# 15-453

# FORMAL LANGUAGES, AUTOMATA AND COMPUTABILITY

1st PROJECT REPORT: THURSDAY MIDTERM: NEXT TUESDAY

Chomsky Normal Form and TURING MACHINES

**TUESDAY Feb 4** 

### CHOMSKY NORMAL FORM

A context-free grammar is in Chomsky normal form if every rule is of the form:

 $A \rightarrow BC$  B and C aren't start variables

 $A \rightarrow a$  a is a terminal

 $S \rightarrow \epsilon$  S is the start variable

Any variable A that is not the start variable can only generate strings of length > 0

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 $\begin{array}{c} S \rightarrow 0S1 \\ S \rightarrow TT \\ T \rightarrow \epsilon \end{array}$ 

 $\begin{array}{l} S_0 \rightarrow TU \mid TV \mid \epsilon \\ T \rightarrow 0 \\ U \rightarrow SV \\ S \rightarrow TU \mid TV \\ V \rightarrow 1 \end{array}$ 

Theorem: If G is in CNF,  $w \in L(G)$  and |w| > 0, then any derivation of w in G has length 2|w| - 1

Proof (by induction on |w|):

Base Case: If |w| = 1, then any derivation of w must have length 1

Inductive Step: Assume true for any string of length at most  $k \ge 1$ , and let |w| = k+1

Since |w| > 1, derivation starts with  $A \rightarrow BC$ 

So w = xy where B  $\Rightarrow$ \* x, |x| > 0 and C  $\Rightarrow$ \* y, |y| > 0

By the inductive hypothesis, the length of any derivation of w must be

1 + (2|x| - 1) + (2|y| - 1) = 2(|x| + |y|) - 1

Theorem: Any context-free language can be generated by a context-free grammar in Chomsky normal form

"Can transform any CFG into Chomsky normal form"

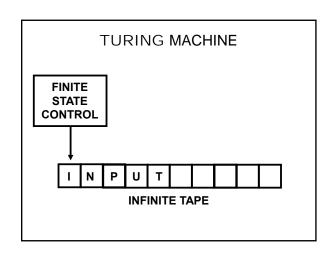
Theorem: Any context-free language can be generated by a context-free grammar in Chomsky normal form

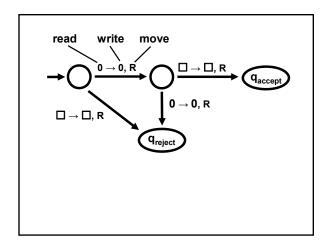
# **Proof Idea:**

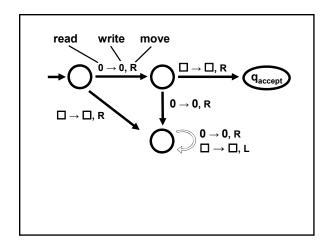
- 1. Add a new start variable
- 2. Eliminate all A→ε rules. Repair grammar
- 3. Eliminate all A→B rules. Repair
- 4. Convert  $A \rightarrow u_1 u_2 \dots u_k$  to  $A \rightarrow u_1 A_1$ ,  $A_1 \rightarrow u_2 A_2$ , ... If  $u_i$  is a terminal, replace  $u_i$  with  $U_i$  and add  $U_i \rightarrow u_i$

- 1. Add a new start variable  $S_0$  and add the rule  $S_0 \to S$   $S \to 0S1$   $S \to TT$   $T \to \epsilon$
- $\boldsymbol{S_0} \to \boldsymbol{S}$ 2. Remove all A  $\rightarrow$   $\epsilon$  rules S → 0S1 (where A is not S<sub>0</sub>)  $\textbf{S} \rightarrow \textbf{T} \textbf{\#} \textbf{T}$ For each occurrence of A on right  $S \rightarrow T$ hand side of a rule, add a new rule  $T \rightarrow \epsilon$ with the occurrence deleted S → T# If we have the rule  $B \rightarrow A$ , add  $\textbf{S} \rightarrow \textbf{\#T}$  $B \rightarrow \epsilon$ , unless we have  $S \rightarrow \#$ previously removed  $B\to\epsilon$  $S \rightarrow 01$  $\boldsymbol{S_0} \rightarrow \boldsymbol{\epsilon}$
- $\boldsymbol{S_0} \to \boldsymbol{S}$ 2. Remove all  $A \rightarrow \epsilon$  rules  $S \rightarrow 0S1$ (where A is not  $S_0$ )  $\textbf{S} \rightarrow \textbf{T} \textbf{\#} \textbf{T}$ For each occurrence of A on right  $\textbf{S} \rightarrow \textbf{T}$ hand side of a rule, add a new rule with the occurrence deleted  $T \rightarrow \epsilon$ S → T# If we have the rule  $B \rightarrow A$ , add  $\textbf{S} \rightarrow \textbf{\#T}$  $B \rightarrow \epsilon$ , unless we have  $S \rightarrow \#$ previously removed  $B\to\epsilon$ 3. Remove unit rules  $A \rightarrow B$  $\textbf{S} \rightarrow \textbf{01}$ Whenever  $\mathbf{B} \to \mathbf{w}$  appears, add  $\boldsymbol{S_0} \to \boldsymbol{\epsilon}$ the rule  $\textbf{A} \rightarrow \textbf{w}$  unless this was  $\boldsymbol{S_0 \to 0S1}$ a unit rule previously removed
- $\boldsymbol{S_0} \rightarrow \boldsymbol{\epsilon}$ 4. Convert all remaining rules into the  $\boldsymbol{S_0 \to 0S1}$ proper form:  $S_0 \rightarrow T\#T$  $S_0 \rightarrow T\#$  $\boldsymbol{S_0} \rightarrow \boldsymbol{\#T}$  $S_0 \rightarrow \#$  $\boldsymbol{S_0} \rightarrow \boldsymbol{01}$  $A_3 \rightarrow 1$  $\textbf{S} \rightarrow \textbf{0S1}$  $\textbf{S} \rightarrow \textbf{T\#T}$  $S \rightarrow T\#$  $S \rightarrow \#T$ S → #  $\textbf{S} \rightarrow \textbf{01}$

Convert the following into Chomsky normal form:  $A \rightarrow BAB \mid B \mid \epsilon \\ B \rightarrow 00 \mid \epsilon$   $S_0 \rightarrow A \qquad S_0 \rightarrow A \mid \epsilon \\ A \rightarrow BAB \mid B \mid \epsilon \qquad A \rightarrow BAB \mid B \mid BB \mid AB \mid BA \\ B \rightarrow 00 \mid \epsilon$   $S_0 \rightarrow BAB \mid 00 \mid BB \mid AB \mid BA \mid \epsilon \\ A \rightarrow BAB \mid 00 \mid BB \mid AB \mid BA \\ B \rightarrow 00$   $S_0 \rightarrow BC \mid DD \mid BB \mid AB \mid BA \mid \epsilon, \quad C \rightarrow AB, \\ A \rightarrow BC \mid DD \mid BB \mid AB \mid BA, \quad B \rightarrow DD, \quad D \rightarrow 0$ 







# TMs VERSUS FINITE AUTOMATA

TM can both write to and read from the tape

The head can move left and right

The input doesn't have to be read entirely, and the computation can continue after all the input has been read

Accept and Reject take immediate effect

Definition: A Turing Machine is a 7-tuple T = (Q,  $\Sigma$ ,  $\Gamma$ ,  $\delta$ ,  $q_0$ ,  $q_{accept}$ ,  $q_{reject}$ ), where:

Q is a finite set of states

 $\Sigma$  is the input alphabet, where  $\square \notin \Sigma$ 

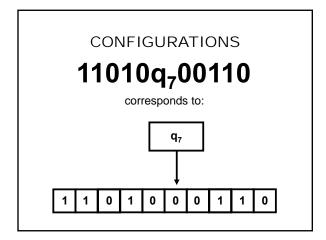
 $\Gamma$  is the tape alphabet, where  $\square \in \Gamma$  and  $\Sigma \subseteq \Gamma$ 

 $\delta: Q \times \Gamma \to Q \times \Gamma \times \{L,\,R\}$ 

 $q_0 \in Q$  is the start state

 $q_{accept} \in Q$  is the accept state

 $q_{reject} \in Q$  is the reject state, and  $q_{reject} \neq q_{accept}$ 



A Turing Machine M accepts input w if there is a sequence of configurations  $C_1, \ldots, C_k$  such that

- 1.  $C_1$  is a *start* configuration of M on input w, ie  $C_1$  is  $q_0$ w
- 2. each  $C_i$  yields  $C_{i+1}$ , ie M can legally go from  $C_i$  to  $C_{i+1}$  in a single step
- 3.  $C_k$  is an *accepting* configuration, ie the state of the configuration is  $q_{accept}$

A TM recognizes a language iff it accepts all and only those strings in the language

A language L is called Turing-recognizable or recursively enumerable or semidecidable

iff some TM recognizes L

A TM decides a language L iff it accepts all strings in L and rejects all strings not in L

A language L is called decidable or recursive iff some TM decides L  $\,$ 

A language is called Turing-recognizable or recursively enumerable (r.e.) or semidecidable if some TM recognizes it

A language is called decidable or recursive if some TM decides it

r.e. recursive languages

Theorem: If A and ¬A are r.e. then A is recursive

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#### Given:

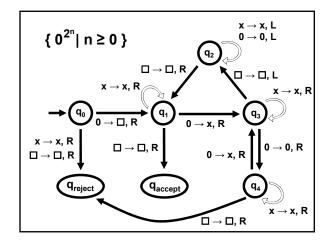
- a TM that recognizes A and
- a TM that recognizes ¬A,

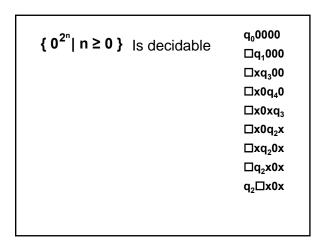
we can build a new machine that decides A

# $\{0^{2^n} | n \ge 0\}$ Is decidable

## **PSEUDOCODE:**

- 1. Sweep from left to right, cross out every other 0
- 2. If in stage 1, the tape had only one 0, accept
- If in stage 1, the tape had an odd number of 0's, reject
- 4. Move the head back to the first input symbol.
- 5. Go to stage 1.





 $C = \{a^ib^jc^k \mid k = ij, and i, j, k \ge 1\}$ 

### **PSEUDOCODE:**

- 1. If the input doesn't match a\*b\*c\*, reject.
- 2. Move the head back to the leftmost symbol.
- Cross off an a, scan to the right until b.
   Sweep between b's and c's, crossing off one of each until all b's are crossed off.
- 4. Uncross all the b's.

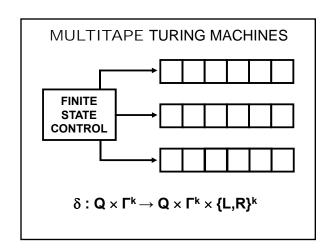
If there's another **a** left, then repeat stage 3. If all **a**'s are crossed out,

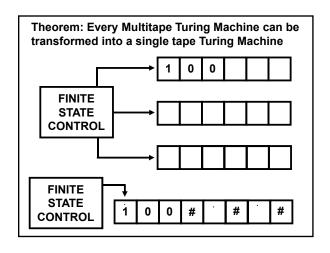
Check if all c's are crossed off.

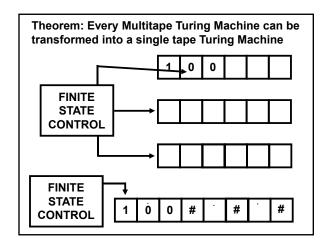
If yes, then *accept*, else *reject*.

 $C = \{a^i b^j c^k \mid k = ij, \text{ and } i, j, k \ge 1\}$ 

aabbbcccccc xabbbcccccc xayyyzzzccc xabbbzzzccc xxyyyzzzzzz

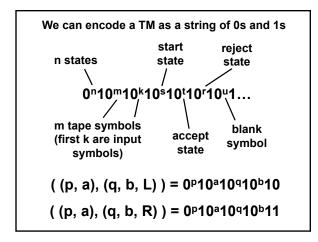






THE CHURCH-TURING THESIS

Intuitive Notion of Algorithms
EQUALS
Turing Machines



Similarly, we can encode DFAs, NFAs, CFGs, *etc.* into strings of 0s and 1s So we can define the following languages:

A<sub>DFA</sub> = { (B, w) | B is a DFA that accepts string w }

A<sub>NEA</sub> = { (B, w) | B is an NFA that accepts string w }

A<sub>CFG</sub> = { (G, w) | G is a CFG that generates string w }

Similarly, we can encode DFAs, NFAs, CFGs, etc.
into strings of 0s and 1s
So we can define the following languages:  $A_{DFA} = \{ (B, w) \mid B \text{ is a DFA that accepts string w } \}$ Theorem:  $A_{DFA}$  is decidable
Proof Idea: Simulate B on w  $A_{NFA} = \{ (B, w) \mid B \text{ is an NFA that accepts string w } \}$ Theorem:  $A_{NFA}$  is decidable  $A_{CFG} = \{ (G, w) \mid G \text{ is a CFG that generates string w } \}$ Theorem:  $A_{CFG}$  is decidable
Proof Idea: Transform G into Chomsky Normal
Form. Try all derivations of length up to 2|w|-1

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Read Chapter 3 of the book for next time