



The Compact Muon Solenoid Experiment

Conference Report

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Electronics for CMS Endcap Muon Level-1 Trigger System Phase-1 and HL LHC Upgrades Summary

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Abstract

To accommodate high-luminosity LHC operation at 13 TeV collision energy, the CMS Endcap Muon Level-1 Trigger system had to be significantly modified. To provide the best track reconstruction, the trigger system must now import all available trigger primitives generated by Cathode Strip Chambers and by certain other subsystems, such as Resistive Plate Chambers (RPC). In addition to massive input bandwidth, this also required significant increase in logic and memory resources. To satisfy these requirements, a new Sector Processor unit has been designed. It consists of three modules. The Core Logic module houses the large FPGA that contains the track-finding logic and multi-gigabit serial links for data exchange. The Optical module contains optical receivers and transmitters; it communicates with the Core Logic module via a custom backplane section. The Pt Lookup Table (PTLUT) module contains 1 GB of low-latency memory that is used to assign the final Pt to reconstructed muon tracks. The TCA architecture (adopted by CMS) was used for this design. The talk presents the details of the hardware and firmware design of the production system based on Xilinx Virtex-7 FPGA family. The next round of LHC and CMS upgrades starts in 2019, followed by a major High-Luminosity (HL) LHC upgrade starting in 2024. In the course of these upgrades, the new Gas Electron Multiplier (GEM) detector and more RPC chambers will be added to the Endcap Muon system. In order to keep up with all these changes, a new Advanced Processor unit is being designed. This device will be based on Xilinx UltraScale+ FPGAs. It will be able to accommodate up to 100 serial links with bit rates of up to 25 Gb/s, and provide up to 2.5 times more logic resources than the device used currently. The amount of PTLUT memory will be significantly increased to provide more flexibility for Pt assignment algorithm. The talk presents preliminary details of the hardware design program.

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Electronics for CMS Endcap Muon Level-1 Trigger System Phase-1 and HL LHC Upgrades

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ABSTRACT: To accommodate high-luminosity LHC operation at a 13 TeV collision energy, the CMS Endcap Muon Level-1 Trigger system had to be significantly modified. To provide robust track reconstruction, the trigger system must now import all available trigger primitives generated by the Cathode Strip Chambers and by certain other subsystems, such as Resistive Plate Chambers (RPC). In addition to massive input bandwidth, this also required significant increase in logic and memory resources. To satisfy these requirements, a new Sector Processor unit has been designed. It consists of three modules. The Core Logic module houses the large FPGA that contains the track-finding logic and multi-gigabit serial links for data exchange. The Optical module contains optical receivers and transmitters; it communicates with the Core Logic module via a custom backplane section. The Pt Lookup Table (PTLUT) module contains 1 GB of low-latency memory that is used to assign the final Pt to reconstructed muon tracks. The μ TCA architecture (adopted by CMS) was used for this design. The talk presents the details of the hardware and firmware design of the production system based on Xilinx Virtex-7 FPGA family. The next round of LHC and CMS upgrades starts in 2019, followed by a major High-Luminosity (HL) LHC upgrade starting in 2024. In the course of these upgrades, new Gas Electron Multiplier (GEM) detectors and more RPC chambers will be added to the Endcap Muon system. In order to keep up with all these changes, a new Advanced Processor unit is being designed. This device will be based on Xilinx UltraScale+ FPGAs. It will be able to accommodate up to 100 serial links with bit rates of up to 25 Gb/s, and provide up to 2.5 times more logic resources than the device used currently. The amount of PTLUT memory will be significantly increased to provide more flexibility for the Pt assignment algorithm. The talk presents preliminary details of the hardware design program.

KEYWORDS: CMS, Endcap, Muon, Trigger, FPGA, Track Finder, micro-TCA

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22 **1. Upgrade goals for Long Shutdown 1 (LS1), 2013-2014**

23 The following sections cover the most important improvements introduced in order for the Muon
 24 Endcap trigger system to function properly after the LHC and CMS emerged from Long
 25 Shutdown 1 (LS1).

26 **1.1 Muon Level 1 Trigger system segmentation**

27 Before LS1, the Muon Level 1 trigger was separated into two subsystems: Drift Tube Track
 28 Finder (DTTF) was processing data from the barrel part of the Muon detector, and Cathode Strip
 29 Chamber Track Finder (CSCTF) was processing data from endcap part of the Muon detector. This
 30 created a triplication of effort in overlap area between barrel and endcap parts, because the data
 31 from that area were processed by DTTF, CSCTF, and also RPC Pattern Comparator (PAC).

32 After the LS1 upgrade, the Muon Level 1 trigger system consists of three parts. The
 33 segmentation before and after LS1 is shown in Table 1. The overlap area has been separated from
 34 barrel and endcap, and is now processed by its own track finder.

35 **1.2 Trigger primitive import**

36 The legacy CSCTF system filtered the trigger primitives generated by each 60° azimuthal Muon
 37 Endcap sector. Only up to 15 better-reconstructed primitives out of possible 90 were sent to Sector

η boundaries	Before LS1	After LS1
$0 \div 0.9$	DSTF + RPC PAC	BMTF – Barrel Muon Track Finder
$0.9 \div 1.3$	DSTF + CSCTF + RPC PAC	OMTF – Overlap Muon Track Finder
$1.3 \div 2.5$	CSCTF	EMTF – Endcap Muon Track Finder

38 **Table 1. Muon Level 1 Trigger system segmentation before and after LS1**

39 Processor boards. This reduced the efficiency for events with multiple muons in a small
40 geometrical region and would lead to inefficiency in high pile-up conditions [2]. To solve that
41 problem, EMTF imports all trigger primitives. Additionally, data from another regional
42 subsystem, Resistive Plate Chambers (RPC), is also imported into EMTF.

43 **1.3 Sector Overlap processing**

44 Muon Endcap Level-1 trigger system is separated into 60° azimuthal sectors. In the legacy
45 CSCTF system, trigger primitives from each sector were delivered to a corresponding Sector
46 Processor only. This approach led to inefficiency of the track detection on the edges of each sector
47 in cases when some of the track segments fell into chambers in the neighboring sector. The
48 upgraded system removes this inefficiency by sharing trigger primitives between two neighboring
49 sectors in the sector overlap area.

50 **1.4 Transverse Momentum assignment**

51 A flexible and powerful way to assign transverse momentum (p_T) to the muons that has been
52 identified by the ME trigger system is to use a Look-up Table (LUT). This approach allows for
53 complete algorithmic flexibility as well as fixed latency, which is independent of the algorithm
54 used. A proper p_T assignment in the upgraded system is more complex. This requires
55 implementing an LUT with significantly bigger address space to improve p_T assignment
56 resolution with additional variables.

57 **2. Modular Track Finder 7 (MTF7) production hardware**

58 CMS has adopted the μ TCA hardware platform [3] as a standard for new equipment development.
59 MTF7 has been constructed using that standard. MTF7 hardware was also used to build the
60 upgraded OMTF system [4]. Sections below describe the modules of MTF7 design.

61 **2.1 Core Logic module**

62 The Core Logic module contains the large FPGA for trigger data processing. The production
63 hardware is based on the Virtex-7 family of Xilinx FPGAs [5]. In addition to that, the Core
64 Logic module contains a smaller control FPGA, a Module Management Controller (MMC),
65 configuration memory for both FPGAs, power supplies, and clock management circuitry. The
66 module is able to receive trigger data via 80 GTH¹ links (up to 10 Gb/s each), and 4 GTX¹ links
67 (up to 6.6 Gb/s each, connected to control FPGA). It can output trigger decisions and other data
68 using 24 GTH (10 Gb/s) links. PCI express (PCIe) was selected as the main control interface
69 solution for the upgraded Sector Processor design. This choice is dictated by the bandwidth
70 requirements, specifically downloading PTLUT memory contents. Each module is provided with direct
71 access to the host computer memory.

72 **2.2 Optical module**

73 The Optical module contains 7 12-channel optical receivers, 2 12-channel transmitters, MMC
74 and control circuitry, and power supplies. All optical components are 10 Gb/s parts

¹ GTH and GTX are two different types of multi-gigabit serial links available in Xilinx FPGAs [5].

75 manufactured by Avago [6]. The optical receivers and transmitters are connected to FPGAs on
76 the Core Logic module via a short custom backplane section.

77 **2.3 PTLUT module**

78 The PTLUT module is implemented as a mezzanine card that sits on top of the Core Logic
79 module. It contains 1 GB of Reduced Latency DRAM (RLDRAM) memory, manufactured by
80 Micron [7]. This type of memory, while retaining all the advantages of DRAM (large capacity,
81 low power consumption, low price), has been specifically designed to reduce latency for
82 random address accesses. The address bit count usable for the p_T assignment is 30.

83 **2.4 Optical plant**

84 The trigger primitives are transmitted from Cathode Strip Chambers (CSCs) using 3.2 Gb/s
85 optical links. Most of these primitives must be shared between EMTF and/or OMTF Sector
86 Processors (2-way sharing), and some of them are shared between two EMTF and two OMTF
87 processors, to account for sector overlap (4-way sharing).

88 The data sharing is implemented using passive optical splitters. The splitter and fiber
89 network for each of the 12 Endcap Muon sectors is implemented in a 1U rack mount patch panel;
90 there are 12 such panels in the entire system.

91 **2.5 Firmware**

92 The EMTF Sector Processor firmware was significantly reworked relative to the legacy
93 CSCTF system. It is able to import and process up to 108 trigger primitives from CSCs per Bunch-
94 Crossing (BX), and up to 84 RPC primitives per BX. By contrast, the legacy CSCTF system was
95 only processing up to 15 CSC primitives per BX. The RPC primitives were not used. The EMTF
96 input bandwidth increase relative to CSCTF is nearly 13 times.

97 The processing starts with a search for straightest paths in ϕ direction using pre-defined
98 patterns, with CSC primitives only. The 12 best ϕ patterns are selected on each BX. Next, input
99 primitives are matched to patterns. The RPC data are used to complement missing CSC
100 primitives. The path in θ direction is verified to be straight, out-of-line primitives are removed. In
101 the last step, $\Delta\phi$ and $\Delta\theta$ between primitives are calculated, and finally the best three tracks are
102 selected and fed to the p_T -assignment LUT.

103 **3. Performance**

104 The performance plots (Figure 2) [8] show the measured L1 muon trigger efficiency using a "tag-
105 and-probe" method on a dimuon sample. The efficiency is shown as a function of the
106 reconstructed probe p_T for a threshold of 22 GeV and as a function of pseudorapidity (η) for the
107 same threshold and when the reconstructed probe muon has a $PT > 40$ GeV. The endcap region
108 covers $|\eta| > 1.2$.

109 **4. Advanced Processor (AP) for HL LHC (Phase 2) upgrades**

110 We are working on a design of a prototype processing board for HL LHC trigger upgrades
111 in collaboration with University of Wisconsin-Madison. The goal of this project is to design a
112 general-purpose FPGA processing board with expansion capabilities that could be suitable for use
113 by multiple systems, not necessarily only trigger.

114 CMS is evaluating ATCA [9] telecom standard as a replacement for μ TCA. We plan to build
115 the prototype in that standard. The following sections describe the main features of the AP design.

116 **4.1 FPGA selection**

117 The AP design targets Xilinx UltraScale (US) and UltraScale+ (US+) FPGA families. Both US
118 and US+ are using the same lineup of packages, with multiple devices available in each package.

119 A board designed for a particular package will provide the end user with an option of selecting
120 the FPGA that represents an optimal balance of price and resources. Currently two packages are
121 being evaluated: B2104 and C2104.

122 **4.2 Multi-gigabit optical links**

123 The goal is to provide up to 100 optical I/O connections, capable of multiple data rates. Exact
124 connection count is determined by the FPGA that the end user selects. Bit rates provided are 1–
125 14 Gb/s base range, and up to 25–28 Gb/s extended range. We are currently considering the
126 FireFly family [10] of optical links as a viable optical component candidate. The advantages of
127 FireFly are small size, multiple available data rates (1–14 and 25–28 Gb/s), and availability of
128 connector-compatible high-speed copper cables. Initial tests with 14 Gb/s version have yielded
129 very good results.

130 **4.3 Mezzanine cards (expansion capabilities)**

131 The AP will provide connectors and space for one or possibly two mezzanine cards for user-
132 designed expansion devices. The connectors will be attached directly to regular I/O banks of the
133 main FPGA, using nearly all available I/O pins. The mezzanine cards may contain any devices
134 that the end user requires, such as external memory banks, supplemental processors, exotic I/O,
135 associative memory, etc.

136 **4.4 Rear Transition Module (RTM) support**

137 The AP will provide RTM support according to the ATCA specification. A certain number of
138 serial links will be routed to the RTM, to provide the end user with more flexibility, such as legacy
139 optical connection support. Molex’s Impel connectors [11] have been evaluated for transition
140 from main board to RTM, and have demonstrated very good performance at 14 Gb/s bit rate.

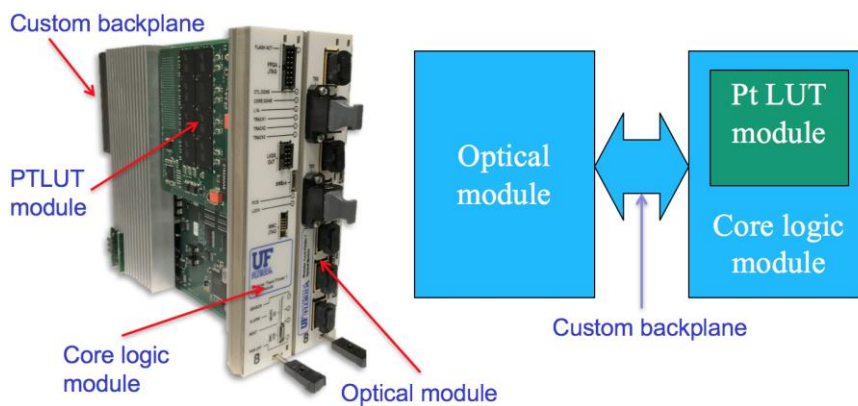
141 **4.5 Embedded Linux control platform**

142 The AP control interface will be based on Zynq-7000 family of devices, running embedded
143 Linux. The control module is being designed as a small mezzanine card in expanded COMExpress
144 Mini [12] form factor.

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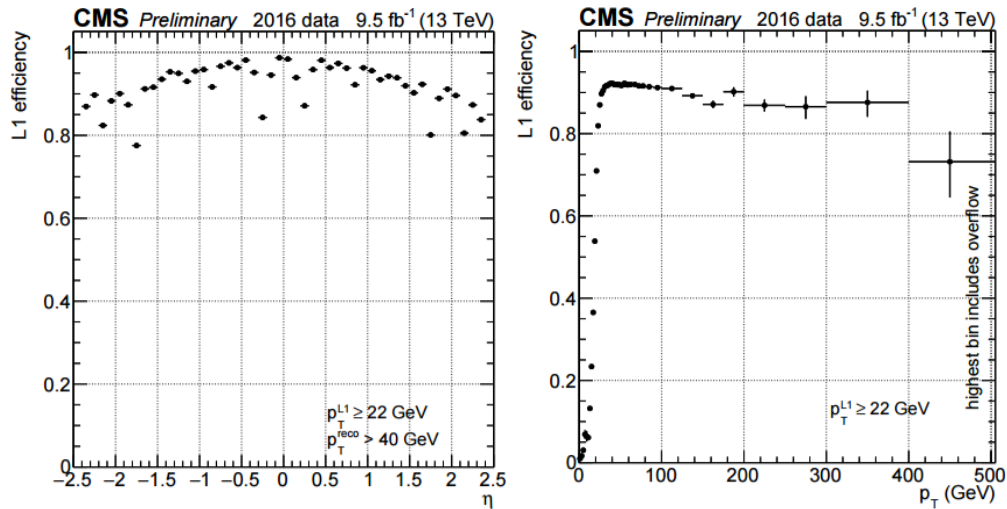
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Figure 1: MTF7 production hardware



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Figure 2. L1 Trigger Efficiency plots²

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171 [m_campaign=general&WT.mc_id=A03291](http://www.molex.com/molex/products/family?key=impel_backplane_connector_system&channel=PRODUCTS&chanName=family&pageTitle=Introduction&utm_source=dpb&utm_medium=lit&utm_campaign=general&WT.mc_id=A03291)

172 [12] COMExpress standard: <https://www.picmg.org/openstandards/com-express/>

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² The efficiency drop seen at high p_T , in the plot on the right, was traced back to a misconfiguration of the system, which has been corrected in August 2016.