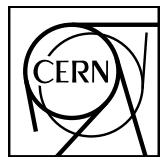


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Transverse Momentum Distribution and Nuclear Modification Factor of Charged Particles in p–Pb Collisions at $\sqrt{s_{\text{NN}}} = 5.02 \text{ TeV}$

The ALICE Collaboration*

Abstract

The transverse momentum (p_{T}) distribution of primary charged particles is measured in non single-diffractive p–Pb collisions at $\sqrt{s_{\text{NN}}} = 5.02 \text{ TeV}$ with the ALICE detector at the LHC. The p_{T} spectra measured near central rapidity in the range $0.5 < p_{\text{T}} < 20 \text{ GeV}/c$ exhibit a weak pseudorapidity dependence. The nuclear modification factor R_{pPb} is consistent with unity for p_{T} above $3 \text{ GeV}/c$. This measurement indicates that the strong suppression of hadron production at high p_{T} observed in Pb–Pb collisions at the LHC is not due to an initial-state effect. The measurement is compared to theoretical calculations.

*See Appendix A for the list of collaboration members

Measurements of particle production in proton-nucleus collisions at high energies allow to study fundamental properties of Quantum Chromodynamics (QCD) at low parton fractional momentum x and high gluon densities (see [1] for a recent review). It also provides a reference measurement for the studies of deconfined matter created in nucleus-nucleus collisions [2].

Parton energy loss in hot QCD matter is expected to lead to a modification of energetic jets in this medium (jet quenching) [3]. Originating from energetic partons produced in initial hard collisions, hadrons at high transverse momentum p_T are an important observable for the study of deconfined matter. Experiments at RHIC at a center-of-mass (cms) collision energy per nucleon pair $\sqrt{s_{NN}} = 200$ GeV have shown [4,5] that the production of charged hadrons at high p_T in Au–Au collisions is suppressed compared to an expectation from an independent superposition of nucleon-nucleon collisions (binary collision scaling).

By colliding Pb nuclei at the LHC it was shown [6–8] that the production of charged hadrons in central collisions at $\sqrt{s_{NN}} = 2.76$ TeV is suppressed stronger than at RHIC, indicating a state of QCD matter with an even higher energy density. At the LHC, the suppression remains substantial up to 100 GeV/c [7,8] and is also seen in reconstructed jets [9]. A p–Pb control experiment is needed to establish whether the initial state of the colliding nuclei is playing a role in the observed suppression of hadron production at high- p_T in Pb–Pb collisions. In addition, p–Pb data should also provide tests of models that describe QCD matter at high gluon density, giving insight into phenomena such as parton shadowing or gluon saturation [1].

In this letter, we present a measurement of the p_T distributions of charged particles in p–Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV. The data were recorded with the ALICE detector [10] during a short LHC p–Pb run performed in September 2012 in preparation for the main run scheduled at the beginning of 2013. Each beam contained 13 bunches and 8 pairs were colliding in the ALICE interaction region, providing a luminosity of about $8 \times 10^{25} \text{ cm}^{-2}\text{s}^{-1}$. The interaction region had an r.m.s. width of 6.3 cm in the longitudinal direction and of about 60 μm in the transverse directions. The data sample and the offline event selection is identical to that in the analysis of charged-particle multiplicities reported in [11].

The trigger required a signal in either of two arrays of 32 scintillator tiles each, covering full azimuth and $2.8 < \eta_{\text{lab}} < 5.1$ (VZERO-A) and $-3.7 < \eta_{\text{lab}} < -1.7$ (VZERO-C), respectively. The pseudorapidity in the detector reference frame, $\eta_{\text{lab}} = -\ln[\tan(\theta/2)]$, with θ the polar angle between the charged particle and the beam axis, is defined such that the proton beam has negative η_{lab} . This configuration leads to a trigger rate of about 200 Hz, with a hadronic collision rate of about 150 Hz. The efficiency of the VZERO trigger was estimated from a control sample of events triggered by signals from two Zero Degree Calorimeters (ZDC) positioned symmetrically at 112.5 m from the interaction point, with an energy resolution of about 20% for single neutrons of a few TeV. The time resolution of the VZERO counters, which is better than 1 ns, allows to discriminate beam-beam collisions from background events produced outside of the luminous region.

In the offline event selection, a signal is required in both VZERO-A and VZERO-C. Beam-gas and other machine-induced background events with deposited energy above the thresholds in the VZERO or ZDC detectors are suppressed by requiring the arrival time to be compatible with that of a nominal p–Pb interaction. The remaining background after these requirements is estimated from triggers on non-colliding bunches, and found to be negligible. The resulting sample of events consists of non single-diffractive (NSD) collisions as well as single-diffractive and electromagnetic interactions. The efficiency of the trigger and offline event selection for the different interactions is estimated using a combination of event generators, see [11] for details. An efficiency of 99.2% for NSD collisions is estimated, with a negligible contamination from single-diffractive and electromagnetic interactions. The number of events used for the analysis is 1.5×10^6 .

The primary vertex position is determined with tracks reconstructed in the Inner Tracking System (ITS) and the Time Projection Chamber (TPC) by using a χ^2 minimization procedure described in [8]. An

event is accepted if the coordinate of the reconstructed vertex measured along the beam direction is within ± 10 cm around the center of the interaction region. The event vertex reconstruction algorithm is fully efficient for events with at least one track in the acceptance, $|\eta_{\text{lab}}| < 1.4$ (when the center of the interaction region is included as an additional constraint).

Primary charged particles are defined as all prompt particles produced in the collision, including decay products, except those from weak decays of strange hadrons. Selections based on the number of space points and the quality of the track fit, as well as on the distance of closest approach to the reconstructed vertex, are applied to the reconstructed tracks (see [8] for details). The efficiency and purity of the primary charged particle selection are estimated from a Monte Carlo simulation using the DPMJET event generator [12] with particle transport through the detector using GEANT3 [13]. The systematic uncertainties on corrections are estimated via a comparison to a Monte Carlo simulation using the HIJING event generator [14]. The overall primary charged particle reconstruction efficiency (the product of tracking efficiency and acceptance) for $|\eta_{\text{lab}}| < 0.8$ is 79% at $p_T = 0.5 \text{ GeV}/c$, reaches 81% at $0.8 \text{ GeV}/c$ and decreases to 72% for $p_T > 2 \text{ GeV}/c$. From Monte Carlo simulations it is estimated that the residual contamination from secondary particles is 1.6% at $p_T = 0.5 \text{ GeV}/c$ and decreases to about 0.6% for $p_T > 2 \text{ GeV}/c$.

The transverse momentum of charged particles is determined from the track curvature in the magnetic field of 0.5 T. The p_T resolution is estimated from the space-point residuals to the track fit and verified by the width of the invariant mass of K_S^0 mesons reconstructed in their decay to two charged pions. For the selected tracks the relative p_T resolution is 1.3% at $p_T = 0.5 \text{ GeV}/c$, has a minimum of 1.0% at $p_T = 1 \text{ GeV}/c$, and increases linearly to 2.2% at $p_T = 20 \text{ GeV}/c$. The uncertainty on the p_T resolution is $\pm 0.7\%$ at $p_T = 20 \text{ GeV}/c$, leading to a systematic uncertainty on the differential yield of up to 3% at this p_T value.

Table 1: Systematic uncertainties on the p_T -differential yields in p–Pb and pp collisions for $|\eta_{\text{cms}}| < 0.3$. The quoted ranges span the p_T dependence of the uncertainties.

| Uncertainty | Value |
|--|----------|
| Event selection | 1.0–2.0% |
| Track selection | 0.9–2.7% |
| Tracking efficiency | 3.0% |
| p_T resolution | 0–3.0% |
| Particle composition | 2.2–3.1% |
| MC generator used for correction | 1.0% |
| Secondary particle rejection | 0.4–1.1% |
| Material budget | 0–0.5% |
| Acceptance (conversion to η_{cms}) | 0–0.6% |
| Total for p–Pb, p_T -dependent | 5.2–5.5% |
| Normalization p–Pb | 3.1% |
| Total for pp, p_T -dependent | 7.7–8.2% |
| Normalization pp | 3.6% |
| Nuclear overlap $\langle T_{\text{pPb}} \rangle$ | 3.6% |

Due to the different energy per nucleon of the two colliding beams, imposed by the two-in-one magnet design of the LHC, the nucleon-nucleon cms moves with a rapidity $y_{\text{NN}}=0.465$ in the direction of the proton beam. As a consequence, the detector coverage, $|\eta_{\text{lab}}| < 0.8$, implies, for the nucleon-nucleon cms, roughly $-0.3 < \eta_{\text{cms}} < 1.3$. The calculation of $\eta_{\text{cms}} = \eta_{\text{lab}} + y_{\text{NN}}$ is accurate only for massless particles or at high p_T . Consequently, the differential yield at low p_T suffers from a distortion, which is estimated and corrected for based on the particle composition in the HIJING event generator. For $p_T = 0.5 \text{ GeV}/c$, the correction is 1% for $|\eta_{\text{cms}}| < 0.3$ and reaches 3% for $0.8 < \eta_{\text{cms}} < 1.3$. The

systematic uncertainties were estimated by varying the particle composition by factors of 2 around their nominal values. The uncertainty is sizable only at low p_T and is dependent on η_{cms} . It is 0.6% for $|\eta_{\text{cms}}| < 0.3$, 4.3% for $0.3 < \eta_{\text{cms}} < 0.8$, and 5.1% for $0.8 < \eta_{\text{cms}} < 1.3$.

The systematic uncertainties on the p_T spectrum are summarized in Table 1 for $|\eta_{\text{cms}}| < 0.3$. The total uncertainties exhibit a weak p_T and η_{cms} dependence. The total systematic uncertainties range between 5.2% and 5.5% for $|\eta_{\text{cms}}| < 0.3$ and reach between 5.6% and 7.1% for $0.8 < \eta_{\text{cms}} < 1.3$.

In order to quantify nuclear effects in p–Pb collisions, a comparison to a reference p_T spectrum in pp collisions is needed. In the absence of a measurement at $\sqrt{s} = 5.02$ TeV, the reference spectrum is obtained by interpolating or scaling data measured at $\sqrt{s} = 2.76$ and 7 TeV. For $p_T < 5$ GeV/c, the measured invariant cross section is interpolated bin-by-bin, assuming a power law dependence as a function of \sqrt{s} . For $p_T > 5$ GeV/c, the measured data at $\sqrt{s} = 7$ TeV is scaled by a factor obtained from NLO pQCD calculations [15]. For $p_T < 5$ GeV/c, the largest of the relative systematic uncertainties of the spectrum at 2.76 or 7 TeV is assigned as the systematic uncertainty at the interpolated energy. For $p_T > 5$ GeV/c, the relative difference between the NLO-scaled spectrum for different choices of the renormalization μ_R and factorization μ_F scales ($\mu_R = \mu_F = p_T, p_T/2, 2p_T$) is added to the systematic uncertainties on the spectrum at 7 TeV. In addition, an uncertainty of 2.2% is estimated comparing the interpolated and the NLO-scaled data. The total systematic uncertainty range from 7.7% to 8.2% for $0.5 < p_T < 20$ GeV/c. The NLO-based scaling of the data at $\sqrt{s} = 2.76$ TeV gives a result well within these uncertainties. More details can be found in [16].

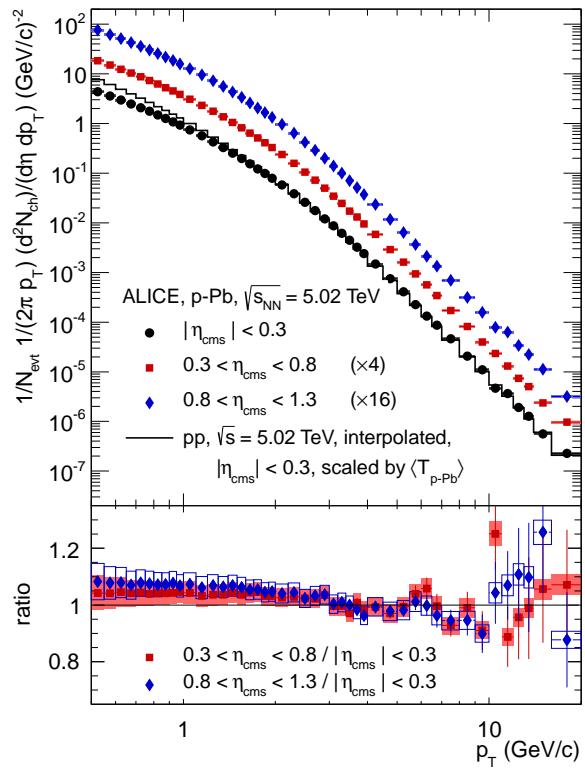


Fig. 1: Transverse momentum distributions of charged particles in NSD p–Pb collisions for different pseudorapidity ranges (upper panel). The spectra are scaled by the factors indicated. The histogram represents the differential cross section in pp collisions [16] multiplied by the nuclear overlap $\langle T_{\text{p-Pb}} \rangle$. The lower panel shows the ratio of the spectra at forward pseudorapidities to that at $|\eta_{\text{cms}}| < 0.3$. The vertical bars (boxes) represent the statistical (systematic) errors.

The final pp reference spectrum is the product of the interpolated invariant cross section and the average

nuclear overlap $\langle T_{\text{pPb}} \rangle$, calculated employing the Glauber model [17], which gives $\langle T_{\text{pPb}} \rangle = 0.099 \pm 0.005 \text{ mb}^{-1}$. The uncertainty is obtained by varying the parameters in the Glauber model calculation, see [11].

The p_{T} spectra of charged particles measured in NSD p–Pb collisions at $\sqrt{s_{\text{NN}}} = 5.02 \text{ TeV}$ are shown in Fig. 1 together with the pp reference spectrum. At high p_{T} , the p_{T} distributions in p–Pb collisions are similar to that in pp collisions, as expected in the absence of nuclear effects. There is an indication of a softening of the p_{T} spectrum when going from central to forward pseudorapidity. This is a small effect, as seen in the ratios of the spectra for forward pseudorapidities to the one at $|\eta_{\text{cms}}| < 0.3$, shown in Fig. 1 (lower panel).

In order to quantify nuclear effects in p–Pb collisions, the p_{T} -differential yield relative to the pp reference, the nuclear modification factor, is calculated as:

$$R_{\text{pPb}}(p_{\text{T}}) = \frac{d^2N_{\text{ch}}^{\text{pPb}}/d\eta dp_{\text{T}}}{\langle T_{\text{pPb}} \rangle d^2\sigma_{\text{ch}}^{\text{pp}}/d\eta dp_{\text{T}}}, \quad (1)$$

where $N_{\text{ch}}^{\text{pPb}}$ is the charged particle yield in p–Pb collisions and $\sigma_{\text{ch}}^{\text{pp}}$ is the cross section for charged particle production in pp collisions. The nuclear modification factor is by construction unity for hard processes which are expected to exhibit binary collision scaling. For the region of several tens of GeV, binary collision scaling was experimentally confirmed in Pb–Pb collisions at the LHC by the recent measurements of observables which are not affected by hot matter, direct photon [18], Z^0 [19], and W^{\pm} [20] production, which showed a nuclear modification factor around unity. The present measurement in p–Pb collisions extends this important experimental verification down to the GeV scale and to hadronic observables.

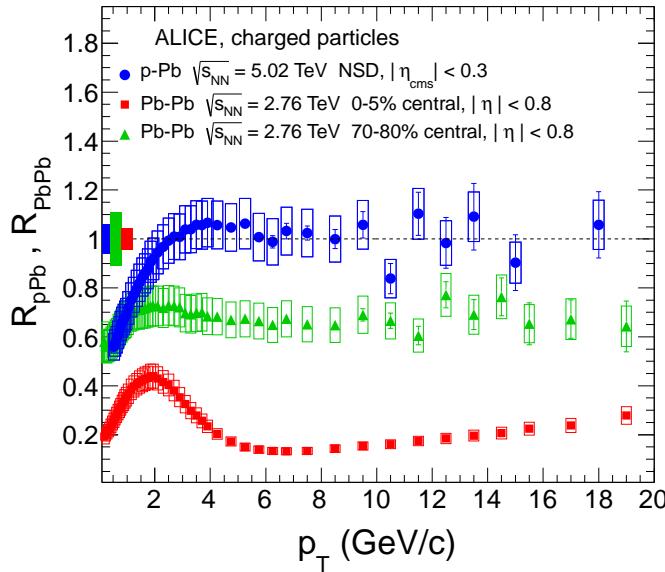


Fig. 2: The nuclear modification factor of charged particles as a function of transverse momentum in NSD p–Pb collisions at $\sqrt{s_{\text{NN}}} = 5.02 \text{ TeV}$. The data for $|\eta_{\text{cms}}| < 0.3$ are compared to our measurements [8] in central (0–5% centrality) and peripheral (70–80%) Pb–Pb collisions at $\sqrt{s_{\text{NN}}} = 2.76 \text{ TeV}$. The statistical errors are represented by vertical bars, the systematic ones by (filled) boxes. The relative systematic uncertainties on the normalization are shown as boxes around unity near $p_{\text{T}} = 0$ for p–Pb (left box), peripheral Pb–Pb (middle box) and central Pb–Pb (right box).

The measurement of the nuclear modification factor R_{pPb} for charged particles at $|\eta_{\text{cms}}| < 0.3$, is shown in Fig. 2. The uncertainties of the p–Pb and pp spectra are added in quadrature, separately for the statistical

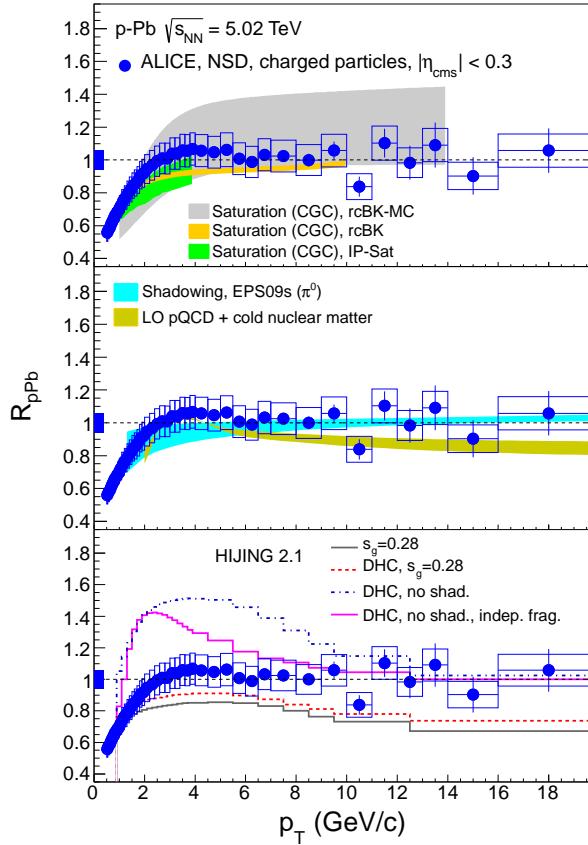


Fig. 3: Transverse momentum dependence of the nuclear modification factor $R_{p\text{Pb}}$ of charged particles measured in p–Pb collisions at $\sqrt{s_{\text{NN}}} = 5.02$ TeV. The ALICE data in $|\eta_{\text{cms}}| < 0.3$ (symbols) are compared to model calculations (bands or lines, see text for details; for HIJING, DHC stands for decoherent hard scattering). The vertical bars (boxes) show the statistical (systematic) errors. The relative systematic uncertainty on the normalization is shown as a box around unity near $p_{\text{T}} = 0$.

and systematic uncertainties. The total systematic uncertainty on the normalization, quadratic sum of the uncertainty on $\langle T_{\text{pPb}} \rangle$, the normalization of the pp data and the normalization of the p–Pb data, amounts to 6.0%.

In Fig. 2 we compare the nuclear modification factor in p–Pb to that in central (0–5% centrality) and peripheral (70–80% centrality) Pb–Pb collisions at $\sqrt{s_{\text{NN}}} = 2.76$ TeV [8]. R_{pPb} is consistent with unity for $p_{\text{T}} \gtrsim 3$ GeV/c, demonstrate that the strong suppression observed in central Pb–Pb collisions at the LHC [6–8] is not due to an initial-state effect, but rather a fingerprint of the hot matter created in collisions of heavy ions.

The p–Pb data show no indication of the so-called Cronin effect [21] (see [22] for a review), namely a nuclear modification factor above unity. Such an enhancement was measured at lower energies, at $\sqrt{s_{\text{NN}}} = 200$ GeV R_{dAu} reached values of about 1.4 [23–26].

Data in p–Pb are important also to provide constraints to models. For illustration, in Fig. 3 the measurement of R_{pPb} at $|\eta_{\text{cms}}| < 0.3$ is compared to theoretical predictions. Several predictions based on the Colour Glass Condensate (CGC) model are available [27–29]. The calculations of Tribedy and Venugopalan [27] are shown for two implementations (rcBK and IP-Sat, see [27] for details). The calculations within IP-Sat are in agreement with the data, while those within rcBK slightly underpredict the measurement. The prediction of Albacete et al. [28], for the rcBK Monte Carlo model, is consistent with the

measurement within the rather large uncertainties of the model. The CGC calculations of Rezaeian [29], not included in Fig. 3, are consistent with those of [27, 28]. The shadowing calculations of Helenius et al. [30], performed at NLO with the EPS09s Parton Distribution Functions and DSS fragmentation functions describe the data well (the calculations are for π^0). The predictions by Kang et al. [31], performed within a framework combining leading order pQCD and cold nuclear matter effects, show R_{pPb} values below unity for $p_T \gtrsim 6 \text{ GeV}/c$, which is not entirely supported by the data. The prediction from the HIJING model [32] describes the trend seen in the data, although it seems that, with the present shadowing parameter s_g , the model slightly underpredicts R_{pPb} in the p_T range 2 to 6 GeV/c . The comparisons in Fig. 3 clearly illustrate that the data are crucial for the theoretical understanding of cold nuclear matter as produced in p–Pb collisions at the LHC.

In summary, we have reported measurements of the charged-particle p_T spectra and nuclear modification factor R_{pPb} in p–Pb collisions at $\sqrt{s_{NN}} = 5.02 \text{ TeV}$. The data, covering $0.5 < p_T < 20 \text{ GeV}/c$, show a nuclear modification factor consistent with unity for $p_T \gtrsim 3 \text{ GeV}/c$. This measurement indicates that the strong suppression of hadron production at high p_T observed at the LHC in Pb–Pb collisions is not due to an initial-state effect, but is the fingerprint of jet quenching in hot QCD matter.

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