

The LHCb upgrade

Burkhard Schmidt, CERN
on behalf of the LHCb Collaboration

Overview

- Introduction to LHCb
- LHCb upgrade
 - Physics Motivation
 - Trigger upgrade
 - Detector upgrade
 - Vertexing and tracking
 - Particle Identification
- Conclusions

LHCb Collaboration

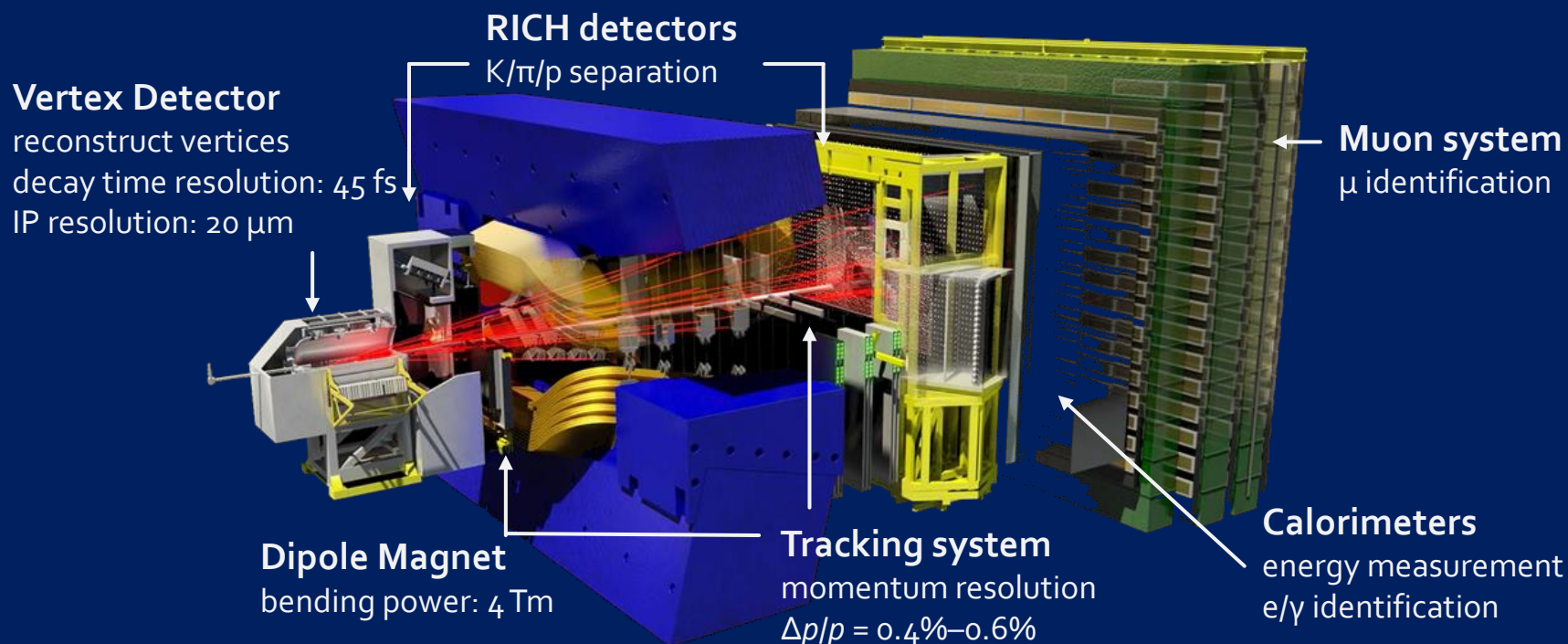


- ~900 physicists
- 64 universities
- 16 countries

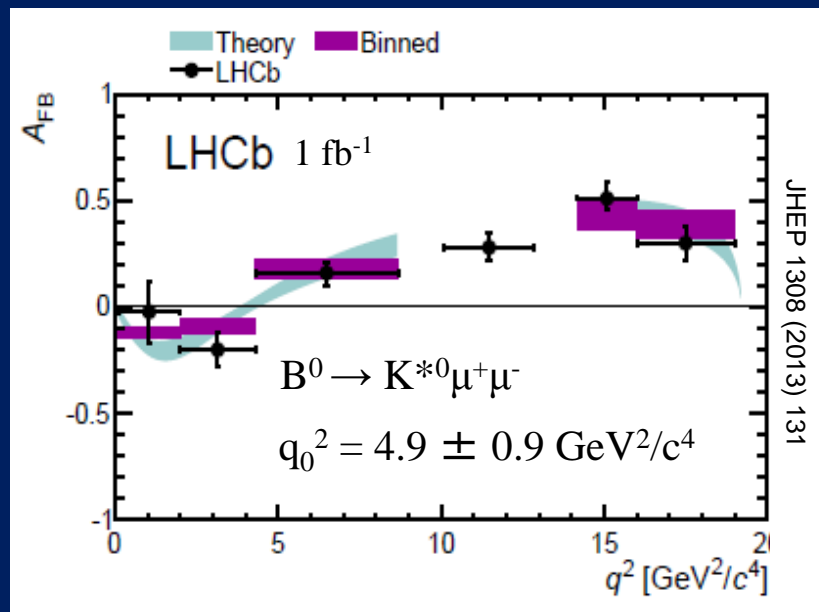
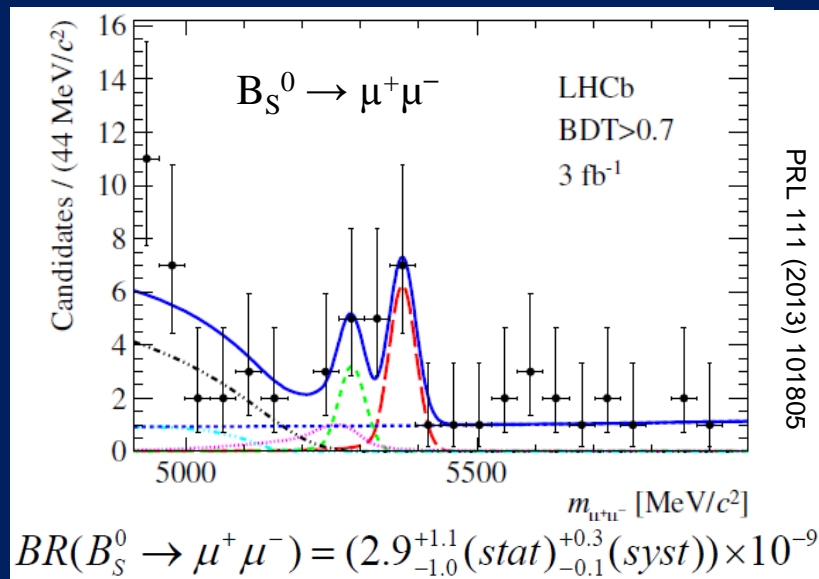
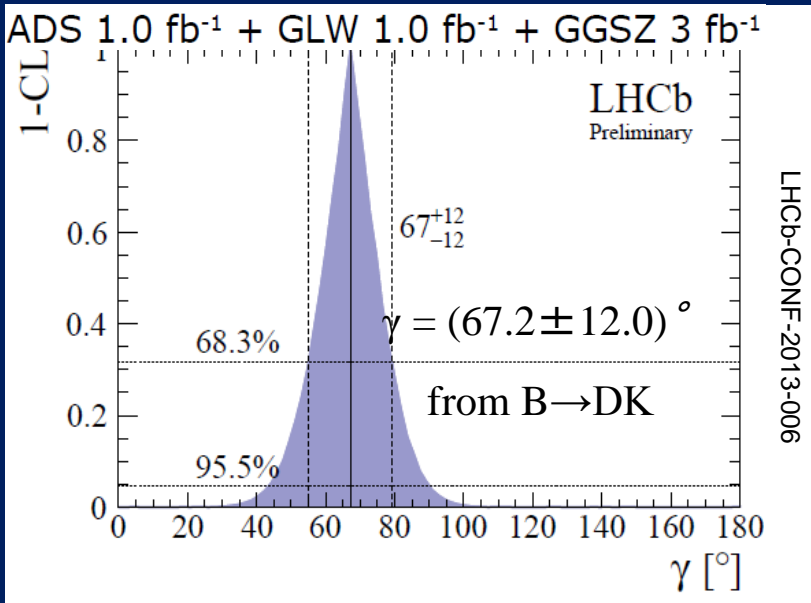
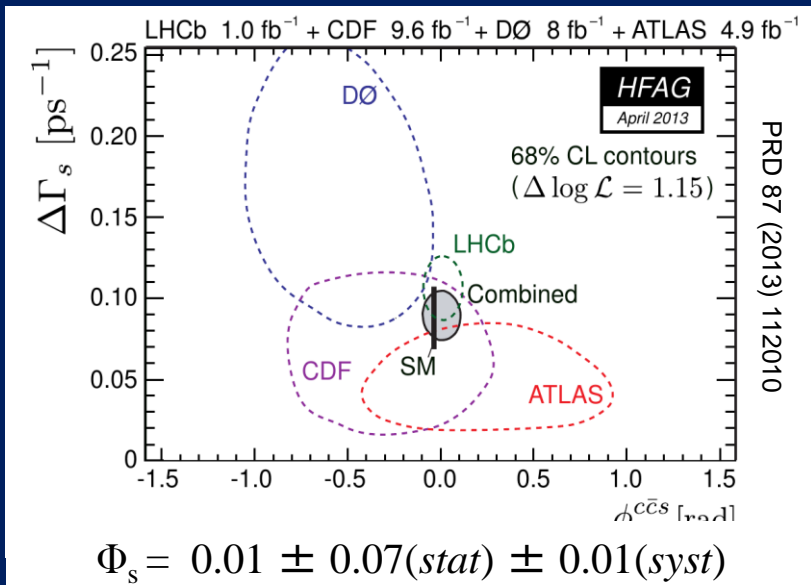
Introduction

LHCb is a high precision experiment devoted to the search for New Physics (NP) beyond the Standard Model (SM)

- Study CP violation and rare decays in the b and c-quark sectors
- Search for deviations from the SM due to virtual contributions of new heavy particles in loop diagrams
- Sensitive to new particles above the TeV scale not accessible to direct searches



Some highlights of LHCb results



Motivation for the LHCb upgrade

Present experimental status:

- flavour changing processes are consistent with the CKM mechanism
- large sources of flavour symmetry breaking are excluded at the TeV scale
- the flavour structure of NP would be very peculiar at the TeV scale (MFV)

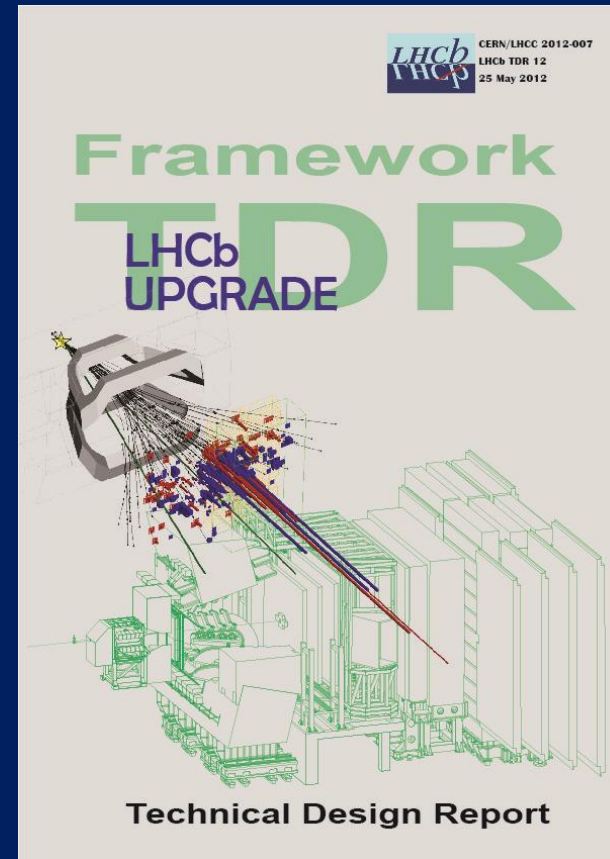
Why is the LHCb upgrade important:

- measurable deviations from the SM are still expected, but should be small
- need to go to high precision measurements to probe clean observables
- **LHCb upgrade essential to increase statistical precision significantly**
- Quark flavour physics main component, but physics program includes also:
 - Lepton flavour physics
 - Electroweak physics
 - Exotic searches, proton-ion physics
- **Reinforce LHCb as general purpose forward detector**

LHCb upgrade

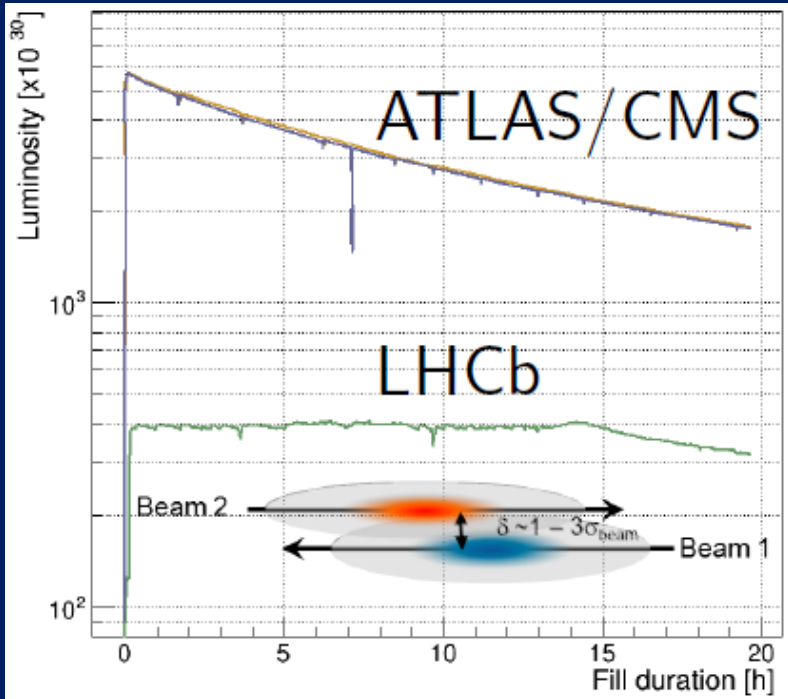
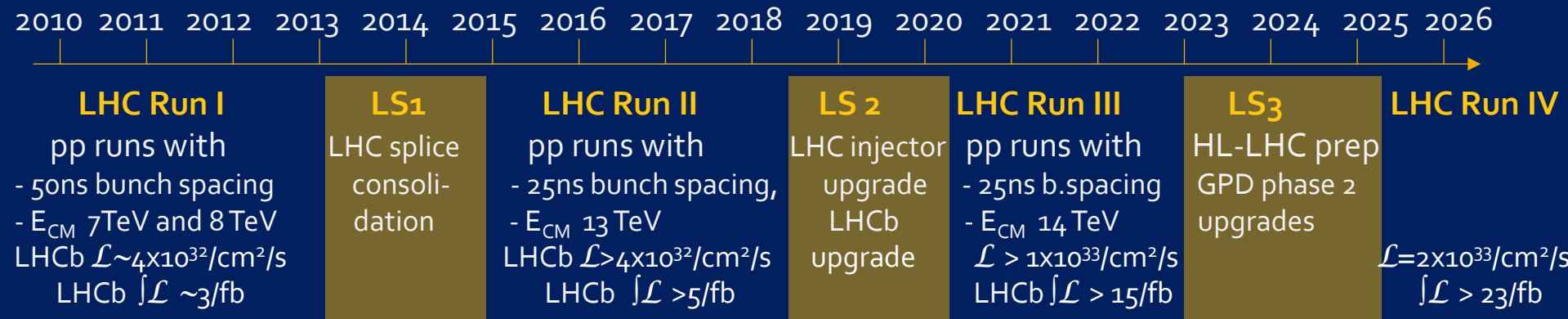


CERN-LHCC-2011-001



CERN-LHCC-2012-007

Expected luminosity evolution



LHCb up to 2018 $\rightarrow \sim 8 \text{ fb}^{-1}$:

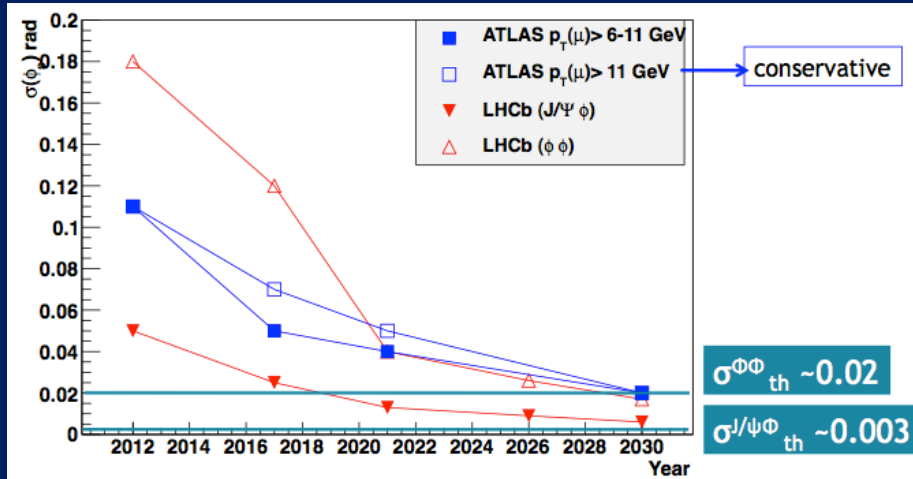
- find or rule-out large sources of flavour symmetry breaking at the TeV scale

LHCb upgrade $\rightarrow \geq 50 \text{ fb}^{-1}$:

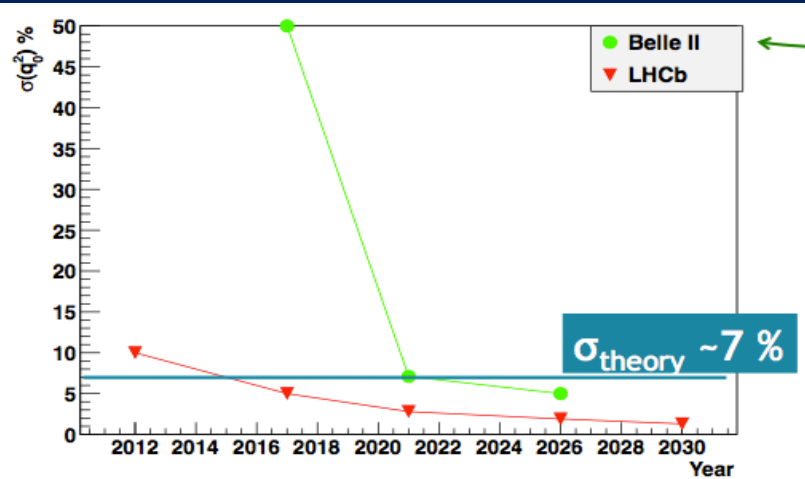
- increase precision on quark flavour physics observables
- aim at experimental sensitivities comparable to theoretical uncertainties

LHCb upgrade - expected precision

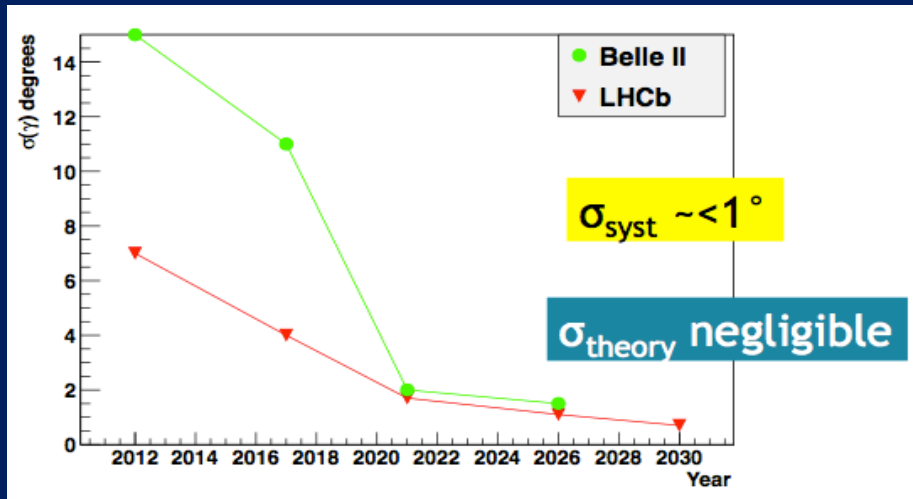
B_s mixing phase ϕ_s



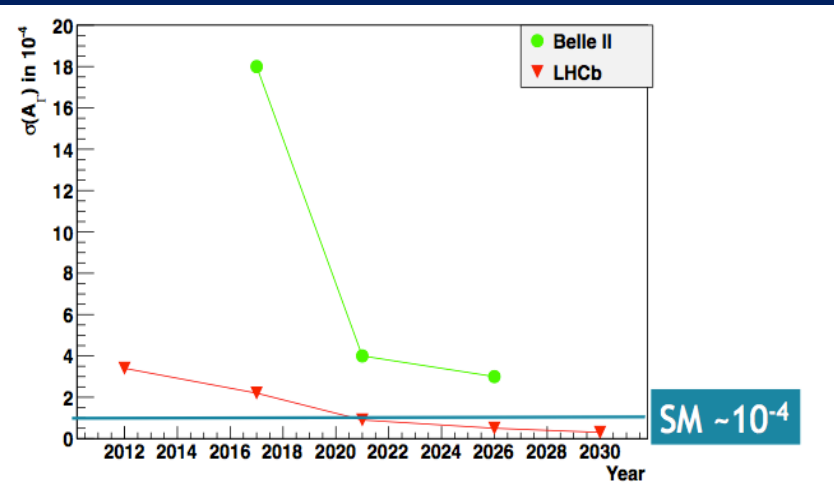
q_0 from $B \rightarrow K^* ll$



CKM angle γ from trees



A_F : CPV in charm



LHCb statistical sensitivity to flavour observables

Type	Observable	Current precision	LHCb 2018	Upgrade (50 fb ⁻¹)	Theory uncertainty
B_s^0 mixing	$2\beta_s (B_s^0 \rightarrow J/\psi \phi)$	0.10 [9]	0.025	0.008	~ 0.003
	$2\beta_s (B_s^0 \rightarrow J/\psi f_0(980))$	0.17 [10]	0.045	0.014	~ 0.01
	$A_{fs}(B_s^0)$	6.4×10^{-3} [18]	0.6×10^{-3}	0.2×10^{-3}	0.03×10^{-3}
Gluonic penguin	$2\beta_s^{\text{eff}}(B_s^0 \rightarrow \phi\phi)$	–	0.17	0.03	0.02
	$2\beta_s^{\text{eff}}(B_s^0 \rightarrow K^{*0}\bar{K}^{*0})$	–	0.13	0.02	< 0.02
	$2\beta_s^{\text{eff}}(B^0 \rightarrow \phi K_S^0)$	0.17 [18]	0.30	0.05	0.02
Right-handed currents	$2\beta_s^{\text{eff}}(B_s^0 \rightarrow \phi\gamma)$	–	0.09	0.02	< 0.01
	$\tau^{\text{eff}}(B_s^0 \rightarrow \phi\gamma)/\tau_{B_s^0}$	–	5%	1%	0.2%
Electroweak penguin	$S_3(B^0 \rightarrow K^{*0}\mu^+\mu^-; 1 < q^2 < 6 \text{ GeV}^2/c^4)$	0.08 [14]	0.025	0.008	0.02
	$s_0 A_{\text{FB}}(B^0 \rightarrow K^{*0}\mu^+\mu^-)$	25% [14]	6%	2%	7%
	$A_I(K\mu^+\mu^-; 1 < q^2 < 6 \text{ GeV}^2/c^4)$	0.25 [15]	0.08	0.025	~ 0.02
	$\mathcal{B}(B^+ \rightarrow \pi^+\mu^+\mu^-)/\mathcal{B}(B^+ \rightarrow K^+\mu^+\mu^-)$	25% [16]	8%	2.5%	$\sim 10\%$
Higgs penguin	$\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-)$	1.5×10^{-9} [2]	0.5×10^{-9}	0.15×10^{-9}	0.3×10^{-9}
	$\mathcal{B}(B^0 \rightarrow \mu^+\mu^-)/\mathcal{B}(B_s^0 \rightarrow \mu^+\mu^-)$	–	$\sim 100\%$	$\sim 35\%$	$\sim 5\%$
Unitarity triangle angles	$\gamma (B \rightarrow D^{(*)}K^{(*)})$	$\sim 10\text{--}12^\circ$ [19, 20]	4°	0.9°	negligible
	$\gamma (B_s^0 \rightarrow D_s K)$	–	11°	2.0°	negligible
	$\beta (B^0 \rightarrow J/\psi K_S^0)$	0.8° [18]	0.6°	0.2°	negligible
Charm	A_Γ	2.3×10^{-3} [18]	0.40×10^{-3}	0.07×10^{-3}	–
CP violation	ΔA_{CP}	2.1×10^{-3} [5]	0.65×10^{-3}	0.12×10^{-3}	–

Getting close to the theoretical uncertainties

8 fb⁻¹ up to 2018

$\geq 50 \text{ fb}^{-1}$ for the upgrade

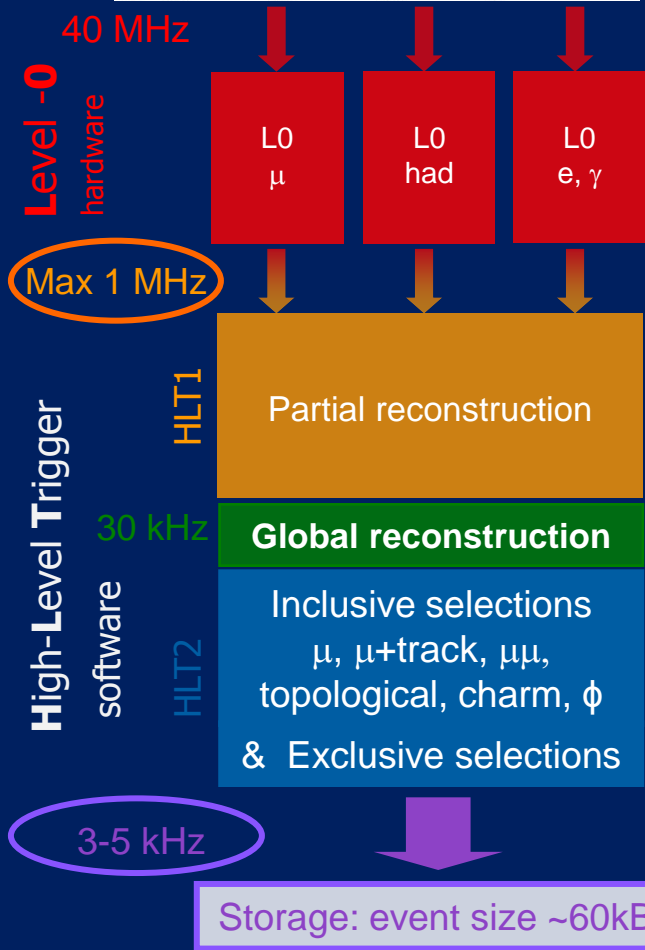
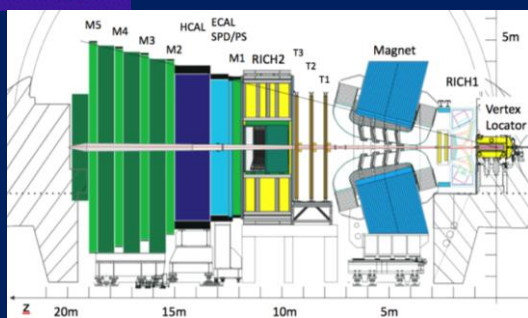
Trigger upgrade



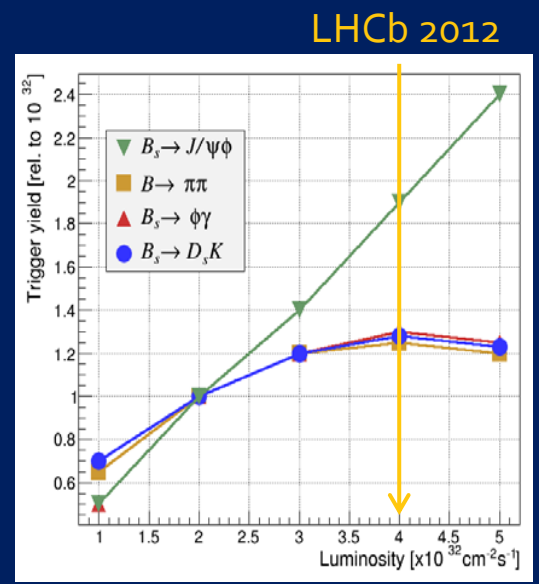
- Limitations of the present trigger
- Trigger upgrade

CERN-LHCC-2014-016

LHCb Trigger – Limitations



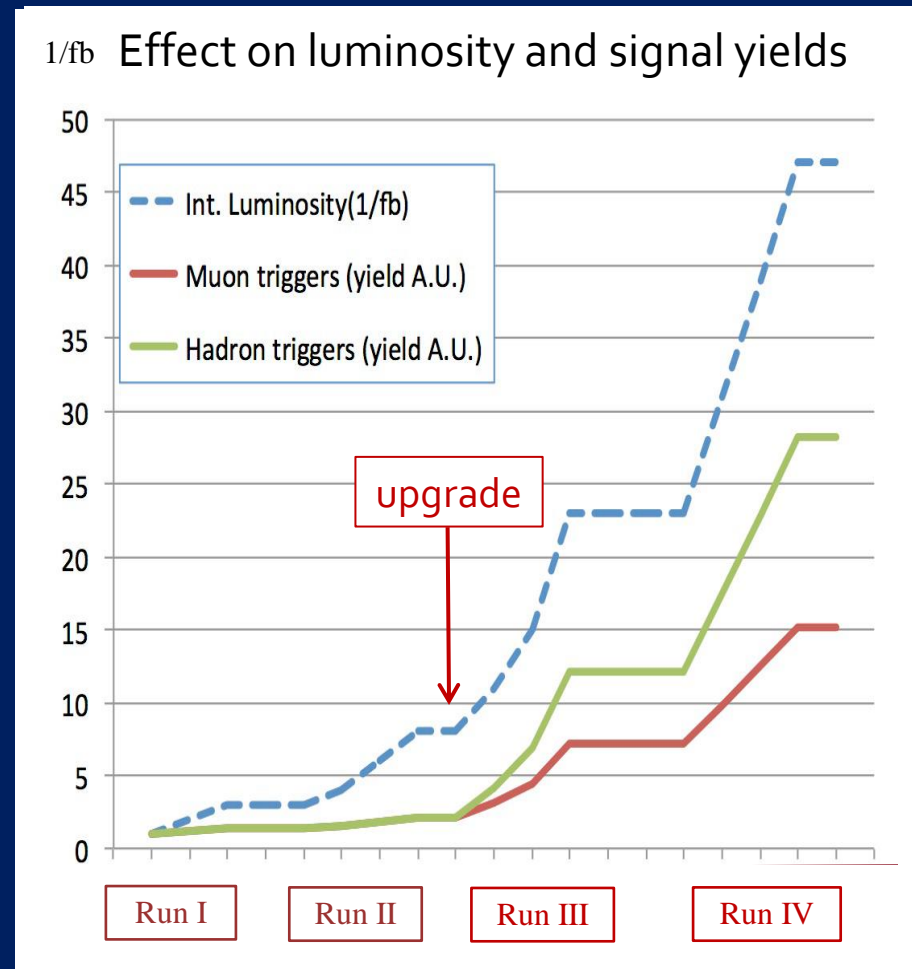
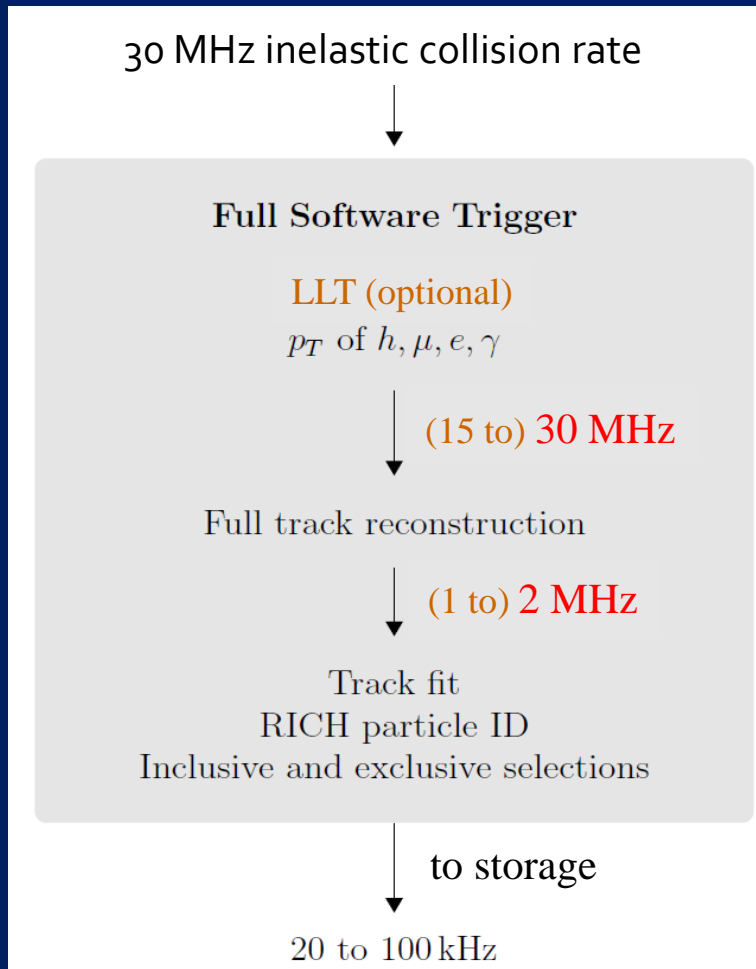
- Final states with muons
→ Linear gain
- Hadronic final states
→ Yield flattens out
→ Must raise p_T cut to stay within 1 MHz readout limit



- To profit of a luminosity of $10^{33} \text{ cm}^{-2} \text{ s}^{-1}$, information has to be introduced that is more discriminating than E_T .

Upgrade strategy:
 40MHz readout rate
 Fully software trigger
 20kHz output rate

LHCb Trigger Upgrade



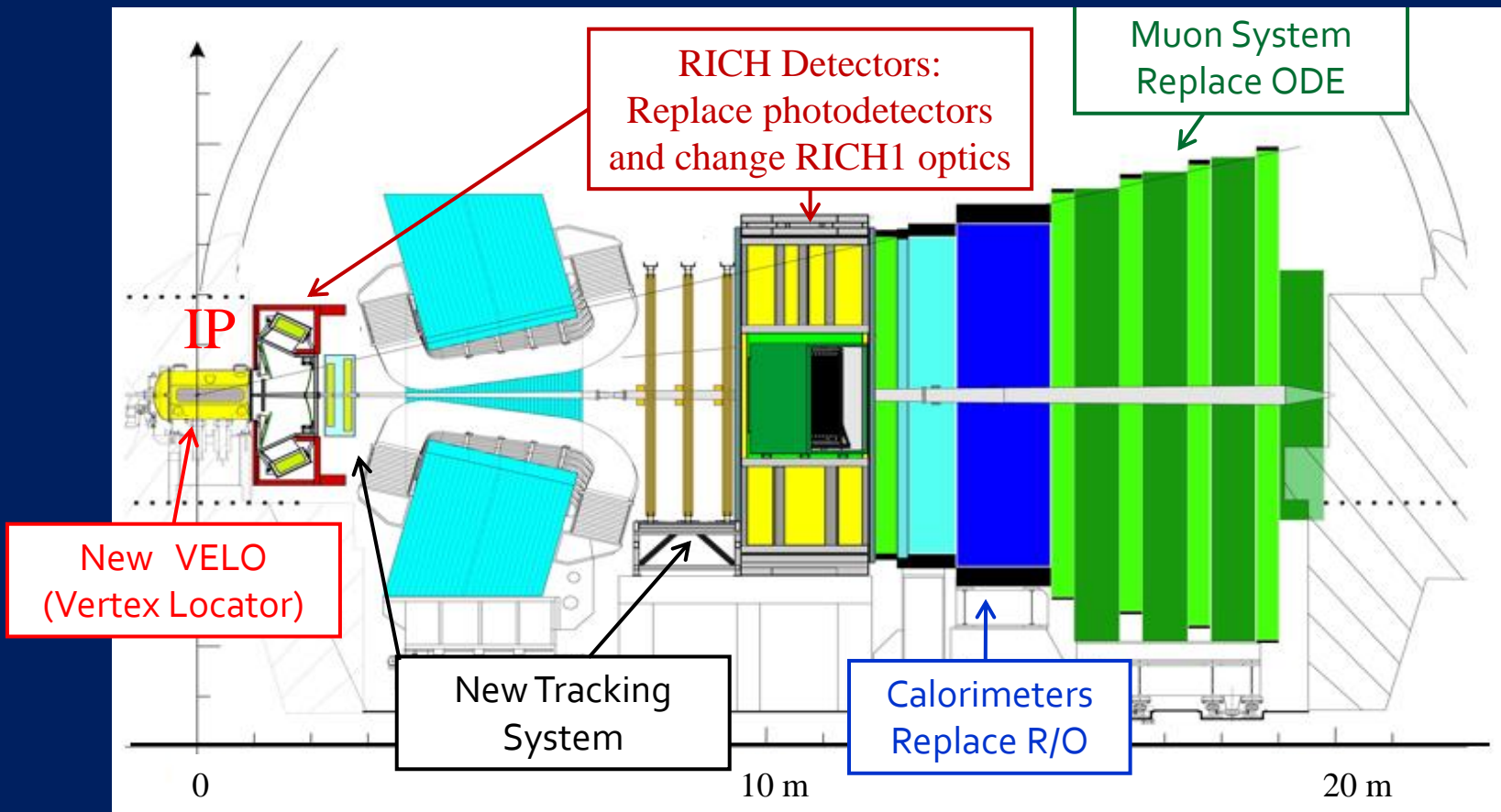
run an efficient and selective software trigger with access to the full detector information at every 25 ns bunch crossing



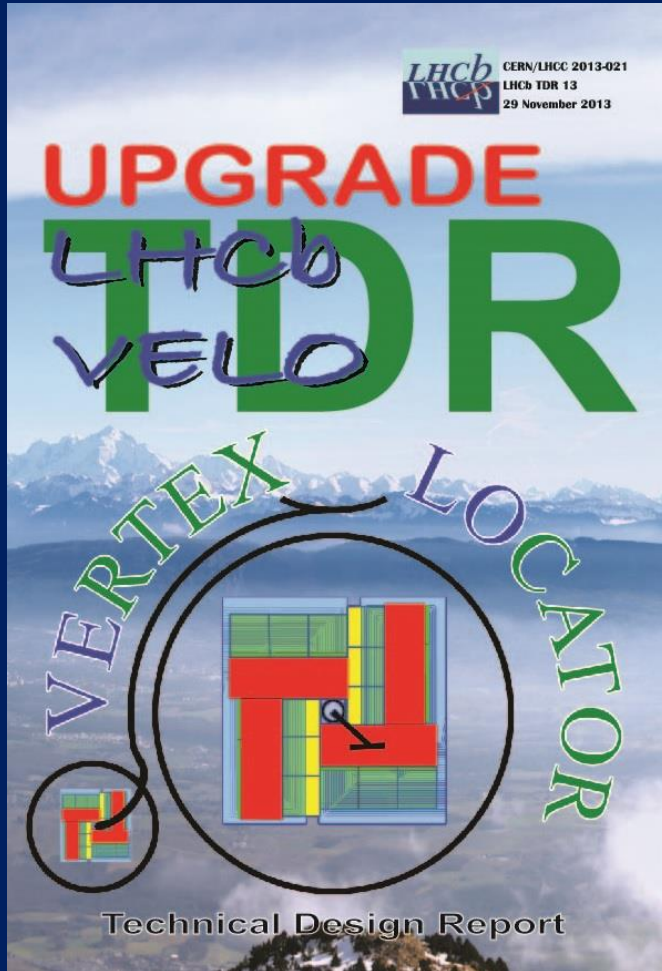
increase luminosity and signal yields

Detector upgrade to 40 MHz R/O

- upgrade ALL sub-systems to 40 MHz Front-End (FE) electronics
- replace complete sub-systems with embedded FE electronics
- adapt sub-systems to increased occupancies due to higher luminosity
- keep excellent performance of sub-systems with 5 times higher luminosity

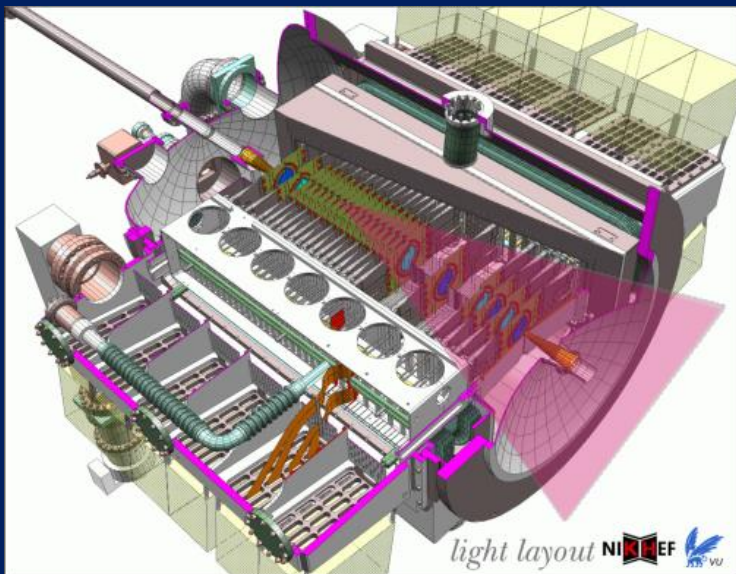


Vertex Detector Upgrade



- Present detector and its performance
- Detector upgrade
- Expected Performance

Current VErteX LOcator



Resolution of IP_x vs $1/p_T$

Two retractable halves

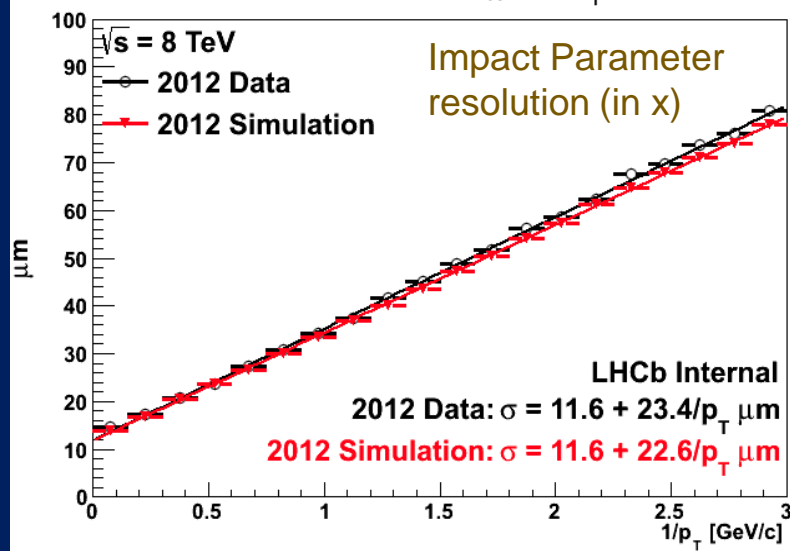
- 5.5 mm from beam when closed
- 30 mm during injection

Operates in secondary vacuum

- 300 μm Al-foils separate detector from beam

21 R/ Φ modules per half

- Silicon micro-strip sensors
- Pitch 38-101 μm



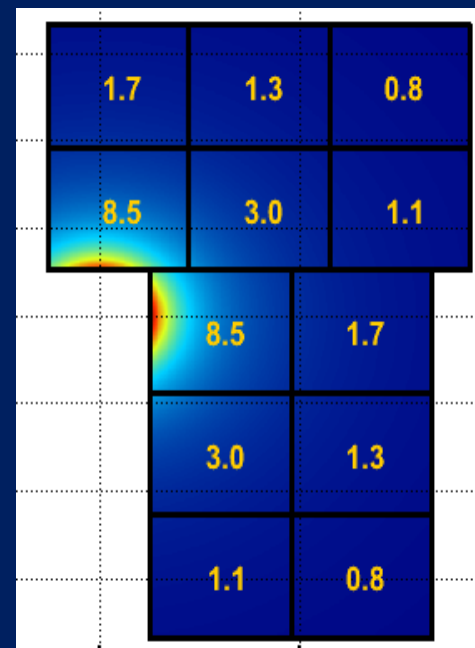
VELO upgrade

Upgrade challenge:

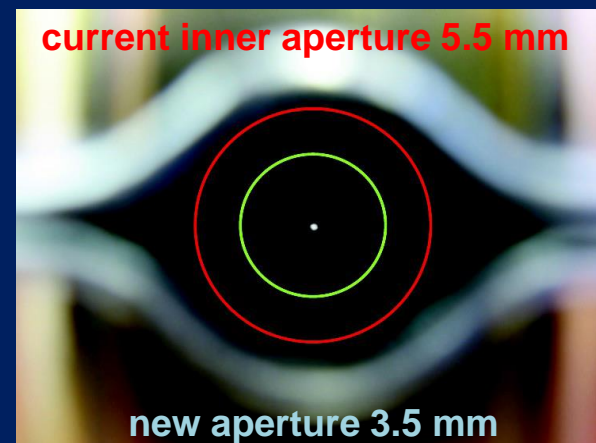
- withstand increased radiation
 - (highly non-uniform radiation of up to $8 \cdot 10^{15} n_{eq}/cm^2$ for $50 fb^{-1}$)
- handle high data volume
- keep (improve) current performance
 - lower material budget
 - enlarge acceptance

Technical choices :

- $55 \times 55 \mu m^2$ pixel sensors with micro channel CO_2 cooling
- 40 MHz VELOPIX (evolution of TIMEPIX 3, Medipix)
 - 130 nm technology to sustain ~ 400 MRad in 10 years
- replace RF-foil between detector and beam vacuum
 - reduce thickness from $300 \mu m \rightarrow \leq 250 \mu m$
- move closer to the beam
 - reduce inner aperture from $5.5 mm \rightarrow 3.5 mm$



tracks/chip/event at
 $L=2 \cdot 10^{33} cm^{-2}s^{-1}$



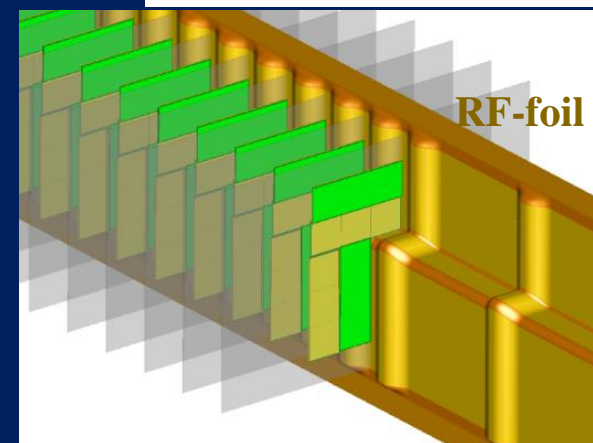
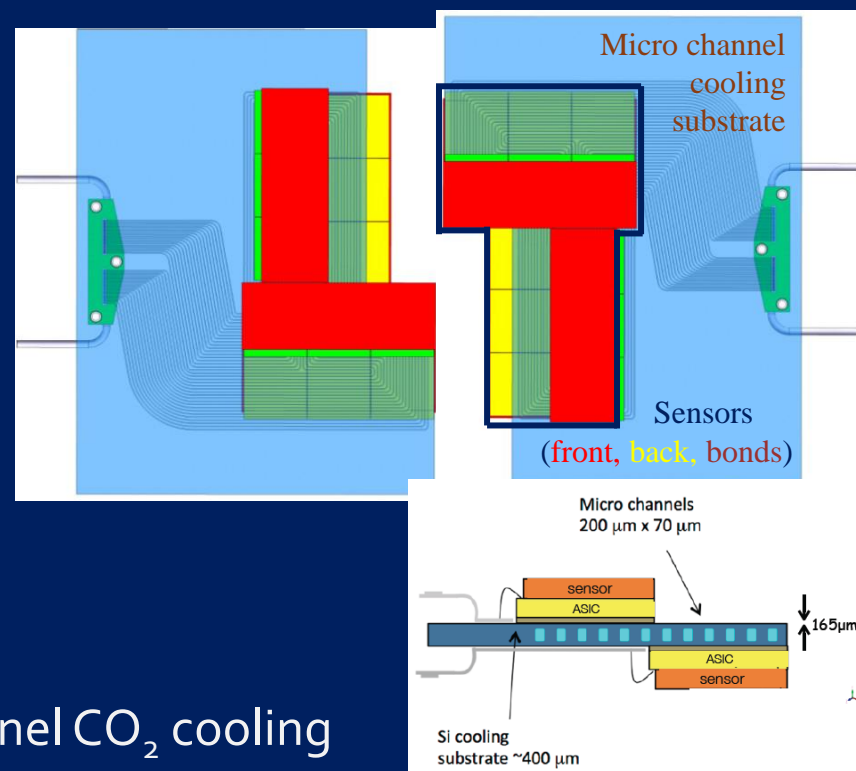
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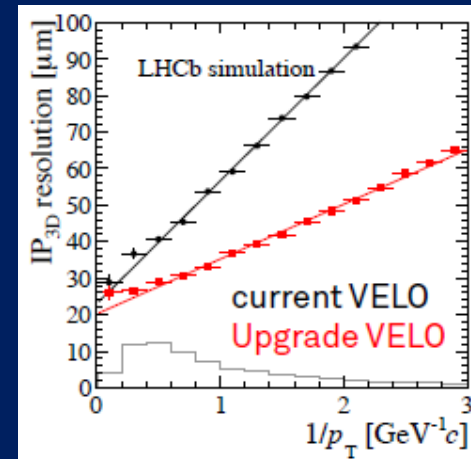
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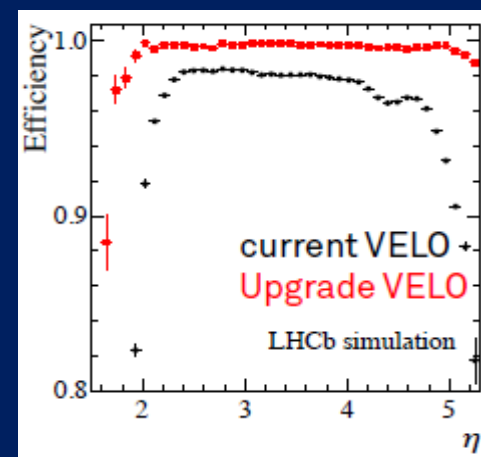
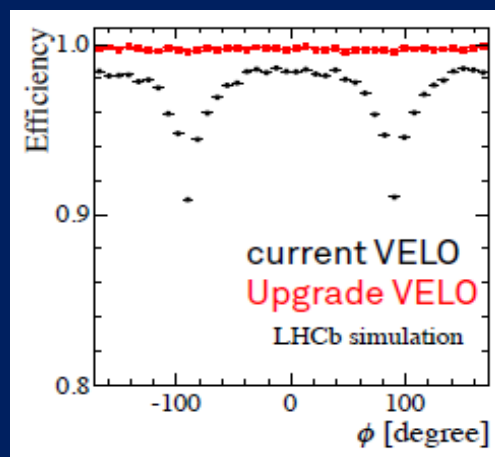
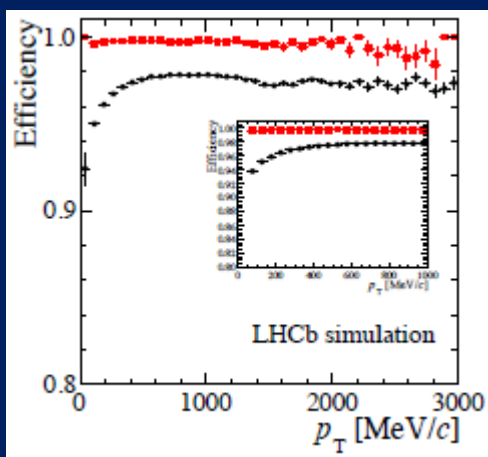


VELO upgrade performance

- better impact parameter resolution due to reduced material budget
- reduced ghost rate
- improved efficiency over p_T , ϕ , η

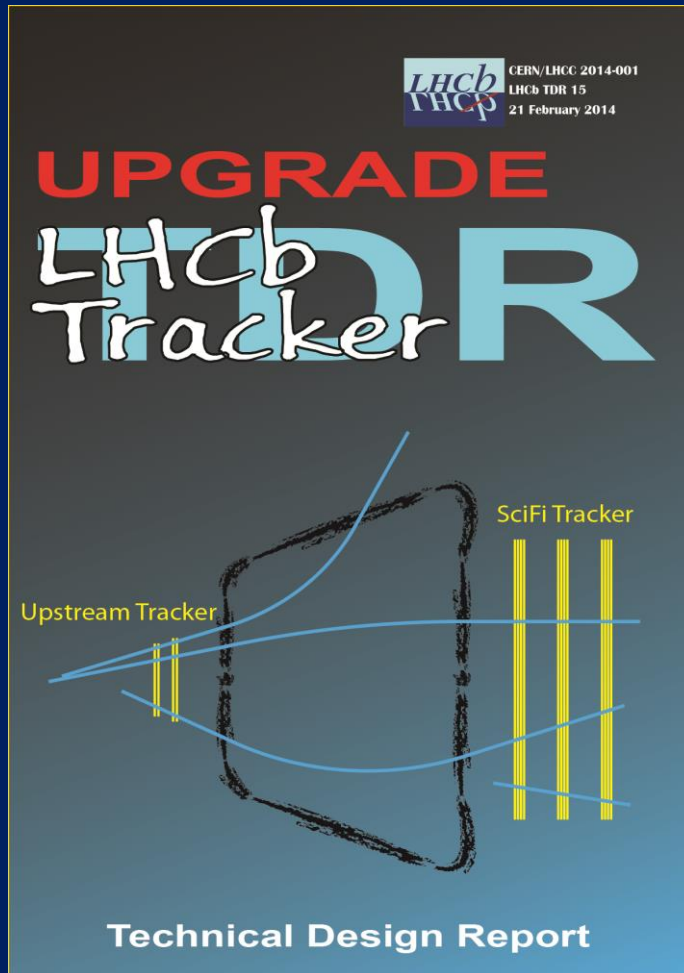


3D IP resolution at
 $L = 2 \cdot 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$



note: full GEANT Monte Carlo with standard LHCb simulation framework

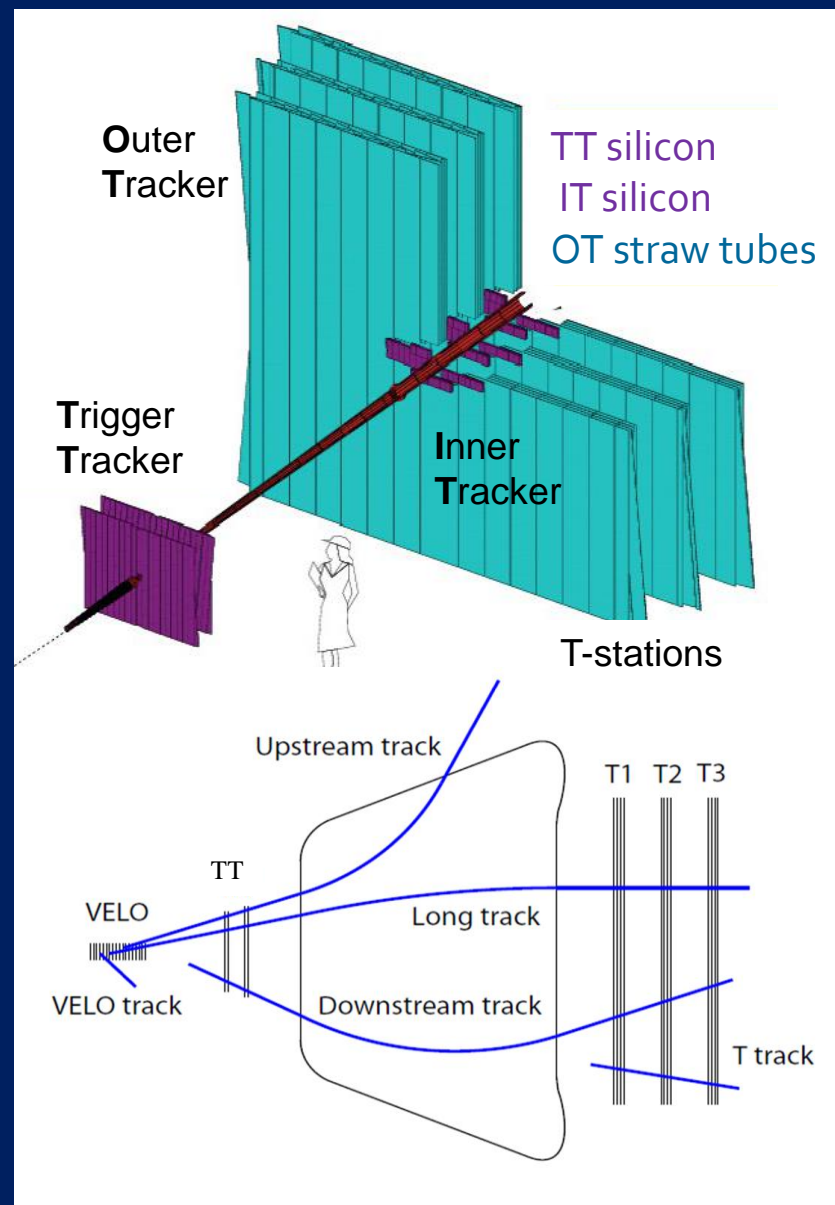
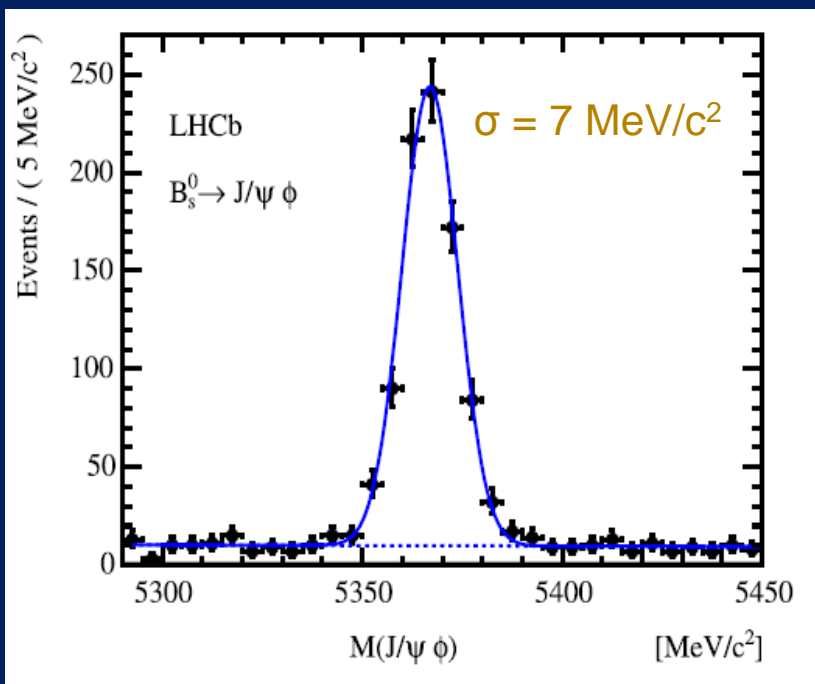
Tracker Upgrade



- Upstream Tracker
- Scintillating Fibre Tracker

Present Tracking System

- excellent mass resolution
- very low background, comparable to e^+e^- machines
- world's best mass measurements [PLB 708 (2012) 241]



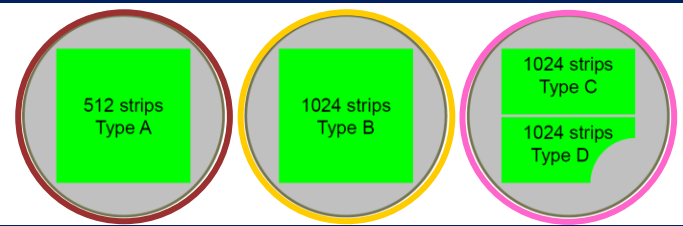
TT upgrade: Upstream Tracker (UT)

silicon strip detector

outer

middle

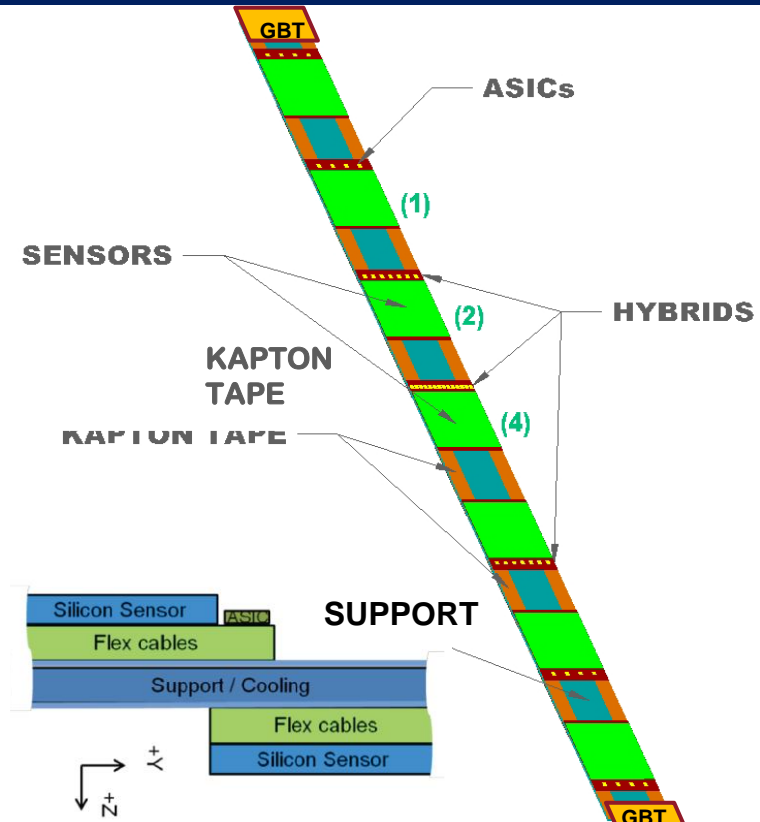
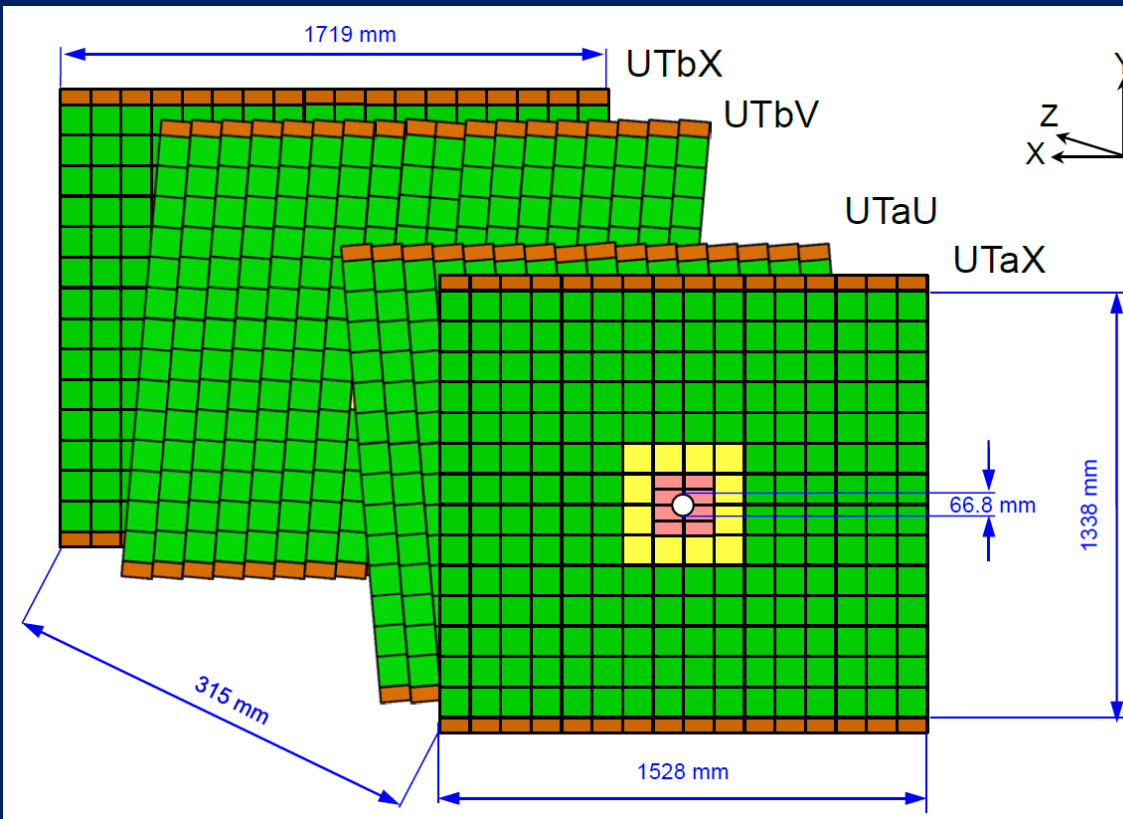
inner



adapt segmentation to varying occupancies (out \rightarrow in-side):

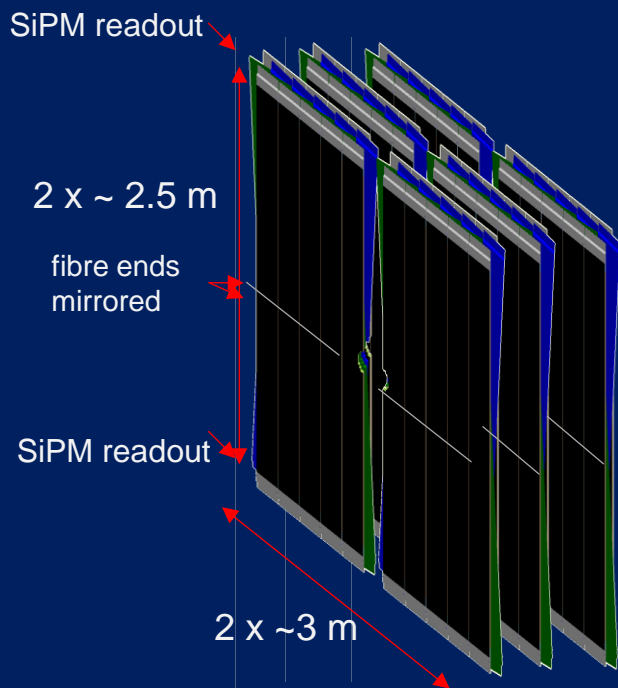
- $98 \rightarrow 49$ mm long strips
- $190 \rightarrow 95$ μ m pitch
- p^+ -in-n \rightarrow n^+ -in-p

40MHz silicon strip
R/O \rightarrow SALT chip

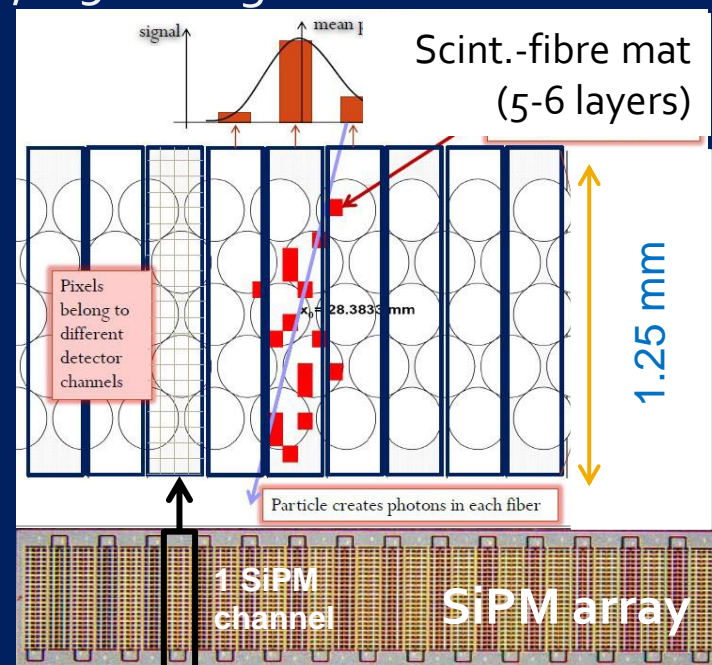


T-stations upgrade: Fibre Tracker

- 3 stations of X-U-V-X ($\pm 5^\circ$ stereo angle) scintillating fibre planes
- every plane made of 5 layers of $\varnothing=250 \mu\text{m}$ fibres, 2.5 m long
- 40 MHz readout and Silicon PMs at periphery



- R/O by dedicated 128 ch.
40 MHz PACIFIC chip
- 3 thresholds (2 bits)
 - sum threshold (FPGA)



Challenges: radiation environment

- ionization damage to fibres \rightarrow tested ok
- neutron damage to SiPM \rightarrow operate at -40°C
- large size – high precision, $O(10'000 \text{ km})$ of fibres

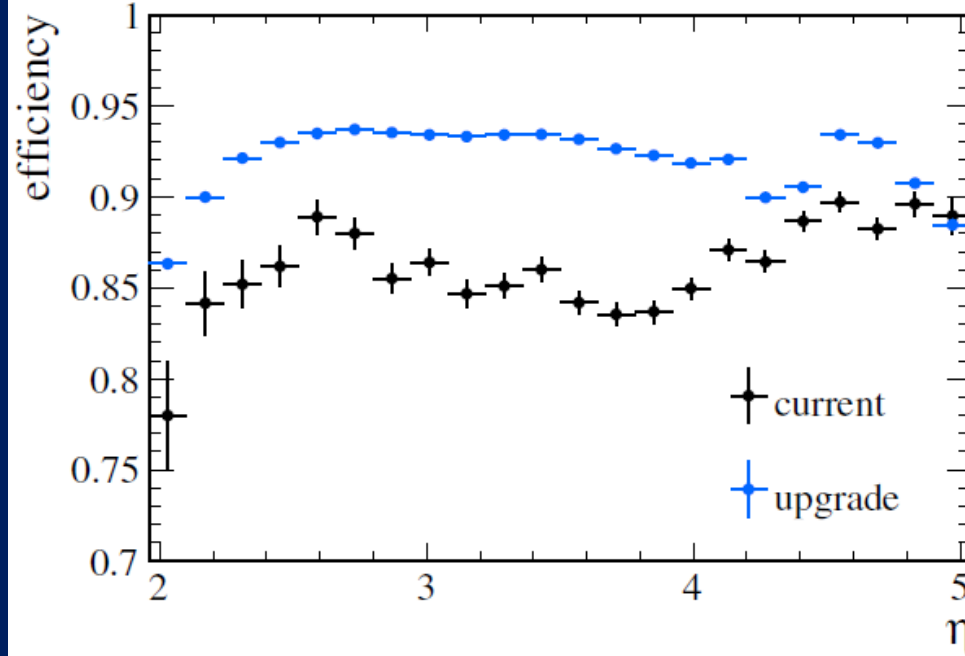
Benefits of SciFi concept:

- a single technology to operate
- uniform material budget
- SiPM + infrastructure outside accept.
- x-position resolution of $50 - 75 \mu\text{m}$
- fast pattern recognition for HLT

Tracking performance

Efficiency for $B_s \rightarrow \Phi\Phi$ events:

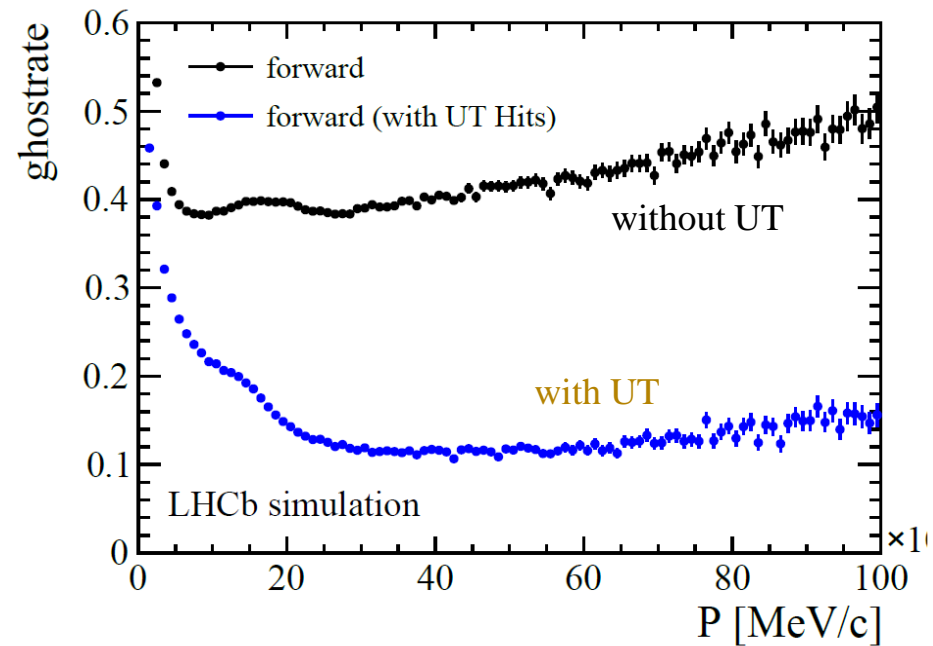
upgrade conditions,
current and upgraded T-stations



→ improve tracking performance at upgrade luminosity with Fibre Tracker

Ghost rate for $B_s \rightarrow \Phi\Phi$ events:

long tracks without UT and
with UT (≥ 3 hits)



→ reduce significantly the ghost rate using the Upstream Tracker information

Tracking algorithm for the Trigger

Expected CPU budget with upgraded Event Filter Farm:

~13 ms (10 x current CPU farm)

Performance of HLT tracking with upgraded VELO, UT and FT:

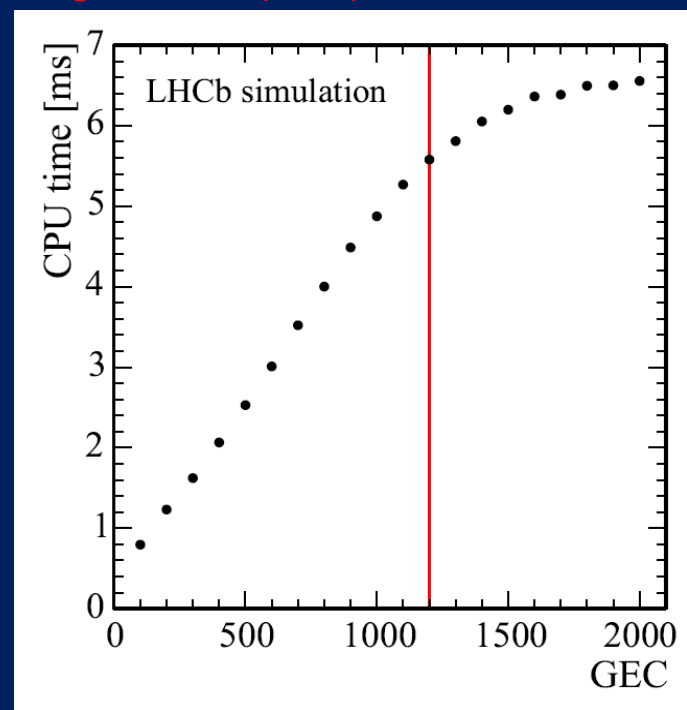
Tracking Algorithm	CPU time[ms]	
	No GEC	GEC = 1200
VELO tracking	2.3	2.0
VELO-UT tracking	1.4	1.3
Forward tracking	2.5	1.9
PV finding	0.40	0.38
Total @29 MHz		5.6
Total	6.6	5.4 ms

→ leaves ~ 6-7 ms for a trigger decision

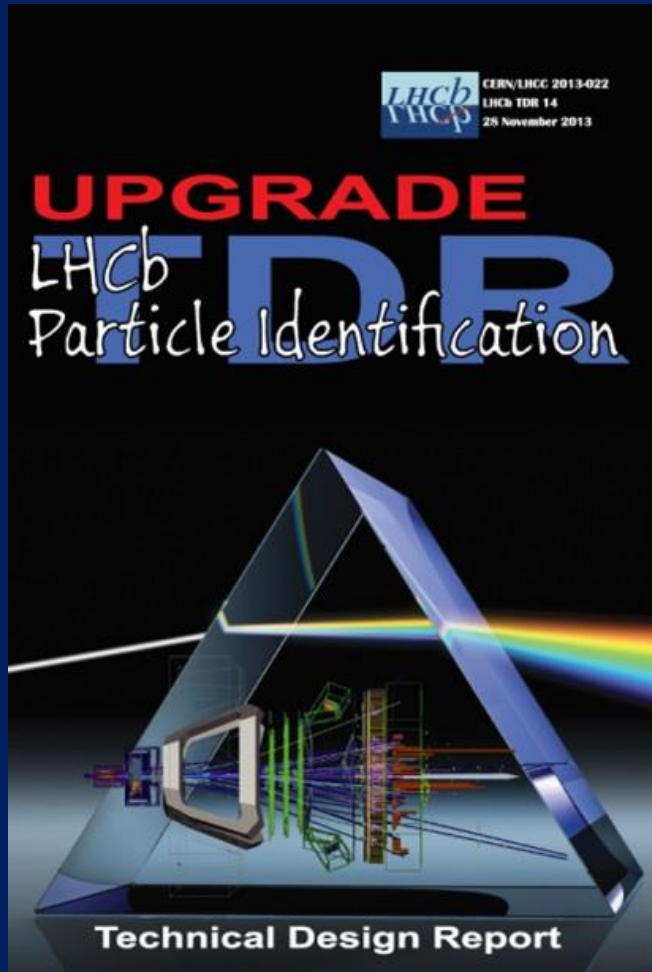
	no GEC	GEC < 1200	relative
Ghost rate	10.9%	5.9%	-
long	42.7%	42.9%	50.4%
long, from B	72.5%	72.8%	80.3%
long, $p_T > 0.5$ GeV/c	86.9%	87.4%	97.2%
long, from B , $p_T > 0.5$ GeV/c	92.3%	92.5%	98.7%

→ high efficiency (even with GEC)

GEC (Global Event Cut) → multiplicity cut to remove pathological events (e.g. hit multiplicity of sub-detector)



Particle Identification Upgrade



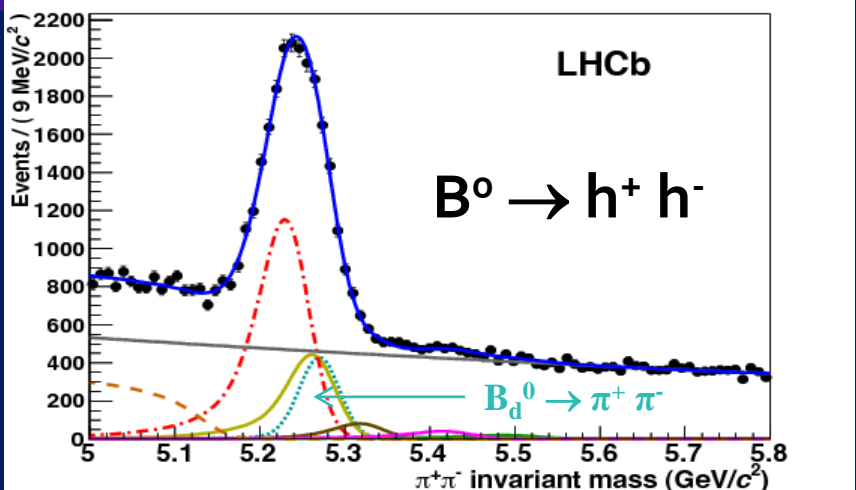
- RICH
- Calorimeter
- Muon System

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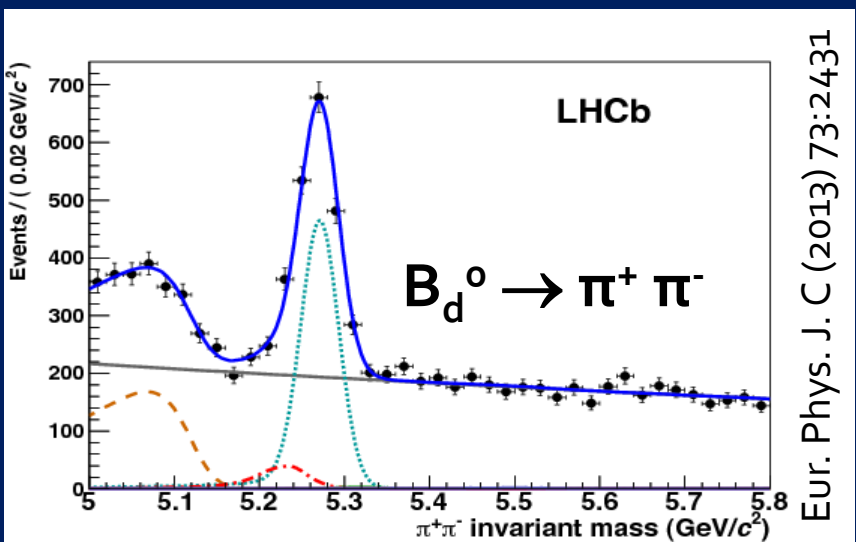
Particle ID with RICH

Efficient particle ID of π , K , p essential for selecting rare beauty and charm decays

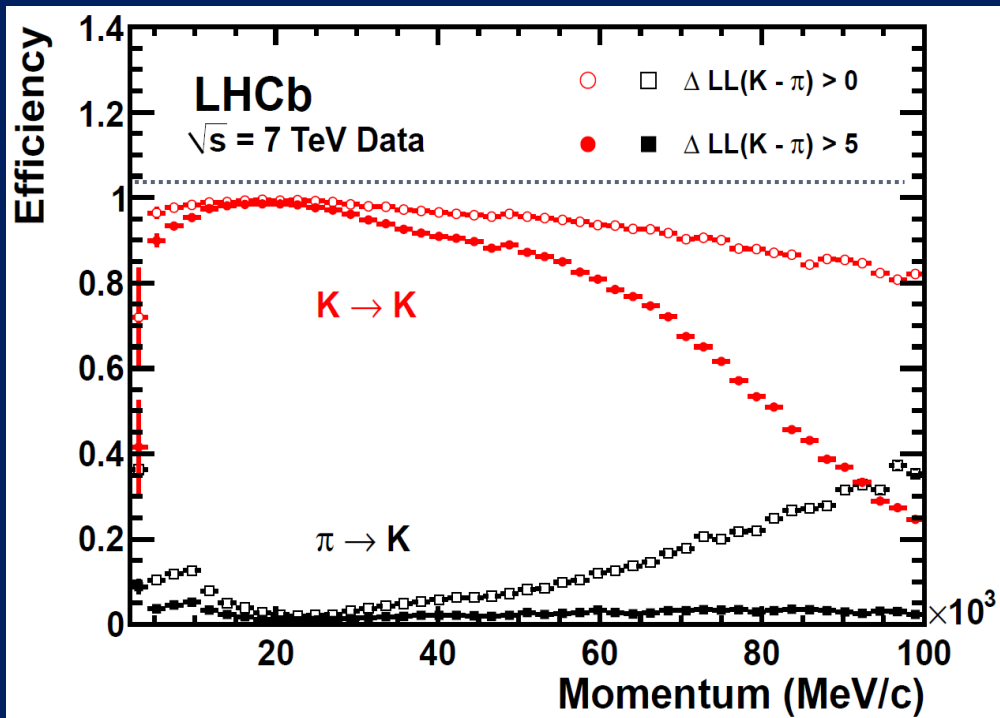
K -identification and π -misidentification efficiencies vs. particle momentum



particle identification of 2 π
 $BR(B \rightarrow \pi^+ \pi^-) = 5 \times 10^{-6} !$

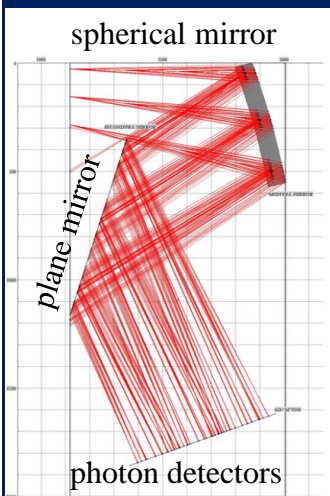
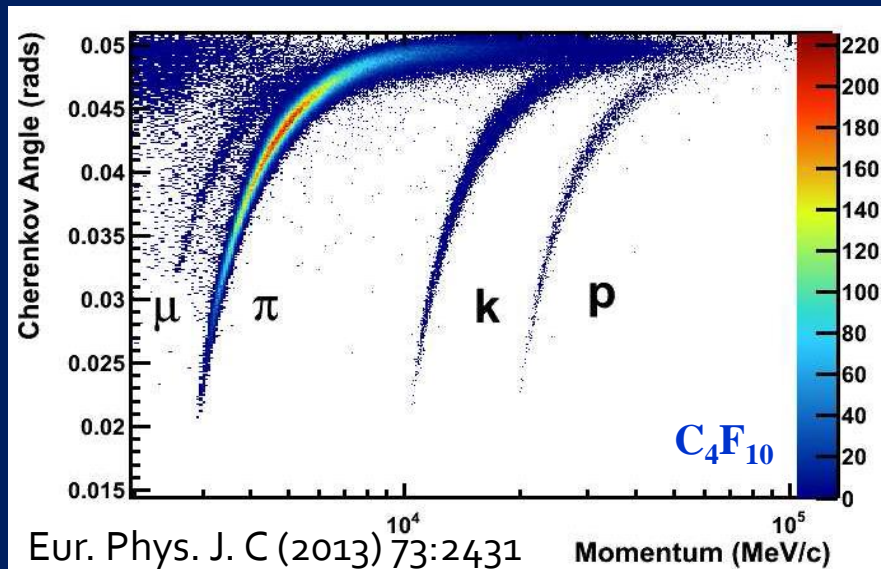
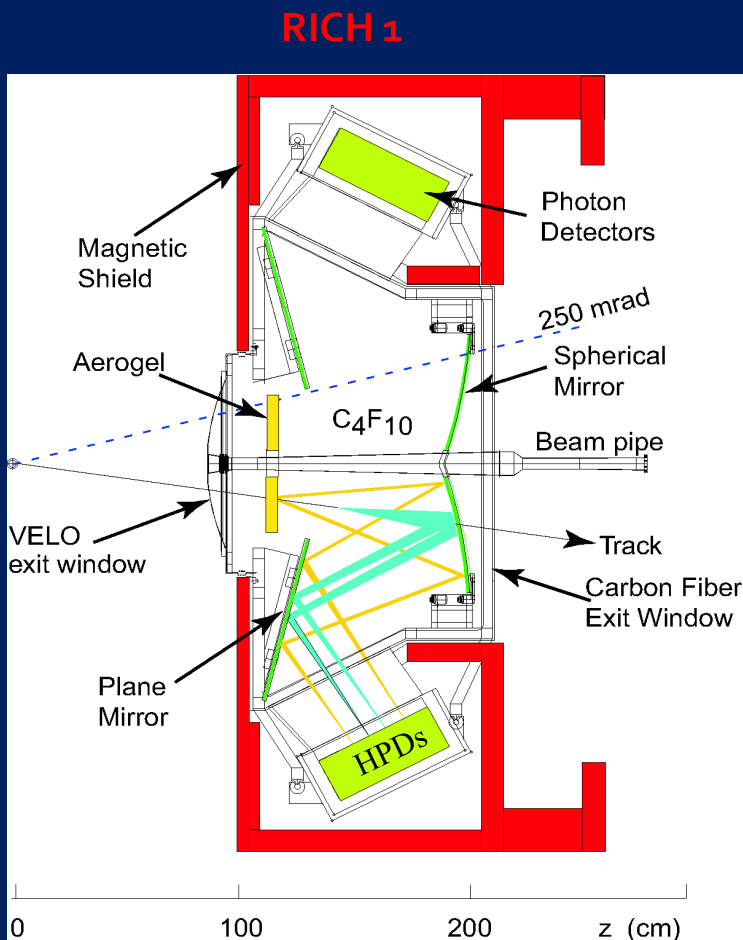


Eur. Phys. J. C (2013) 73:2431



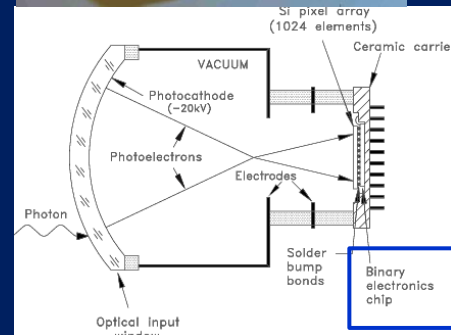
Present RICH 1

Particles traversing radiator produce Cherenkov light rings on an array of HPDs located outside the acceptance



Hybrid Photon Detector

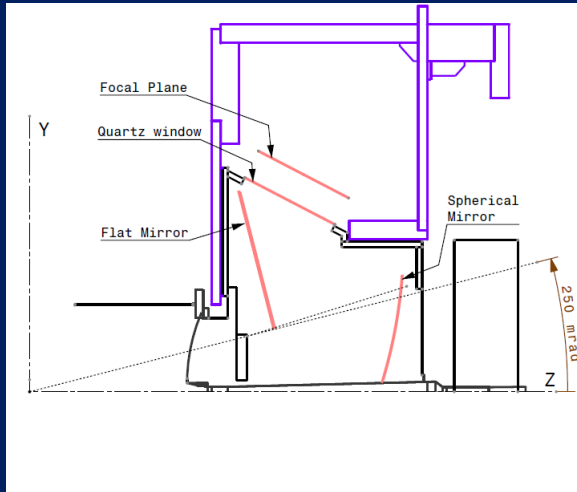
with embedded 1 MHz R/O chip



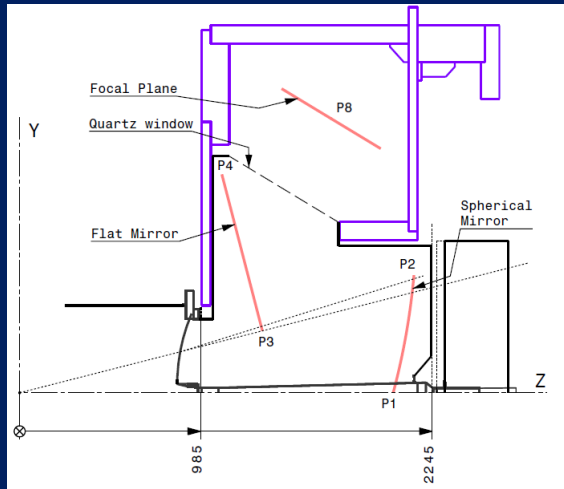
RICH upgrade

optimise RICH₁ optics

current



upgrade

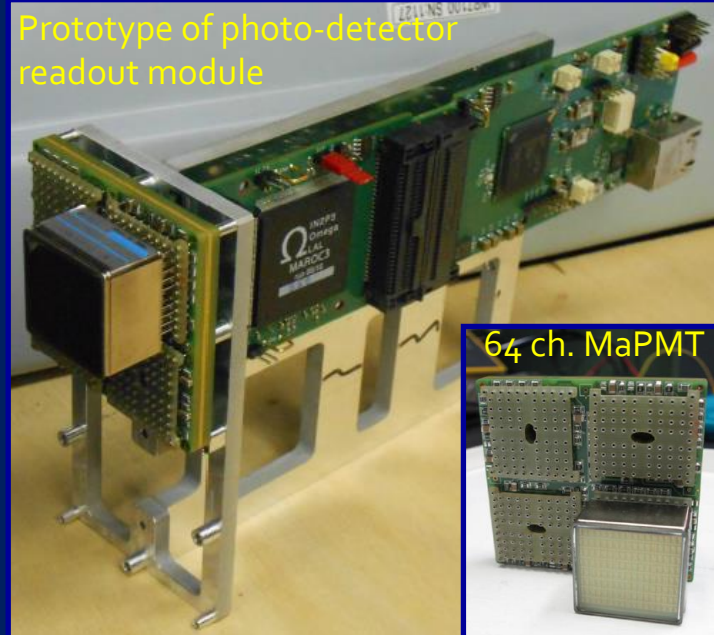


Luminosity of $2 \cdot 10^{33} \text{ cm}^{-2} \text{ s}^{-1} \rightarrow$ adapt to high occupancies

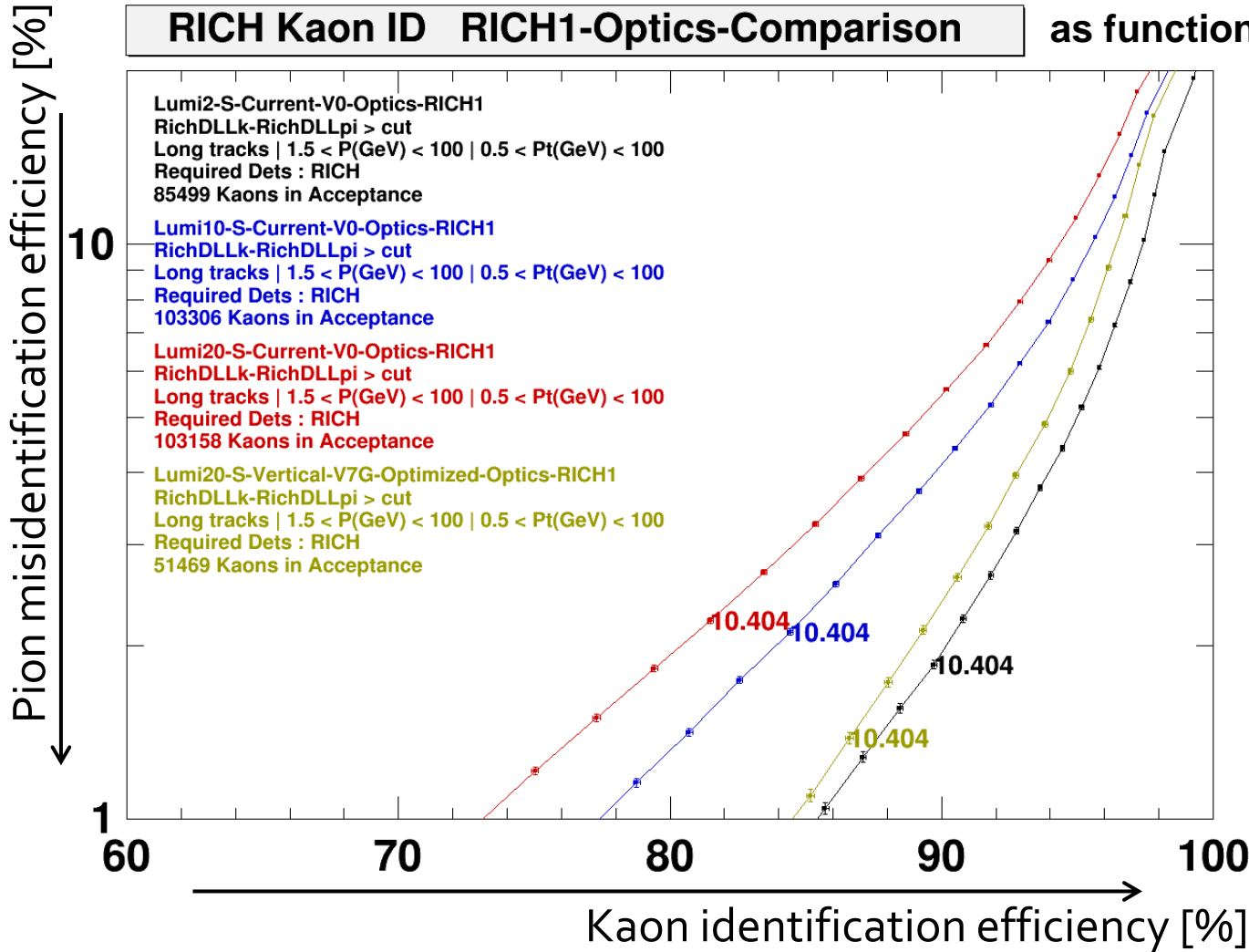
- aerogel radiator removed
- modify optics of RICH₁ to spread out Cherenkov rings (optimise gas enclosure without modifying B-shield)

40 MHz readout \rightarrow replace HPDs due to embedded FE

- 64 ch. multi-anode PMTs (baseline)
- 40 MHz Front-End: CLARO chip (or MAROC)



Performance RICH upgrade



Current RICH1

- $2 \cdot 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$
- $10 \cdot 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$
- $20 \cdot 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$

RICH1 upgrade

- $20 \cdot 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$

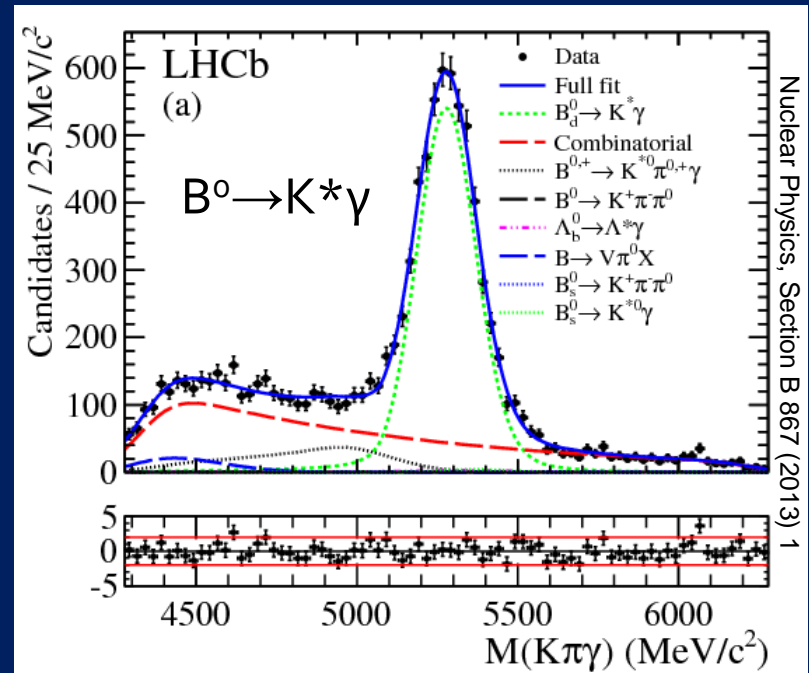
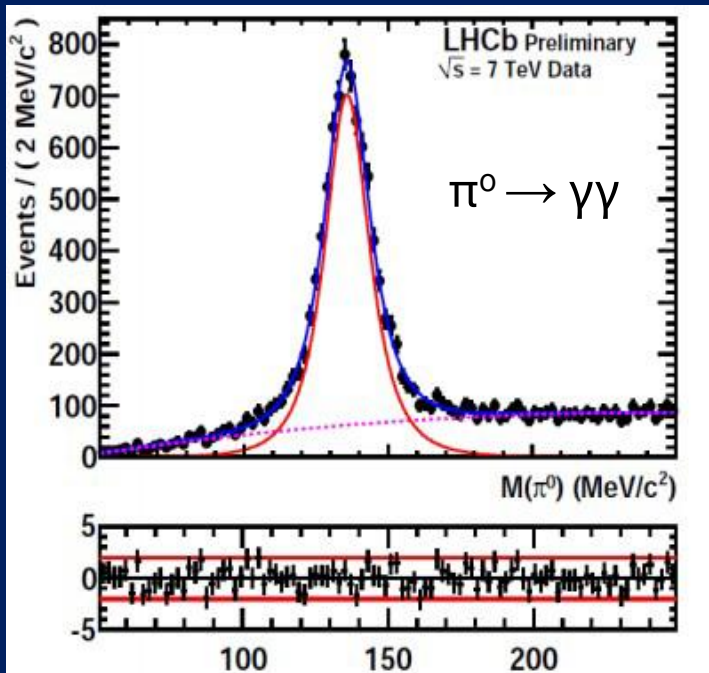
note:
full GEANT MC
with standard
LHCb simulation
framework

Particle ID with Calorimeters

- Calorimeters allow to reconstruct neutral hadrons
- They provide an E_T measurement used in the LO trigger

Typical π^0 mass resolution:
 $\sim 7\text{-}10 \text{ MeV}/c^2$ (depending on number of converted photons)

Radiative $b \rightarrow s \gamma$ transitions:
 Invariant mass resolution $\sim 94 \text{ MeV}/c^2$ dominated by ECAL energy resolution.



Calorimeter upgrade

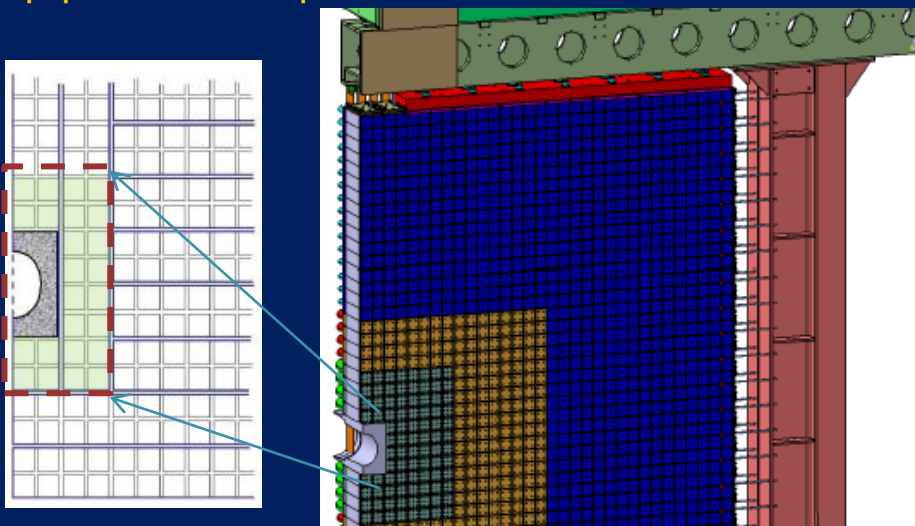
40 MHz readout electronics:

- reduce photomultiplier gain
- adopt electronics

Radiation damage on detectors:

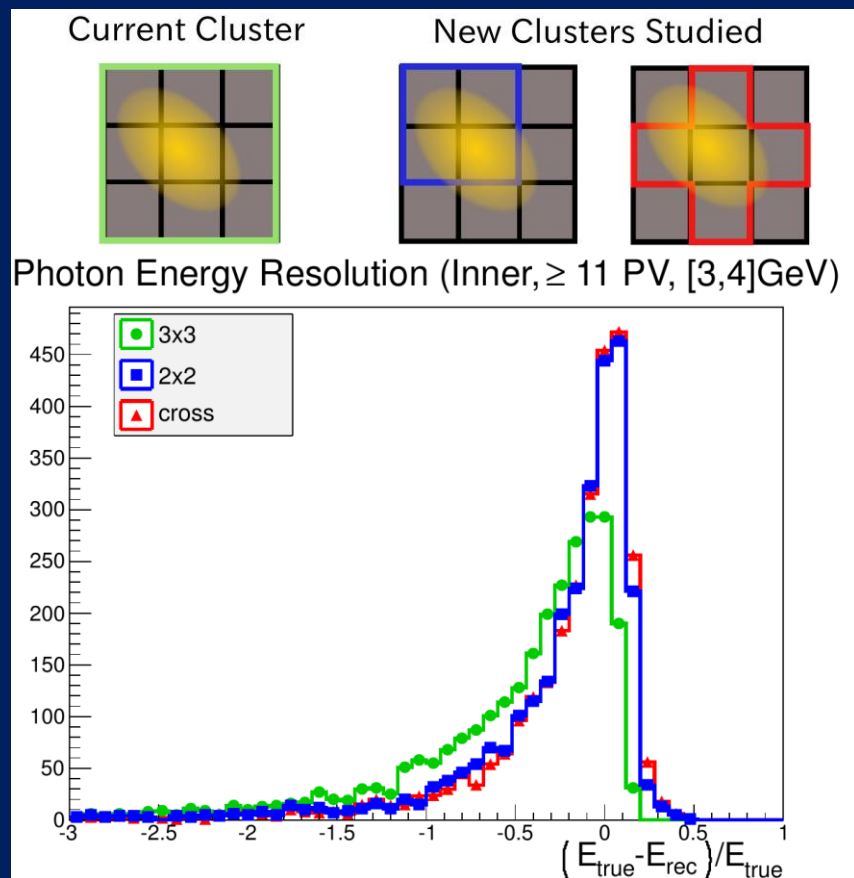
- Preshower and SPD removed
- HCAL modules ok up to $\sim 50 \text{ fb}^{-1}$
- irradiation tests show that most exposed ECAL modules resist up to $\sim 20 \text{ fb}^{-1} \rightarrow \text{LS}_3$

Innermost ECAL modules around beam-pipe can be replaced

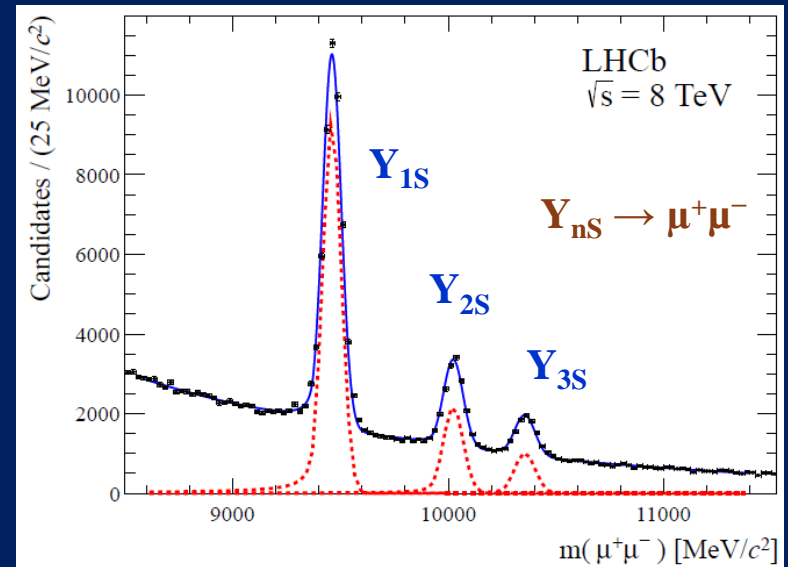
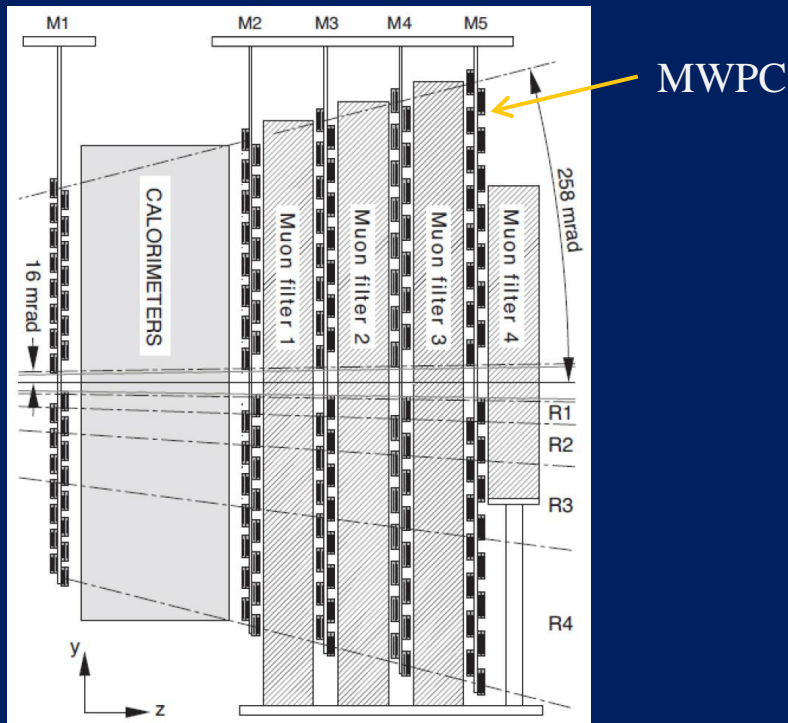


Impact of pile-up on the energy / position measurement:

Change the reconstruction and define smaller clusters



Particle ID with the Muon System



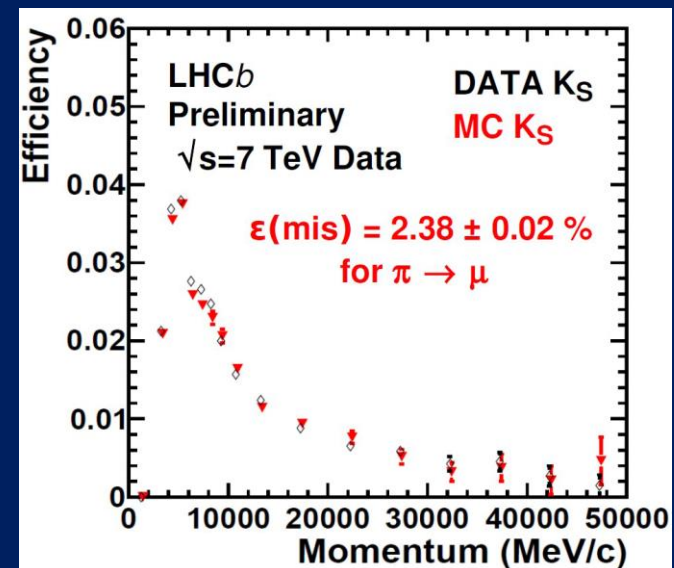
High detection efficiency: $\epsilon(\mu) = (97.3 \pm 1.2)\%$

Low misidentification rates:

$$\epsilon(p \rightarrow \mu) = (0.21 \pm 0.05)\%$$

$$\epsilon(\pi \rightarrow \mu) = (2.38 \pm 0.02)\%$$

$$\epsilon(K \rightarrow \mu) = (1.67 \pm 0.06)\%$$



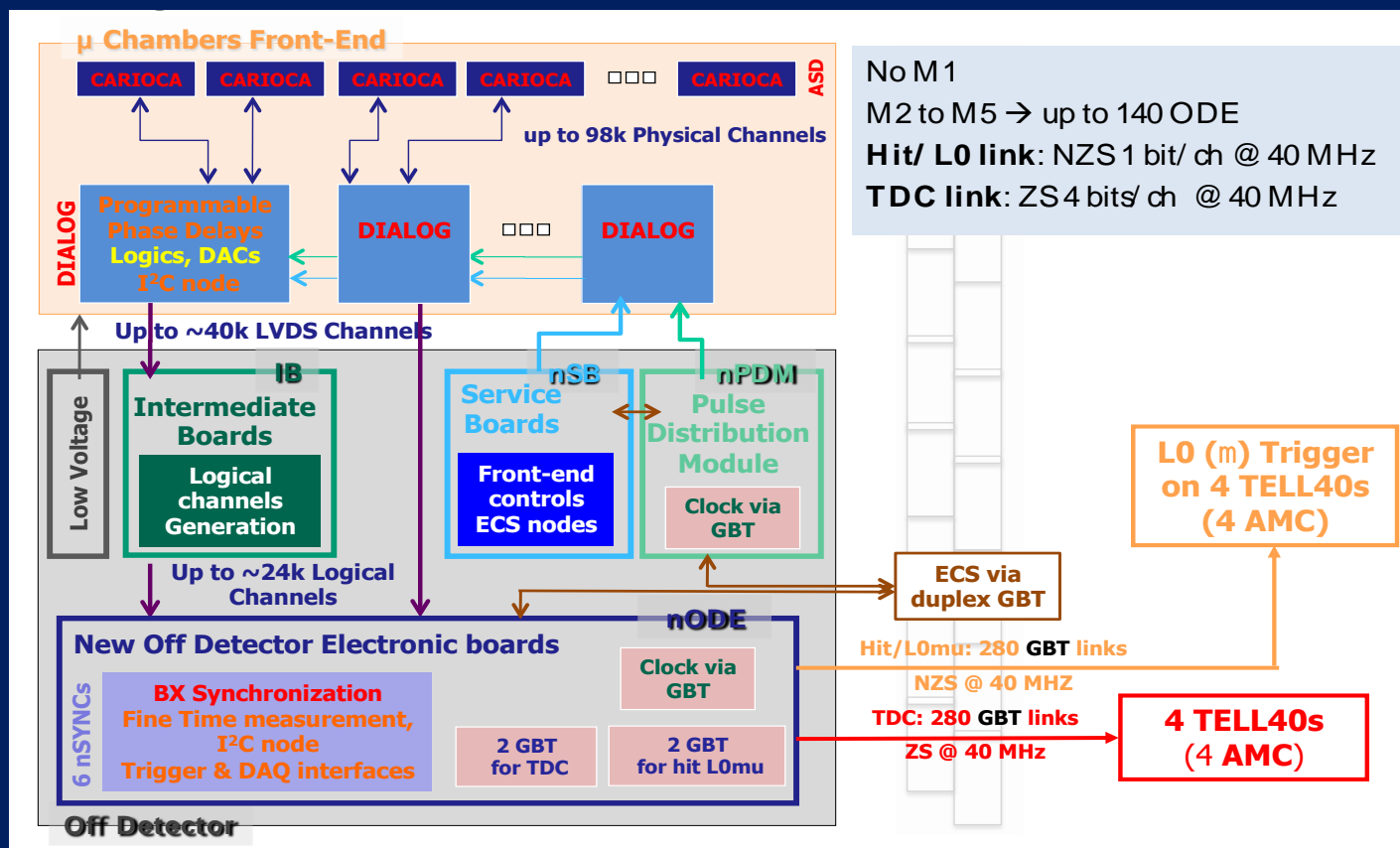
Muon System upgrade

Modifications due to higher luminosity and 40 MHz readout:

- remove M1 due to too high occupancies
- additional shielding behind HCAL to reduce the rate in the inner region of M2
- keep on-detector electronics (CARIOCA); already at 40 MHz readout
- new off-detector electronics for an efficient readout via PCIe40 R/O boards

on-detector electronics

off-detector electronics



Summary and Conclusions

- LHCb is producing world best measurements in the b- and c-quark sector due to its excellent detector performance.
 - By 2018 with $\sim 8 \text{ fb}^{-1}$ LHCb will find or rule-out large sources of flavour symmetry breaking at the TeV scale.

- The LHCb upgrade is mandatory to reach experimental precisions of the order of the theoretical uncertainties.
 - An efficient and selective software trigger with access to the full detector information at every 25 ns bunch crossing will allow to collect the necessary $\geq 50 \text{ fb}^{-1}$ within ~ 10 years.

- The LHCb upgrade is fully approved, with the last TDR under review.
 - The detector upgrade to 40 MHz readout sustaining a levelled luminosity of $2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ at 25 ns bunch spacing is under preparation, to be operational at the beginning of 2020.