the MCPS programs, complicate the picture and make  
 129 the interpretation more difficult: nuclear interactions, colour-drag effect, beam-remnants  
 130 and other non-perturbative effects involved in the hadronisation process. On the other  
 131 hand, the constraints given by the available measurements at LEP and HERA are weaker  
 132 at the energies of interest, which are far below the  $Z$  pole. Indeed, the default Pythia  
 133 set of parameters has been tuned according to LEP data, where the single string piece is  
 134 characterized by a center-of-mass energy  $\sim M_Z$ . At the energy of interest for the SHiP  
 135 case, the phase space is drastically reduced and thus the hadronisation model is used for  
 136 an energy regime very different from the one used in the data fit. In this situation, several  
 137 parameters can influence the final quark fragmentation fractions. Of course, by adjusting  
 138 these parameters, it is possible to fine tune Pythia to reproduce new data at lower en-  
 139 ergies. Conversely, a new detailed measurement of charm fragmentation at low energies  
 140 would be very important to establish the reliability of the factorisation assumption and

141 of the hadronisation models.

### 142 3 Experimental layout

143 The SHiP experiment aims at searching for hidden particles and at observing a large  
 144 statistics of tau neutrino events. The main source of both fluxes is the decay of charmed  
 145 particles produced in the SHiP proton target [20]. The target will be composed of a  
 146 mixture of TZM (titanium-zirconium doped molybdenum,  $3.6 \lambda_I$ ), tantalum ( $0.4 \lambda_I$ ) and  
 147 tungsten ( $7.7 \lambda_I$ ).

148 We propose a new experiment to measure the charm cross section. Its conceptual de-  
 149 sign is shown in Figure 5. The 400 GeV/c SPS proton beam impinges on a replica of the  
 150 SHiP target, instrumented using the Emulsion Cloud Chamber (ECC) technique: slabs  
 151 of passive material are alternated with nuclear emulsion films. The emulsion films allow  
 152 an accurate identification of the production and decay vertices of the charmed hadrons.  
 153 Immediately downstream of the target a magnetic spectrometer is placed, designed to  
 154 measure the momentum and the charge of the decay daughters, through their deflection  
 155 in a magnetic field of around 1 T. The last component of the experiment is a muon filter,  
 156 which is designed to identify muons with high efficiency. It will also measure the muon  
 157 yield after the hadron absorber in a different layout configuration [21].

158 Detector performances were studied with simulations using FairShip, a framework de-  
 159 signed for the SHiP experiment from FairRoot [22]. Associated charm production has  
 160 been simulated taking into account not only direct production from proton interactions,  
 161 but also secondary production from hadrons originated by the initial proton interaction  
 162 [2].

163 The proposed location for this experiment is the North Area where several SPS extracted  
 164 lines are available. In particular we have assumed to operate at H4, since the first data

Experiment	$D^+/D^0$	$D^0(\text{from } D^*)/D^0$	$D_s^+/D^0$
WA92: 350 GeV $\pi^-$ on Cu	$0.423 \pm 0.012$	$0.280 \pm 0.015$	$0.160 \pm 0.037$
WA92: 350 GeV $\pi^-$ on W			$0.183 \pm 0.068$
E769: 250 GeV $\pi^-$ on Be, Al, Cu, W	$0.419 \pm 0.043$	$0.222 \pm 0.031$	
E769: 210 GeV $\pi^-$ on Be, Al, Cu, W	$0.258 \pm 0.058$		
E653: 600 GeV $\pi^-$ on emulsion	$0.393 \pm 0.032$		
NA32: 230 GeV $\pi^-$ on Cu	$0.422 \pm 0.033$	$0.262 \pm 0.026$	
NA32: 200 GeV $\pi^-$ on Si	$0.439^{+0.123}_{-0.940}$	$0.319 \pm 0.095$	
NA27: 360 GeV $\pi^-$ on H	$0.564 \pm 0.171$		

Table 3: Collection of measured production fraction of charmed resonances with respect the number of  $D^0$  mesons.

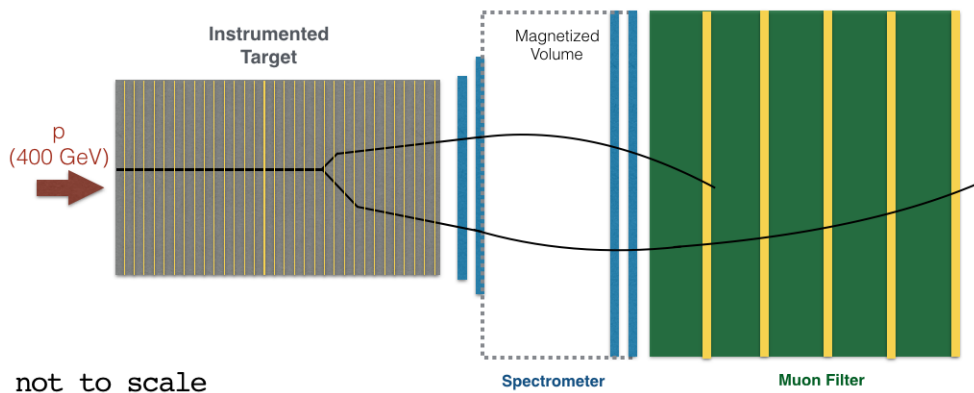


Figure 5: Sketch of the proposed experiment.

165 taking is planned in 2018 jointly with the muon flux measurement [21] and the two appa-  
 166 ratuses share several sub-detectors. The Goliath magnet available in H4 will provide the  
 167 magnetic field needed for the magnetic spectrometer.

168 The proposed layout of the SHiP-charm detector, as implemented in the FairShip  
 169 simulation, is shown in Figure 6.

### 170 3.1 The target

#### 171 3.1.1 SHiP target replica

172 The design of the SHiP target was optimised by the Beam Dump Facility (BDF) and  
 173 target complex working groups of the Physics Beyond Colliders (PBS) study team [23].  
 174 It is cylindrical with a radius of 12.5 cm and a length of 150 cm. It is made of 13 slabs of  
 175 TZM and 5 slabs of tungsten, along with 5-mm thick slits for water cooling. In order to  
 176 prevent corrosion due to water cooling, each TZM and W slab will be tantalum cladded  
 177 (1.5 mm on both sides). The target corresponds to a total of about 12 interaction lengths.

178 The proposed charm experiment aims at studying the associated charm production in  
 179 a SHiP-like target with a rectangular transverse size ( $12.5 \times 9.9 \text{ cm}^2$ ). The sequence of  
 180 the passive material in blocks is retained as such but, since a much lower radiation dose  
 181 is expected, water is replaced by PET slits since cooling is not needed.

182 Consequently, tantalum slabs are retained to preserve the number of interaction lengths  
 183 as for the original SHiP target. For the measurement of associated charm production,

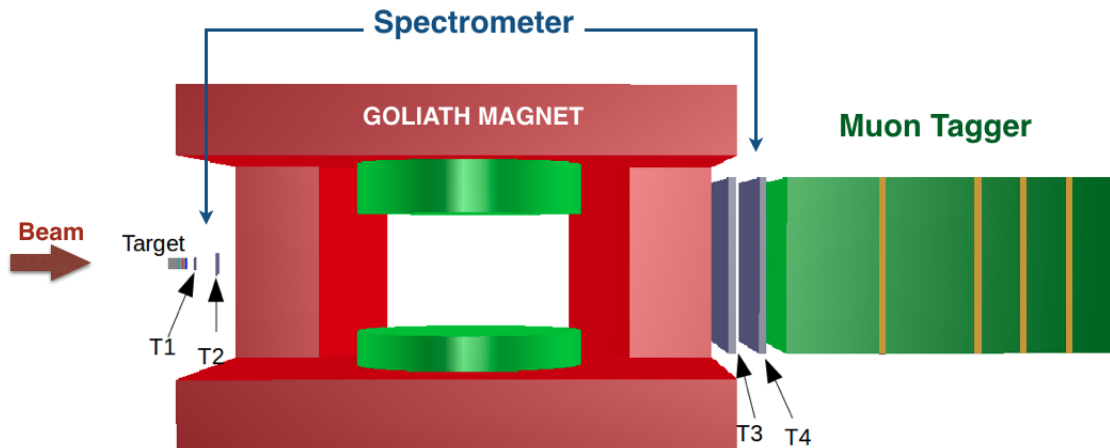


Figure 6: View of the experimental apparatus for the charm measurement.

184 such a replica of the SHiP target will be segmented in thinner slices interleaved with  
 185 nuclear emulsion films that will act as a vertex and tracking detector with micrometric  
 186 accuracy.

187

### 188 3.1.2 Segmentation of the target

189 In Figure 7 the position of charmed hadron production vertices along the beam direction  
 190 in the target is shown, as obtained from simulation. The blue histogram represents  
 191 the distribution of charmed hadrons produced in interactions of the primary protons,  
 192 whereas the red histogram represents the distribution of charmed hadrons produced by  
 193 the interaction of secondary hadrons produced in turn in the collision of primary protons.  
 194 Since the number of charmed hadrons goes quickly to zero with the depth, measuring  
 195 their production in the downstream part of the target would be very inefficient, in terms  
 196 analysis time and signal-to-noise ratio. Focusing the analysis on the first 8 TZM slabs  
 197 of the target, corresponding to  $\sim 2$  interaction lengths, allows to detect about 82% of the  
 198 charm hadrons from primary production and 52% of those from cascade production, thus  
 199 covering a significant fraction of both spectra.

200 The vertex detection is performed through the implementation of the Emulsion Cloud  
 201 Chamber (ECC) technique: passive layers interleaved with nuclear emulsion films, allow-  
 202 ing the detection of both production and decay of the charmed hadrons in the target.  
 203 This technique has been successfully used in the OPERA [24] experiment and it will also  
 204 be employed in the neutrino detector of the SHiP [1] experiment.

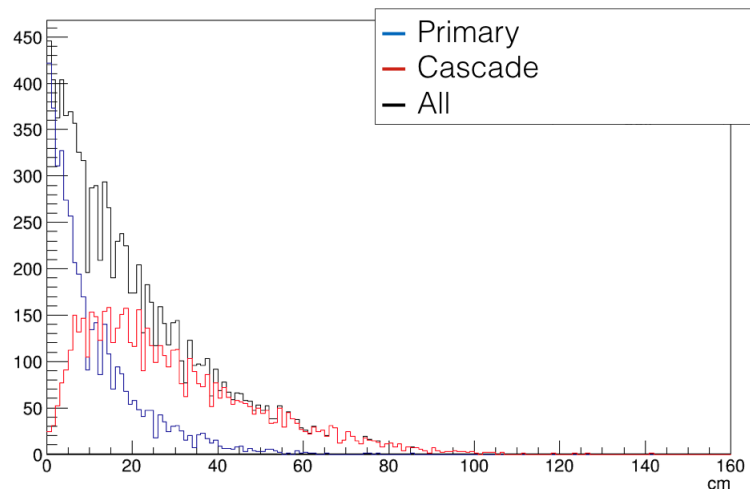


Figure 7: Distribution of the  $z$  coordinate of charmed hadrons production vertices along the SHiP target, from primary production (blue), cascade production (red) and the sum of the two components (black).

205 We intend to divide the target in five blocks, each corresponding to a fraction of  
 206 interaction lengths between 0.25 and 0.28, as shown in Figure 8. The aforementioned  
 207 segmentation of the SHiP target in TZM blocks is retained, but the first block of TZM,  
 208 which is 78 mm thick, is divided in two smaller blocks. The number of PET and tantalum  
 209 slits is retained.

210 During each run, some blocks of TZM, amounting to 0.2–0.3 interaction lengths of passive  
 211 material, will be replaced by an ECC detector (Table 4), made of 1 mm slabs interleaved  
 212 with thin emulsion films. The ECC detector is designed to cover almost the same inter-  
 213 action length of the passive blocks.

214 The ECC detector is the most downstream section of the target in each run, while the  
 215 passive TZM blocks upstream are retained. So, in the first run the target is made only  
 216 by ECC1, while in the other runs the ECC detector is placed after a certain amount of  
 217 interaction lengths of passive material. Thus, the production of charmed particles as a  
 218 function of the material thickness can be studied. The target composition in each run is  
 219 shown in Table 5.

220

### 221 3.1.3 Track reconstruction in nuclear emulsions

222 The proposed emulsion films for this experiment consist of two  $70\mu\text{m}$ -thick layers of nu-  
 223 clear emulsion, separated by a  $175\mu\text{m}$ -thick plastic base (Figure 9). The transverse size  
 224 is  $12.5 \times 10\text{ cm}^2$ , like for the passive plates.

225 The track left by a charged particle on an emulsion layer is recorded by a series of sen-



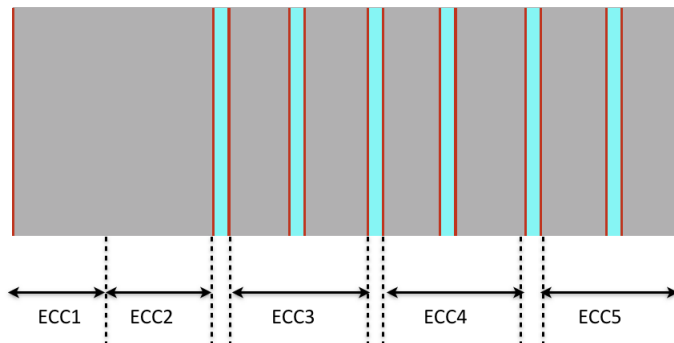


Figure 8: Layout of the SHiP target replica designed for the study of associated charm production.

Table 4: Composition of the five ECC detectors used in the experimental runs.

ECC	n TZM (1 mm)	$\lambda$	n PET (2.5 mm)	n Ta (1.5 mm)	n films
1	39	0.25	/	1	41
2	38	0.25	/	/	39
3	44	0.28	2	2	49
4	44	0.28	2	2	49
5	44	0.28	2	2	49

Table 5: Number of passive blocks and ECC for each configuration of the target.

Config	Passive					Active target
	n TZM (39 mm)	n TZM (38 mm)	n TZM (22 mm)	n PET (5 mm)	n Ta (1.5 mm)	
1	/	/	/	/	/	ECC1
2	1	/	/	/	1	ECC2
3	1	1	/	1	3	ECC3
4	1	1	2	3	7	ECC4
5	1	1	4	5	11	ECC5

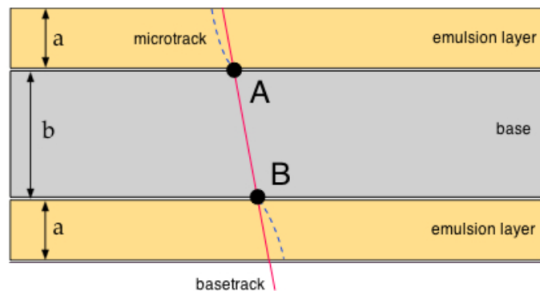


Figure 9: Layout of an emulsion film. Two  $70\ \mu\text{m}$ -thick layers of nuclear emulsions are separated by a  $175\ \mu\text{m}$ -thick plastic base.

226 sited AgBr crystals, growing up to  $0.6\ \mu\text{m}$  diameter during the development process.  
 227 A new generation automated optical microscope [25] analyses the whole thickness of the  
 228 emulsion, acquiring various topographic images at equally spaced depths. The acquired  
 229 images are digitized, then an image processor recognizes the grains as *clusters*, i.e. groups  
 230 of pixels of given size and shape. Thus, the track in the emulsion layer (usually referred to  
 231 as *microtrack*) is obtained connecting clusters belonging to different levels. Since an emul-  
 232 sion film is formed by two emulsion layers, the connection of the two microtracks through  
 233 the plastic base provides a reconstruction of the particle's trajectory in the emulsion film,  
 234 called *base-track*. Most of the charged particles produced by the proton interactions are  
 235 not related to the production and decay of charmed hadrons. These particles, leaving  
 236 their traces in the emulsion film, may overlap with the grains left by the charmed hadrons  
 237 and their daughters. Thus the density of deposited particles in the emulsion films has  
 238 to be taken into account, when deciding the total number of protons on target in the  
 239 experiment. Energy requirements and systems for particle tagging shall be studied too,  
 240 in order to reject traces unrelated to the signal.

241 Different samplings are being considered, with the thickness of passive layers ranging from  
 242 1 to 3 mm. Longer thicknesses worsen the tracking performance but reduce the number  
 243 of emulsion films to be analysed. The final sampling and thickness of ECC units will be  
 244 decided after the 2018 optimization run [21].

#### 245 3.1.4 Assembly procedure

246 We propose to assemble the emulsions and passive layers (TZM, Ta and PET slabs) using  
 247 the packaging procedure adopted in the OPERA experiment and commonly referred to as  
 248 'spider packaging procedure'. It is based on a  $800\ \mu\text{m}$  thin aluminum foil, called 'spider',  
 249 that provides mechanical stability to emulsion films and passive layers, which are stacked  
 250 together to form a pile. The spider is firstly placed under the pile (Figure 10a), then it is  
 251 folded on the sides by mechanical pressure (Figure 10b) and closed on the upper emulsion

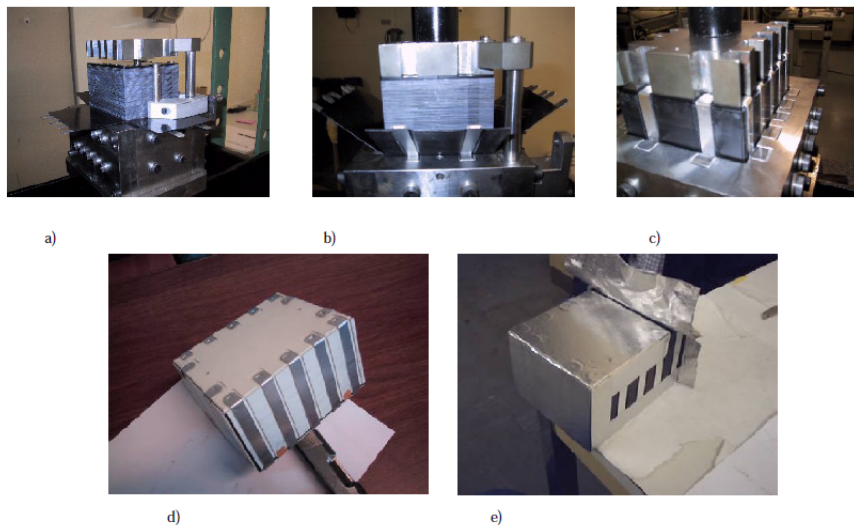


Figure 10: Sequence of the spider packaging procedure.

252 film (Figure 10c). Plastic side protection and cover keep the rigidity and avoid the direct  
 253 contact between emulsions and aluminum (Figure 10d), the light shielding is provided by  
 254 wrapping an adhesive aluminum tape around the pile (Figure 10e).

255 For the charm measurement, we plan to perform the preparation of the ECC target  
 256 and subsequent development of the emulsion films in the emulsion laboratory at CERN,  
 257 previously used by the OPERA experiment [26] (see Figure 11). The required spider and  
 258 the press are already available (Figure 12), but they are designed to assemble targets of  
 259 about the OPERA brick thickness (7.3 cm). We consider the possibility to adjust the  
 260 configuration to match the OPERA brick size and also to use dedicated spiders.

## 261 3.2 Exposure

### 262 3.2.1 Target magnetization

263 The different portions of the target instrumented with nuclear emulsion films (ECC)  
 264 constitute the downstream part of the target in order to maximise the number of charm  
 265 decay products reaching the spectrometer. The target modules not instrumented with  
 266 nuclear emulsions are retained upstream of the ECC. As an example, the schematic picture  
 267 of ECC1 and ECC3 exposures is shown in Figure 13.

268 The electromagnetic showers produced in proton interactions in the target result in a  
 269 large number of hits in the spectrometer stations, thus causing occupancy problems in the  
 270 spectrometer planes. The particle multiplicity is dominated by soft electrons, that spoil  
 271 the matching between nuclear emulsions and T1 station. In order to reduce the number of  
 272 electrons we plan to keep the target within a magnetized region. A  $\sim 10$  cm gap between



Figure 11: The emulsion laboratory at CERN.

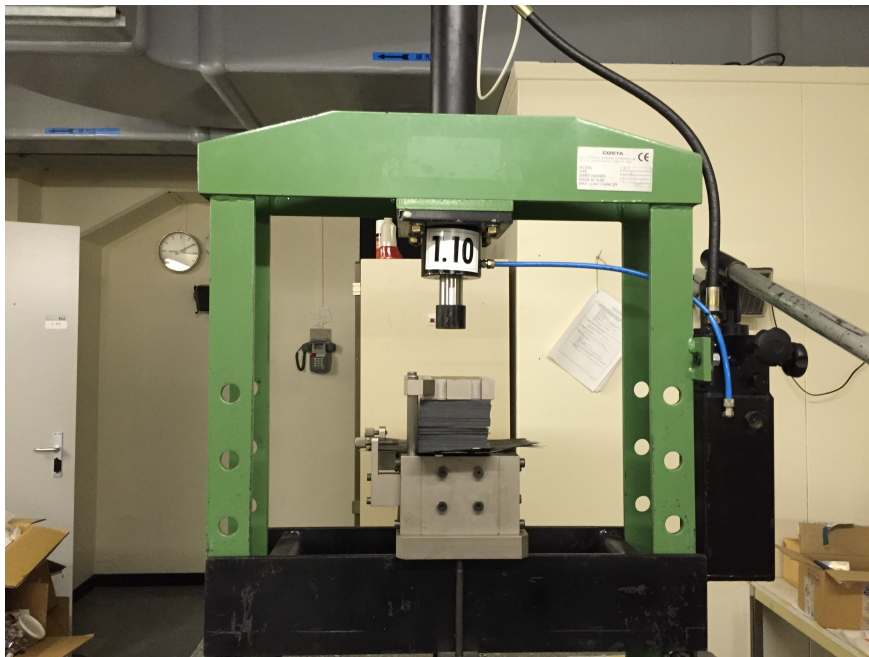


Figure 12: The press used in the emulsion laboratory at CERN to prepare the ECC target.

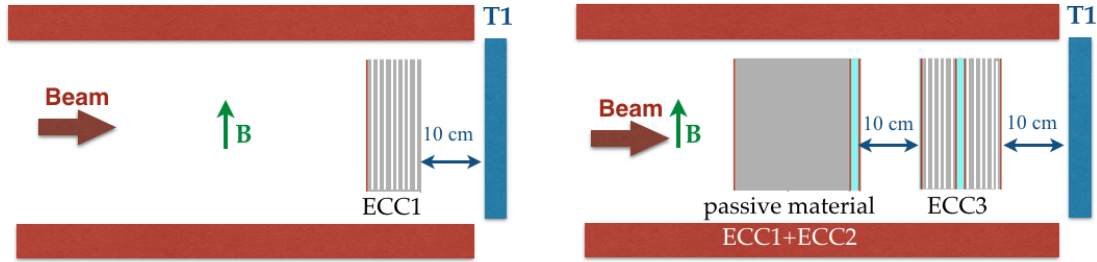


Figure 13: Schematic representation of the ECC1 (left) and ECC3 (right) exposures.

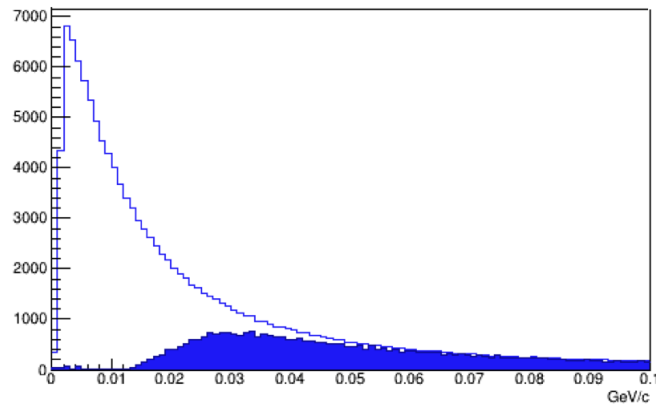


Figure 14: Momentum distributions of electrons in the T1 station, with (filled) and without (empty) magnetic field.

273 the ECC and the T1 station would deflect soft electrons thus reducing them by a factor  
 274  $\sim 3$  if a 1.5 T field is assumed. Figure 14 shows the momentum distributions of electrons  
 275 in the T1 station, with and without magnetic field.

276 The number of charged tracks integrated in the emulsion films is the most important  
 277 factor that limits number of integrated p.o.t. for each ECC. In the 2018 optimization run  
 278 we plan to integrate a track density of  $1 \times 10^3$  tracks/mm<sup>2</sup> and  $3 \times 10^3$  tracks/mm<sup>2</sup> in  
 279 order to test tracking and reconstruction algorithms in two different conditions.

280 The total number of charged particles (per p.o.t.) crossing the emulsion films located  
 281 in the different ECCs is shown in Figure 15. Assuming a uniform distribution of the  
 282 proton beam in the target surface, we can derive the expected track density and therefore  
 283 evaluate the maximum of p.o.t. for each ECC (see Section 4.2).

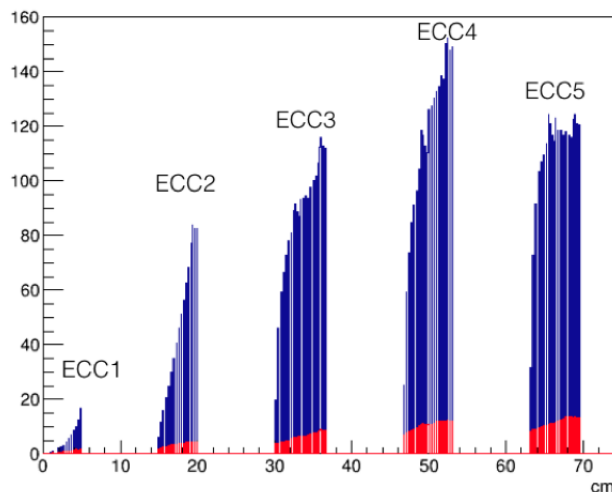


Figure 15: Number of integrated tracks in the emulsion films per proton on target, for the ECCs. The upper histogram includes all particles, whereas in the red histogram only the hadrons are considered.

### 284 3.2.2 Target mover

285 The proton beam is expected to have a Gaussian distribution ( $\sigma = 0.5$  cm) in the two  
 286 components of the transverse plane, with respect to the beam direction. This would lead  
 287 to a much larger density of integrated tracks in the central region of nuclear emulsion  
 288 films, thus strongly limiting the number of integrated protons on the target. In order to  
 289 overcome this constraint and obtaining a uniform distribution of the proton interactions  
 290 over the  $\sim 12 \times 10$  cm<sup>2</sup> target surface, we plan to use a mechanical stage that moves the  
 291 target in the transverse plane.

292 A first prototype of the target mover was tested at the very end of September 2017  
 293 at the H2 beam line using a 350 GeV/c proton beam with  $\sigma \sim 0.4$  cm and an intensity  
 294 of  $\sim 4000$  particles per spill. A picture of the target mover and the experimental setup is  
 295 shown in Figure 16. The mechanical stage supporting an emulsion detector moves along  
 296 the  $xy$  plane with a speed ranging from 0.001 to 50 mm/s. It was designed to withstand  
 297 weights of  $\sim 1$  kg and to guarantee displacements up to 200 mm in both directions with  
 298 an accuracy within  $10\mu\text{m}$ .

299 The moving pattern is shown in Figure 17: during the spill, the target moves along  
 300  $x$  at the uniform speed of 3 cm/s, thus covering the whole target length along  $x$  in one  
 301 spill. The movement along  $x$  axis is triggered by the *Start-of-Spill* signal from the SPS  
 302 accelerator. Between two spills, the target moves along  $y$  axis. A 2 cm step along  $y$  axis  
 303 was used in the test beam in order to study the beam profile. The total target surface  
 304 was therefore covered in 6 spills. The stage spends 1 s to accelerate between 0 and 2 cm/s

305 and another second to decelerate and to come back to its final position (Figure 18).

306 The mechanics of the target mover will be upgraded in order to support a  $\sim 20$  kg  
 307 target, as required for the charm measurement.

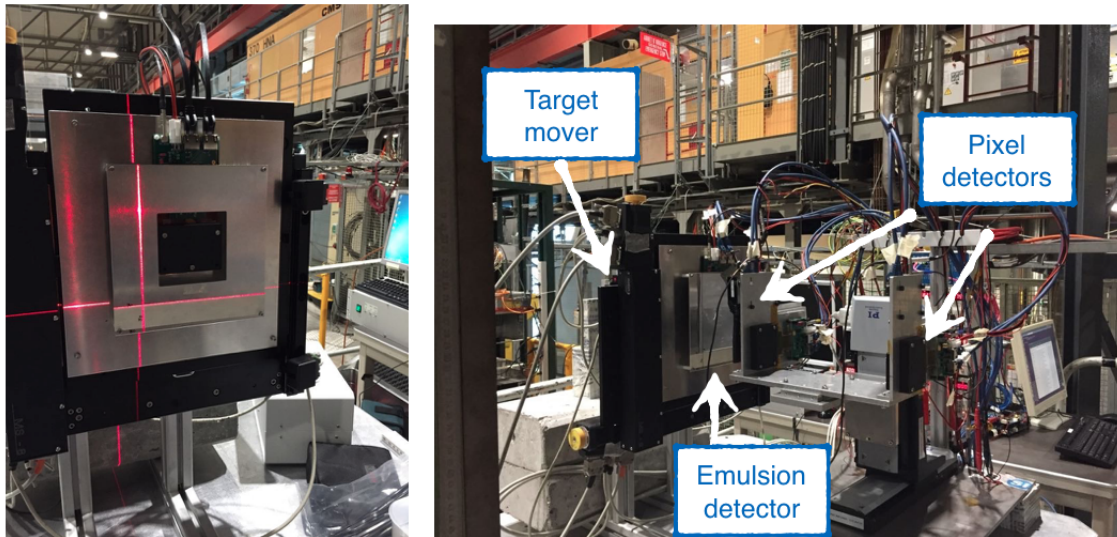


Figure 16: The moving table (left) and the September 2017 test beam experimental setup (right).

### 308 3.3 Magnetic Spectrometer

309 The proposed layout for the magnetic spectrometer is shown in Figure 6. It is made by  
 310 four tracking stations, referred to as T1, T2, T3 and T4. We assume to use the Goliath  
 311 magnet, permanently present in the H4 area, as the source of the magnetic field between  
 312 T3 and T4 stations. Its dimensions are  $3.6 \times 2.79 \times 4.5$  m<sup>3</sup> and the length of the magnetized  
 313 region, along the beam axis, is  $\sim 2$  m between the two coils.

#### 314 3.3.1 T1 and T2 stations

315 In order to cope with the high density of tracks between the target and the Goliath magnet  
 316 the tracker stations T1 and T2 are required to be highly segmented and withstand a high  
 317 occupancy. For these two stations we propose the use of hybrid silicon pixel detectors, of  
 318 the same kind as currently successfully used in the Insertable B-Layer (IBL [27]) of the  
 319 upgraded ATLAS detector.

320 The pixel modules consist each of a planar sensor and two custom developed large FE-  
 321 I4 front-end chips [28] with a sophisticated readout architecture. The sensors are  $200 \mu\text{m}$   
 322 thick  $n^+$ -in- $n$  planar silicon pixel sensors with an inactive edge width of less than  $450 \mu\text{m}$ ,

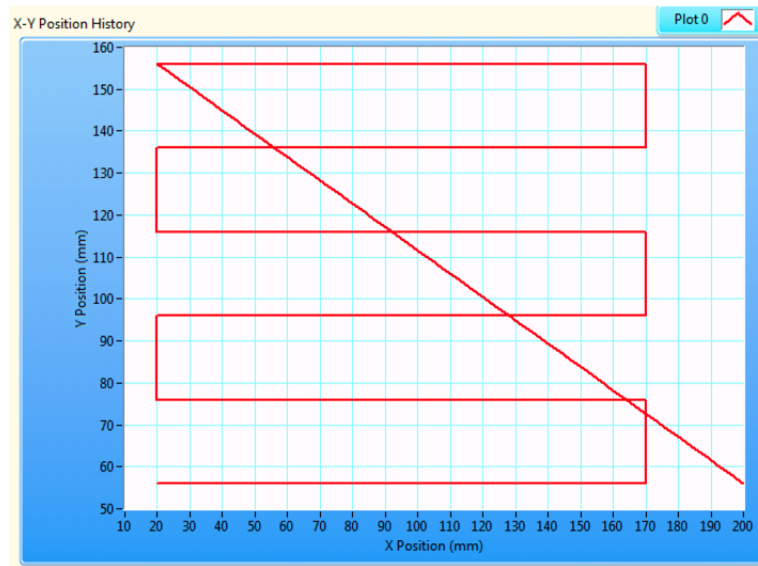


Figure 17: Measured pattern of the mechanical stage in the  $xy$  plane.

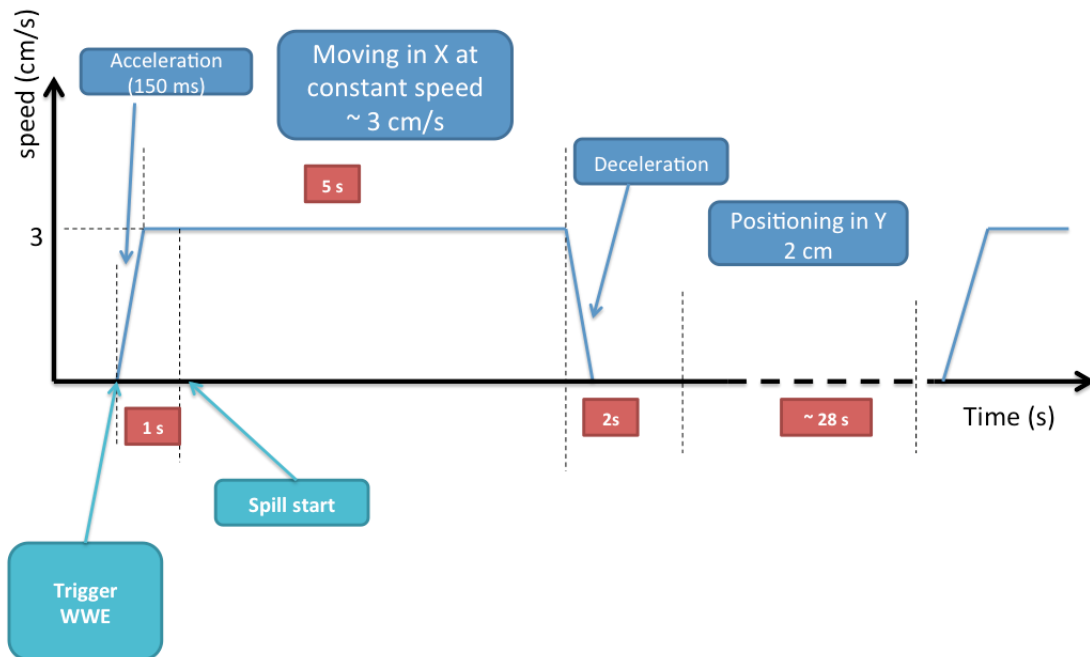


Figure 18: Temporal sequence of movements in a cycle.



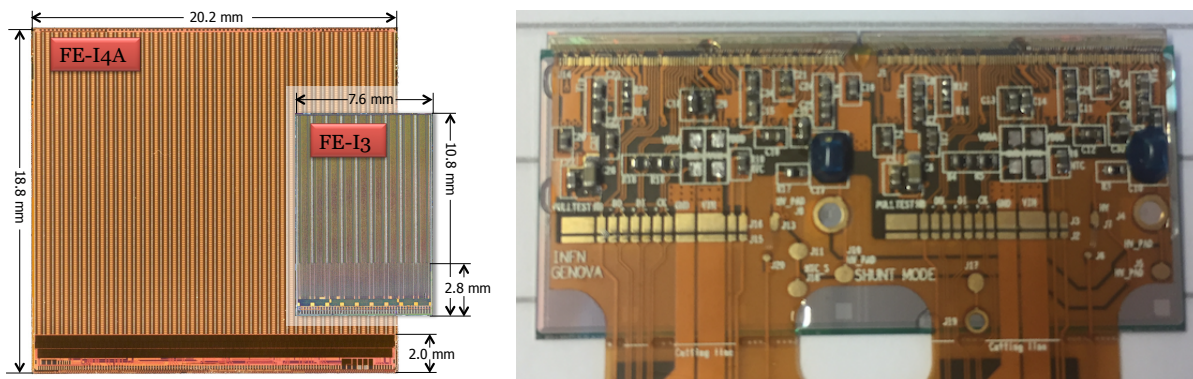


Figure 19: The large FE-I4 chip compared to its predecessor (left, [29]) and a photo of an assembled IBL pixel module (right), with two FE-I4 chips and a flex cable.

323 translating into a geometrical acceptance of 97.8%. The  $n^+$  implantation is segmented into  
 324 a matrix of 160 columns and 336 rows, of mostly  $250\ \mu\text{m} \times 50\ \mu\text{m}$  pixels. The outermost  
 325 and central columns contain long pixels extended to  $500\ \mu\text{m}$  length. The front-end chip  
 326 FE-I4 is built in a 130 nm CMOS feature size technology using thin gate oxide transistors  
 327 to increase the radiation hardness. The large chip ( $20.2\ \text{mm} \times 18.8\ \text{mm}$ ) has an active  
 328 area holding 80 columns with 336 pixels each and an approximately 2 mm high periphery,  
 329 which results in an active over inactive area fraction of about 90%. The pixels have a  
 330 size of  $250\ \mu\text{m} \times 50\ \mu\text{m}$  holding an analog and a digital circuitry. Modules consists of a  
 331 sensor integrated to two FE-I4 IC via flip-chip bump-bonding, connecting each pixel on  
 332 the sensor side to its dedicated FE-I4 pixel pre-amplifier input, via Ag-Sn solder bumps.  
 333 The chip and a module are shown in Figure 19.

334 We plan to use 12–16 existing ATLAS IBL modules, 4–8 modules for the tracking  
 335 station T1 and 8 modules for T2. For T1 the modules will be mounted pairwise, back-  
 336 to-back onto an L-shaped aluminum support. The modules are oriented orthogonally to  
 337 each other (see Figure 20). Depending on the number of available modules and space  
 338 between the target and the Goliath magnet 2–4 such L-shaped supports will be installed  
 339 for T1. The downstream T2 station will be equipped with two planes, each composed of  
 340 four modules (two oriented along x-direction on the front side, two along y-direction on  
 341 the rear side). Each track will thus be measured with up to 12 hits, half of them with high  
 342 resolution ( $50\ \mu\text{m}$  pitch), 4–8 hits in T1 and 4 hits in T2, depending on the configuration.

343 The readout system (USBPix) and the relative software, (pyBAR, Bonn ATLAS Read-  
 344 out in Python), are available, allowing to combine the readout of several planes and to  
 345 record the data of all planes continuously. Finally, an online monitor provides an overview  
 346 of the general status and performs online event building and hit correlation.

347 The connection between nuclear emulsions and the pixel modules was tested for the  
 348 first time at the very end of September 2017 at the H2 beam line using a  $350\ \text{GeV}/c$  proton

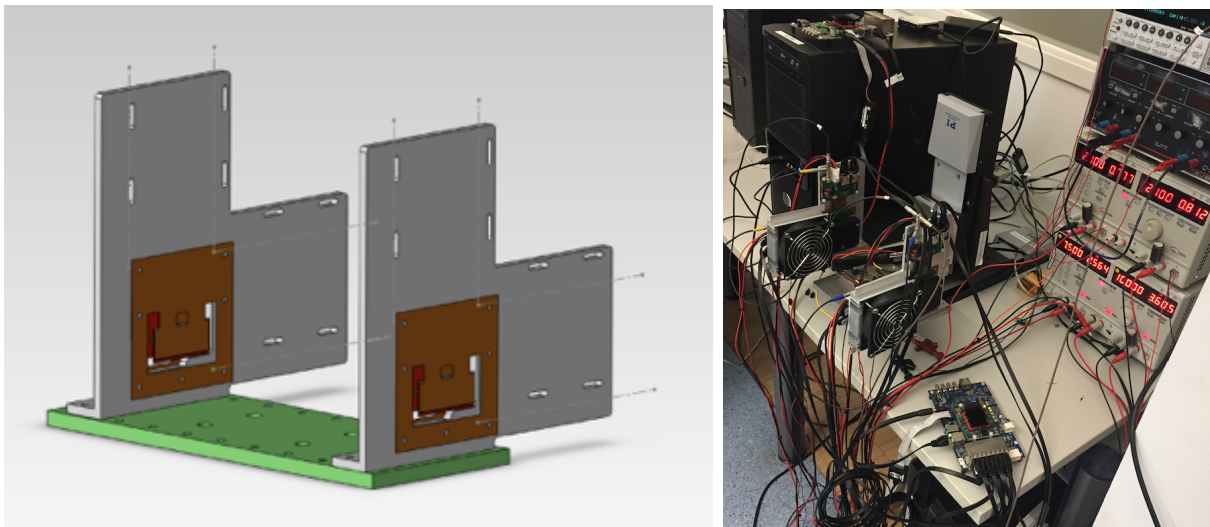


Figure 20: Drawing of the aluminum support structures (left) and picture of the four pixel modules, ready for the September 2017 test-beam (right).

349 beam. The experimental setup is shown in Figure 16. Data are going to be analysed.

### 350 3.3.2 T3 and T4 stations

351 For the T3 and T4 stations, we propose a combination of two different technologies: Scin-  
 352 tillating Fibre trackers (SciFi) in the central  $40 \times 40 \text{ cm}^2$  region, where the density of tracks  
 353 is higher, and drift tubes in the outer region, both being centered at  $z \sim 480$  and  $510 \text{ cm}$   
 354 from the target, respectively. Two modules per station are foreseen for the SciFi, each  
 355 module consisting of two planes, in such a way to provide XU and YU coordinates: where  
 356 U has a stereo angle of  $\sim 2^\circ$  with respect to X and Y, respectively.

357 Each detector plane is made of  $3 \times 12 \text{ cm}$  wide *mats* of scintillating fibers. A *mat* is a  
 358 matrix structure consisting of six staggered fibre layers with a horizontal pitch of  $270 \mu\text{m}$   
 359 and a total length of  $40 \text{ cm}$ . The fibers are covered with a thin epoxy layer; titanium-  
 360 dioxide is added to the epoxy to reduce channel-to-channel cross-talk. The layout of a  
 361 SciFi module is shown in Fig. 21: *mats* in light green are mounted on a Carbon fibre and  
 362 Rohacell structure, 12 silicon photomultipliers (SiPMs) are aligned to read out each plane  
 363 being assembled on a flex cable PCB. They are meant to be connected through  $40 \text{ cm}$  flat  
 364 cables to the readout chip housed outside the geometrical acceptance. The total material  
 365 budget expected from a similar layout is  $\sim 1\text{-}2\% X_0$  for a double layer module.

366  
 367 The scintillating fibers are considered as the active detector elements, we intend to  
 368 use Kuraray plastic double cladding scintillating fibers (SCSF-78MJ [30]) with a circular  
 369 cross-section. The trapping efficiency in a single hemisphere is higher than  $5.34\%$  and the

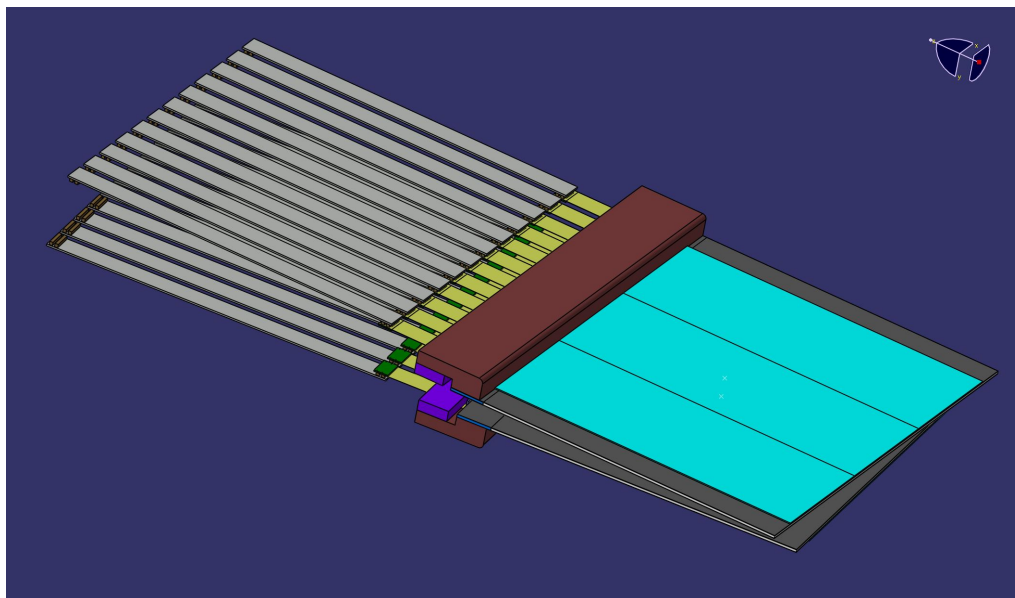


Figure 21: Layout of a SciFi module. In light blue three fibre *mats* per plane are assembled on a dark grey support structure. Two fastening pieces in brown and violet fix twelve SiPMs on each fibre plane, the SiPM detectors are assembled on flex pcbs in yellow. Flat cables in grey connect each flex pcb to the readout.

370 total diameter is  $250\ \mu\text{m}$ . The core of the fibre is polystyrene doped with p-terphenyl (PT)  
 371 as primary dye, plus tetraphenyl-butadiene (TPB) as wavelength shifter. This choice was  
 372 made to have a high quantum efficiency ( $>95\%$ ), decay time of the order of ns, and an  
 373 emission wavelength spectrum from about 400 to 600 nm, peaking at 450 nm. The bulk  
 374 optical absorption length is  $> 3.5\ \text{m}$ .

375 The emission spectrum of the fibers is well suited for the Hamamatsu SiPM photo-  
 376 detectors whose photo-detection efficiency peaks in the range 450 - 500 nm. The high  
 377 optical absorption length and the good trapping efficiency allow observation of  $\sim 20$  pho-  
 378 toelectrons per mm in the case of a scintillating fibre traversed by a charged particle on  
 379 one side of the fibre, as seen on the opposite end. The SiPM detectors are composed of  
 380 multichannel arrays; to minimise the over-all dead zones, two 64 channel silicon dies have  
 381 been packaged into a SiPM array of 128 channels.

382 The channel width is  $250\ \mu\text{m}$ , slightly smaller than the fibre pitch, determining the gran-  
 383 ularity of the read-out. The 1.625 mm height of the channel covers the stack height for  
 384 six layers of fibers. The active area is  $200\ \mu\text{m}$  higher than the total stack height of the  
 385 fibers to cope with misalignment due to manufacturing tolerances. An epoxy protection  
 386 layer, with a thickness of 100 -120  $\mu\text{m}$  is placed between the end of the fibers and the  
 387 silicon surface. The protection layer is advantageous for the handling of the detectors  
 388 and to prevent ageing effects, such as corrosion, during long term operation. It limits

as well the signal cluster size. Indeed the signal is typically recorded by more than one detector channel; this phenomenon is due to the shift in the SiPM channels with respect to the fibre columns patterns, to air gap in between the fibre and the SiPM and optical cross talk among fibers. From simulation studies and previous experience from former detectors, the average cluster size is expected to be  $\sim 2.6$  channels; this allows to cope with an occupancy of maximum 20 charged tracks per event in a single plane.

The characteristics of the signal and the noise are under study to evaluate the hit detection efficiency and spatial resolution. The latter is expected to be smaller than 100  $\mu\text{m}$ , the former  $\sim 99\%$ . Inefficiency due to geometrical gaps and single dead channels is expected to be 1%, because the majority of the clusters have signals large enough for detection in more than one channel.

To reduce the effect of ghosts, a stereo angle is foreseen between the planes of  $\sim 2^\circ$ ; to further improve the hit ambiguities and reduce the low energy secondaries and noise background, time measurements are a valuable option under test.

Timing measurement with a time resolution of  $\sim 1$  ns can be achieved using the STiC readout chip [31], timing performance are limited by the dye decay time. The STiC is capable of handling 64 independent channels; for each channel self triggering, energy measurement by time over threshold and time to digital converter for timing info are provided. The digital output consists of time stamps to synchronise to other detectors. As DAQ system we would like to propose a new digital readout board, already in use for LHCb upgrade tests, developed by Tsinghua University and capable of a fast data transmission thanks to the output provided via Gigabit Ethernet.

Concerning the drift tubes in the external region, there are available prototypes from the muon spectrometer of the OPERA experiment [32]. The modules are currently being recommissioned and will be assembled into stations for the  $\mu$ -flux measurement in 2018 [21]. The aluminium tubes have an outer diameter of 38 mm and a wall thickness of 0.85 mm. They are arranged in *modules* of 48 tubes, staggered in four layers of twelve tubes with a total width of approximately 50 cm. A 45  $\mu\text{m}$  gold-plated tungsten wire serves as an anode. The layout of the module is shown in figure 22.

The gas mixture adopted for the spectrometer was Argon and  $\text{CO}_2$ , in a mixing ratio of 80:20, which allowed to reach a maximum drift time of 1.3  $\mu\text{s}$ . The spatial resolution achieved in the OPERA experiment was around 250  $\mu\text{m}$  and, for tracks that pass through the tube near the anode wire, it was dominated by the drift velocity. Studies are currently ongoing about a faster and more linear drift gas mixture of  $\text{Ar}:\text{CO}_2:\text{N}_2$ , in a mixing ratio of 96 : 3 : 1. Measurements of the spatial resolution achievable are ongoing.

### 3.4 Muon Tagger

The muon tagger is the most downstream detector in the apparatus. It has the task of identifying muons with high purity to tag the muonic decay channel of charmed hadrons.

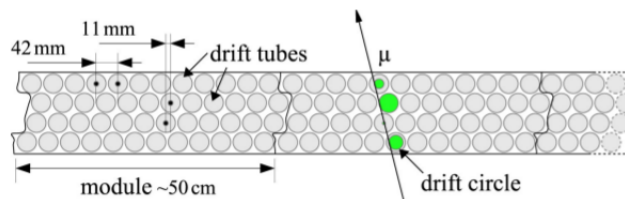


Figure 22: Sketch of the module layout [33]. A module consists of four layers with twelve tubes each. The end plates of a module are designed such that several modules can be combined.

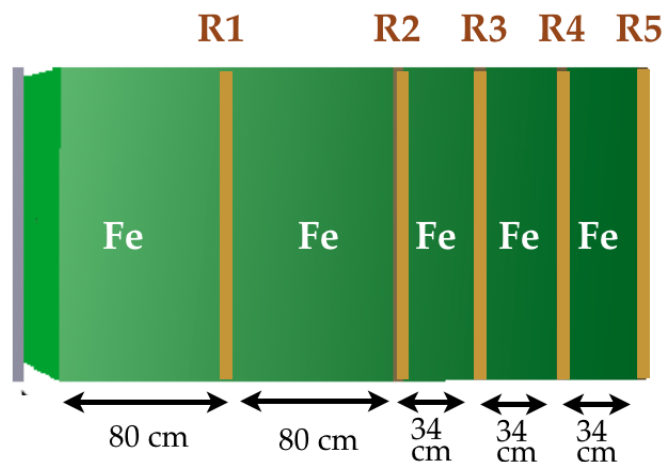


Figure 23: Layout of the muon tagger.

428 At the same time, it has to reconstruct the muon track slope to match the corresponding  
 429 track reconstructed in the upstream Magnetic Spectrometer and assign the momentum  
 430 to the muon track.

431 The layout of the muon tagger is shown in Figure 23. It is made by five iron slabs:  
 432 two 80 cm-thick and three 34 cm-thick, acting as hadron absorber, interleaved by five RPC  
 433 planes, acting as trackers. The last three iron slabs could be replaced by  $\sim 80$  cm-thick  
 434 concrete blocks. The transverse size of the RPC planes is  $195 \times 125$  cm<sup>2</sup>.

435 Since the target thickness covers at most two interaction lengths, we expect a large  
 436 fraction of punch-through protons. In order to avoid their interactions in the iron slabs  
 437 resulting in very high density regions in RPCs, a hole of 5 cm diameter will be drilled in  
 438 the center of the iron slabs. In alternative, for concrete blocks, the hole could be made  
 439 by the tapered corners of four (two by two) blocks in the center.

440 The RPCs for the muon tagger and the related electronics are being designed to serve  
 441 as a module-0 for the SHiP experiment and will also be used for the  $\mu$ -flux measurement

[21]. A small pilot production of new RPCs is foreseen. The gaps will have dimensions of  $200\text{ cm} \times 150\text{ cm} \times 0.2\text{ cm}$  and the electrodes will be made of low-resistivity bakelite ( $\simeq 10^{10}\Omega\text{ cm}$ ), 2 mm-thick, suited for operation in avalanche mode. The RPCs will be read out by means of orthogonal strip panels equipped with 1 cm-wide strips.

The signals from the strips will be collected by front-end electronics boards based on the FEERIC ASICS developed by the ALICE Collaboration [34], providing amplified and discriminated signals with LVDS output. The FEERIC ASICS is able to handle bipolar signals as those produced from readout strips arranged on both sides of each RPC. It is currently in production for the ALICE experiment.

The readout electronics uses boards equipped with LVDS input stage (64 channels) that can be operated in trigger-less mode providing zero-suppressed, 10 ns time-stamped signals that are transmitted via Ethernet interface (UDP/IP protocol) to the DAQ system. The boards are also able to provide a trigger signal generated as the logical OR (FAST OR) of the input LVDS signals. The trigger can be programmed based on groups of 32 channels.

The muon identification is done on the basis of the number of crossed layers in the detector. The distribution of the number of layers crossed by muons is reported in Figure 24a. If we normalize to the number of muons entering in the Muon Tagger, we get that about 77%, 72% and 69% of muons cross at least 3, 4 and five RPC layers, respectively.

In order to perform the tracking in the Muon Tagger, we require that the muon hits are *isolated* in at least two RPC planes. The isolation criterion requires at least 1 cm distance in both  $x$  and  $y$  coordinates with respect to the closest hit in the same RPC planes. About 67% of muons satisfy this requirement, as shown in Figure 24b, where the number of planes with isolated muon hits is reported.

### 3.5 Data acquisition system

The DAQ for the SHiP-charm experiment is designed to be simple and focused on maximising the data taking rate, at rates not exceeding 10 kHz. Its idea, illustrated in Figure 25, is to decouple system-specific readout from the central DAQ, whose role will be reduced to run control, event building and raw data storage. The sub-detectors (drift tubes, RPCs, pixel tracker, SciFi tracker) will be equipped with local DAQ machines or MCUs that will receive the central triggers, read out and buffer events during the spill, and between the spills send the event ‘blobs’, delimited by standard header and trailer, to the central DAQ. The target mover will receive the Start-of-Spill (SoS) and End-of-Spill (EoS) signals from the central trigger crate. It can also communicate with the central DAQ, sending the target position information that will be stored in the spill-related records.

The central DAQ will build full events, including the SoS and EoS events, and send them to a raw data recorder for saving on a sufficiently large local hard disk (optionally, RAID) storage. An unlimited number of asynchronous processes (on-line monitoring, event display, formatted event recorder) will have an access to the raw event buffer. The

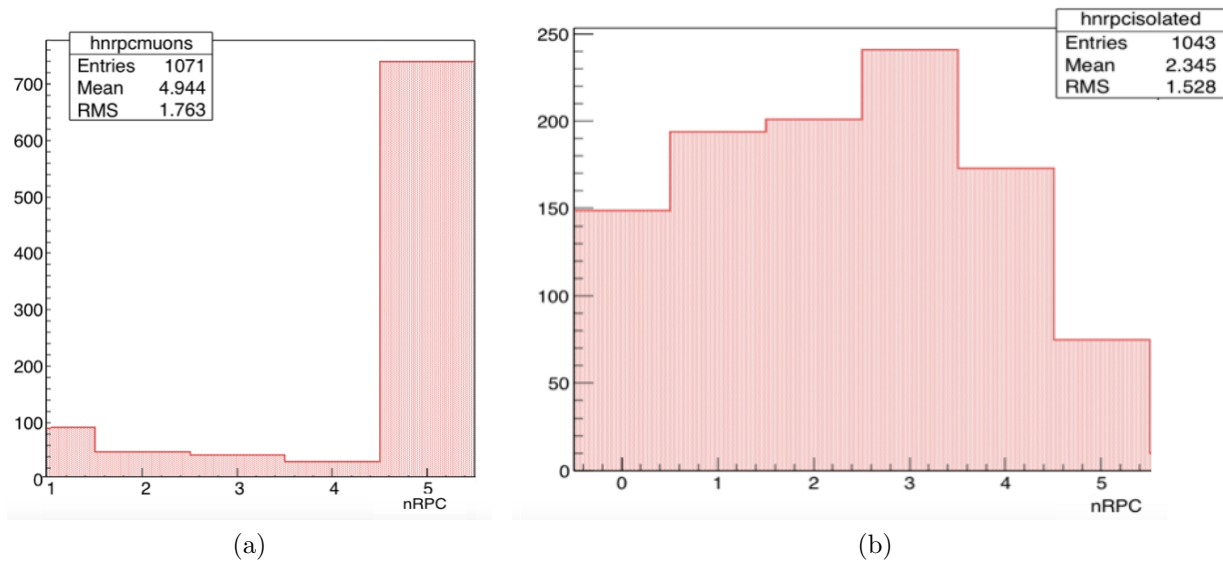


Figure 24: (a) Number of RPC planes crossed by muons produced in charm decays. (b) Number of RPC planes where muon hits are isolated.

481 formatter process will transform the raw data stream into the format required for the  
 482 offline processing and save the formatted data on the permanent mass storage.

483 A simple and light lossless communication mechanism (e.g., ContrlHost [35]) will be  
 484 used for data and message exchange at all levels.

485 Within one run, the events will be identified by the spill number and trigger number  
 486 within the spill. The central DAQ can communicate the spill number to all sub-systems  
 487 at SoS. It is being defined whether individual triggers will be also tagged by the central  
 488 DAQ, or rather counted by the sub-systems.

## 489 4 Signal and background evaluation

### 490 4.1 Charm detection in the target

491 The signal expectation was performed through simulation in the FairShip framework.  
 492 The production of charmed hadrons was simulated with Pythia 6 [36] and the particle  
 493 propagation in the materials with Geant4 [37] in the different target configurations.

494 Charmed hadrons have an average flight length of 3 mm, as shown in Figure 26. The  
 495 charged track multiplicity at the charm decay vertex is shown in Figure 27a while the  
 496 momentum distribution of charged decay daughters is reported in Figure 27b.

497 The first step for the signal identification is the location of the charmed hadron pro-  
 498 duction vertex in the ECC. The vertex is considered located if it is made by at least two

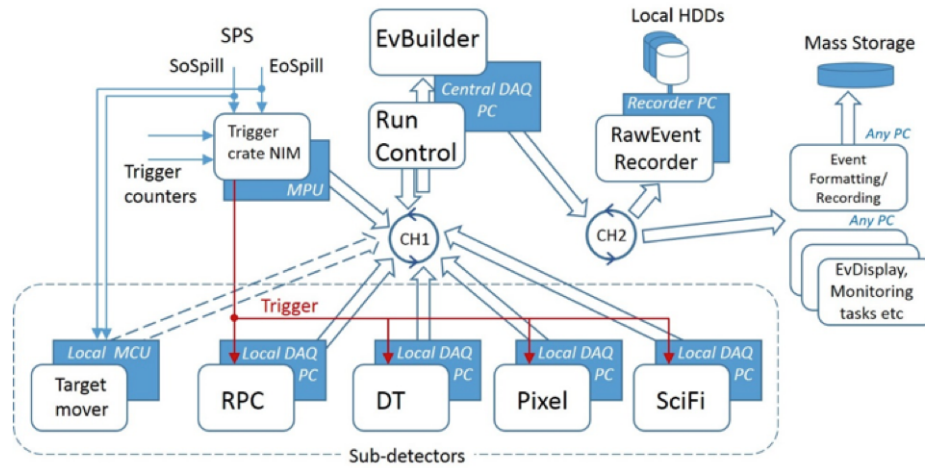


Figure 25: Schematic diagram of the proposed DAQ framework. CH1 and CH2 are the data buffers managed by the ControlHost, the former serving all synchronous and the latter asynchronous processes. CH1 and CH2 can run on different machines, but can be merged.

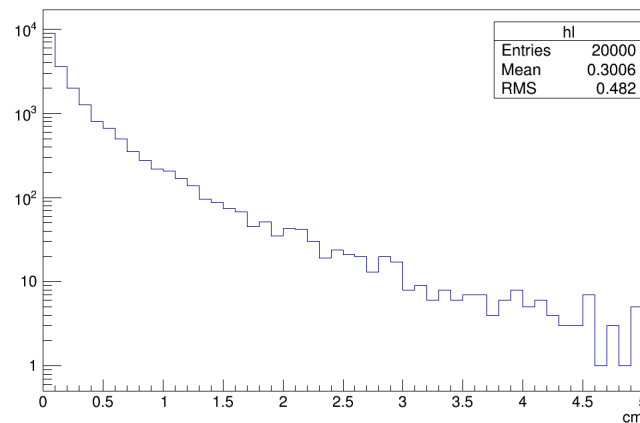


Figure 26: Flight length distribution of charmed hadrons.



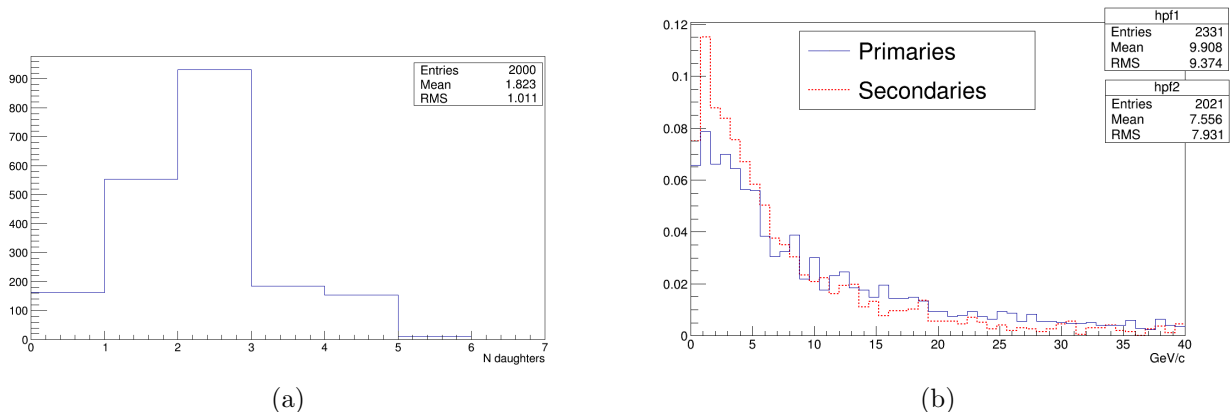


Figure 27: (a) Number of charged decay daughters. (b) Momentum of charged decay daughters produced by the decay of a charmed hadron from primary proton interaction (blue) and from cascade production (dashed red).

499 charged tracks with a momentum larger than 100 MeV/c and an angle smaller than 1  
 500 rad. Among these tracks, at least one must have a momentum larger than 1 GeV/c .

501 The second step is the detection of the charmed hadron decay vertex. In order to take  
 502 into account the geometrical acceptance of the ECC detector and the track reconstruction  
 503 efficiency, we assume that only secondary vertices occurring at least three TZM slabs far  
 504 from the downstream edge of the target are visible. The identification of a charmed  
 505 hadron decay is performed through the so-called *decay search* procedure. It requires that  
 506 the following criteria are satisfied:

- 507 • Impact parameter (IP) of decay daughter track with respect to the charmed hadron  
 508 production vertex larger than 10  $\mu\text{m}$ ;
- 509 • kink angle larger than 20 mrad (only for 1-prong decays);
- 510 • at least one daughter track with momentum is larger than 100 MeV/c and angle  
 511 smaller than 1 rad.

512 The identification of an associated charm production event requires the location of  
 513 the charm production vertex and the detection of both charmed hadrons decay in the  
 514 ECC. The associated charm detection efficiency in the ECC is of 29% and 35% for proton  
 515 interactions occurring in the first and second interaction length, respectively.

516 The micrometric position resolution provided by nuclear emulsions allows to distin-  
 517 guish the different charm species ( $D^0$ ,  $D^\pm$ ,  $D_s^\pm$ ,  $\Lambda_c^+$ ). The  $D^0$  is identified by the detection  
 518 of a  $V0$  topology, i.e. a secondary vertex with no visible parent. On the other hand, the  
 519 measurement of the flight length allows to identify the different charged charmed hadrons

Table 6: Associated charm detection efficiency in ECC and number of associated charm events expected to be identified in the different ECCs, normalized to  $10^5$  p.o.t..

Configuration	Overall detection efficiency (%)	Expected events (per $10^5$ p.o.t.)
ECC1	11	8
ECC2	11	6
ECC3	11	7
ECC4	12	5
ECC5	12	4

520 from their different mean free path ( $c\tau_{D^\pm} = 311.8 \mu\text{m}$ ,  $c\tau_{D_s^\pm} = 149.9 \mu\text{m}$ ,  $c\tau_{\Lambda_c^+} = 59.9$   
 521  $\mu\text{m}$ ).

## 522 4.2 Signal expectation

523 The predicted number of observed events has been estimated through the same FairShip  
 524 simulation used to estimate the number of detected events in the ECC target. A detected  
 525 event is considered observed if, for both charmed particles, at least one charged daughter  
 526 is detected in all four planes of the magnetic spectrometer, thus allowing its charge and  
 527 momentum measurements. The total efficiency, combining the information on the target  
 528 and downstream detectors, and the predicted number of observed events per proton on  
 529 target are shown in Table 6.

530 Due to the high multiplicity of particles per proton interaction (see Fig. 15) and the  
 531 maximum track density affordable in the emulsions films, the number of proton interac-  
 532 tions integrated in one ECC would not produce a sufficient statistics of charmed hadrons  
 533 and therefore the exposure has to be repeated several times, by building and exposing  
 534 many ECCs. Assuming a maximum track density in emulsions ( $10^3$  particles/ $\text{mm}^2$  or  
 535  $3 \times 10^3$  particles/ $\text{mm}^2$ ) we can derive the maximum number of p.o.t. integrated in each  
 536 ECC and the corresponding number of observed charmed pairs, both reported in Table 7.

537 A possible exposure plan for the SHiP-charm experiment was derived for both track  
 538 density conditions aiming at collecting 1000 fully reconstructed charmed hadron pairs  
 539 with a balanced statistics at all target depths. The delivery of  $2 \times 10^7$  p.o.t. would allow  
 540 to reach this goal if 120 (40) runs are performed in the low (high) track density condition.  
 541 The total emulsion surface required for the complete exposure amounts to  $60 \text{ m}^2$  and  $20$   
 542  $\text{m}^2$  in the two cases, if a 1 mm sampling is assumed. Details are reported in Table 8.

543 We plan to collect about 10% of the total statistics in the 2018 optimization run.

Table 7: Maximum number of p.o.t. to be integrated in the different configurations (Npot) assuming a limit track density of  $10^3$  or  $3 \times 10^3$  tracks/mm<sup>2</sup>; Npair is the number of detected charm pairs expected for each configuration.

Config	Density= $10^3$ tr/mm <sup>2</sup>		Density= $3 \times 10^3$ tr/mm <sup>2</sup>	
	Npot ( $\times 10^5$ pot)	Npair	Npot ( $\times 10^5$ pot)	Npair
1	7.5	58	22.5	175
2	1.5	10	4.5	29
3	1.0	7	3.0	22
4	0.8	5	2.4	14
5	1.0	4	3.0	13

Table 8: Number of runs (Nruns), total number of delivered pot (Npot) and number of expected charm pairs for each target configurations. Two limit track densities are considered.

Config	Density= $10^3$ tr/mm <sup>2</sup>			Density= $3 \times 10^3$ tr/mm <sup>2</sup>		
	Nruns	Npot ( $\times 10^6$ pot)	Npair	Nruns	Npot ( $\times 10^6$ pot)	Npair
1	11	8.3	640	4	9.0	700
2	17	2.5	170	5	2.3	140
3	21	2.3	170	7	2.2	160
4	35	2.9	170	12	2.9	170
5	35	3.5	140	12	3.6	150
Total	119	19	1290	40	20	1320

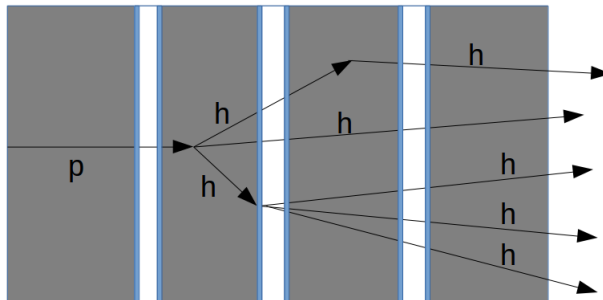


Figure 28: Sketch of a background event for the charm measurement.

### 544 4.3 Hadronic background

545 The event topology, as described in previous sections, is defined by a production vertex  
 546 and two decay vertices, located in the ECC target. A hadron produced in the primary  
 547 proton interaction could interact in the passive layers in the target, thus mimicking the  
 548 decay vertex of a charmed particle. Hence, a proton interaction followed by two hadronic  
 549 reinteractions constitutes a background source for the charm measurement (Figure 28).  
 550 A Monte Carlo simulation in FLUKA [38] has been performed to study  $\pi^-$  interactions  
 551 in a ECC target, where molybdenum slabs are interleaved with nuclear emulsion film.  
 552 The transverse sizes of the target are  $10 \times 10 \text{ cm}^2$  and the passive sampling is 3 mm.  
 553 The pion energy spectrum is provided, from the FairShip simulation, as an input for the  
 554 FLUKA simulation. The relative distribution is shown in Figure 29. The distributions  
 555 shown in Figure 30 represent the number of tracks and the number of nuclear fragments  
 556 produced in the FLUKA simulation. The pion interactions which might mimick a decay of  
 557 a charged charmed hadron are the 1-prong and 3-prong ones. Moreover, the observation  
 558 of a nuclear fragment allows to recognize a hadronic interaction, thus providing good  
 559 signal-background separation. The same topology selections chosen for the signal have  
 560 been applied to the obtained background sampling, where the decay length of the charmed  
 561 hadron is replaced by the track length of the pion, bounded to be smaller than 6 mm.  
 562 Moreover, the events with one nuclear fragment are excluded, as well as events with an  
 563 even number of prongs. Thus, the probability that a pion interaction is associated to the  
 564 decay of a charmed hadron has been evaluated.

565 The background for the associated charm production detection comes from the re-  
 566 interaction of two hadrons in the target, both mimicking the decay of a charmed particle.  
 567 Thus, given the estimated probability and accounting for the hadron multiplicity, the  
 568 fraction of proton interactions where at least two hadrons mimic a charmed hadron decay  
 569 has been estimated to be  $1.4 \times 10^{-3}$ .

570 A refined statistical analysis based on machine learning techniques exploiting the signal  
 571 and background characteristics will be used for signal to background discrimination. The  
 572 pdfs of set of variables that can be used for this purpose are shown in Figure 31 for signal

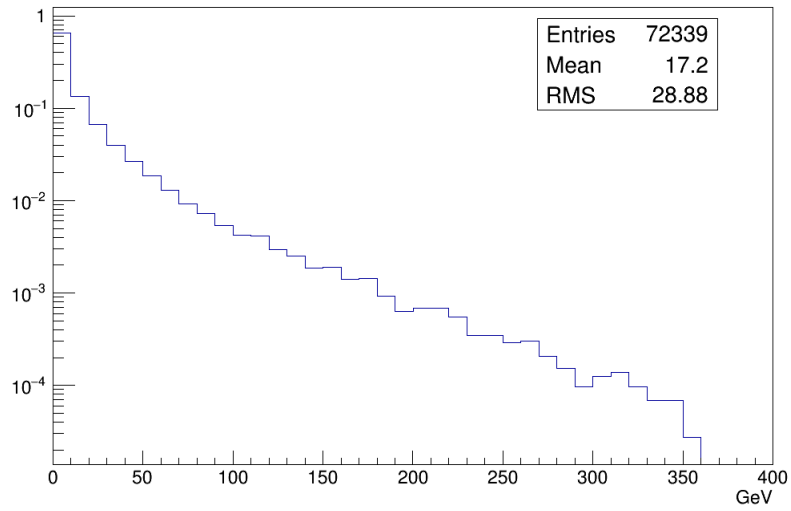


Figure 29: Kinetic energy distribution of charged pions, produced in 400 GeV/ $c$  proton interactions.

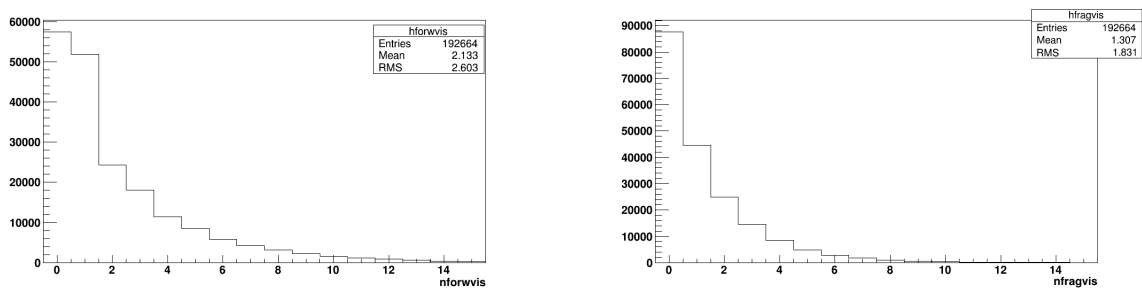


Figure 30: Number of tracks and nuclear fragments produced in each pion interaction in the ECC.

and background: track length, kink angle, impact parameter for 1-prong decays.

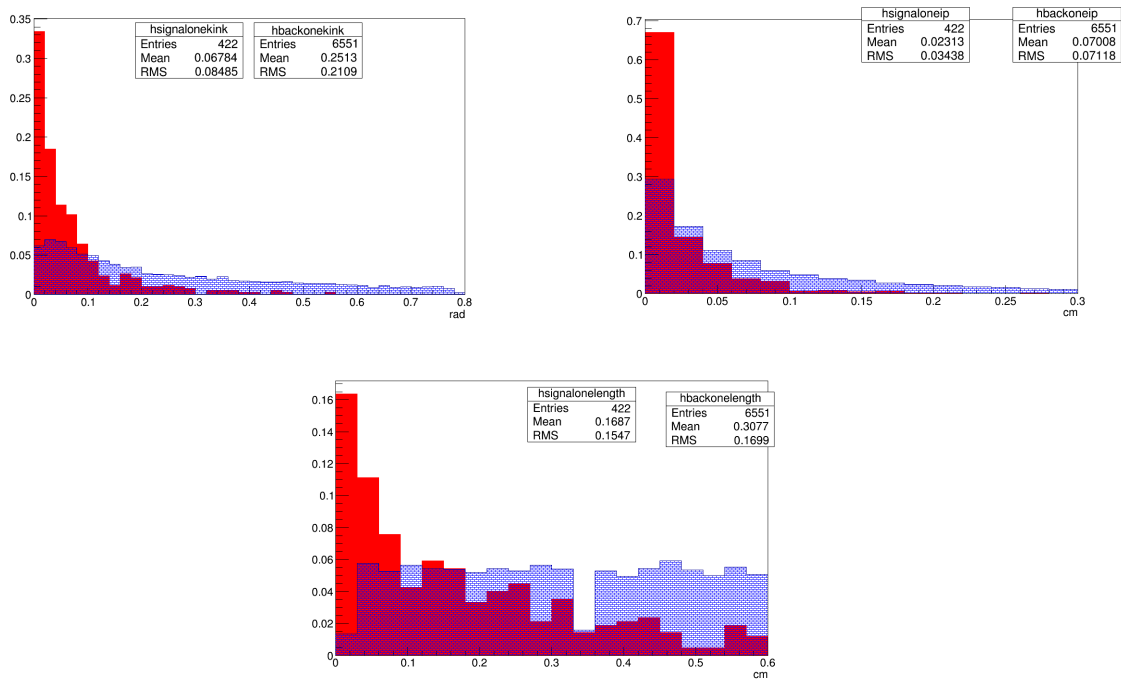


Figure 31: Distributions for signal (in red) and background (in blue) events: kink angle (top left), impact parameter (top right) and track length (bottom).

573

## 574 5 Beam requirements

575 About one week is needed to integrate the different sub-detectors. For the final measure-  
 576 ment after LS2 we require about  $5 \times 10^7$  400 GeV/ $c$  p.o.t. integrated during four weeks  
 577 of data taking at the SPS. One week is required for the optimization run in 2018 with  
 578  $5 \times 10^6$  p.o.t. to collect about 200 charmed hadron pairs.

579 The spill intensity has to be adjusted around  $\sim 10^4$  protons per spill and a beam with  
 580 transverse size of  $\sigma \sim 0.5$  cm demonstrated to be feasible in the test performed at the end  
 581 of September 2017.

## 582 6 Project management

583 Several SHiP groups have agreed to collaborate with the design and building of the ap-  
 584 paratus required for the experiment described in this document:

- 585 • University and INFN of Bari: read-out electronics and mechanics of the RPC cham-  
586 bers of the muon tagger (S. Simone)
- 587 • University of Bonn: silicon pixel detector for the instrumentation of the T1 and T2  
588 planes of the spectrometer (M. Cristinziani)
- 589 • University of Hamburg: spectrometer, drift tube commissioning and readout (D.  
590 Brick and S. Bieschke)
- 591 • KODEL Korea University: Gaps and strips production for the RPC chambers of  
592 the muon tagger (S. Park)
- 593 • EPFL Lausanne: Scintillator fiber option for the instrumentation of the T3 and T4  
594 planes of the spectrometer (A. Bay)
- 595 • Lebedev Physical Institute, Moscow: production of emulsion films, data taking and  
596 emulsion analysis (N. Polukhina)
- 597 • National University of Science and Technology "MISIS", Moscow: SHiP target  
598 replica material (Y. Krasilnikova)
- 599 • University of Nagoya: Emulsion film production and analysis (M. Komatsu)
- 600 • University and INFN of Naples: emulsion analysis, front-end electronics for the RPC  
601 chambers of the muon tagger, target mover and overall coordination (G. De Lellis)
- 602 • University of Zurich: data taking and emulsion analysis (N. Serra)

603 In addition, CERN will take care of the design target replica (M. Calviani), integration  
604 and beam line (N. Charitonidis), radio protection (R. Froeschl).

605 An optimization run is scheduled in 2018 as expressed in the EoI-016 while the full  
606 run will be carried out after LS2.

## 607 7 Summary

608 The interpretation of the SHiP data requires a detailed knowledge of the differential charm  
609 production rates. Theoretical predictions for the total rate of prompt charm at the rele-  
610 vant energies are affected by large uncertainties of both perturbative and non-perturbative  
611 nature. A measurement could shed light on the impact of these contributions. In par-  
612 ticular, the precise knowledge of the charm fragmentation fractions at such relativity  
613 low energy is missing and it is important to benchmark modern hadronization models.  
614 Furthermore, the measurement of charmed resonances produced in the interaction of sec-  
615 ondary hadrons is missing and it is important to estimate the acceptance of any detector

616 operating at a SPS beam dump facility. In this EoI we have reported the design of an  
617 apparatus with different sub-detectors to address this measurement with sufficient accu-  
618 racy.



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