10-418 / 10-618 Machine Learning for Structured Data

Machine Learning Department
School of Computer Science
Carnegie Mellon University

Convolutional Neural Networks

Matt Gormley
Lecture 16
Oct. 21, 2019

Reminders

- Homework 3: Structured SVM
  - Out: Tue, Oct. 18
  - Due: Mon, Nov. 4 at 11:59pm
- Midterm Exam Viewing
- Midsemester Grades

aka. Max-Margin Markov Networks (M^3Ns)

STRUCTURED SVM

Structured Perceptron

Whiteboard

- Warmup: Binary SVM
- Warmup: Binary SVM Hinge Loss
- Structured Large Margin
- Structured Hinge Loss
- Gradient of Structured Hinge Loss
- SGD for Structured SVM
- Loss Augmented MAP Inference

Max vs "Soft-Max" Margin

- SVMs:

$$\min_{\mathbf{w}} k||\mathbf{w}||^2 - \sum_i \left(\underbrace{\mathbf{w}^\top \mathbf{f}_i(\mathbf{y}^i) - \max_{\mathbf{y}} \left(\mathbf{w}^\top \mathbf{f}_i(\mathbf{y}) + \ell_i(y) \right)}_{\text{Hard (Penalized) Margin}} \right)$$

- Maxent:

$$\min_{\mathbf{w}} \; k||w||^2 - \sum_i \left(\underbrace{\mathbf{w}^\top \mathbf{f}_i(\mathbf{y}^i) - \log \sum_{\mathbf{y}} \exp\left(\mathbf{w}^\top \mathbf{f}_i(\mathbf{y}) \right)}_{\text{Soft Margin}} \right)$$

- Very similar! Both try to make the true score better than a function of the other scores.
  - The SVM tries to beat the augmented runner-up
  - The maxent classifier tries to beat the "soft-max"

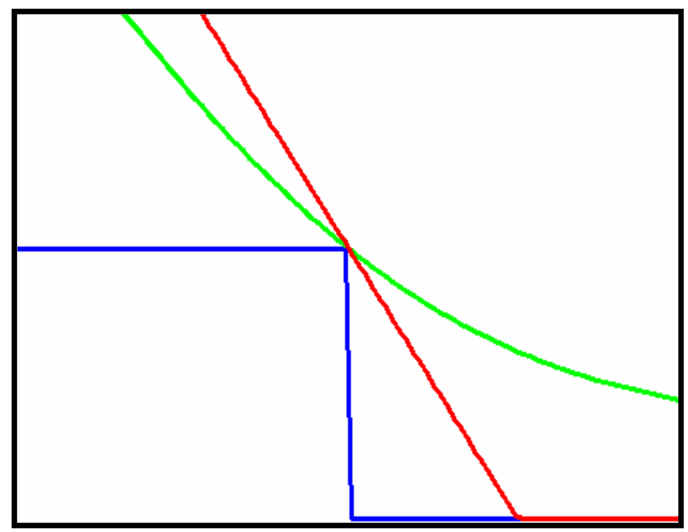
Slide from Klein & Taskar (ACL 2005 Tutorial)

Hinge Loss

- Consider the per-instance SVM objective:

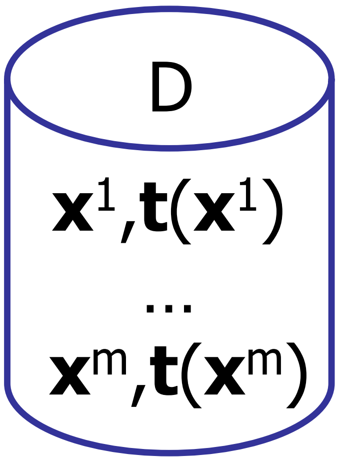
$$\min_{\mathbf{w}} k||\mathbf{w}||^2 - \sum_i \left(\mathbf{w}^\top \mathbf{f}_i(\mathbf{y}^i) - \max_{\mathbf{y}} \left[\mathbf{w}^\top \mathbf{f}_i(\mathbf{y}) + \ell_i(y) \right] \right)$$

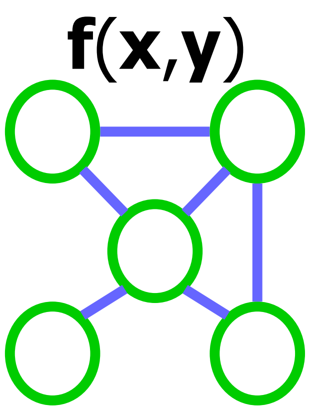
- This is called the “hinge loss”
  - Upper bounds zero-one loss
  - Unlike maxent / log loss, you stop gaining objective once the true label wins by enough
  - You can start from here and derive the SVM objective



$$\mathbf{w}^\top \mathbf{f}_i(\mathbf{y}^i) - \max_{\mathbf{y} \neq \mathbf{y}^i} \mathbf{w}^\top \mathbf{f}_i(\mathbf{y})$$

Max (Conditional) Likelihood





Estimation

$$\text{maximize}_{\mathbf{w}} \sum_{\mathbf{x} \in D} \log P_{\mathbf{w}}(\mathbf{t}(\mathbf{x}) \mid \mathbf{x})$$

Classification

$$\arg\max_{\mathbf{y}} \mathbf{w}^{\top}\mathbf{f}(\mathbf{x}, \mathbf{y})$$

$$\log P_{\mathbf{w}}(\mathbf{y} \mid \mathbf{x}) = \mathbf{w}^{\top}\mathbf{f}(\mathbf{x}, \mathbf{y}) - \log Z_{\mathbf{w}}(\mathbf{x})$$

Don't need to learn entire distribution!

Structured SVM

The original name for **Structured SVM:**

- **Max-Margin Markov Networks**
- **abbreviated as** M^3Ns

Results: Handwriting Recognition

Length: ~8 chars

Letter: 16x8 pixels

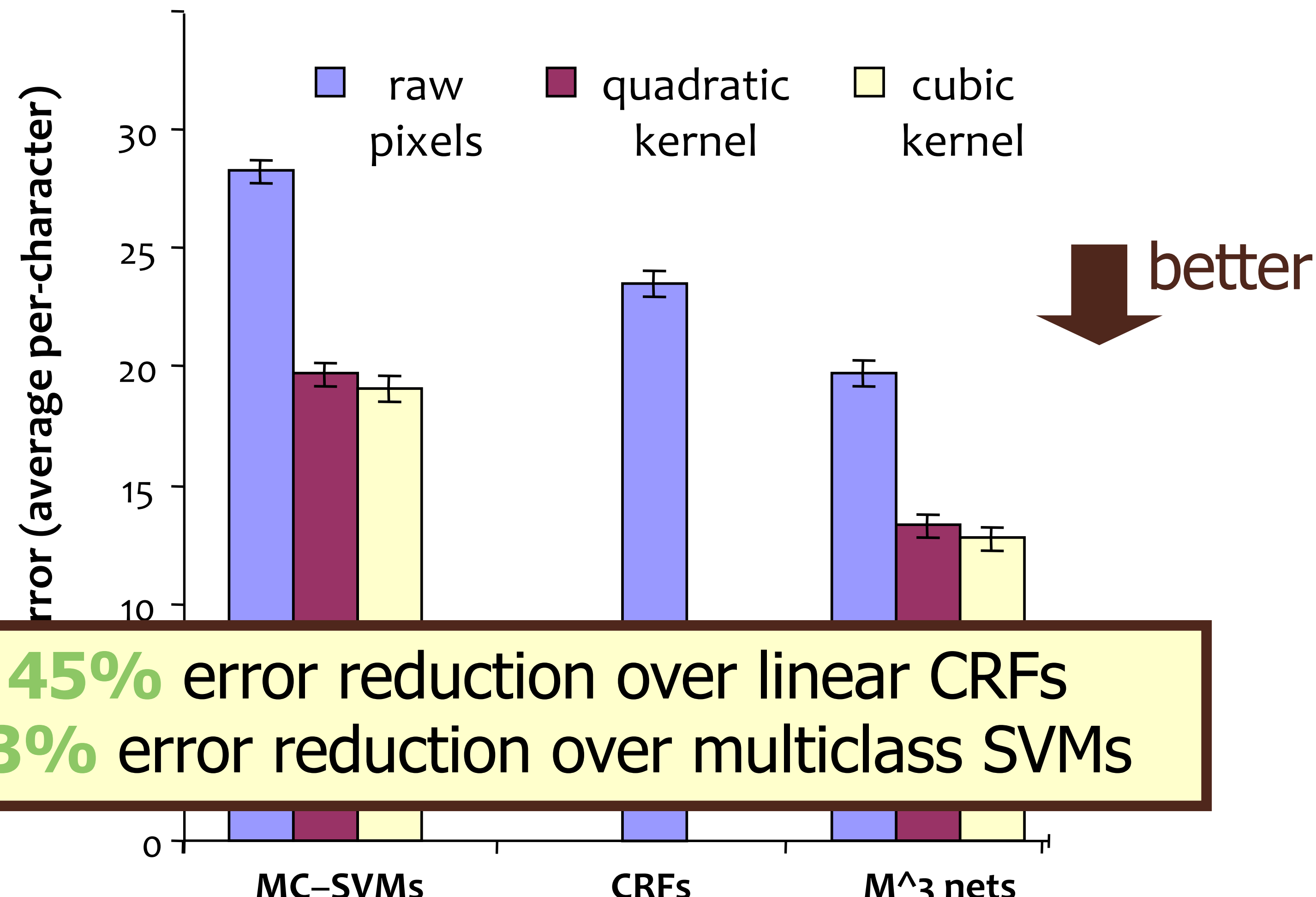
10-fold Train/Test

5000/50000 letters

600/6000 words

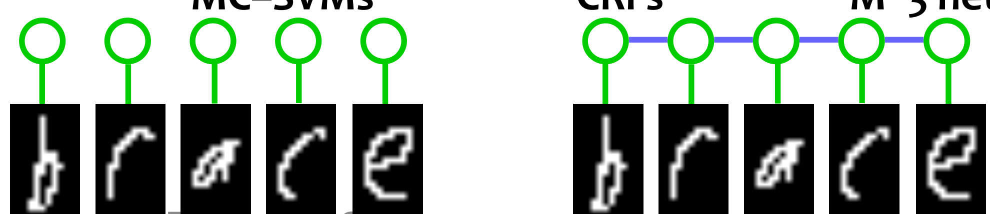
Models:

- Multiclass-SVMs*
- CRFs
- M^3 nets



45% error reduction over linear CRFs

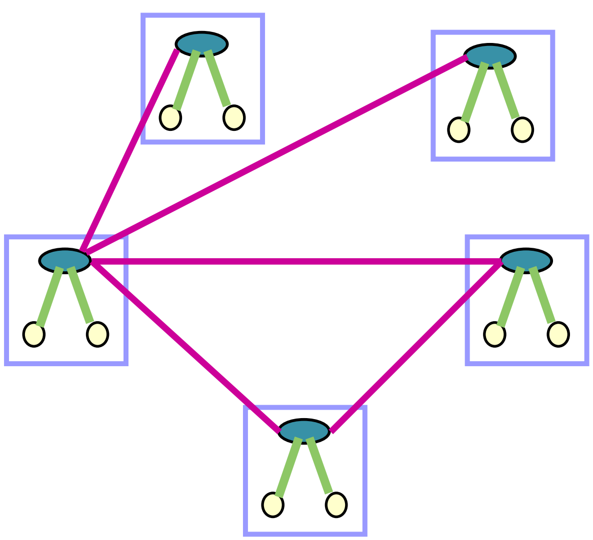
33% error reduction over multiclass SVMs

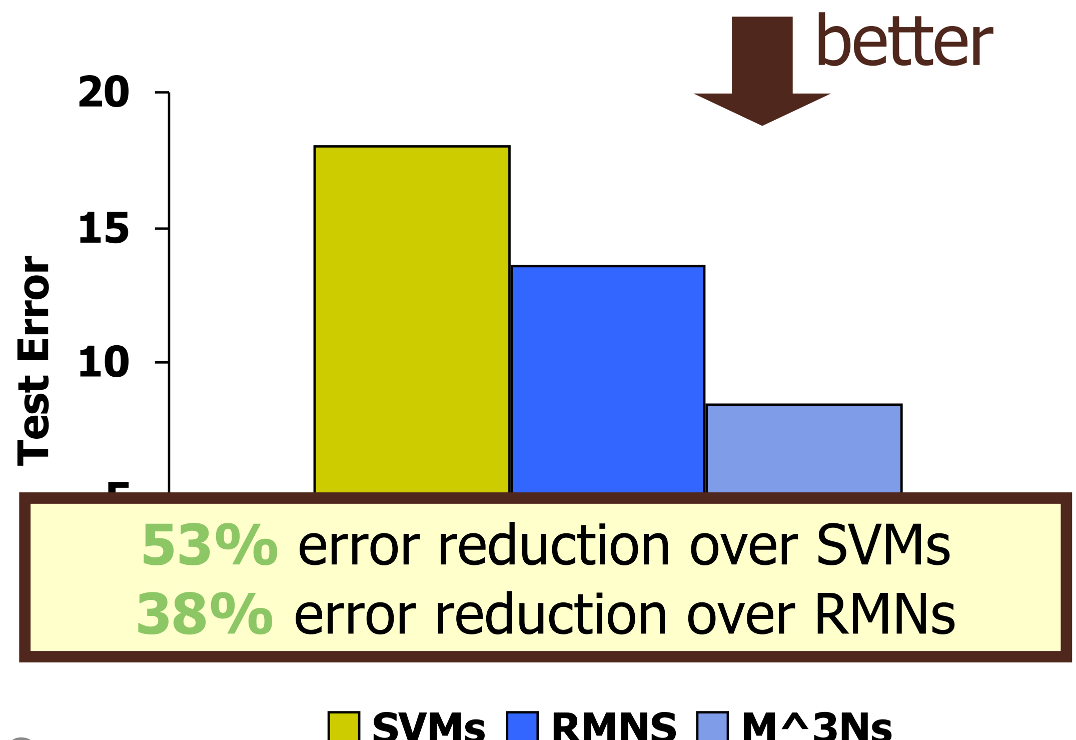


*Crammer & Singer 01

Results: Hypertext Classification

- WebKB dataset
  - Four CS department websites: 1300 pages/3500 links
  - Classify each page: faculty, course, student, project, other
  - Train on three universities/test on fourth
- Inference: loopy belief propagation
- Learning: relaxed dual

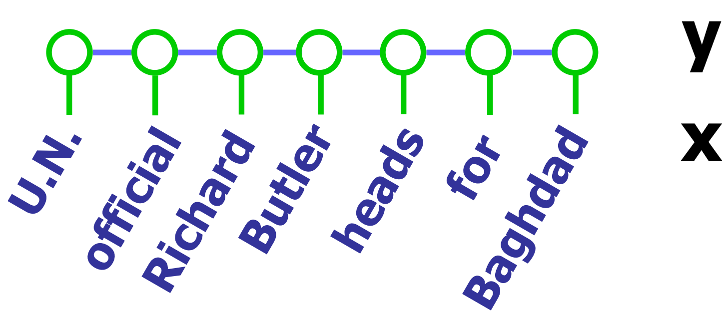




*Taskar et al 02

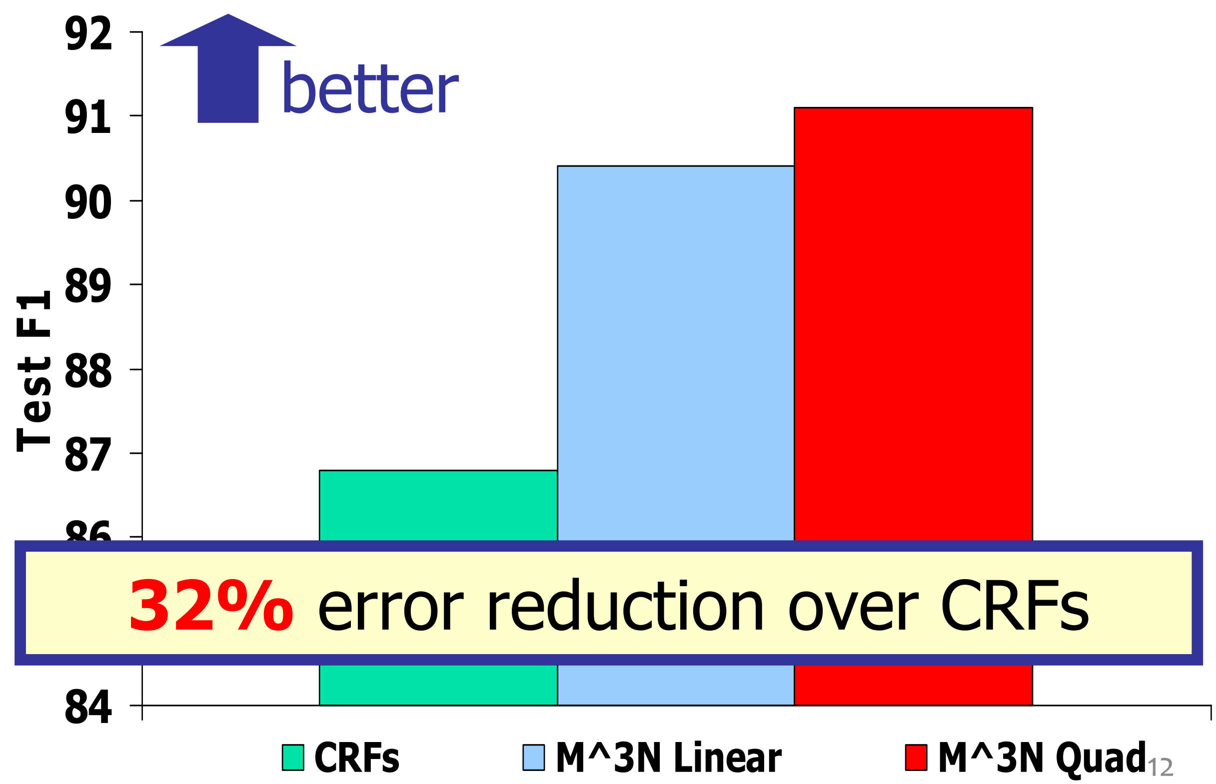
Named Entity Recognition

- Locate and classify named entities in sentences:
  - 4 categories: organization, person, location, misc.
  - e.g. "U.N. official Richard Butler heads for Baghdad".
- CoNLL 03 data set (200K words train, 50K words test)



y_i = org/per/loc/misc/none

$\mathbf{f}(y_i, x)$ = […,
I(y_i=org, x_i="U.N."),
I(y_i=per, x_i=capitalized),
I(y_i=loc, x_i=known city),
…,]



Associative Markov networks

$$P(\mathbf{y} \mid \mathbf{x}) \propto \prod_i \phi_i(y_i, \mathbf{x}_i) \prod_{ij} \phi_{ij}(y_i, y_j, \mathbf{x}_{ij}) = \exp\{\mathbf{w}^\top \mathbf{f}(\mathbf{x}, \mathbf{y})\}$$

Point features (under $\prod_i \phi_i(y_i, \mathbf{x}_i)$): spin-images, point height

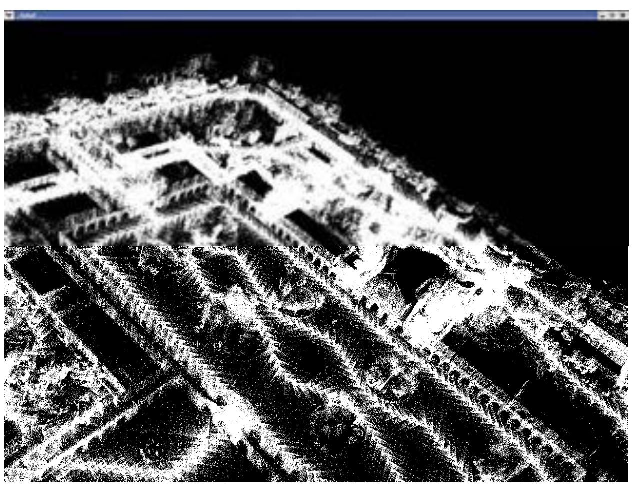
Edge features (under $\phi_{ij}(y_i, y_j, \mathbf{x}_{ij})$): length of edge, edge orientation

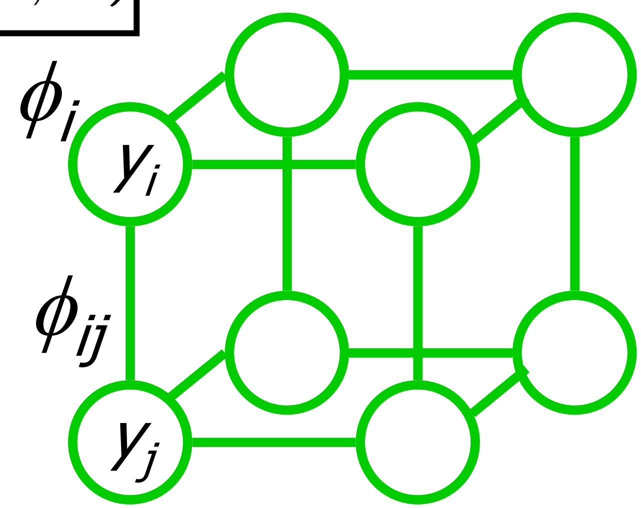
"associative" restriction

$$\phi_{ij}(y_i, y_j) = \begin{bmatrix} \phi_{ij}(1,1) & & 1 \\ & \ddots & \\ 1 & & \phi_{ij}(K,K) \end{bmatrix}$$

bonus

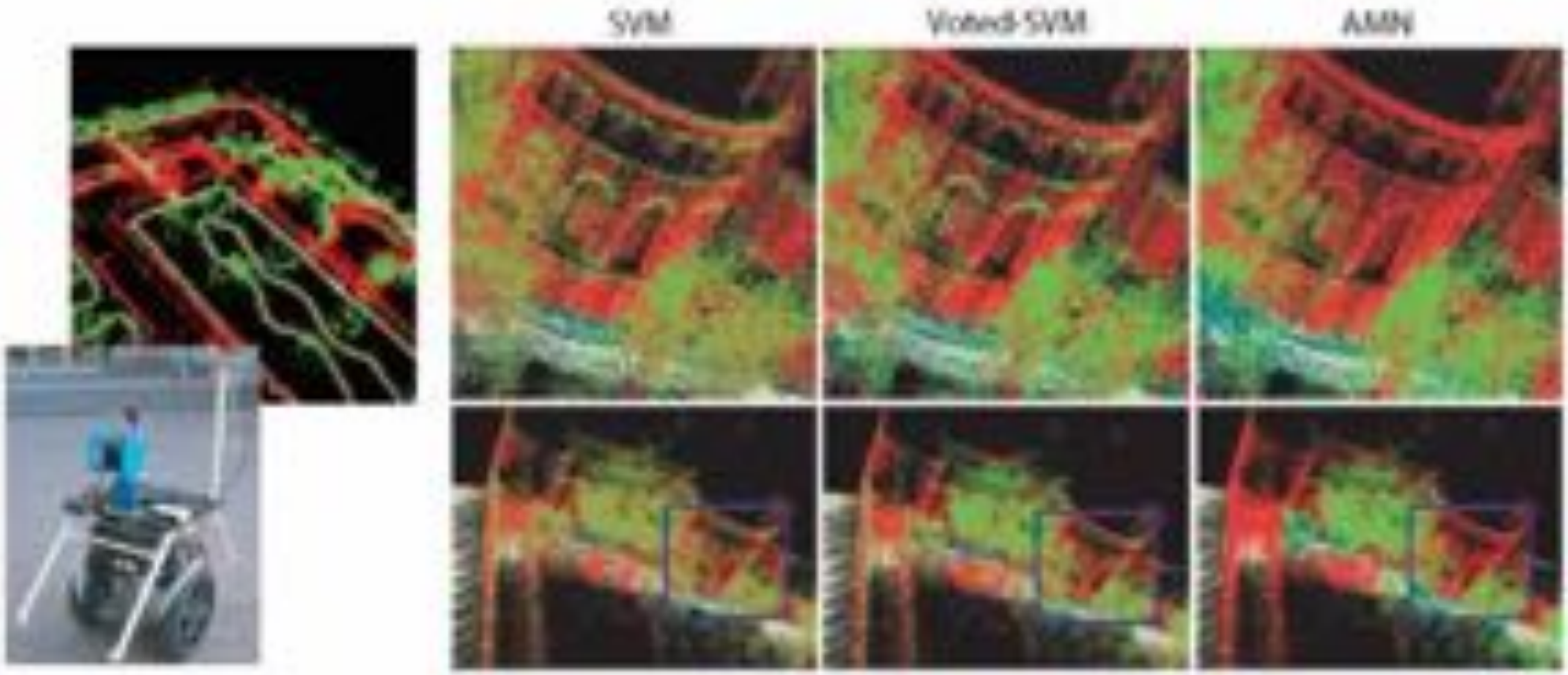
$$\phi_{ij}(k,k) \geq 1$$





Slide from Guestrin, 10-701, 2005

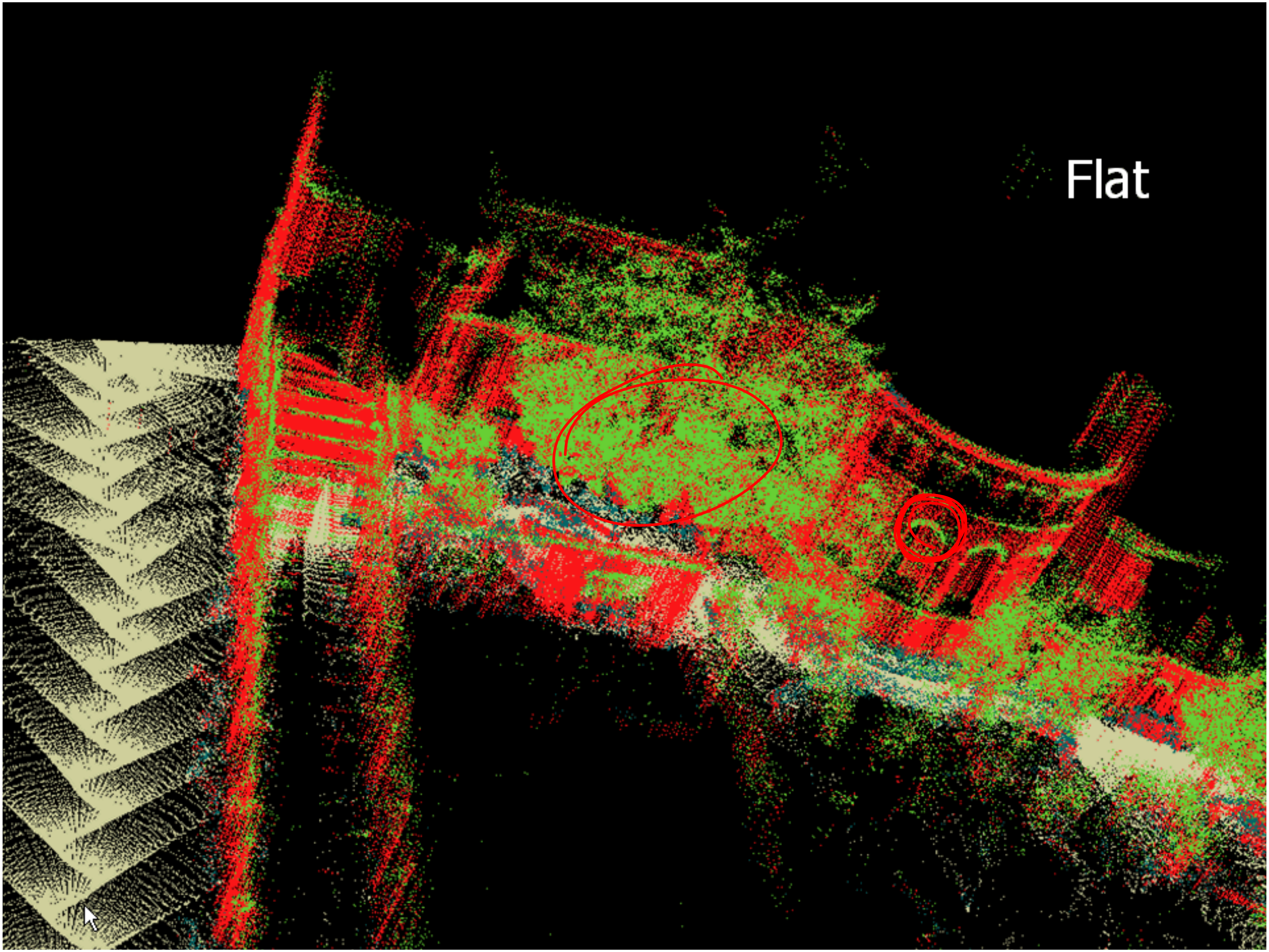
Max-margin AMNs results

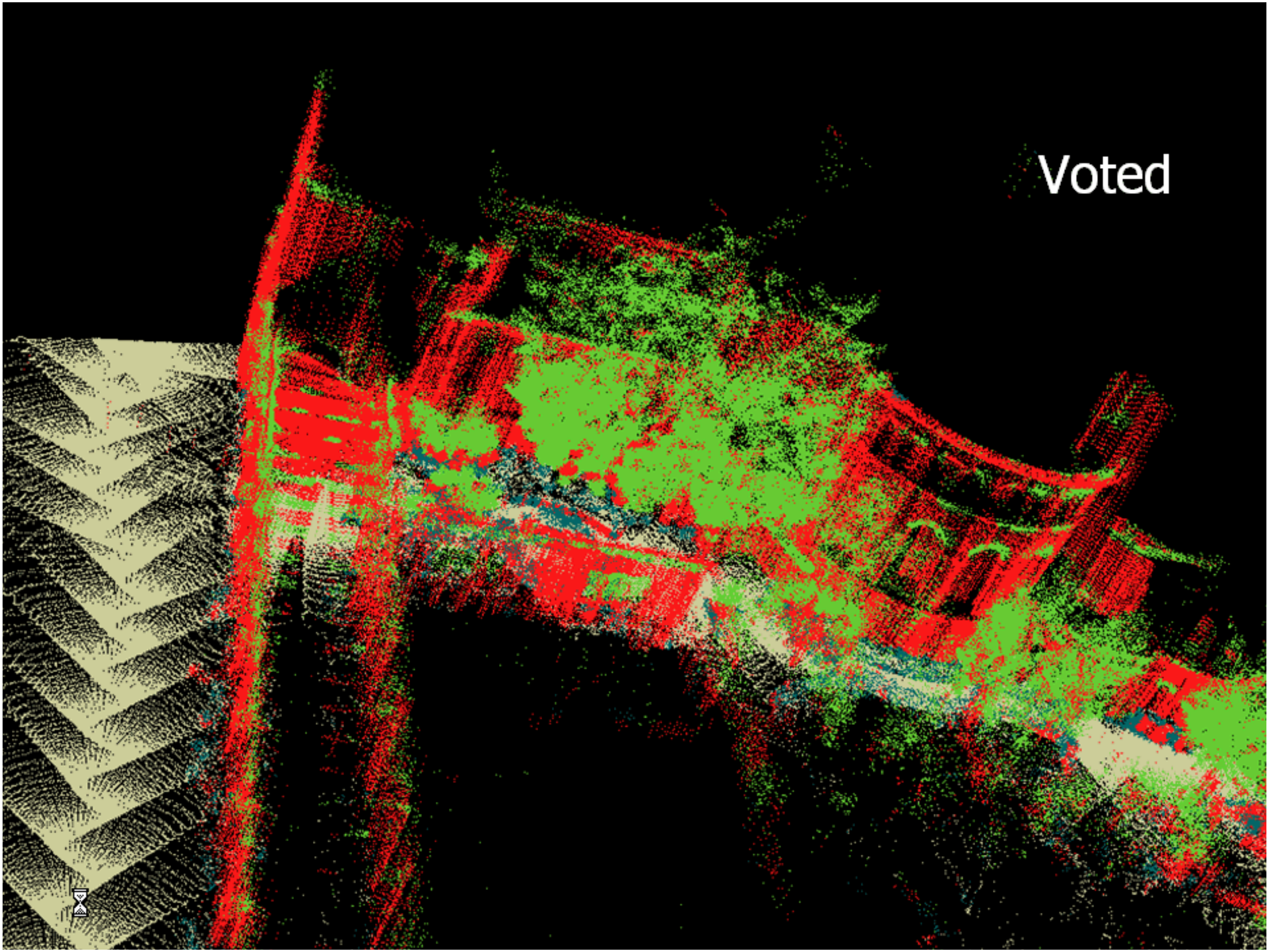


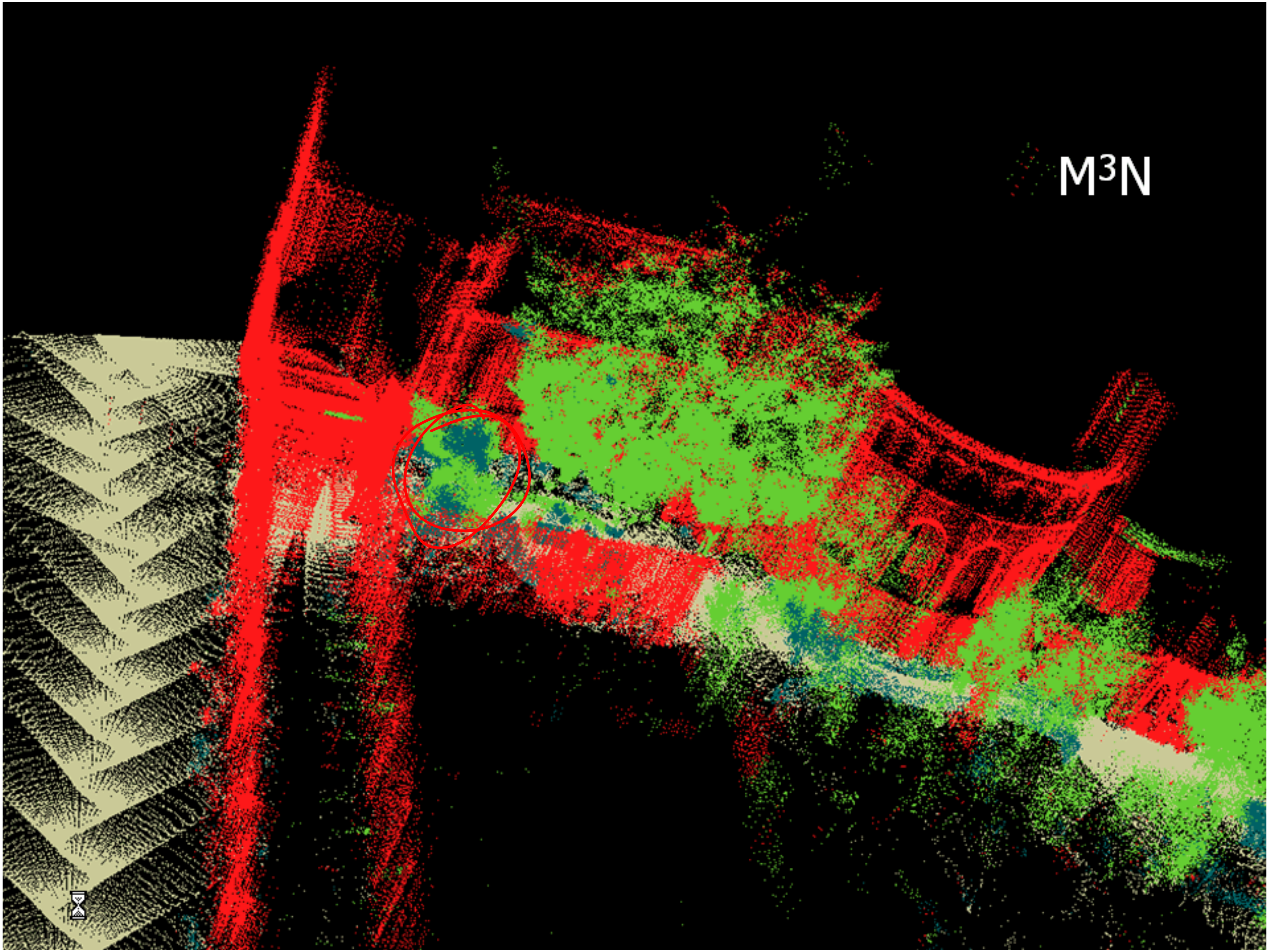
Label: ground, building, tree, shrub

Training: 30 thousand points   Testing: 3 million points

Slide from Guestrin, 10-701, 2005



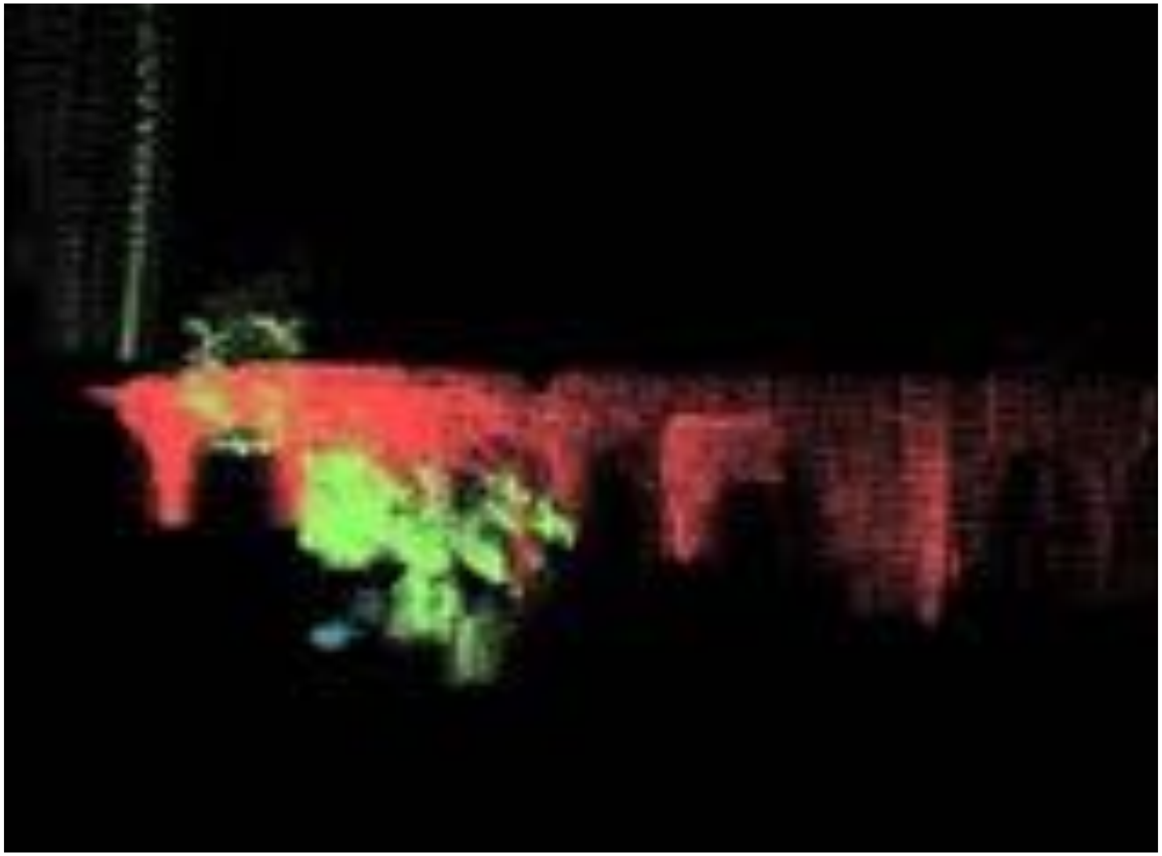

Voted


M³N

Segmentation results

Hand labeled 180K test points

Model	Accuracy
SVM	68%
V-SVM	73%
M^3N	93%



CNNs Outline

- **Background: Computer Vision**
  - Image Classification
  - ILSVRC 2010 - 2016
  - Traditional Feature Extraction Methods
  - Convolution as Feature Extraction
- **Convolutional Neural Networks (CNNs)**
  - Learning Feature Abstractions
  - Common CNN Layers:
    - Convolutional Layer
    - Max-Pooling Layer
    - Fully-connected Layer (w/tensor input)
    - Softmax Layer
    - ReLU Layer
  - Background: Subgradient
  - Architecture: LeNet
  - Architecture: AlexNet
  - Architecture: ResNet
- **Training a CNN**
  - SGD for CNNs
  - Backpropagation for CNNs

BACKGROUND: COMPUTER VISION

Example: Image Classification

- ImageNet LSVRC-2011 contest:
  - **Dataset:** 1.2 million labeled images, 1000 classes
  - **Task**: Given a new image, label it with the correct class
  - **Multiclass** classification problem
- Examples from http://image-net.org/

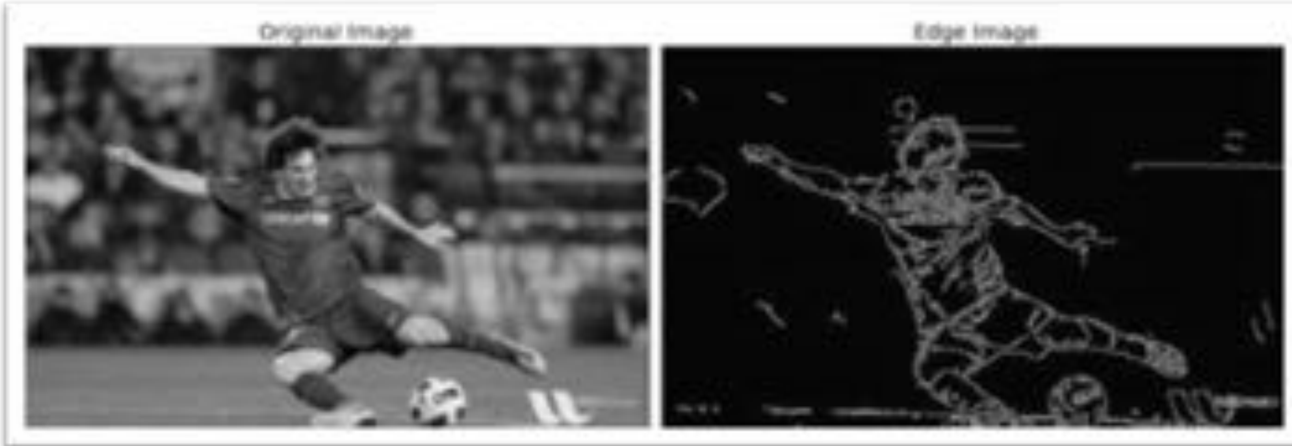

IMAGENET
Bird


IMAGENET
German iris, Iris kochii

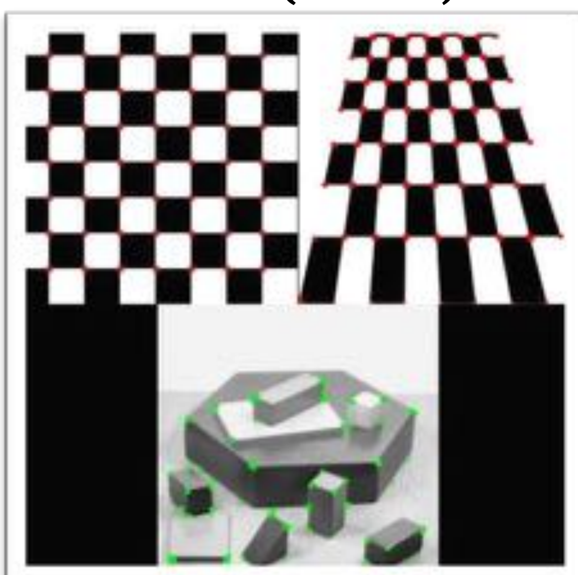

IMAGENET
Court, courtyard

Feature Engineering for CV

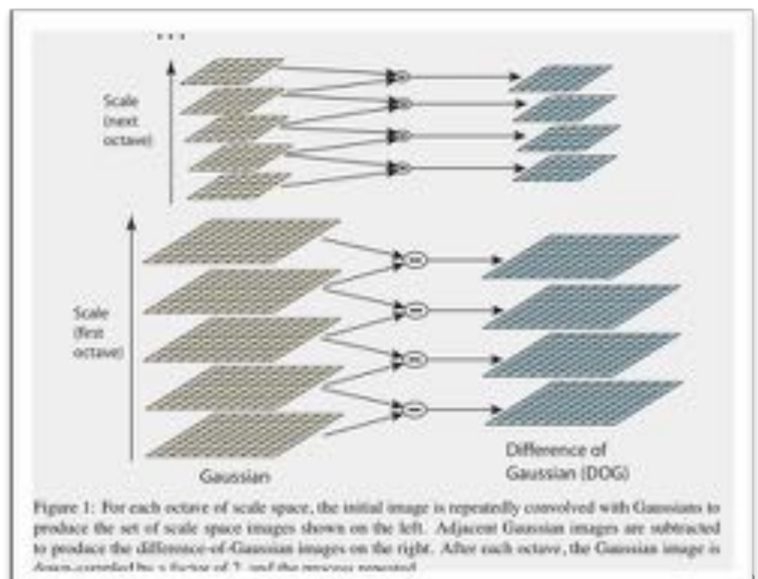
Edge detection (Canny)



Corner Detection (Harris)



Scale Invariant Feature Transform (SIFT)



Figures from http://opencv.org

Figure from Lowe (1999) and Lowe (2004)

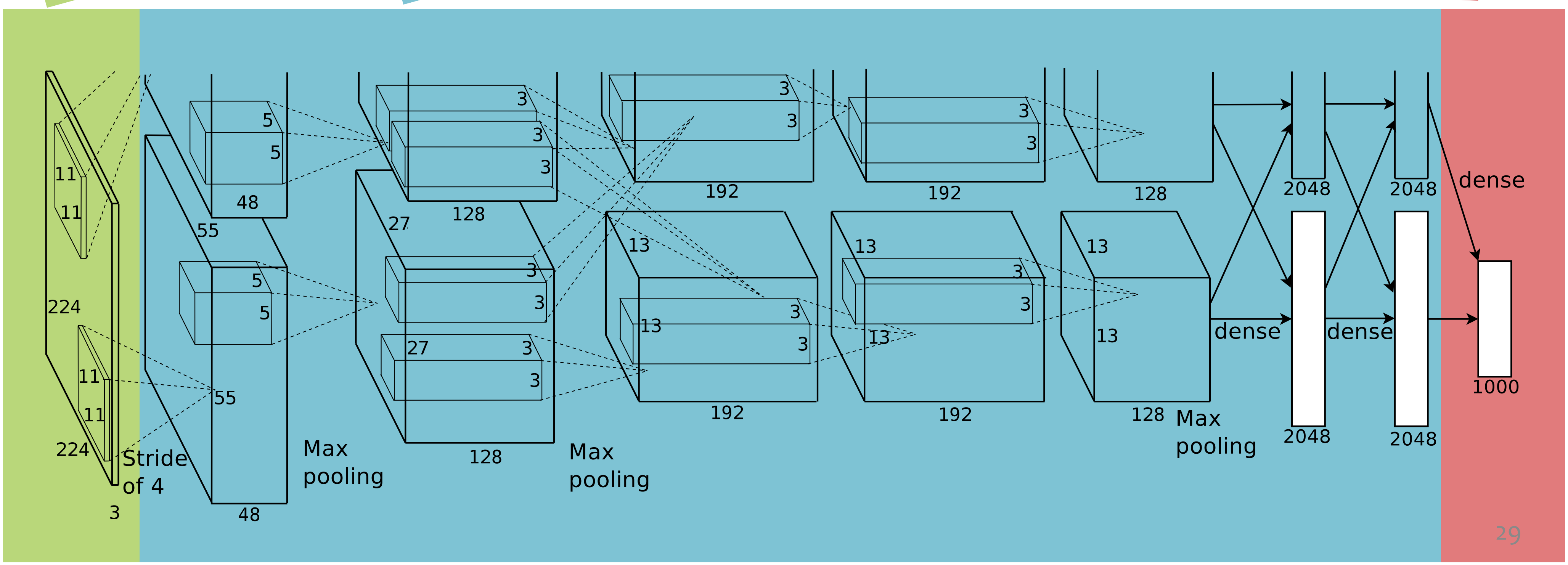
Example: Image Classification

CNN for Image Classification
(Krizhevsky, Sutskever & Hinton, 2012)
15.3% error on ImageNet LSVRC-2012 contest

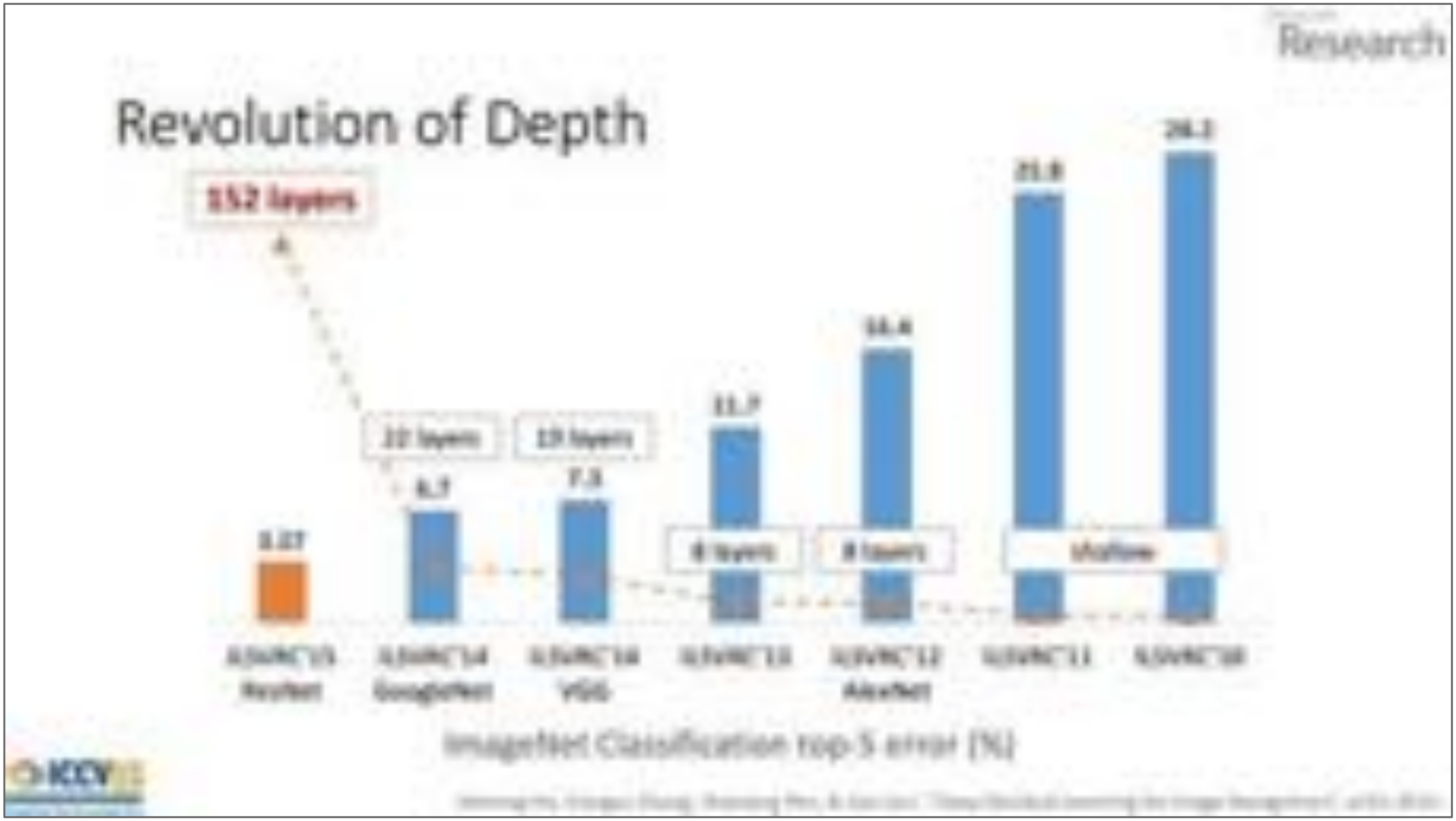
Input image (pixels)

- Five convolutional layers (w/max-pooling)
- Three fully connected layers

1000-way softmax



CNNs for Image Recognition



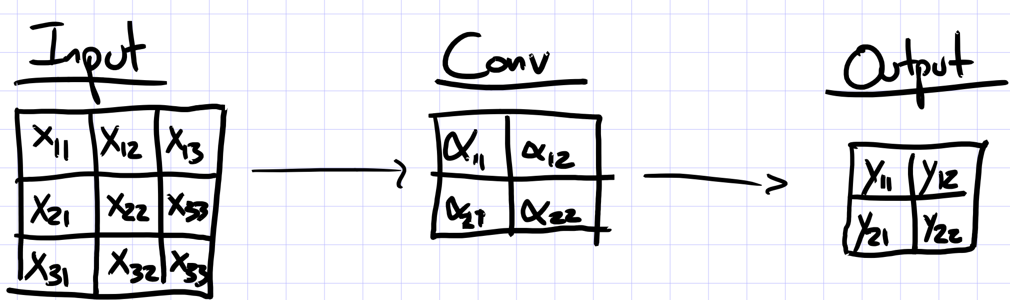
Slide from Kaiming He

CONVOLUTION

What's a convolution?

- Basic idea:
  - Pick a 3x3 matrix F of weights
  - Slide this over an image and compute the "inner product" (similarity) of F and the corresponding field of the image, and replace the pixel in the center of the field with the output of the inner product operation
- Key point:
  - Different convolutions extract different types of low-level "features" from an image
  - All that we need to vary to generate these different features is the weights of F

Ex: 1 input channel, 1 output channel



$$y_{11} = \alpha_{11} x_{11} + \alpha_{12} x_{12} + \alpha_{21} x_{21} + \alpha_{22} x_{22} + \alpha_0$$
$$y_{12} = \alpha_{11} x_{12} + \alpha_{12} x_{13} + \alpha_{21} x_{22} + \alpha_{22} x_{23} + \alpha_0$$
$$y_{21} = \alpha_{11} x_{21} + \alpha_{12} x_{22} + \alpha_{21} x_{31} + \alpha_{22} x_{32} + \alpha_0$$
$$y_{22} = \alpha_{11} x_{22} + \alpha_{12} x_{23} + \alpha_{21} x_{32} + \alpha_{22} x_{33} + \alpha_0$$

Slide adapted from William Cohen

Background: Image Processing

A **convolution matrix** is used in image processing for tasks such as edge detection, blurring, sharpening, etc.

Input Image

0	0	0	0	0	0	0
0	1	1	1	1	1	0
0	1	0	0	1	0	0
0	1	0	1	0	0	0
0	1	1	0	0	0	0
0	1	0	0	0	0	0
0	0	0	0	0	0	0

Convolution

0	0	0
0	1	1
0	1	0

Convolved Image

1	1	1	1	1
1	0	0	1	0
1	0	1	0	0
1	1	0	0	0
1	0	0	0	0

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Input Image

0	0	0	0	0	0	0
0	1	1	1	1	1	0
0	1	0	0	1	0	0
0	1	0	1	0	0	0
0	1	1	0	0	0	0
0	1	0	0	0	0	0
0	0	0	0	0	0	0

Convolution

0	0	0
0	1	1
0	1	0

Convolved Image

3	2	2	3	1
2	0	2	1	0
2	2	1	0	0
3	1	0	0	0
1	0	0	0	0

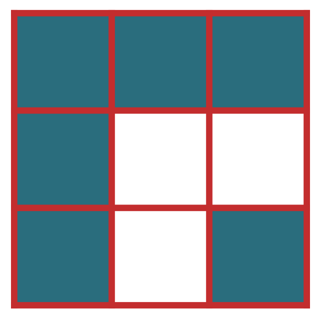
Background: Image Processing

A **convolution matrix** is used in image processing for tasks such as edge detection, blurring, sharpening, etc.

Input Image

0	0	0	0	0	0	0
0	1	1	1	1	1	0
0	1	0	0	1	0	0
0	1	0	1	0	0	0
0	1	1	0	0	0	0
0	1	0	0	0	0	0
0	0	0	0	0	0	0

Convolution



Convolved Image

3	2	2	3	1
2	0	2	1	0
2	2	1	0	0
3	1	0	0	0
1	0	0	0	0

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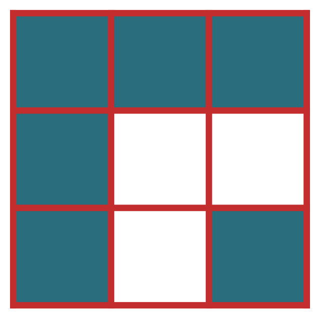
Input Image

0	0	0	0	0	0	0
0	1	1	1	1	1	0
0	1	0	0	1	0	0
0	1	0	1	0	0	0
0	1	1	0	0	0	0
0	1	0	0	0	0	0
0	0	0	0	0	0	0

Convolution



Convolved Image

3	2	2	3	1
2	0	2	1	0
2	2	1	0	0
3	1	0	0	0
1	0	0	0	0

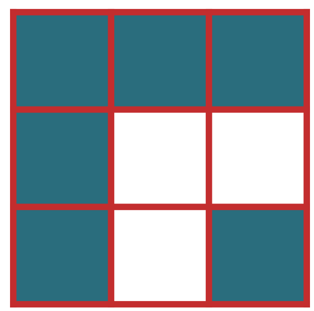
Background: Image Processing

A **convolution matrix** is used in image processing for tasks such as edge detection, blurring, sharpening, etc.

Input Image

0	0	0	0	0	0	0
0	1	1	1	1	1	0
0	1	0	0	1	0	0
0	1	0	1	0	0	0
0	1	1	0	0	0	0
0	1	0	0	0	0	0
0	0	0	0	0	0	0

Convolution

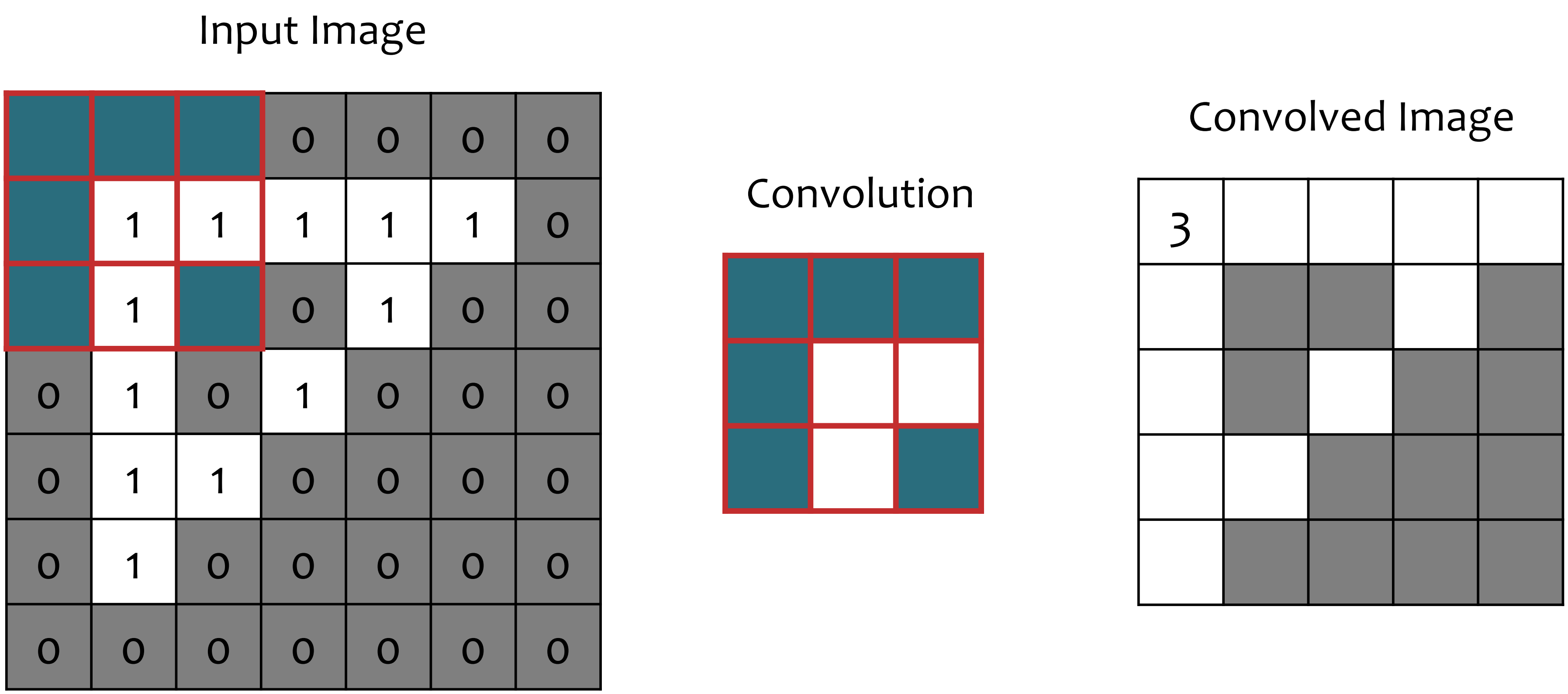


Convolved Image

3	2	2	3	1
2	0	2	1	0
2	2	1	0	0
3	1	0	0	0
1	0	0	0	0

Background: Image Processing

A **convolution matrix** is used in image processing for tasks such as edge detection, blurring, sharpening, etc.



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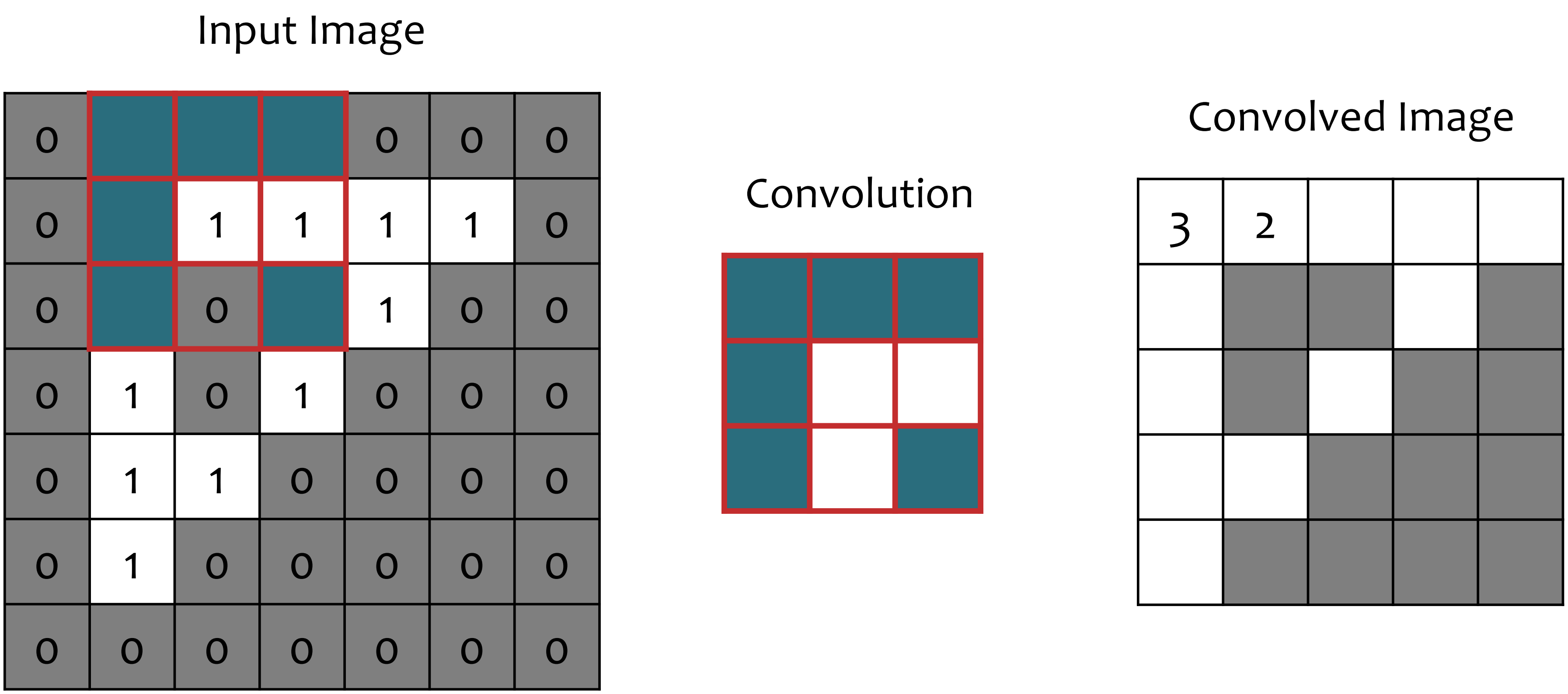

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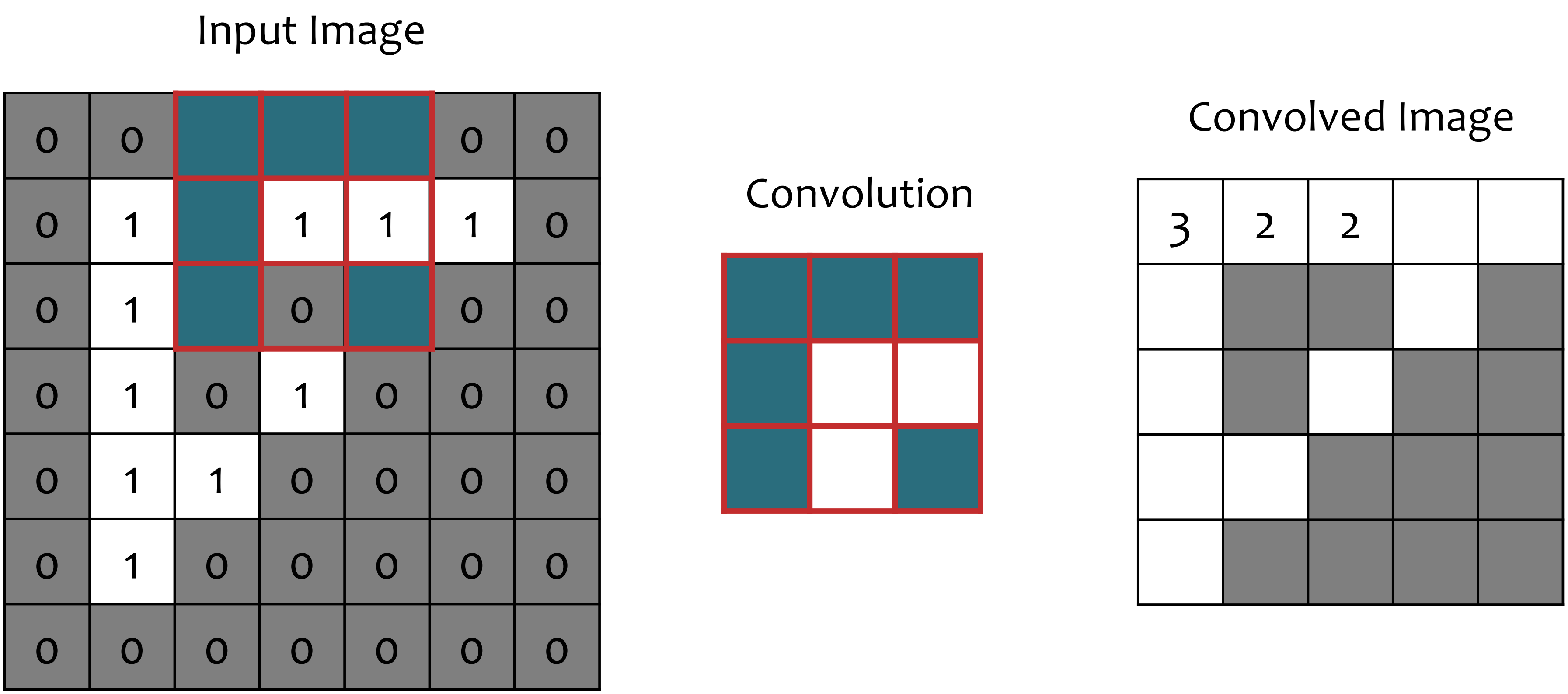

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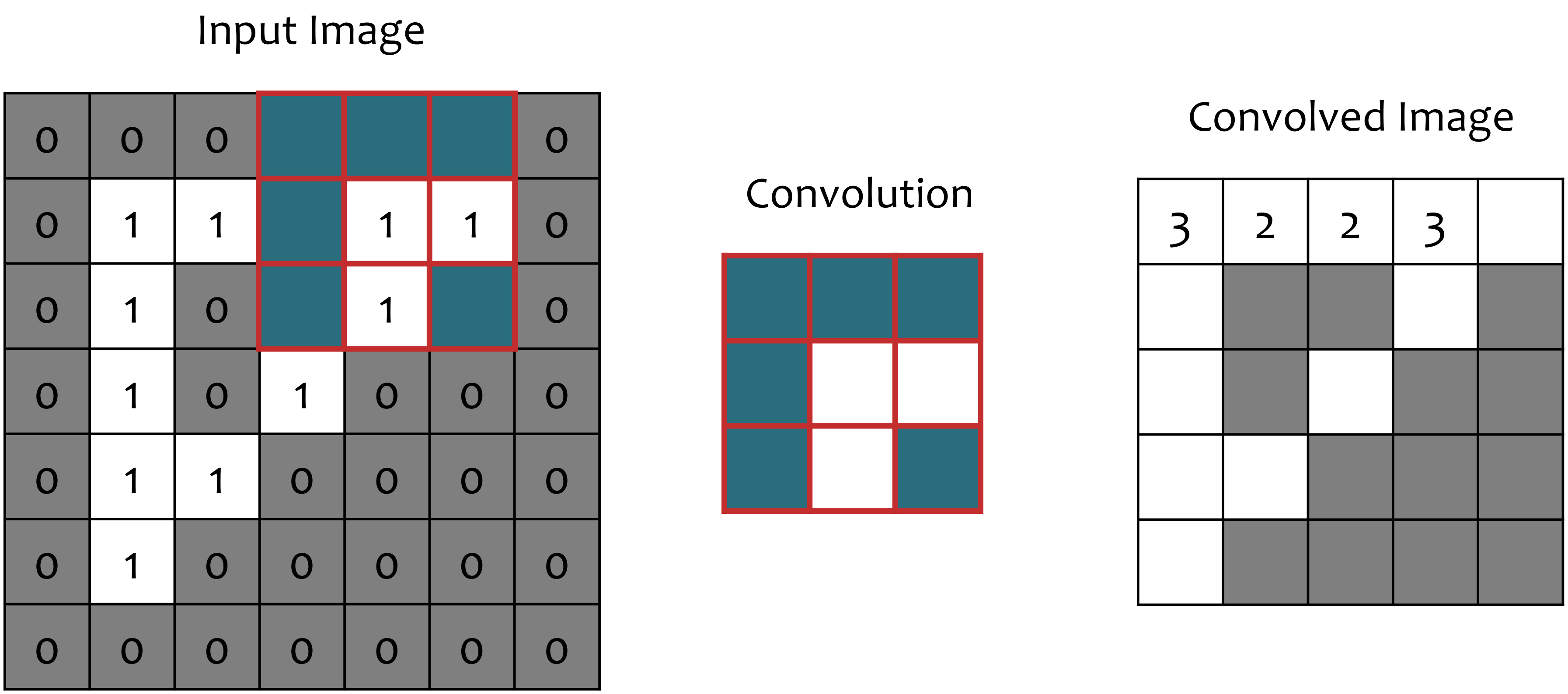

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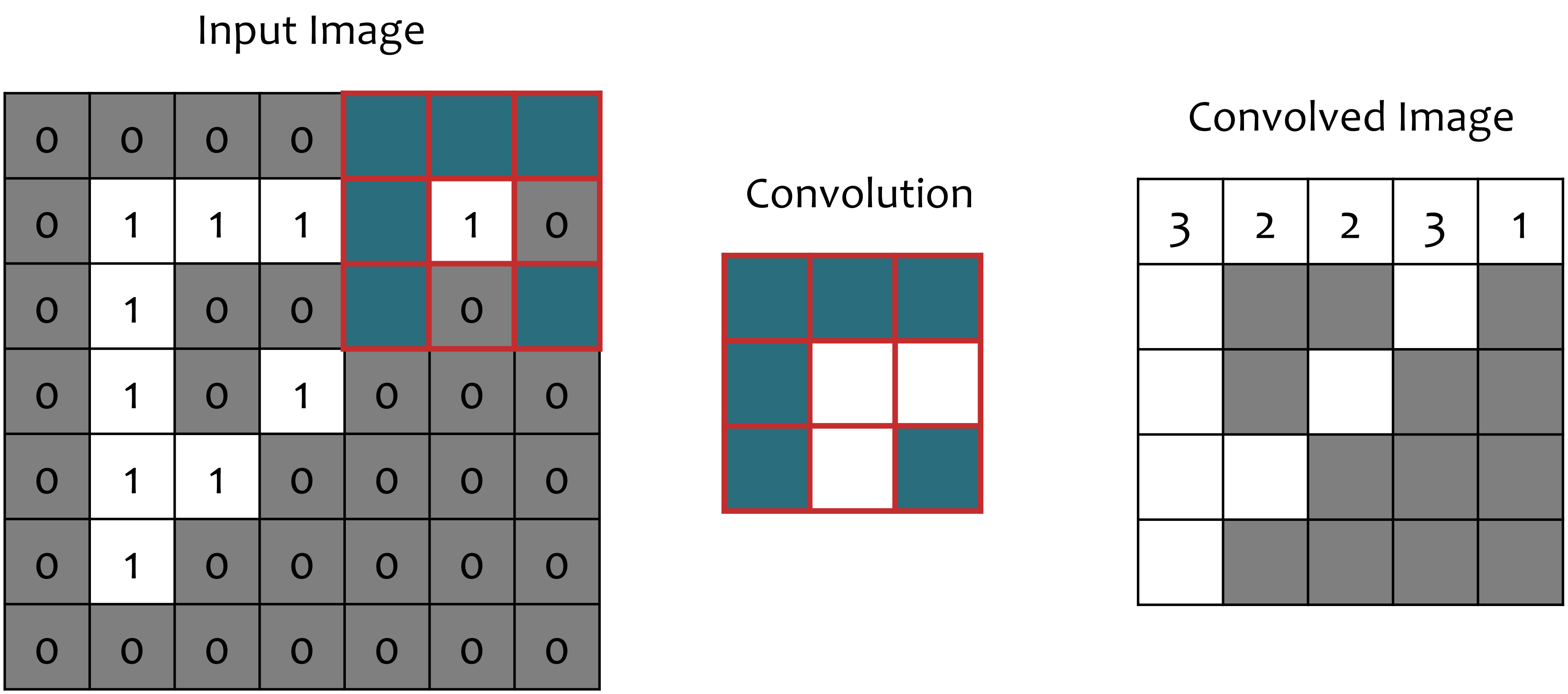

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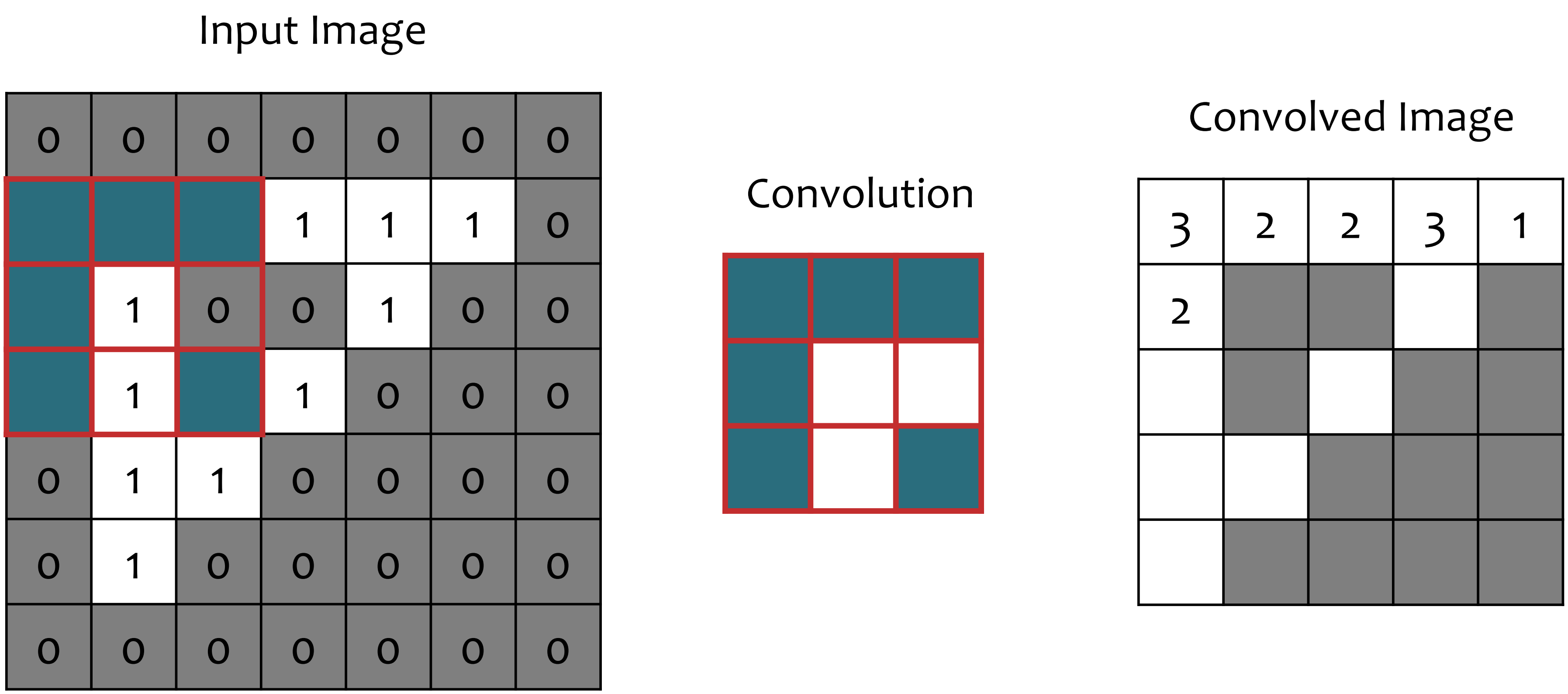

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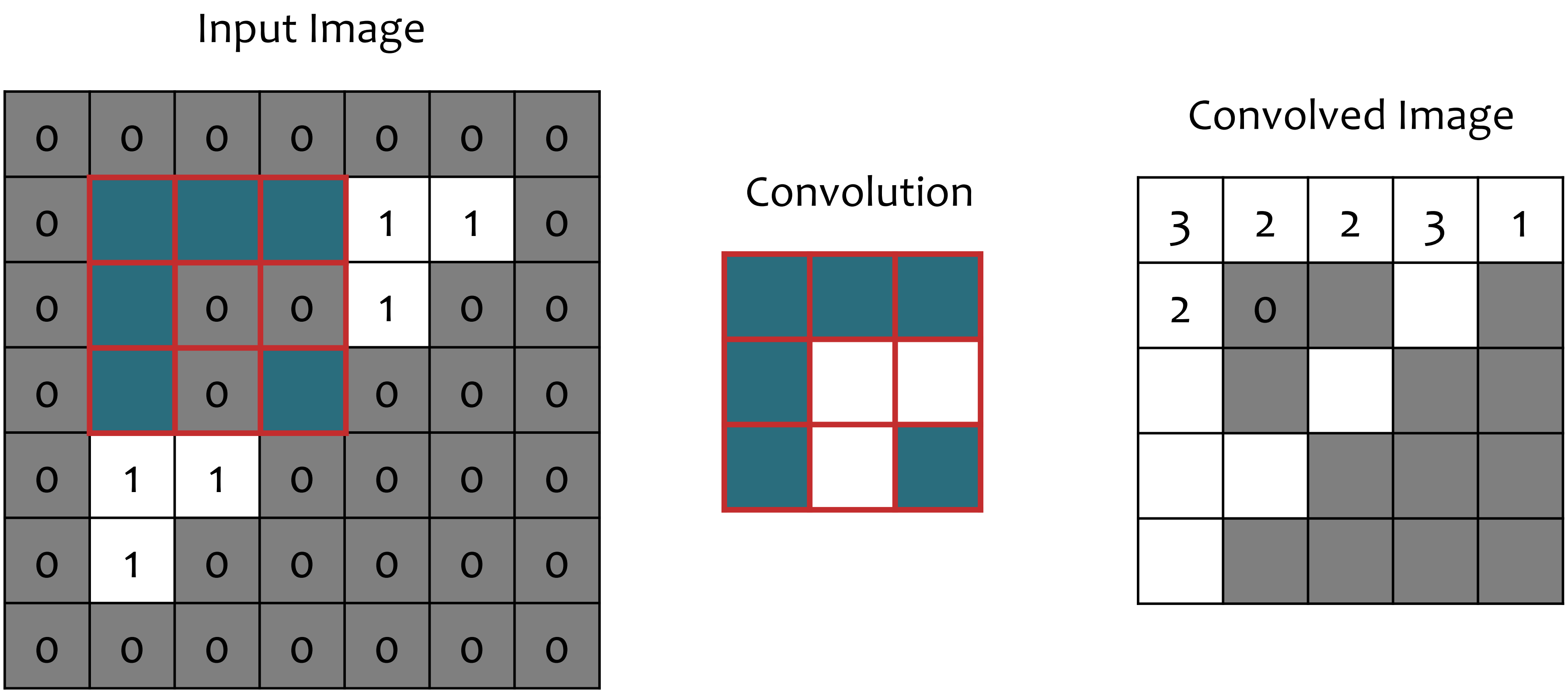


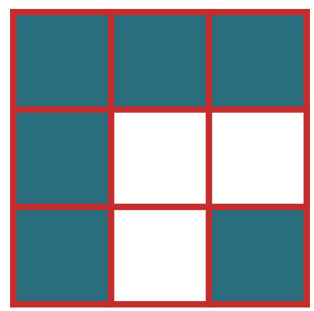
Background: Image Processing

A **convolution matrix** is used in image processing for tasks such as edge detection, blurring, sharpening, etc.

Input Image

0	0	0	0	0	0	0
0	1	1	1	1	1	0
0	1	0	0	1	0	0
0	1	0	1	0	0	0
0	1	1	0	0	0	0
0	1	0	0	0	0	0
0	0	0	0	0	0	0

Convolution



Convolved Image

3	2	2	3	1
2	0	2	1	0
2	2	1	0	0
3	1	0	0	0
1	0	0	0	0

Background: Image Processing

A **convolution matrix** is used in image processing for tasks such as edge detection, blurring, sharpening, etc.

Input Image

0	0	0	0	0	0	0
0	1	1	1	1	1	0
0	1	0	0	1	0	0
0	1	0	1	0	0	0
0	1	1	0	0	0	0
0	1	0	0	0	0	0
0	0	0	0	0	0	0

Identity Convolution

0	0	0
0	1	0
0	0	0

Convolved Image

1	1	1	1	1
1	0	0	1	0
1	0	1	0	0
1	1	0	0	0
1	0	0	0	0

Background: Image Processing

A **convolution matrix** is used in image processing for tasks such as edge detection, blurring, sharpening, etc.

Input Image

0	0	0	0	0	0	0
0	1	1	1	1	1	0
0	1	0	0	1	0	0
0	1	0	1	0	0	0
0	1	1	0	0	0	0
0	1	0	0	0	0	0
0	0	0	0	0	0	0

Blurring Convolution

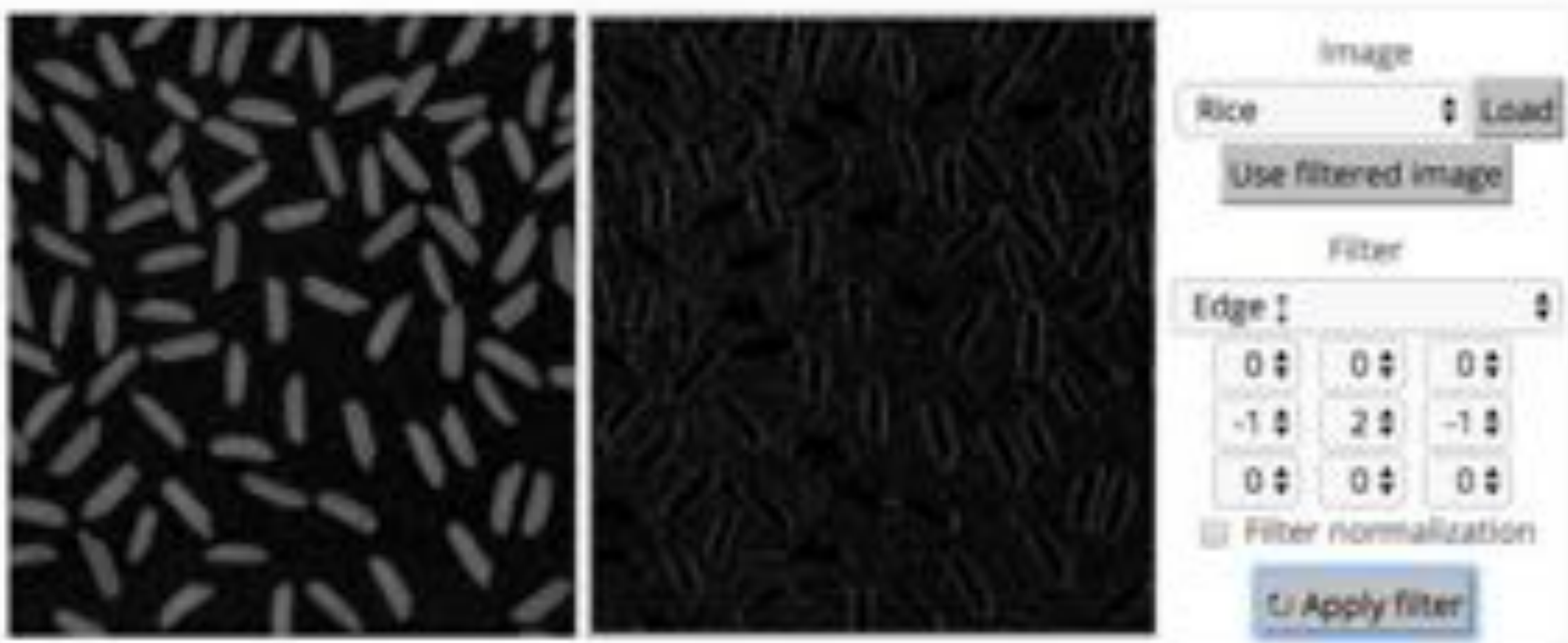
.1	.1	.1
.1	.2	.1
.1	.1	.1

Convolved Image

.4	.5	.5	.5	.4
.4	.2	.3	.6	.3
.5	.4	.4	.2	.1
.5	.6	.2	.1	0
.4	.3	.1	0	0

What's a convolution?

http://matlabtricks.com/post-5/3x3-convolution-kernels-with-online-demo



Slide from William Cohen

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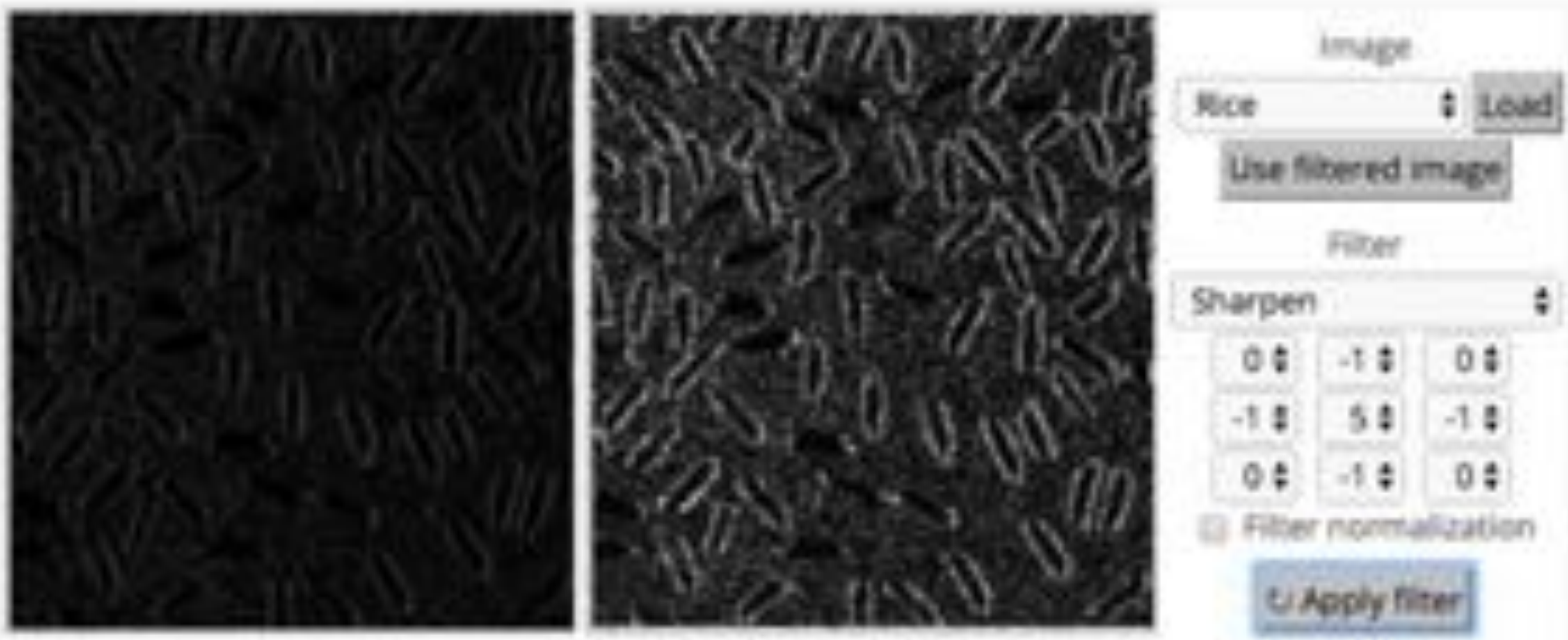
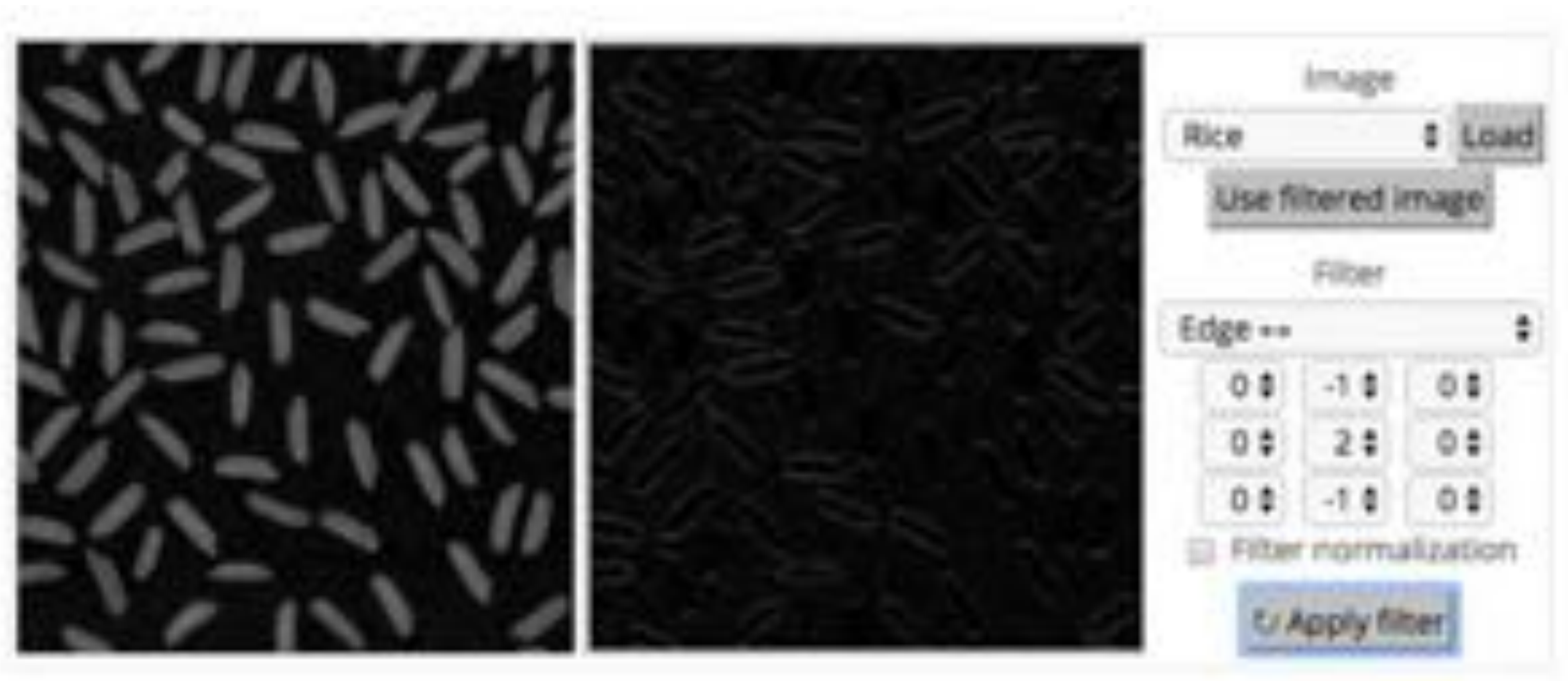


Slide from William Cohen

What's a convolution?

http://matlabtricks.com/post-5/3x3-convolution-kernels-with-online-demo



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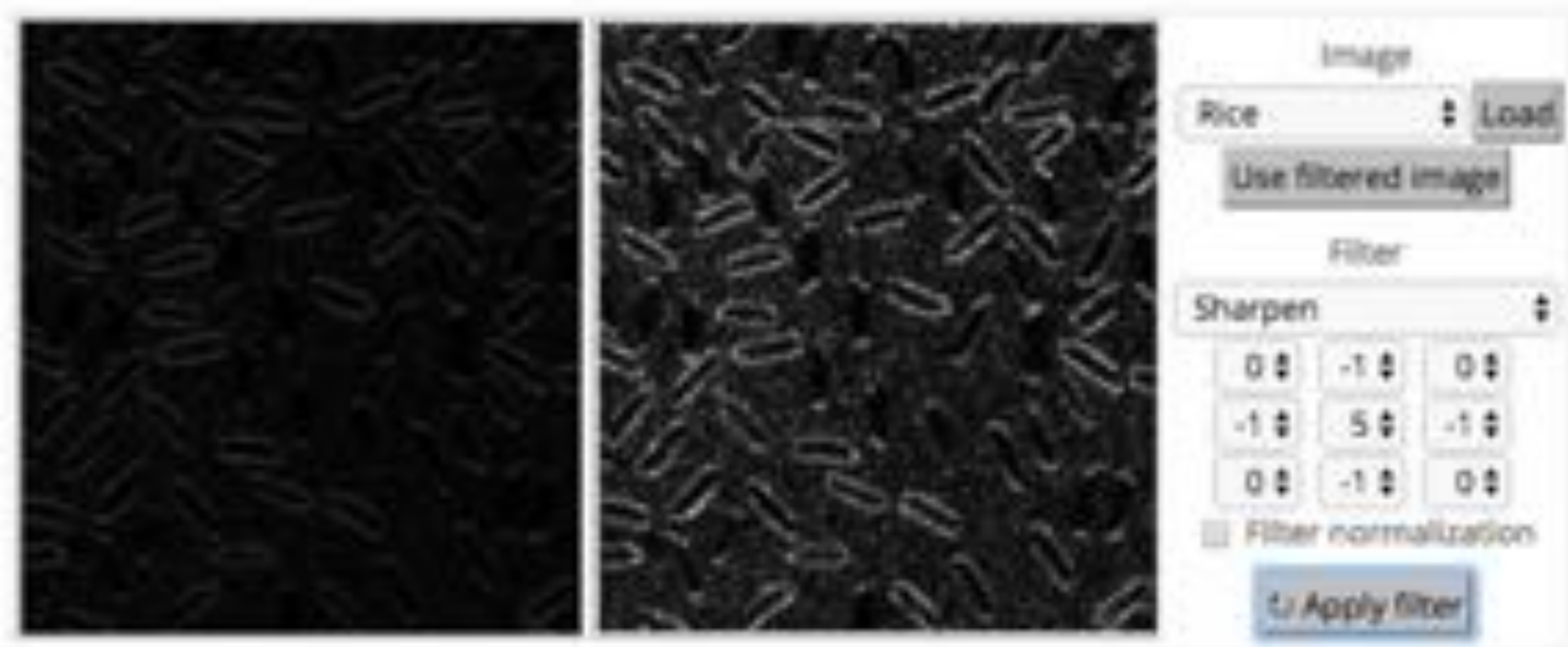

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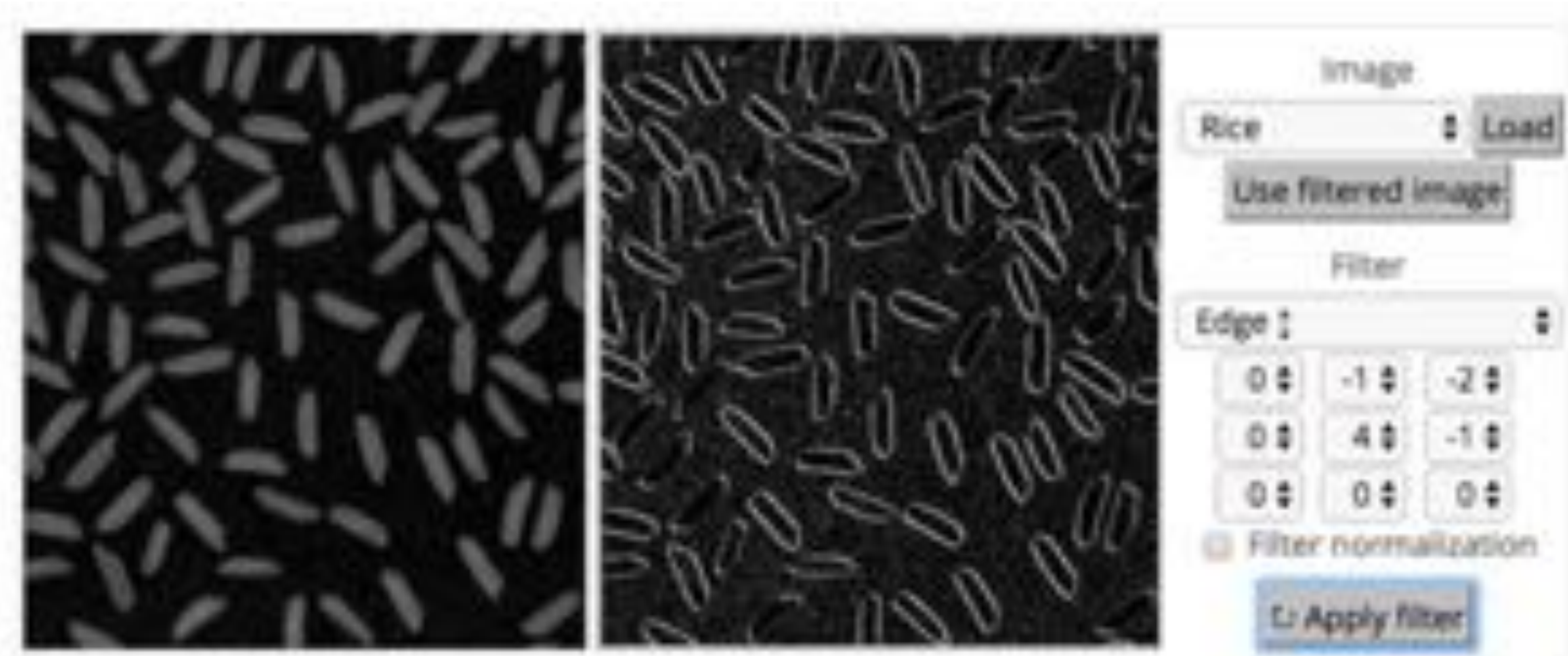

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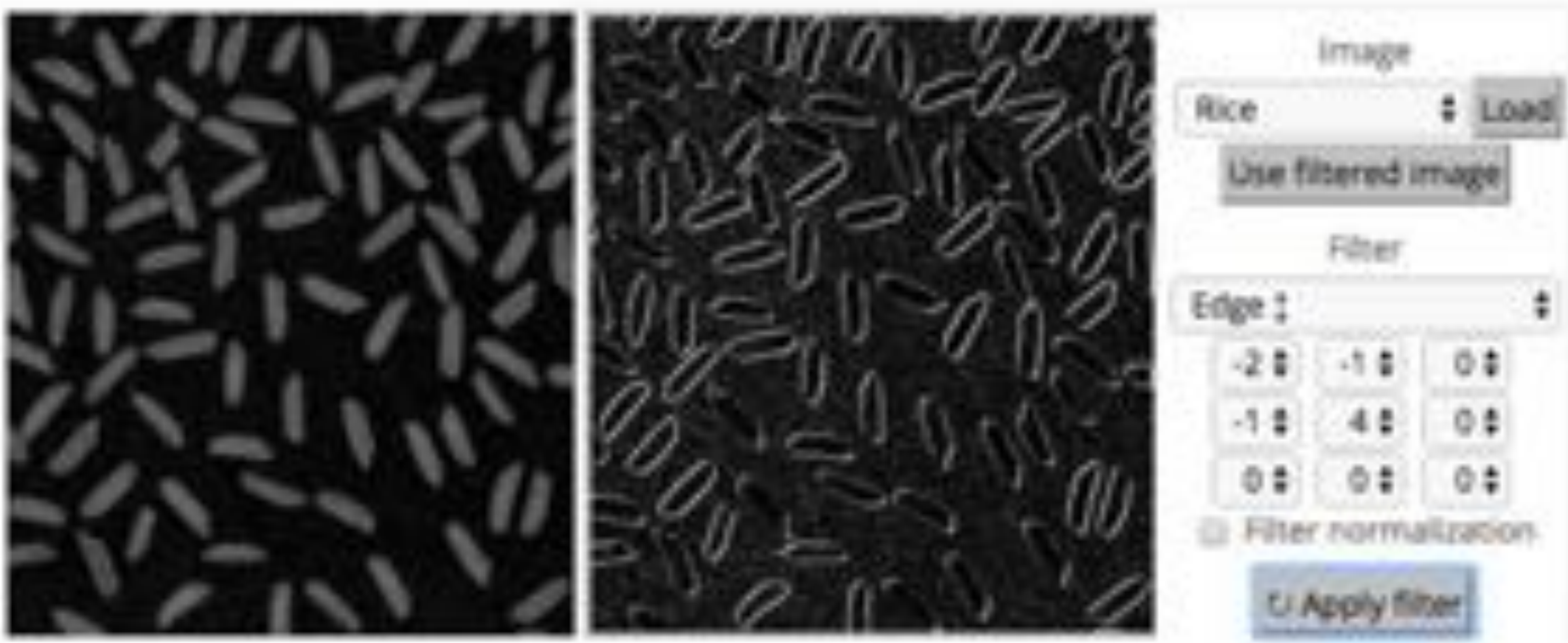
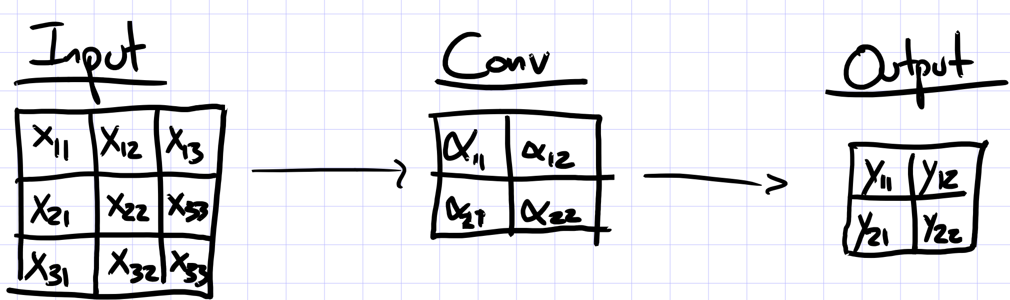


Slide from William Cohen

What's a convolution?

- Basic idea:
  - Pick a 3x3 matrix F of weights
  - Slide this over an image and compute the "inner product" (similarity) of F and the corresponding field of the image, and replace the pixel in the center of the field with the output of the inner product operation
- Key point:
  - Different convolutions extract different types of low-level "features" from an image
  - All that we need to vary to generate these different features is the weights of F

Ex: 1 input channel, 1 output channel



$$y_{11} = \alpha_{11} x_{11} + \alpha_{12} x_{12} + \alpha_{21} x_{21} + \alpha_{22} x_{22} + \alpha_0$$
$$y_{12} = \alpha_{11} x_{12} + \alpha_{12} x_{13} + \alpha_{21} x_{22} + \alpha_{22} x_{23} + \alpha_0$$
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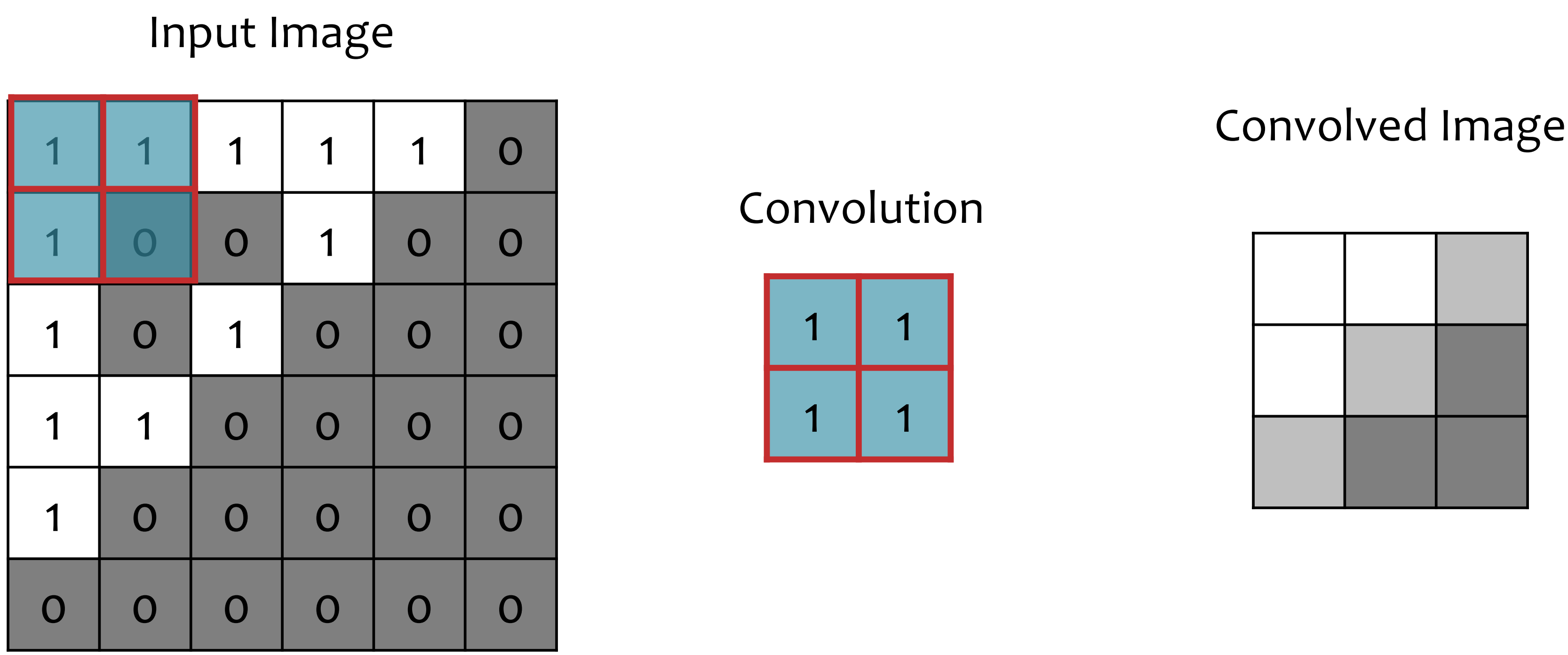
Slide adapted from William Cohen

Downsampling

- Suppose we use a convolution with stride 2
- Only 9 patches visited in input, so only 9 pixels in output



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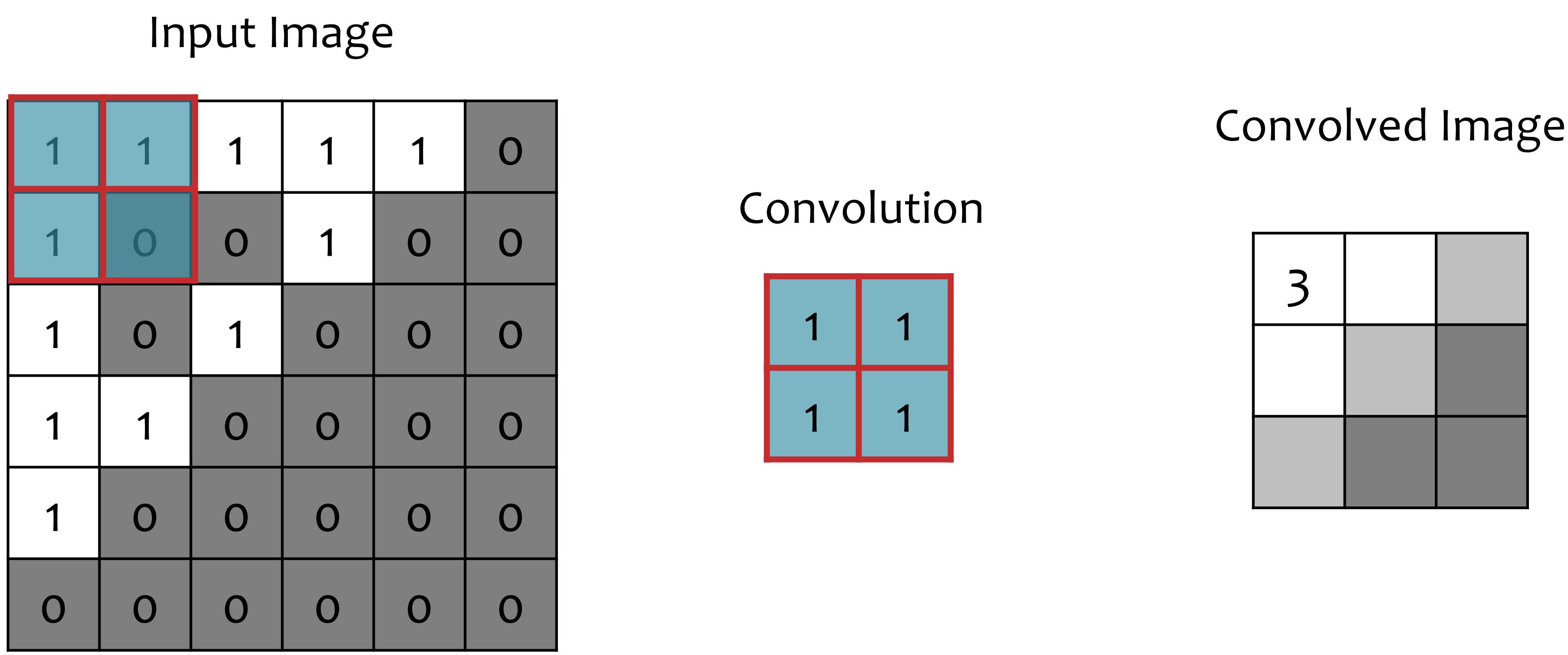

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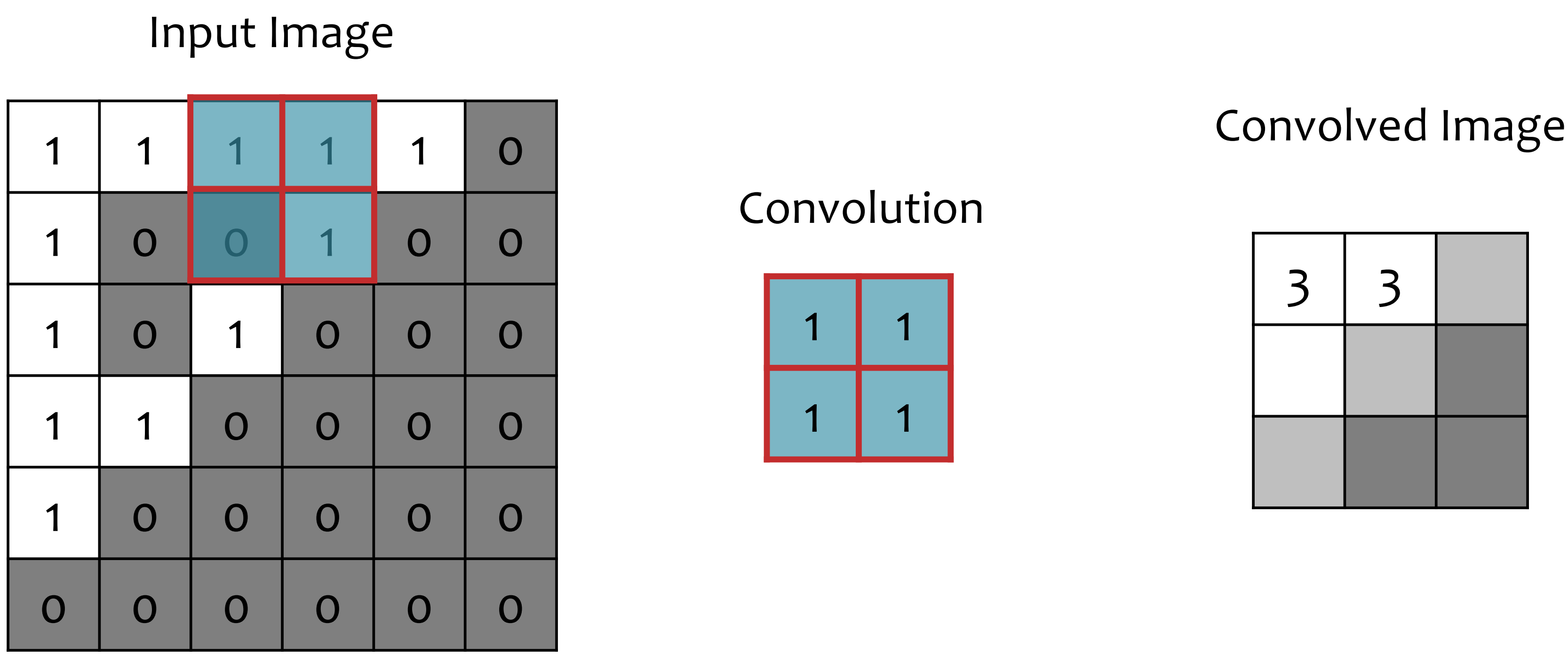

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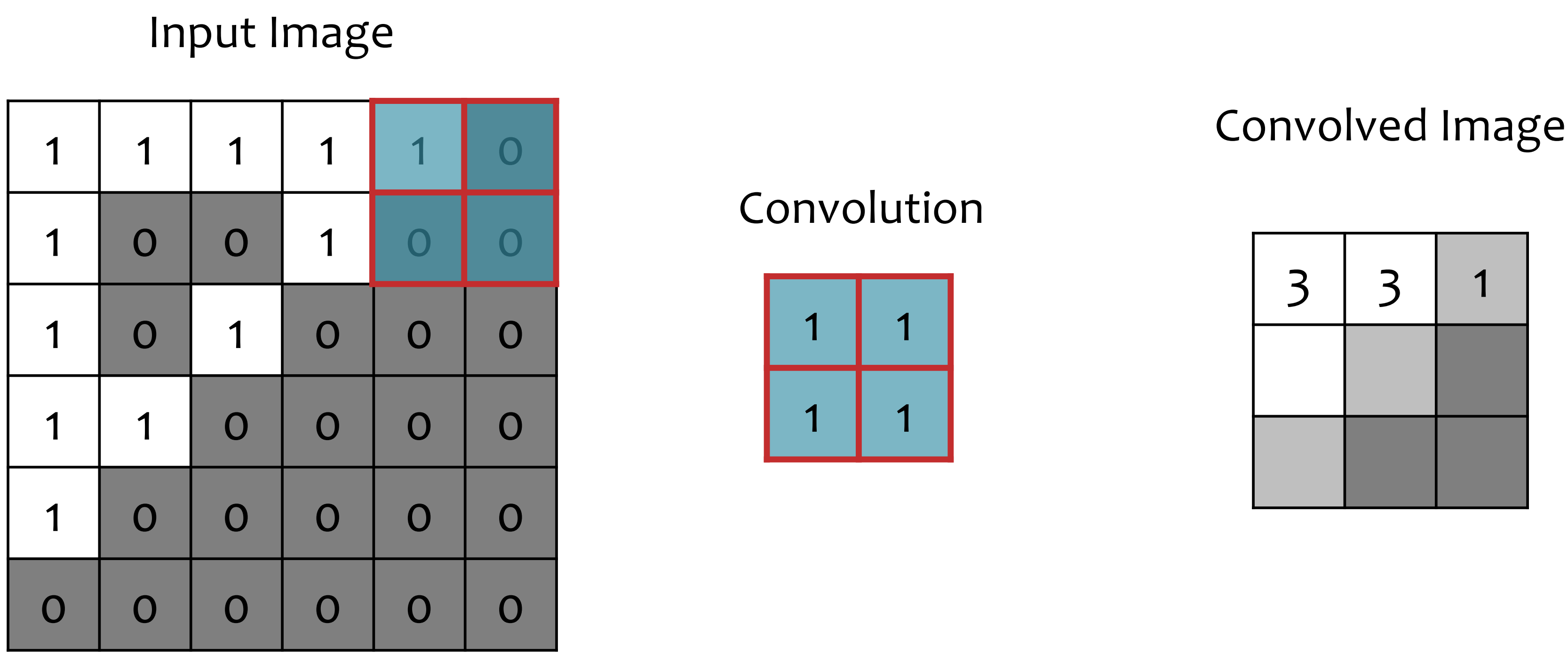

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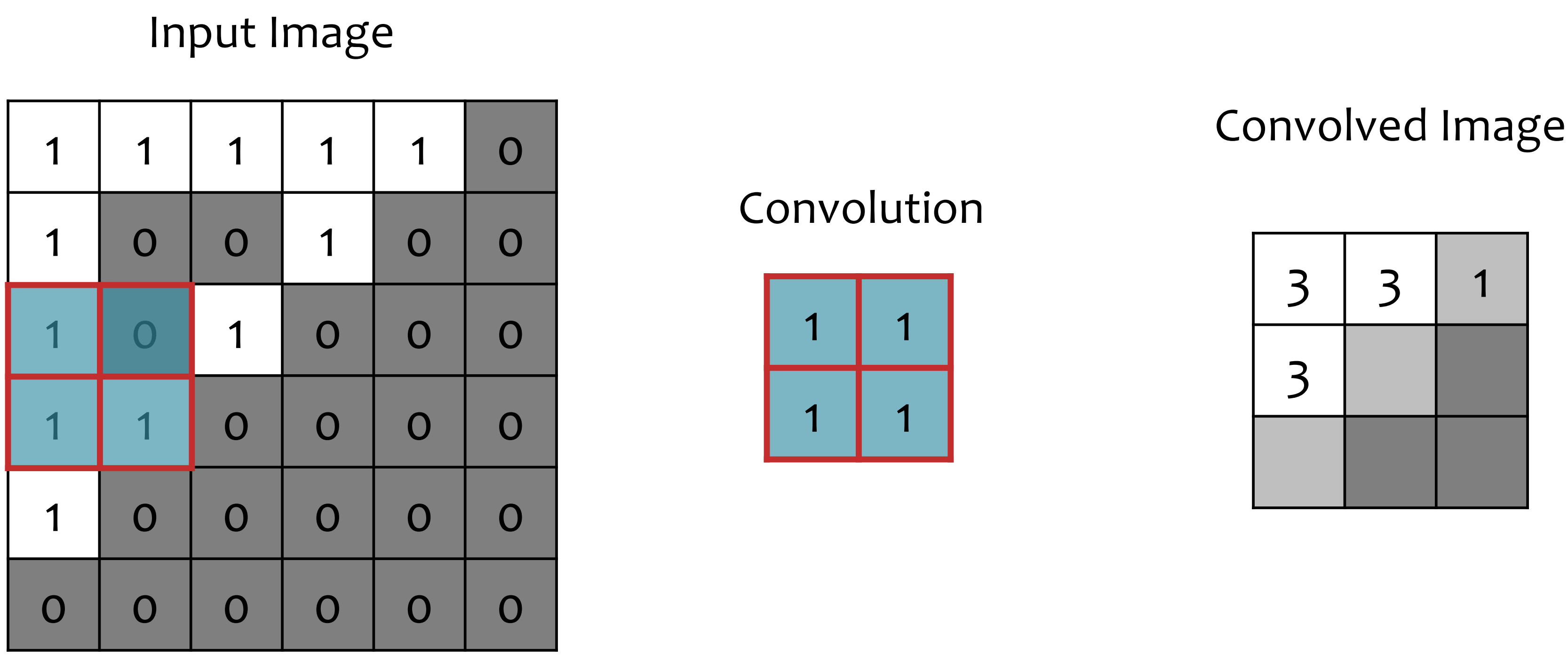

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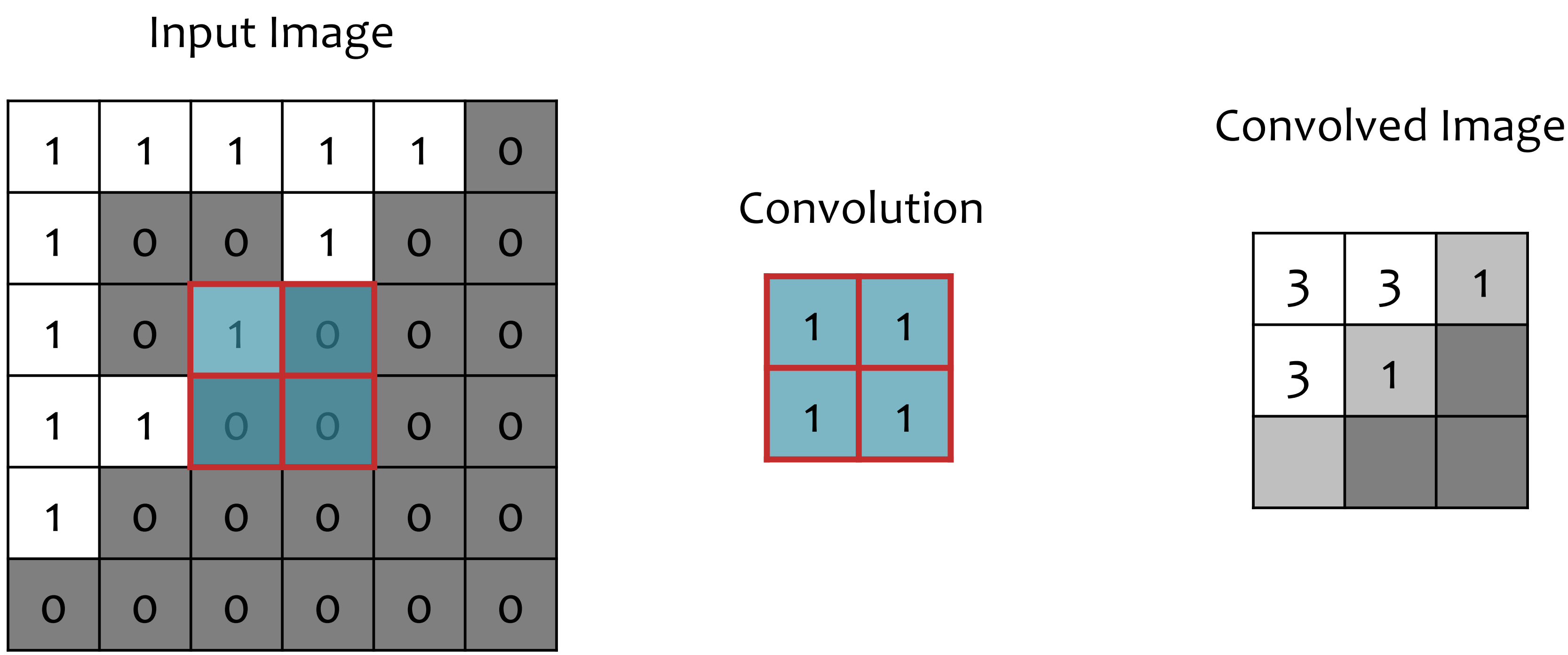

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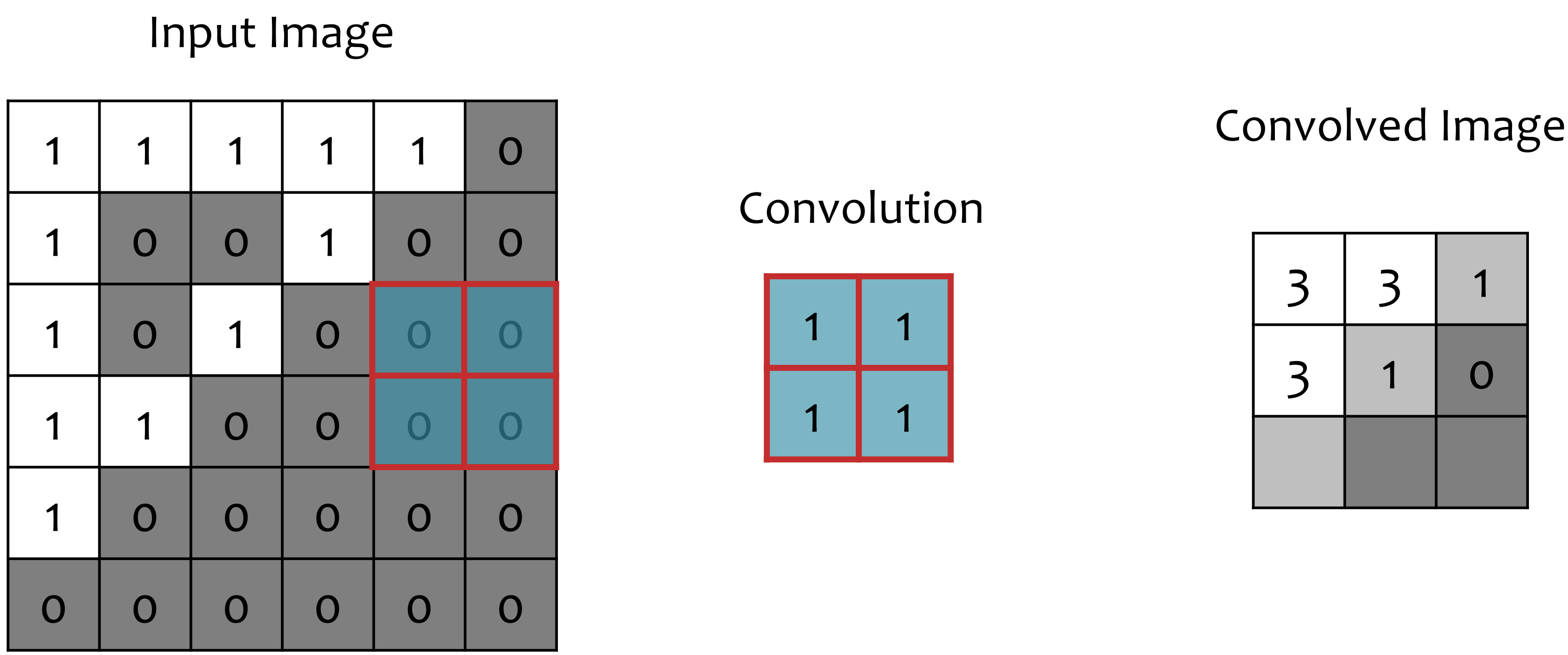

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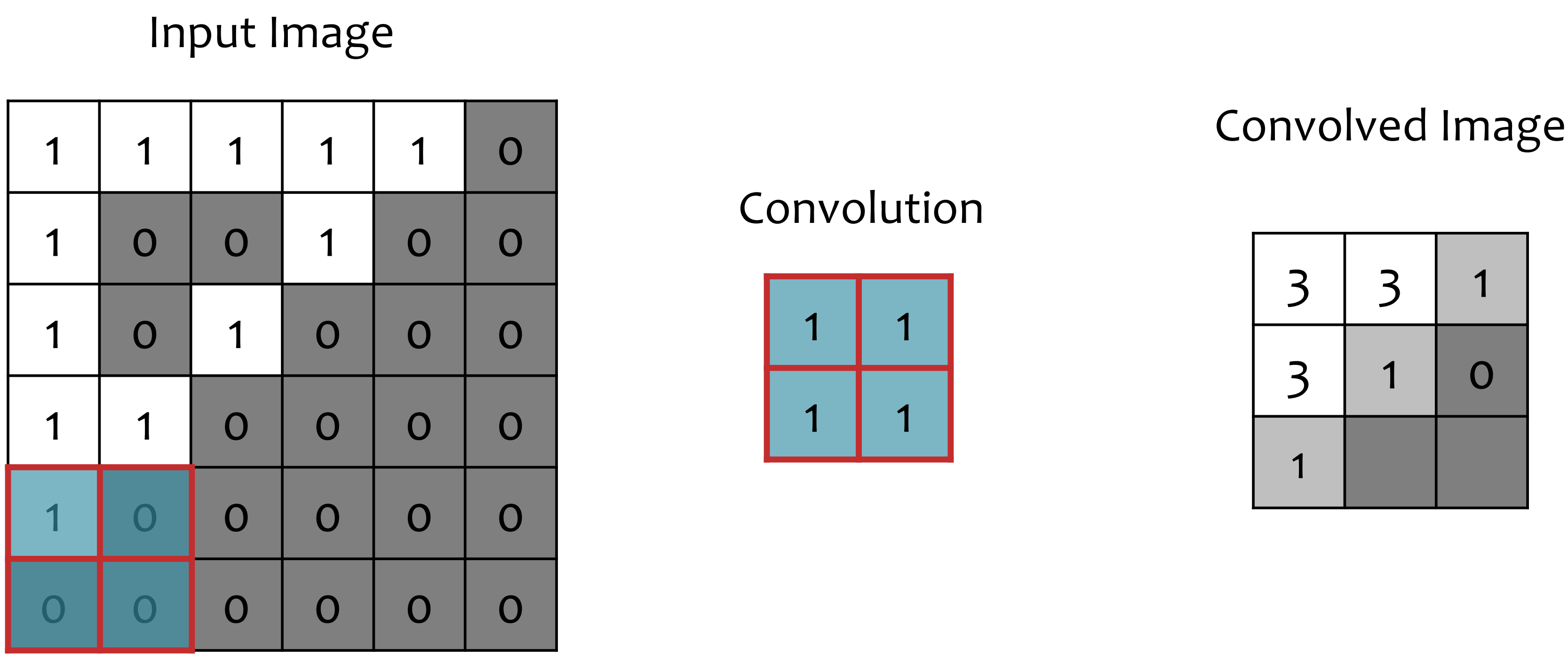

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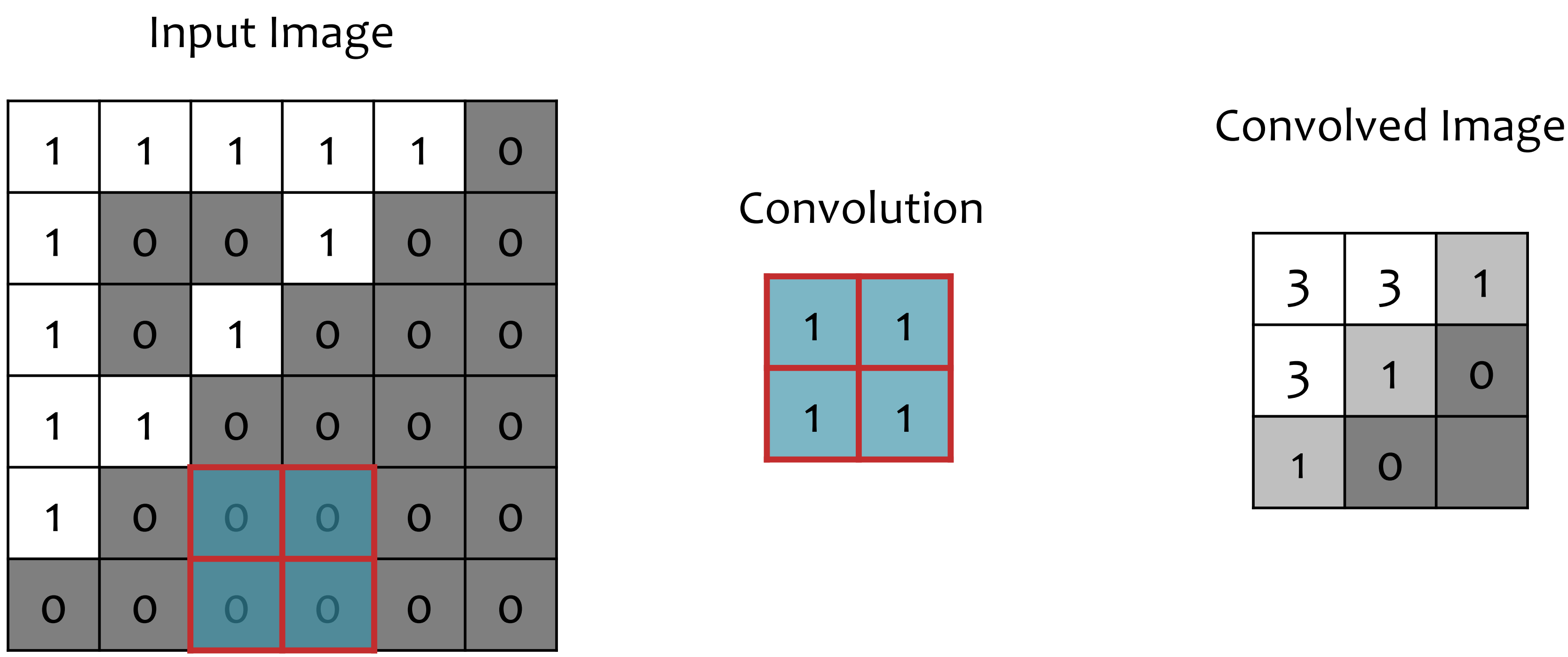

Downsampling

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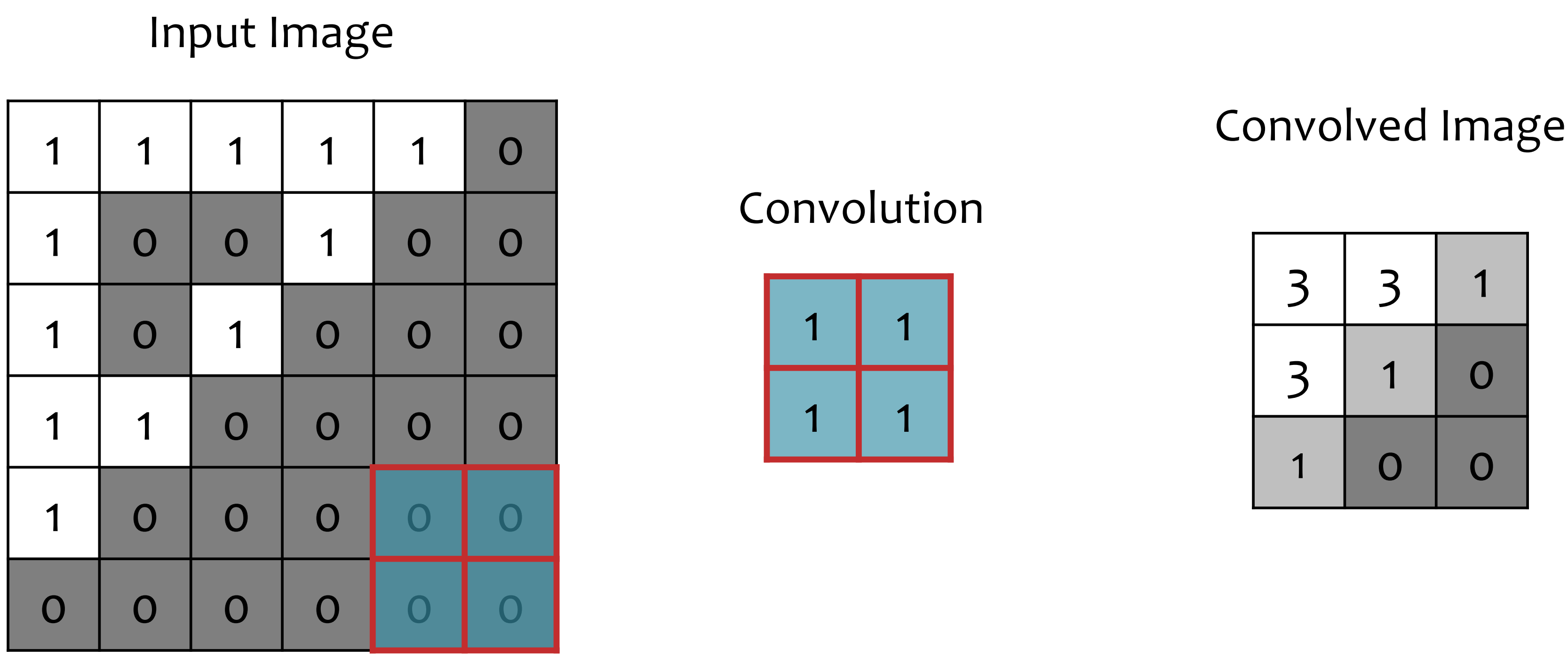

CONVOLUTIONAL NEURAL NETS

A Recipe for Machine Learning

1. Given training data:

$$\{\boldsymbol{x}_i, \boldsymbol{y}_i\}_{i=1}^N$$

2. Choose each of these:

– Decision function

$$\hat{\boldsymbol{y}} = f_{\boldsymbol{\theta}}(\boldsymbol{x}_i)$$

– Loss function

$$\ell(\hat{\boldsymbol{y}}, \boldsymbol{y}_i) \in \mathbb{R}$$

3. Define goal:

$$\boldsymbol{\theta}^* = \arg\min_{\boldsymbol{\theta}} \sum_{i=1}^N \ell(f_{\boldsymbol{\theta}}(\boldsymbol{x}_i), \boldsymbol{y}_i)$$

4. Train with SGD:

(take small steps opposite the gradient)

$$\boldsymbol{\theta}^{(t+1)} = \boldsymbol{\theta}^{(t)} - \eta_t \nabla \ell(f_{\boldsymbol{\theta}}(\boldsymbol{x}_i), \boldsymbol{y}_i)$$

A Recipe for Machine Learning

- Convolutional Neural Networks (CNNs) provide another form of **decision function**
- Let's see what they look like…

1.

2. Choose each of these:
   - Decision function

$$\hat{y} = f_{\boldsymbol{\theta}}(\boldsymbol{x}_i)$$

   - Loss function

$$\ell(\hat{\boldsymbol{y}}, \boldsymbol{y}_i) \in \mathbb{R}$$

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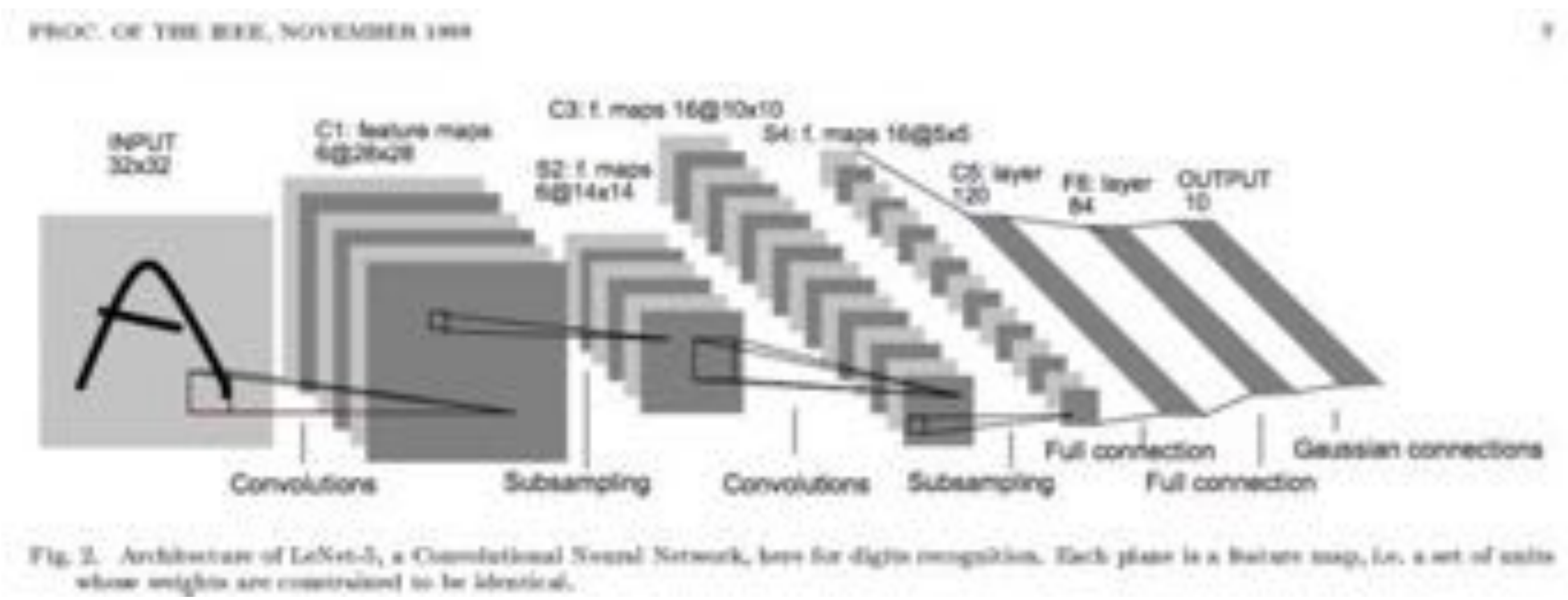
Convolutional Neural Network (CNN)

- Typical layers include:
  - Convolutional layer
  - Max-pooling layer
  - Fully-connected (Linear) layer
  - ReLU layer (or some other nonlinear activation function)
  - Softmax
- These can be arranged into arbitrarily deep topologies

Architecture #1: LeNet-5



Convolutional Layer

CNN key idea:
Treat convolution matrix as parameters and learn them!

Input Image

0	0	0	0	0	0	0
0	1	1	1	1	1	0
0	1	0	0	1	0	0
0	1	0	1	0	0	0
0	1	1	0	0	0	0
0	1	0	0	0	0	0
0	0	0	0	0	0	0

Learned Convolution

θ_{11}	θ_{12}	θ_{13}
θ_{21}	θ_{22}	θ_{23}
θ_{31}	θ_{32}	θ_{33}

Convolved Image

.4	.5	.5	.5	.4
.4	.2	.3	.6	.3
.5	.4	.4	.2	.1
.5	.6	.2	.1	0
.4	.3	.1	0	0

Downsampling by Averaging

- Downsampling by averaging **used to be** a common approach
- This is a special case of convolution where the weights are fixed to a uniform distribution
- The example below uses a stride of 2

Input Image

1	1	1	1	1	0
1	0	0	1	0	0
1	0	1	0	0	0
1	1	0	0	0	0
1	0	0	0	0	0
0	0	0	0	0	0

Convolution

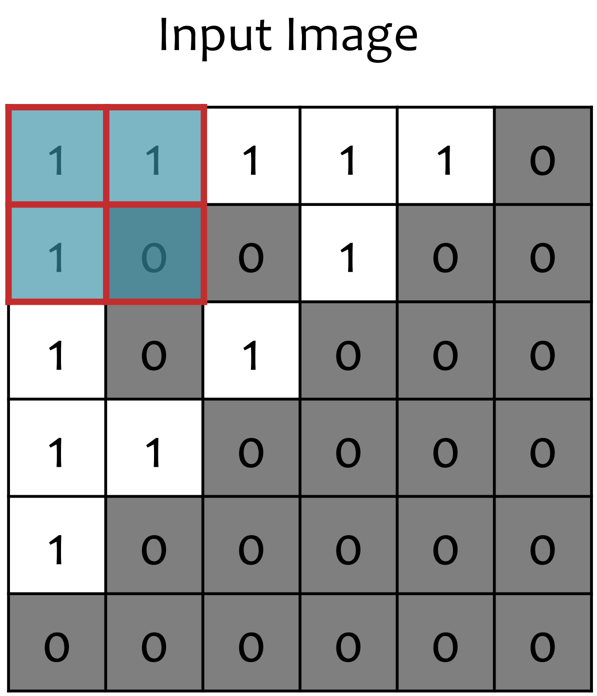
1/4	1/4
1/4	1/4

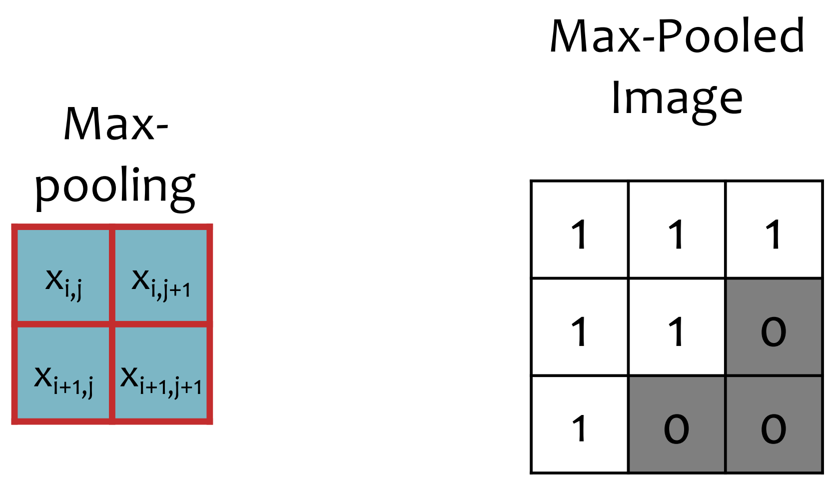
Convolved Image

3/4	3/4	1/4
3/4	1/4	0
1/4	0	0

Max-Pooling

- Max-pooling is another (common) form of downsampling
- Instead of averaging, we take the max value within the same range as the equivalently-sized convolution
- The example below uses a stride of 2





$$y_{ij} = \max(x_{ij}, x_{i,j+1}, x_{i+1,j}, x_{i+1,j+1})$$

TRAINING CNNS

A Recipe for Machine Learning

1. Given training data:

$$\{\boldsymbol{x}_i, \boldsymbol{y}_i\}_{i=1}^N$$

2. Choose each of these:

– Decision function

$$\hat{\boldsymbol{y}} = f_{\boldsymbol{\theta}}(\boldsymbol{x}_i)$$

– Loss function

$$\ell(\hat{\boldsymbol{y}}, \boldsymbol{y}_i) \in \mathbb{R}$$

3. Define goal:

$$\boldsymbol{\theta}^* = \arg\min_{\boldsymbol{\theta}} \sum_{i=1}^N \ell(f_{\boldsymbol{\theta}}(\boldsymbol{x}_i), \boldsymbol{y}_i)$$

4. Train with SGD:
(take small steps opposite the gradient)

$$\boldsymbol{\theta}^{(t+1)} = \boldsymbol{\theta}^{(t)} - \eta_t \nabla \ell(f_{\boldsymbol{\theta}}(\boldsymbol{x}_i), \boldsymbol{y}_i)$$

Background

A Recipe for Machine Learning

1. Given training data:

$$\{\boldsymbol{x}_i, \boldsymbol{y}_i\}_{i=1}^N$$

2. Choose each of t

– Decision functio

$$\hat{y} = f_{\boldsymbol{\theta}}(\boldsymbol{x}_i)$$

– Loss function

$$\ell(\hat{\boldsymbol{y}}, \boldsymbol{y}_i) \in \mathbb{R}$$

3. Define goal:

opp site the gradient)

$$\boldsymbol{\theta}^{(t} \quad {}^{(t)} - \eta_t \nabla \ell(f_{\boldsymbol{\theta}}(\boldsymbol{x}_i), \boldsymbol{y}_i)$$

- Q: Now that we have the CNN as a decision function, how do we compute the gradient?
- A: Backpropagation of course!

SGD for CNNs

SGD for CNNs

Ex: Architecture: Given $\vec{x}, y^*$

$J = \ell(y, y^*)$

$y = \text{softmax}(z^{(5)})$

$z^{(5)} = \text{linear}(z^{(4)}, W)$

$z^{(4)} = \text{relu}(z^{(3)})$

$z^{(3)} = \text{conv}(z^{(2)}, \beta)$

$z^{(2)} = \text{max-pool}(z^{(1)})$

$z^{(1)} = \text{conv}(\vec{x}, \alpha)$

Parameters $\vec{\Theta} = [\alpha, \beta, W]$

SGD:

1. Init $\vec{\Theta}$
2. While not converged:
   - Sample $i \in \{1, \ldots, N\}$
   - Forward: $y = h_\Theta(\vec{x}^{(i)})$, $J_i(\Theta) = \ell(y, y^*)$
   - Backward: $\nabla_{\vec{\Theta}} J_i(\Theta) = \ldots$
   - Update: $\vec{\Theta} \leftarrow \vec{\Theta} - \lambda \nabla_{\vec{\Theta}} J_i(\Theta)$

LAYERS OF A CNN

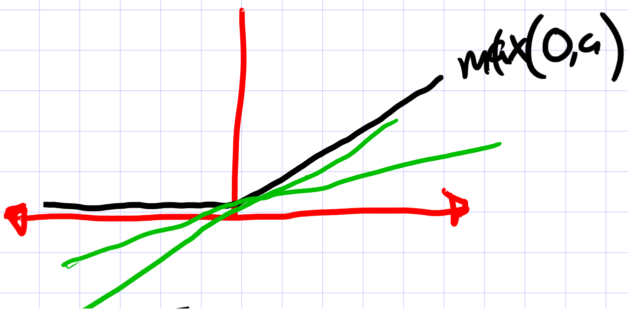
ReLU Layer

ReLU Layer Input: $\vec{x} \in \mathbb{R}^K$ Output: $\vec{y} \in \mathbb{R}^K$

Forward:

$$\vec{y} = \sigma(\vec{x})$$ ← element-wise

$$\sigma(a) = \max(0, a)$$



Backward:

$$\frac{dJ}{dx_i} = \frac{dJ}{dy_i}\frac{dy_i}{dx_i}$$

where $\frac{dy_i}{dx_i} = \begin{cases} 1 & \text{if } x_i > 0 \\ 0 & \text{otherwise} \end{cases}$ ← subderivative

Softmax Layer

Softmax Layer

Input: $\vec{x} \in \mathbb{R}^K$ Output: $\vec{y} \in \mathbb{R}^K$

Forward:

$$y_i = \frac{\exp(x_i)}{\sum_{k=1}^{K} \exp(x_k)}$$

Backward:

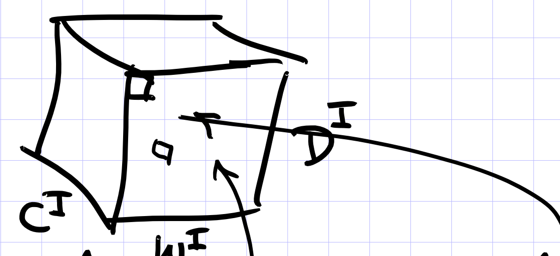
$$\frac{dJ}{dx_j} = \sum_{i=1}^{K} \frac{dJ}{dy_i} \frac{dy_i}{dx_j}$$

where $$\frac{dy_i}{dx_j} = \begin{cases} y_i(1-y_i) & \text{if } i=j \\ -y_i y_j & \text{otherwise} \end{cases}$$

Fully-Connected Layer

Fully Connected Layer (w/ tensor input)

- Suppose input is a 3D Tensor: $X =$



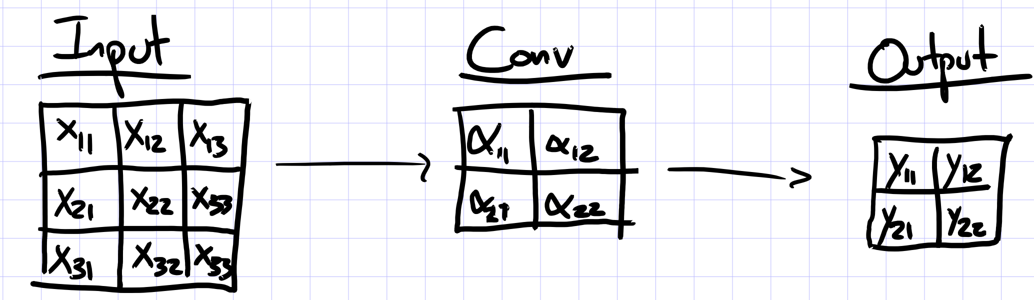
- stretch out into a long vector. $\hat{X} = [\hat{x}_1, \ldots, \hat{x}_{(C\times H\times W)}]$
- then standard linear layer:

$$y = \alpha^T \hat{x} + \alpha_0 \quad \text{where } \alpha \in \mathbb{R}^{A\times B}$$

$$|\hat{x}| = A, \quad |y| = B$$

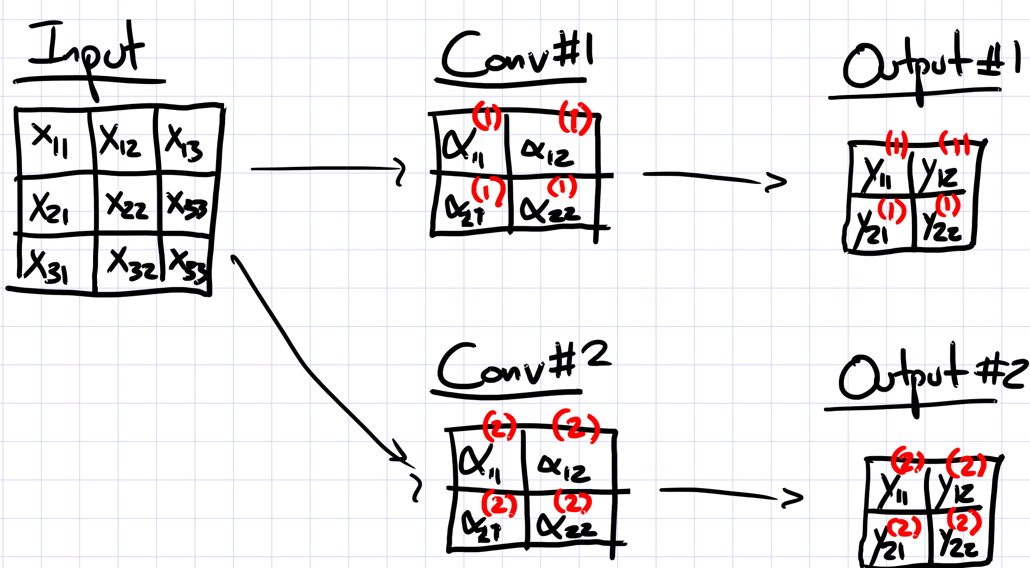
Convolutional Layer

Ex: 1 input channel, 1 output channel



$$y_{11} = \alpha_{11} x_{11} + \alpha_{12} x_{12} + \alpha_{21} x_{21} + \alpha_{22} x_{22} + \alpha_0$$
$$y_{12} = \alpha_{11} x_{12} + \alpha_{12} x_{13} + \alpha_{21} x_{22} + \alpha_{22} x_{23} + \alpha_0$$
$$y_{21} = \alpha_{11} x_{21} + \alpha_{12} x_{22} + \alpha_{21} x_{31} + \alpha_{22} x_{32} + \alpha_0$$
$$y_{22} = \alpha_{11} x_{22} + \alpha_{12} x_{23} + \alpha_{21} x_{32} + \alpha_{22} x_{33} + \alpha_0$$

Ex: 1 input channel, 2 output channels

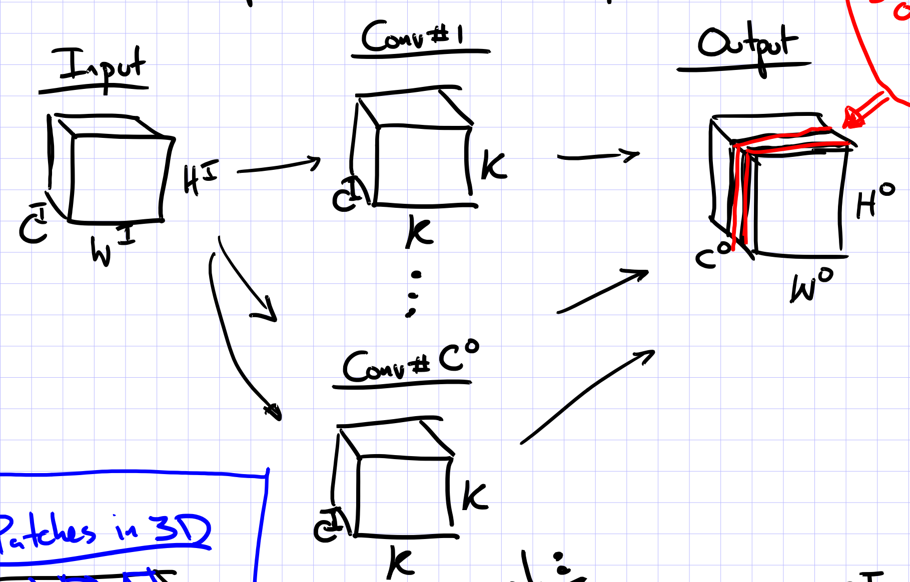


$$y_{11}^{(1)} = \alpha_{11}^{(1)} x_{11} + \alpha_{12}^{(1)} x_{12} + \alpha_{21}^{(1)} x_{21} + \alpha_{22}^{(1)} x_{22} + \alpha_0^{(1)}$$
$$y_{12}^{(1)} = \cdots$$
$$y_{21}^{(1)} = \cdots$$
$$y_{22}^{(1)} = \alpha_{11}^{(1)} x_{22} + \alpha_{12}^{(1)} x_{23} + \alpha_{21}^{(1)} x_{32} + \alpha_{22}^{(1)} x_{33} + \alpha_0^{(1)}$$

$$y_{11}^{(2)} = \alpha_{11}^{(2)} x_{11} + \alpha_{12}^{(2)} x_{12} + \alpha_{21}^{(2)} x_{21} + \alpha_{22}^{(2)} x_{22} + \alpha_0^{(2)}$$
$$y_{12}^{(2)} = \cdots$$
$$y_{21}^{(2)} = \cdots$$
$$y_{22}^{(2)} = \alpha_{11}^{(2)} x_{22} + \alpha_{12}^{(2)} x_{23} + \alpha_{21}^{(2)} x_{32} + \alpha_{22}^{(2)} x_{33} + \alpha_0^{(2)}$$

Convolutional Layer

Ex: C^I input channels, C^I output channels



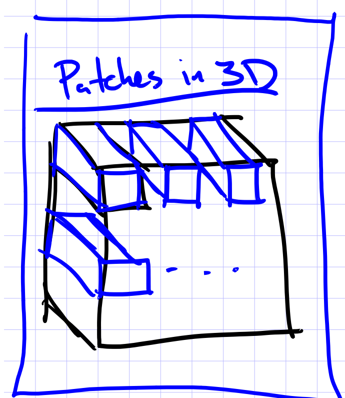
$$H^O = \lfloor (H^I + 2p - K)/s + 1 \rfloor$$

$$W^O = \lfloor (W^I + 2p - K)/s + 1 \rfloor$$

where p = # pixels of padding on input

K = size of conv. matrix

s = stride length



Forward:

$$y_{ij}^{(k)} = \alpha_0^{(k)} + \sum_{c=1}^{C^I}\sum_{q=1}^{K}\sum_{r=1}^{K} \alpha_{qr}^{(k)} x_{mn}^{(c)} \quad \text{where } m = s(i-1)+q,\; n = s(j-1)+r$$

Backward:

$$\frac{dJ}{d\alpha_0^{(k)}} = \sum_i \sum_j \frac{dJ}{dy_{ij}^{(k)}} \frac{dy_{ij}^{(k)}}{d\alpha_0^{(k)}}$$

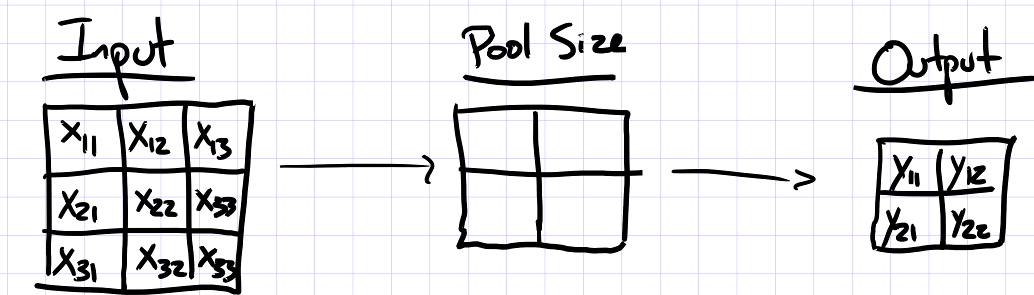
$$\frac{dJ}{d\alpha_{qr}^{(k)}} = \sum_i \sum_j \frac{dJ}{dy_{ij}^{(k)}} \frac{dy_{ij}^{(k)}}{d\alpha_{qr}^{(k)}}$$

$$\frac{dJ}{dx_{mn}^{(c)}} = \sum_i \sum_j \sum_k \frac{dJ}{dy_{ij}^{(k)}} \frac{dy_{ij}^{(k)}}{dx_{mn}^{(c)}}$$

just some calculus

Max-Pooling Layer

Ex: 1 input channel, 1 output channel, stride of 1

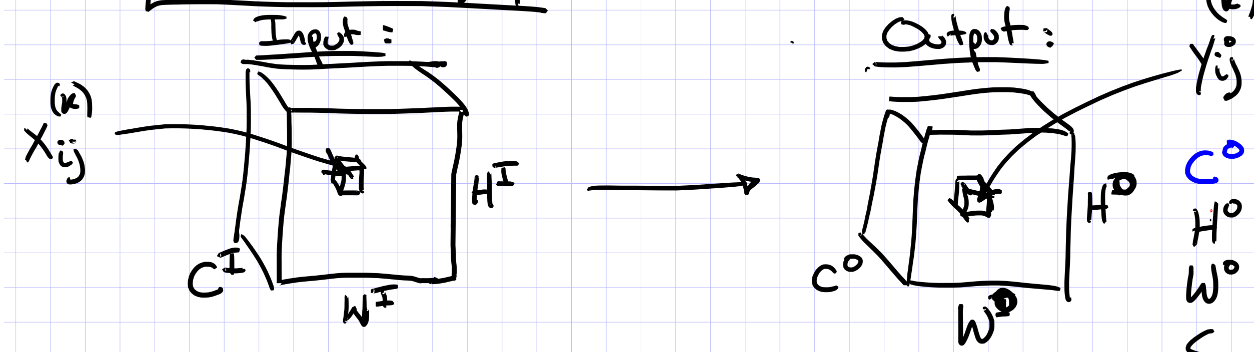


$$y_{11} = \max(x_{11}, x_{12}, x_{21}, x_{22})$$
$$y_{12} = \max(x_{12}, x_{13}, x_{22}, x_{23})$$
$$y_{21} = \max(x_{21}, x_{22}, x_{31}, x_{32})$$
$$y_{22} = \max(x_{22}, x_{23}, x_{32}, x_{33})$$

Max-Pooling Layer

Max-Pooling Layer

Input: Output:



$C^O = C^I$

$H^O = \dots$, $W^O = \dots$ } same as conv. layer

Same hyperparams too.

Forward:

$$y_{ij}^{(k)} = \max_{\substack{q \in \{1,\dots,K\} \\ r \in \{1,\dots,K\}}} x_{mn}^{(k)} \quad \text{where} \quad \begin{aligned} m &= s(i-1)+q \\ n &= s(j-1)+r \end{aligned}$$

Backward:

$$\frac{dJ}{dx_{mn}^{(k)}} = \sum_i \sum_j \frac{dJ}{dy_{ij}^{(k)}} \frac{dy_{ij}^{(k)}}{dx_{mn}^{(k)}}$$

Subderivatives

- Max() is not differentiable, but subdifferentiable.
- There are a set of derivatives and we can just choose one for SGD.

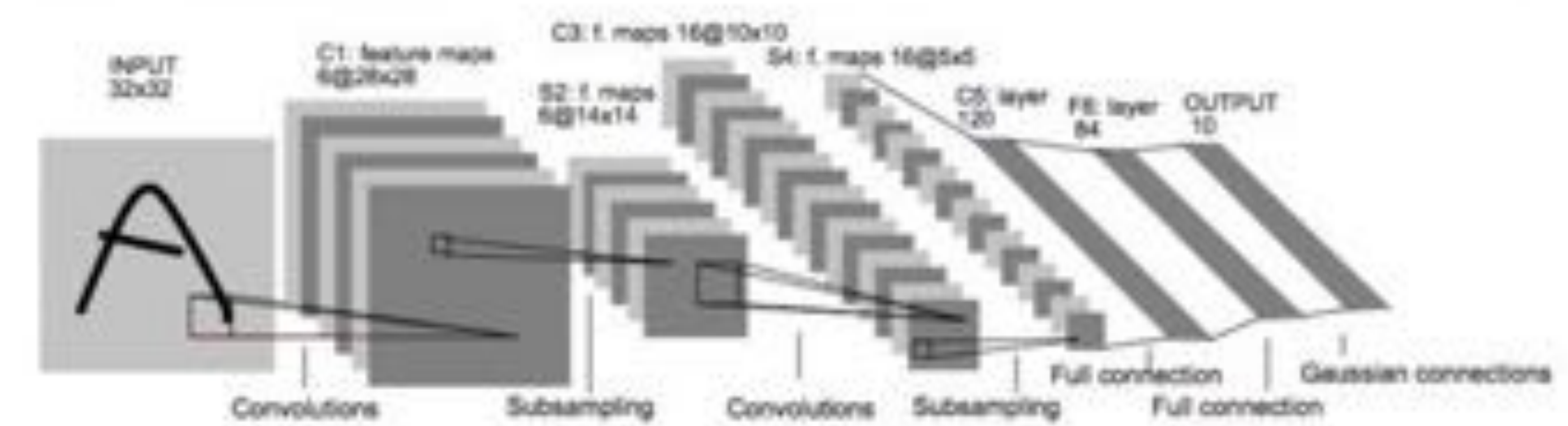
$$y = \max(a, b)$$

$$\Rightarrow \frac{dJ}{da} = \frac{dJ}{dy}\frac{dy}{da} \quad \text{where} \quad \frac{dy}{da} = \begin{cases} 1 & \text{if } a > b \\ 0 & \text{otherwise} \end{cases}$$

Convolutional Neural Network (CNN)

- Typical layers include:
  - Convolutional layer
  - Max-pooling layer
  - Fully-connected (Linear) layer
  - ReLU layer (or some other nonlinear activation function)
  - Softmax
- These can be arranged into arbitrarily deep topologies

Architecture #1: LeNet-5



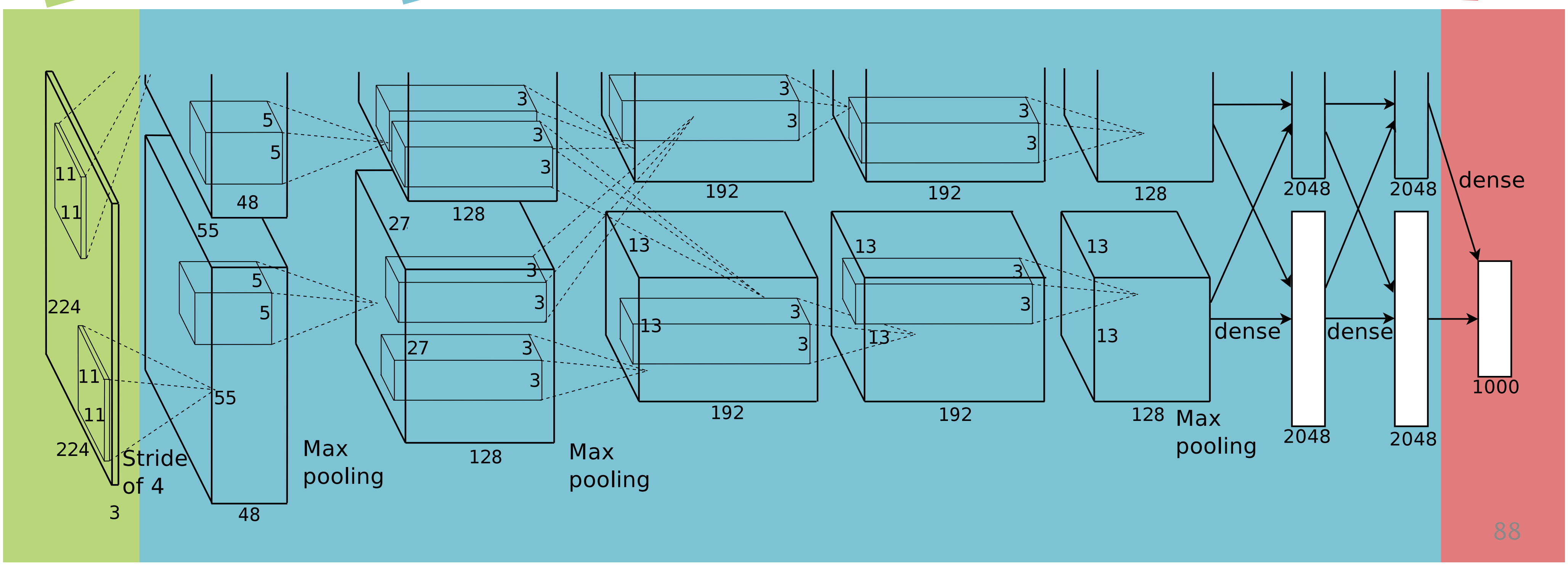
Architecture #2: AlexNet

CNN for Image Classification
(Krizhevsky, Sutskever & Hinton, 2012)
15.3% error on ImageNet LSVRC-2012 contest

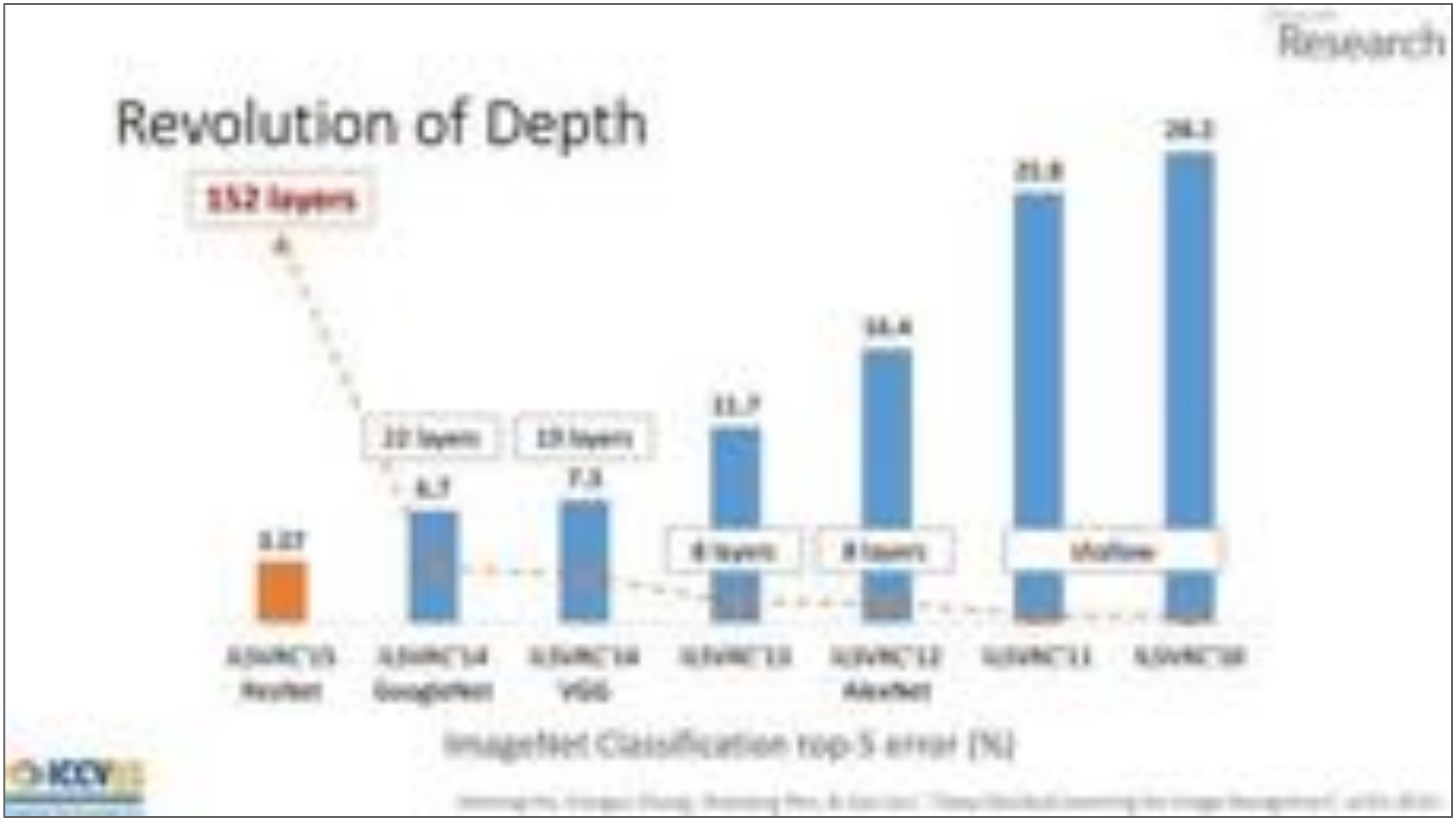
Input image (pixels)

- Five convolutional layers (w/max-pooling)
- Three fully connected layers

1000-way softmax



CNNs for Image Recognition



Slide from Kaiming He

The key building block of ResNet

RESIDUAL CONNECTIONS

Slides in this section from…

Deep Residual Learning

MSRA @ ILSVRC & COCO 2015 competitions

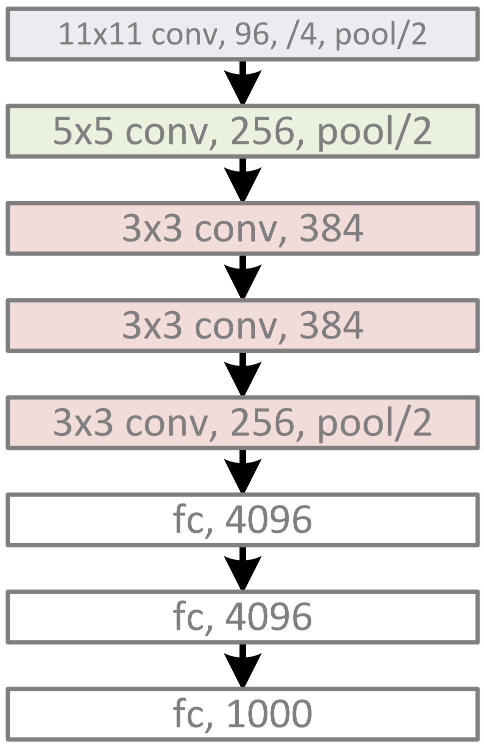
Kaiming He

with Xiangyu Zhang, Shaoqing Ren, Jifeng Dai, & Jian Sun

Microsoft Research Asia (MSRA)

Revolution of Depth

AlexNet, 8 layers
(ILSVRC 2012)



ICCV15 International Conference on Computer Vision

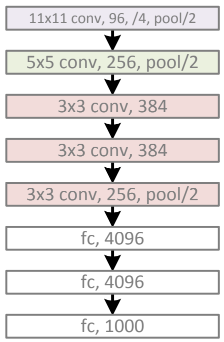
Microsoft Research

Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. "Deep Residual Learning for Image Recognition". arXiv 2015.

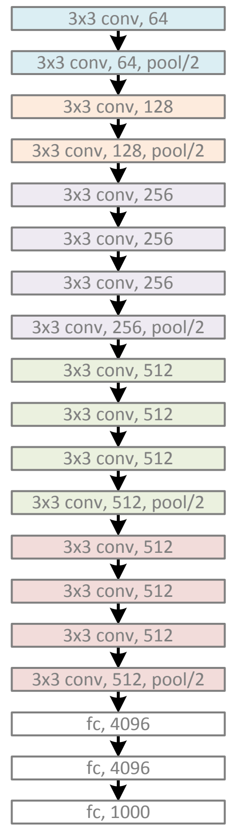
Microsoft Research

Revolution of Depth

AlexNet, 8 layers
(ILSVRC 2012)



VGG, 19 layers
(ILSVRC 2014)



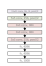
GoogleNet, 22 layers
(ILSVRC 2014)

Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. “Deep Residual Learning for Image Recognition”. arXiv 2015.

Microsoft Research

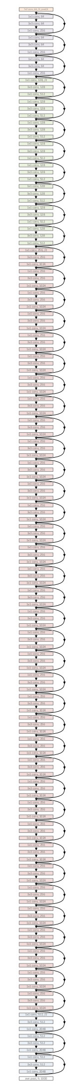
Revolution of Depth

AlexNet, 8 layers
(ILSVRC 2012)



VGG, 19 layers
(ILSVRC 2014)

ResNet, 152 layers
(ILSVRC 2015)



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Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. “Deep Residual Learning for Image Recognition”. arXiv 2015.

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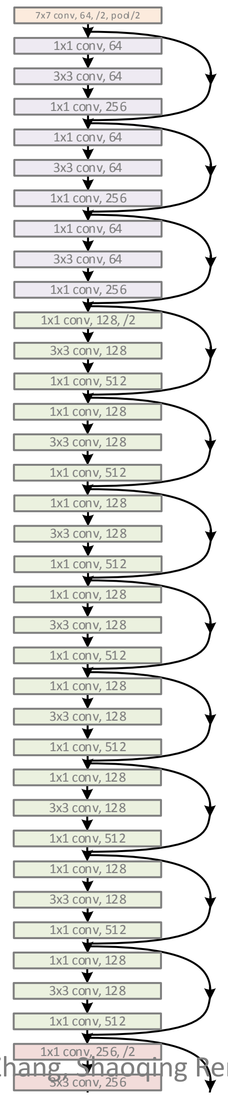
Revolution of Depth

ResNet, 152 layers



(there was an animation here)

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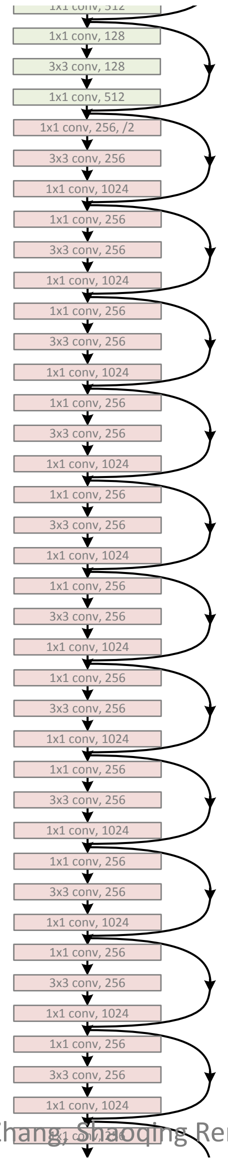
Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. “Deep Residual Learning for Image Recognition”. arXiv 2015.

Revolution of Depth

ResNet, 152 layers



(there was an animation here)

Microsoft Research

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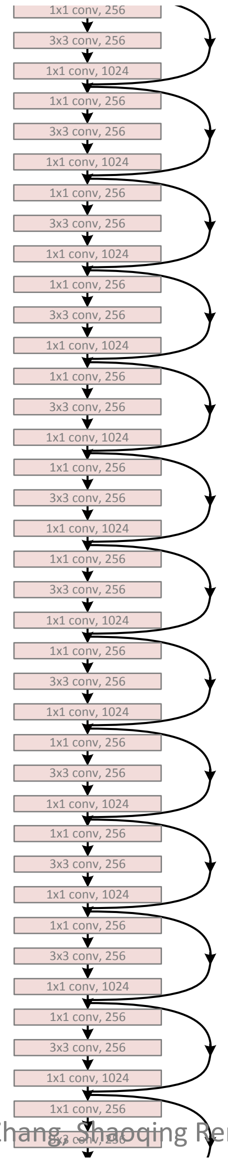
Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. "Deep Residual Learning for Image Recognition". arXiv 2015.

Revolution of Depth

ResNet, 152 layers

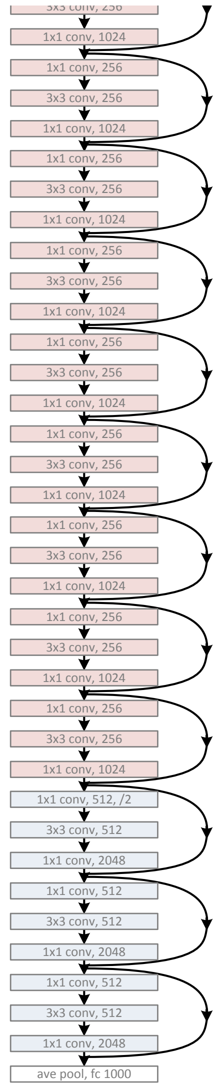


(there was an animation here)

Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. “Deep Residual Learning for Image Recognition”. arXiv 2015.

Revolution of Depth

ResNet, 152 layers

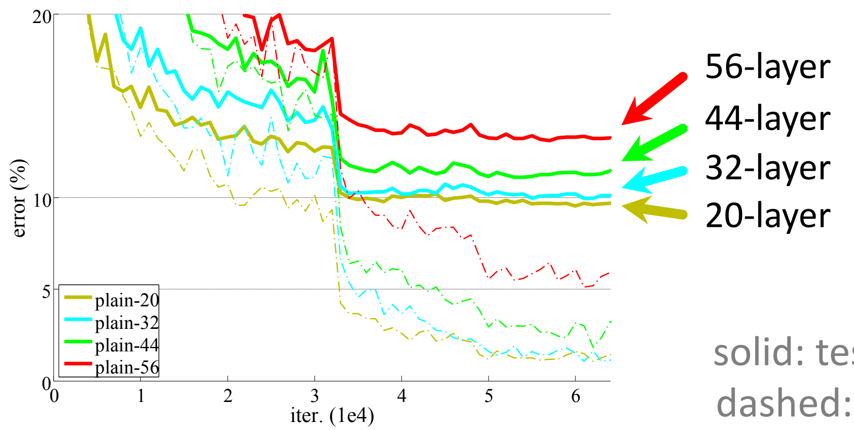


(there was an animation here)

Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. "Deep Residual Learning for Image Recognition". arXiv 2015.

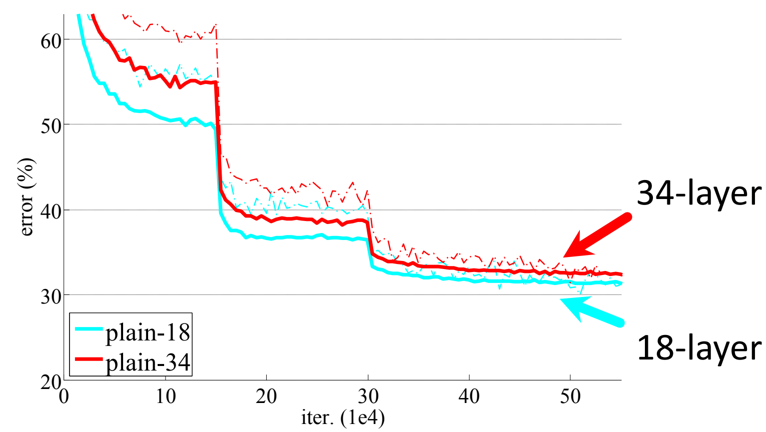
Simply stacking layers?

CIFAR-10



solid: test/val
dashed: train

ImageNet-1000



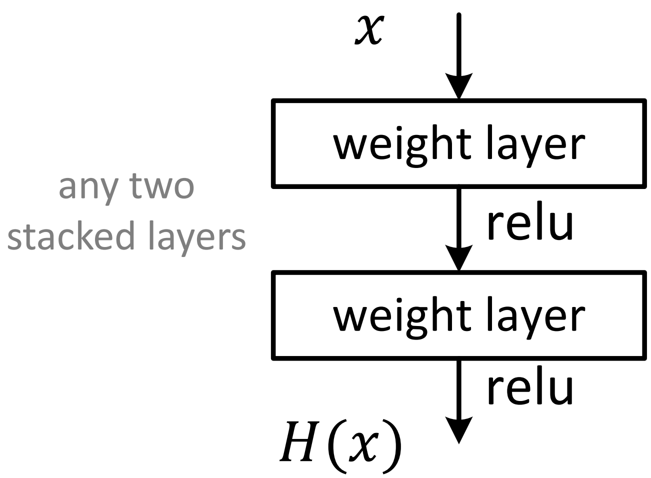
- "Overly deep" plain nets have **higher training error**
- A general phenomenon, observed in many datasets

Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. "Deep Residual Learning for Image Recognition". arXiv 2015.

Microsoft Research

Deep Residual Learning

- Plaint net



$H(x)$ is any desired mapping,

hope the 2 weight layers fit $H(x)$

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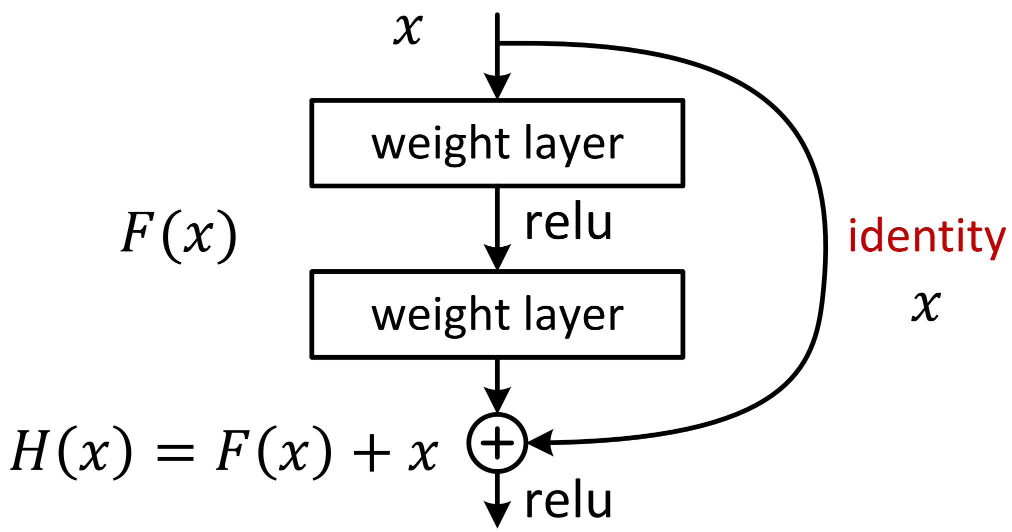
Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. "Deep Residual Learning for Image Recognition". arXiv 2015.

Deep Residual Learning

- Residual net



$H(x)$ is any desired mapping,

~~hope the 2 weight layers fit $H(x)$~~

hope the 2 weight layers fit $F(x)$

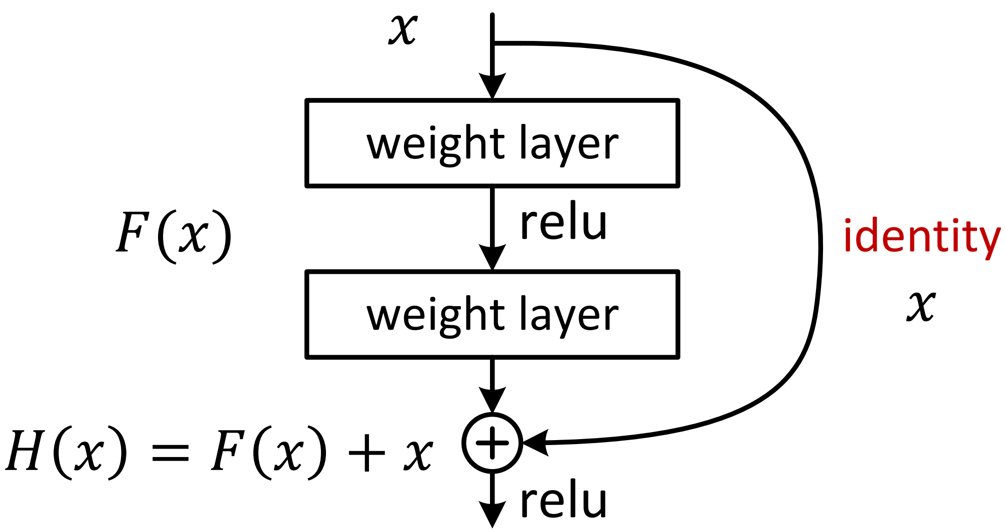
let $H(x) = F(x) + x$

Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. "Deep Residual Learning for Image Recognition". arXiv 2015.

Microsoft Research

Deep Residual Learning

- $F(x)$ is a residual mapping w.r.t. identity



- If identity were optimal, easy to set weights as 0
- If optimal mapping is closer to identity, easier to find small fluctuations

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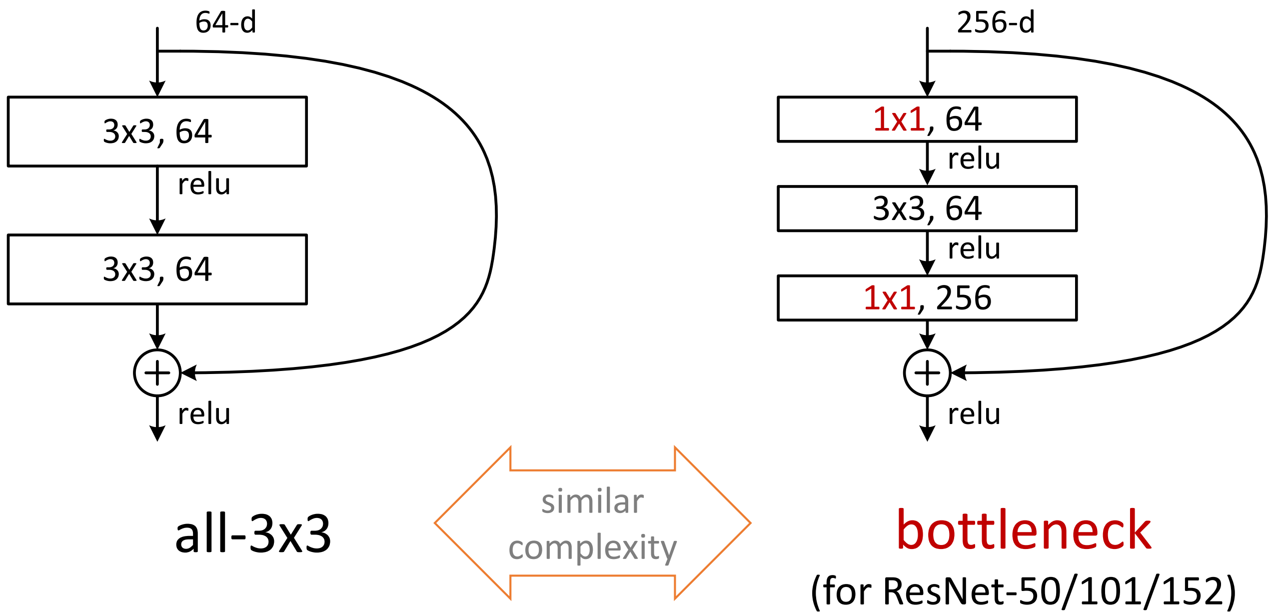
Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. "Deep Residual Learning for Image Recognition". arXiv 2015.

ImageNet experiments

- A practical design of going deeper



Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. “Deep Residual Learning for Image Recognition”. arXiv 2015.

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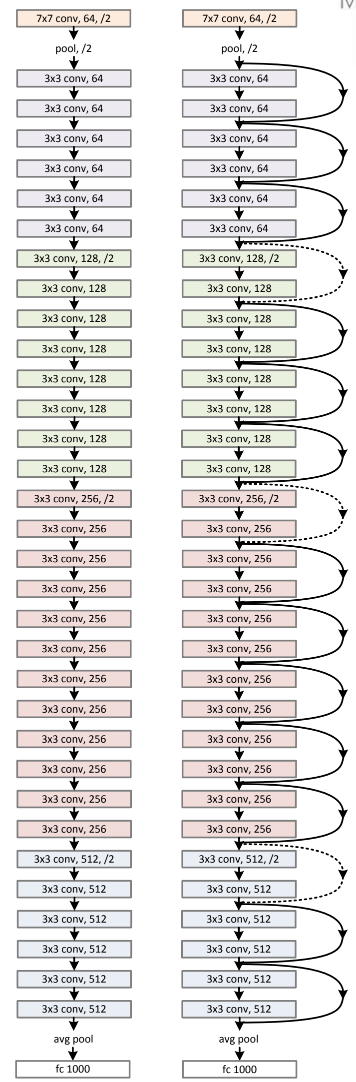
Network “Design”

- Keep it simple

- Our basic design (VGG-style)
  - all 3x3 conv (almost)
  - spatial size /2 => # filters x2
  - Simple design; just deep!

- Other remarks:
  - no max pooling (almost)
  - no hidden fc
  - no dropout

plain net



ResNet

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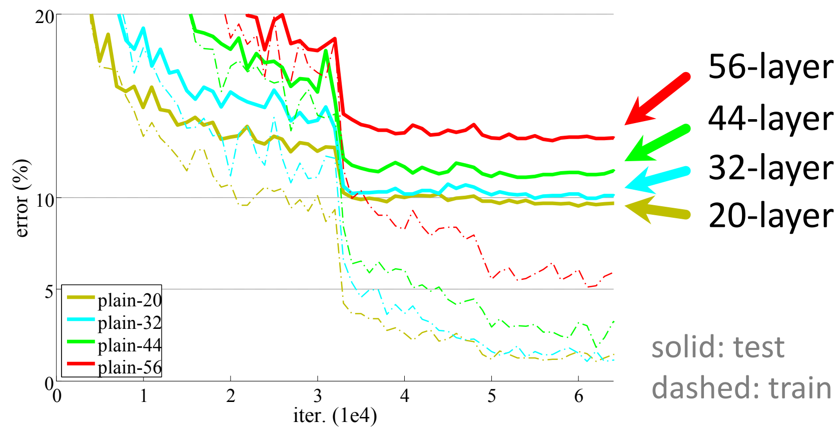
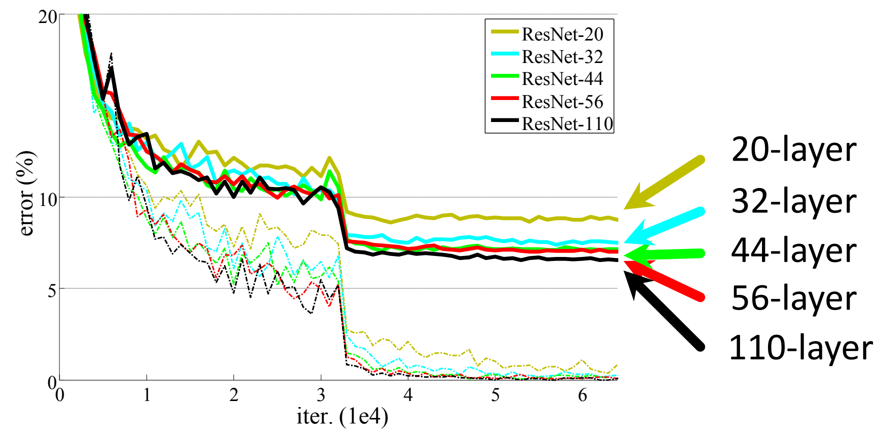
Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. “Deep Residual Learning for Image Recognition”. arXiv 2015.

CIFAR-10 experiments

CIFAR-10 plain nets



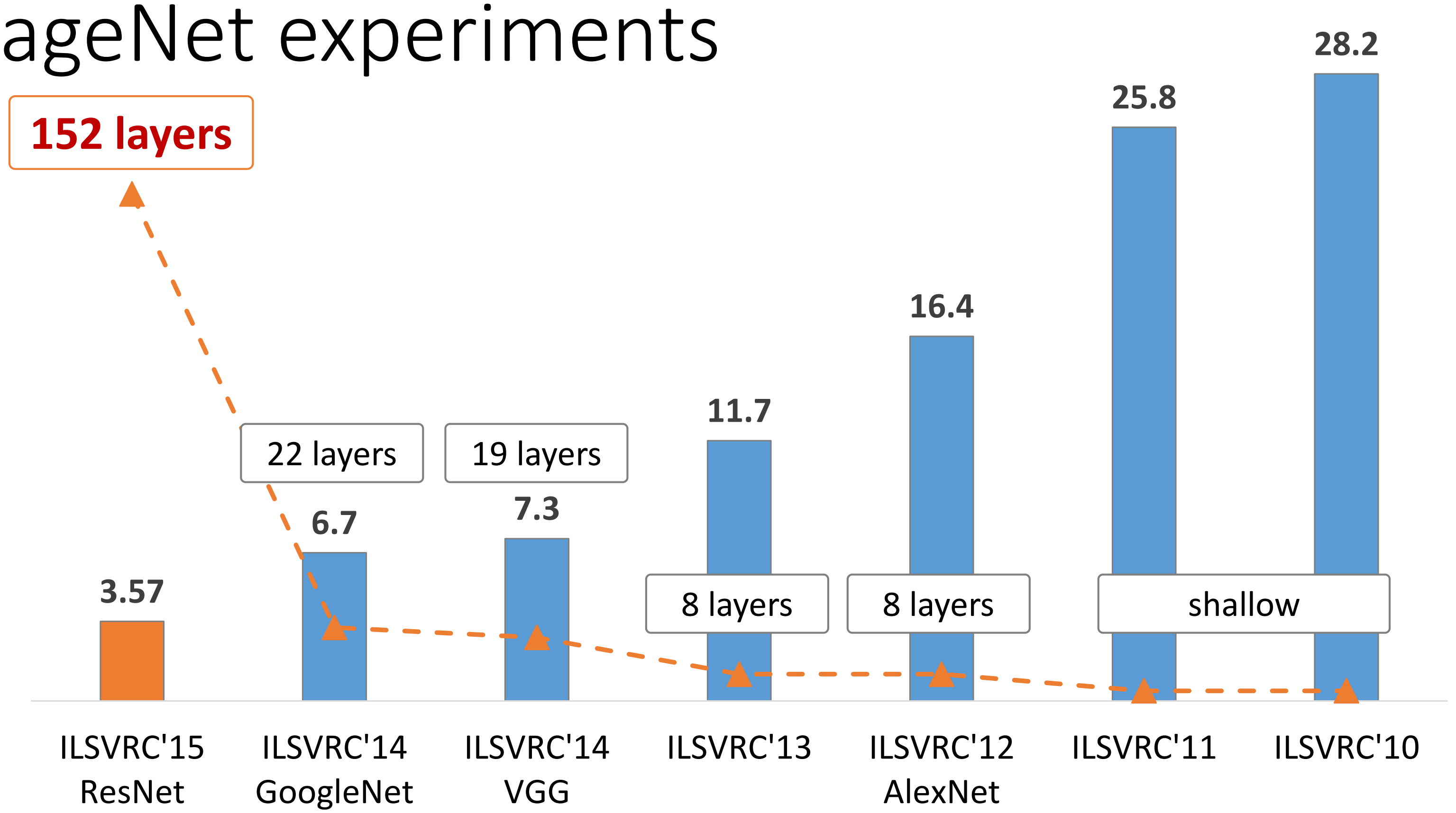
CIFAR-10 ResNets



- Deep ResNets can be trained without difficulties
- Deeper ResNets have **lower training error**, and also lower test error

Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. “Deep Residual Learning for Image Recognition”. arXiv 2015.

ImageNet experiments



ImageNet Classification top-5 error (%)

Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. “Deep Residual Learning for Image Recognition”. arXiv 2015.

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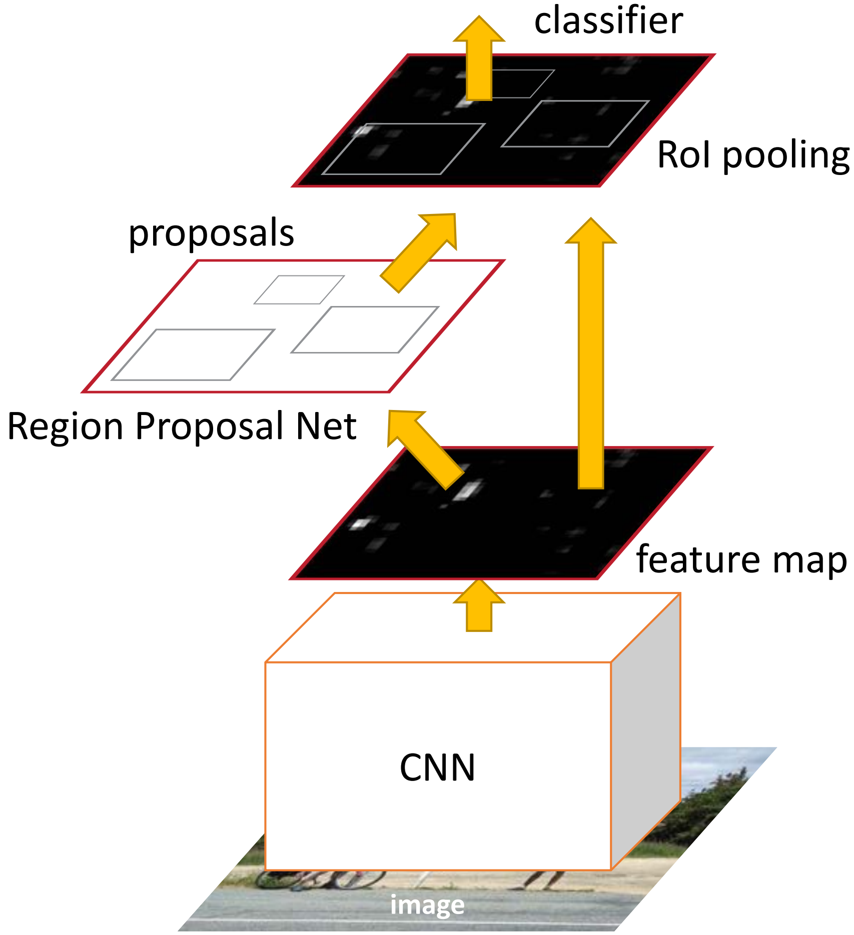
Object Detection (brief)

- Simply “Faster R-CNN + ResNet”

Faster R-CNN baseline	mAP@.5	mAP@.5:.95
VGG-16	41.5	21.5
ResNet-101	**48.4**	**27.2**

COCO detection results
(ResNet has 28% relative gain)



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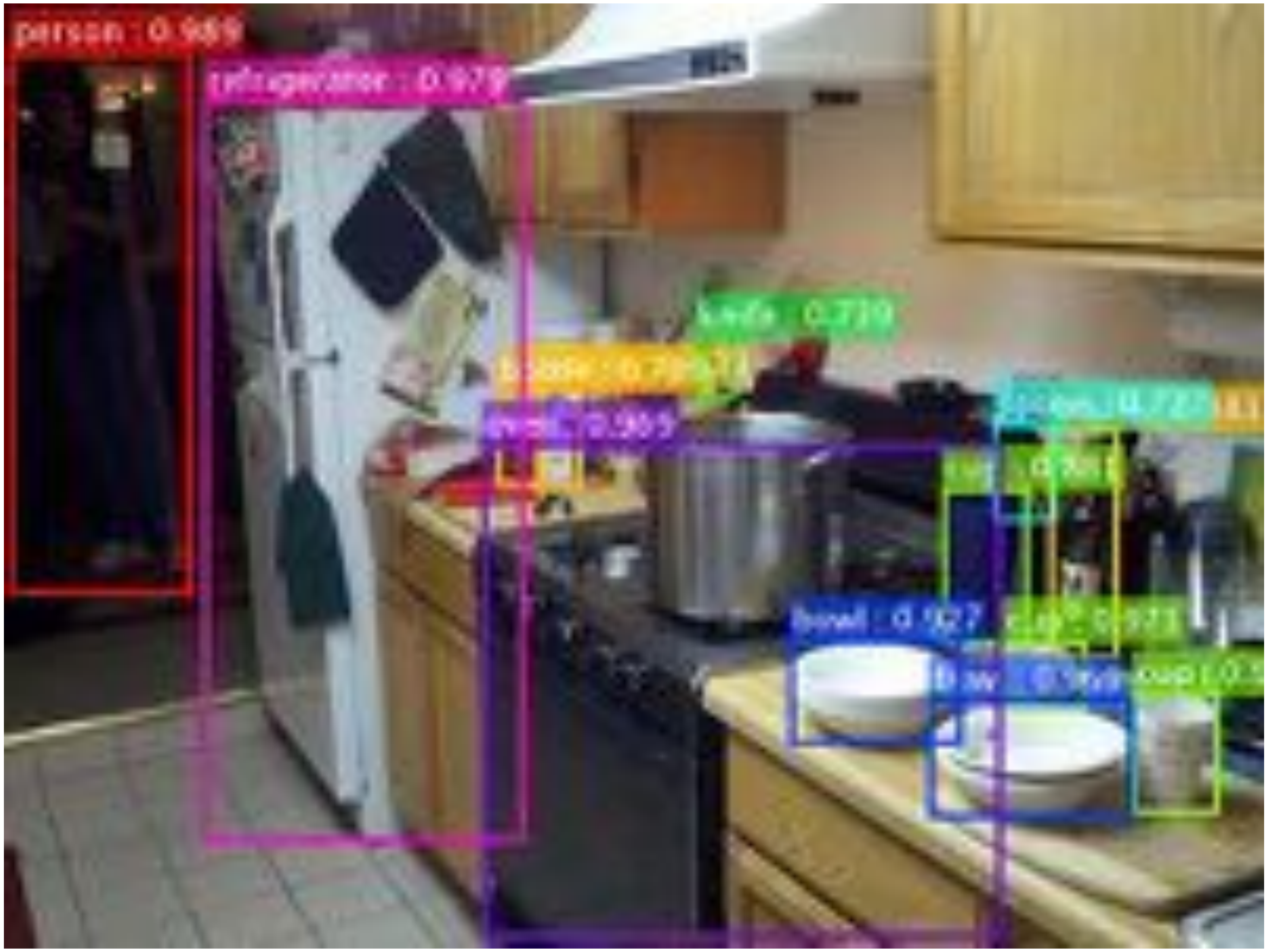
Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. “Deep Residual Learning for Image Recognition”. arXiv 2015.
Shaoqing Ren, Kaiming He, Ross Girshick, & Jian Sun. “Faster R-CNN: Towards Real-Time Object Detection with Region Proposal Networks”. NIPS 2015.



*the original image is from the COCO dataset

Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. “Deep Residual Learning for Image Recognition”. arXiv 2015.
Shaoqing Ren, Kaiming He, Ross Girshick, & Jian Sun. “Faster R-CNN: Towards Real-Time Object Detection with Region Proposal Networks”. NIPS 2015.

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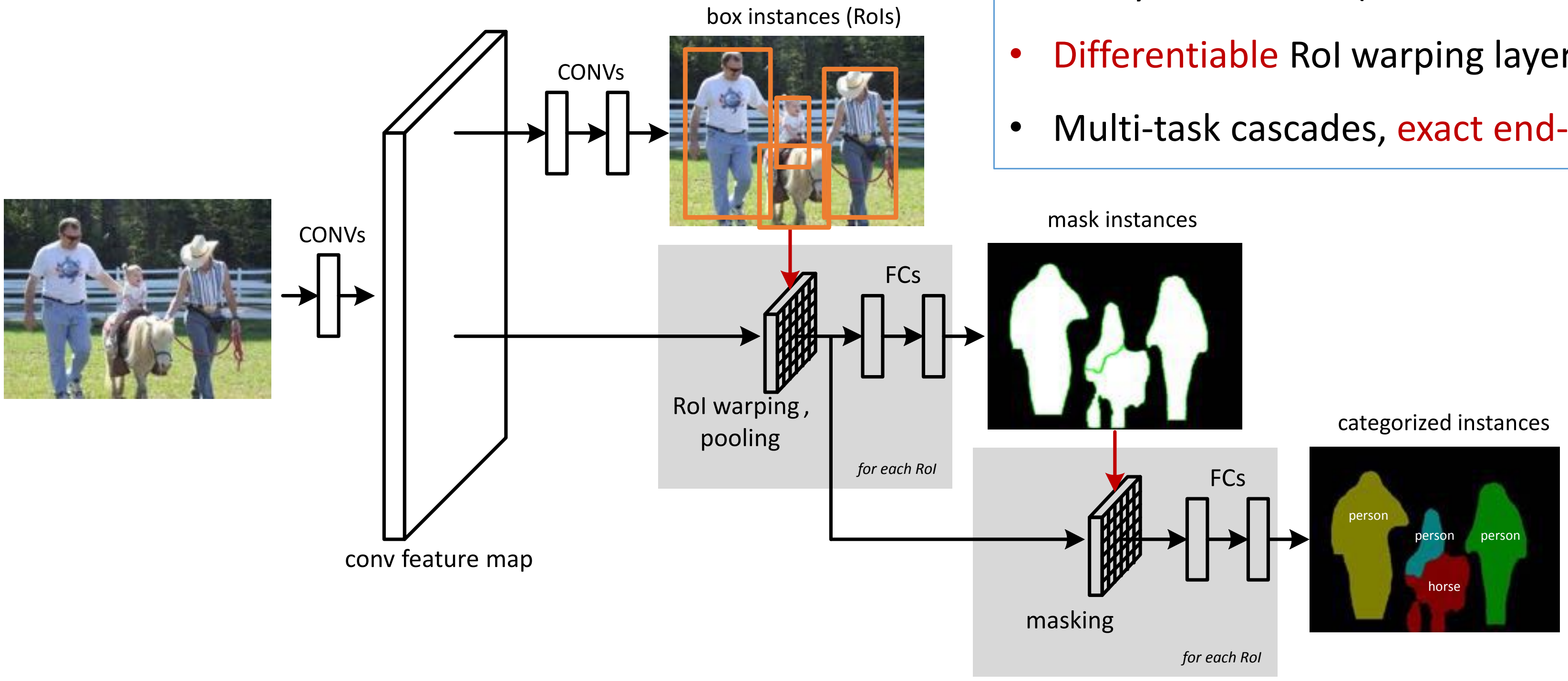
Shaoqing Ren, Kaiming He, Ross Girshick, & Jian Sun. "Faster R-CNN: Towards Real-Time Object Detection with Region Proposal Networks". NIPS 2015.

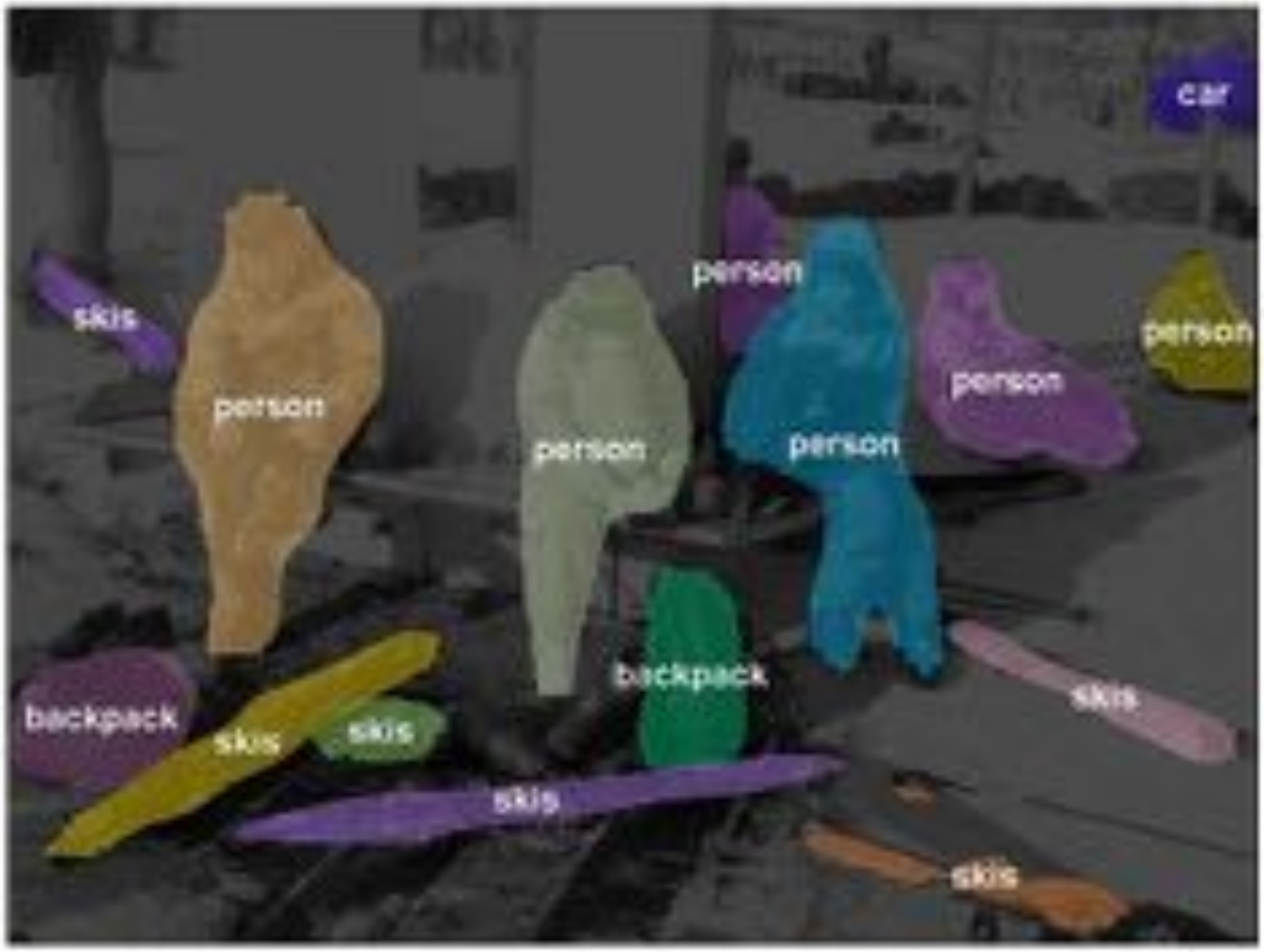
Instance Segmentation (brief)

- Solely CNN-based ("features matter")
- Differentiable RoI warping layer (w.r.t box coord.)
- Multi-task cascades, exact end-to-end training



Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. "Deep Residual Learning for Image Recognition". arXiv 2015.
Jifeng Dai, Kaiming He, & Jian Sun. "Instance-aware Semantic Segmentation via Multi-task Network Cascades". arXiv 2015.

input



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Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. “Deep Residual Learning for Image Recognition”. arXiv 2015.
Jifeng Dai, Kaiming He, & Jian Sun. “Instance-aware Semantic Segmentation via Multi-task Network Cascades”. arXiv 2015.

CNN VISUALIZATIONS

3D Visualization of CNN

http://scs.ryerson.ca/~aharley/vis/conv/

Convolution of a Color Image

- Color images consist of 3 floats per pixel for RGB (red, green blue) color values
- Convolution must also be 3-dimensional

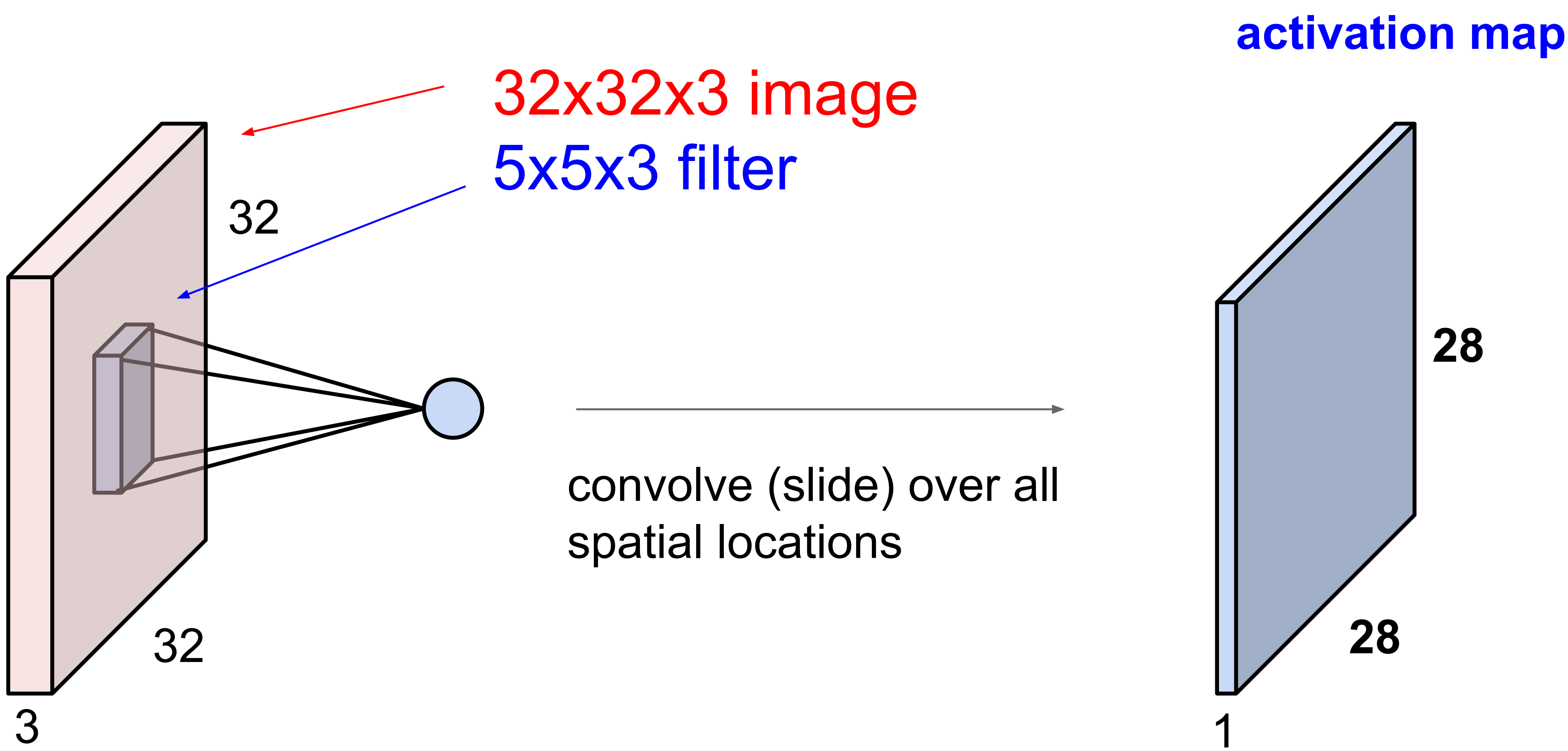

Figure from Fei-Fei Li & Andrej Karpathy & Justin Johnson (CS231N)

Animation of 3D Convolution

http://cs231n.github.io/convolutional-networks/

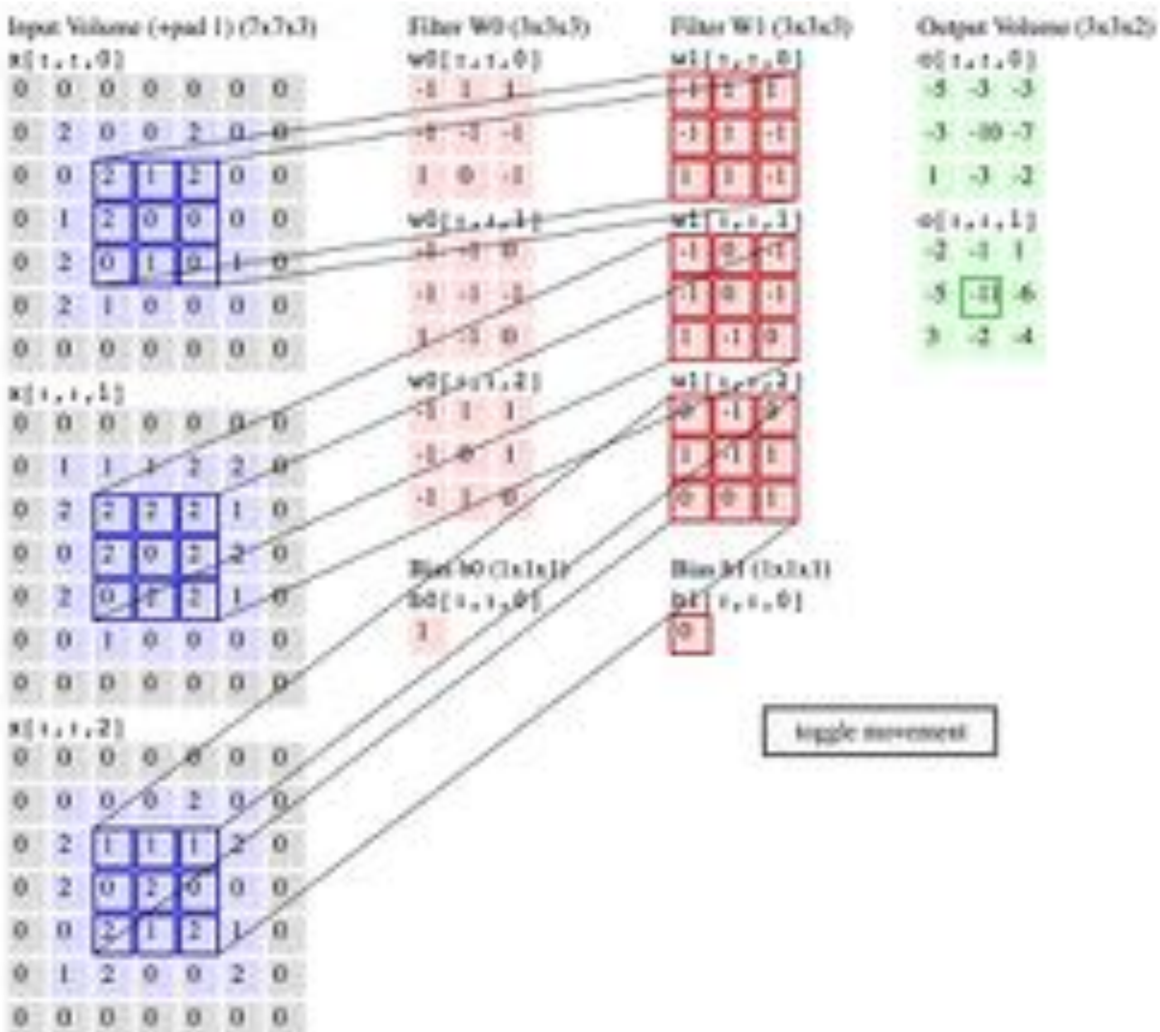

Figure from Fei-Fei Li & Andrej Karpathy & Justin Johnson (CS231N)

MNIST Digit Recognition with CNNs (in your browser)

https://cs.stanford.edu/people/karpathy/convnetjs/demo/mnist.html

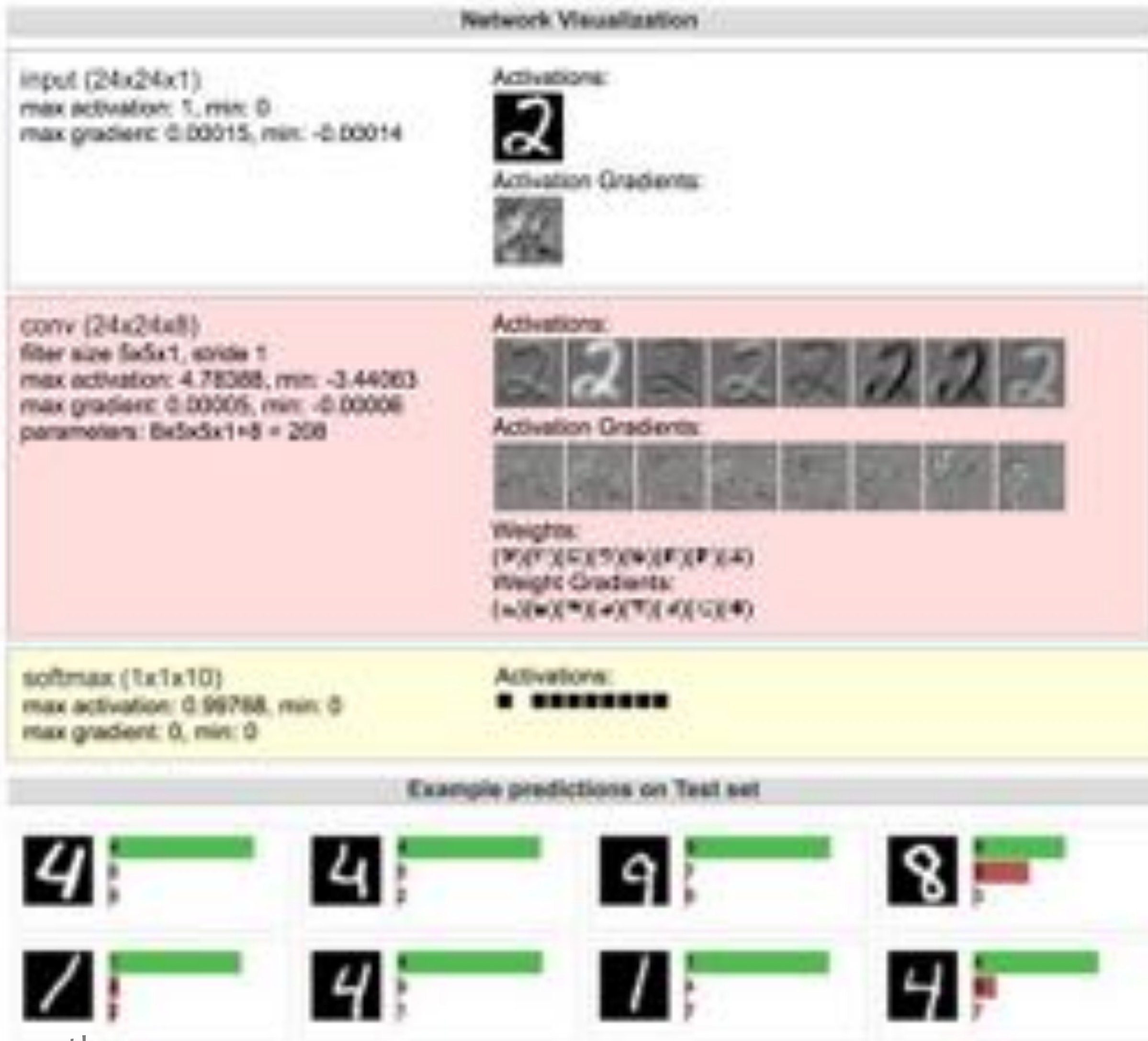

Figure from Andrej Karpathy

CNN Summary

CNNs

– Are used for all aspects of **computer vision**, and have won numerous pattern recognition competitions

– Able learn **interpretable features** at different levels of abstraction

– Typically, consist of **convolution** layers, **pooling** layers, **nonlinearities**, and **fully connected** layers