#### Language and Statistics II

Lecture 2: Sequences Noah Smith

## Administrivia

- Course list?
- Lit review proposal due in 12 days
- Assignment 1 posted
- Office hours right after lecture (2602F NSH)

## Text Data

• Sequence of symbols (letters, characters, words).

– Infinite or finite set?

- Let Σ be the finite set of symbols (alphabet).
- Can we define a distribution over Σ\*?
  Assume we want every string to get some
  - Assume we want *every* string to get some mass.

## **History-Based Models**

• Predict each word from left to right.

$$p(s_1^n) = \prod_{i=1}^n \gamma(s_i \mid s_1^{i-1})$$

- Representational power?
- How many parameters?
  = (number of histories) × |Σ|
- Probability of sequences not in training data?

## **History-Based Models**



# Markov (n-gram) Models

• Predict each word from left to right.

$$p(s_1^n) = \prod_{i=1}^n \gamma(s_i \mid s_{i-m}^{i-1})$$

- Independence assumption?
- Representational power?
- How many parameters?  $O(|\Sigma|^{m+1})$
- Why does it work?

# Why are *n*-gram models so great?

- Formalism: understandable
- Features: simple (not too many) – Really?
- Model: fully generative
- Algorithms?
  - Probability of a sequence
  - Choosing a sequence from a set
  - Training ...

## Drawbacks of *n*-gram models

- Data sparseness
- Black art of smoothing
- Is  $\Sigma$  really fully known?

## Application: $\Sigma^*$ is the **output**



# n-gram as a Source Model

- Speech Recognition (Jelinek, 1997)
- Machine Translation (Brown et al., 1993)
- Optical Character Recognition (Kolak and Resnik, 2002)
- Spelling Correction (Kernighan, Church, & Gale, 1990)
- Punctuation Restoration (Beeferman, Berger, & Lafferty, 1998)

(This list is not exhaustive!)

#### *n*-grams and Lattices

- Suppose we have a weighted lattice (output from the channel model).
- Problem 1: how many paths?



## Counting Paths in a Lattice



#### *n*-grams and Lattices

- Suppose we have a weighted lattice (output from the channel model).
- Problem 2: Best path?



#### Best Path in a Lattice



#### n-grams and Lattices

- Suppose we have a weighted lattice (output from the channel model).
- Problem 3: Best path, factoring in n-gram source model?



# Best Path in a Lattice, including unigram model

 $best(v) = \max_{(u,v,s)\in E} weight(u,v) \times best(u) \times \gamma(s)$ 



# Best Path in a Lattice, including *bigram* model

 $best(v;\mathbf{s}) = \max_{(u,v,\mathbf{s})\in E, \mathbf{s}'\in\Sigma} weight(u,v) \times best(u;\mathbf{s}') \times \gamma(\mathbf{s}',\mathbf{s})$ 



## Application: $\Sigma^*$ is the **input**



# n-gram as a Channel Model

- Text categorization
- Language identification
- Topic segmentation
- Information retrieval (Ponte and Croft, 1998; Berger and Lafferty, 1999)
- Sentence compression (Knight and Marcu, 2002)
- Question → Search query (Radev, Qi, Zheng, et al., 2001)

(This list is not exhaustive!)

"Improving" in what sense?

Faster algorithms? Unlikely! Better fit to unseen data? Smoothing ...

"Improving" in what sense?

Faster algorithms? Unlikely! Better fit to unseen data? Unlikely! (Smoothing research appears to be at a plateau)

Better suited to tasks? Maybe ...

Make use of domain knowledge?

1. Word classes (Brown et al., 1990)

$$p(s_1^n) = \prod_{i=1}^n \eta(s_i \mid c_i) \cdot \gamma(c_i \mid c_{i-m}^{i-1})$$

$$c_i = \text{class}(s_i)$$
  
class:  $\Sigma \to \Lambda$ 

Classes are a **partition** on  $\Sigma$ ; must be chosen.

2. Hidden Markov models

$$p(c_1^n, s_1^n) = \prod_{i=1}^n \eta(s_i | c_i) \cdot \gamma(c_i | c_{i-m}^{i-1})$$
$$p(s_1^n) = \sum_{c_1^n \in \Lambda^n} \prod_{i=1}^n \eta(s_i | c_i) \cdot \gamma(c_i | c_{i-m}^{i-1})$$

Classes are a hidden random variable.

### Hidden Markov Model



# What HMMs Can Do (that *n*-gram models can't)

- Some words behave similarly
  - Color, color, colour, hue
  - (Hard classes give us this, too!)
- Some words are ambiguous
  - John colors  $_{\rm V}$  the picture  $_{\rm N}$
  - Many colors<sub>∨</sub> make a rainbow
  - Picture<sub>V</sub> a man walking on the shore
- Long distance dependencies (some)
  - ¿Bastante caliente?
- · Constraints like "only one verb"
- Parameters:  $|\Sigma||\Lambda| + |\Lambda|^m$

# NL Applications of HMMs

- Part-of-speech tagging (Church, 1988; Brants, 2000)
- Text chunking/shallow parsing (I-O-B tags)
- Named entity recognition (Bikel, Schwartz, Weischedel, 1999)
- Word alignment

(Vogel, Ney, and Tillman, 1996)

## I-O-B Trick

 Shallow "bracketing" structure models from HMMs

