# **Oxygen ordering in the HgBa**<sub>2</sub>**CaCu**<sub>2</sub>**O**<sub>6+δ</sub> **high-T**<sub>C</sub> **superconductor**

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Lattice sites and collective ordering of oxygen atoms in  $HgBa_2CaCu_2O_{6+\delta}$  were studied using the Perturbed Angular Correlation (PAC) technique at ISOLDE/CERN. The electric field gradients (EFG) at <sup>199m</sup>Hg nuclei have been measured as function of oxygen doping on the Hg planes, above and below  $T<sub>C</sub>$ . In comparison with the results obtained for oxygen and fluorine doping in Hg-1201, the analysis shows a different oxygen ordering exhibited by Hg-1212. Moreover, for all studied cases, the experimental results show that at a local scale there is non-uniform oxygen distribution.

A series of *ab-initio* EFG calculations allowed to infer that at low concentrations, regions without oxygen coexist with regions where  $O_{2\delta}$  dumbbell molecules are located at the centre of the Hg mesh. On the other side, at high concentrations,  $O_{2\delta}$  *dumbbell* molecules coexist with single  $O_{\delta}$  atoms occupying the centre of the Hg mesh.

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#### **I. INTRODUCTION**

Nowadays it is well accepted that the presence of dopants in high  $T_{\text{C}}$  superconductor (HTSC) cuprates strongly affect the behavior of these compounds causing structural and electronic inhomogeneities $1,2$ . The existence of intrinsic inhomogeneities inherent to doping has become a central question of the physics of the HTSC cuprates. In particular, how these inhomogeneities affect the superconducting transitions and the relationship between charge ordering and doping are topics under review $3-6$ .

In contrast to conventional superconductors, cuprates are randomly doped systems leading to atomic scale variations in their chemical and electronic structure. The most remarkable examples are the hole-doped cuprates where superconductivity appears when the antiferromagnetic insulating parent is doped with holes<sup>7</sup>. This mechanism is generally related to a distribution of different dopant ions inducing charge transfer to the  $CuO<sub>2</sub>$  layers. This distribution leads to a chemical and electronic local disorder, diverging from the average structure, which definitely interferes with the electronic mechanism of superconductivity in these materials $1,8$ . Local disorder has been observed experimentally using techniques such as Scanning Tunneling Microscopy<sup>1,9</sup>, Angle Resolved Photoinduced Emission Spectroscopy<sup>10</sup>, Nuclear Magnetic Resonance<sup>11,12</sup>, synchrotron radiation micro X-ray diffraction<sup>2</sup>, among others. Some of these experiments have revealed that the nanoscopic disorder is correlated with the location of interstitial oxygen dopant atoms<sup>1,8</sup> suggesting a strong interplay between the dopant atoms and the local electronic properties in these materials.

Among the cuprate superconductors, the mercury-based

family ( $HgBa_2Ca_{n-1}Cu_nO_{2n+2+\delta}$ , also denoted as Hg-12(n-1)n) is a good prototype to study the existence of disorder or inhomogeneities at the atomic scale due to their simple tetragonal structure and record  $T_{\rm C}$ 's (132 K for the 3<sup>rd</sup> member of the series<sup>13</sup>). Alike all cuprate superconductors, the Cu-O plane is the main structural and electronic unit. These planes are linked by O-Hg-O chains involving apical oxygen atoms in a stable dumbbell coordination.

Disorder at the Hg planes is reported and believed to be associated to mercury deficiency and/or to non-stoichiometric excess oxygen  $(O_{\delta})^{14,15}$ . Moreover, the doping level at the  $CuO<sub>2</sub>$  planes was found to be considerably lower than expected from the extra  $O_\delta$  content following formal ionic  $\overline{\text{const}}$  considerations<sup>16,17</sup>. These discrepancies have been attributed to the presence of single charged oxygen anions16,18 or *nonoxidizing* oxygen atoms although there is not experimental evidence for this fact<sup>19</sup>. Therefore, the role of  $O_\delta$  in the formation of charge inhomogeneities and its impact on the local electronic and structural properties is of great importance.

The purpose of this work is to contribute to a better understanding of  $O_\delta$  interaction with the host lattice at the nanoscopic scale by using a local probe nuclear technique. Previous atomic scale studies of oxygen and fluorine dopants have been performed mainly in the 1<sup>st</sup> member of this series of compounds  $(Hg-1201)^{14,20,21}$ . To achieve higher  $O_\delta$  concentrations, we have chosen the 2<sup>nd</sup> member of this series, Hg-1212. To study the site occupancy of  $O_{\delta}$  in Hg planes, the perturbed angular correlation (PAC) technique was applied in a similar way as in refs.<sup>20,21</sup>, where Hg-1201 was studied as a function of oxygen  $(O_{\delta})$  and fluorine (F<sub> $\delta$ </sub>) concentrations. This technique is very well suited to probe the Hg neighborhood by measuring the electric field gradients (EFG) at  $199m$ Hg nuclei. To fully grasp the PAC experimental results,

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*ab-initio* charge density calculations have been performed using the WIEN code<sup>22</sup>, where relaxed super-lattices for different concentrations and configurations of the dopant  $O_\delta$  were simulated.

### **II. EXPERIMENTAL DETAILS**

Polycrystalline Hg-1212 samples were synthesized at CNRS/Grenoble using the high pressure - high temperature technique (HP-HT). A stoichiometric mixture of HgO and  $Ba<sub>2</sub>CaCu<sub>2</sub>O<sub>6+\delta</sub>$  was placed in a gold capsule and then treated at 1.6 GPa, 1073 K for 1 hour, using a Conac type hydraulic  $press<sup>23</sup>$ . To control samples quality, X-ray diffraction (XRD) measurements have been performed using a Siemens D5000 diffractometer, in transmission mode, with Cu  $K_{\alpha}$  radiation ( $\lambda$ =1.541 Å), in a 2 $\theta$  range between 10° and 90°. The lattice parameters were refined using the LeBail method with the program Full Prof Suite<sup>24</sup>. Magnetic characterization was performed using a Quantum Design SQUID magnetometer in the range 4-150 K under an applied field of 0.005 T in zero field cool (ZFC) and field cool procedure (FC). Since these samples are highly hygroscopic, the samples have been kept in dry atmosphere and enclosed in sealed containers. The same macroscopic characterizations have been applied after the PAC experiments to survey sample's quality.

In order to study the oxygen ordering in the mercury planes, PAC experiments have been performed. Following a similar procedure as in refs.<sup>20,21</sup>, Hg-1212 samples were implanted at room temperature with <sup>199m</sup>Hg ( $T_{1/2}$ = 42 min) to a low dose of  $3.5 \times 10^{12}$  at.cm<sup>-2</sup> and 55 keV energy at the ISOLDE/CERN facility25. Each PAC sample consisted of about 10 mg of powder. The implantation and sample transfer between the glove box and the implantation chamber were done in rough vacuum. All manipulations were performed inside a glove box under dry atmosphere. After implantation, each sample was annealed at 463-473K under different atmospheres: in gas flow (argon or oxygen) or under pressurized oxygen, up to 152 bar. After annealing the samples were sealed inside copper containers, under dry air at ambient pressure. All PAC measurements were done after these preliminary thermal treatments using a highly efficient PAC  $\gamma$ ray  $BaF<sub>2</sub>$  detector setup<sup>26</sup>. The measurements were performed at room temperature and 77 K, using a liquid nitrogen cryostat, covering the superconducting transition of the original raw Hg-1212 material. Table I resumes the experimental conditions used in this work presented together with the relevant parameters obtained with the PAC data analysis.

The relevant information taken from the PAC measurements is obtained from the time perturbation function, R(t), modulated by the interaction of the quadrupole moment of the 158 keV intermediate state in the  $^{199m}\text{Hg}$  decay cascade with the EFGs generated by the charge distribution in the Hg surroundings $21$ .

Thirty  $\gamma_1(374 \text{ keV})$ - $\gamma_2(158 \text{ keV})$  coincidence time spectra,  $N_i(\theta,t)$ , are recorded. Each spectrum is related to each detector pair with relative angles  $\theta = 180^\circ$  (j=6) and  $\theta = 90^\circ$  (j=24). The R(t) experimental anisotropy ratio aims the evidence of the perturbation function by eliminating the exponential half life component and the correction for different geometrical efficiencies, as described in equation 1.

$$
R(t) = 2 \frac{\sqrt[6]{\prod_{j}^{6} N_{j}(180^{\circ}, t)} - \sqrt[24]{\prod_{i}^{24} N_{i}(90^{\circ}, t)}}{\sqrt[6]{\prod_{j}^{6} N_{j}(180^{\circ}, t)} + 2 \sqrt[24]{\prod_{i}^{24} N_{i}(90^{\circ}, t)}}
$$
(1)

where  $N_i/N_i$  are  $\gamma$ - $\gamma$  coincidences spectra measured at 180°/90°, after subtraction of chance coincidences background.

The fits to the  $R(t)$  experimental PAC functions were then calculated numerically by taking into account the full Hamiltonian for the nuclear quadrupole interaction<sup>27</sup>. The Hamiltonian for static quadrupole interactions, represented in the principal axis referential where the EFG tensor,  $V_{ij}$ , is diagonal and choosing  $|V_{zz}|\geq |V_{yy}|\geq |V_{xx}|$ , is given by

$$
\mathcal{H} = \frac{\hbar \nu_{\mathcal{Q}}}{4\mathcal{I}(2\mathcal{I} - 1)} [3\mathcal{I}^2 - \mathcal{I}(\mathcal{I} + 1) + \frac{1}{2}\eta(\mathcal{I}_+^2 + \mathcal{I}_-^2)] \tag{2}
$$

where  $\nu_{\rm Q} = \text{eQV}_{zz}/\hbar$  is the fundamental interaction frequency, I=5/2 is the nuclear spin of the intermediate state of the  $^{199\text{m}}$ Hg decay cascade where the PAC measurement is performed, and  $\eta = (V_{xx} - V_{yy})/V_{zz}$  is the EFG axial asymmetry parameter.

The experimental perturbation function  $R(t)$ , for polycrystalline samples, may be described by the fit function as  $R(t) = \sum A_{kk}G_{kk}$  where  $A_{kk}$  are the angular correlation coefficients and  $G_{kk}$  the perturbation factors.  $G_{kk}$  contains all the information about the fields interacting with the nuclear quadrupole (and magnetic) moments of the probe atoms and can be described by:

$$
G_{kk}(t) = \sum_{i} f_i \sum_{n}^{3} S_{kn}^{i} \cos(\omega_n^i t) e^{-\omega_n^i \delta t}
$$
 (3)

During the fitting procedure, the frequencies  $\omega_n$  ( $\omega_0=0$ ) and corresponding amplitudes  $S_{kn}$  are obtained, after the H diagonalization for each fraction  $(f_i)$  of probes interacting with different EFGs distributions. For the  $199$ mHg case, with I=5/2, three frequencies are observed per EFG, which are a function of  $\omega_n$  and  $\eta$ . The exponential term accounts for the attenuation observed on the  $R(t)$  spectra, representing the effect of a Lorentzian distribution of EFGs. Depending on its width and shape, the R(t) attenuation reveals inhomogeneities on charge density mostly attributed to mid range and incoherently distributed point defects e.g., Hg vacancies.

The PAC experimental results were interpreted with the help of *ab-initio* charge density calculations in relaxed superlattices for different configurations and concentrations of oxygen. These calculations have been performed using the Wien $2K^{22}$  code via the full potential augmented plane wave method (FLAPW) approach. A detailed description about the calculations will be presented afterwards in the text.



FIG. 1: (a) XRD pattern obtained for the as-synthesized samples; (b) Temperature dependence of magnetic susceptibility.

### **III. RESULTS**

#### **A. EXPERIMENTAL**

Figure 1 shows XRD and magnetic susceptibility results obtained from the as-synthesized samples in order to survey samples quality. The Hg-1212 samples crystallize in the tetragonal structure, space group  $P_4$ mmm, with cell parameters  $a=3.869(8)$ Å and  $c=12.6746(6)$ Å from where an extra oxygen content of 0.22(3) was inferred, in good agreement with the values reported in the literature<sup>28,29</sup>. The samples are almost single phase with traces of  $CaHgO<sub>2</sub>$ . Magnetic measurements show a sharp transition with an onset critical temperature of about 128K, in agreement with the previously reported values<sup>28,29</sup>.

## A1. ROOM TEMPERATURE PAC EXPERIMENTS

Figure 2 displays representative experimental perturbation functions R(t) (left) and corresponding Fourier transforms (right), for samples annealed under different conditions. In a first step all measurements have been performed at room temperature. The high quality fits are shown by continuous lines in the  $R(t)$  spectra. The resulting fitting parameters are summarized in Table I. The fitting procedure used in this analysis took into account three EFG distributions per fit. A  $EFG<sub>D</sub>$  distribution was included to account for the attenuation observed in all spectra and thus to improve the quality of the fits. This EFG<sub>D</sub> is believed to be due to probes out of regular sites (nonannealed sites) in the Hg-1212 lattice and/or in the secondary phase,  $CaHgO<sub>2</sub>$ , present in the samples. A constant fraction of about 20 % of the probes was assumed to be interacting with EFG<sub>D</sub> which is characterized by  $\nu_{\rm Q} \sim 585(80)$  MHz and  $\delta \sim 0.43(3)$ . The asymmetry parameter was set to zero since the attenuation is too high and does not allow determining it. Since  $EFG_D$  is considered not to be representative of the regular Hg local environment in the Hg-1212 phase, it will be excluded from the discussion. One shall stress that on the following when  $E_1$ ,  $E_2$ ,  $E_3$  are referred and/or discussed the



FIG. 2: (a)-(f) (left) Experimental perturbation functions, R(t), and (right) corresponding Fourier transforms. The thicker lines over the spectra are the fitting functions. Each EFG (abbreviated by E1, E2 and E3) is represented by its respective frequency triplet, plotted as vertical lines.

sum of their relative fractions has been normalized to 100%.

Globally, the differences found in the experimental  $R(t)$ functions, as measured in the Hg-1212 phase, can be attributed to the different annealing conditions, under argon or oxygen gas flow, or under pressurized oxygen. When performing the annealing step at 473K under argon flow, the spectrum of Fig. 2(a) displays two electric field gradient distributions, named E1 and E2. The dominant distribution, E2, characterizes 69(10)% of the <sup>199m</sup>Hg probes and is described by  $\nu_{\text{O2}}$ = 1267(63) MHz and an asymmetry parameter,  $\eta_2 = 0.21(1)$ . Due to the fact that the Hg planes are similar for all members of this superconductor family and the EFG has a highly local sensitivity, one can refer to ref. $20$  to assign this nonaxially symmetric distribution as corresponding to  $^{199}$ Hg nuclei placed in lattice sites with symmetry lower than tetragonal as a consequence of the existence of a single  $O_\delta$  in the center of the Hg mesh. An axial symmetric distribution is also observed for 31(12) % of the probe atoms interacting with it. This EFG, named E1, is described by  $v_{Q1} = 1392(70)$  MHz and a nil asymmetry parameter. This distribution is also consistent with the results reported in refs.<sup>20,21</sup> corresponding to 199mHg nuclei placed on regular sites of the Hg-1212 lattice without  $O_\delta$  or other point defects in their nearest neighborhood.

Figure 2(b) shows the result of an experiment that was per-

TABLE I: Preliminary annealing conditions and EFG fitting parameters of the experimental  $R(t)$  PAC functions. The first column refers to the experiments presented in Figs. 2 and 3. The average values were calculated over the two temperatures.

	Preliminary	Measurement			E1					E2					E <sub>3</sub>		
Experiment	Annealing	Temperature	$f_1$	$\nu_{\rm Q1}$	$ V_{zz} _1$	$\eta_1$	$\delta_1$	f <sub>2</sub>		$\nu_{\rm Q2}$ $ V_{zz} _2$	$\eta_2$	$\delta_2$	$f_3$	$\nu_{\rm Q3}$	$ V_{zz} _3$	$\eta_3$	$\delta_3$
	Conditions	(K)	$\%$	MHz	$V/\AA^2$			$\%$		MHz $V/\AA^2$			$\%$		MHz $V/\AA^2$		
		<b>RT</b>	31	1392	854	0.01	0.02	69	1267	777	0.20	0.03					
2(a)	Argon		$\pm 12$	$+70$	$\pm 98$	$\pm 1$	$\pm 4$	$\pm 10$	$\pm 63$	$\pm$ 89	$\pm$ 5	$\pm$ 3					
3(a)	Flow	77	38	1392	854	0.01	0.02	62	1164	757	0.31	0.09					
			$\pm 15$	$+70$	$\pm 98$	$\pm 1$	$\pm 4$	$\pm 12$	$\pm$ 55	$\pm 88$	$\pm 8$	$\pm 1$					
2(b)	O <sub>2</sub>	<b>RT</b>	42	1441	884	0.09	0.01	58	1288	791	0.23	0.02					
	Flow		$+11$	$+72$	$+102$	$\overline{a}$	$\pm$ 3	$\pm 7$	$\pm 64$	$\pm 91$	$\pm$ 3	$\pm 1$					
2(c)	8 bar	RT	37	1476	906	$\Omega$	0.02	63	1209	742	0.20	0.06					
	Pressurized $O2$		$\pm 6$	$+74$	$\pm 104$		$\pm 1$	$\pm 6$	$\pm 60$	$\pm 85$	$\pm 1$	$\pm 2$					
2(d)	25 bar	<b>RT</b>	46	1444	886	0.09	0.05	54	1141	700	0.27	0.04					
			$+9$	$+72$	$+102$	$+1$	$\pm$ 3	$\pm 10$	$+57$	$\pm 80$	$\pm 2$	$+4$					
3(b)	Pressurized O <sub>2</sub>	77	24	1474	892	0.01	0.13	76	1178	723	0.20	0.02					
			$+9$	$+74$	$\pm 102$	$\pm 1$	$\pm$ 3	$+11$	$\pm$ 58	$\pm$ 83	$\pm 1$	$+1$					
2(e)	40 <sub>bar</sub>	RT						69	1212	744	0.21	0.10	31	788	484		0.86 0.03
								$+10$	$+60$	$\pm 85$	$+4$	$+7$	$\pm 8$	$\pm 40$	$\pm$ 56	$\pm$ 3	$+1$
3(c)	Pressurized $O_2$	77						83	1262	774	0.28	0.12	17	828	508	0.74	0.06
								$+10$	$+62$	$+90$	$\pm 1$	$+3$	$\pm 9$	$+42$	$+58$	$+9$	$+1$
2(f)	152 bar	<b>RT</b>					$\blacksquare$	69	1213	744	0.26	0.07	31	854	524	0.76	0.07
								$+10$	$\pm 60$	$\pm 86$	$\pm 1$	$+10$	$_{\pm 8}$	$\pm 43$	$\pm 60$	$\pm 2$	$+3$
3(d)	Pressurized O <sub>2</sub>	77						67	1151	706	0.16	0.15	33	818	502	0.98	0.01
								$\pm 8$	$\pm$ 56	$\pm 81$	$\pm 4$	$\pm$ 3	$\pm 9$	$\pm 41$	$\pm$ 58	$\pm 9$	$\pm 1$
Average values			$\qquad \qquad -$	1440	883		0.04 0.035	$\blacksquare$	1215	750		0.23 0.067	$\overline{\phantom{a}}$	822	504		0.84 0.05
per EFG				$\pm 72$	$\pm 102$	$\pm$ 5	$\pm$ 3	$\overline{a}$	$\pm 60$	$\pm 86$	$\pm 4$	$\pm$ 3	$\overline{\phantom{a}}$	±14	$\pm 9$	$\pm 4$	$\pm 1$

formed after annealing in oxygen flow. Once again, a main EFG distribution  $(58(7)\%$  of the <sup>199m</sup>Hg probes) was found to be interacting with a non-axially symmetric EFG (E2), as found in the argon flow annealing case. Most likely, this result shows that the doping during the synthesis prevails over the present annealing procedure. A fraction of 42(11)% of the probes was found to be free of  $O_\delta$  as revealed by the axially symmetric EFG (E1).

Figures 2(c) to (f) present the results obtained after annealing in pressurized oxygen. The data show essentially two distinct results depending on the applied pressures. For lower pressures, up to 25 bars, the same frequency triplets are found as in the previous experiments under argon and oxygen flow. The results only show slight changes in the fractions of <sup>199</sup>Hg probe nuclei interacting with E1 and E2: 54-63 % of Hg atoms interact with a single  $O_\delta$  that originates E2 and 37-46% of Hg atoms still do not have any  $O_\delta$  in their neighborhood (E1). When higher pressures were applied strong changes were observed, as shown in figures 2(e) and 2(f). E2 is still the dominant EFG as in previous cases, assigned to 69(10)% of the Hg atoms interacting with a distribution characterized by  $\nu_{Q2} \sim 1212(60)$  MHz and  $\eta_2 \sim$ 0.25(4). However, a new and highly asymmetric EFG (named E3), is observed being characterized by  $\nu_{\text{O3}} \sim 800(40) \text{ MHz}$ and  $\eta_4$ =0.8-0.9 that affects 31(8)% of the Hg atoms. E3 is not explained by any of the calculated configurations reported so far in the literature<sup>20,21</sup> and hints the existence of a different oxygen configuration in the Hg planes that requires new  $\mathcal{O}_{\delta}$ 

configurations to be simulated.

#### A2. 77 K PAC MEASUREMENTS

To further study possible changes of the  $O_{\delta}$  configurations at low temperature, below the  $T_C$  of the raw samples, measurements in liquid nitrogen have been performed. Representative experimental R(t) spectra (left) and their respective Fourier analysis (right) are displayed in Fig. 3 being the resulting fitting parameters also summarized in Table I.

Essentially, the experimental results show the same type of EFG distributions as found in the room temperature measurements. Also here the same fitting procedure has been applied.

Figure 3(a) shows the experimental PAC results as measured at 77K for a sample annealed in argon flow revealing the same frequency triplets as found in the room temperature measurement. The main EFG (E2,  $f_2=62(8)\%$ ) is described by  $\nu_{\text{O2}}$ =1164(55) MHz and an axial asymmetry parameter  $\eta_2$ =0.31(6), slightly higher than the one characterizing this EFG at room temperature. An axially symmetric EFG (E1) was also found, for 38(10)% of the probe Hg atoms and is characterized by  $\nu_{\text{Q1}}$ =1392(70) MHz and  $\eta$ =0.01(1).

Fig. 3(b) shows R(t) data for the sample annealed at 25 bars where one can observe two EFGs. The dominant EFG (E2) corresponds to 76(11)% of the probes interacting with a non axial symmetric distribution characterized by  $\nu_{\Omega2}=1178(58)$ MHz and  $\eta_2$ =0.20(1). About 24% of the probe <sup>199m</sup>Hg nuclei are free of  $O_{\delta}$  as revealed by the axially symmetric EFG (E1) characterized by  $\nu_{\text{Q1}}$ =1454(74) MHz and  $\eta_1$ =0.

When higher pressures were applied (Figs. 3(c) and (d)) the new and highly asymmetric EFG (E3) appears as observed in the room temperature measurements. E3 affects now 17-33% of the probes, depending on the annealing oxygen pressure. At 77K, E3 parameters are not much different from the room temperature measurements with  $\nu_{\text{Q3}} \sim 820(41) \text{ MHz}$  and a high asymmetry parameter that is almost one in the specific case of the sample annealed at 152 bars. In these measurements the dominant EFG (E2) is described by  $\nu_{\text{O2}}$ =1151-1262 MHz,  $\eta \sim 0.16$ -0.28 that affects about 67-83% of the probes.

The PAC measurements performed at room temperature and 77K show equivalent results, mainly depending from the previous annealing treatment. Due to this similarity, average EFG parameters for E1, E2 and E3 were calculated and are summarized also on table I.

Based only on the experimental data and previous literature results some conclusions can be already drafted. The compound presents non uniform oxygen distribution in which different oxygen doped regions are found coexisting. In more detail, the annealing in argon flow was not sufficient to reduce the initial, as-synthesized,  $O_{\delta}$  content. The PAC results revealed a dominant EFG distribution, E2, which hints a diluted concentration of interstitial  $O_\delta$  in the Hg planes. The annealings in oxygen flow and at oxygen pressures up to 25 bars maintained the system unchanged. When higher oxygen pressures were applied, 40 and 152 bars, there is no more evidence for Hg atoms free of  $O_\delta$  in their neighborhood (no evidence for E1) and a highly asymmetric EFG appears that hints to a new  $O_{\delta}$  configuration at higher concentrations.

To interpret these experimental results, simulations of different supercells with different oxygen concentrations and configurations were performed. These calculations are described in the following section.

### **B. EFG SIMULATIONS**

To fully grasp the PAC experimental results and extract the maximum information, EFG *ab-initio* calculations have been performed using the code Wien $2K^{22}$  via the full potential augmented plane wave method (FLAPW) with the generalized gradient approximation<sup>30</sup> (GGA) for the density functional. The FLAPW method is considered to be one of the most reliable methods in the calculation of EFG parameters only requiring the crystalline structure parameters (lattice constants and atomic positions) as input information, which in this work were taken from neutron diffraction experiments<sup>31,32</sup> accounting for different oxygen dopings. To illustrate different  $O_{\delta}$  doping configurations, various supercells have been constructed assuming the composition  $Hg_mBa_{2m}Ca_mCu_{2m}O_{6m+n}$ . Following earlier works<sup>20,21</sup>, the simulations here implemented describe different configurations of single  $O_\delta$  atoms all sitting within the Hg planes, including also particular ones where the dopant is represented by a dumbbell  $O_{2\delta}$  molecule (with 1.3 Å bond length), with the symmetry axis parallel to c-axis at the centre of the Hg mesh. Only  $O_{\delta}$  or  $O_{2\delta}$  configurations which provide relevant information for the explanation and discussion of the experi-



FIG. 3: (a)-(d) (left) Experimental perturbation functions, R(t), and (right) corresponding Fourier transforms. The thicker lines over the spectra are the fit functions. Each EFG (abbreviated by E) is represented its representative frequency triplet, plotted as vertical lines.

mental data are reported here. One should stress that the aim of the different supercells is to provide the understanding of which local configurations of  $O_\delta$  or  $O_{2\delta}$  can originate the measured EFGs at the Hg site. It has been shown on previous works<sup>20,21</sup> that the first neighbor contribution dominates the EFG allowing to infer the organization of  $O_\delta$  atoms/dumbbells in the neighborhood of the  $^{199m}$ Hg probing nuclei. With these considerations in mind one shall further note that in each different supercell volume a nominal oxygen dopant local concentration can be assigned.

Figure 4 illustrates a schematic view of the built supercells projected along the  $c$  axis onto the Hg planes. The shaded regions represent the ab plane of the supercells used in the simulations. Since similar configurations were used for both  $O_{\delta}$  and  $O_{2\delta}$  cases, only one set of pictures is represented. The resulting EFG parameters found for Hg and for all other atoms in each supercell are summarised in table II.

Fig. 4-C1 shows the undoped supercell with  $m=1$  and  $n=0$ , where there are no dopant oxygen in the neighborhood of Hg atoms. This supercell has the highest  $|V_{zz}|_{C_1}$  at the Hg site and nil asymmetry parameter due to the tetragonal symmetry.

In order to calculate the effect of the single  $O_{\delta}$  doping in the Hg planes, four doped supercells have been constructed with nominal  $\delta$  of 0.25, 0.50 and 0.66. Fig. 4-C2 shows the supercell  $(m=4, n=1)$  where Hg atoms interact with one single dopant oxygen, which is located in the center of the Hg mesh. C2 shows a non symmetric EFG with a lower  $|V_{zz}|_{C2}$ ~562  $V/A<sup>2</sup>$  and a highly distorted local environment given by  $\eta_{C2}$  = 0.7.

Figs. 4-C3 and 4-C4 display two local configurations (m=2 and n=1 supercells) existing for Hg atoms interacting with two  $O_{\delta}$ , both having the same nominal concentration  $\delta$ =0.50. Even if these two configurations have the same nominal  $\delta$ .



FIG. 4: Representation of the Hg planes of Hg-1212 as viewed along the c axis. Shaded regions represent supercells with different  $O_\delta$ concentrations ( $\delta$ = 0 to 0.66).

the different oxygen rearrangement causes changes in the EFG parameters. The supercell C3 represents  $O_{\delta}$  arranged in a checkerboard-like pattern where the  $Hg-O_{\delta}$  bonds make 180°. In this case,  $|V_{zz}|_{\text{C3}}$ =572 V/Å<sup>2</sup>∼ $|V_{zz}|_{\text{C2}}$  and  $η_{\text{C3}}$ =0.66 is slightly lower than  $\eta_{C2}$ . The simulation represented by the supercell C4 corresponds to the situation where  $O_{\delta}$  is distributed along interstitial rows parallel to the b axis and the Hg-O<sub>δ</sub> bonds make 90°. C4 has a lower  $|V_{zz}|_{C4}$ =496 V/Å<sup>2</sup> than the previous cases and an almost nil asymmetry parameter  $\eta_{\text{C}4}$  ~0.02.

Fig. 4-C5 (m=3, n=3) shows a supercell with three non equivalent Hg atoms leading to different EFG parameters. C5 was created to understand if under  $O_\delta$  high concentrations, interstitial Hg-Hg bonds could be occupied. This supercell shows quite diverse  $|V_{zz}|$  and  $\eta$  values depending on the non-equivalent Hg atoms. The atom noted as C5a has a  $|V_{zz}|_{C5a}$ =598 V/Å<sup>2</sup> comparable to the values presented by C2 and C3 configurations; however the axial asymmetry parameter,  $\eta_{\text{C5a}}$ =0.08, is almost nil. The Hg atom denoted as C5b has a highly asymmetric local environment with  $\eta_{\text{C5b}}$ =0.7 though its  $|V_{zz}|_{C5b}$ =548 V/ $\AA$ <sup>2</sup> is also in the same range of C2, C3 and C5a. The third non-equivalent Hg atom (C5c) has the smallest  $|V_{zz}|_{C5c}$ =86 V/Å<sup>2</sup> and an axially symmetric local environment revealed by  $\eta_{\text{C5c}} \sim 0.09$ . It is further pointed that interstitial  $O_{\delta}$  along Hg-Hg bonds alone is not stable. Therefore, the C5 supercell was designed as the simplest distribution of  $O_{\delta}$  that could stabilize the oxygen dopant in the Hg-Hg bonds.

The configurations C2, C3 and C4, now denoted by C2∗, C3∗ and C4∗, have been further used assuming the location of a  $O_{2\delta}$  dumbbell molecule instead of a single  $O_{\delta}$  in the Hg planes. As expected, the insertion of a molecule in the Hg planes led to changes in the EFG's at the Hg site regarding single  $O_{\delta}$  cases. The C2<sup>∗</sup> supercell shows a configuration where Hg atoms interact with one  $O_{2\delta}$  dumbbell, with local nominal  $\delta$ =0.5, that is located at the centre of the Hg mesh. Differently from C2, this configuration originates a slightly non axiallysymmetric EFG with  $|V_{zz}|_{C2^*}$  =694 V/ $\AA^2$  and  $\eta_{C2^*}$  =0.16. The



FIG. 5: Artistic view of the Hg-1212 supercell structures C2, C3 and C4, as obtained from the FLAPW calculations, which were used to calculated the EFGs: (left) projections of the lattice along the  $c$  axis and (right) projections along the plane perpendicular to the  $c$  axis.

two local configurations C3∗ and C4∗ have now nominal local  $\delta=1$ . C3<sup>\*</sup> reproduces the checkerboard-like pattern although the resulting EFG parameters are different from C3 with  $|V_{zz}|_{C3^*}$  =662 V/Å<sup>2</sup> and  $\eta_{C3^*}$  =0.33. In the C4<sup>\*</sup> configuration, the Hg atoms interact with oxygen dumbbells distributed along rows parallel to the  $c$  axis. In this case, an axially symmetric EFG with  $|V_{zz}|_{C4*}$  =670 V/ $\AA$ <sup>2</sup> and  $\eta_{C4*}$  =0.05 is found.

Some conclusions can be already draft based on the calculated data, which will serve later for the analysis of the experimental data. The calculations have been performed for different  $O_{\delta}/O_{2\delta}$  doping configurations, considering both unrelaxed and relaxed supercells. The relaxation of the atomic positions is done in a self-consistent way by minimizing atomic forces, which has important effects on some of the atomic internal parameters.

Figure 5 shows a three dimensional (3D) artistic view of the relaxed C2, C3 and C4 supercells to help visualizing these atomic shifts. The atomic relaxation resulting from the C2∗, C3<sup>∗</sup> and C4<sup>∗</sup> supercells are not presented since they are smaller than the single  $O_{\delta}$  cases. The structural relaxation has a major effect on the barium and apical oxygen sites  $(O(2))$ , where strong shifts in the c-axis are observed due to the placement of  $O_{\delta}$  in the interstitial sites of the Hg mesh. This effect can be explained in the frame of an ionic picture where pos-

TABLE II: Calculated EFG parameters for the Hg atoms on the relaxed Hg-1212+ single  $O_{\delta}/$ dumbbell  $O_{2\delta}$  supercells. The inserted figures show the Hg planes of the different supercells, presented as shadowed regions. Light/dark symbols represent Hg/O atoms.

								٠ $\bullet$		$\sim$ Tous. 200 <sub>m</sub>						
	Supercell C1		Supercell C <sub>2</sub>		Supercell C3		Supercell C <sub>4</sub>								Supercell C5 Supercell C2* Supercell C3* Supercell C4*	
	$\delta O=0$		$\delta$ O=0.25		$\delta$ O=0.50		$\delta$ O=0.50		$\delta$ O=0.66		$\delta$ O=0.25		$\delta$ O=0.50		$\delta$ O=0.50	
	$\rm V_{zz}$ $V/\AA^2$	$\eta$	$V_{zz}$ $V/\AA^2$	$\eta$	$\rm V_{zz}$ $V/\AA^2$	$\eta$	$V_{zz}$ $V/\AA^2$	$\eta$	$\rm V_{zz}$ $V/\AA^2$	$\eta$	$\rm V_{zz}$ $V/\AA^2$	$\eta$	$\rm V_{zz}$ $V/\AA^2$	$\eta$	$\rm V_{zz}$ $V/\AA^2$	$\eta$
	$Hg_a$ -734.96	$\theta$													-561.81 0.734 -572.39 0.661 -496.39 0.023 -598.78 0.080 -694.40 0.156 -661.98 0.327 -669.48 0.049	
$Hg_b $								$\overline{\phantom{a}}$	-547.74 0.703							
$Hg_c$								$\overline{\phantom{0}}$	86.23	0.091						

itive Ba ions are more attracted to the Hg doped layer while the apical oxygen atoms are further repelled. Consequently, the EFG at the Hg site was found to be largely affected from one configuration to the other depending essentially on the symmetry and local relaxations.

The simulations with the oxygen dumbbell molecule show less asymmetric local environments than the ones obtained with single  $O_\delta$  atoms. On the other hand, the  $|V_{zz}|$  magnitude shows an increase when compared with the single  $O_\delta$ values though all  $|V_{zz}|$  are quite similar, ranging between 670  $V/\text{\AA}^2$  and 700 V/ $\text{\AA}^2$ . Following again an ionic picture, the existence of a molecule sharing less charge than a single  $O_\delta$ causes smaller shifts in the barium and apical oxygen positions leading to a smaller variations on  $|V_{zz}|$  and  $\eta$ .

Figure 6 plots relative z coordinates for barium and apical oxygen along c-axis as calculated for the C configurations, together with available data taken from literature, as a function of  $\delta$ . When non equivalent atomic positions exist for barium, the points represent the average calculation accounting the multiplicity of the non-equivalent atoms. For completeness of the discussion, the parameters of C<sup>∗</sup> configuration are included keeping in mind that the true local dopant oxygen concentration of this supercell is obtained by multiplying  $\delta$  by two due to the dumbbell configuration.

#### **IV. DISCUSSION**

When comparing the average experimental EFG parameters  $(|V_{zz}|$  and  $\eta$ ) with the simulated ones at the Hg sites (summarized in table II), it is possible to observe a good matching for some cases of the simulated configurations.

Figure 7 plots the experimental and simulated EFG values for clearness of the discussion. The axially symmetric experimental distribution E1 is assigned to Hg atoms in regular sites of the lattice without dopant oxygen or other defects in their neighborhood, corresponding to the EFG calculated within the C1 configuration. This EFG has the highest  $|V_{zz}|$ and a nil asymmetry parameter in agreement with previous reports on Hg-1201<sup>20,21</sup>. Still  $|V_{zz}|_{C_1}$  is smaller than the one



FIG. 6: Plot of relative  $z$  coordinates for barium and apical oxygen as a function of dopant oxygen,  $\delta$ , reported in the literature<sup>28,29,34,35</sup> (dark symbols), from FLAPW calculations for different supercells with single  $O_\delta$  (open circles) and with  $O_{2\delta}$  dumbbell molecules (open squares). The calculated values are normalized to the NPD experimental ones for the undoped case.

observed experimentally though the nil asymmetry parameter allows the correct assignment to the C1 configuration.

The non-axially symmetric EFG distribution E2 is best assigned to C2<sup>∗</sup> where both experimental and simulated EFG parameters are in good agreement. The supercell C3∗ gave similar  $|V_{zz}|$  parameters to C2<sup>\*</sup> but the experimental asymmetry parameter  $\eta$  privileges the C2<sup>∗</sup> configuration. This result evidences that the  $O_{2\delta}$  molecules might be competing with single  $O_\delta$  atoms when doping these compounds. One shall point that only single  $O_\delta$  atoms were reported for Hg-1201<sup>21</sup>, but in that work the determinant factor for the EFG parameters calculation, i.e., structural relaxation could not be taken into account in the simulations. Additionally, the existence of  $O_{2\delta}$  molecules was not there considered. In the present C2<sup>∗</sup> configuration each Hg atom interacts with a oxygen dumbbell molecule which is located in interstitial sites in the centre of the Hg mesh with relative coordinates ( $\pm$  1/2,  $\pm$  1/2,  $z_{\text{He}}$ ).

The highly asymmetric experimental distribution E3 presents EFG parameters which in a direct comparison with



FIG. 7: Experimental and simulated EFG parameters (main component of the EFG tensor,  $V_{zz}$ , asymmetry parameter, *n*) for Hg-1212+single  $O_\delta$  and Hg-1212+dumbbells  $O_{2\delta}$  (plots c) and d)) supercells. The horizontal lines represent the calculated V<sub>zz</sub> and  $\eta$ .

the calculated data could be assigned to more than one configuration. C4 and C4<sup>∗</sup> configurations of  $O_\delta$  or  $O_{2\delta}$  that would correspond to stripe-like ordering of the dopants do not find experimental equivalent. The good matching between the experimental and simulated  $|V_{zz}|$  and  $\eta$  is found for C2, C3 and C5 configurations where only single  $O_\delta$  atoms play a role. Starting with C5 supercell, at a first sight, one of the three non-equivalent Hg atoms (C5b) could explain the experimental distribution E3. However, the present simulations have shown that the C5b configuration is not a stable alone, without  $O_{\delta}$  in adjacent cells. Therefore the other two EFGs generated by C5a and C5c should be experimentally observed. However the fitting analysis has excluded these two EFGs; consequently the full C5 configuration is excluded what agrees with the assumption that dopant  $O_\delta$  atoms cannot occupy interstitial Hg-Hg bonds. In fact, the location of  $O_\delta$  atoms in the Hg-Hg bonds was found to be energetically stable only in the cases of partial substitution of Hg by  $Cu^{19,33}$ .

Looking now to C2 and C3 configurations, the direct comparison between simulated and experimental EFG parameters is not sufficient to clearly assign one of these configurations. Therefore, an estimation of the dopant concentration,  $\delta_{\text{PAC}}$ , within the <sup>199m</sup>Hg probing sample region will be performed. It is reported that  $T_{\text{C}}$  has a cupola-

like dependence with doping (δ), where  $\delta_{\text{opt}} \sim 0.22(4)^{28,29,34,35}$ and  $\delta_{\text{max}} \sim 0.30(3)^{28,29,34,35}$ . From XRD measurements, the present samples after synthesis have  $\delta = 0.22(3)$  which is in good agreement with the optimal doping for this compound. After PAC experiments, the oxygen abundances can be quite different. To infer  $\delta_{\text{PAC}}$  from the PAC E1, E2 and E3 fractions the number of single  $O_\delta$  or  $O_{2\delta}$  dumbbells interacting with the probing nuclei is considered. The EFG calculations showed that E2 accounts for the Hg atoms that have single  $O_{2\delta}$ dumbbell (C2∗) in their neighborhood. Since each molecule can be *seen* by four Hg atoms, the corresponding  $\delta_{\text{PAC}}$  abundance is given by  $\delta_{\text{PAC}}(C2^*) = f_2/2$ . Two abundances can be calculated depending on the assignment of E3 to either C2 or C3 configurations. Assuming on one hand that each Hg atom has only one  $O_\delta$  in its neighborhood (C2), the corresponding PAC abundance shall be given by  $\delta_{\text{PAC}}(C2) = f_3/4$ . On the other hand, if each Hg atom is surrounded by two  $O_{\delta}$ , in a checkerboard configuration (C3), the abundance will be given by  $\delta_{\text{PAC}}(C3) = f_3/2$ . The total  $\delta_{\text{PAC}}$  per sample is thus given by the sum of all contributions, assuming either C2 or C3 configurations coexisting with C2∗. Assuming the coexistence of both C2<sup>∗</sup> and C3, with estimated  $\delta_{\text{PAC}}$ ∼0.5, the local doping abundances are well above the reported dopant concentration limit allowed for this compound, i.e.  $\delta \sim 0.35$ . Contrarily, the

coexistence of C2<sup>∗</sup> and C2 configurations leads to  $\delta_{\text{PAC}}$ ∼0.4 more compatible with the reported dopant concentrations.

Local dopant concentrations, as estimated from the PAC fractions, must be interpreted within the limited number of the dopant configurations here reported. Moreover, the present results suggest the existence of  $O_{2\delta}$  molecules coexisting with single  $O_\delta$  atoms what has not been yet reported experimentally nor theoretically for the Hg-based homologous series. These experiments hint the break of the  $O_{2\delta}$  dumbbell upon oxygen pressure increase. This further suggests that single  $O_\delta$ and  $O_{2\delta}$  have different solubilities. The dynamics of this process might be related with the atomic mobility, with the charge of the dopant and with the number of available copper planes in this type of compounds. Additionally, these should be short range effects since the ordering cannot build up coherently too far without originating deformed superlattices which would be seen by diffraction techniques. In fact, in the numerous neutron diffraction and X-ray scattering studies<sup>18,28,32,36,37</sup> there was no indication nor suggestion for the existence of molecules at the Hg planes. In addition, the non uniform dopant local distribution, as found in this work, complicates the analysis via techniques that make use of coherence or average oxygen concentration.

The existence of  $O_{2\delta}$  in competition with  $O_{\delta}$  in the Hg planes might also present a justification for the systematic differences reported between the measured atomic dopant concentration and the number of holes created at the copper planes<sup>16,17</sup>. These differences have been attributed to the existence of monovalent oxygen<sup>16</sup> showing that the ionic model is inappropriate to describe the hole doping mechanism. Nevertheless, those results do not exclude the presence of  $O_{2\delta}$  molecules at the Hg planes, which existence has been suggested by the presence of monovalent superoxide molecules  $(O_2^-)$  in  $La_2CuO_{4+\delta}^{38}$ . Note that there is not in FLAPW an unique way to assign separate charge density regions to each atom, i.e., to clearly define the spacial confinement of charge of an ion, it shall be stressed that the calculations clearly showed that the charge transferred by the  $O_{2\delta}$ molecules to the copper planes is much smaller than the one transferred by single  $O_\delta$  atoms. Techniques like EPR, IR spectroscopy and mass spectrometry could be sensitive to the existence of low concentration oxygen anomalies but its detection and characterization is quite difficult. On the other hand, the PAC technique combined with powerful simulation techniques of atomic modelling provide unique ways to characterize nanoscopic phenomena which could not be unveiled in other ways. These studies would be ideally continued on single crystals where the orientation could be used to compare with the oxygen configuration models.

### **V. CONCLUSIONS AND PERSPECTIVES**

The EFGs at the Hg site in Hg-1212 have been measured via the PAC technique as a function of oxygen doping. The assignment of each observed EFG was performed using  $ab - initio$  charge density calculations for a variety of oxygen configurations. For all studied cases, non local uniform oxygen distributions were found. At low oxygen concentrations, the data analysis suggests the presence of oxygen dumbbells located at the Hg planes in the centre of the Hg mesh coexisting with regions free of oxygen. At high oxygen concentrations, the oxygen dumbbells coexist with single oxygen atoms occupying the centre of the Hg mesh. The present results suggest that oxygen sits at the Hg planes on the form of a molecule and not as single atoms. The existence of  $O_{2\delta}$ in competition with  $O_\delta$  may provide additional understanding for the justification for the systematic differences reported between the measured atomic dopant concentration and the number of holes created at the copper planes.

Experiments in the  $3<sup>rd</sup>$  compound of this family, Hg1223, are foreseen and shall provide complementary information on the oxygen doping as well as on the local behavior of Hg and its electronic environment as a function of temperature.

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