# Few-Shot Learning in the Real World

Meta-Learning for Giving Feedback to Students

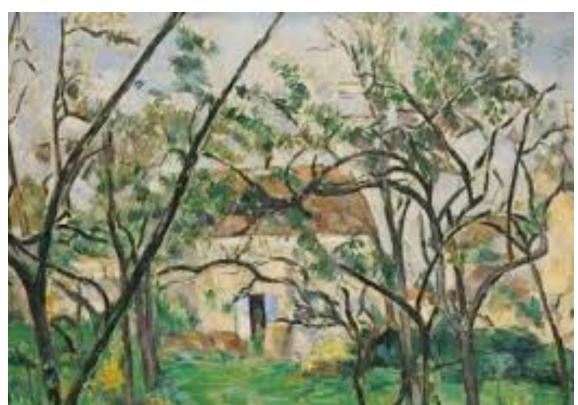
Chelsea Finn

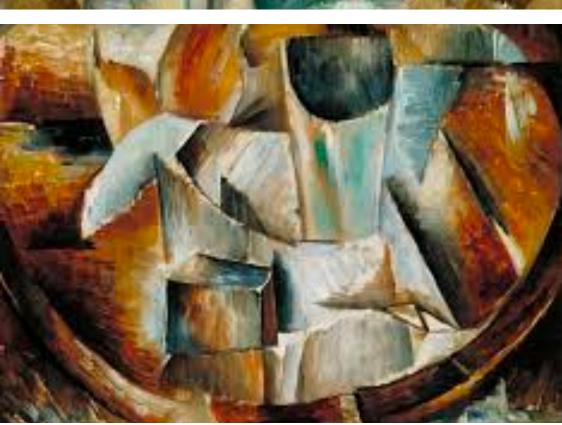


# training data

Braque Cezanne

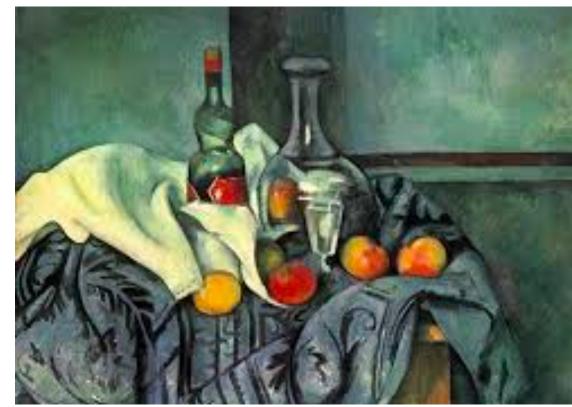












# test datapoint



By Braque or Cezanne?

How did you accomplish this?

Through previous experience.

# How might you get a machine to accomplish this task?

Modeling image formation

Geometry

SIFT features, HOG features + SVM

Fine-tuning from ImageNet features
Domain adaptation from other painters

Fewer human priors, more data-driven priors

Greater success.

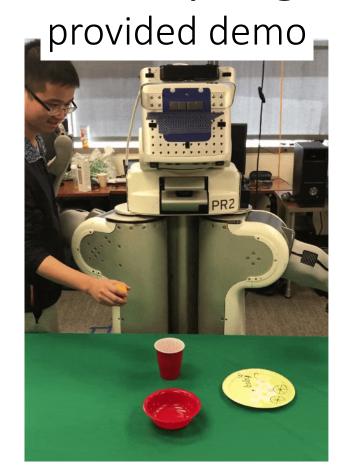
555

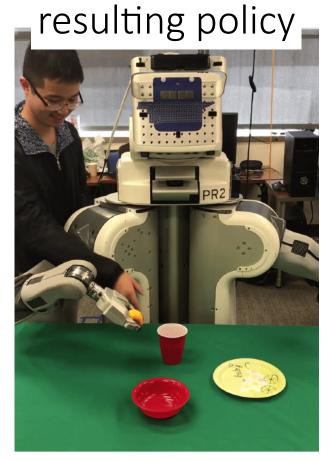
Can we explicitly learn priors from previous experience that lead to efficient downstream learning?

Can we learn to learn?

### What can meta-learning enable?

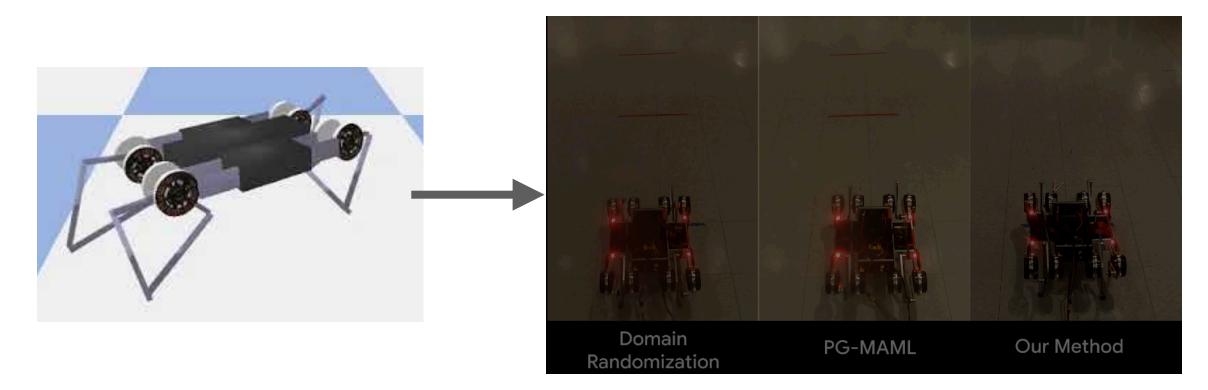
#### Adapting to new objects





Yu\*, Finn\*, Xie, Dasari, Zhang, Abbeel, Levine. *One-Shot Imitation from Observing Humans*. RSS 2018

#### Adapt from simulation to real



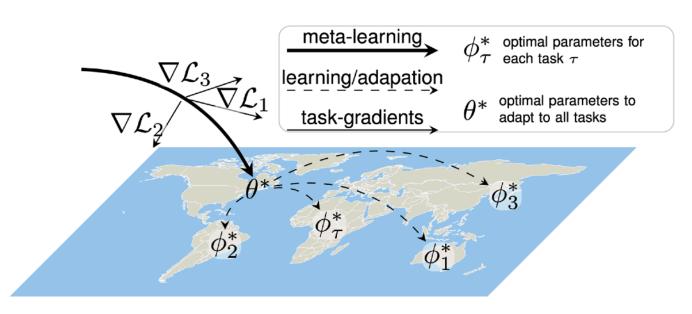
Song, Yang, Choromanski, Caluwaerts, Gao, Finn, Tan. *Rapidly Adaptable Legged Robots via Evolutionary Meta-Learning*. IROS 2020

#### Adapting to new molecules

						-
CHEMBL ID	K-NN	FINETUNE-ALL	FINETUNE-TOP	FO-MAML	ANIL	MAML
2363236	$0.316 \pm 0.007$	$0.328 \pm 0.028$	$0.329 \pm 0.023$	$\boldsymbol{0.337 \pm 0.019}$	$0.325 \pm 0.008$	$0.332 \pm 0.013$
1614469	$0.438 \pm 0.023$	$0.470 \pm 0.034$	$0.490 \pm 0.033$	$0.489 \pm 0.019$	$0.446 \pm 0.044$	$\boldsymbol{0.507 \pm 0.030}$
2363146	$0.559 \pm 0.026$	$0.626\pm0.037$	$\boldsymbol{0.653 \pm 0.029}$	$0.555 \pm 0.017$	$0.506 \pm 0.034$	$0.595 \pm 0.051$
2363366	$0.511 \pm 0.050$	$0.567 \pm 0.039$	$0.551 \pm 0.048$	$0.546 \pm 0.037$	$0.570 \pm 0.031$	$\boldsymbol{0.598 \pm 0.041}$
2363553	$\boldsymbol{0.739 \pm 0.007}$	$0.724 \pm 0.015$	$0.737 \pm 0.023$	$0.694 \pm 0.011$	$0.686 \pm 0.020$	$0.691 \pm 0.013$
1963818	$0.607 \pm 0.041$	$0.708 \pm 0.036$	$0.595 \pm 0.142$	$0.677 \pm 0.026$	$0.692 \pm 0.081$	$\boldsymbol{0.745 \pm 0.048}$
1963945	$0.805 \pm 0.031$	$\boldsymbol{0.848 \pm 0.034}$	$0.835 \pm 0.036$	$0.779 \pm 0.039$	$0.753 \pm 0.033$	$0.836 \pm 0.023$
1614423	$0.503 \pm 0.044$	$0.628 \pm 0.058$	$0.642 \pm 0.063$	$0.760 \pm 0.024$	$0.730 \pm 0.077$	$\boldsymbol{0.837 \pm 0.036^*}$
2114825	$0.679 \pm 0.027$	$0.739 \pm 0.050$	$0.732 \pm 0.051$	$0.837 \pm 0.042$	$0.759 \pm 0.078$	$\bf 0.885 \pm 0.014^*$
1964116	$0.709 \pm 0.042$	$0.758\pm0.044$	$0.769 \pm 0.048$	$0.895\pm0.023$	$0.903\pm0.016$	$\boldsymbol{0.912 \pm 0.013}$
2155446	$0.471 \pm 0.008$	$0.473 \pm 0.017$	$0.476 \pm 0.013$	$0.497 \pm 0.024$	$0.478 \pm 0.020$	$\boldsymbol{0.500 \pm 0.017}$
1909204	$0.538 \pm 0.023$	$0.589 \pm 0.031$	$0.577 \pm 0.039$	$0.592 \pm 0.043$	$0.547 \pm 0.029$	$\boldsymbol{0.601 \pm 0.027}$
1909213	$0.694 \pm 0.009$	$0.742 \pm 0.015$	$\boldsymbol{0.759 \pm 0.012}$	$0.698 \pm 0.024$	$0.694 \pm 0.025$	$0.729 \pm 0.013$
3111197	$0.617 \pm 0.028$	$0.663 \pm 0.066$	$0.673 \pm 0.071$	$0.636 \pm 0.036$	$0.737 \pm 0.035$	$\boldsymbol{0.746 \pm 0.045}$
3215171	$0.480 \pm 0.042$	$0.552 \pm 0.043$	$0.551 \pm 0.045$	$0.729 \pm 0.031$	$0.700 \pm 0.050$	$\boldsymbol{0.764 \pm 0.019}$
3215034	$0.474 \pm 0.072$	$0.540 \pm 0.156$	$0.455 \pm 0.189$	$\boldsymbol{0.819 \pm 0.048}$	$0.681 \pm 0.042$	$0.805\pm0.046$
1909103	$0.881 \pm 0.026$	$\boldsymbol{0.936 \pm 0.013}$	$0.921\pm0.020$	$0.877 \pm 0.046$	$0.730 \pm 0.055$	$0.900 \pm 0.032$
3215092	$0.696 \pm 0.038$	$0.777 \pm 0.039$	$0.791 \pm 0.042$	$0.877 \pm 0.028$	$0.834 \pm 0.026$	$\boldsymbol{0.907 \pm 0.017}$
1738253	$0.710 \pm 0.048$	$0.860 \pm 0.029$	$0.861\pm0.025$	$0.885 \pm 0.033$	$0.758 \pm 0.111$	$\boldsymbol{0.908 \pm 0.011}$
1614549	$0.710 \pm 0.035$	$0.850 \pm 0.041$	$0.860 \pm 0.051$	$0.930\pm0.022$	$0.860 \pm 0.034$	$\boldsymbol{0.947 \pm 0.014}$
Avg. Rank	5.4	3.5	3.5	3.1	4.0	1.7

Nguyen et al. *Meta-Learning GNN Initializations for Low-Resource Molecular Property Prediction*. 2020

#### Adapting to new regions of the world



Rußwurm, Wang, Körner, Lobell. *Meta-Learning for Few-Shot Land Cover Classification*. CVPR 2020 EarthVision Workshop

Can we deploy few-shot learning algorithms in the real world?

- the feedback problem

- can we approach the problem using meta-learning?

- can deploy the approach to real students?

- reflections on real-world meta-learning

# Few-shot learning to give feedback to student code

Mike Wu, Chris Piech, Noah Goodman, Chelsea Finn



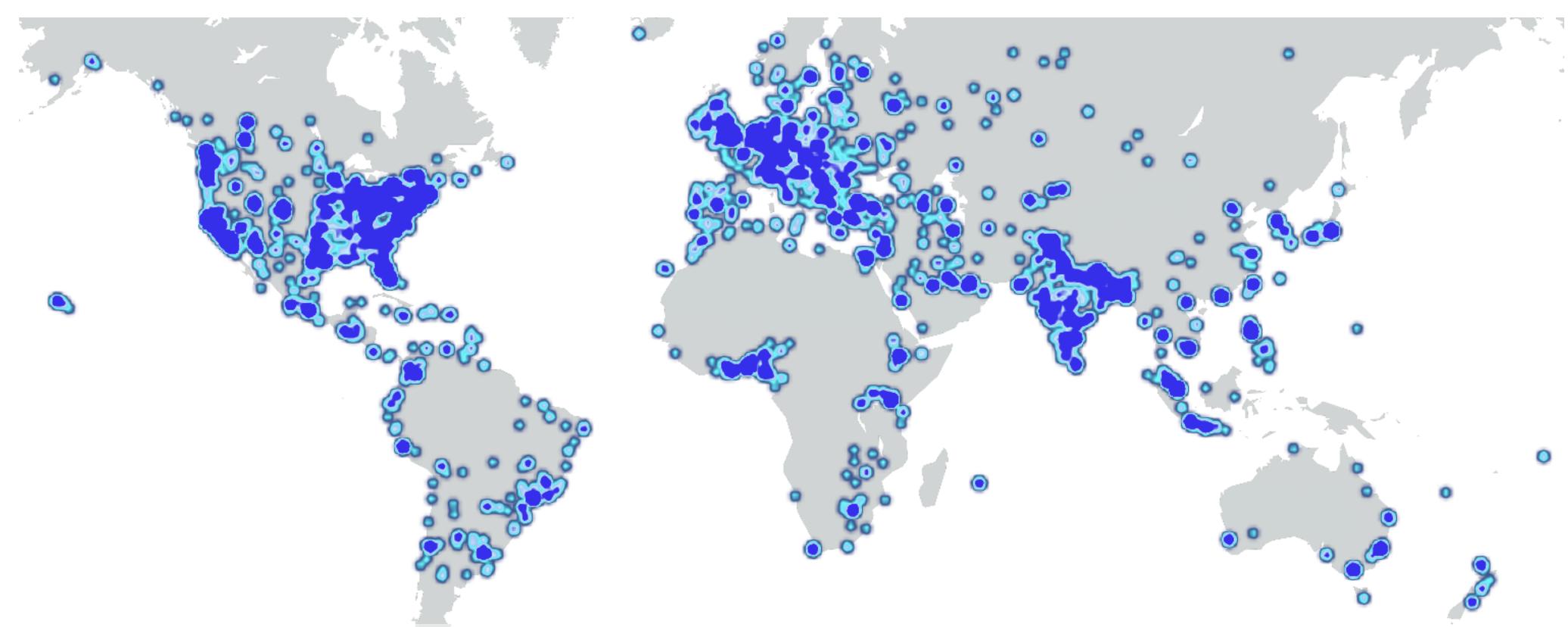






#### The Feedback Problem

Code-in-Place 2021: Free intro to CS course, 12,000+ students from 150+ countries



How can we give feedback on a diagnostic?

Submissions: open-ended Python code snippets

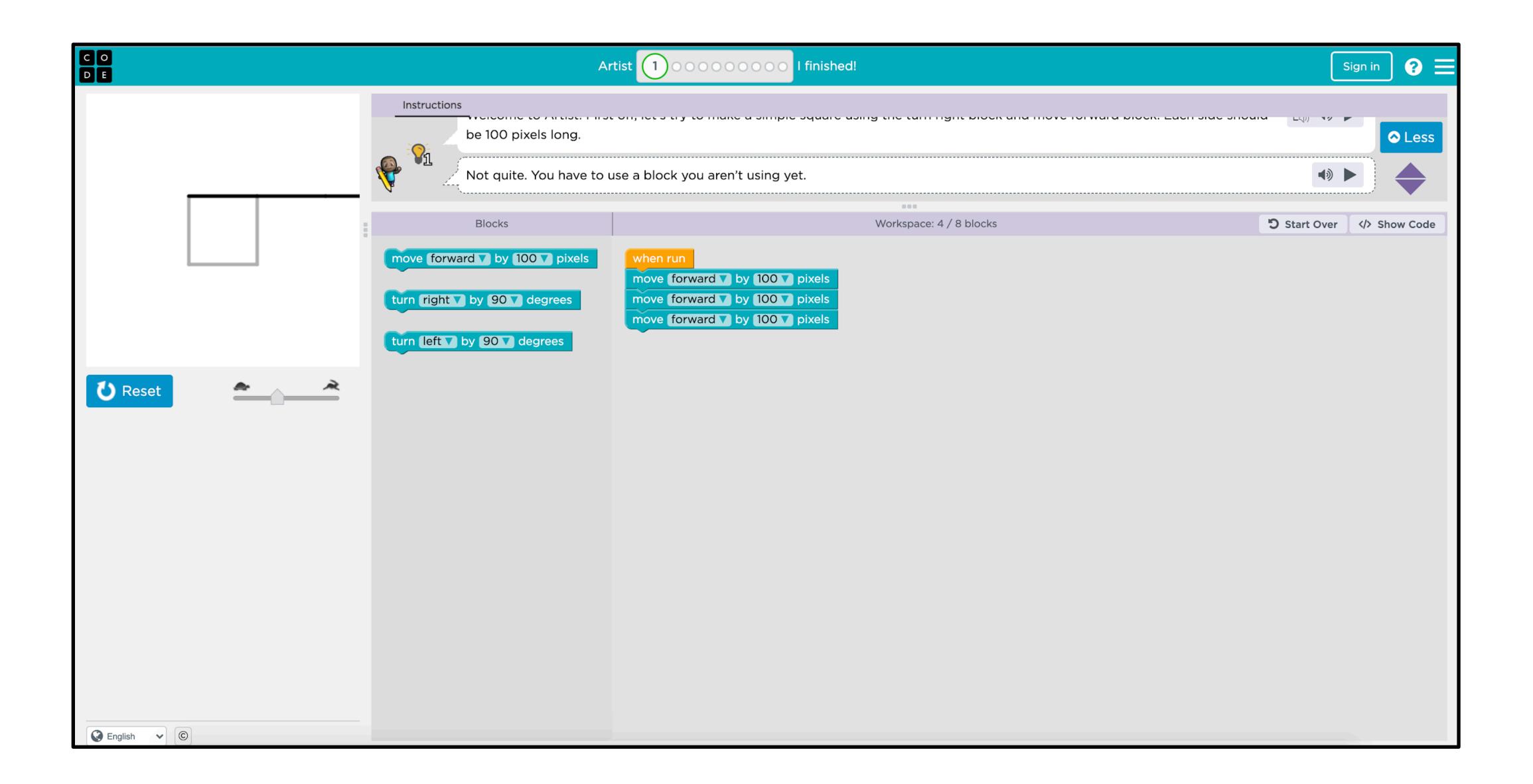
Estimated 8+ months of human labor

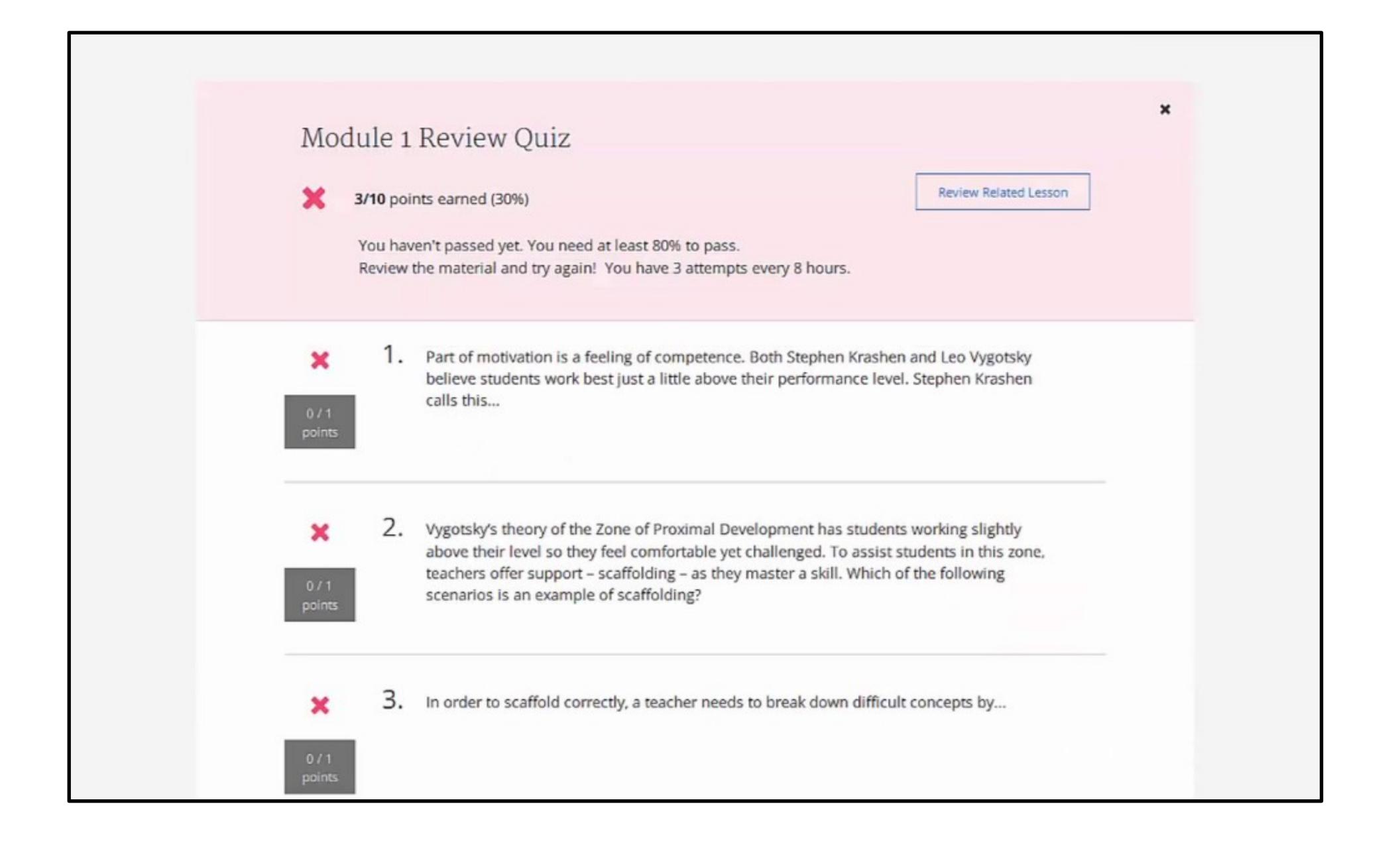


This problem isn't unique to Code-in-Place.

What does feedback look like in MOOCs?

```
Try Pro For Free
                                                                                 Ĉ
                      Learn JavaScript
                                                             /home/ccuser/workspace/learn-javascript-functions-functions-
  main.js
                                                             function-declarationV3/main.js:4
                                                               console.log(Forgot my string markers);
    getReminder();
3 ▼ function getReminder() {
                                                             SyntaxError: missing ) after argument list
     console.log(Forgot my string markers);
                                                                 at createScript (vm.js:53:10)
5
                                                                 at Object.runInThisContext (vm.js:95:10)
                                                                 at Module._compile (module.js:543:28)
                                                                 at Object.Module._extensions..js (module.js:580:10)
                                                                 at Module.load (module.js:488:32)
                                                                 at tryModuleLoad (module.js:447:12)
                                                                 at Function.Module._load (module.js:439:3)
                                                                 at Module.runMain (module.js:605:10)
                                                                 at run (bootstrap_node.js:427:7)
                                                                 at startup (bootstrap_node.js:151:9)
                                            -Ò- View Solution
       Run
```





ullet Train a model to infer student misconceptions,  ${f y}$ , from the student solution,  ${f x}$ .

```
# print 1 to n w/ loop
def my_solution(n)
      print(1)
      print(2)
      print(3)
```

```
[x] Incorrect Syntax
[x] Did not loop
[ ] Uses "print" fn
Predict!
```

Why is this a hard problem for ML?

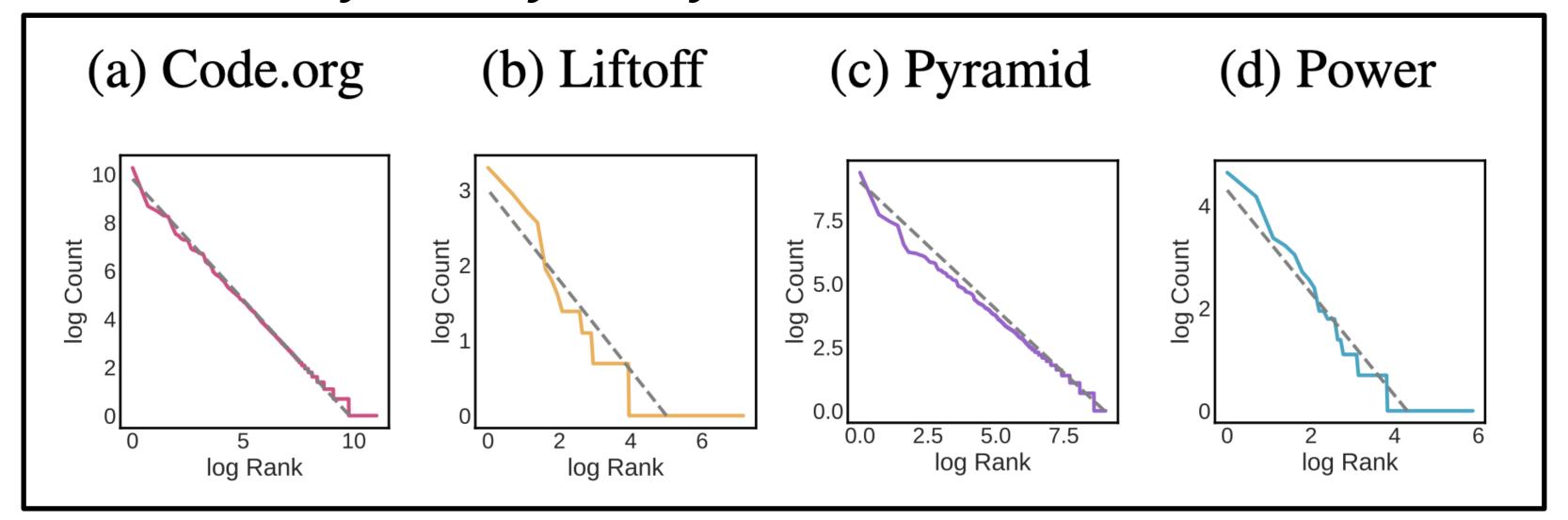
• Limited annotation: grading student work takes expertise and is very time consuming.

Example: annotating 800 blockly codes took 25 hrs



Why is this a hard problem for ML?

- Limited annotation: grading student work takes expertise and is very time consuming.
- Long tailed distribution: students solve the same problem in many many ways.

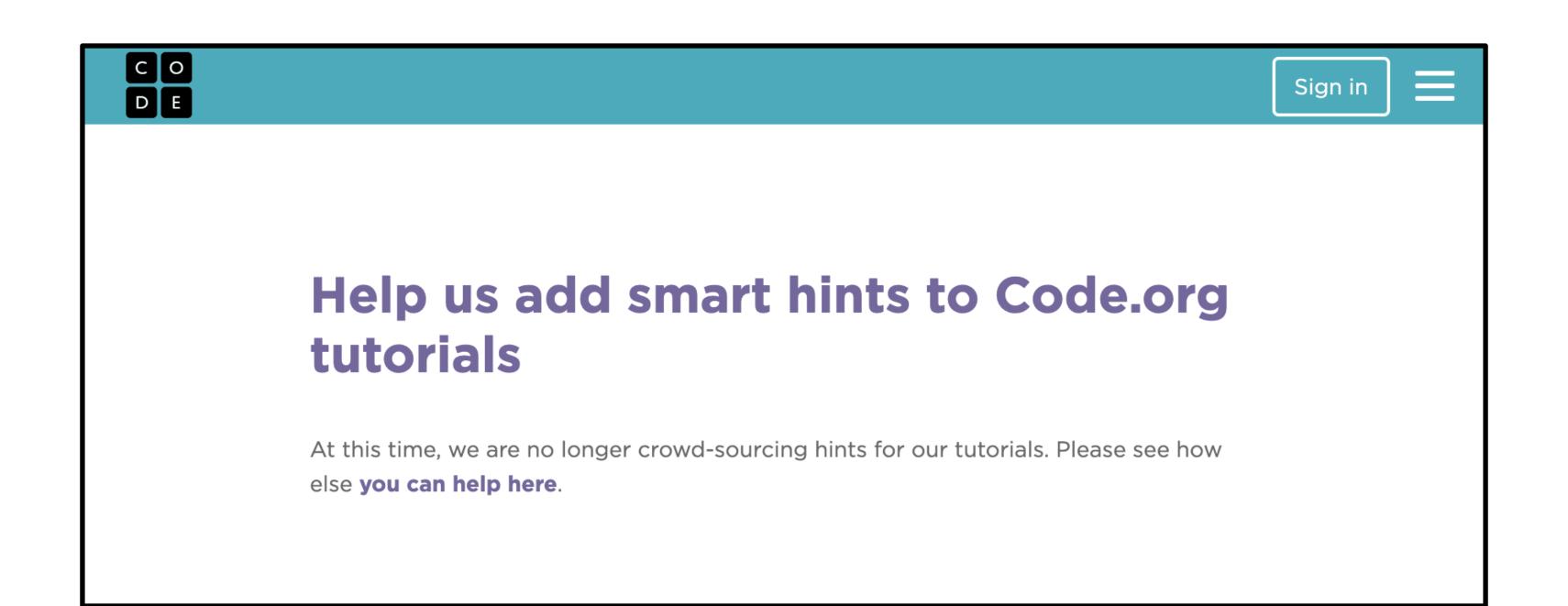


Why is this a hard problem for ML?

- Limited annotation: grading student work takes expertise and is very time consuming.
- Long tailed distribution: students solve the same problem in many many ways.
- Changing curriculums: instructors constantly <u>edit</u> assignments and exams. Student solutions and instructor feedback look <u>different</u> year to year.

#### Naive methods don't work

• **Crowdsourcing human labor:** in 2014, Code.org got 1000s of instructors to label 55k student solutions to "artist" problems. <u>But this barely covered the distribution and new solutions were frequent</u>.



#### Naive methods don't work

- Crowdsourcing human labor: in 2014, Code.org got 1000s of instructors to label 55k student solutions to "artist" problems.
- Supervised learning: dataset of a few 1000 examples (at best) + long tail make this really hard.

Model	Body F1	Tail F1
Output CNN [26]	0.10	0.10
Human	0.68	0.69

Model	Body Acc	Tail Acc	
NeuralNet [28]	0.20	0.21	
Human	0.81	0.80	

Model	Avg F1	Tail F1
Handcrafted [6]	0.58	-
T&N Best [17]	0.55	-
Human	0.97	0.90

Block-based programming

CS106A Graphics programming

free response

# Can we use prior data & formulate this as a meta-learning problem?

Prior experience

Meta-test task

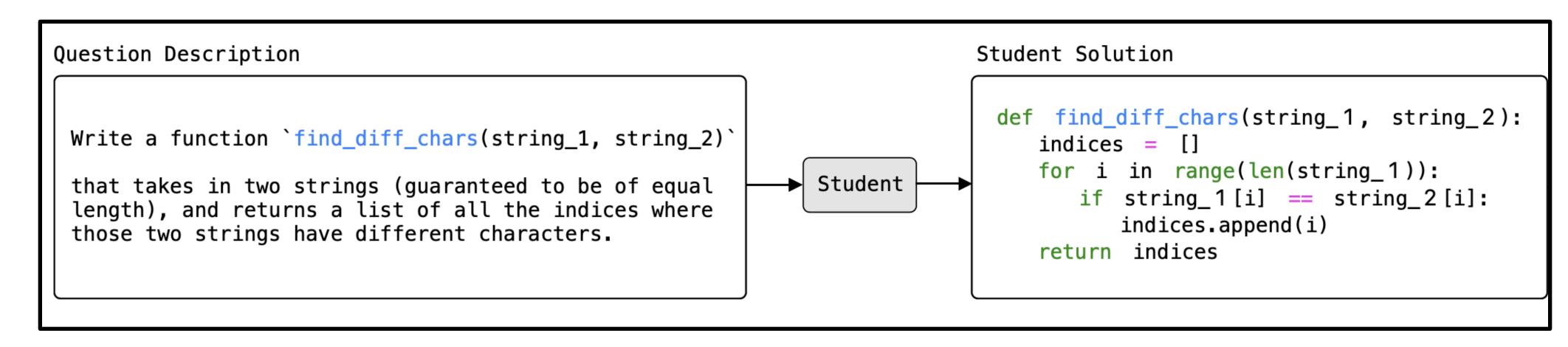
10 years of feedback from Stanford midterms and finals

Give feedback on new problems with small amount of labeled examples

#### CS106A Dataset

Contains 4 final exams and 4 midterm exams from CS106.

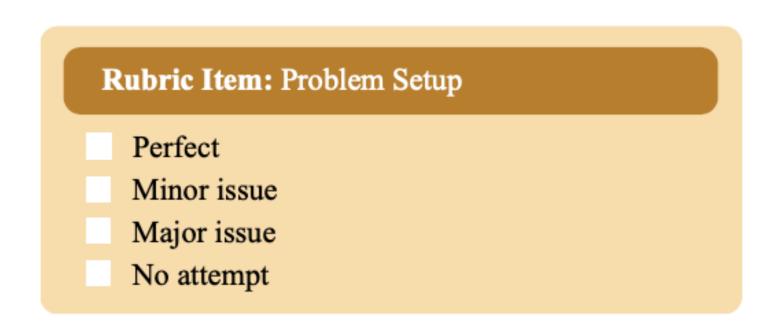
- Total of 63 questions and 24.8k student solutions.
- Every student solution has feedback via a <u>rubric</u>.
- 10% of questions were annotated by more than 1 TA,
   which we use to compute human accuracy.

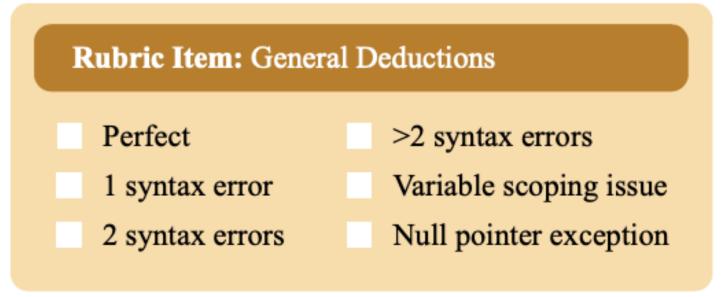


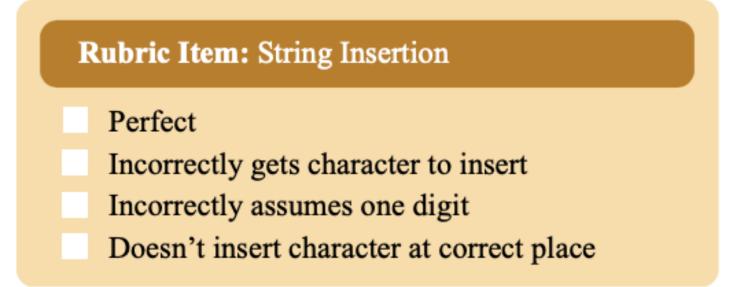
#### CS106A Dataset

A <u>rubric</u> has several <u>items</u>, each describes a misconception. Each item has several <u>options</u> that an grader may pick to be true.

- More than one option can be true.
- Every problem has its own (possibly unique) rubric items and options.



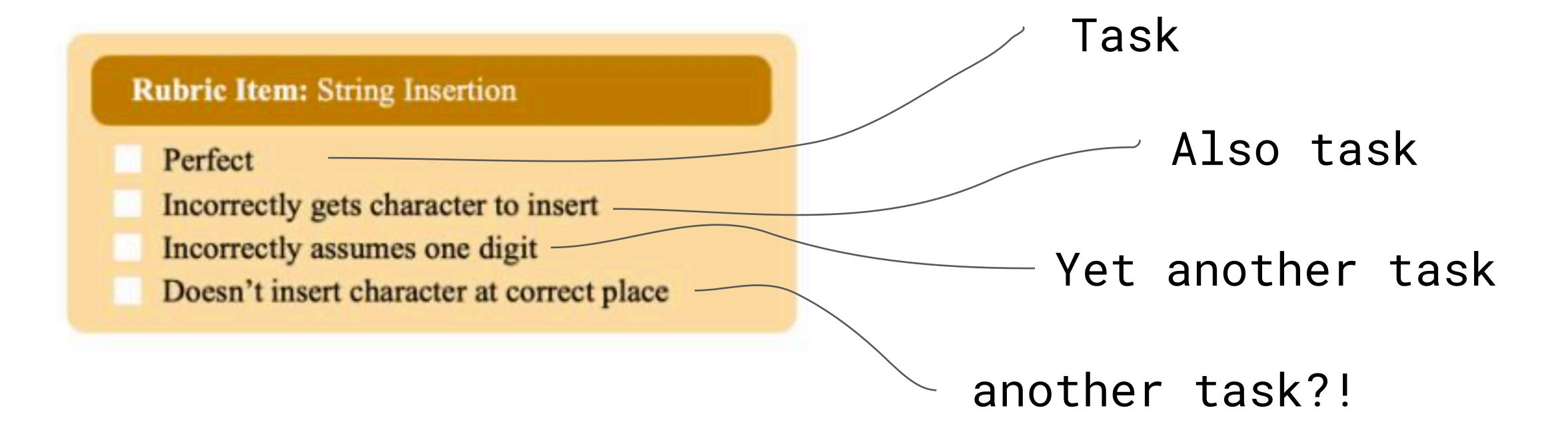




#### CS106A Dataset

We treat every rubric option as a task.

- Every task is a binary classification problem!
- Total of 259 tasks (K = 10, Q = 10)



Support and query sets:

```
S = \{(x_1, y_1), (x_2, y_2), \dots, (x_{KXN}, y_{KXN})\}
Q = \{(x_1^*, y_1^*), (x_2^*, y_2^*), \dots, (x_{QXN}^*, y_{QXN}^*)\}
```

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$$Q = \{(x_1^*, y_1^*), (x_2^*, y_2^*), \dots, (x_{QXN}^*, y_{QXN}^*)\}$$

Use the support set S to derive a <u>prototype embedding</u> for each class. Try to classify each example in query set Q by <u>distance</u> to each prototype.

Support and query sets:

$$S = \{(x_1, y_1), (x_2, y_2), ..., (x_{KXN}, y_{KXN})\}$$

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Use the support set S to derive/a <u>prototype embedding</u> for each class. Try to classify each example in query set Q by <u>distance</u> to each prototype. temperature

$$\mathcal{L}(x^*, y^*) = -\log \frac{\exp\{-\text{dist}(f_{\theta}(x^*), p_{y^*})/\tau'\}}{\sum_{c=1}^{N} \exp\{-\text{dist}(f_{\theta}(x^*), p_c)/\tau\}}$$

p<sub>c</sub> is the average embedding over examples in the support set with label c.

L<sub>2</sub> norm

$$\mathcal{L}(x^*, y^*) = -\log \frac{\exp\{-\text{dist}(f_{\theta}(x^*), p_{y^*})/\tau\}}{\sum_{c=1}^{N} \exp\{-\text{dist}(f_{\theta}(x^*), p_c)/\tau\}}$$

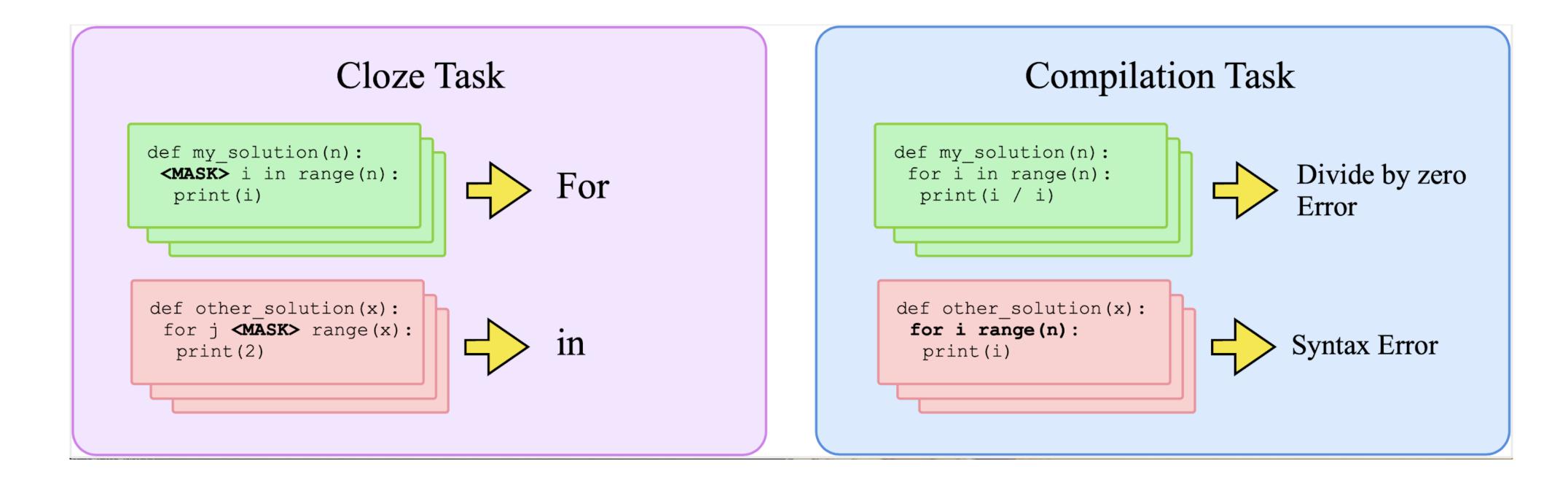
- We assume  $x = (x_1, x_2, ..., x_T)$  a sequence of discrete tokens (e.g. code, language).
- The embedding  $f_{\theta} \colon X \to R^d$  is a RoBERTa model (stacked transformers) where non-padded token embeddings are averaged (single vector).

$$\mathcal{L}(x^*, y^*) = -\log \frac{\exp\{-\text{dist}(f_{\theta}(x^*), p_{y^*})/\tau\}}{\sum_{c=1}^{N} \exp\{-\text{dist}(f_{\theta}(x^*), p_c)/\tau\}}$$

- We assume  $x = (x_1, x_2, ..., x_T)$  a sequence of discrete tokens (e Attention is not all you need. The embedding  $f_\theta \colon X \to R^d$  is a Roberta model (stacked
- The embedding  $f_{\theta} \colon X \to R^d$  is a RoBERTa model (stacked transformers) where non-padded token embeddings are averaged (single vector).
- Applying this "out-of-the-box" fails. We needed several "tricks" to get past the small data size.

# Trick #1: Task Augmentation

259 is not a lot of tasks. Meta-learning often operates on 1000s of tasks. We apply the "data augmentation" idea to coding tasks!



#### Trick #2: Side Information

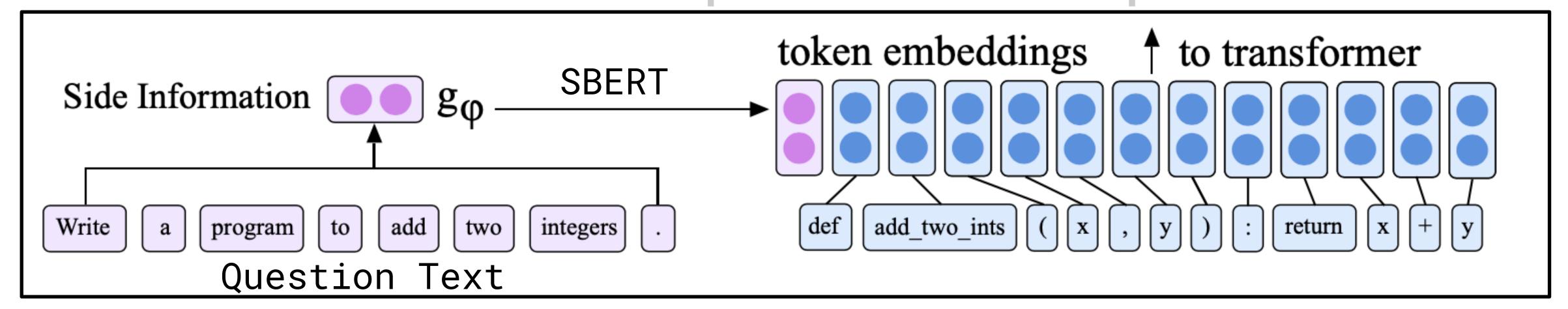
A task is only composed of 10 or 20 examples, leaving a lot of ambiguity.

Suppose we have "side information"  $z=(z_1,\ z_2,\ \ldots,\ z_T)$  about each task: **rubric option name** and **question text**. How do we add this side information into our embedding function  $f_\theta$ ?

#### Trick #2: Side Information

A task is only composed of 10 or 20 examples, leaving a lot of ambiguity.

Suppose we have "side information"  $z = (z_1, z_2, ..., z_T)$  about each task: rubric option name and question text.

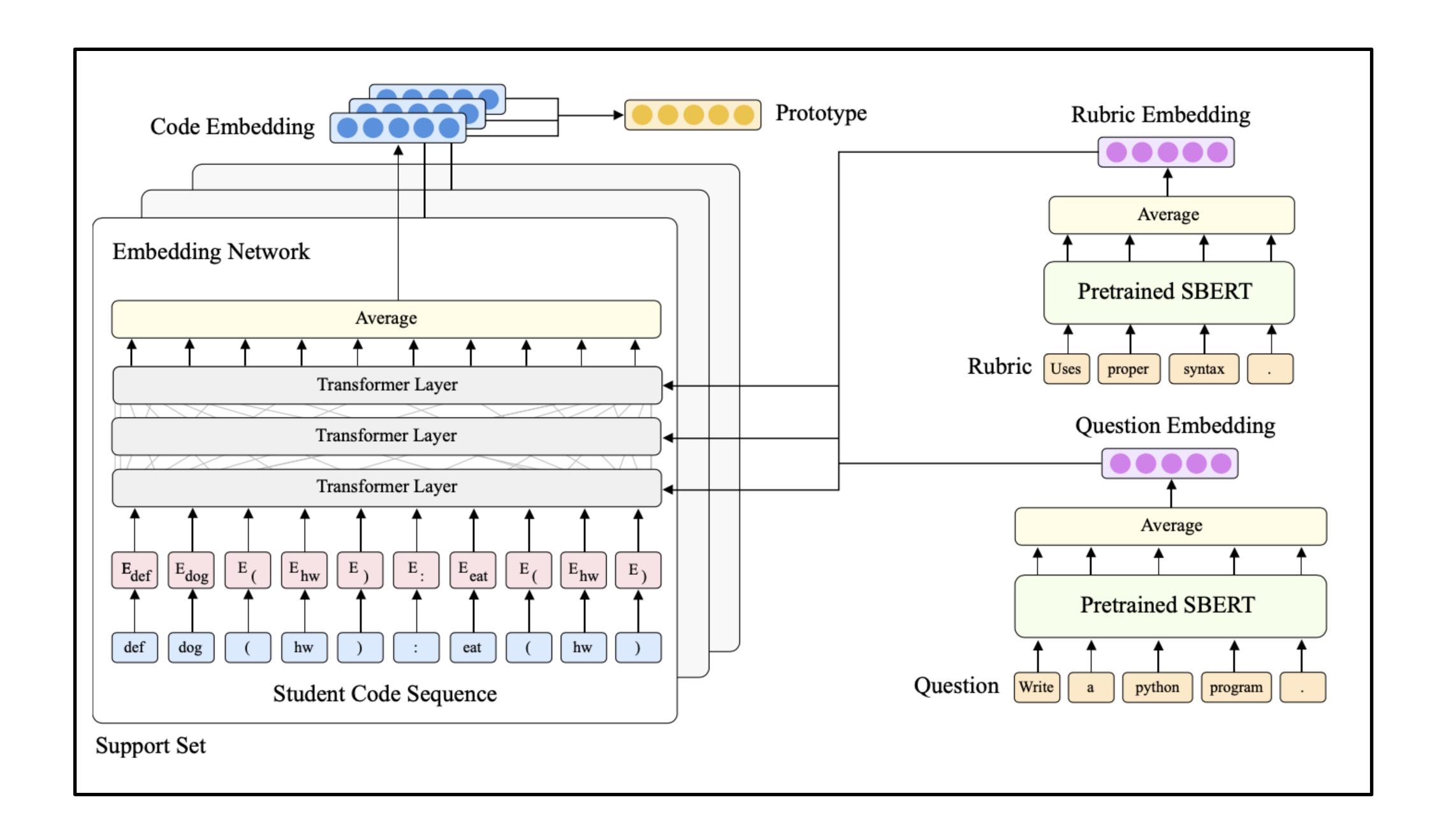


Prepend side information as a <u>first token</u>.

## Trick #3: Code Pre-training

Can we utilize large unlabeled datasets of code to help the model learn a good prior for code?

In practice, we initialize the embedding network from pretrain weights and finetune top M layers.



# Results

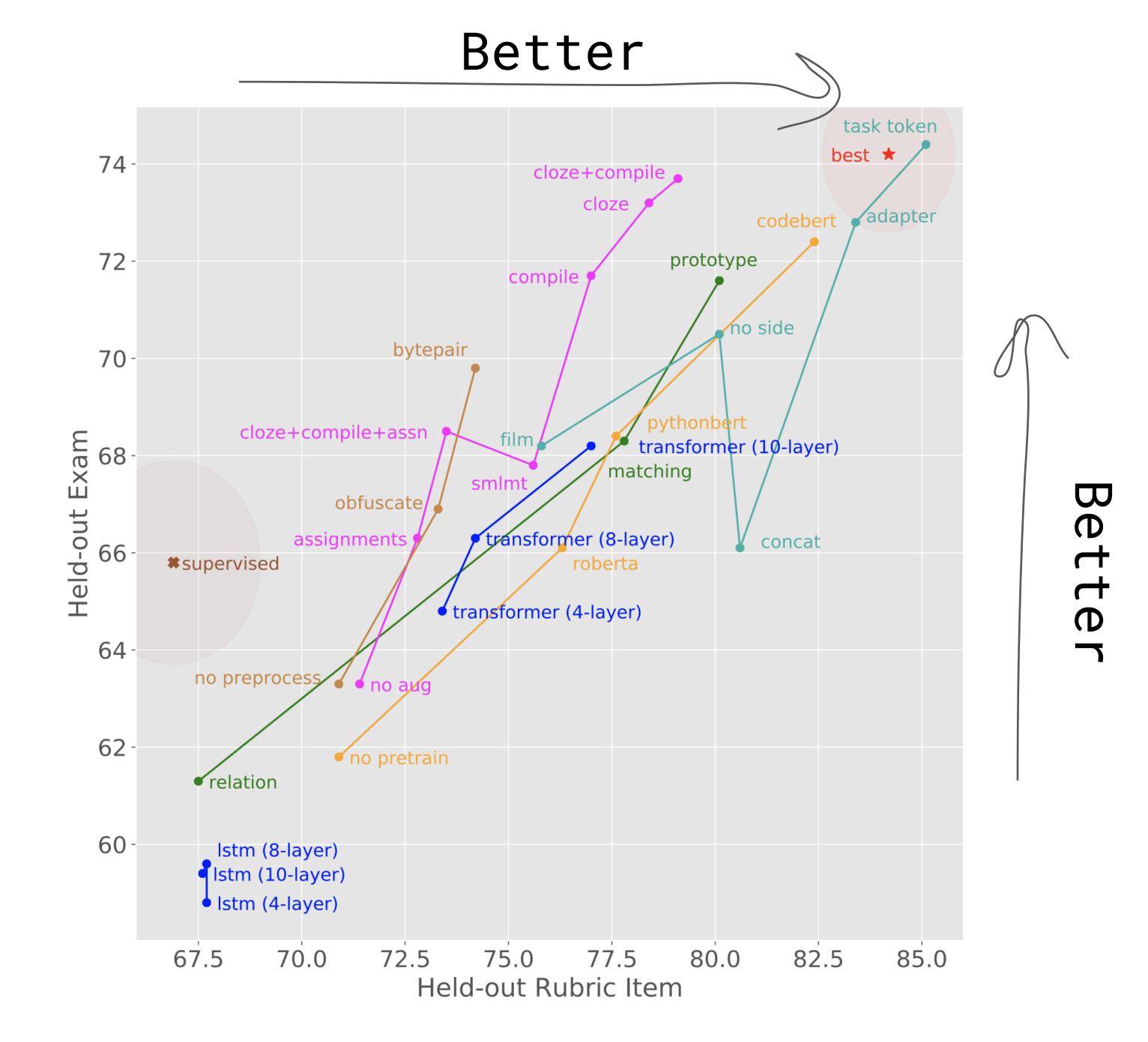
	Held-out rubric			
Model	AP	P@50	P@75	ROC-AUC
ProtoTransformer	84.2	85.2	74.2	82.9
	$(\pm 1.7)$	$(\pm 3.8)$	$(\pm 1.4)$	$(\pm 1.3)$
Supervised	66.9	59.1	53.9	61.0
	$(\pm 2.2)$	$(\pm 1.7)$	$(\pm 1.5)$	$(\pm 2.1)$
Human TA	82.5			
Model	AP	P@50	P@75	ROC-AUC
ProtoTransformer	74.2	77.3	67.3	77.0
	$(\pm 1.6)$	$(\pm 2.7)$	$(\pm 2.0)$	$(\pm 1.4)$
Supervised	65.8	60.1	54.3	60.7
	$(\pm 2.1)$	$(\pm 3.0)$	$(\pm 1.8)$	$(\pm 1.6)$
Human TA	82.5			

Room to grow!

#### Ablations

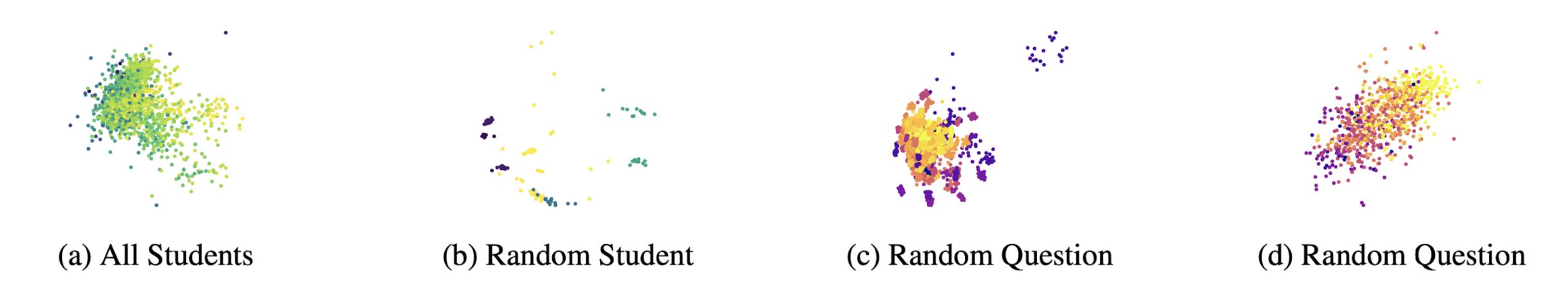
#### Legend

- -task aug
- -preprocessing
- -architecture
- -side info
- -pretraining
- -meta algo
- -supervised
- -best



# Embeddings

Visualize "prototype" embeddings to interpret student ability and question quality.



Color shows the numeric grade (not used by model ever) given to student (darker is lower).

Can we deploy few-shot learning algorithms in the real world?

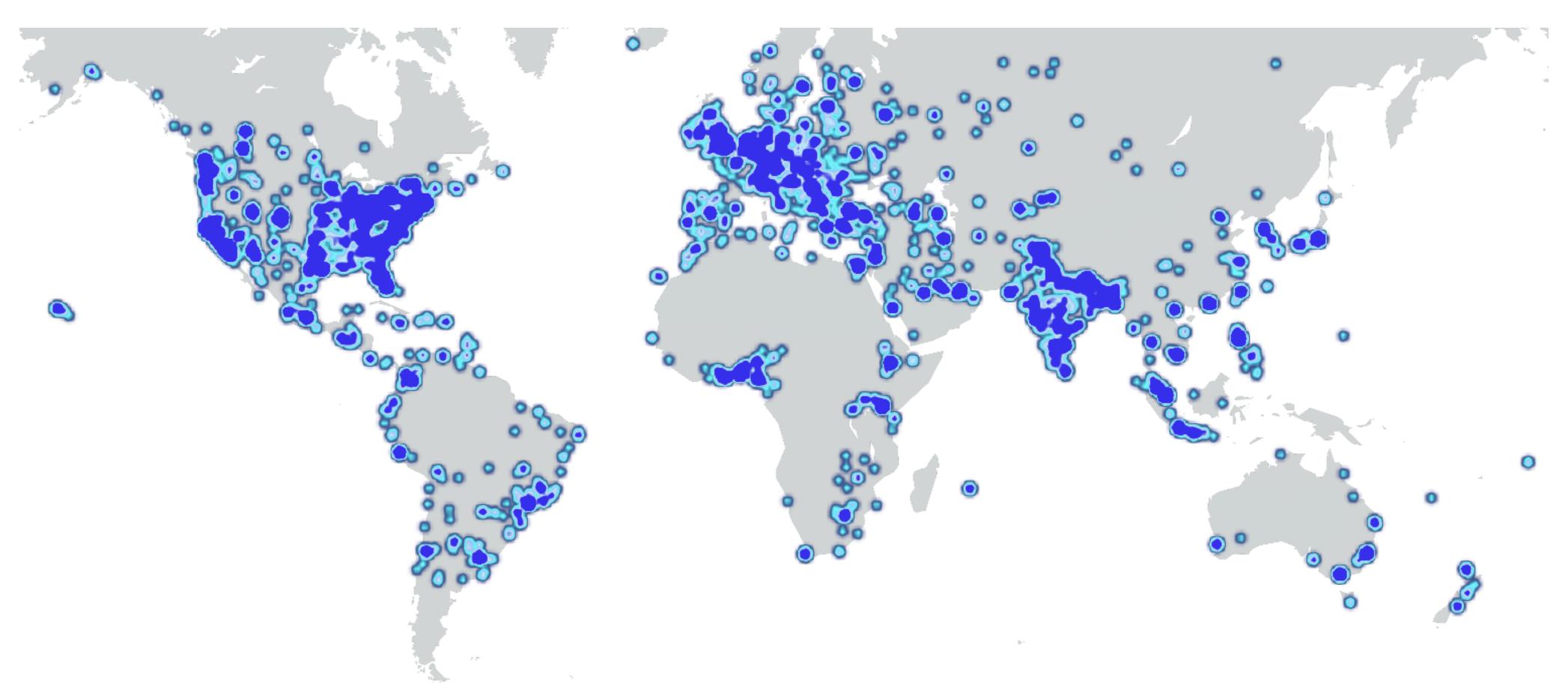
- the feedback problem

- can we approach the problem using meta-learning?

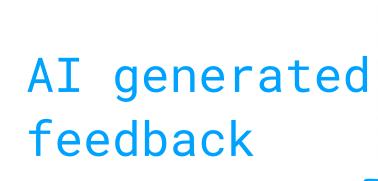
- can deploy the approach to real students?

- reflections on real-world meta-learning

# Can we deploy this to Code-in-Place?

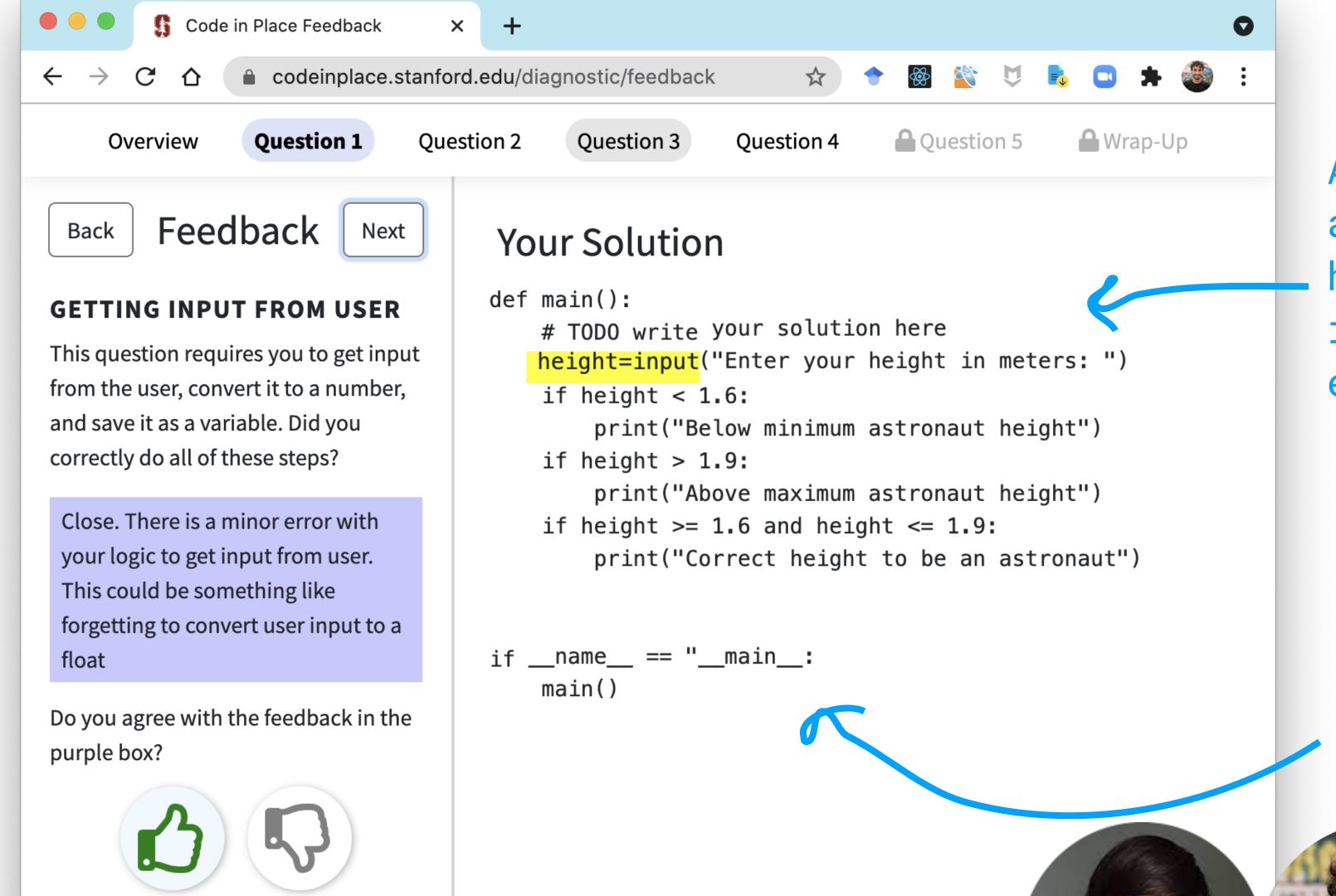


May 10th, 2021: Students took diagnostic.



Students evaluate the feedback

Please explain (optional):



Algorithm uses attention to highlight where in the code the error comes from

Syntax error
here would
prevent unit
tests from being
useful



#### Blind, randomized trial evaluated by real students

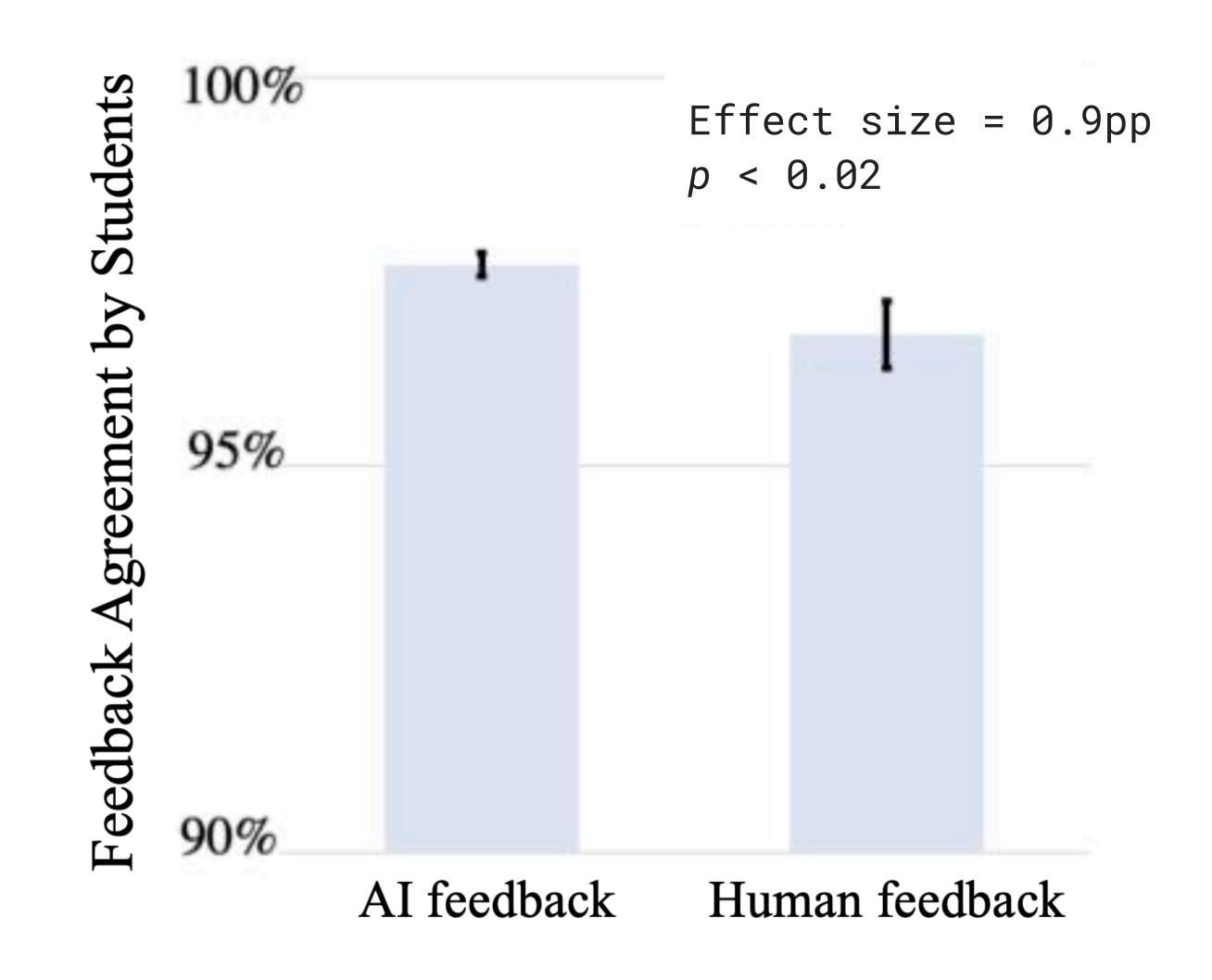
Humans gave feedback ~1k answers.

AI gave feedback on the remaining ~15k.

~2k could be auto-graded and were not included in analysis.

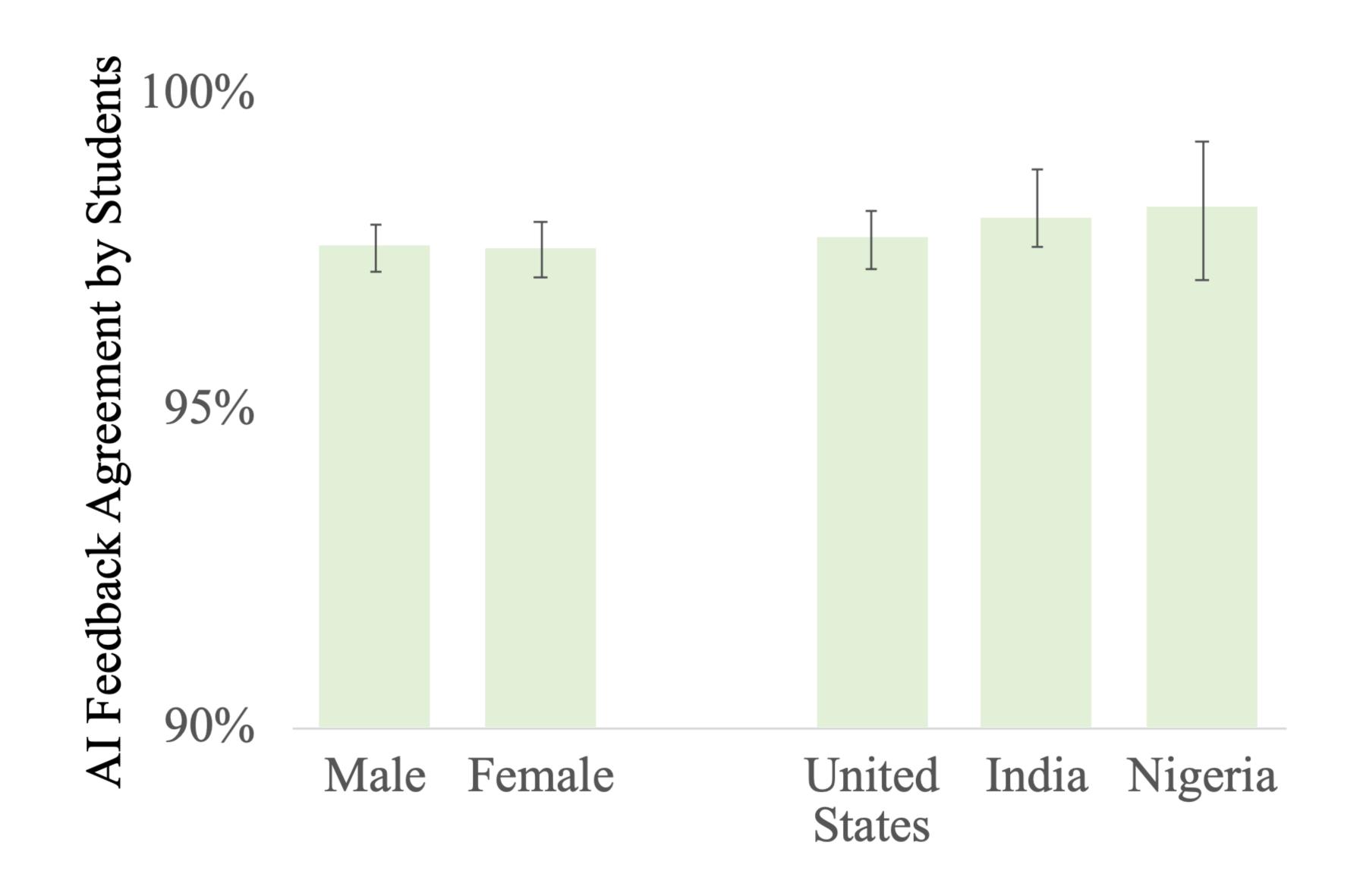
Humans gave good feedback.

ML model gave slightly better feedback.



Average holistic rating of usefulness by students was  $4.6 \pm 0.018$  out of 5.

# No signs of bias by demographics



Can we deploy few-shot learning algorithms in the real world?

- the feedback problem

- can we approach the problem using meta-learning?

- can deploy the approach to real students?

- reflections on real-world meta-learning

#### A first for education



First successful deployment of ML-driven feedback to open ended student work

A first for ML



First successful deployment of prototypical networks in live application.

\* to the best of our knowledge

#### What was hard and different?

- 1. Limited meta-training tasks.
  - -> task augmentation can help
  - -> regularization may help

Also see: Bansal et al. SMLMT '20 Murty et al. DRECA '21

Also see: Yao et al. MLTI '21

- 2. Where does the support set come from?
  - -> active learning? expert-designed support sets?
- 3. Can the model defer harder examples for the instructor?
  - -> calibration, selective classification
- 4. Domain shift between meta-training & deployment.

Also see: Koh\*, Sagawa\* WILDS '21

Mike Wu Alan Cheng Noah Goodman Chris Piech









#### Want to learn more about meta-learning?

Stanford CS330: Deep Multi-Task and Meta Learning <a href="mailto:cs330.stanford.edu">cs330.stanford.edu</a>
All lecture videos online!