SAT and SMT Solvers in Practice

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http://www.cs.cmu.edu/~mheule/15816-f20/ https://github.com/marijnheule/sat-examples.git Automated Reasoning and Satisfiability September 16, 2020

DIMACS: SAT solver input format

The DIMACS format for SAT solvers has three types of lines:

- header: p cnf n m in which n denotes the highest variables index and m the number of clauses
- clauses: a sequence of integers ending with "0"
- comments: any line starting with "c"

```
 \begin{array}{c} \text{c example} \\ (\alpha \vee b \vee \overline{c}) \wedge \\ (\overline{a} \vee \overline{b} \vee c) \wedge \\ (b \vee c \vee \overline{d}) \wedge \\ (\overline{b} \vee \overline{c} \vee d) \wedge \\ (\alpha \vee c \vee d) \wedge \\ (\overline{a} \vee \overline{c} \vee \overline{d}) \wedge \\ (\overline{a} \vee \overline{c} \vee \overline{d}) \wedge \\ (\overline{a} \vee b \vee d) \end{array} \begin{array}{c} \text{c example} \\ \text{p cnf } 4 7 \\ -1 -2 30 \\ 2 3 -40 \\ -2 -3 40 \\ 1 3 40 \\ -1 -3 -40 \\ -1 2 40 \end{array}
```

DIMACS: SAT solver output format

The solution line of a SAT solver starts with "s":

- s SATISFIABLE: The formula is satisfiable
- s UNSATISFIABLE: The formula is unsatisfiable
- s UNKNOWN: The solver cannot determine satisfiability

In case the formula is satisfiable, the solver emits a certificate:

- lines starting with "v"
- a list of integers ending with 0
- e.g. v -1 2 4 0

In case the formula is unsatisfiable, then most solvers support emitting a proof of unsatisfiability to a separate file

CaDiCaL: download and install

Most SAT solvers are implemented in C/C++

CaDiCaL is one of the strongest SAT solvers. As the name suggests it is based on CDCL. Recommended for Linux and macOS users.

obtain CaDiCaL:

- git clone https://github.com/arminbiere/cadical.git
- cd cadical
- ./configure; make

to run: ./build/cadical formula.cnf

SAT4J: download and install

SAT4J is a SAT solver in Java. It is also based on CDCL. Recommended for windows users.

obtain SAT4J:

- git clone https://github.com/marijnheule/sat-examples.git
- cd sat-examples

to run: java -jar org.sat4j.core-2.3.1.jar formula.cnf

UBCSAT: download and install

UBCSAT is a collection of local search SAT solvers.

obtain UBCSAT:

- download and unzip http://ubcsat.dtompkins.com/downloads/ ubcsat-beta-12-b18.tar.gz
- cd ubcsat-beta-12-b18
- make clean; make

```
to run: ./ubcsat -alg ddfw -i formula.cnf
```

there are many LS algorithms to choose from (-alg)

Many SAT solvers

Many SAT solvers have been developed

Lots of them participate in the annual SAT competition

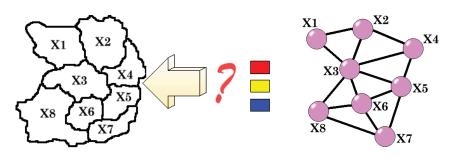
- All code of participants in open source
- Each solver is run on hundreds of benchmarks
- Large timeout 5000 seconds

For details and downloading more solvers visit http://satcompetition.org/

Demo: SAT Solving

Graph coloring

Given a graph G(V, E), can the vertices be colored with k colors such that for each edge $(v, w) \in E$, the vertices v and w are colored differently.



Graph coloring encoding

Variables	Range	Meaning
$\chi_{ u,i}$	$i \in \{1, \dots, c\}$ $v \in \{1, \dots, V \}$	node ν has color i
Clauses	Range	Meaning
$(x_{\nu,1} \vee x_{\nu,2} \vee \cdots \vee x_{\nu,c})$	$v \in \{1,\ldots, V \}$	u is colored
$(\overline{x}_{\nu,s} \vee \overline{x}_{\nu,t})$	$s \in \{1, \dots, c-1\}$ $t \in \{s+1, \dots, c\}$	
$(\overline{\chi}_{v,i} \vee \overline{\chi}_{w,i})$	$(v,w)\in E$	v and w have a different color

Graph coloring encoding code

```
#include <stdio.h>
#include <stdlib.h>
int main (int argc, char** argv) {
  FILE* graph = fopen (argv[1], "r");
  int i, j, a, b, nVertex, nEdge, nColor = atoi (argv[2]);
  fscanf (graph, " p edge %i %i ", &nVertex, &nEdge);
  printf ("p cnf %i %i\n", nVertex * nColor, nVertex + nEdge * nColor);
  for (i = 0; i < nVertex; i++) {
    for (j = 1; j <= nColor; j++)</pre>
      printf ("%i ", i * nColor + j);
    printf ("0\n"); }
  while (1) {
    int tmp = fscanf (graph, " e %i %i ", &a, &b);
    if (tmp == 0 || tmp == EOF) break;
    for (i = 1; i <= nColor; i++)</pre>
      printf ("-%i -%i 0 n", (a-1) * nColor + j, (b-1) * nColor + j);
}
```

Demo: Encode, Decode

Unsatisfiable cores

An unsatisfiable core of an unsatisfiable formula F is a subset of F that is unsatisfiable.

An minimal unsatisfiable core of an unsatisfiable formula such that the removal of any clause makes the formula satisfiable.

Extracting a minimal unsatisfiable core from a formula has many applications, but the computational costs could be high.

- maxSAT
- diagnosis
- formal verification

Proofs

A proof of unsatisfiability is a certificate that a given formula is unsatisfiable.

Various proof producing methods exists (another lecture).

Proof checking tools cannot only validate a proof but also produce additional information about the formula:

- unsatisfiable core
- optimized proof

DRAT-trim is a tool that validates proofs and produces such information

Demo: Core Extraction

Tools for making SAT-based modeling easier

PySAT is a Python toolkit that makes it easier for users to call SAT solvers and build encodings using Python:

- https://pysathq.github.io/
- SAT solver is still written in C, C++
- Interface includes several encodings for linear constraints:
 - At-most-one constraints
 - Cardinality constraints
 - AIGER circuits to CNF
 - ...
- Well documented
- Active development

Demo: PySAT

SMT-LIB: SMT solver input format (I)

```
http://smtlib.cs.uiowa.edu/
```

Language has similarities with functional languages and it is more readable than CNF. Theories:

- Arrays,
- Bitvectors,
- Boolean predicates,
- Floating point,
- Ints,
- Reals

SMT-LIB: SMT solver input format (II)

 $\mathtt{https://rise4fun.com/Z3/mpltF}$

```
; Basic Boolean example
(set-logic QF_UF)
(declare-const p Bool)
(assert (and p (not p)))
(check-sat) ; returns 'unsat'
(exit)
```

SMT-LIB: SMT solver input format (III)

https://rise4fun.com/Z3/DG4pl1

```
; Integer arithmetic
(set-logic QF_LIA)
(declare-const x Int)
(declare-const y Int)
(assert (= (- x y) (+ x (- y) 1)))
(check-sat) ; returns 'unsat'
(exit)
```

SMT Solvers

- Z3 (Microsoft): https://github.com/Z3Prover/z3/wiki
- CVC4 (Stanford): http://cvc4.cs.stanford.edu/web/
- Yices (SRI): http://yices.csl.sri.com/
- Boolector (JKU Austria): https://boolector.github.io/

SMT Solvers

We recommend the use of Z3:

Tutorials:

```
https://rise4fun.com/z3/tutorial
https://theory.stanford.edu/~nikolaj/
programmingz3.html
```

- APIs for Python, C++, Java
- MIT License: https://github.com/Z3Prover/z3
- Most used and cited SMT solver (>5,000 citations)

Demo: SMT solving

https://rise4fun.com/z3/tutorial

Proving program equivalence in SMT

```
1 int power3(int in)
2 {
3    int i, out_a;
4    out_a = in;
5    for (i = 0; i < 2; i++)
6    out_a = out_a * in;
7    return out_a;
8 }

1   int power3_new(int in)
2 {
3    int out_b;
4
5    out_b = (in * in) * in;
6
7    return out_b;
8 }</pre>
```

```
\begin{split} \phi_{a} \equiv & (\text{out0\_a} = \text{in0\_a}) \wedge (\text{out1\_a} = \text{out0\_a} \times \text{in0\_a}) \wedge \\ & (\text{out2\_a} = \text{out1\_a} \times \text{in0\_a}) \\ \phi_{b} \equiv & \text{out0\_b} = (\text{in0\_b} \times \text{in0\_b}) \times \text{in0\_b} \end{split}
```

To show these programs are equivalent, we must show the following formula is valid: $in0_a = in0_b \land \phi_a \land \phi_b \implies out2_a = out0_b$

Demo: Program equivalence with SMT solving

Integers as mathematical integers:
https://rise4fun.com/Z3/BLQpl

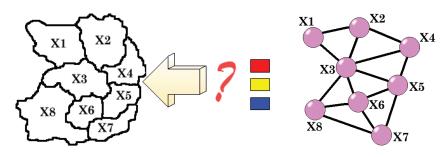
Integers as bit vectors:

https://rise4fun.com/Z3/ibsw3

Using uninterpreted functions:

https://rise4fun.com/Z3/V7Sf

Graph coloring encoding in SMT



Variables:

■ Integer variables x_i for each node

Constraints:

- $1 \le x_i \le c$
- $\mathbf{x}_i \neq x_j \text{ for } (x_i, x_j) \in \mathsf{E}$

Graph coloring encoding code

print(s.model())

```
from z3 import *
import sys
with open(sys.argv[1]) as f:
    content = f.readlines()
nodes=int(content[0].split()[2])
edges=int(content[0].split()[3])
s = Solver()
variables =
for id in range(1,nodes+1):
        variables.append(Int('x'+str(id)))
        s.add(And(1 <= variables[id-1], variables[id-1] <= int(sys.argv[2])))</pre>
for line in content:
  if line[0]=='p':
        edge=line.split()
        s.add((variables[int(edge[1])-1])!=(variables[int(edge[2])-1]))
s.check()
```

Demo: Encoding in SMT

Unsatisfiable cores in SMT

https://rise4fun.com/Z3/VHDA

```
(set-option :produce-unsat-cores true)
(set-logic OF_UF)
(declare-const p Bool) (declare-const q Bool) (declare-const r Bool)
(declare-const s Bool) (declare-const t Bool)
(assert (! (=> p q) :named PQ))
(assert (! (=> q r) :named QR))
(assert (! (=> r s) :named RS))
(assert (! (=> s t) :named ST))
(assert (! (not (=> q s)) :named NQS))
(check-sat)
(get-unsat-core)
(exit)
```

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