



# The LHCb Upgrade

Hans Dijkstra

For the LHCb collaboration

- Flavour Physics goals at the LHC.
- Performance vs Pileup.
- The Trigger.
- The upgrade in a nutshell.
- Upgrading the detector:
  - FE-electronics.
  - Tracking detectors.
  - PID detectors.
- Conclusions.

# Physics Goals in a Nutshell

- 2011: LHCb Upgrade LOI  
<http://cdsweb.cern.ch/record/1333091/files/LHCC-I-018.pdf>
- Current → upgrade:  $\int L \approx 5 \rightarrow 50 \text{fb}^{-1}$

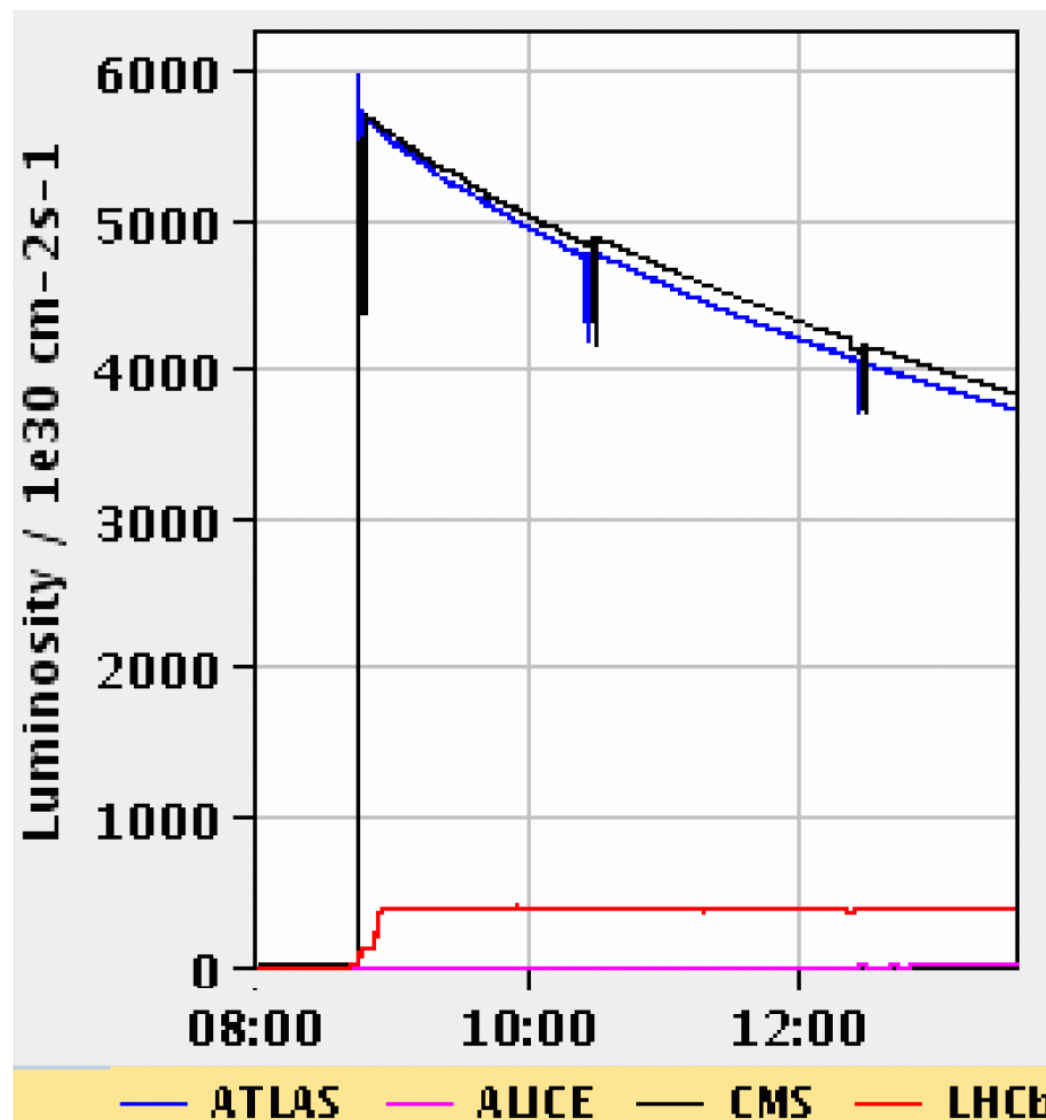
	Exploration	Precision studies
Current LHCb	<p>Search for <math>B_s \rightarrow \mu^+ \mu^-</math> down to SM value</p> <p>Search for mixing induced <math>CP</math> violation in <math>B_s</math> system (<math>2\beta_s</math>) down to SM value</p> <p>Look for non-SM behaviour in forward-backward asymmetry of <math>B^0 \rightarrow K^* \mu^+ \mu^-</math></p> <p>Look for evidence of non-SM photon polarisation in exclusive <math>b \rightarrow s \gamma^{(*)}</math></p>	<p>Measure unitarity triangle angle <math>\gamma</math> to <math>\sim 4^\circ</math> to permit meaningful CKM tests</p> <p>Search for CPV in charm</p>
Upgraded LHCb	<p>Search for <math>B^0 \rightarrow \mu^+ \mu^-</math></p> <p>Study other kinematical observables in <math>B^0 \rightarrow K^* \mu^+ \mu^-</math>, e.g. <math>A_T(2)</math></p> <p>CPV studies with gluonic penguins e.g. <math>B_s \rightarrow \phi \phi</math></p> <p>Measure <math>CP</math> violation in <math>B_s</math> mixing (<math>A_{fs}^s</math>)</p>	<p>Measure <math>\mathcal{B}(B_s \rightarrow \mu^+ \mu^-)</math> to a precision of <math>\sim 10\%</math> of SM value</p> <p>Measure <math>2\beta_s</math> to precision <math>&lt; 20\%</math> of SM value</p> <p>Measure <math>\gamma</math> to <math>&lt; 1^\circ</math> to match anticipated theory improvements</p> <p>Charm CPV search below <math>10^{-4}</math></p> <p>Measure photon polarisation in exclusive <math>b \rightarrow s \gamma^{(*)}</math> to the % level</p>



# LHCb and LHC

Snapshot LHC status “page 1”:

- Much lower lumi than GPDs
- No lumi-decay in LHCb:
  - Squeeze to  $\beta^* = 3$  m, but offset beams.
  - Reduce offset every 10-15 min to keep same lumi.
  - Run at  $4 \times 10^{32} \text{cm}^{-2} \text{s}^{-1}$  for  $\sim 15$  h.
- LHC could deliver  $> 10^{33} \text{cm}^{-2} \text{s}^{-1}$  at LHCb already today.
- Can LHCb take this today?



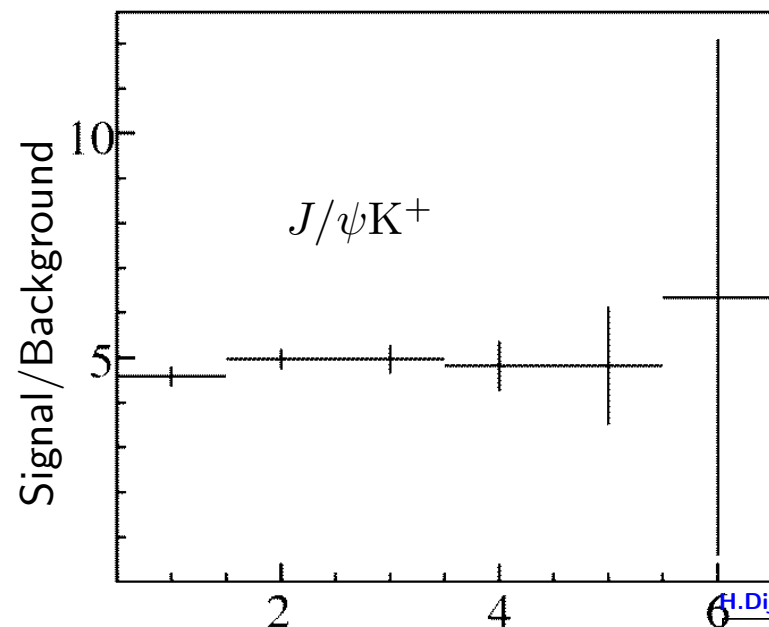
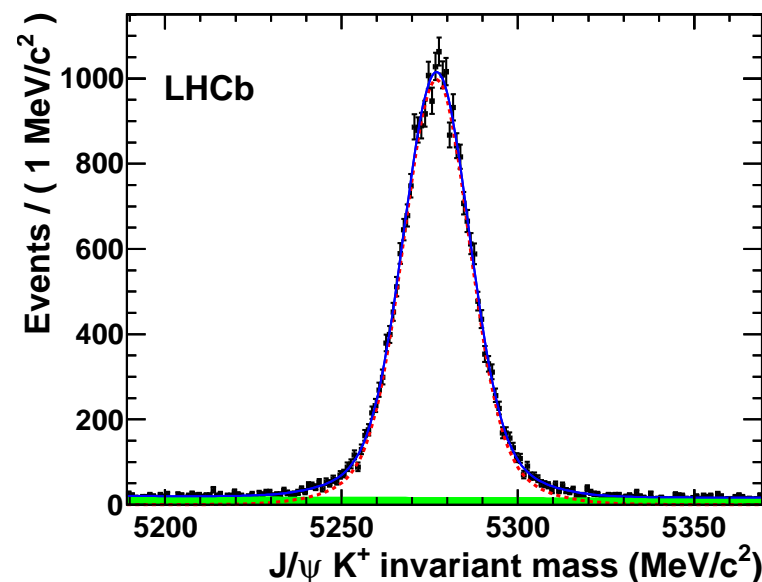
# Performance and Pileup

Very clean signal-background separation:

- $\sigma(\text{PV})$ :  $x, y \sim 10 \mu\text{m}$ ,  $z \sim 60 \mu\text{m}$   
90 % of PV distributed over 20 cm in  $z$ .
- $\sigma(\tau_B) \sim 40 \text{ fs}$ , compared to  $\tau_B = 1.5 \text{ ps}$ .
- $\Delta p/p$ : 0.4-0.6 %.
- RICH-PID:  
 $\varepsilon(\text{K} \rightarrow \text{K}) \sim 95 \%$ , mis-ID ( $\pi \rightarrow \text{K}$ )  $\sim 5 \%$ .
- Muon-PID:  
 $\varepsilon(\mu \rightarrow \mu) \sim 97 \%$ , mis-ID ( $\pi \rightarrow \mu$ )  $\sim 1 - 3 \%$ .
- $\Delta(E_\gamma)/E_\gamma \sim 0.1/\sqrt{E} \oplus 0.01$  ( $E$  in GeV).

*B* S/N almost independent of pileup

*D* S/N shows some degradation vs pileup.



# Pileup Events

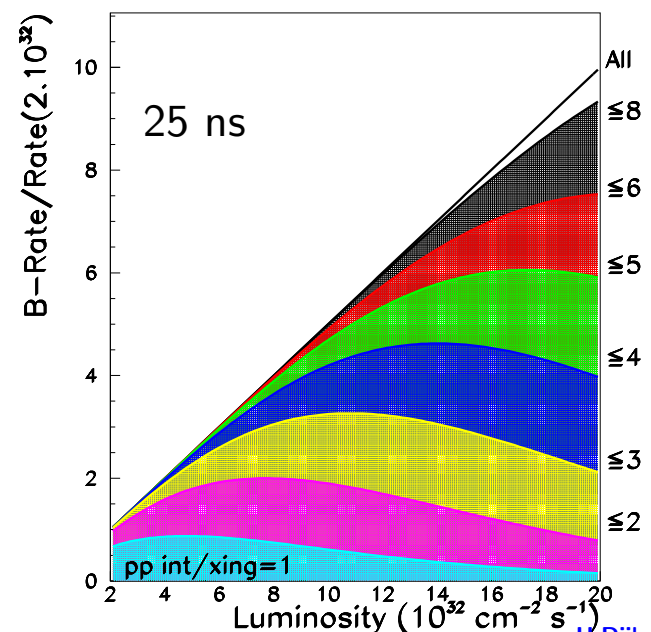
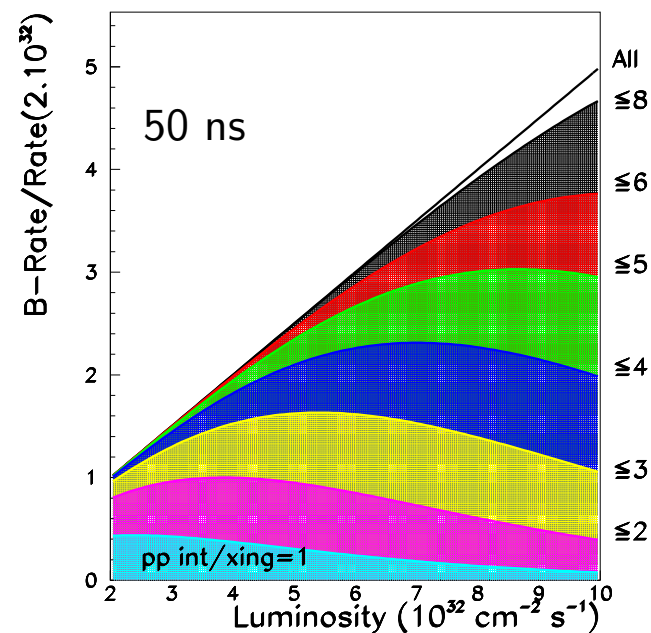
Now: LHC has 50 ns bunch spacing.

- 1262 colliding bunches in LHCb.
- @  $4 \times 10^{32} \text{cm}^{-2} \text{s}^{-1}$  nr pp-int/xing with  $b\bar{b}$  is  $\leq 6$ .
- @  $10^{33} \text{cm}^{-2} \text{s}^{-1}$ :  
90 % of xings  $\leq 6$ , hence total B-rate 80 % larger!

$\geq 2015$ : LHC will go to 25 ns bunch spacing.

- $\sim 2500$  colliding bunches in LHCb.
- @  $8 \times 10^{32} \text{cm}^{-2} \text{s}^{-1}$  nr pp-int/xing with  $b\bar{b}$  is  $\leq 6$ .
- $2 \times @10^{33} \text{cm}^{-2} \text{s}^{-1}$ :  
90 % of xings  $\leq 6$ , hence total B-rate 80 % larger!
- @  $2 \times @10^{33} \text{cm}^{-2} \text{s}^{-1}$ : pileup similar to “now”.

Hence: why not run at much larger  $L$  already now?



H.Dijkstra



# Trigger Yield as a function of Luminosity

First Level Trigger:

- hadronic-channels:  
 $L^{\text{peak}} \geq 3 - 4 \cdot 10^{32}$   
 no gain, and eventually will loose.
- $\mu$ -channels: yield  $\propto \int L$

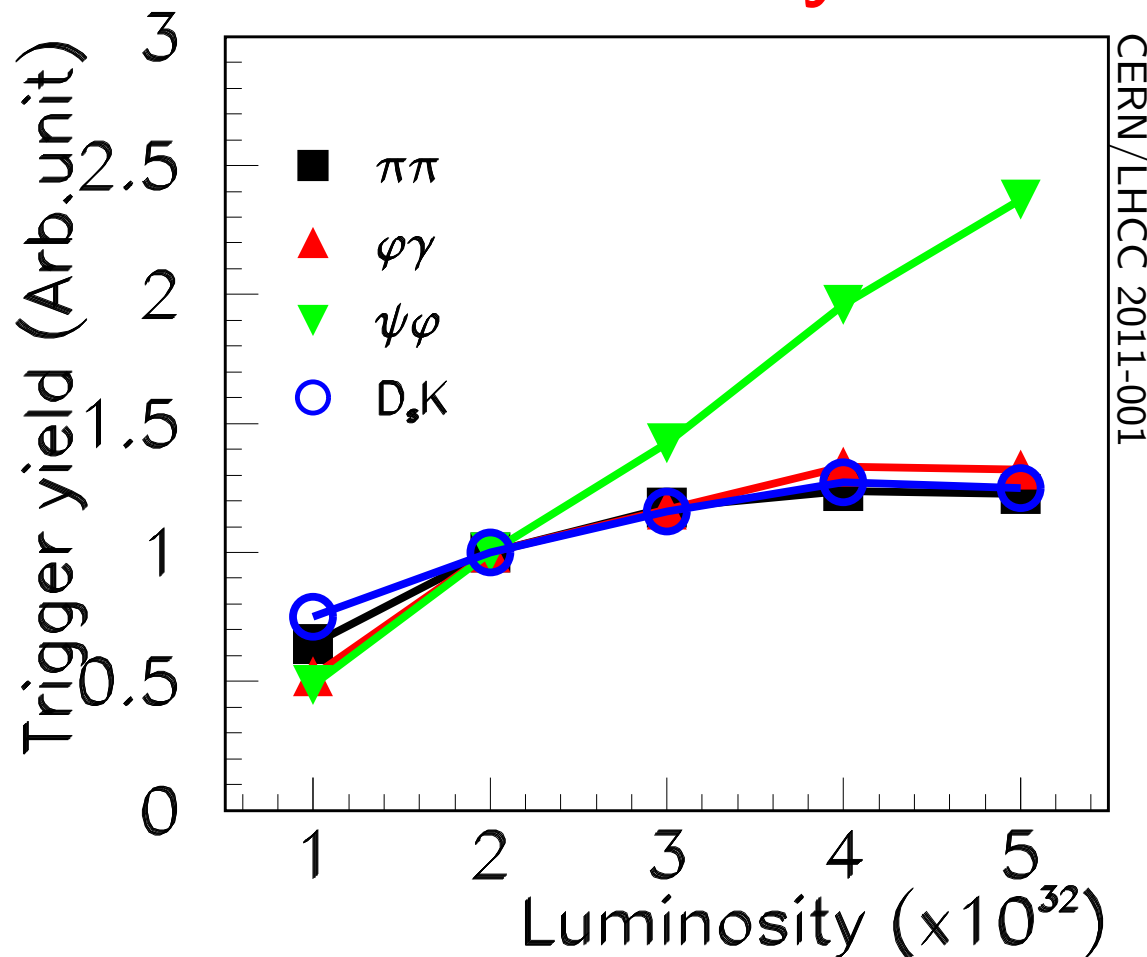
In addition:

Radiation:

- designed for  $\int L \leq 20 \text{ fb}^{-1}$ .
- Affects mainly large  $\eta$ .

Tracking & Particle-ID:

- Si tracking not a problem.
- Straws:  $L^{\text{peak}} \geq 10^{33}$   
 spillover becomes a problem.
- PID: OK for  $L^{\text{peak}} \leq 10^{33}$ ,  
 then some degradation.





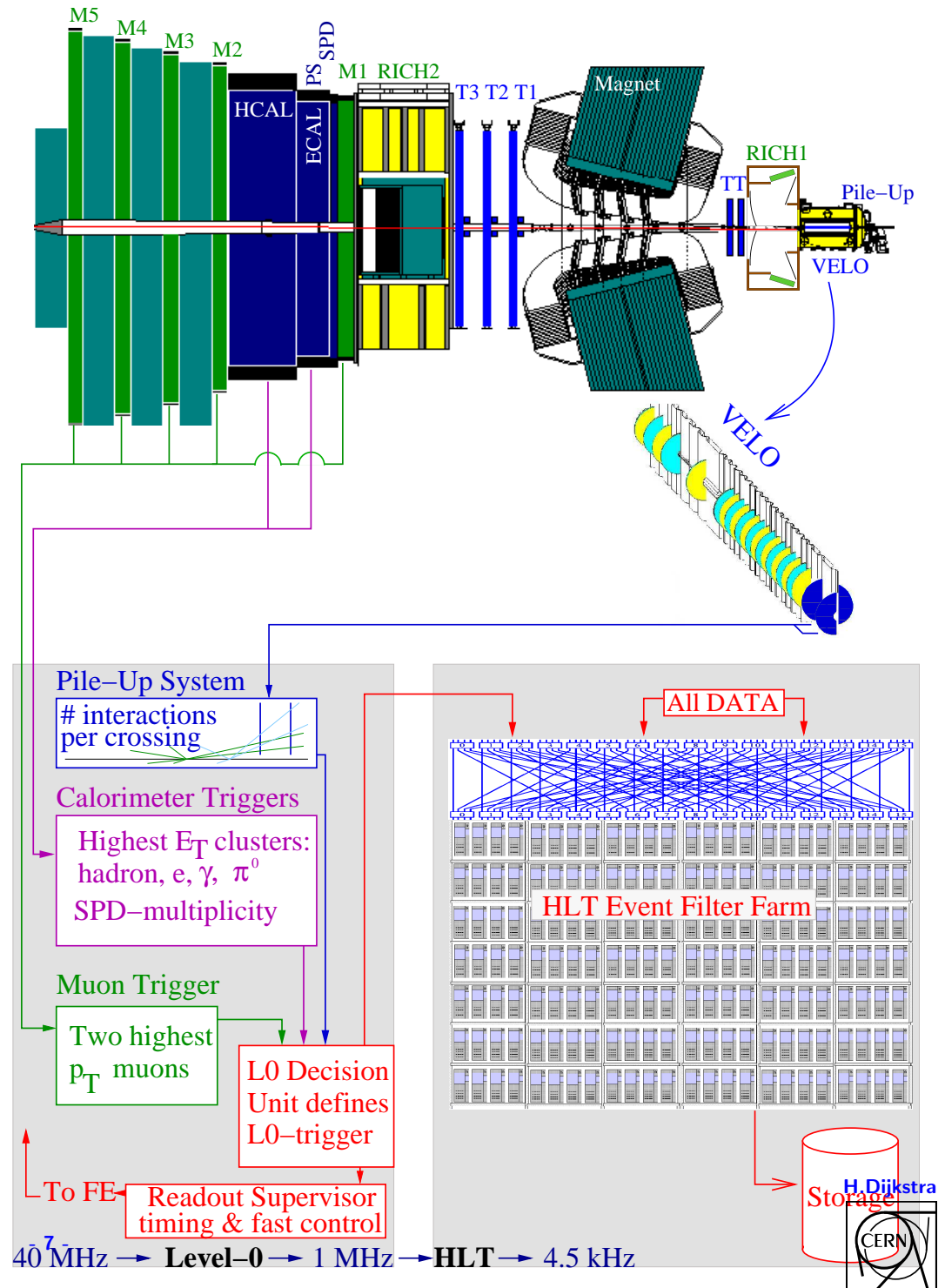
# Trigger Overview

## Level-0 (hardware):

- Largest  $E_T$  hadron,  $e(\gamma)$  and  $\mu$ .  
Typical thresholds: 3.5, 2.5, 1.5 GeV.
- Bottleneck: 1 MHz max-output rate.
- L0 limiting yield for larger  $L^{\text{peak}}$ :
  - @  $L > 2 \cdot 10^{32}$ : L0-retention  $\sim 10\%$
  - @  $L > 10^{33}$ : L0-retention  $\sim 3\%$
  - Result:  $E_T$  threshold  $\gg M_B$ .

## High Level Trigger (software):

- Access to all detector info.
- Limitation: CPU (brain?) power.
- Will improve with Moore's law "automatically".
- This year: 20-30% more CPU power, by storing events, and processing in inter-fill gaps.

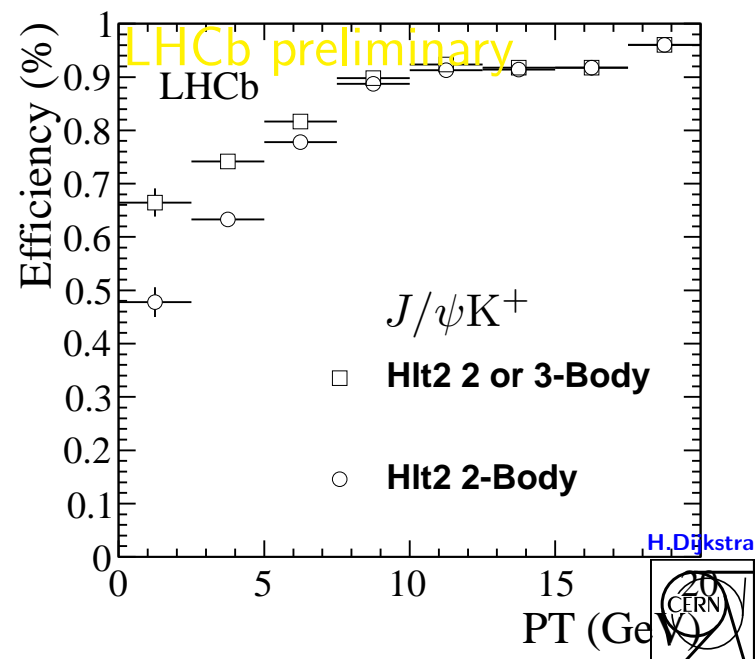
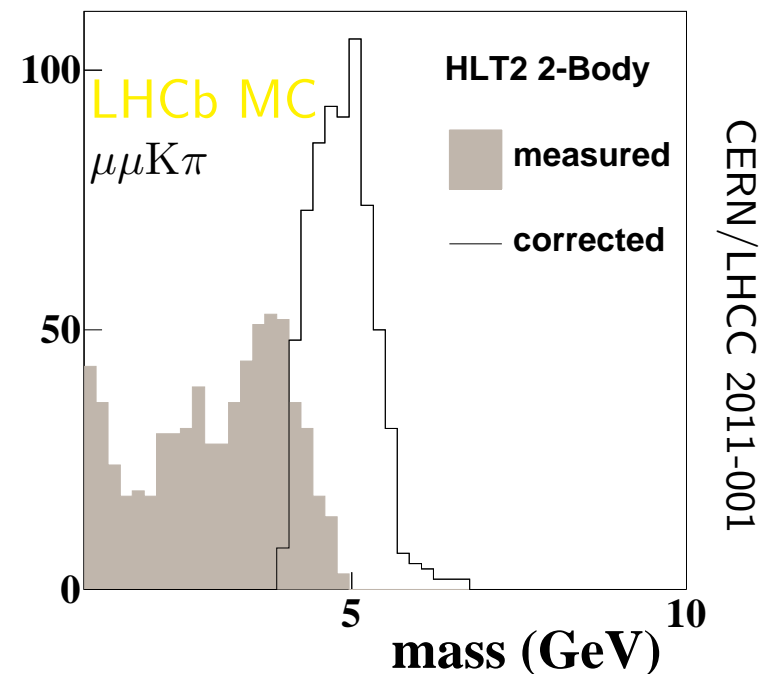


# HLT Inclusive Trigger

HLT strategy:

- Reconstruct all PVs.
- HLT1:
  - Select tracks with significant IP to any PV.
  - Reconstruct  $p_T$  of these tracks.
  - Select  $\sim 10\%$  events with at least one track with significant IP,  $p_T$ .
- HLT2:
  - Reconstruct  $p$  of all tracks with  $p_T > 0.5$  GeV.
  - Built secondary vertices, with 2,3,4 tracks.
  - Calculate “corrected-mass” using PV  $\leftrightarrow$  secondary-vertex pointing constraint.  
Example: MC:  $B^0 \rightarrow \mu\mu K\pi$ , using 2-tracks.
  - Data:  $B^+ \rightarrow J/\psi K^+$  with 2 tracks only.
  - (Leptonic decays: use leptons)

HLT already very efficient, just based on topology.

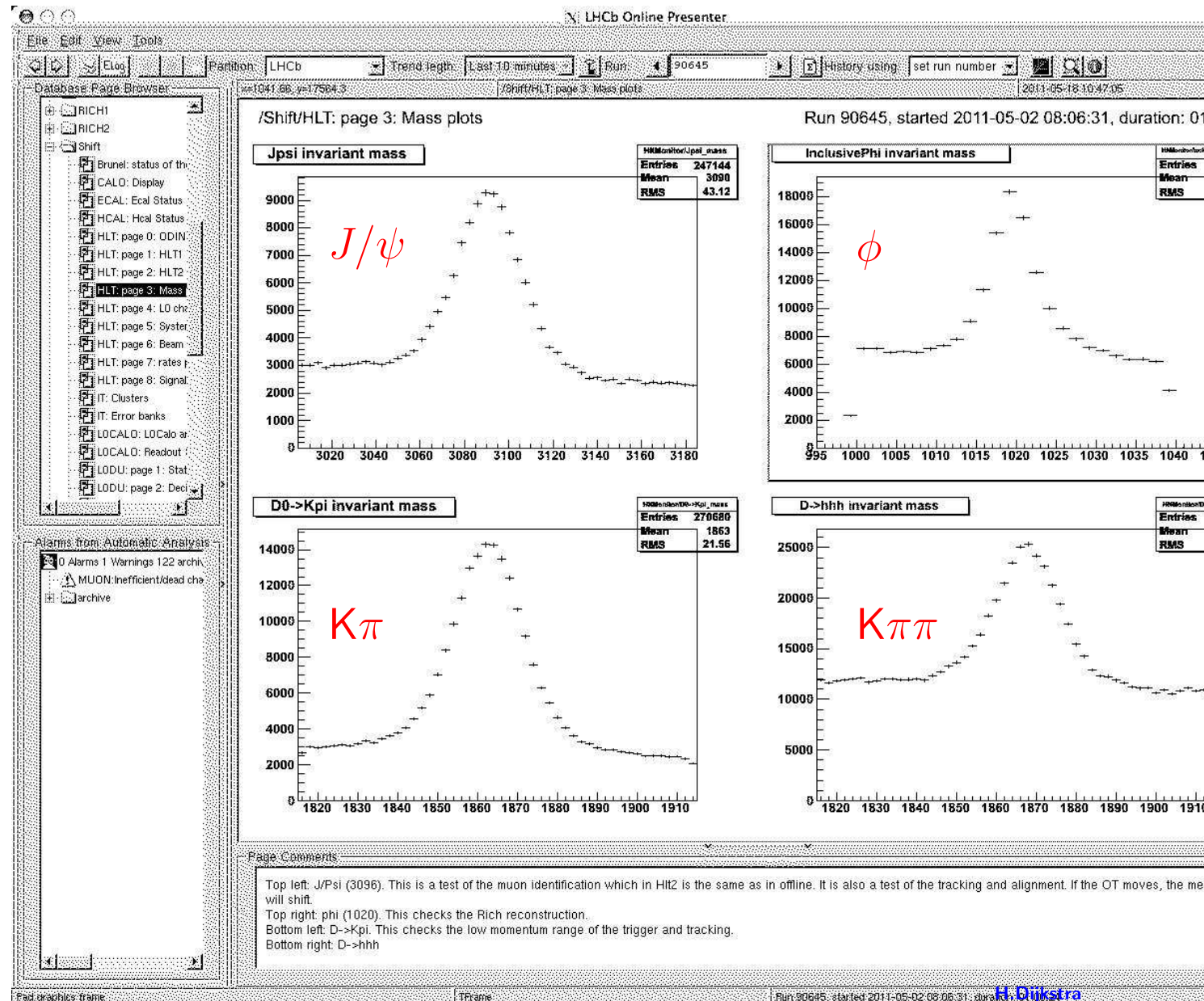




# HLT Exclusive Triggers

☺ Charm “background” overwhelming!

- Inclusive charm triggers → high rate.
- Use exclusive reconstructed channels.
- Use RICH PID.
- Charm as on-line monitor. few min snapshot of on-line display.

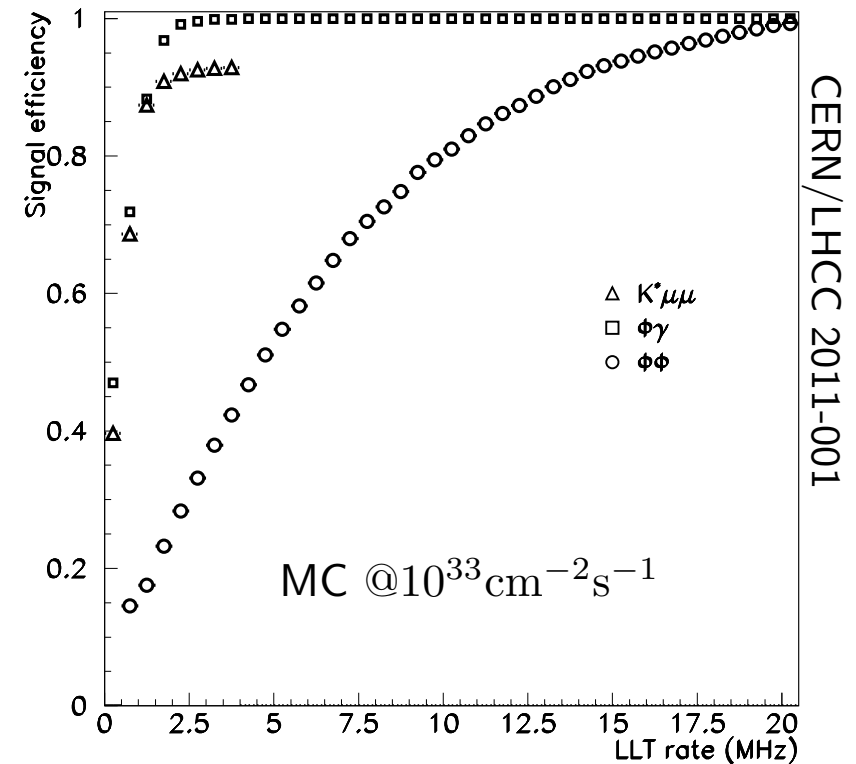


# Upgrade the Trigger

Higher lumi bottleneck is 1 MHz L0 trigger!  
 Improve: need both IP and  $p_T$  of tracks in first level  
 Two ways investigated:

- 1) Put more information in L0, keep 1 MHz:
  - L0-latency is 4  $\mu\text{s}$ , of which 2.5  $\mu\text{s}$  for trigger.
  - Add VELO+TT, calculate IP,  $p_t$  in FPGA etc..
  - 2.5  $\mu\text{s}$  is show stopper, also very inflexible.
- 2) “Remove” L0, all software trigger:
  - Readout FE at 40 MHz, iso 1 MHz now.
  - Move all data for every xing to EFF.
  - Very flexible trigger.
  - Keep L0-like Low Level Trigger (LLT) to “stage” rate to CPU-farm.

LHCb decided on 2).



LLT-rate (MHz)	1.	5.	10.
$B_s \rightarrow \phi\phi$	0.12	0.51	0.82
$B_d \rightarrow K^* \mu\mu$	0.36	0.89	0.97
$B_s \rightarrow \phi\gamma$	0.39	0.92	1.00

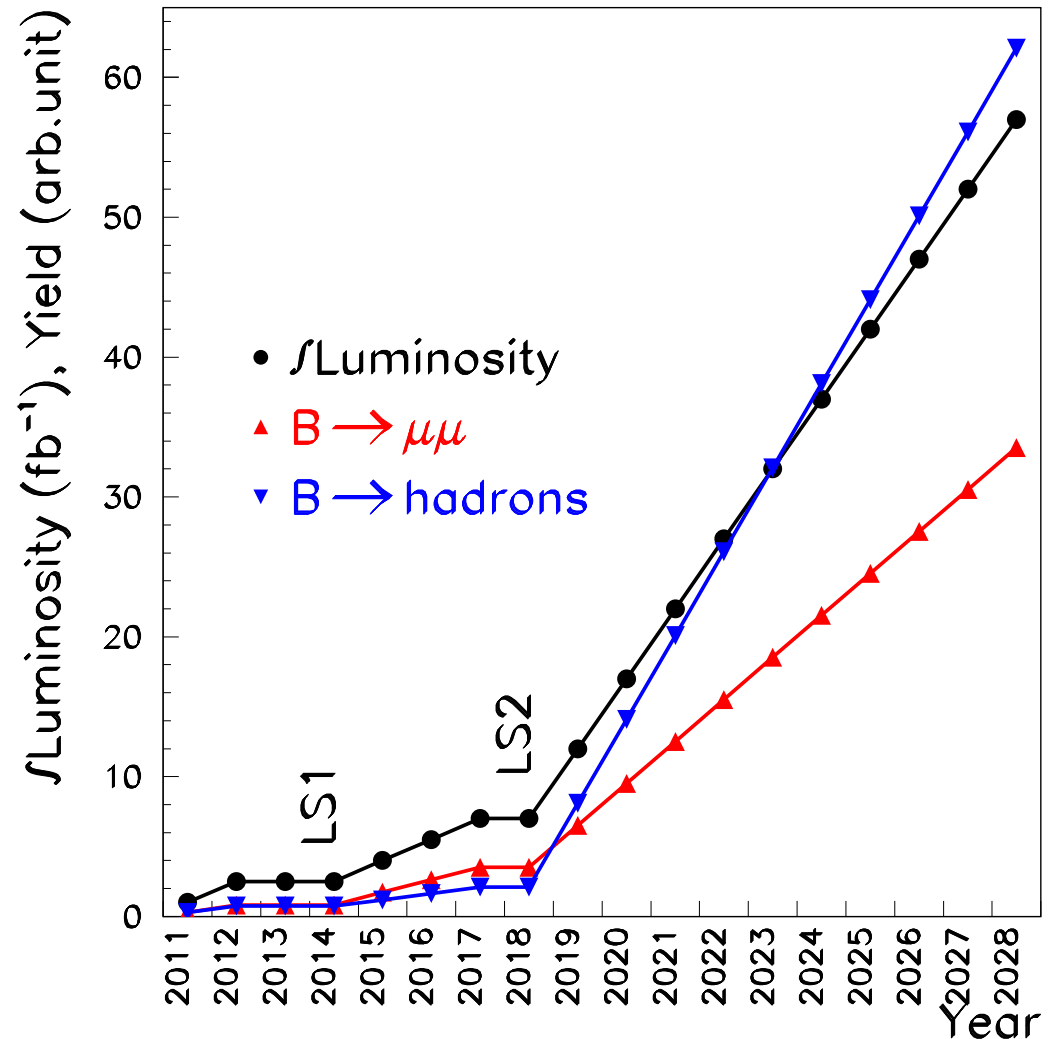
# Strategy and Expected Performance

## Upgrade Strategy:

- Change FE-electronics to be able to move all data of every ring into a large Event Filter Farm (EFF).
- Install in LS2 (2018)
- Target luminosity  $10^{33} \text{cm}^{-2} \text{s}^{-1}$ .
- Sub-detectors which require replacement should sustain  $2 \times 10^{33} \text{cm}^{-2} \text{s}^{-1}$

## Simulated LLT and “present” HLT:

- Assume flat  $10^{33} \text{cm}^{-2} \text{s}^{-1}$ .
- $5 \text{ fb}^{-1} / \text{year}$ .
- For charm improve even more.
- More EFF-power (algorithms, GPU, ?), will improve even further.

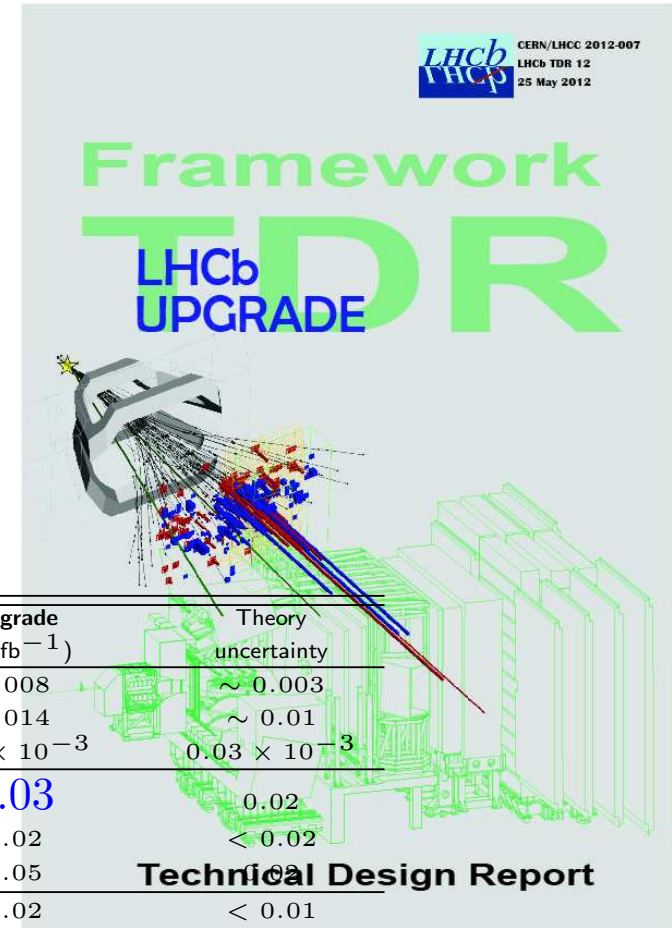


# Expected Upgrade Sensitivities

2012: LHCb Upgrade Framework TDR

<http://cdsweb.cern.ch/record/1443882/files/LHCB-TDR-012.pdf>

- 2018: expect  $\int L = 5 \text{ fb}^{-1}$
- 2028: expect  $\int L = 50 \text{ fb}^{-1}$



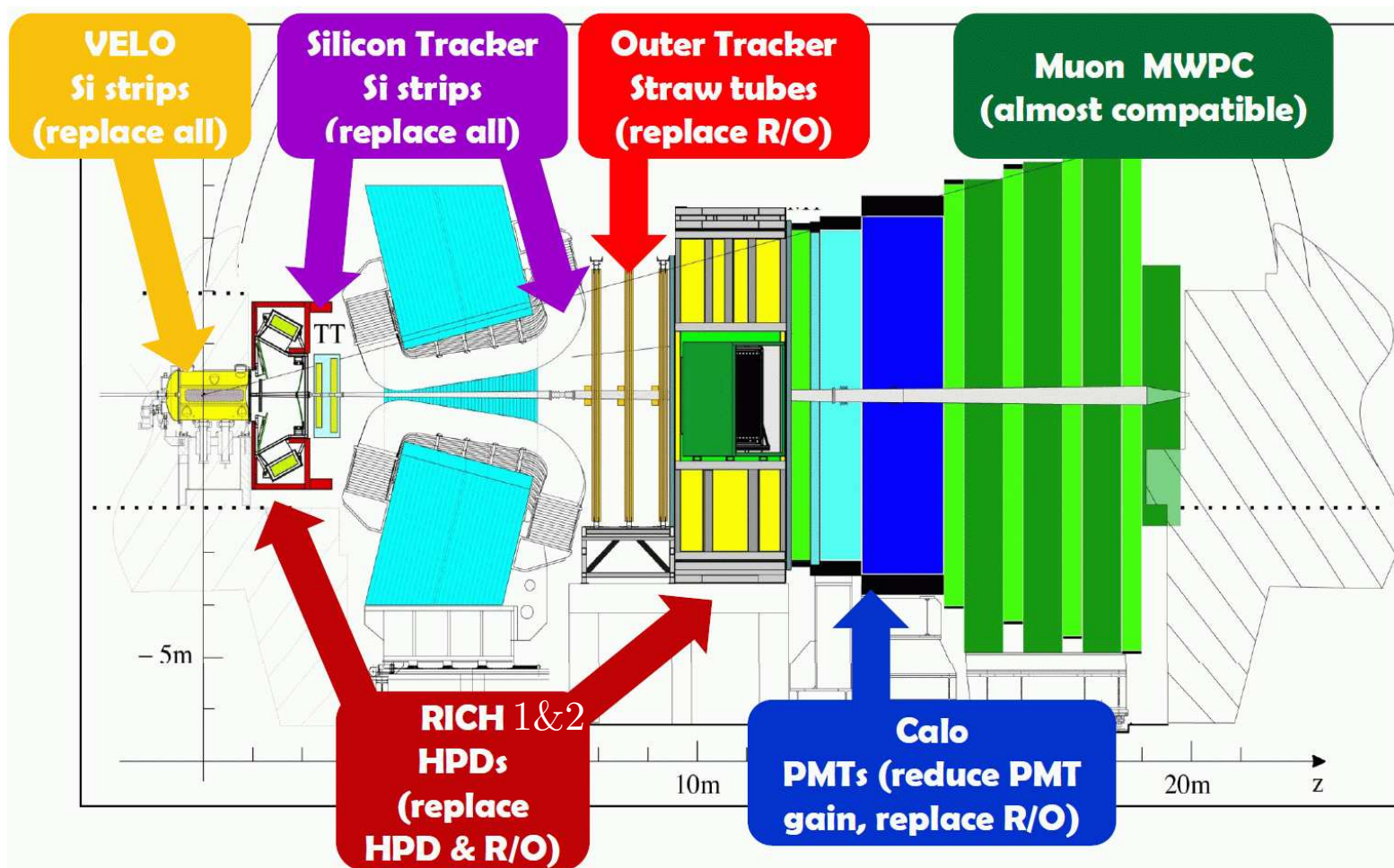
Type	Observable	Current precision	LHCb 2018	Upgrade (50 fb <sup>-1</sup> )	Theory uncertainty
$B_s^0$ mixing	$2\beta_s(B_s^0 \rightarrow J/\psi \phi)$	0.10	0.025	0.008	$\sim 0.003$
	$2\beta_s(B_s^0 \rightarrow J/\psi f_0(980))$	0.17	0.045	0.014	$\sim 0.01$
	$A_{fs}(B_s^0)$	$6.4 \times 10^{-3}$	$0.6 \times 10^{-3}$	$0.2 \times 10^{-3}$	$0.03 \times 10^{-3}$
Gluonic penguin	$2\beta_s^{\text{eff}}(B_s^0 \rightarrow \phi\phi)$	-	<b>0.17</b>	<b>0.03</b>	0.02
	$2\beta_s^{\text{eff}}(B_s^0 \rightarrow K^{*0} \bar{K}^{*0})$	-	0.13	0.02	$< 0.02$
	$2\beta_s^{\text{eff}}(B^0 \rightarrow \phi K_S^0)$	0.17	0.30	0.05	0.02
Right-handed currents	$2\beta_s^{\text{eff}}(B_s^0 \rightarrow \phi\gamma)$	-	0.09	0.02	$< 0.01$
	$\tau^{\text{eff}}(B_s^0 \rightarrow \phi\gamma)/\tau_{B_s^0}$	-	5 %	1 %	0.2 %
h Electroweak penguin	$S_3(B^0 \rightarrow K^{*0} \mu^+ \mu^-; 1 < q^2 < 6 \text{ GeV}^2/c^4)$	0.08	0.025	0.008	0.02
	$s_0 A_{\text{FB}}(B^0 \rightarrow K^{*0} \mu^+ \mu^-)$	25 %	6 %	2 %	7 %
	$A_{\text{I}}(K \mu^+ \mu^-; 1 < q^2 < 6 \text{ GeV}^2/c^4)$	0.25	0.08	0.025	$\sim 0.02$
	$\mathcal{B}(B^+ \rightarrow \pi^+ \mu^+ \mu^-)/\mathcal{B}(B^+ \rightarrow K^+ \mu^+ \mu^-)$	25 %	8 %	2.5 %	$\sim 10 \%$
Higgs penguin	$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$	$1.5 \times 10^{-9}$	<b><math>0.5 \times 10^{-9}</math></b>	<b><math>0.15 \times 10^{-9}</math></b>	$0.3 \times 10^{-9}$
	$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)/\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$	-	$\sim 100 \%$	$\sim 35 \%$	$\sim 5 \%$
Unitarity triangle angles	$\gamma(B \rightarrow D^{(*)} K^{(*)})$	$\sim 10\text{--}12^\circ$	$4^\circ$	$0.9^\circ$	negligible
	$\gamma(B_s^0 \rightarrow D_s K)$	-	$11^\circ$	$2.0^\circ$	negligible
	$\beta(B^0 \rightarrow J/\psi K_S^0)$	$0.8^\circ$	$0.6^\circ$	$0.2^\circ$	negligible
Charm	$A_\Gamma$	$2.3 \times 10^{-3}$	$0.40 \times 10^{-3}$	$0.07 \times 10^{-3}$	-
CP violation	$\Delta A_{CP}$	$2.1 \times 10^{-3}$	$0.65 \times 10^{-3}$	$0.12 \times 10^{-3}$	-

**Technical Design Report**



# Upgrading LHCb

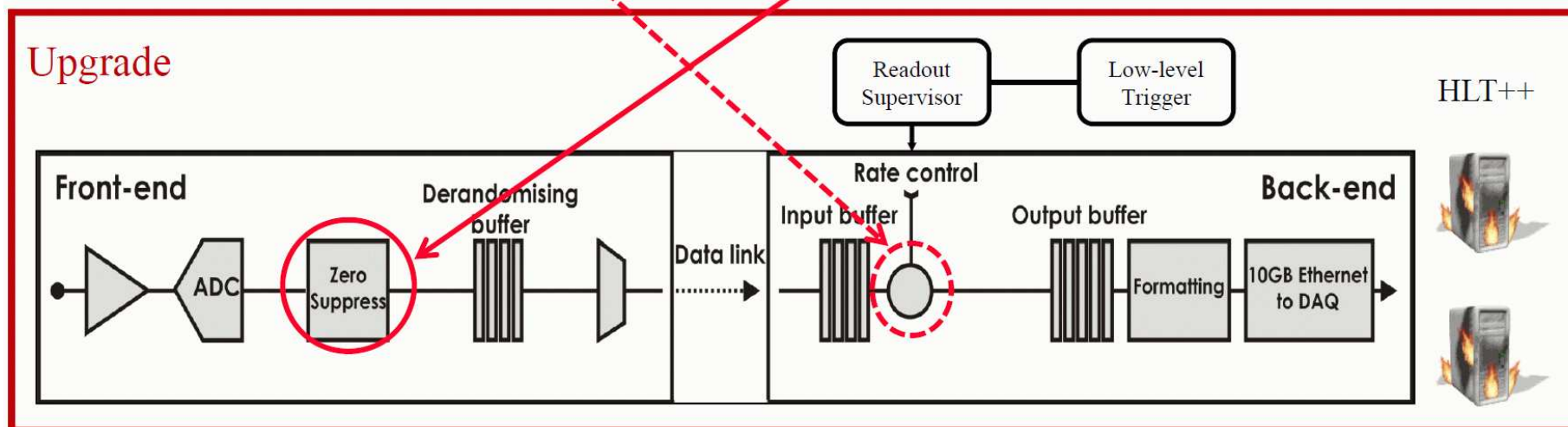
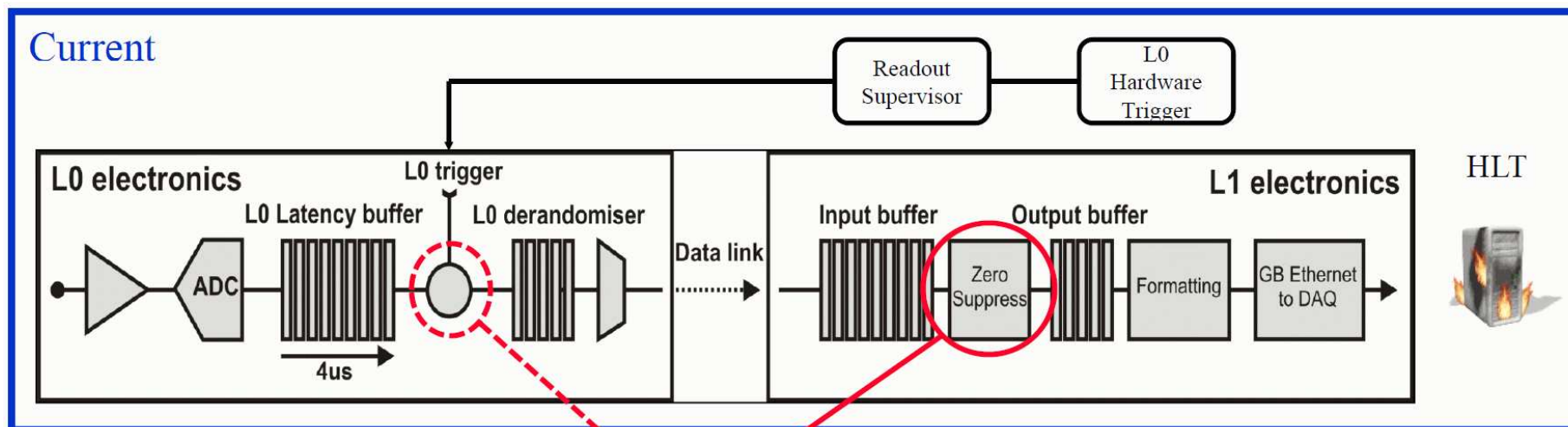
- Upgrading “all” FE-electronics to readout FE at 40 MHz.
- Upgrading Tracking detectors.



- Upgrading PID detectors.

# FE-electronics 1 → 40 MHz

Current: FE latency-buffer, and zero-suppress after L0 trigger



Upgrade: zero-suppress in FE, no trigger→FE, LLT in back-end.

# Upgrading Trackers

**Aim: cope with  $2 \times 10^{33} \text{cm}^{-2} \text{s}^{-1}$**

VELO (now Si-strixels):

- Two options being studied: pixels and strixels
- Main challenges (common!): cooling and data rates
- Improve  $\sigma_{\text{IP}}$ : reduce Si distance to beam.

TT (now Si-strips):

- Si-strips. Reduce strip size at large  $\eta$ .
- Main challenges: cooling (radiation dose) and material budget.
- Closer to 10 mrad beam-pipe.

T-stations (now 5 mm straw tubes with Si-strips close to beam-pipe):

- Straw: 40 MHz read-out, and further away from beam-pipe.
- Near beam-pipe: Scintillating Fibre or larger Si-strip detector.
- SciFi tracker challenges: radiation hardness of fibres and SiPM read-out.
- Si tracker: material budget.

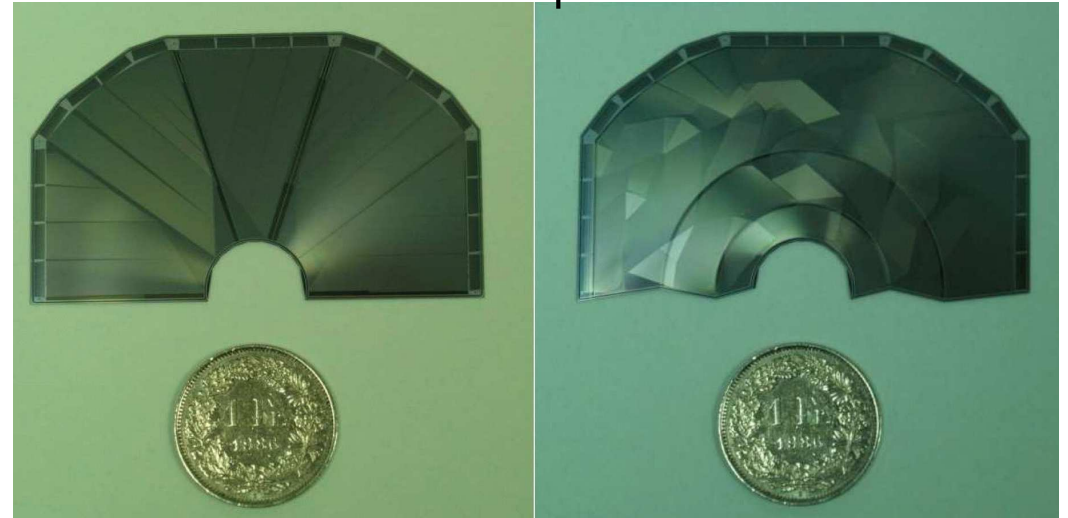


# Velo Upgrade

## Strixels:

- Prototype sensors being tested:  
200  $\mu\text{m}$  thick, n-in-p.  
Smallest pitch: 30  $\rightarrow$  25  $\mu\text{m}$ .
- FE-chip: common development  
for all Si-strip detectors in LHCb

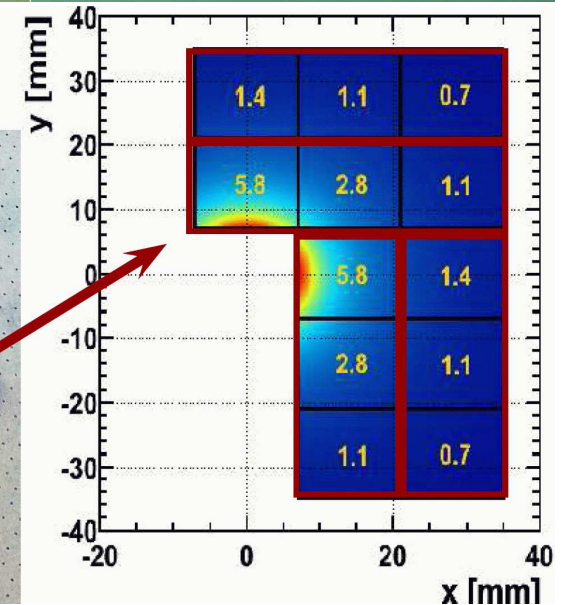
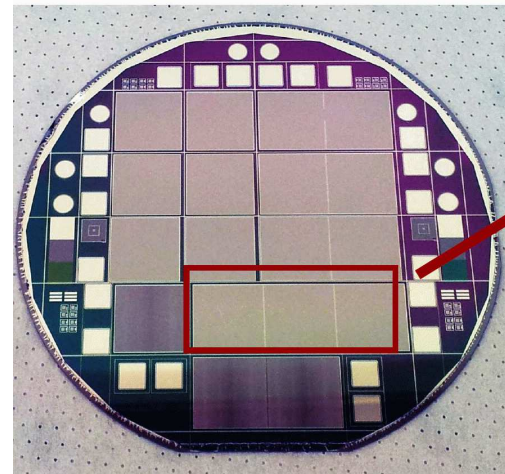
Radial Strips Circular



## Pixels:

- Prototype sensors:  
200  $\mu\text{m}$  thick.  
pixel: 55  $\times$  55  $\mu\text{m}^2$
- FE-chip: Velopix being developed  
based on Timepix3 (spinoff from  
Medipix3), 256  $\times$  256 pixels/chip.

Pixel wafer

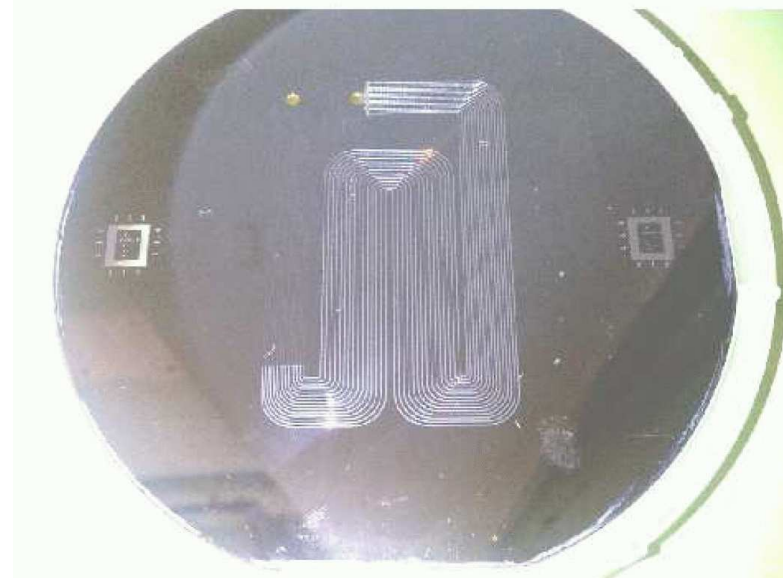


# Velo Cooling

Close to beam:  $\sim 5 \times 10^{15} \text{ n}_{\text{eq}} \text{ cm}^{-2}$

- Keep  $T < -10 \text{ }^\circ\text{C}$  to prevent thermal runaway.
- Present Velo:  
evaporative  $\text{CO}_2$  cooling, and TPG substrate  
to connect sensor with cooling manifold:
- Upgrade cooling:
  - Like now: TPG  $\rightarrow$  diamond?
  - Move  $\text{CO}_2$  to sensors: micro-channel cooling  
Bond Si with micro-channels on sensor.  
Can remove 13 W from  $40 \times 17 \text{ mm}^2$  area.

## Microchannel cooling



# Velo Inner Radius

Velo is a moveable detector.

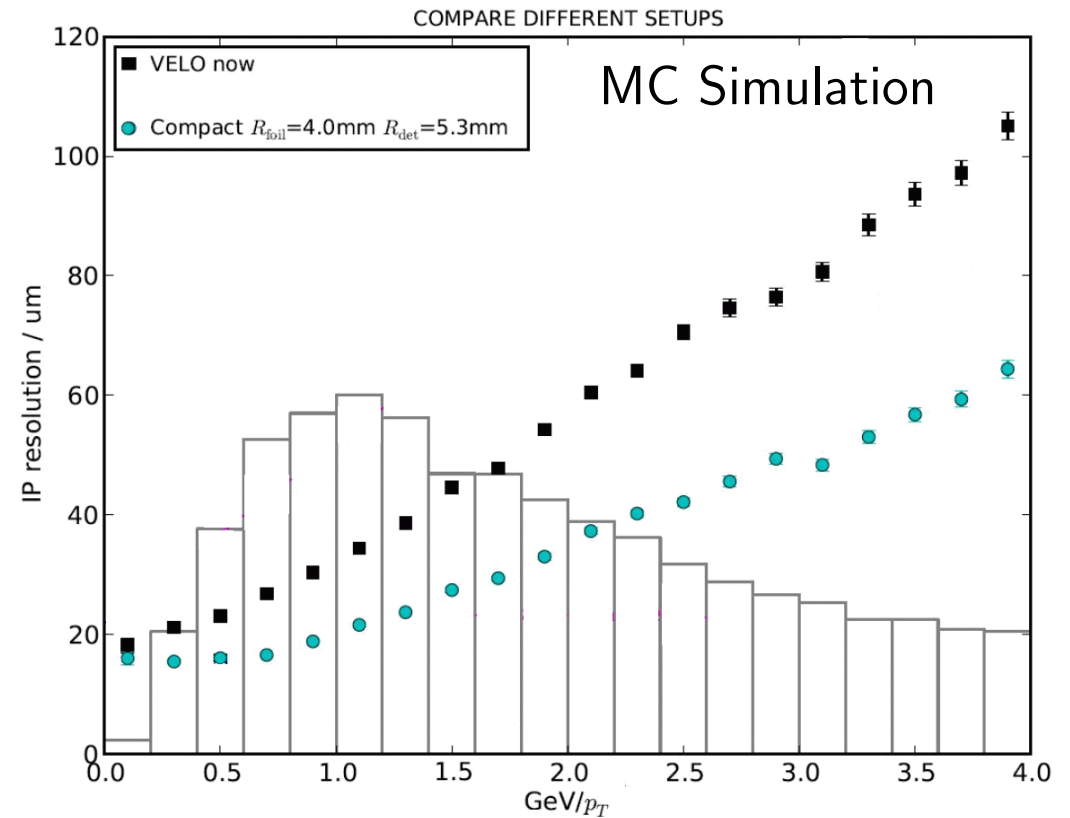
It closes around the beam every LHC fill.

- 300  $\mu\text{m}$  thick Al RF-foil @  $r = 5.5$  mm
- First Si-strip at @  $r = 8.2$  mm.

LHC beam stable (few  $\mu\text{m}$ ) during Fill.

Upgrade:

- Thinner RF-foil and closer to beam.
- Si closer to RF foil, reduce guard ring, better resolution.
- $\rightarrow$  large gain in IP-resolution.



# Tracker Stations

Now:

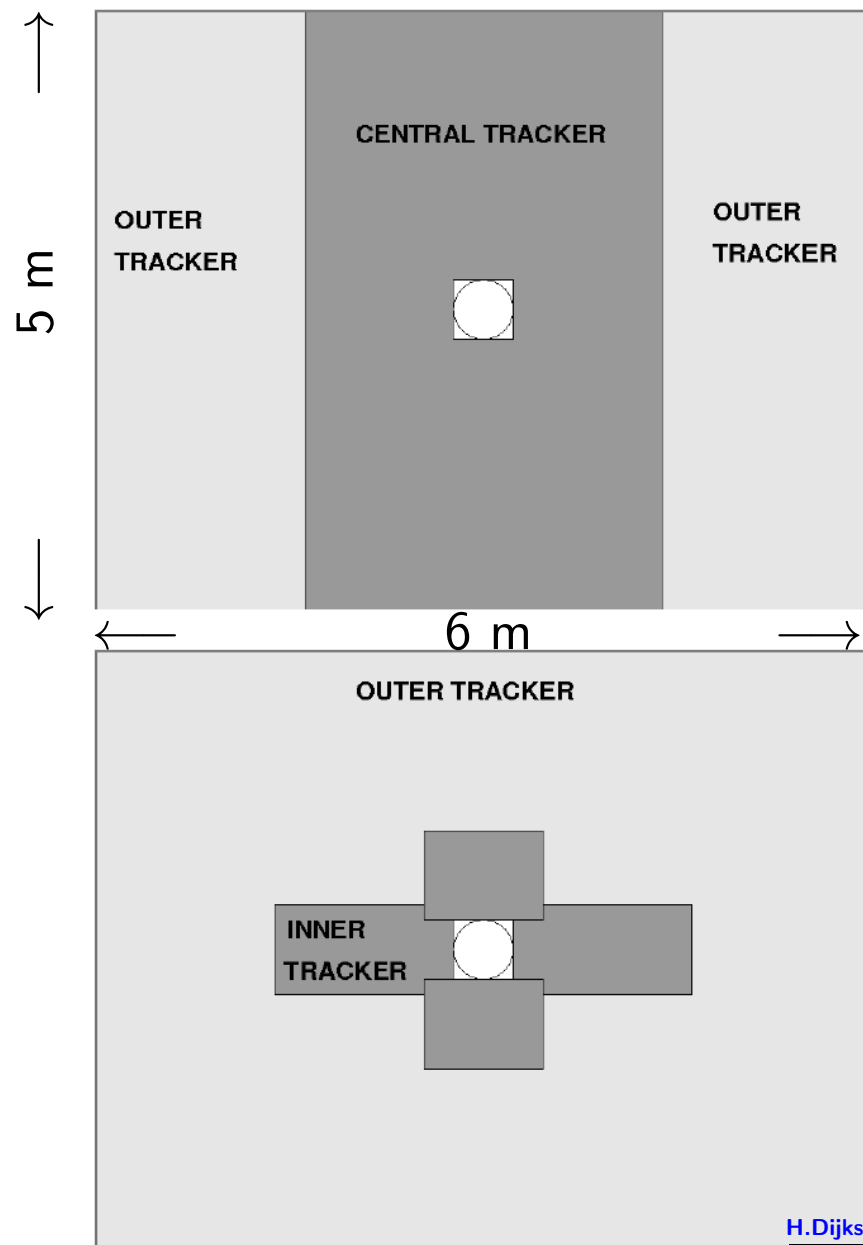
OT 24 straws planes, 5 mm  $\varnothing$ ,  $\int 50$  ns

IT 12 Si-strips planes close to beam.

Two options to decrease OT occupancy in center:

- 1 Replace center with Scintillating Fibres
- 2 Larger Si-IT close to beam.

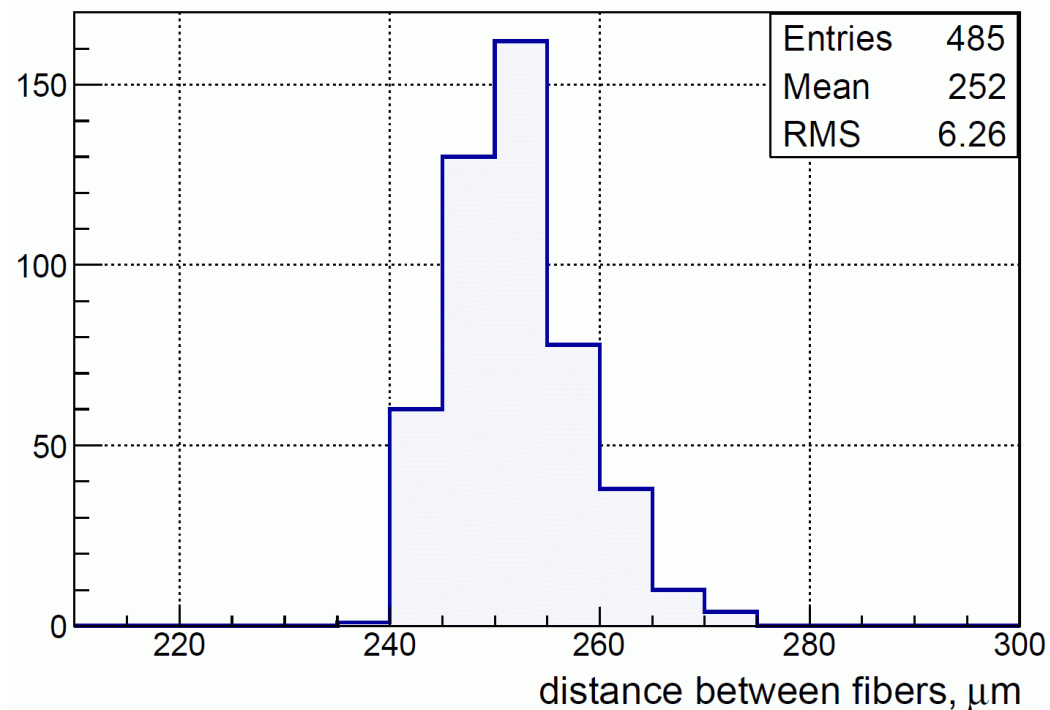
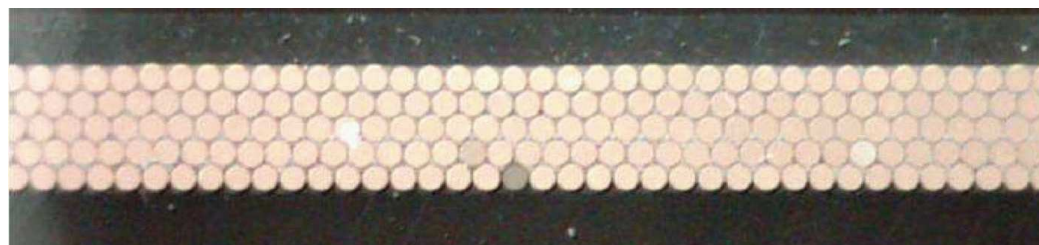
1+2 Mix SciFi with Si-IT



H.Dijkstra

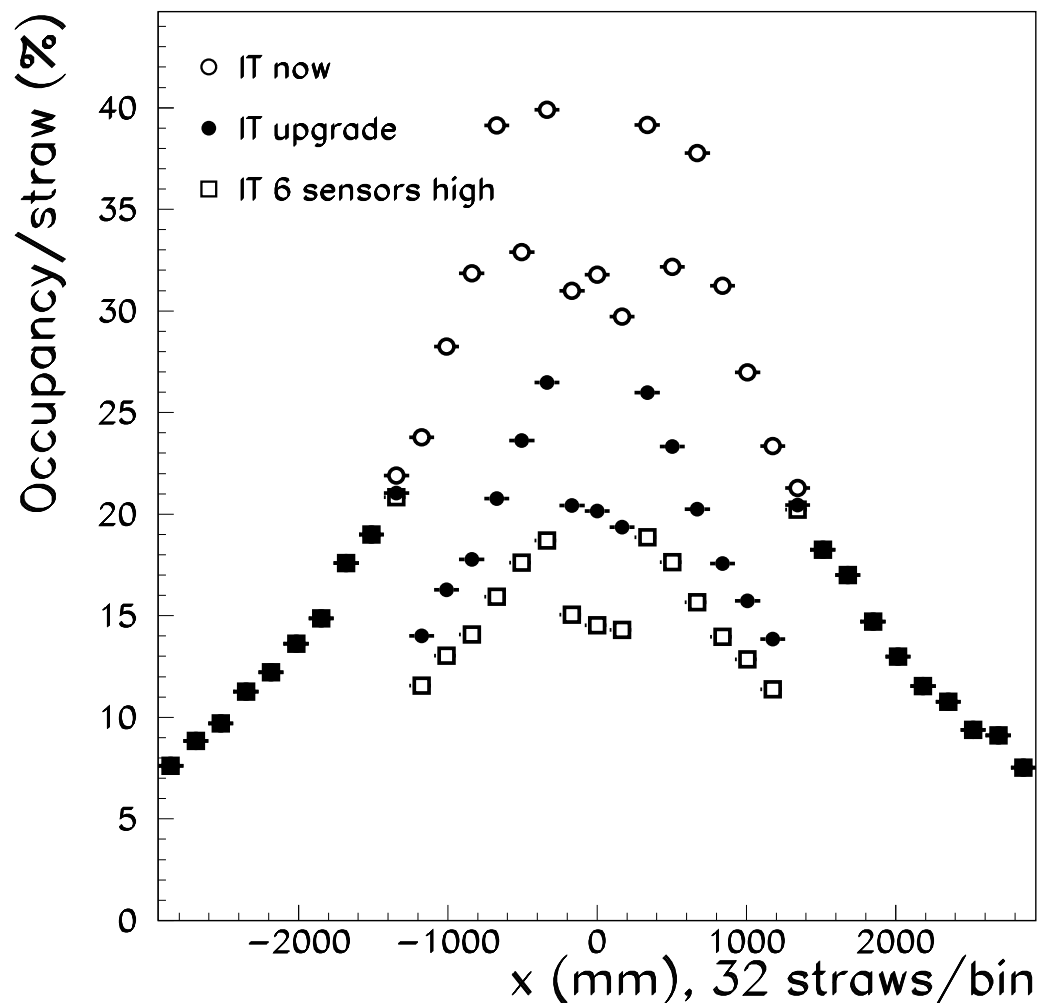
# Scintillating Fibres

- 250  $\mu\text{m}$   $\varnothing$  fibres.
  - $X/X_0 = 0.7\%$  per layer of 5 fibres.
  - 2.5 m long modules produced.
  - excellent spacial precision achieved.
  - $\sim 50\ \mu\text{m}$  precision/cluster.
- Radiation:
    - SiPM: need shielding+cooling.  
Test (in situ) ongoing.
    - Fibre attenuation near beam-pipe:  
campaign of irradiation tests.



# Silicon IT

- Si-IT size driven by OT occupancy.
- Aim:  $2 \times 10^{33} \text{cm}^{-2} \text{s}^{-1}$
- Pattern recognition deteriorates  $> 20\%$  occupancy.
- OT occupancy dominated by secondaries, hence:
  - New Be beam-pipe support (LS1)
  - Lighter IT, using air cooling.  
Radiation:  $\lesssim 5^\circ\text{C}$
  - Less (12  $\rightarrow$  10) IT layers?
  - Move IT behind OT.
  - Use faster OT gas.



# PID Upgrade

## Muon system:

- Vital input for LLT, HLT and off-line  $\mu$ -identification.
- M1 (before Calorimeters) will be removed. Provides improved momentum resolution for L0, not needed in LLT.
- FE already read-out at 40 MHz. Needs some modifications to comply with upgrade scheme.
- Present M2-M5 can cope with  $1 \times 10^{33} \text{cm}^{-2} \text{s}^{-1}$ . At higher rates too much dead-time close to beam. Several options under study: shielding, smaller pads, faster FE.

## Calorimeter system:

- LLT on  $\gamma$ , electron and hadrons. Off-line  $\gamma$  resolution.
- Now: Scintillating Pad Detector (e/ $\gamma$  separation) and Pre-Shower ( $\pi$  suppression): not needed in LLT. Remove and improve ECAL resolution.
- Radiation: studies ongoing, module in tunnel with  $5 \times$  highest flux. Expect mild resolution degradation at highest doses. Could replace innermost cells.
- Lower PMT gain  $\rightarrow$  new FE being developed.

## RICH system:

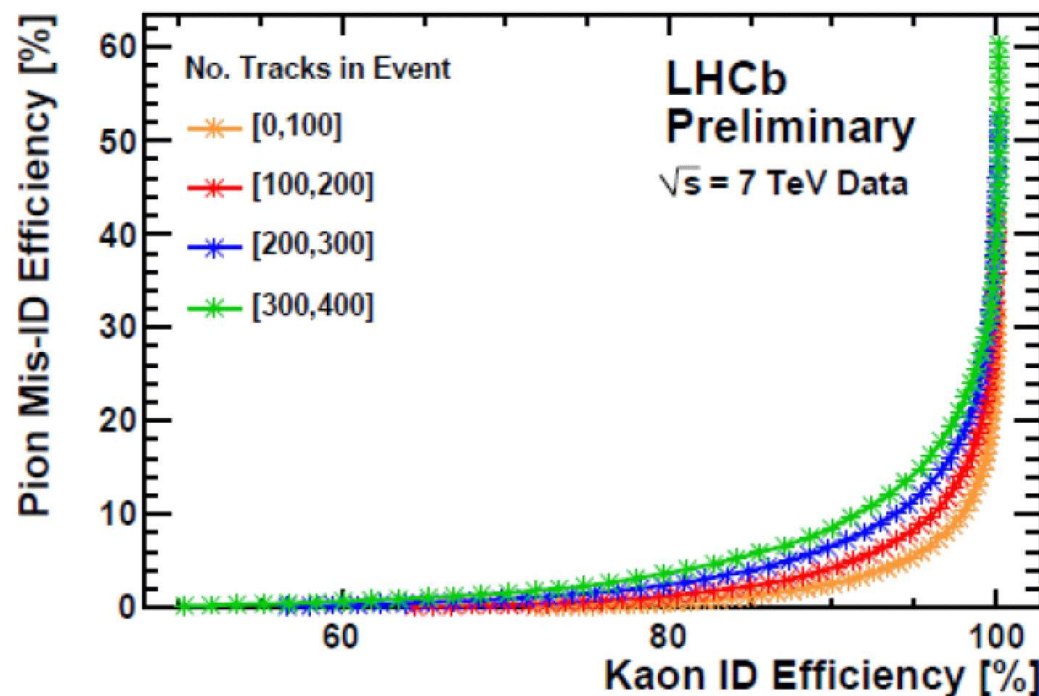
- Next slides..



# RICH Upgrade (baseline)

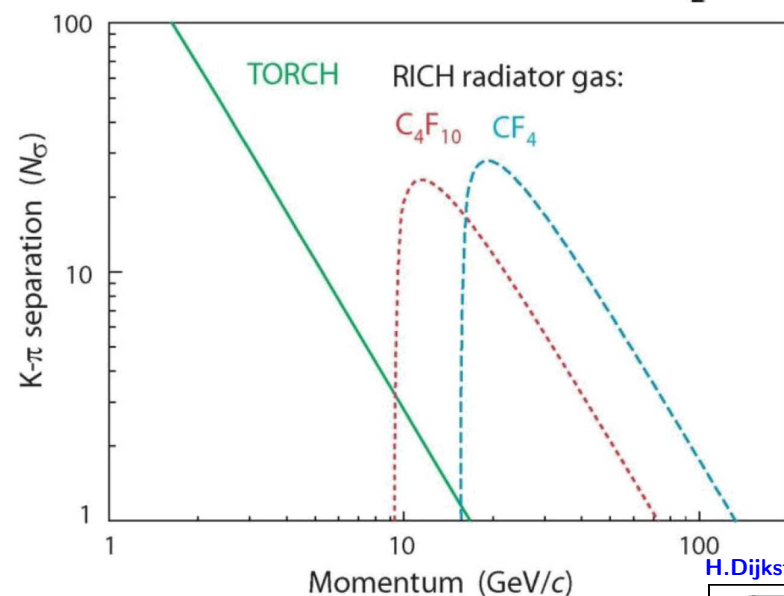
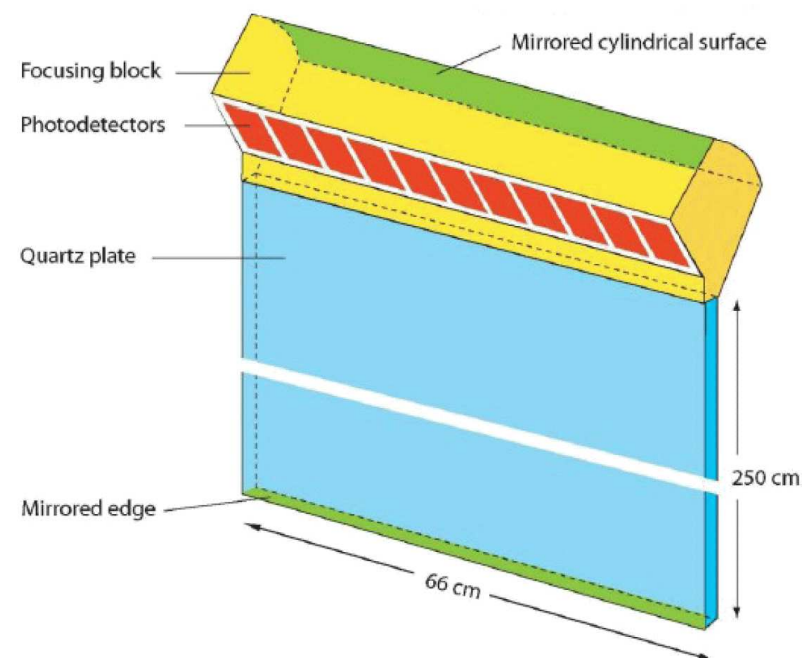
PID performance vs track multiplicity

- Keep present arrangement, i.e. RICH1 and RICH2
- Remove aerogel (low p coverage) from RICH1: too few photons/ring to reconstruct at high lumi.
- Need to replace photon detectors (HPD) with 40 MHz read-out system. Several possibilities under study.
- Existing PID pattern recognition functions well, even at  $2 \times 10^{33}$ .



# RICH Upgrade: R&D → 2020++?

- Time Of internally Reflected Cherenkov light.
- Measure TOF of Cherenkov photons produced in 1 cm thick  $6 \times 5 \text{ m}^2$  quartz plate.
- Located after T-stations.
- Cover low momentum range (lost due to aerogel removal)  
Important for tagging with  $K^\pm$ .



H.Dijkstra



## Conclusions

- Proven record to be able to do high precision flavour physics at LHC.
- LHCb needs to upgrade the trigger to be able to profit from larger LHC luminosity.
- LHCb decided to move to full software trigger at first level.  
Very flexible trigger to adapt to needs a decade from now.
- All sub-detectors R&D underway, some options, final decisions Q1-2013.
- Install during LS2 (2018).
- $\int L \sim 5 \text{ fb}^{-1}$  by 2018, then collect  $\int L \sim 5 \text{ fb}^{-1}/\text{year}$  with much improved (hadron) trigger.
- Expect improved sensitivity by factor 3-6 compared to no upgrade, depending on channel.
- Allows exploration of new observables.