

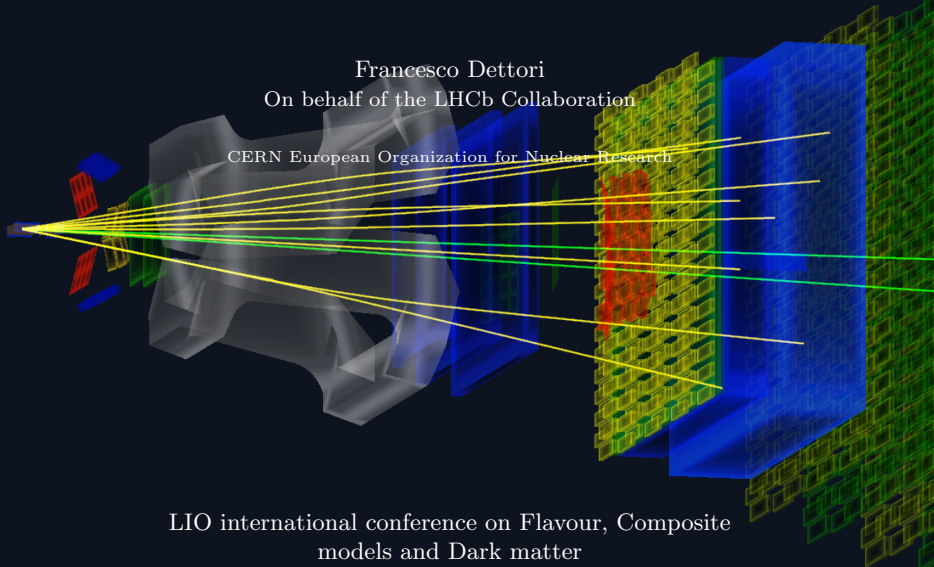


# Lepton flavour violation searches and test of lepton flavour universality at LHCb

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On behalf of the LHCb Collaboration

CERN European Organization for Nuclear Research

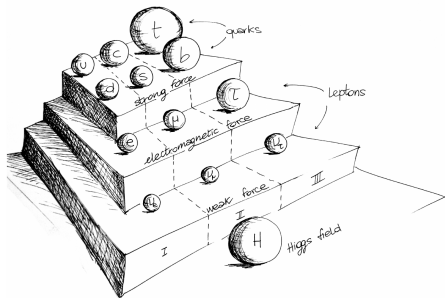


LIO international conference on Flavour, Composite  
models and Dark matter

23-27 November 2015 - IPNL

# Introduction

- Lepton flavour universality and conservation are accidents of the SM
- Any evidence of LFV will point directly to new physics
- Despite countless searches in many experiments no evidence of LFV (apart from neutrinos...)
- LHCb starts to play its role in this field





# Outline

- Searches for Majorana neutrinos
- Search for LFV in  $B_{(s)}^0 \rightarrow e^\pm \mu^\mp$  and  $D^0 \rightarrow e^\pm \mu^\mp$  decays
- Search for LFV in  $\tau$  decays
- Tests of lepton flavour universality

# The LHCb experiment

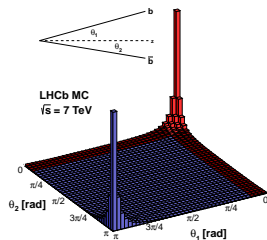
Large Hadron Collider  
as flavour factory

- $pp$  collisions at 7-8-13 TeV
- Large  $b$ -quark production in the forward region
- Full  $b$ -hadrons spectrum
- $\mathcal{L} = 3 - 4 \cdot 10^{32} \text{cm}^{-2} \text{s}^{-1}$
- $\int \mathcal{L} = 3.0 \text{fb}^{-1}$  in Run I  
 $\Rightarrow \mathcal{O}(10^{12}) \text{ } b\bar{b}$  pairs  
and  $\mathcal{O}(10^{13}) \text{ } c\bar{c}$  pairs



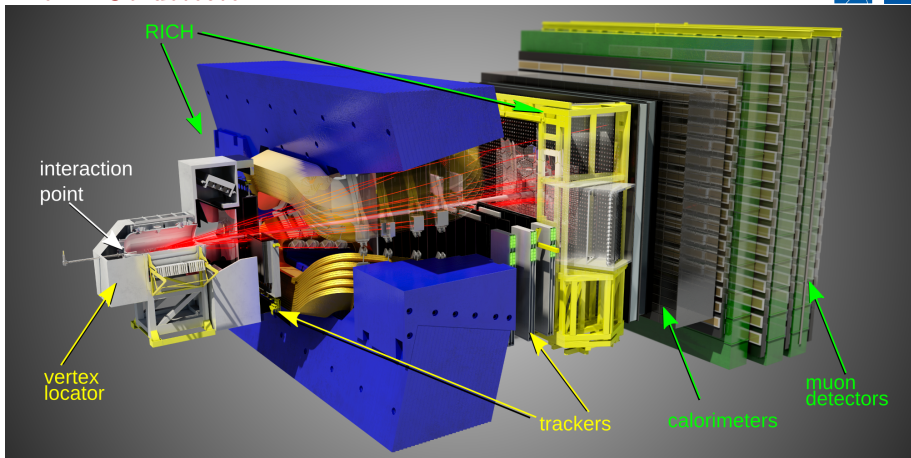
LHCb:

- Specialized B-physics experiment
- Forward single arm spectrometer
- Acceptance:  $2 < \eta < 5$





# The LHCb detector



## Excellent vertex and IP resolution

- $\sigma(IP) \simeq 24\mu\text{m}$  at  $p_T = 2 \text{ GeV}/c$
- $\sigma_{BV} \simeq 16\mu\text{m}$  in  $x, y$

## Very good momentum resolution

- $\sigma(p)/p = 0.5\% - 0.8\%$   
for  $p \in (0, 100) \text{ GeV}/c$
- $\sigma(m_B) \sim 25 \text{ MeV}/c^2$  for two body decays

## Muon identification

- $\varepsilon_\mu = 98\%$ ,  $\varepsilon_{\pi \rightarrow \mu} = 0.6\%$ ,  $\varepsilon_{K \rightarrow \mu} = 0.3\%$ ,  
 $\varepsilon_{p \rightarrow \mu} = 0.3\%$

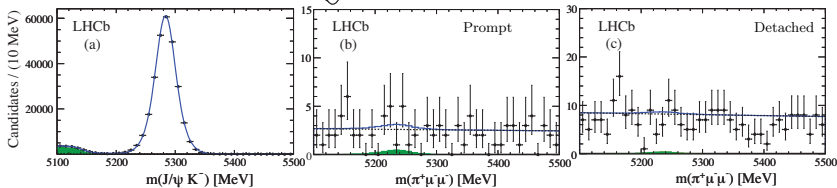
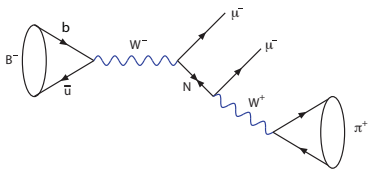
## Trigger

- $\varepsilon_\mu = 90\%$

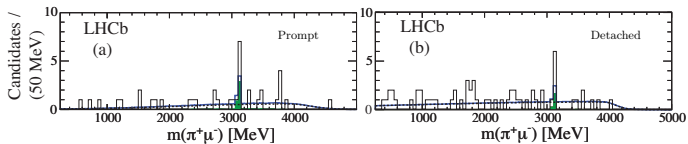
Int. J. Mod. Phys. A 30 (2015) 1530022

# Search for Majorana neutrinos at LHCb: $B^- \rightarrow \pi^+ \mu^- \mu^-$

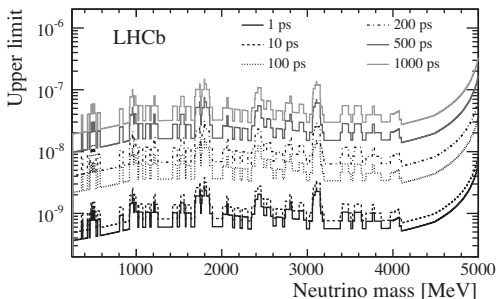
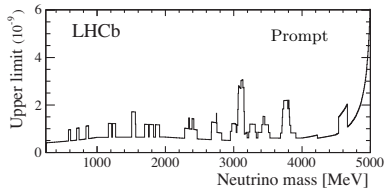
- Search for Majorana neutrinos  $B^- \rightarrow \mu^- N(\rightarrow \mu\pi)$
- Prompt (no detachment) and detached topologies (up to lifetimes of 1000 ps)
- No excess in the  $B$  mass:  $\mathcal{B}(B^- \rightarrow \pi^+ \mu^- \mu^-) < 4.0 \cdot 10^{-9}$  (95%CL)



# Search for Majorana neutrinos at LHCb: $B^- \rightarrow \pi^+ \mu^- \mu^-$

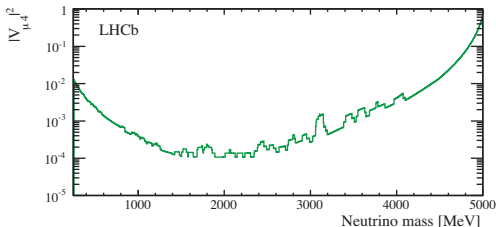


- Upper limit with CLs method
- Efficiency varies depending on  $\tau_N$
- Different upper limits as a function of  $\tau_N$



# Search for Majorana neutrinos at LHCb: $B^- \rightarrow \pi^+ \mu^- \mu^-$

- Upper limits on a fourth generation neutrino coupling  $|V_{\mu 4}|$
- Model dependent limit versus  $m_N$



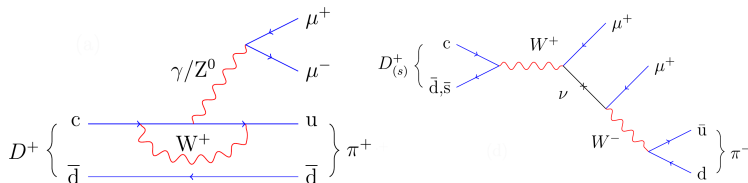
$$\mathcal{B}(B^- \rightarrow \pi^+ \mu^- \mu^-) = \frac{G_F^4 f_B^2 f_\pi^2 m_B^5}{128 \pi^2 \hbar} |V_{ub} V_{ud}|^2 \tau_B \left(1 - \frac{m_N^2}{m_B^2}\right) \frac{m_N}{\Gamma_N} |V_{\mu 4}|^4$$

Total width parametrised as:

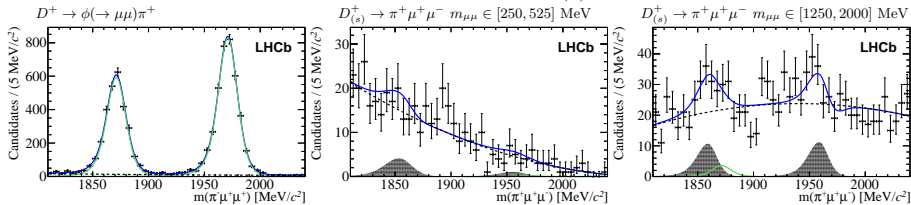
$$\Gamma_N = f(m_N) \times |V_{\mu 4}|^2$$

# Search for Majorana neutrinos at LHCb: $D_{(s)}^+ \rightarrow \pi^- \mu^+ \mu^+$

- Search for non-resonant  $D_{(s)}^+ \rightarrow \pi^+ \mu^+ \mu^-$  (FCNC)
- and LFV  $D_{(s)}^+ \rightarrow \pi^- \mu^+ \mu^+$  decays (Majorana neutrinos?)
- $\mathcal{L} = 1\text{fb}^{-1}$  at  $\sqrt{s} = 7\text{ TeV}$
- Normalise to  $D_{(s)}^+ \rightarrow \phi(\rightarrow \mu\mu)\pi^+$
- No displacement allowed in this case



# Search for Majorana neutrinos at LHCb: $D_{(s)}^+ \rightarrow \pi^- \mu^+ \mu^+$



No signal observed, limit at 90%CL:  
for FCNC:

$$\mathcal{B}(D^+ \rightarrow \pi^+\mu^+\mu^-) < 7.3 \cdot 10^{-8}$$

$$\mathcal{B}(D_s^+ \rightarrow \pi^+\mu^+\mu^-) < 4.1 \cdot 10^{-7}$$

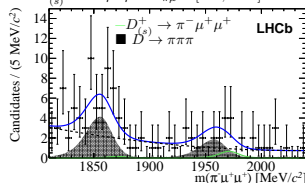
for LFV:

$$\mathcal{B}(D^+ \rightarrow \pi^-\mu^+\mu^+) < 2.2 \cdot 10^{-8}$$

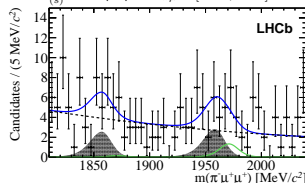
$$\mathcal{B}(D_s^+ \rightarrow \pi^-\mu^+\mu^+) < 1.1 \cdot 10^{-8}$$

Limits for different  $m_{\pi\mu}$  also set

$D_{(s)}^+ \rightarrow \pi^-\mu^+\mu^+ m_{\pi\mu} \in [250, 1140]$  MeV



$D_{(s)}^+ \rightarrow \pi^-\mu^+\mu^+ m_{\pi\mu} \in [1140, 1340]$  MeV

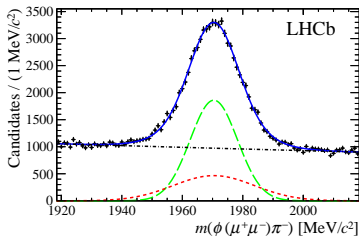


# Lepton violation in $\tau$ decays: search for $\tau^- \rightarrow \mu^- \mu^+ \mu^-$

- Large inclusive  $\tau$  production ( $\sigma \sim 80\mu b$ ) at LHCb
- Clear signature of three muons in the final state

## Normalisation

$$\mathcal{B}(\tau^- \rightarrow \mu^- \mu^+ \mu^-) = \mathcal{B}(D_s^- \rightarrow \phi(\rightarrow \mu\mu)\pi) \times \frac{f_\tau^{D_s}}{\mathcal{B}(D_s^- \rightarrow \tau \bar{\nu}_\tau)} \times \frac{\varepsilon_{cal}}{\varepsilon_{sig}} \times \frac{N_{sig}}{N_{cal}}$$



## Background discrimination

### Three likelihoods

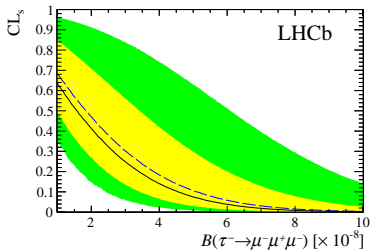
- $\mathcal{M}_{3\text{body}}$ : multivariate topological variable to reject multi-body decays and combinatorial
- $\mathcal{M}_{\text{PID}}$ : identification likelihood to reject mis-ID
- Invariant mass

## Lepton flavour violation in $\tau$ decays

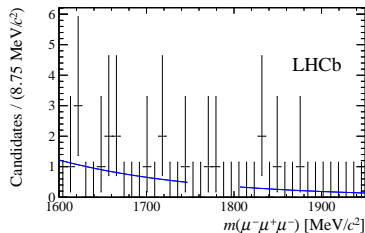
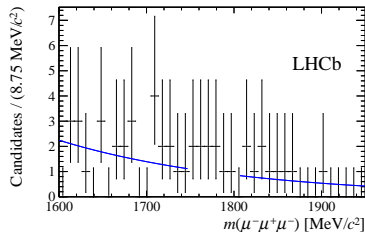
- No signal observed
- Upper limit with CLs method at the 90% CL

$$\mathcal{B}(\tau^- \rightarrow \mu^- \mu^+ \mu^-) < 4.6 \times 10^{-8}$$

- Phase space models used but efficiencies vary of 10 - 20% over the  $\mu\mu$



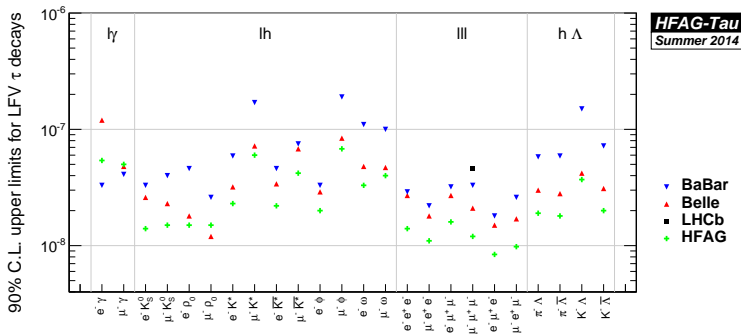
Two most sensitive bins:





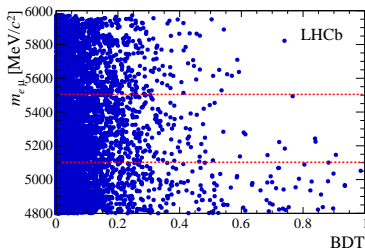
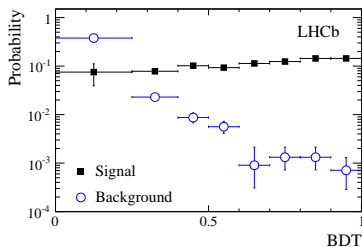
# Lepton flavour violation in $\tau$ decays

- LHCb limit still not competitive with B-factories
- Combined limit improves



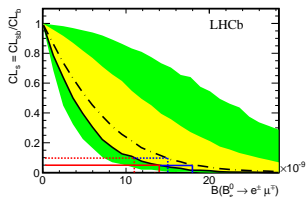
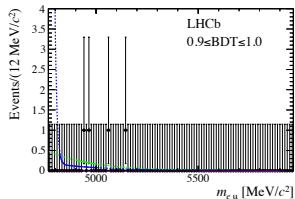
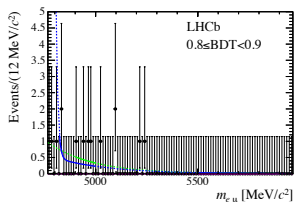
# Search for the LFV decays $B_{s,d}^0 \rightarrow e^\pm \mu^\mp$

- 1 fb<sup>-1</sup> of  $pp$  collisions at 7 TeV
- Strategy
  - ★ Search in invariant mass distribution in bins of BDT
  - ★ Combined search for  $B_s^0$  and  $B^0$
  - ★ Normalization to  $B \rightarrow K\pi$  decays
  - ★ Calibration using  $J/\psi \rightarrow \ell\ell$  decays



# Search for the LFV decays $B_{s,d}^0 \rightarrow e^\pm \mu^\mp$

- No signal excess over background is observed



- Limit with CLs method

Upper limits on the branching fractions at 90% CL:

$$\mathcal{B}(B^0 \rightarrow e^\pm \mu^\mp) < 2.8 \times 10^{-9}$$

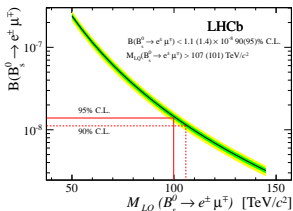
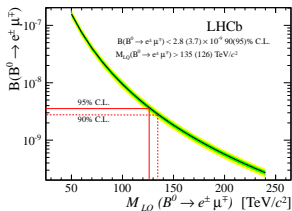
$$\mathcal{B}(B_s^0 \rightarrow e^\pm \mu^\mp) < 1.1 \times 10^{-9}$$

An improvement of a factor 20 over previous experiments

# Search for the LFV decays $B_{s,d}^0 \rightarrow e^\pm \mu^\mp$

- Limits interpreted within the Pati-Salam model:

$$\mathcal{B}(B_{(s)}^0 \rightarrow e^\pm \mu^\mp) = \pi \frac{\alpha_S^2(M_{LQ})}{M_{LQ}^4} F_{B_{(s)}}^2 m_{B_{(s)}}^3 R^2 \frac{\tau_{B_{(s)}}}{\hbar}$$



Limits on different generation-connecting Lepto-quarks

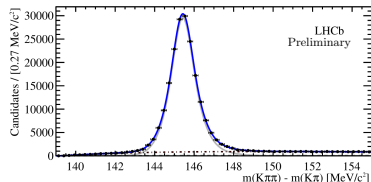
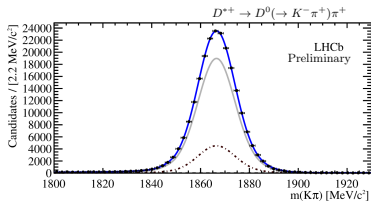
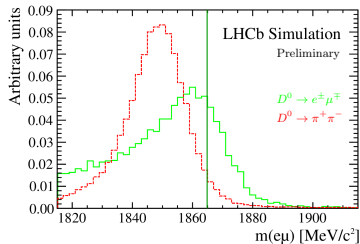
$$M_{LQ|B_s^0 \rightarrow e^\pm \mu^\mp} > 107 \text{ TeV}/c^2$$

$$M_{LQ|B^0 \rightarrow e^\pm \mu^\mp} > 135 \text{ TeV}/c^2$$

Full Run I ( $3\text{fb}^{-1}$ ) analysis on the pipeline...

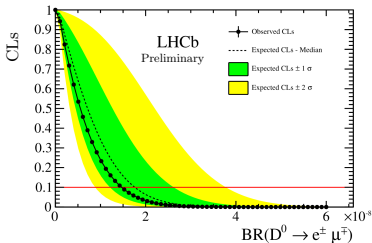
# Search for LFV $D^0 \rightarrow e^\pm \mu^\mp$ decays

- Search for tagged  $D^{*+} \rightarrow D^0(\rightarrow e^\pm \mu^\mp) \pi^+$  decays
- Full Run I statistics of  $3\text{fb}^{-1}$
- Normalized to  $D^{*+} \rightarrow D^0(\rightarrow K^- \pi^+) \pi^+$  decays
- Most dangerous background misID  $D^0 \rightarrow \pi^+ \pi^-$



# Search for LFV $D^0 \rightarrow e^\pm \mu^\mp$ decays

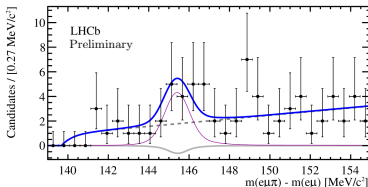
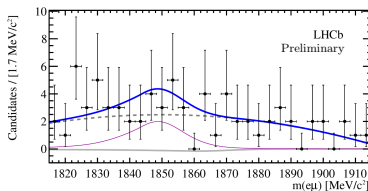
- Final search in 3 bins of MVA operator
- 2D fit to  $M$  and  $\Delta M$
- $D^0 \rightarrow \pi^+ \pi^-$  constrained in the fit



No signal observed, set world best limit of:

$$\mathcal{B}(D^0 \rightarrow e^\pm \mu^\mp) < 1.5 \times 10^{-8} \text{ at } 90\% \text{ CL}$$

improving by an order of magnitude on previous limits.

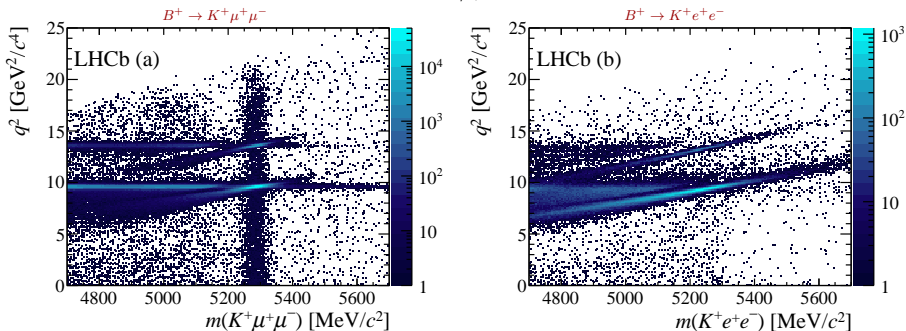


# Test of lepton universality using $B^+ \rightarrow K^+ \ell^+ \ell^-$ decays

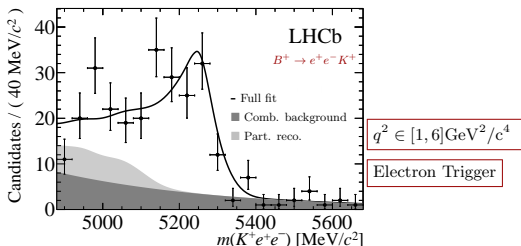
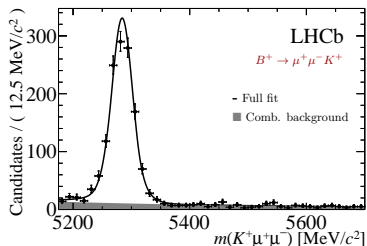
- Ratio of branching fractions of  $B^+ \rightarrow K^+ e^+ e^-$  and  $B^+ \rightarrow K^+ \mu^+ \mu^-$  sensitive to lepton universality

$$R_K = \frac{\int_{q_{min}^2}^{q_{max}^2} \frac{d\Gamma[B(B^+ \rightarrow K^+ \mu^+ \mu^-)]}{dq^2} dq^2}{\int_{q_{min}^2}^{q_{max}^2} \frac{d\Gamma[B(B^+ \rightarrow K^+ e^+ e^-)]}{dq^2} dq^2} = \left( \frac{N_{K\mu\mu}}{N_{Kee}} \right) \left( \frac{N_{J/\psi(ee)K}}{N_{J/\psi(\mu\mu)K}} \right) \left( \frac{\varepsilon_{Kee}}{\varepsilon_{K\mu\mu}} \right) \left( \frac{\varepsilon_{J/\psi(ee)K}}{\varepsilon_{J/\psi(\mu\mu)K}} \right)$$

- SM prediction is  $R_K = 1$  with an uncertainty of  $\mathcal{O}(10^{-3})$
- Measurement relative to resonant  $B^+ \rightarrow J/\psi K^+$  modes



# Test of lepton universality using $B^+ \rightarrow K^+ \ell^+ \ell^-$ decays



The combination of the various trigger channels gives:

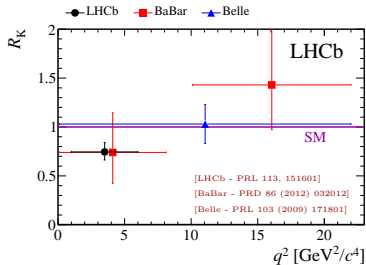
$$R_K = 0.745_{-0.074}^{+0.090}(\text{stat}) \pm 0.036(\text{syst})$$

Most precise measurement to date, compatible with SM at  $2.6\sigma$  level

The branching fraction of  $B^+ \rightarrow e^+ e^- K^+$  is measured as

$$\mathcal{B}(B^+ \rightarrow e^+ e^- K^+) = 1.56_{-0.15}^{+0.19}(\text{stat})_{-0.05}^{+0.06}(\text{syst}) \times 10^{-7}$$

well compatible with SM predictions





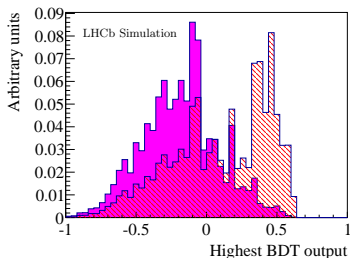
# Measurement of the Ratio of Branching Fractions

$$\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau) / \mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\mu)$$

- $\mathcal{R}(D^*)$  is sensitive to NP coupling asymmetrically to lepton generations: e.g. a charged Higgs
- BaBar have reported discrepancies on  $\mathcal{R}(D^*)$  and  $\mathcal{R}(D)$  of  $2.7\sigma$  and  $2.0\sigma$  respectively
- SM prediction is very precise  $0.252 \pm 0.003$
- First measurement of these decays at a hadron collider

## Strategy:

- $D^{*+} \rightarrow D^0(\rightarrow K^- \pi^+) \pi^+$  combined with muons
- Trigger unbiased w.r.t. muons
- Multivariate method to separate from other tracks (to suppress  $B \rightarrow D^*(n\pi)\ell$  decays)



# Measurement of the Ratio of Branching Fractions

$$\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau) / \mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\mu)$$

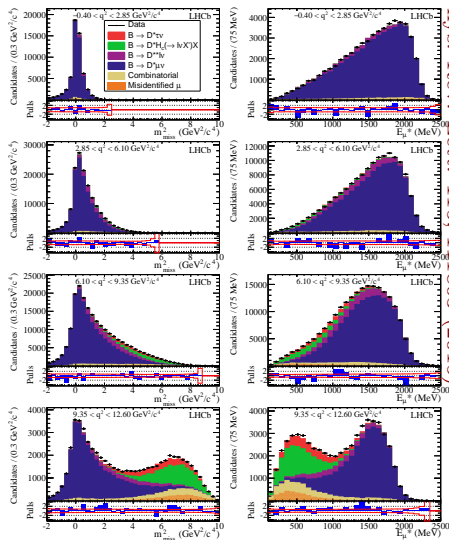
- Simultaneous templated fits in 3 variables in the B rest-frame
  - \*  $q^2 = |p_B - p_D|^2$ ,
  - \*  $m_{\text{miss}}^2 = |p_B - p_D - p_\mu|^2$
  - \*  $E_\mu^*$
- Fit also to background-enriched components to understand some of the components

- Large statistics:

$$N(\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\mu) = 363000$$

$$\frac{N(\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau)}{N(\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\mu)} = (4.54 \pm 0.46) \times 10^{-2}$$

- Form factor uncertainties folded into the fit
- Additional sources of systematics are sub-dominant



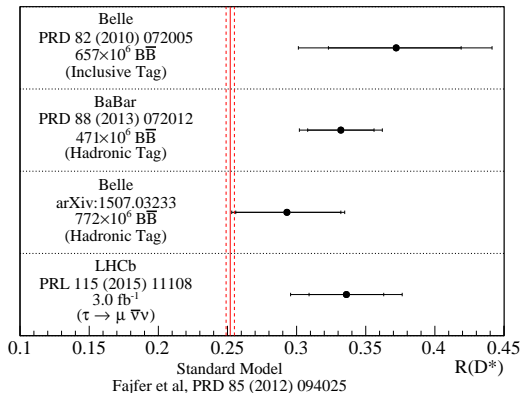
# Measurement of the Ratio of Branching Fractions

$$\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau) / \mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\mu)$$

After efficiencies correction:

$$\mathcal{R}(D^*) = 0.336 \pm 0.034$$

in agreement with previous measurements and  $2.1\sigma$  away from SM prediction of  $0.252 \pm 0.003$





## Outlook: what can LHCb still say with Run I data

- Only a selection of the LHCb analyses presented here
- Some of the presented measurements were performed on 1/3 of the statistics and are being updated with full Run I data.
- Re-optimized analysis will increase sensitivity beyond luminosity scaling
- Many additional “typical” channels are being probed on which world best limits are expected
  - \*  $B \rightarrow h e \mu$  and  $B \rightarrow h h' e \mu$
- Some more challenging possibilities are also being developed
  - \* Inclusive searches for Majorana neutrinos
  - \*  $B \rightarrow h \mu \tau$  and  $B \rightarrow h h' \mu \tau$
- Lepton Universality:
  - \*  $R_{K^*}$  and  $R_\phi$  in the pipeline



## Conclusions

- Lepton flavour conservation and universality are accidents of the SM
- Much smaller and more controlled theoretical uncertainties
- Any evidence of LFV or LFNU would point directly to new physics
- LHCb is putting tight constraints on LFV observables
- Two about  $2\sigma$  indications of LFNU are seen in  $B \rightarrow K\ell\ell$  and  $B \rightarrow D^*\ell\nu$  decays
- These can be confirmed already with other channels in Run I
- Run II already has  $0.3 \text{ fb}^{-1}$  on tape... the best is yet to come



## Additional material