

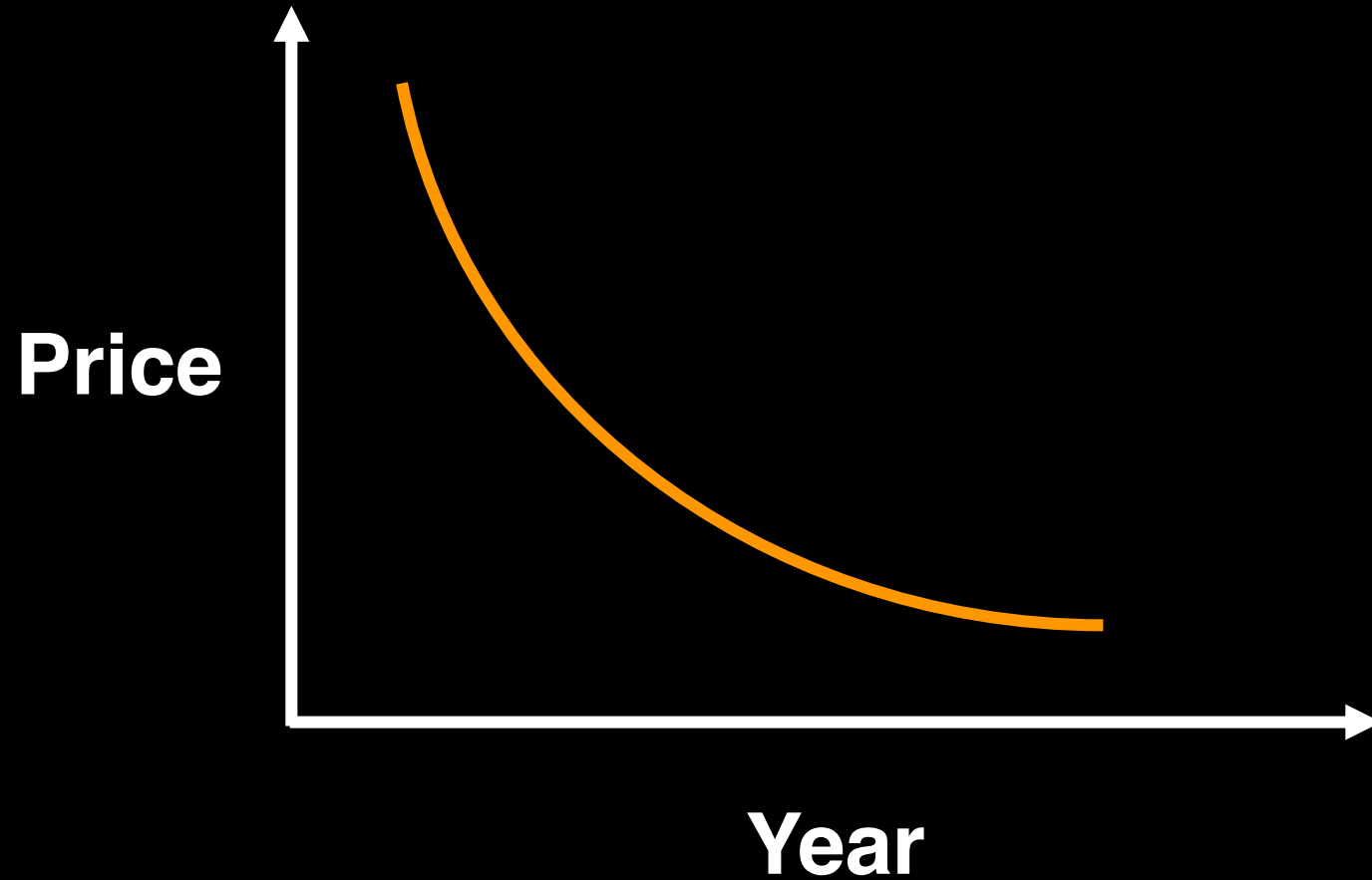


Succinct Trie Indexes Made Practical

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Andrew Pavlo, Kimberly Keeton

DRAM price won't fall forever



Memory-efficient data structures are helpful

Smaller data structures



More data resident in faster memory



Better performance + lower costs

The limit: information-theoretic lower bound (ITLB)

➔ The minimum # of bits required to distinguish any object in a class

$|S| = n$

➔ $\log_2 n$ bits

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In-node trie of degree k

$$= \binom{kn+1}{n} / kn + 1$$

➔ $n(\log_2 k - (k-1)\log_2(k-1))$ bits

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256

9.44n

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256

9.44n

$$\text{FST} = 10n$$

Succinct Data Structures

➔ Use # of bits close to ITLB

Suppose ITLB = L bits

Implicit: $L + O(1)$

Succinct: $L + o(L)$

Compact: $O(L)$ ← FST

Why aren't succinct data structures popular?

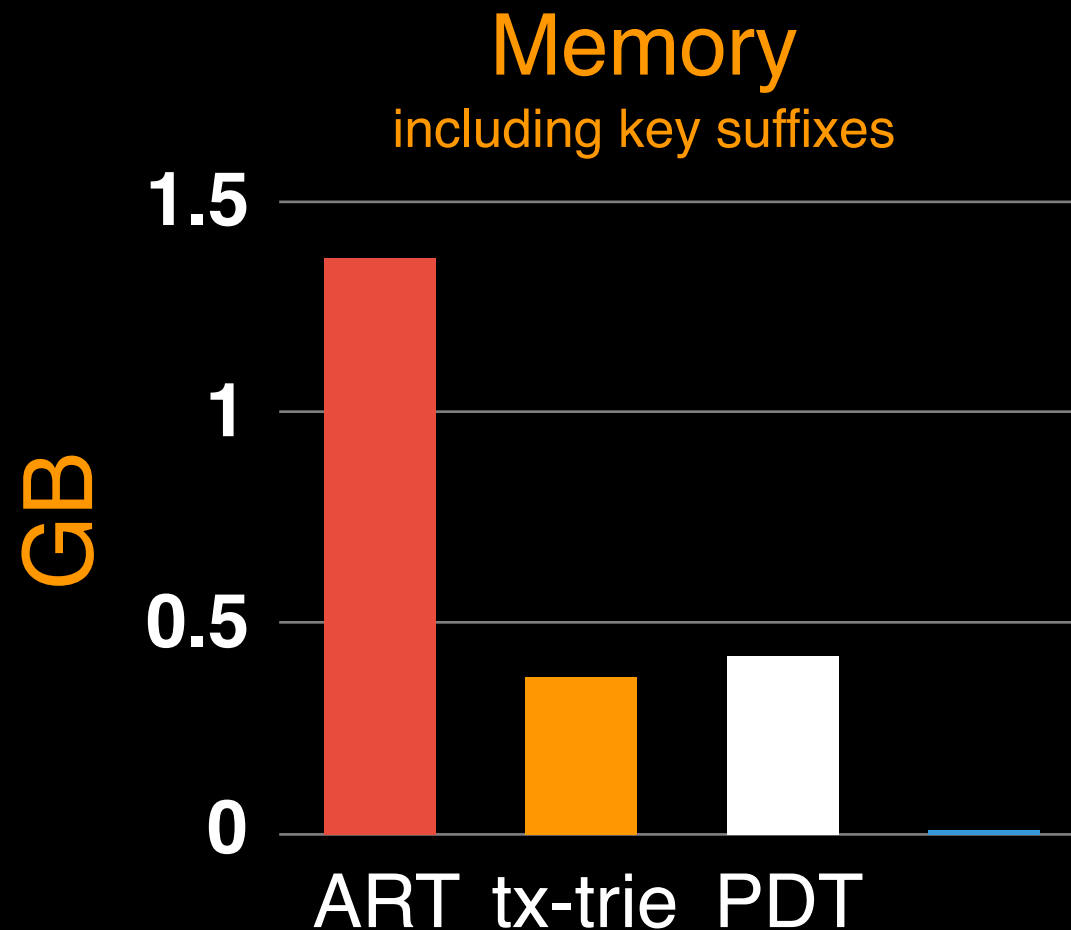
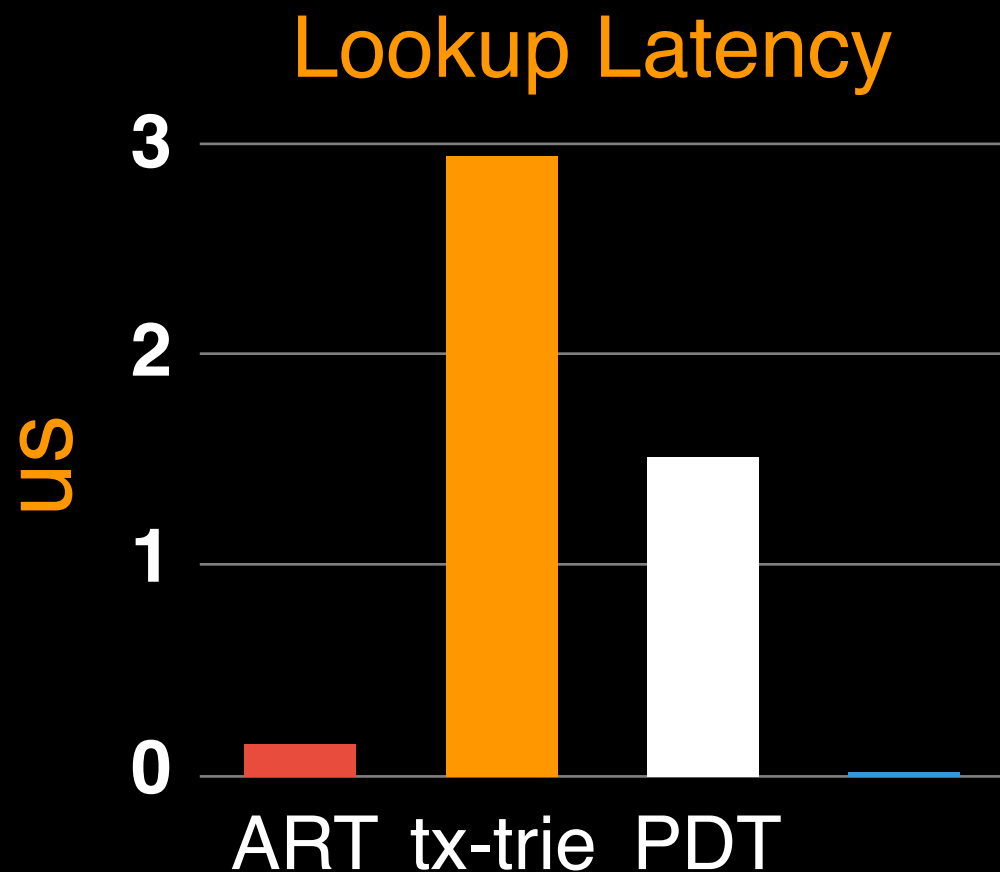
 **Read-only** ➔ **Log-structured design**

 **Slow**

 **Complex**

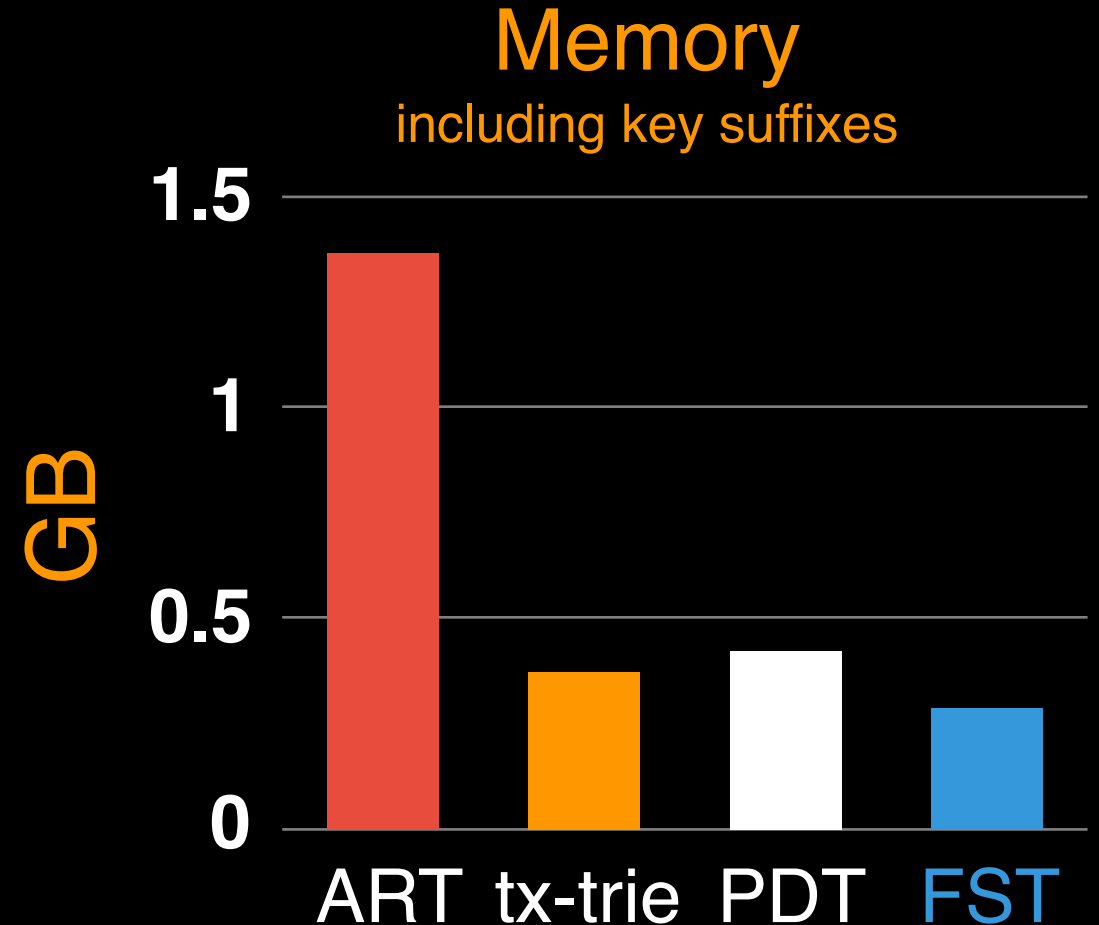
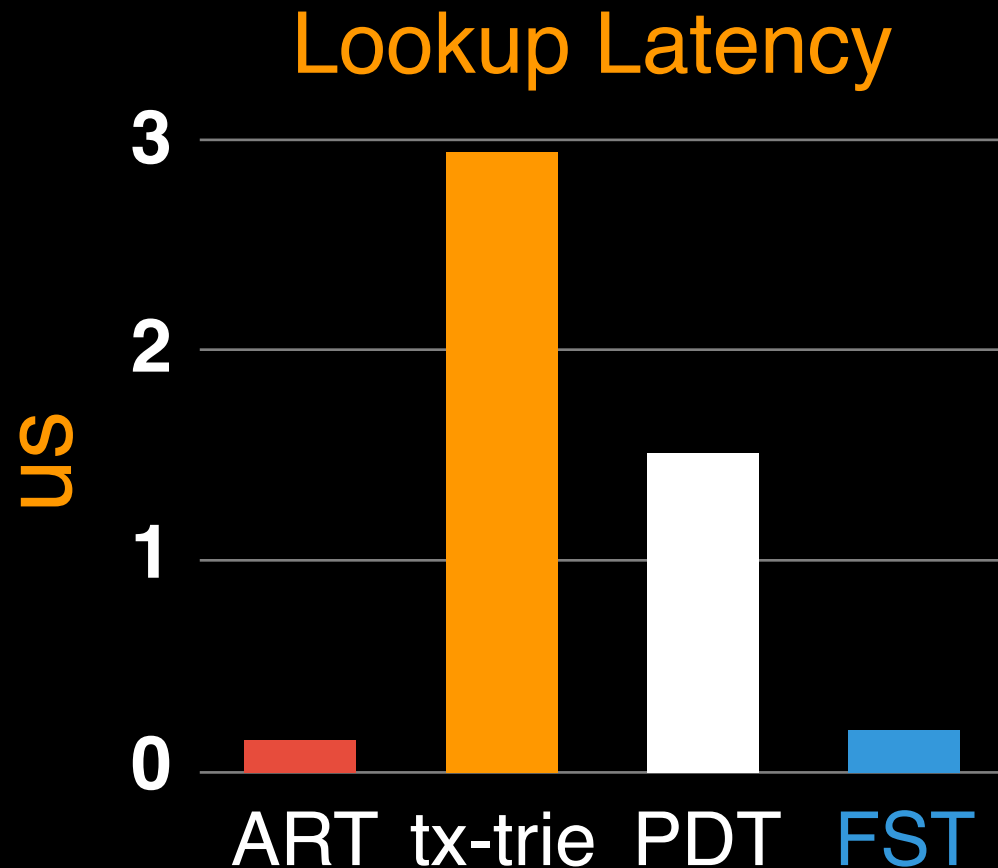
Existing succinct tries are slow

➔ 50M 64-bit integer keys



Fast Succinct Trie (FST) is fast **and** small

➔ 50M 64-bit integer keys

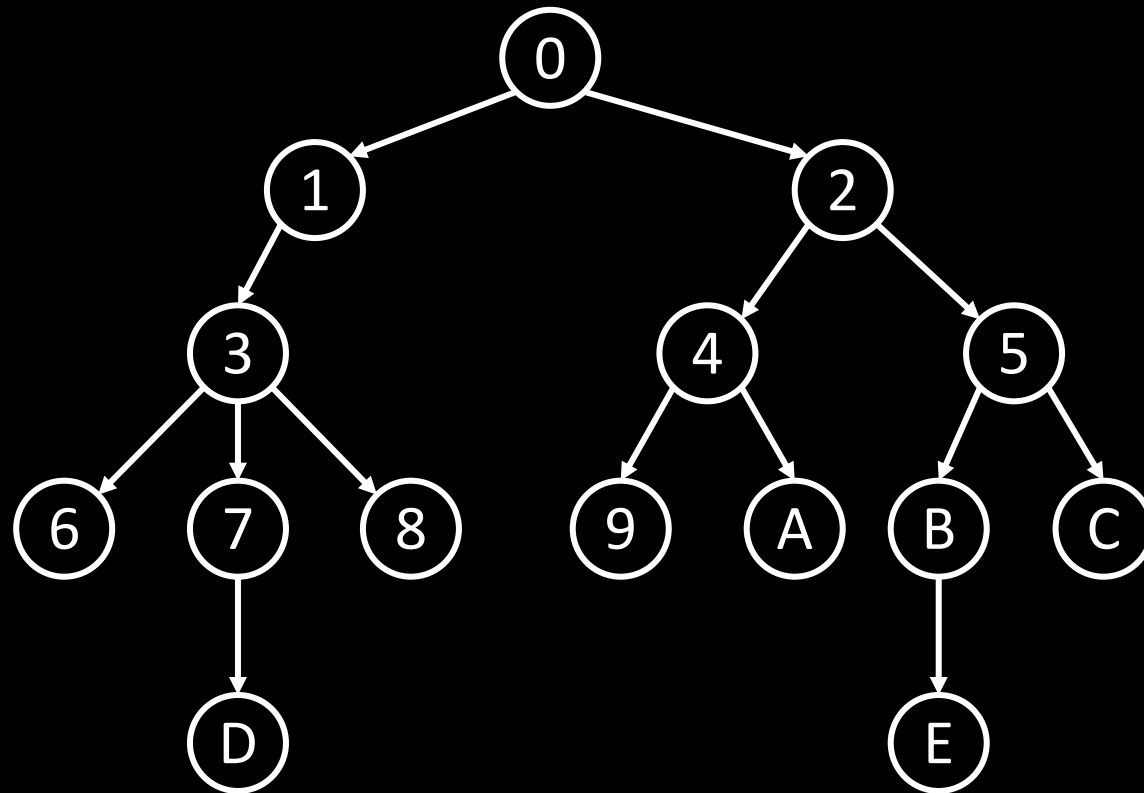


Encoding Mechanism

3 ways to succinctly encode ordinal trees

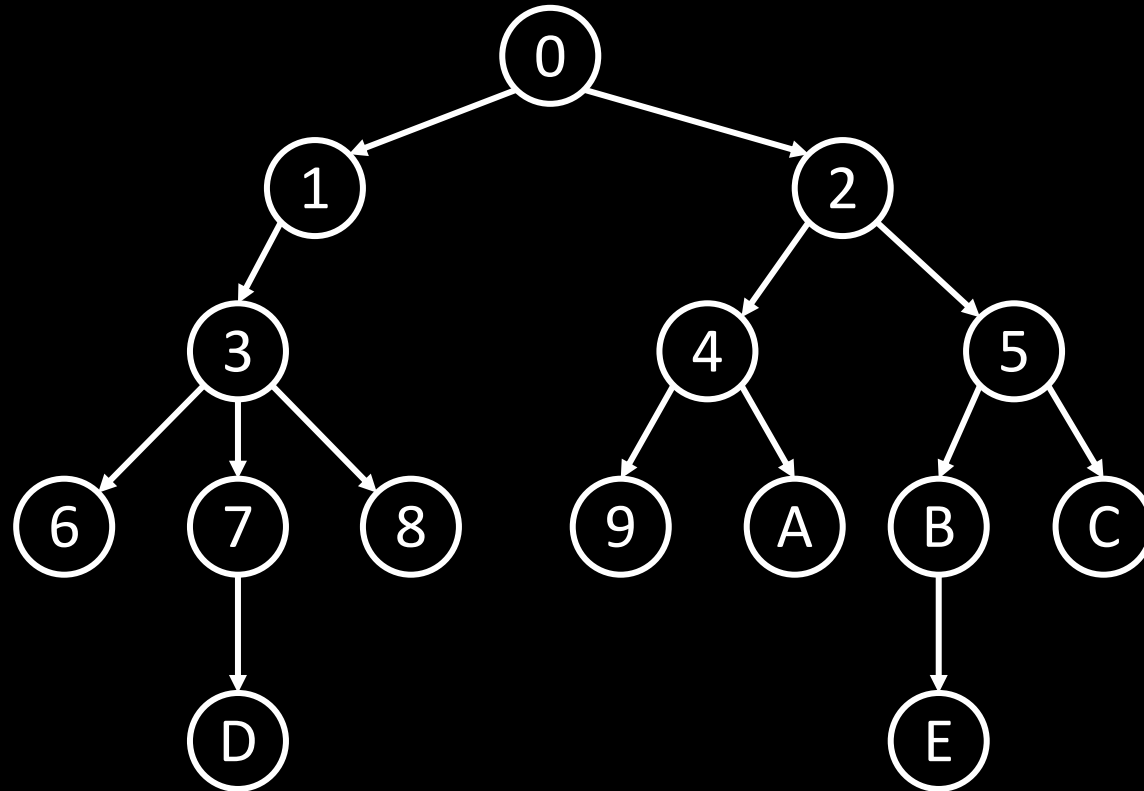


Ordinal tree: a rooted tree where each node can have an arbitrary # of children in order



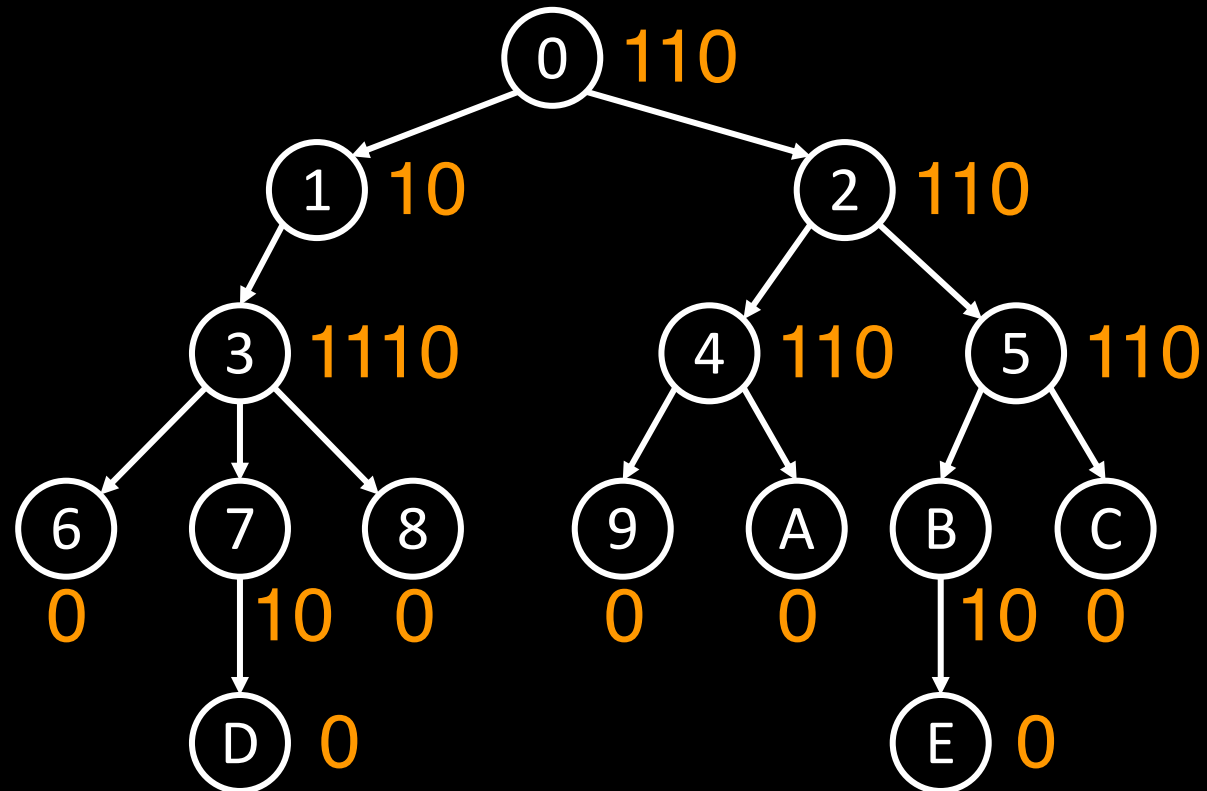
3 ways to succinctly encode ordinal trees

In-node ordinal tree = $C_n = \frac{1}{n+1} \binom{2n}{n} \rightarrow \approx 2n \text{ bits}$



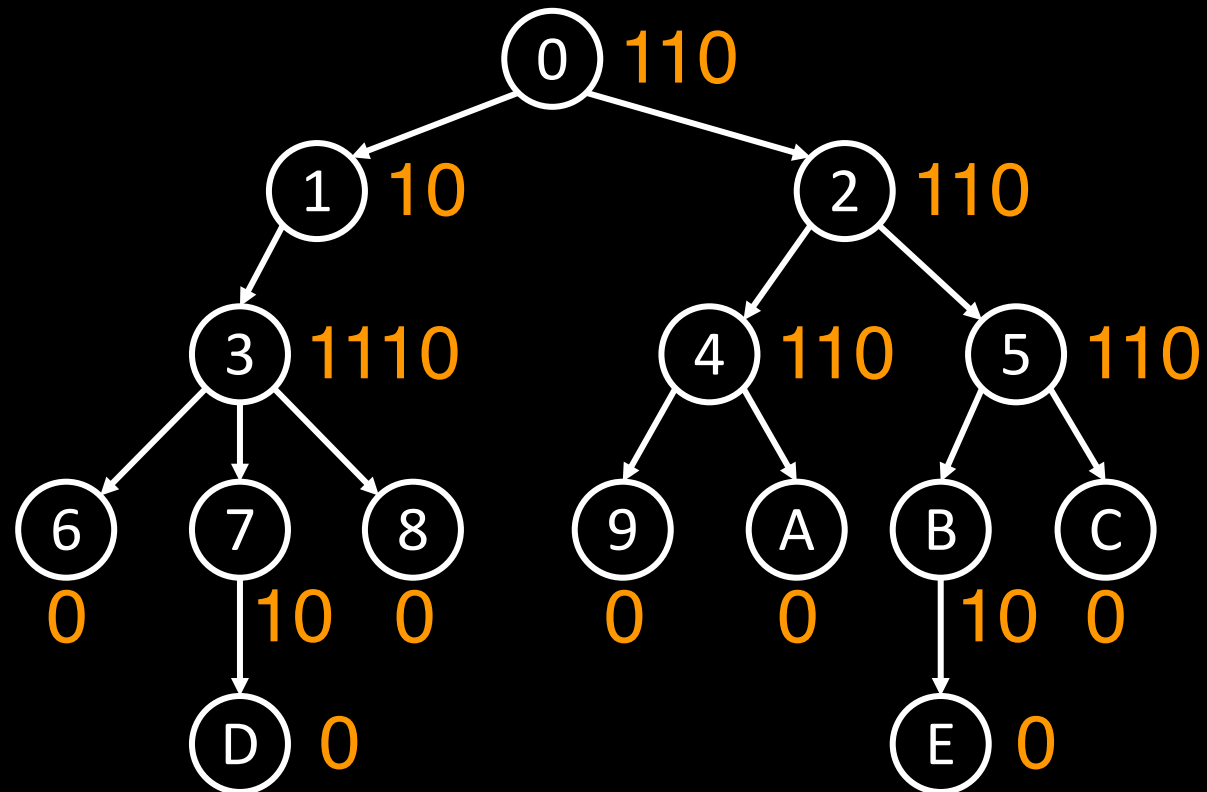
3 ways to succinctly encode ordinal trees

① LOUDS: level-ordered unary degree sequence



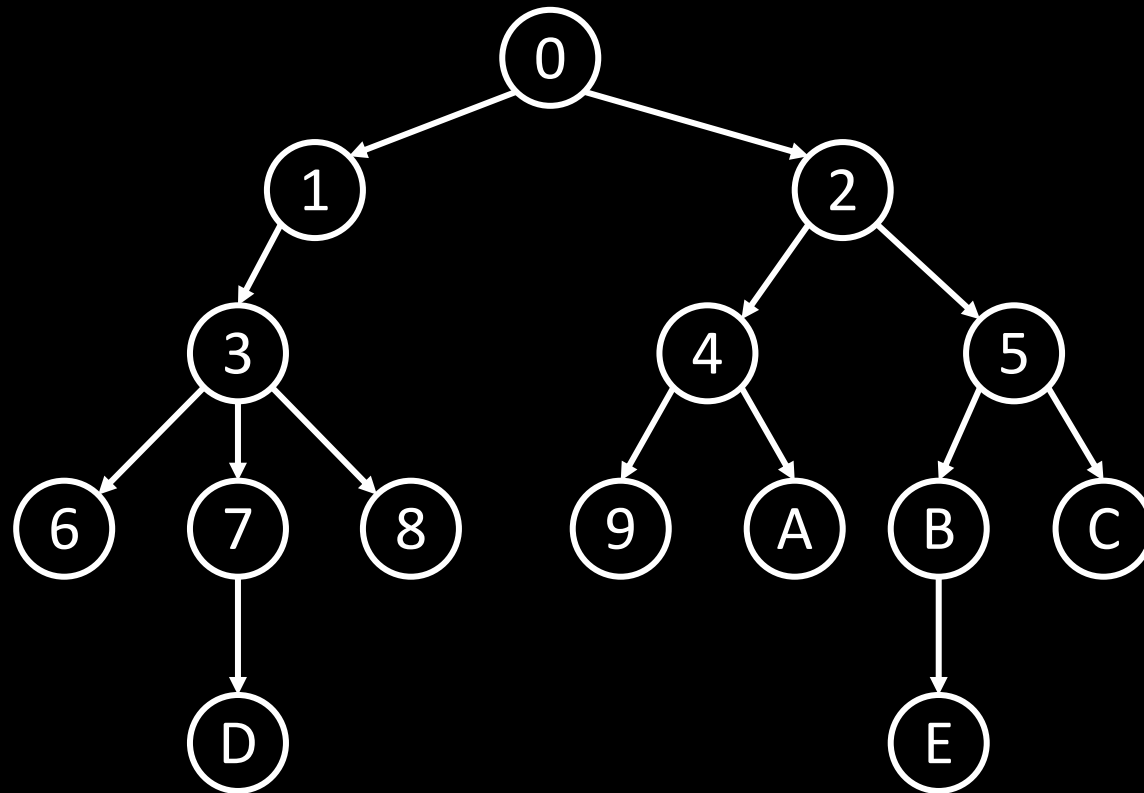
3 ways to succinctly encode ordinal trees

① **LOUDS:** 110 10 110 1110 110 110 0 10 0 0 0 10 0 0 0



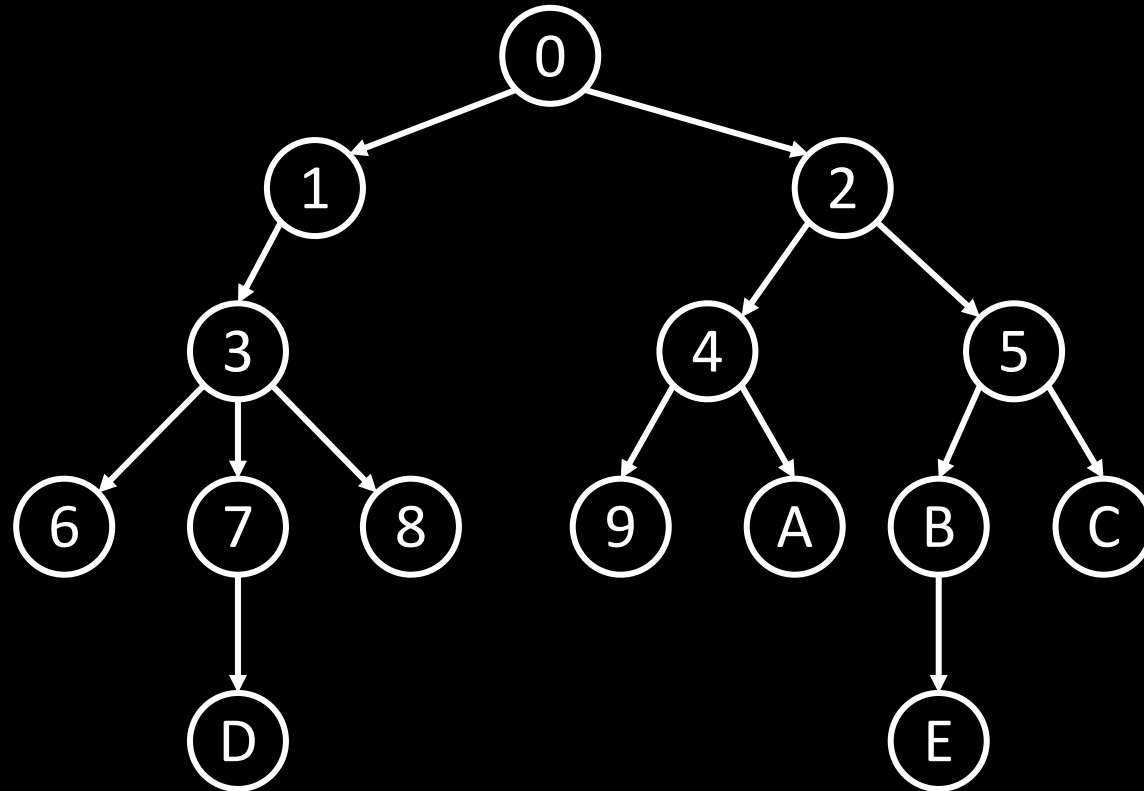
3 ways to succinctly encode ordinal trees

② BP: balanced parenthesis

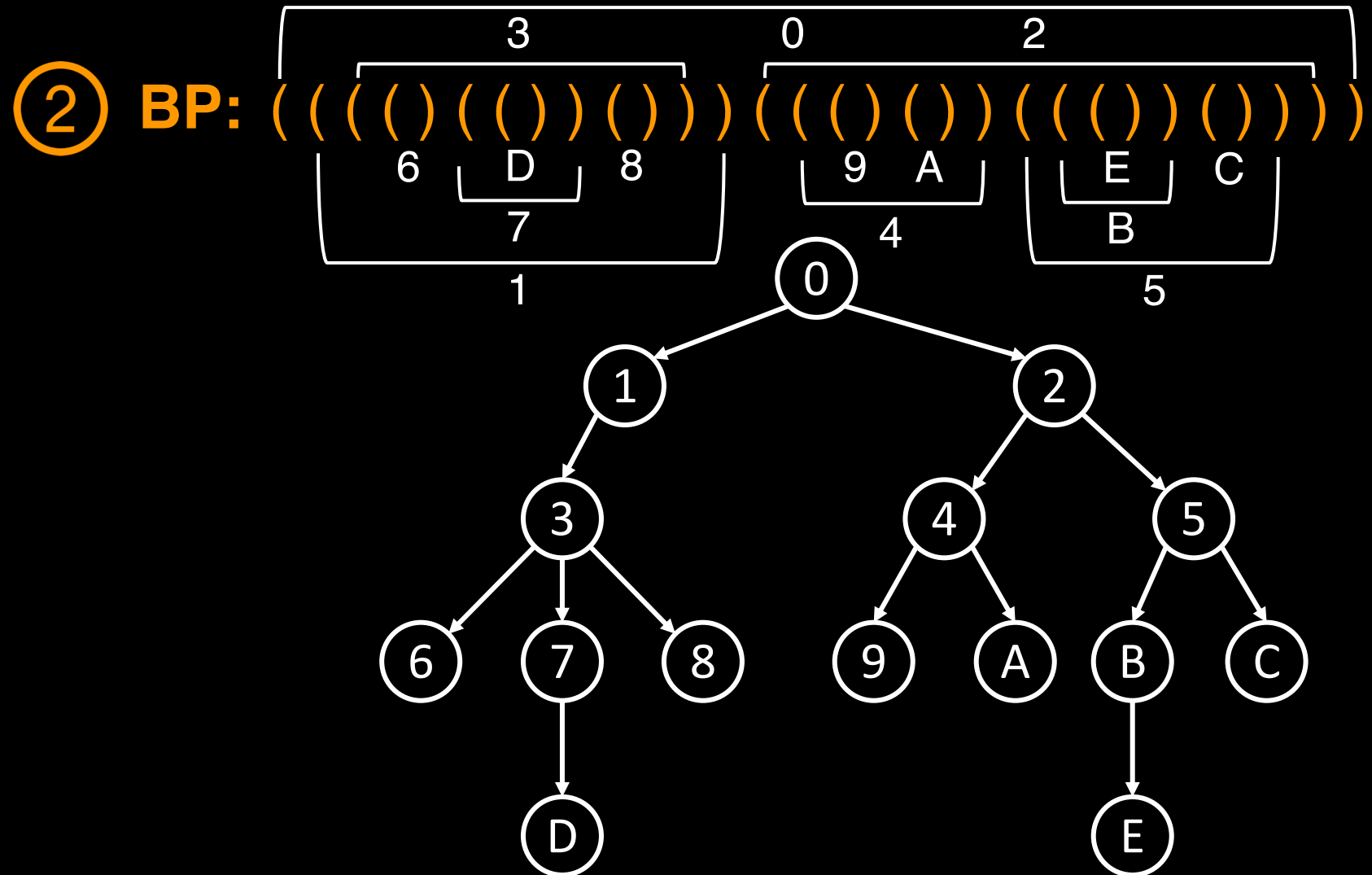


3 ways to succinctly encode ordinal trees

② **BP:** (((((()((()())())((()())((()())()))))

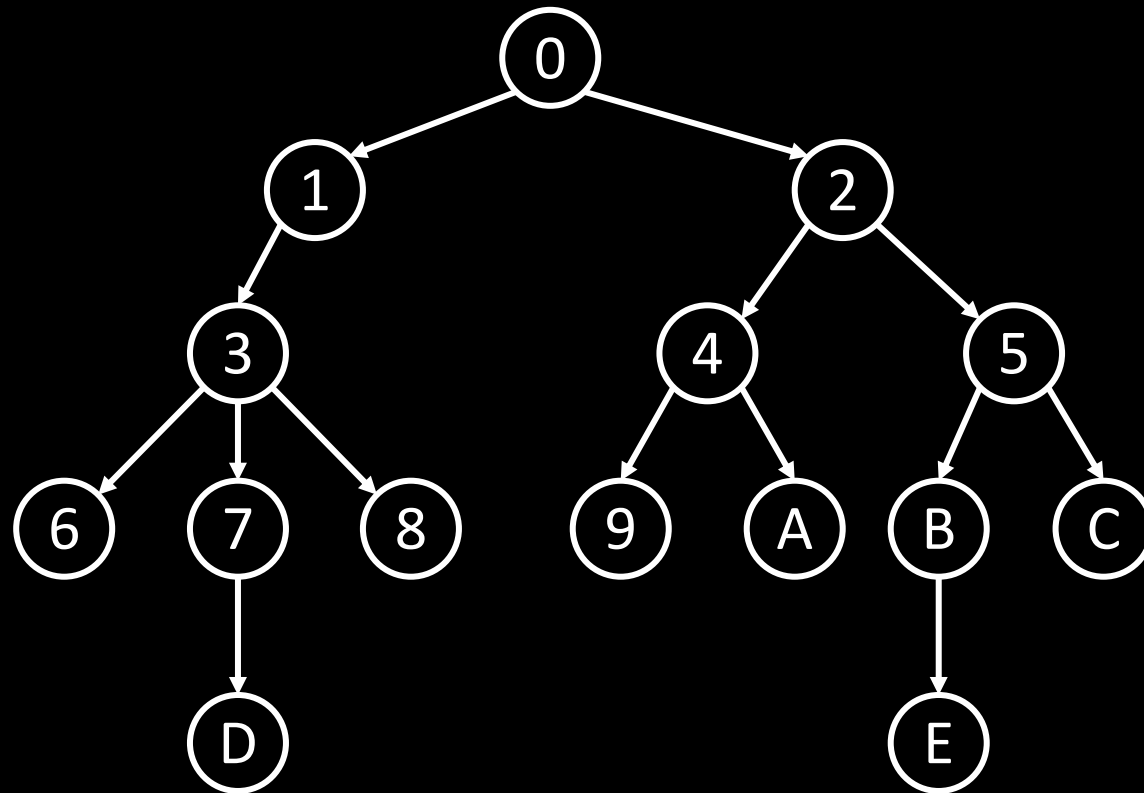


3 ways to succinctly encode ordinal trees



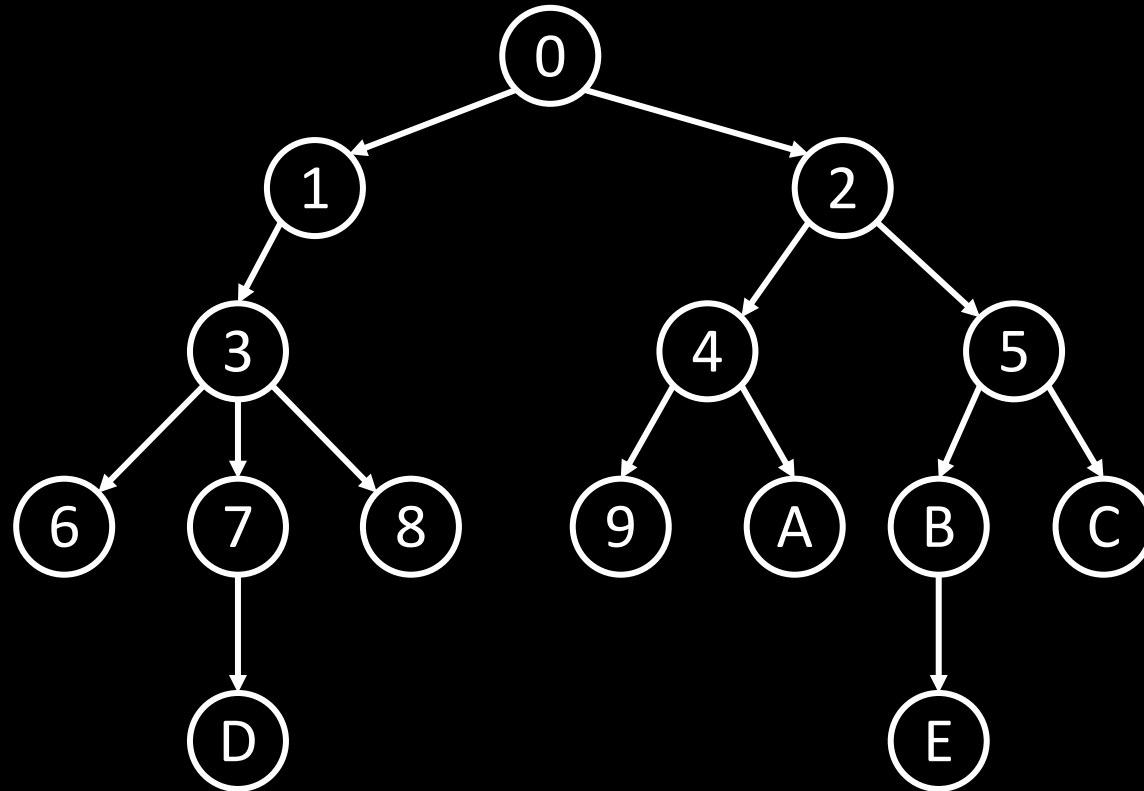
3 ways to succinctly encode ordinal trees

③ **DFUDS**: depth-first unary degree sequence



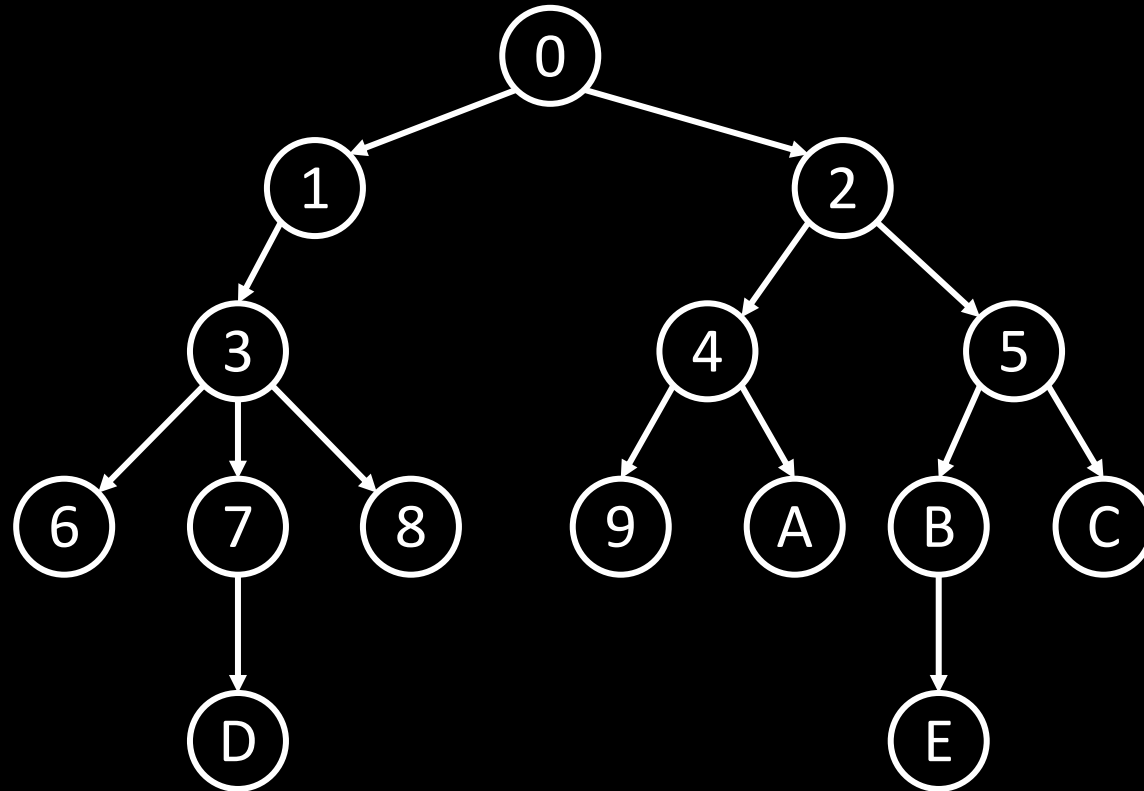
3 ways to succinctly encode ordinal trees

③ **DFUDS:** (() () ((()) ())) (() (())) (() ()))

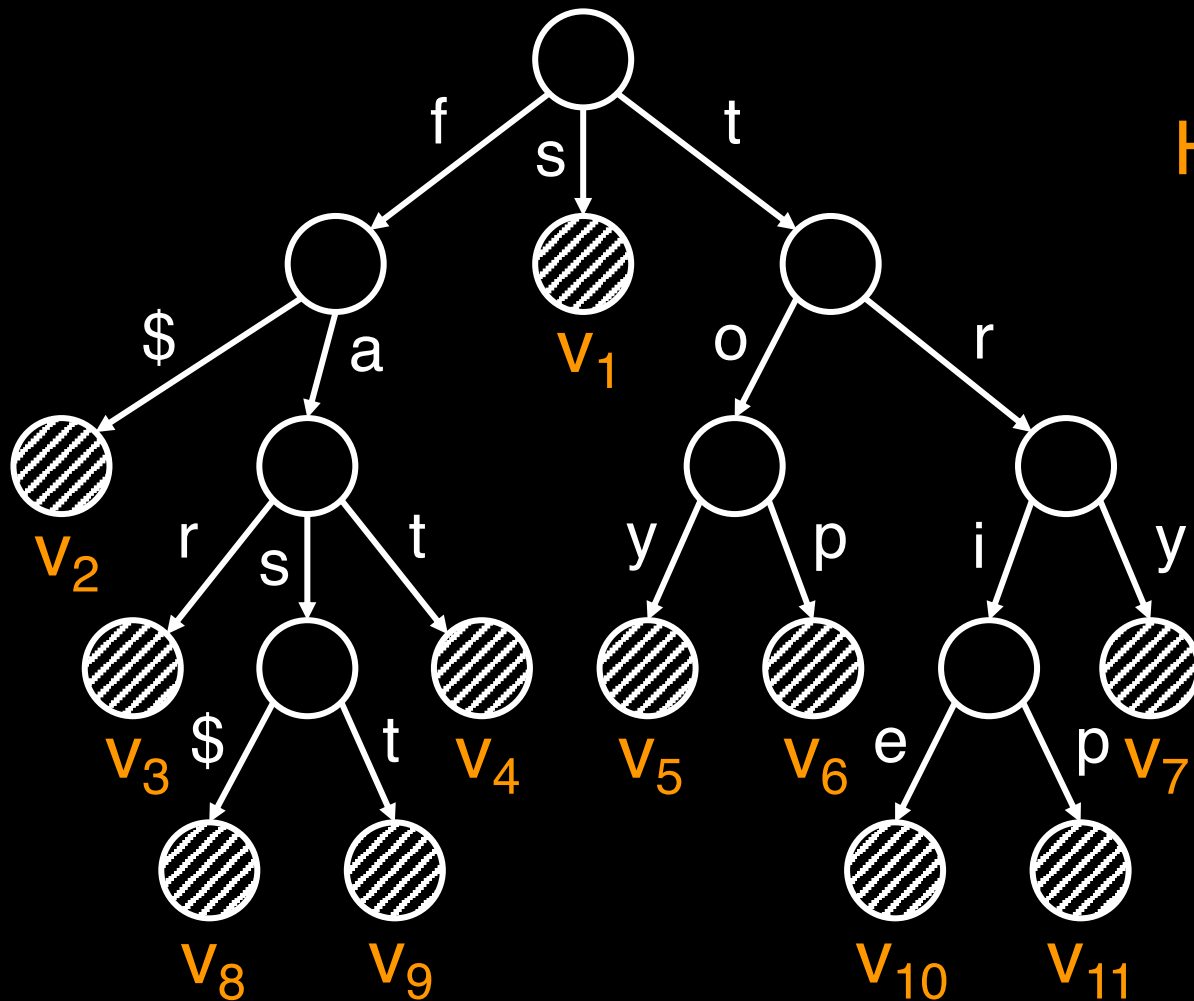


3 ways to succinctly encode ordinal trees

③ **DFUDS:** (() () ((()) ())) (() (())) (() ()))
0 1 3 6 7 D 8 2 4 9 A 5 B E C



LOUDS-Sparse: succinctly encode tries



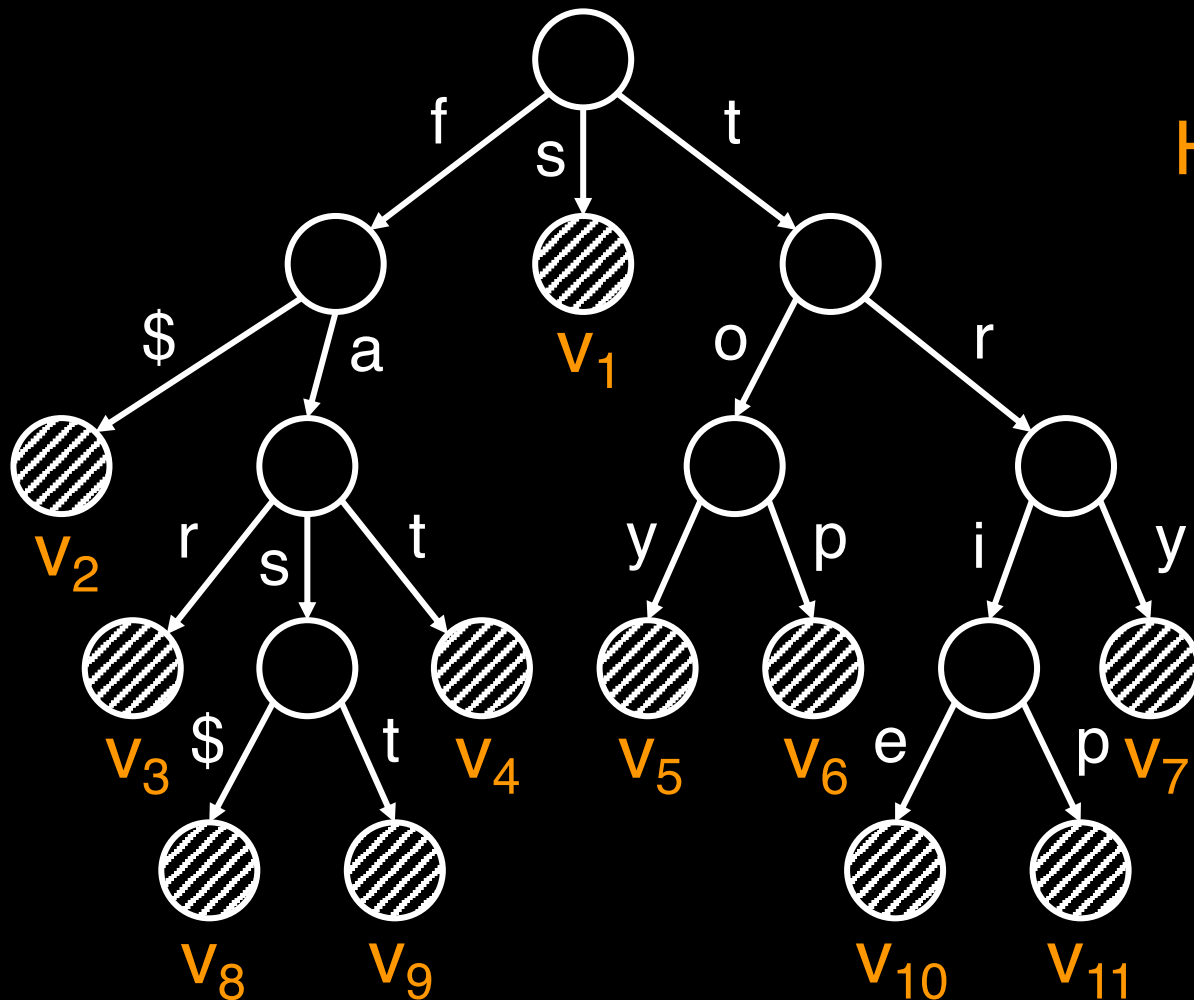
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HC: 1 0 1 0 1 1 1 0 1 0 0 0 1 0 0 0 0 0

S: 1 0 0 1 0 1 0 1 0 0 1 0 1 0 1 0 1 0

V: v₁ v₂ v₃ v₄v₅v₆ v₇v₈v₉v₁₀v₁₁

LOUDS-Sparse: succinctly encode tries



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V: v_1 v_2 v_3 v_4 v_5 v_6 v_7 v_8 v_9 v_{10} v_{11}

Why LOUDS?

1. Fast tree nav.
2. Good label locality
3. Easy implementation

Rank & select on bit-vectors

bv: $\overset{0}{1}$ $\overset{5}{0}$ $\overset{10}{0}$ $\overset{15}{1}$ 0 1 0 1 0 1 0 1 0 1 0 1 0

$\text{rank}(\text{bv}, i) =$ # of 1's in bv up to position i

$\text{select}(\text{bv}, i) =$ position of the i th 1 in bv

Examples: $\text{rank}(\text{bv}, 7) = 4$

$\text{select}(\text{bv}, 7) = 14$

Compute rank & select in constant time



The classic algorithm for computing rank

bv

Compute rank & select in constant time



The classic algorithm for computing rank

super block = $\lg^2 n$ bits

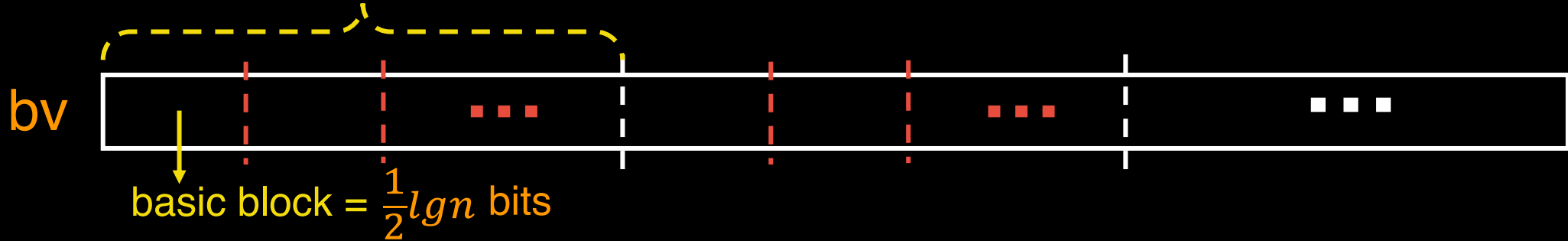


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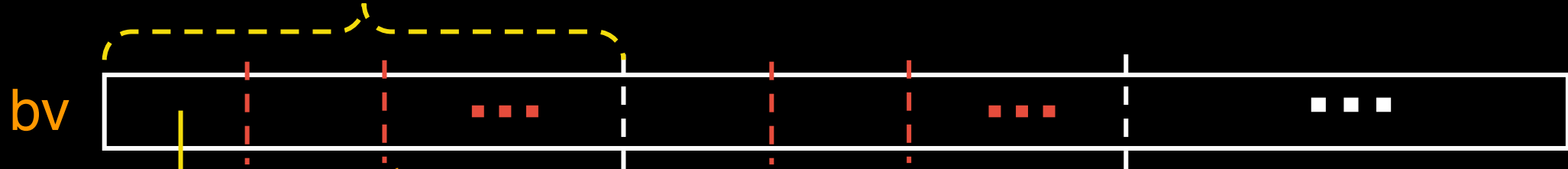


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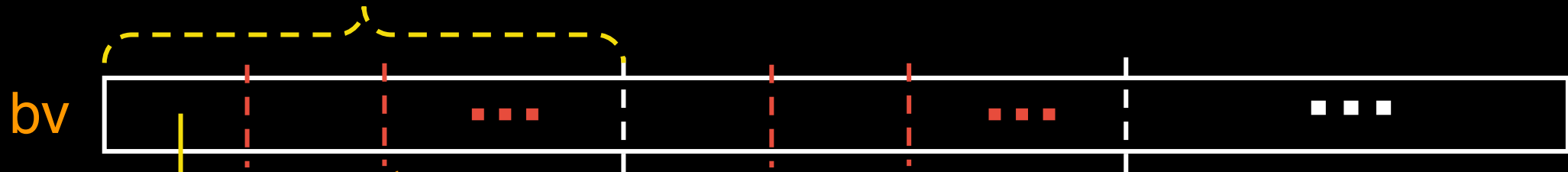
basic block = $\frac{1}{2}\lg n$ bits

per super block
cumulative rank

Compute rank & select in constant time

➔ The classic algorithm for computing rank

super block = $\lg^2 n$ bits



basic block = $\frac{1}{2}\lg n$ bits

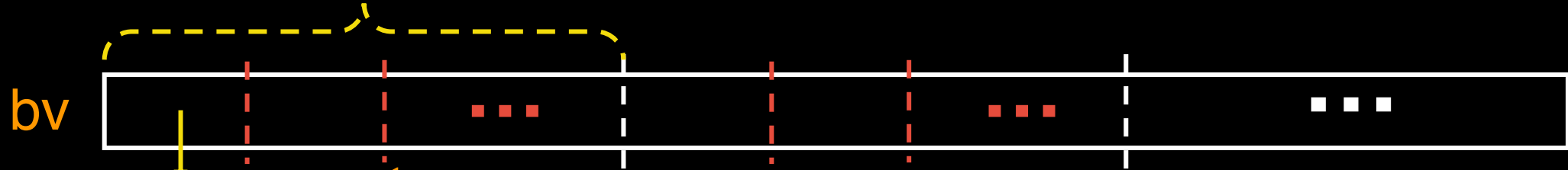
per super block
cumulative rank

per basic block
rank in super block

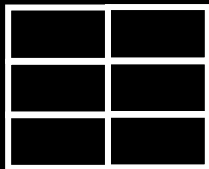
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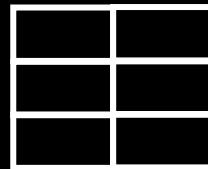
super block = $\lg^2 n$ bits



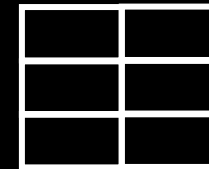
per super block
cumulative rank



per basic block
rank in super block



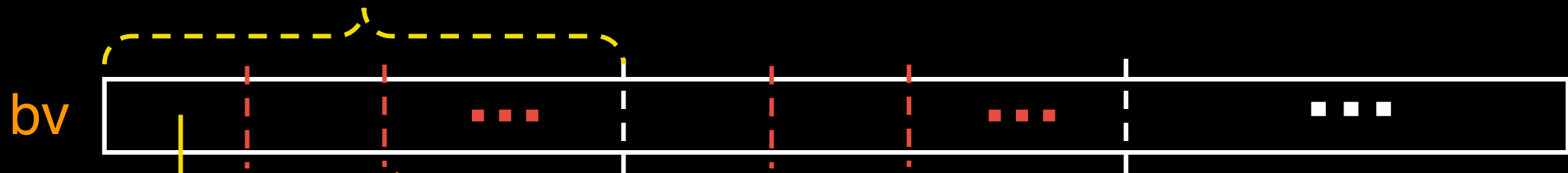
within super block
all possible queries



Compute rank & select in constant time

➔ The classic algorithm for computing rank

super block = $lg^2 n$ bits

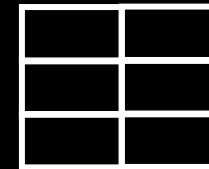
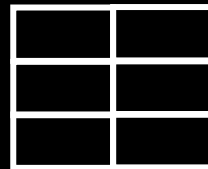
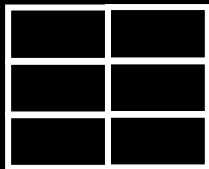


per super block
cumulative rank

per basic block
rank in super block

within super block
all possible queries

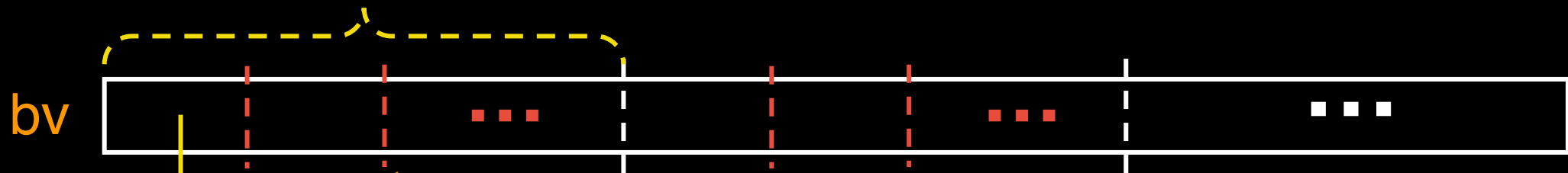
$$\left\lfloor \frac{i}{lg^2 n} \right\rfloor \rightarrow$$



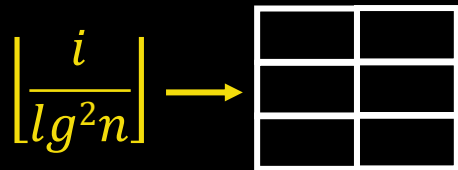
Compute rank & select in constant time

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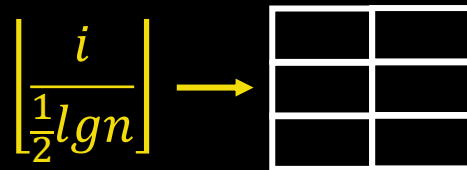
super block = $lg^2 n$ bits



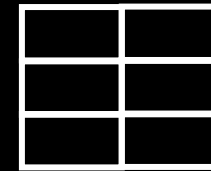
per super block
cumulative rank



per basic block
rank in super block



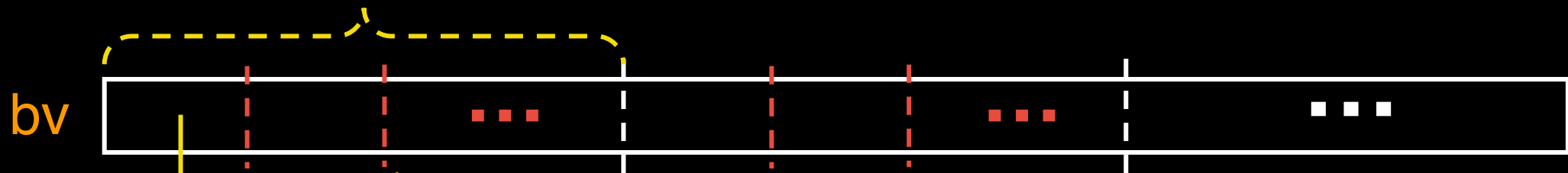
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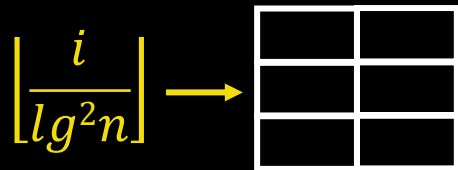
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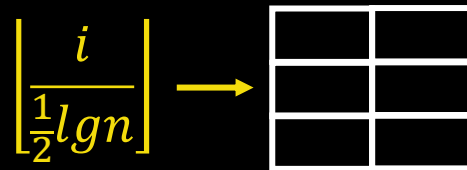
super block = lg^2n bits



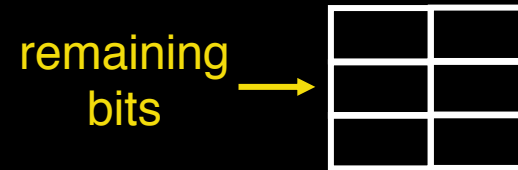
per super block
cumulative rank



per basic block
rank in super block



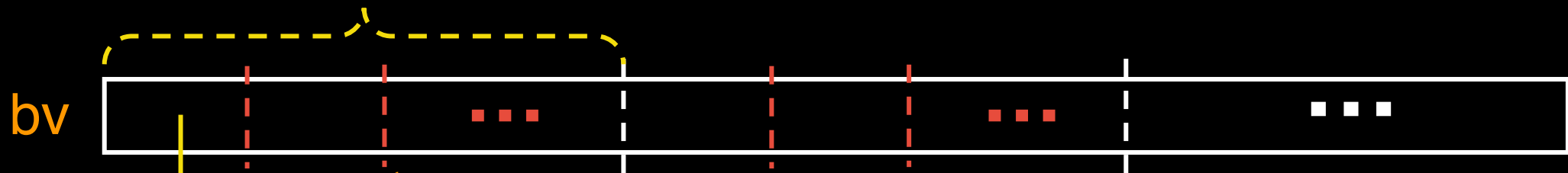
within super block
all possible queries



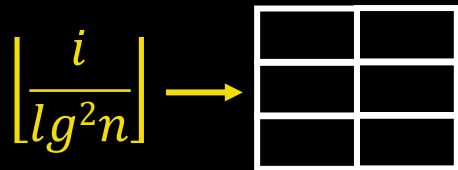
Compute rank & select in constant time

➔ The classic algorithm for computing rank

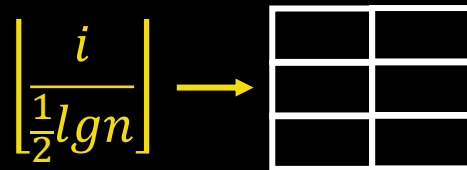
super block = lg^2n bits



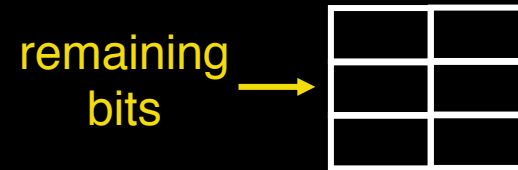
per super block
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per basic block
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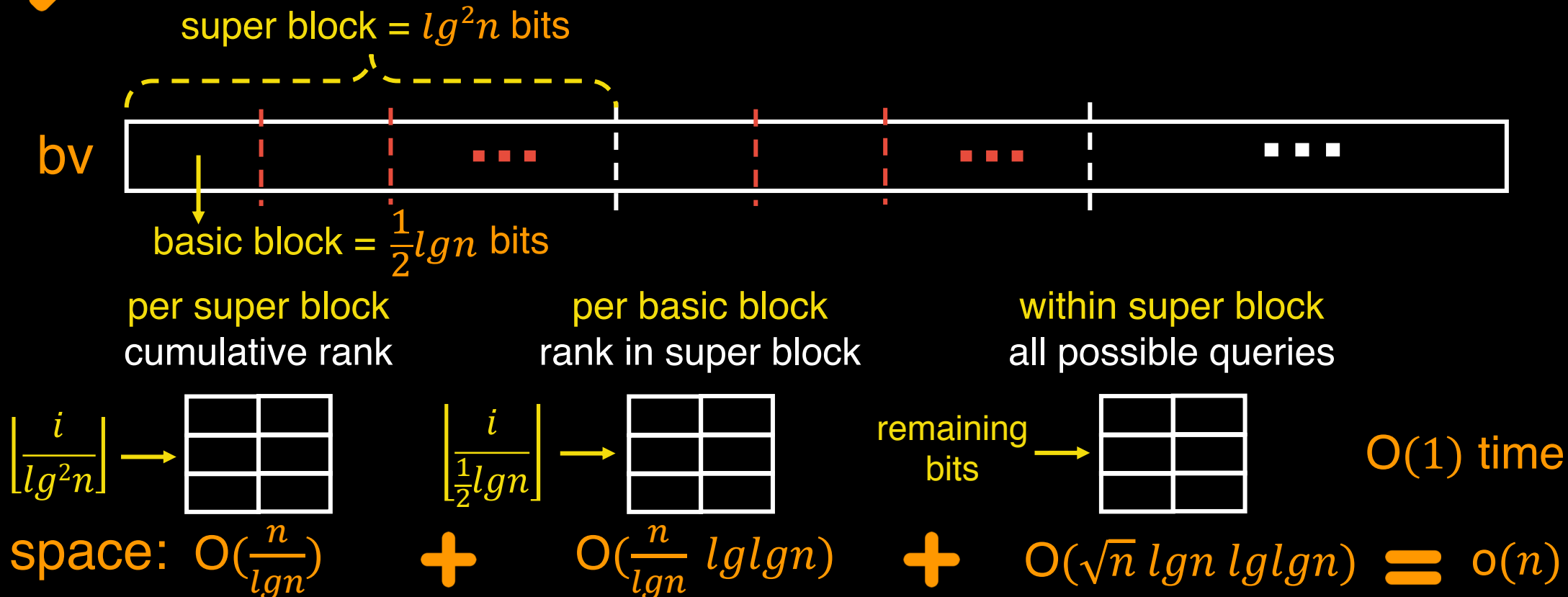
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all possible queries



$O(1)$ time

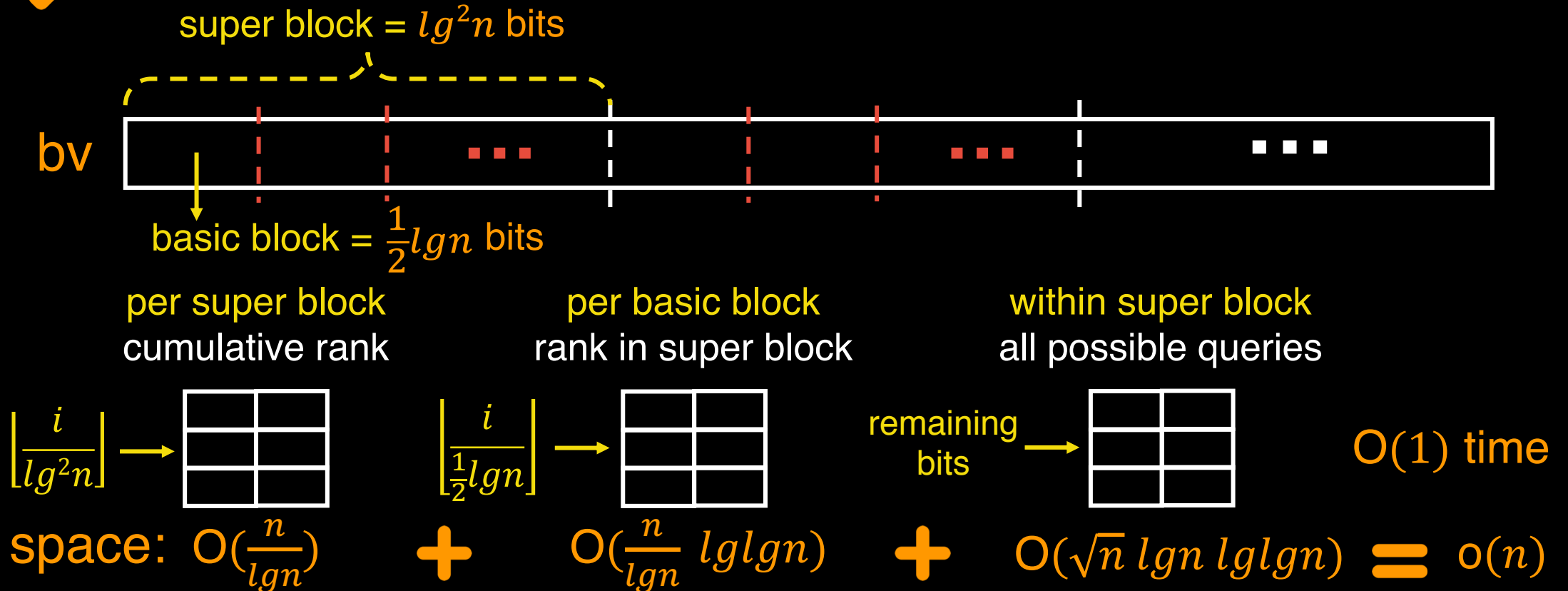
Compute rank & select in constant time

➔ The classic algorithm for computing rank



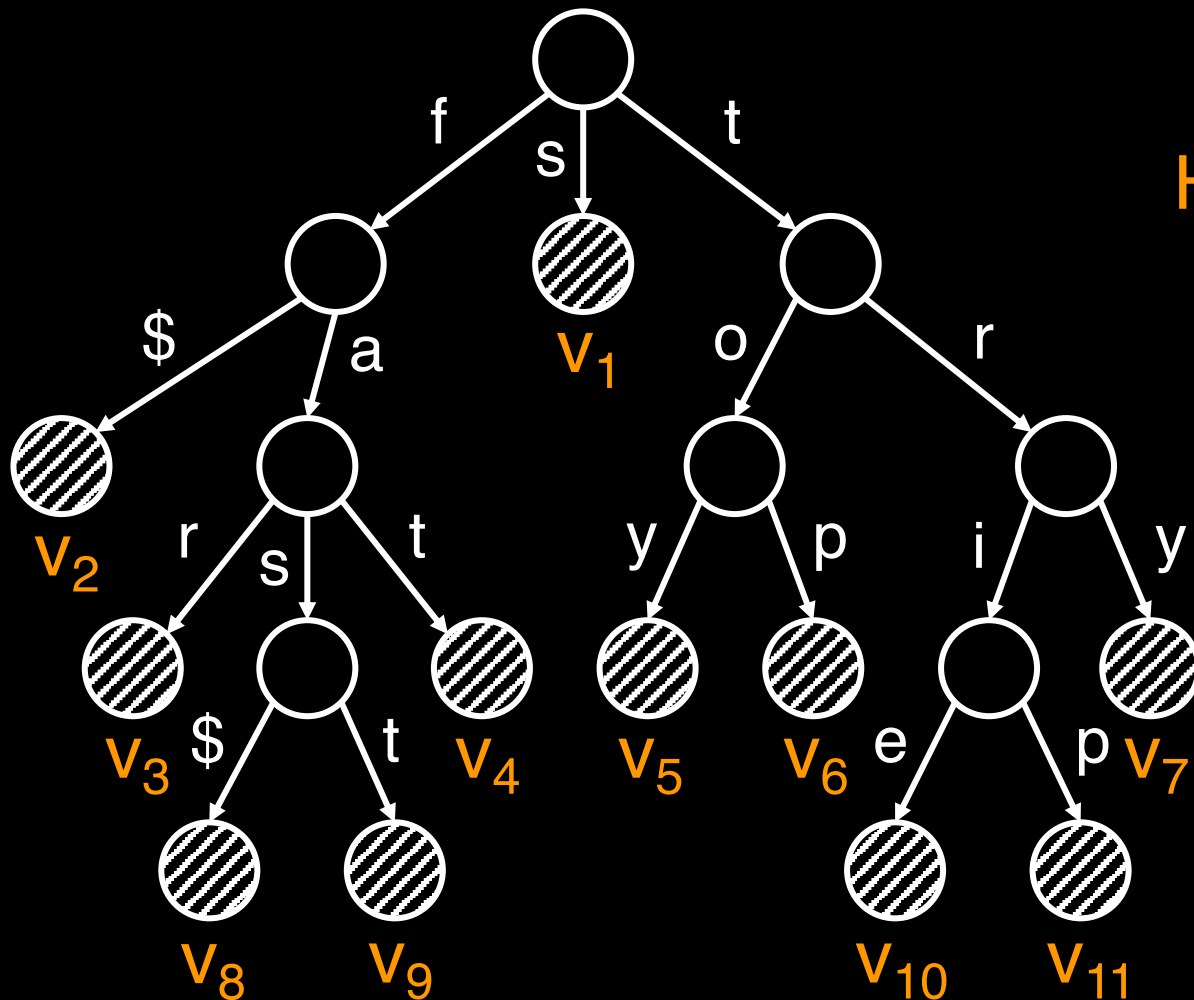
Compute rank & select in constant time

➔ The classic algorithm for computing rank



➔ Select is similar but trickier, often based on rank structures

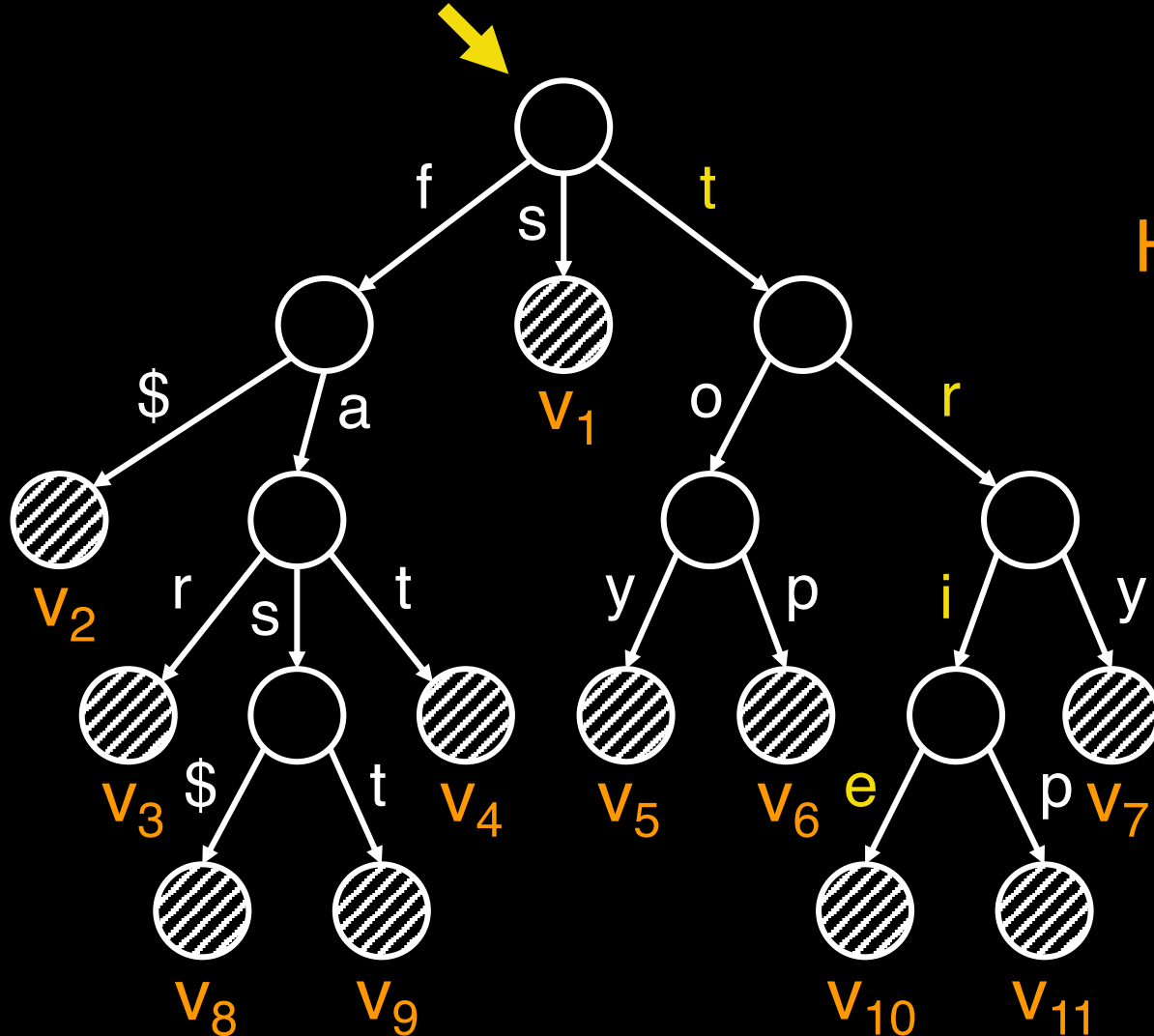
Tree navigation relies on rank & select



L: f s t \$ a o r r s t y p i y \$ t e p
HC: 1 0 1 0 1 1 1 0 1 0 0 0 1 0 0 0 0 0
S: 1 0 0 1 0 1 0 1 0 0 1 0 1 0 1 0 1 0
V: v_1 v_2 v_3 v_4 v_5 v_6 v_7 v_8 v_9 v_{10} v_{11}

$\text{child}(i) = \text{select}(S, \text{rank}(\text{HC}, i) + 1)$
 $\text{parent}(i) = \text{select}(S, \text{rank}(S, i) - 1)$
 $\text{value}(i) = i - \text{rank}(\text{HC}, i)$

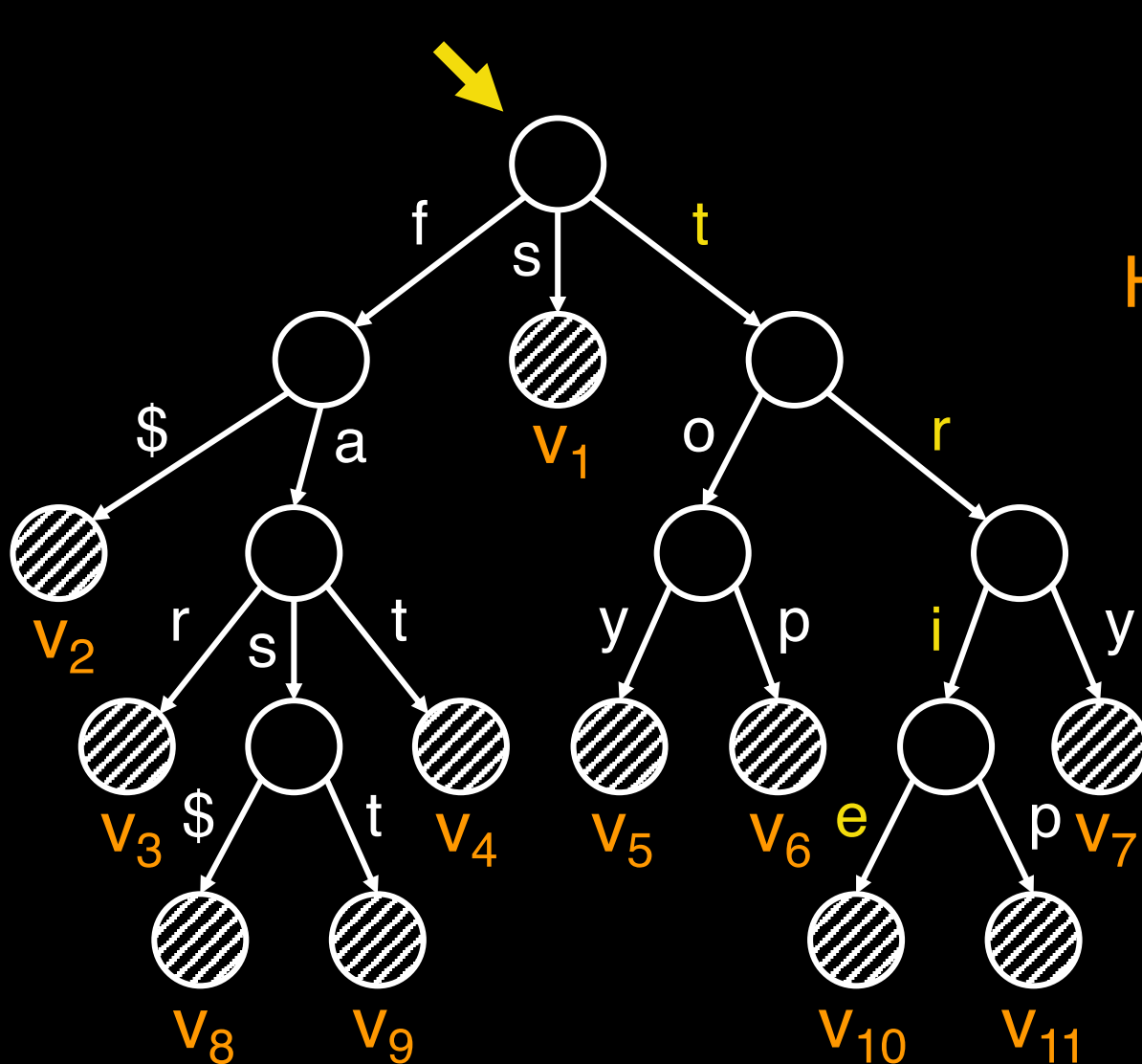
Tree navigation relies on rank & select



↓
0 5 10 15
L: f s t \$ a o r r s t y p i y \$ t e p
HC: 1 0 1 0 1 1 1 0 1 0 0 0 1 0 0 0 0 0
S: 1 0 0 1 0 1 0 1 0 0 1 0 1 0 1 0 1 0
V: v₁ v₂ v₃ v₄ v₅ v₆ v₇ v₈ v₉ v₁₀ v₁₁

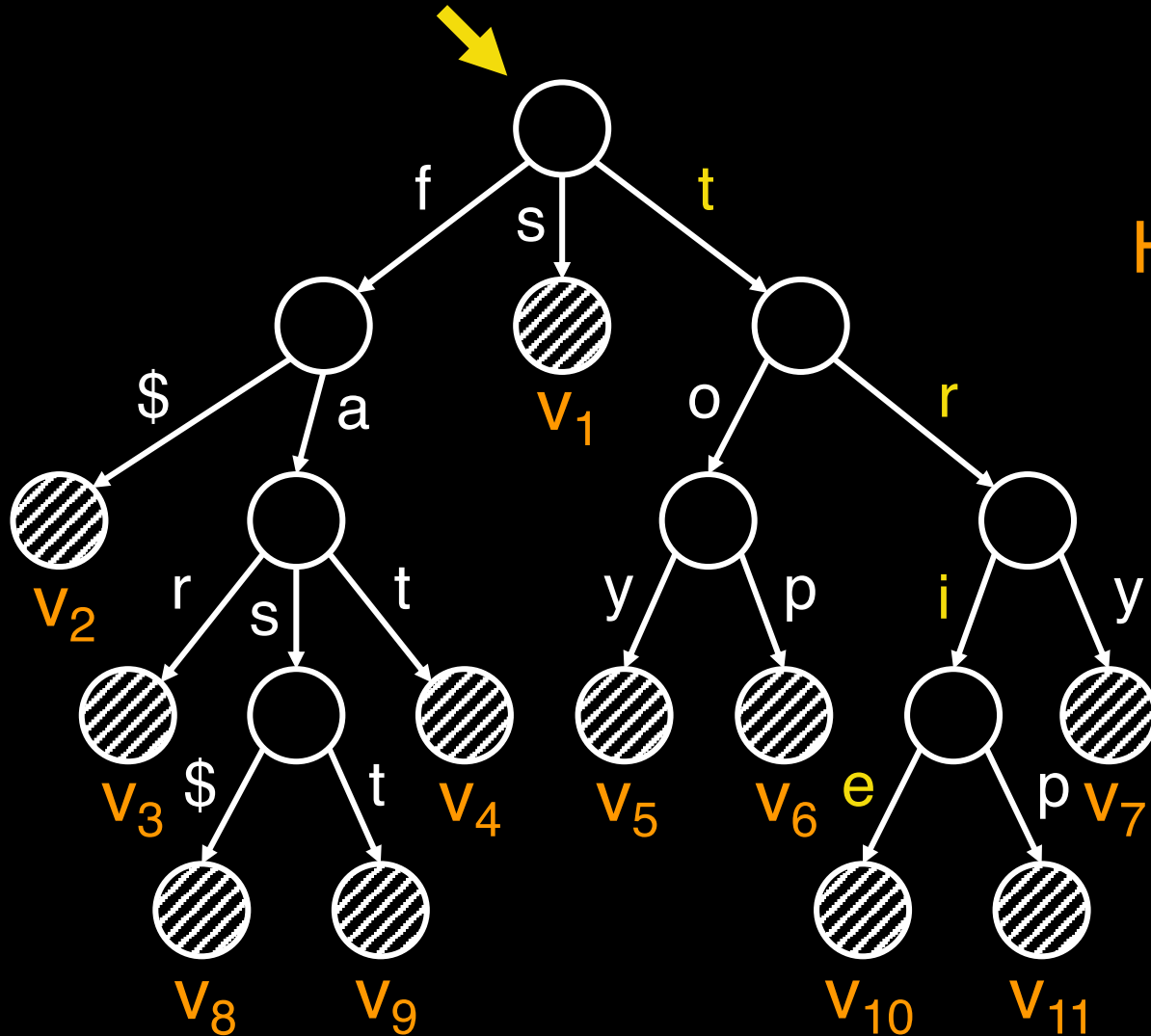
child(i) = select(S, rank(HC, i)+1)
parent(i) = select(S, rank(S, i)-1)
value(i) = i - rank(HC, i)

Tree navigation relies on rank & select



↓
0 5 10 15
L: f s t \$ a o r r s t y p i y \$ t e p
HC: 1 0 1 0 1 1 1 0 1 0 0 0 1 0 0 0 0 0
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Tree navigation relies on rank & select

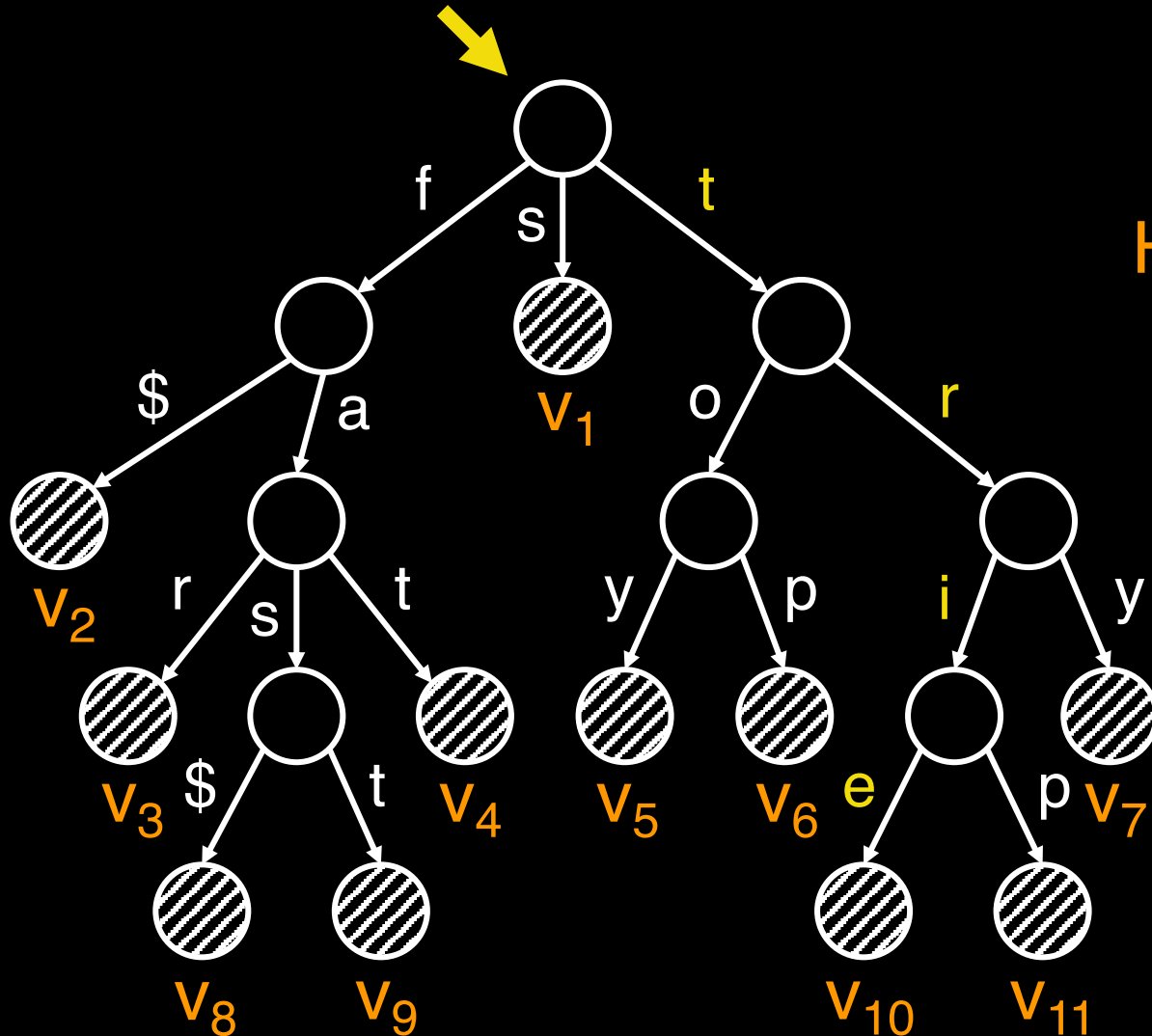


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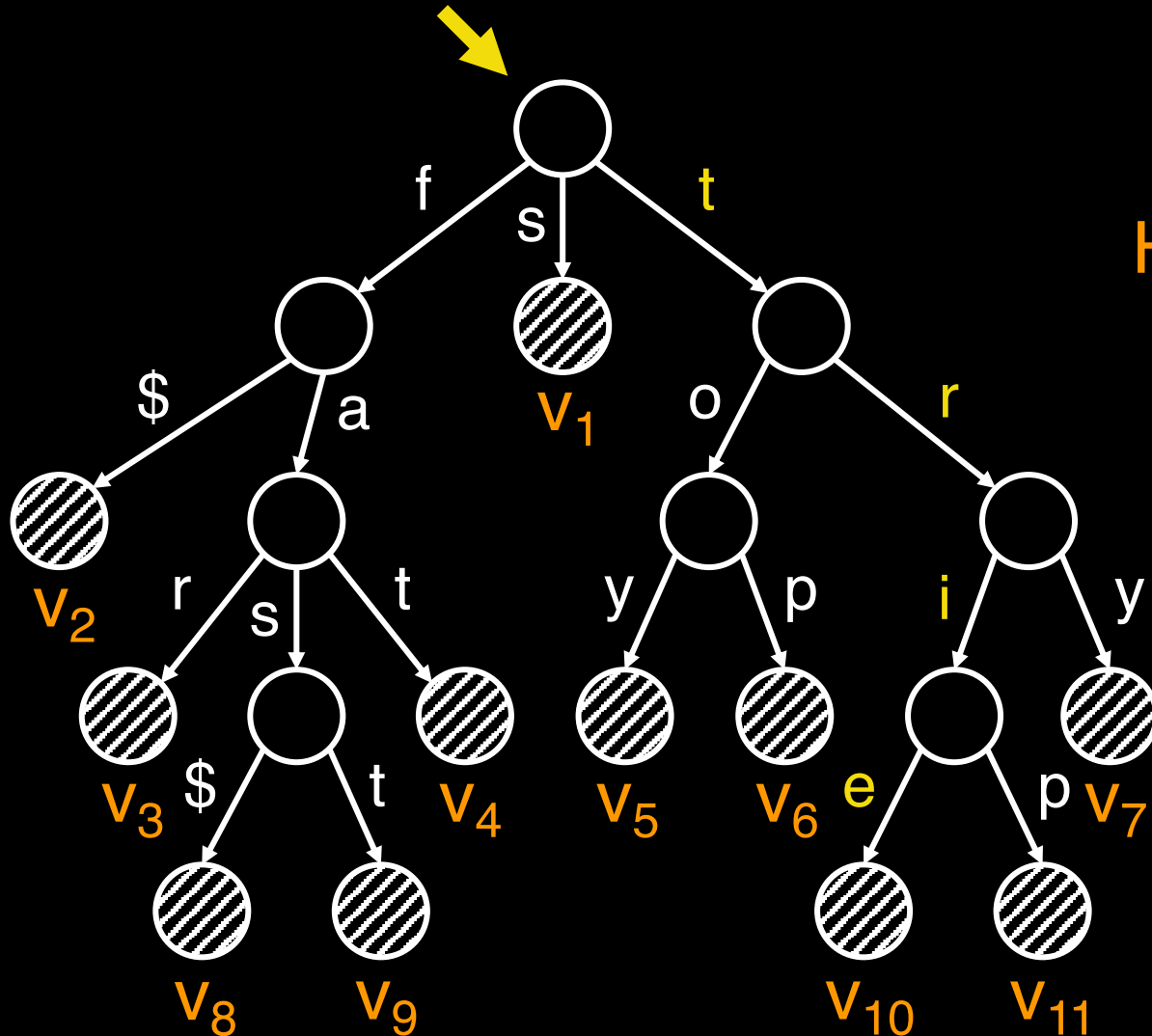


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Tree navigation relies on rank & select

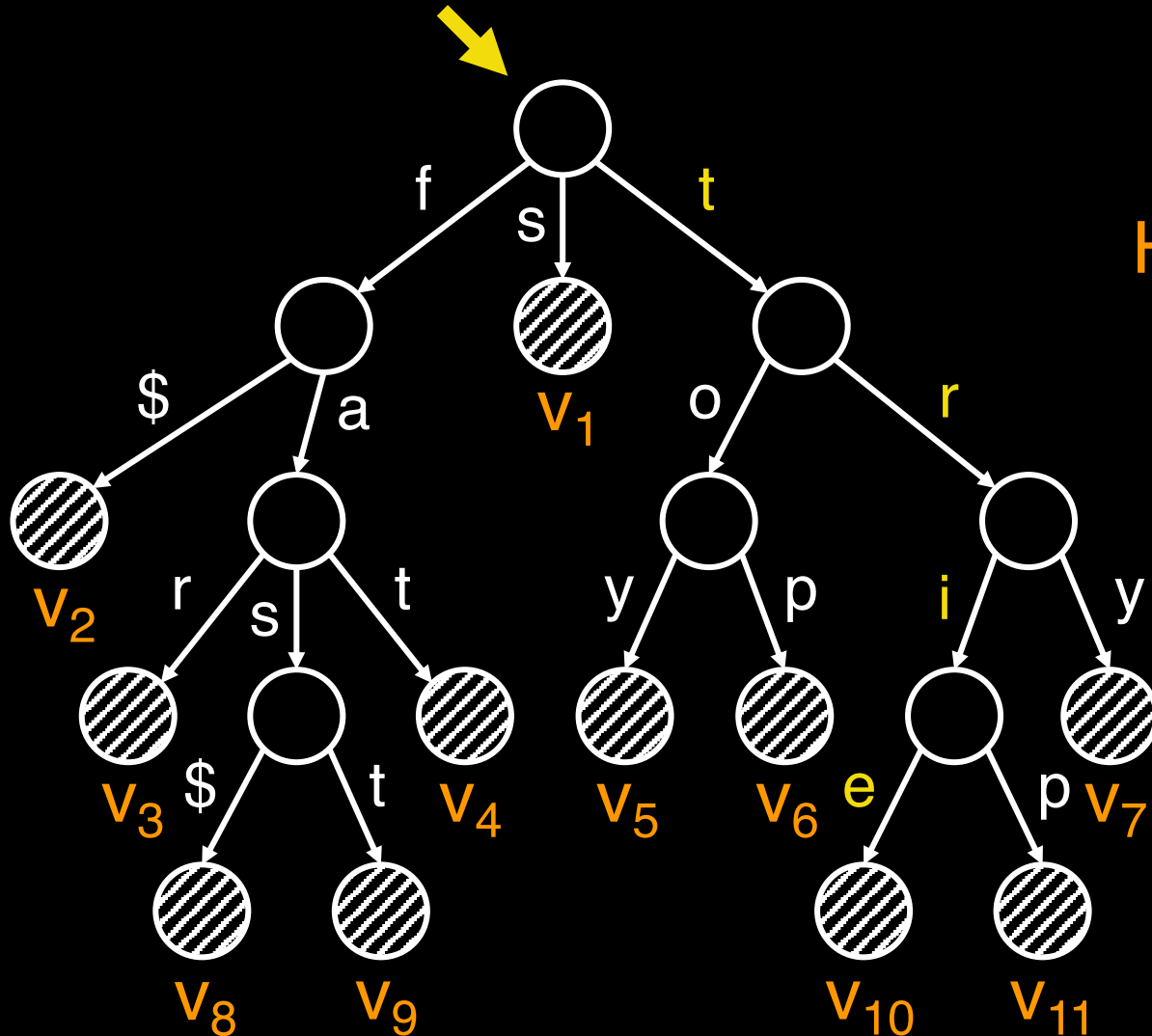


$\downarrow$

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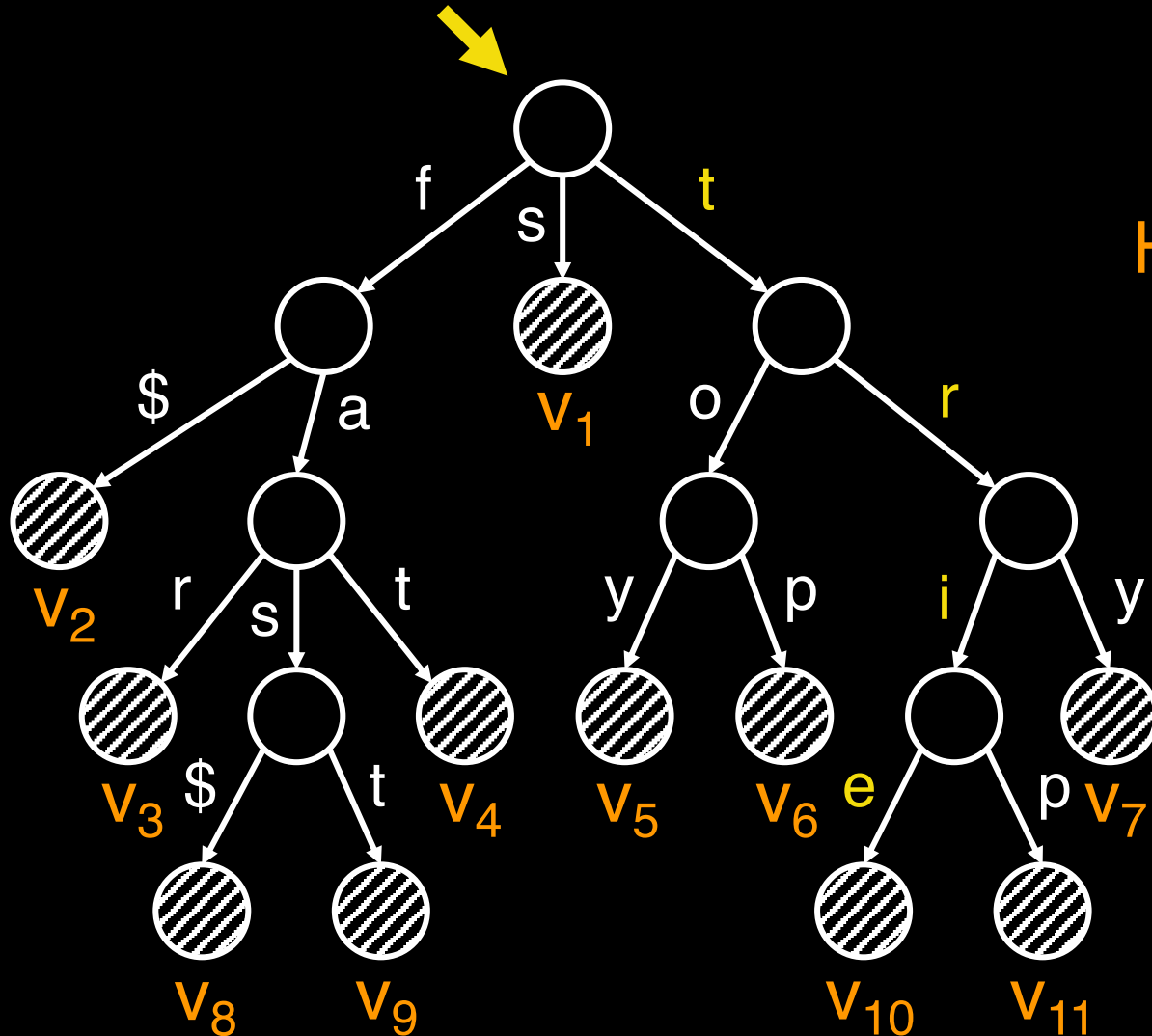


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$\text{child}(i) = \text{select}(S, \text{rank}(\text{HC}, i) + 1)$
3

$\text{value}(i) = i - \text{rank}(\text{HC}, i)$

Tree navigation relies on rank & select

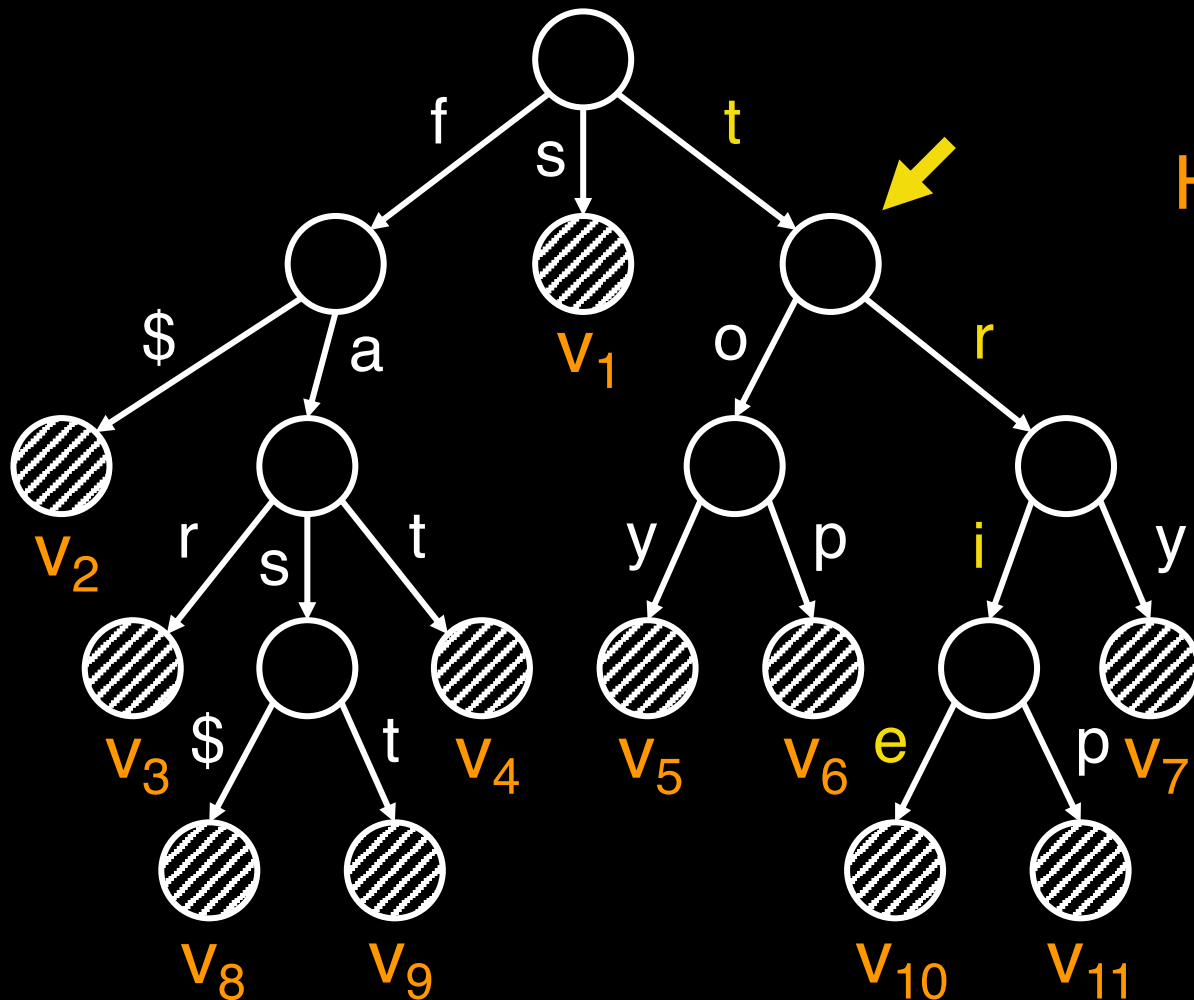


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5

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Tree navigation relies on rank & select



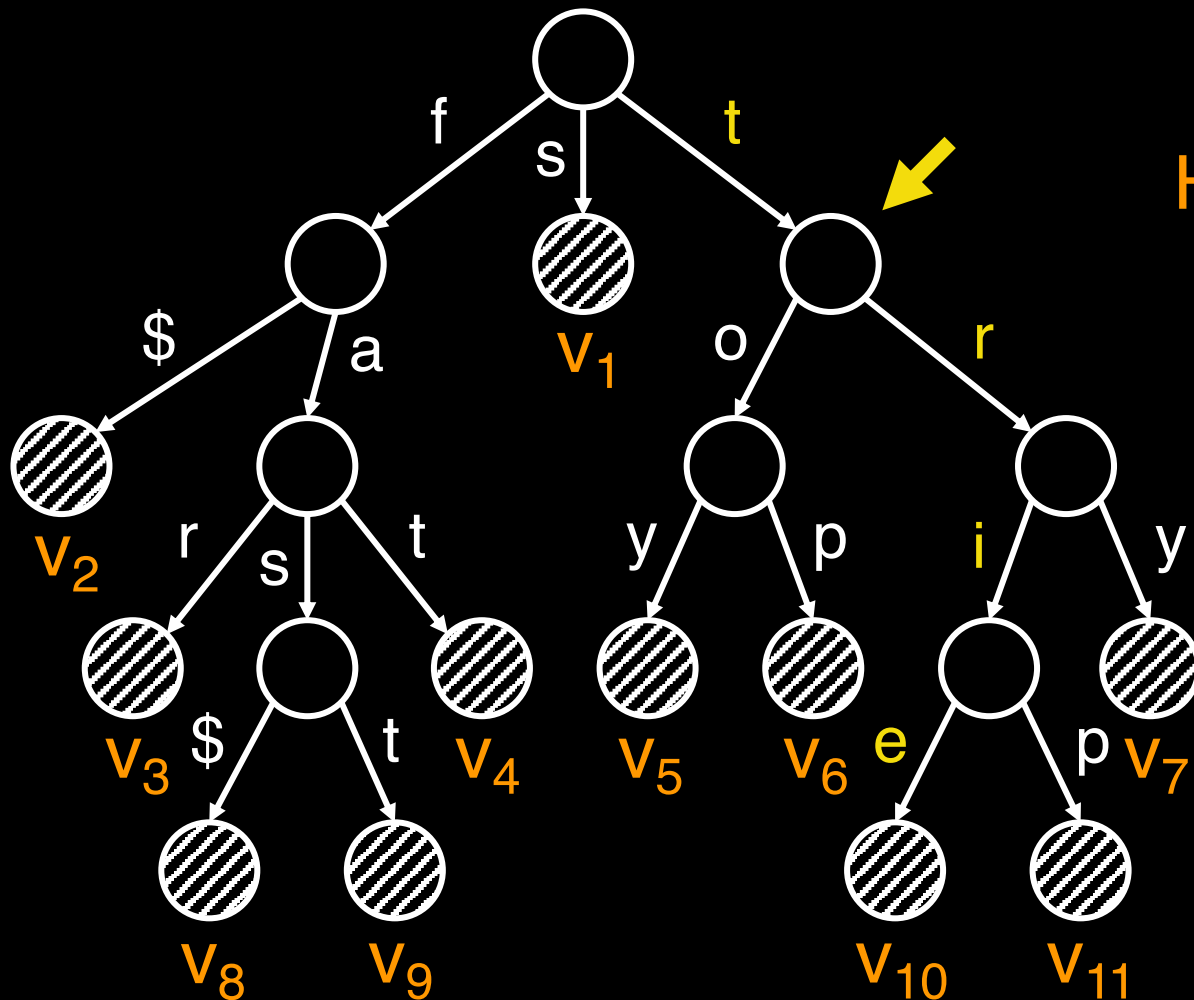
↓

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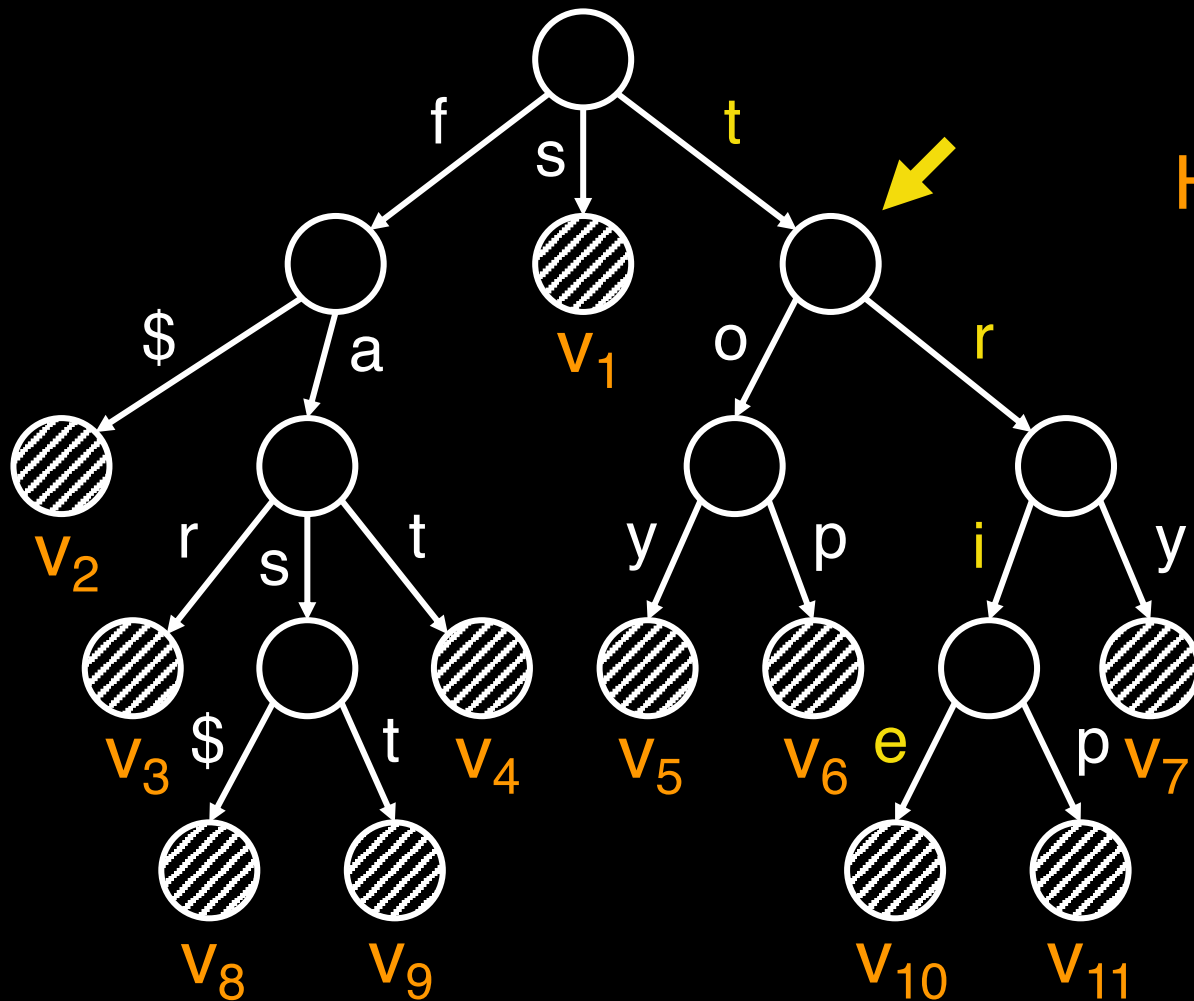


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$\text{value}(i) = i - \text{rank}(\text{HC}, i)$

Tree navigation relies on rank & select

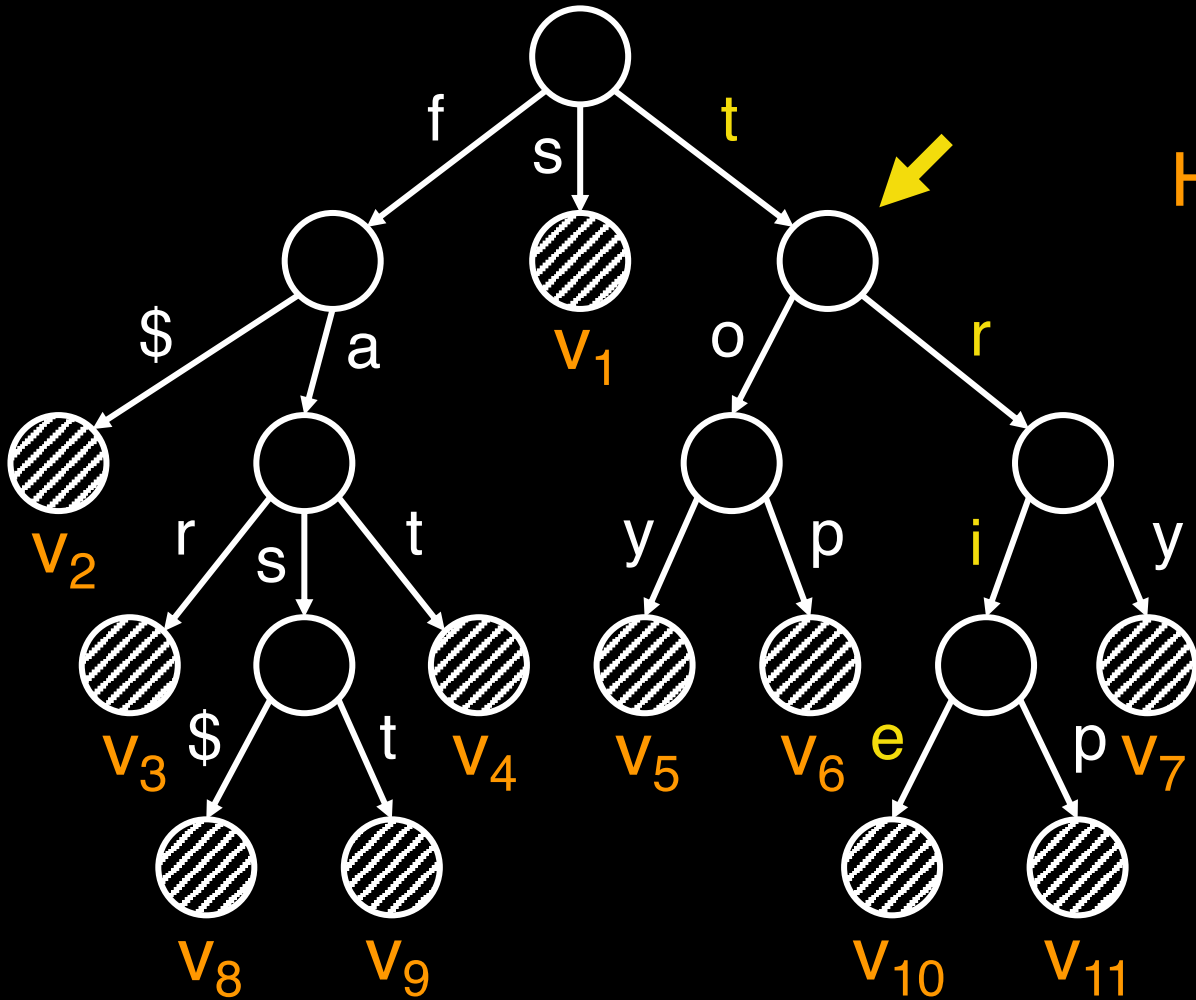


$0 \qquad 5 \downarrow \qquad 10 \qquad 15$
L: f s t \$ a o r r s t y p i y \$ t e p
HC: 1 0 1 0 1 1 1 0 1 0 0 0 1 0 0 0 0 0
S: 1 0 0 1 0 1 0 1 0 0 1 0 1 0 1 0 1 0
V: $v_1 \ v_2 \qquad v_3 \ v_4 v_5 v_6 \ v_7 v_8 v_9 v_{10} v_{11}$

$\text{child}(i) = \text{select}(S, \text{rank}(\text{HC}, i) + 1)$
6 6

$\text{value}(i) = i - \text{rank}(\text{HC}, i)$

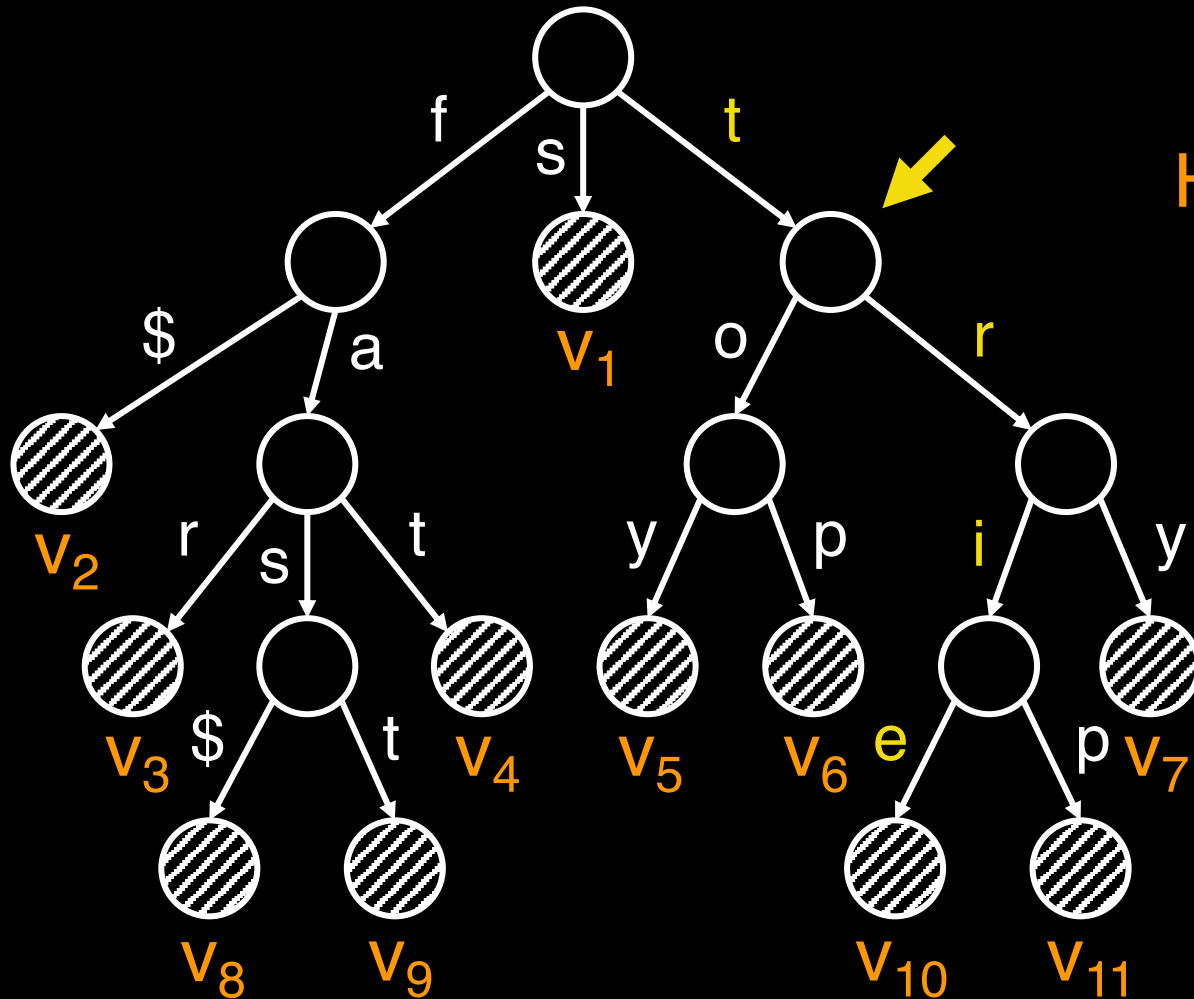
Tree navigation relies on rank & select



$$\text{child}(i) = \text{select}(S, \text{rank}(\text{HC}, i) + 1)$$

$$\text{value}(i) = i - \text{rank}(\text{HC}, i)$$

Tree navigation relies on rank & select

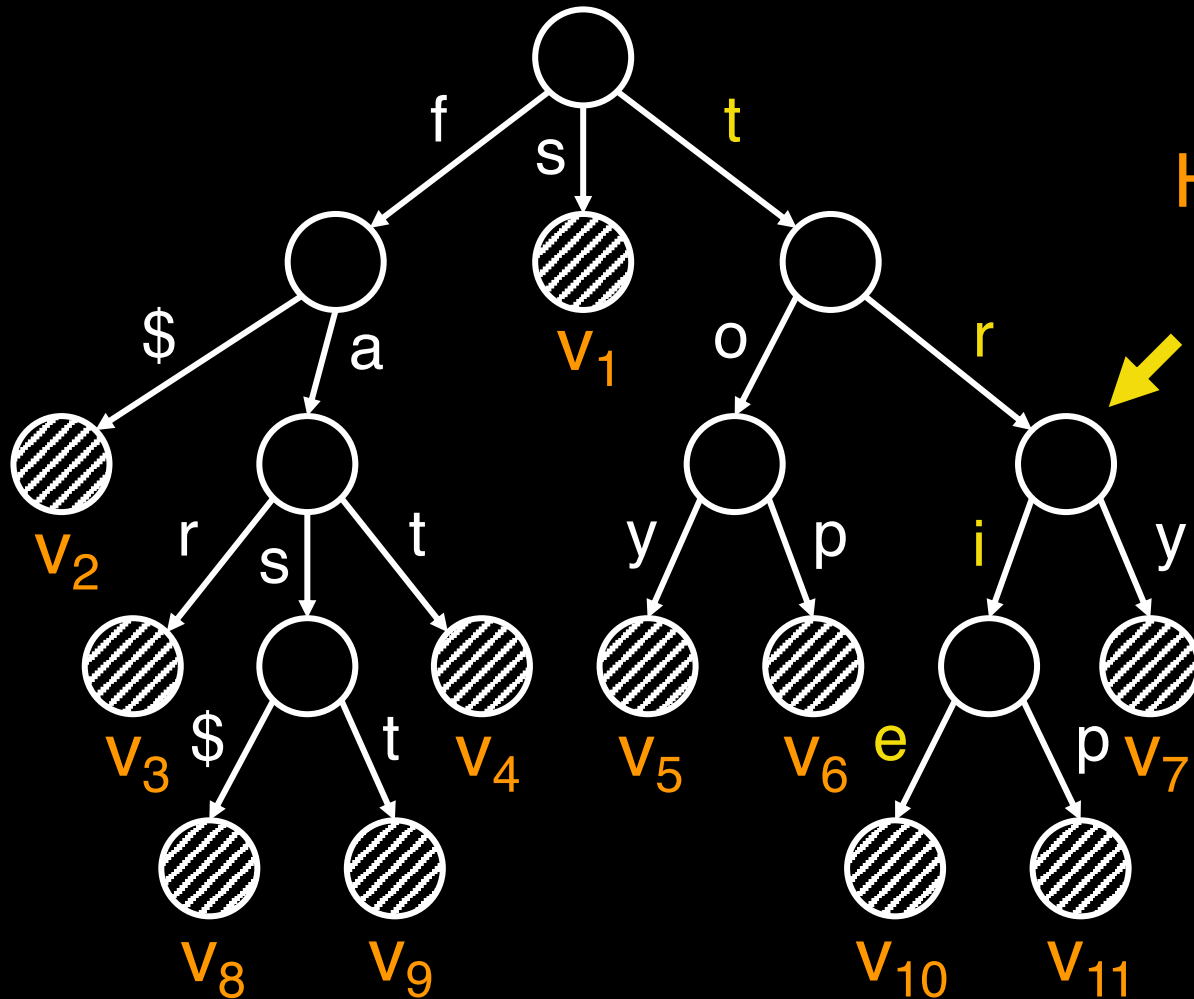


0 5 10 15
L: f s t \$ a o r r s t y p i y \$ t e p
HC: 1 0 1 0 1 1 1 0 1 0 0 0 1 0 0 0 0 0
S: 1 0 0 1 0 1 0 1 0 0 1 0 1 0 1 0 1 0
V: v₁ v₂ v₃ v₄ v₅ v₆ v₇ v₈ v₉ v₁₀ v₁₁

$\text{child}(i) = \text{select}(S, \text{rank}(\text{HC}, i) + 1)$
12

$\text{value}(i) = i - \text{rank}(\text{HC}, i)$

Tree navigation relies on rank & select

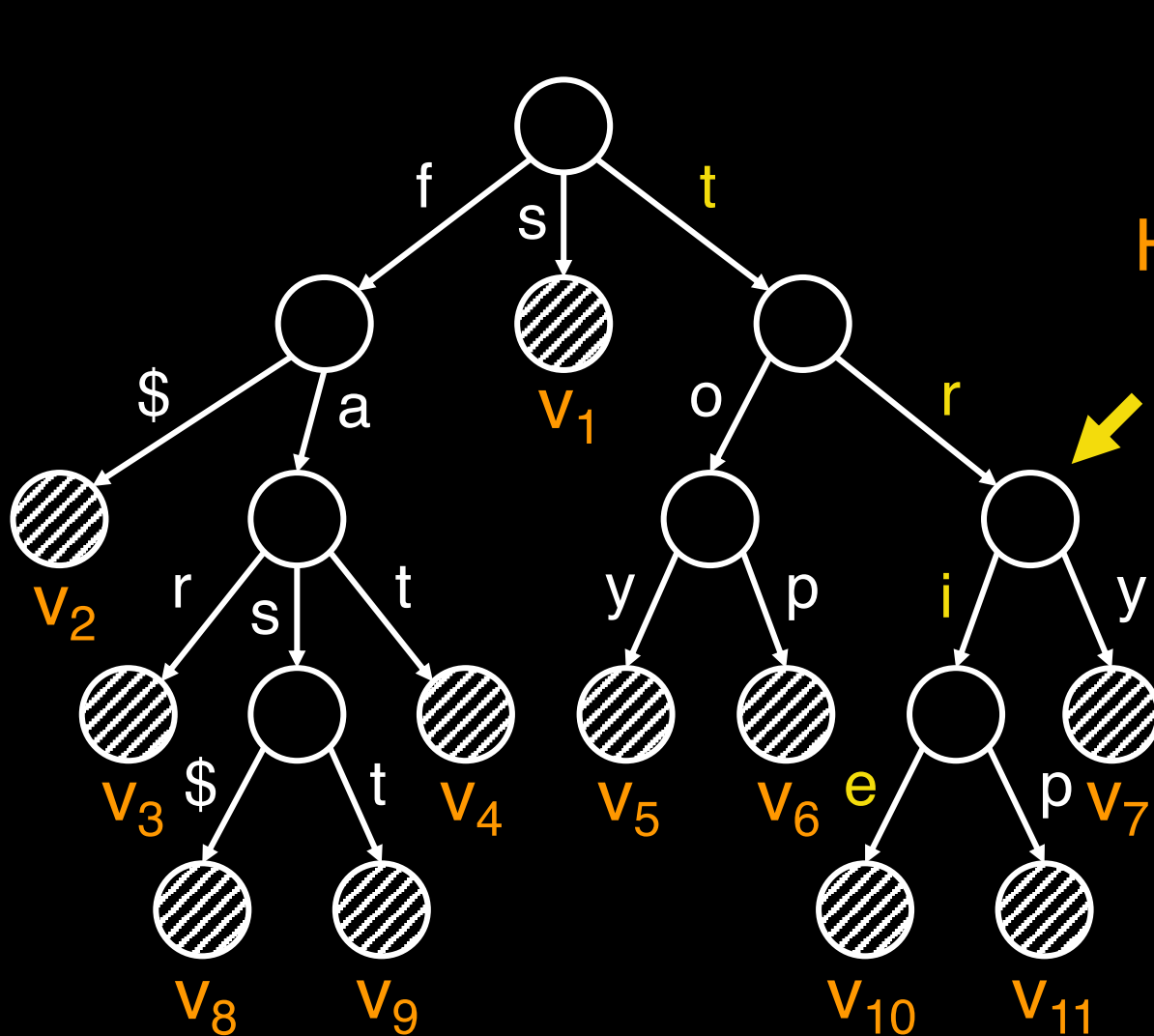


0 5 10 15
L: f s t \$ a o r r s t y p i y \$ t e p
HC: 1 0 1 0 1 1 1 0 1 0 0 0 1 0 0 0 0 0
S: 1 0 0 1 0 1 0 1 0 0 1 0 1 0 1 0 1 0
V: V₁ V₂ V₃ V₄ V₅ V₆ V₇ V₈ V₉ V₁₀ V₁₁

$\text{child}(i) = \text{select}(S, \text{rank}(\text{HC}, i) + 1)$
12

$\text{value}(i) = i - \text{rank}(\text{HC}, i)$

Tree navigation relies on rank & select



$\downarrow$

$L: f \quad s \quad t \quad \$ \quad a \quad o \quad r \quad r \quad s \quad t \quad y \quad p \quad i \quad y \quad \$ \quad t \quad e \quad p$

$HC: 1 \ 0 \ 1 \ 0 \ 1 \ 1 \ 1 \ 0 \ 1 \ 0 \ 0 \ 0 \ 1 \ 0 \ 0 \ 0 \ 0 \ 0$

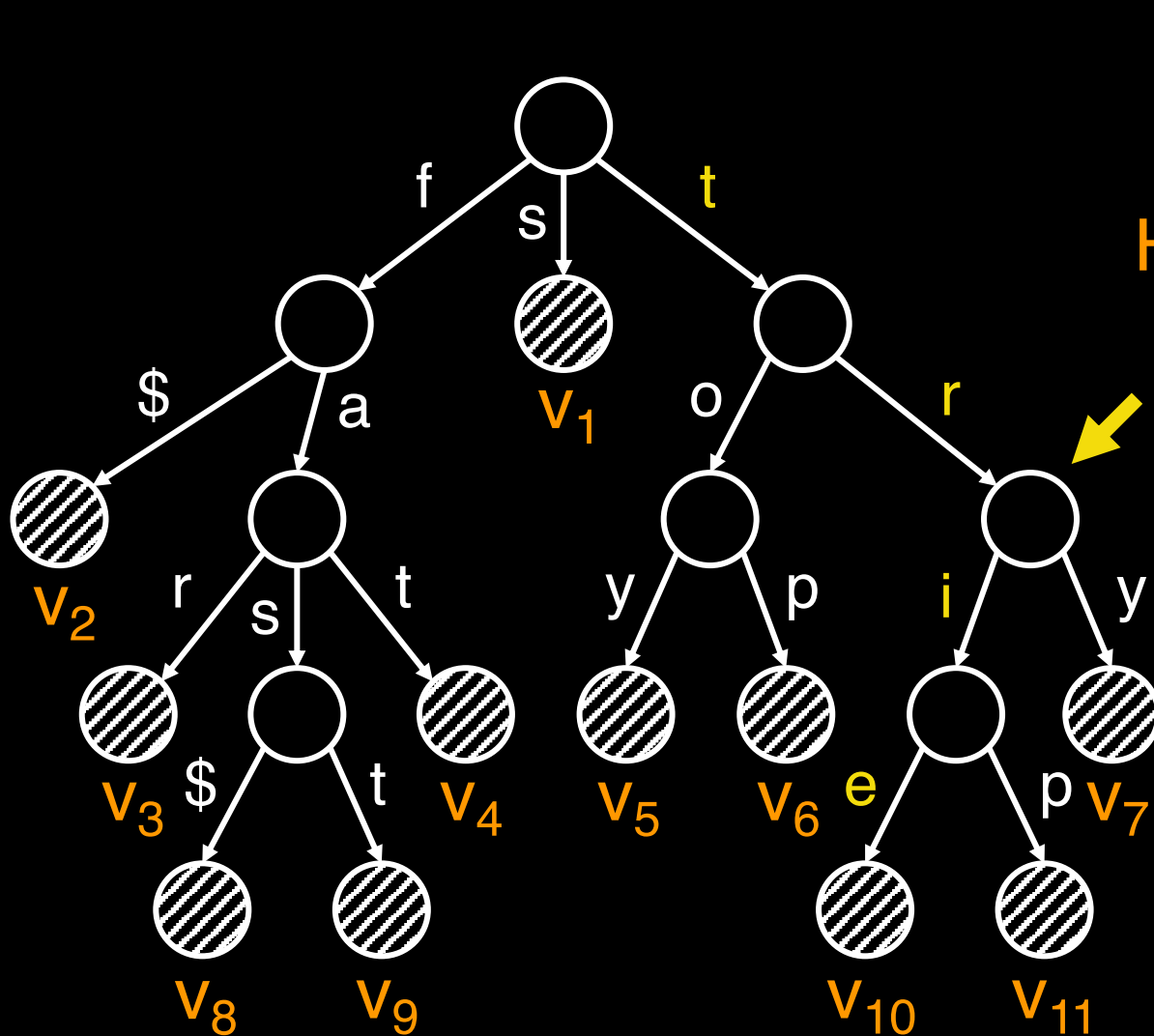
$S: 1 \ 0 \ 0 \ 1 \ 0 \ 1 \ 0 \ 1 \ 0 \ 0 \ 1 \ 0 \ 1 \ 0 \ 1 \ 0$

$V: \quad v_1 \quad v_2 \quad \quad v_3 \quad v_4 \quad v_5 \quad v_6 \quad v_7 \quad v_8 \quad v_9 \quad v_{10} \quad v_{11}$

$child(i) = select(S, rank(HC, i) + 1)$

$value(i) = i - rank(HC, i)$

Tree navigation relies on rank & select

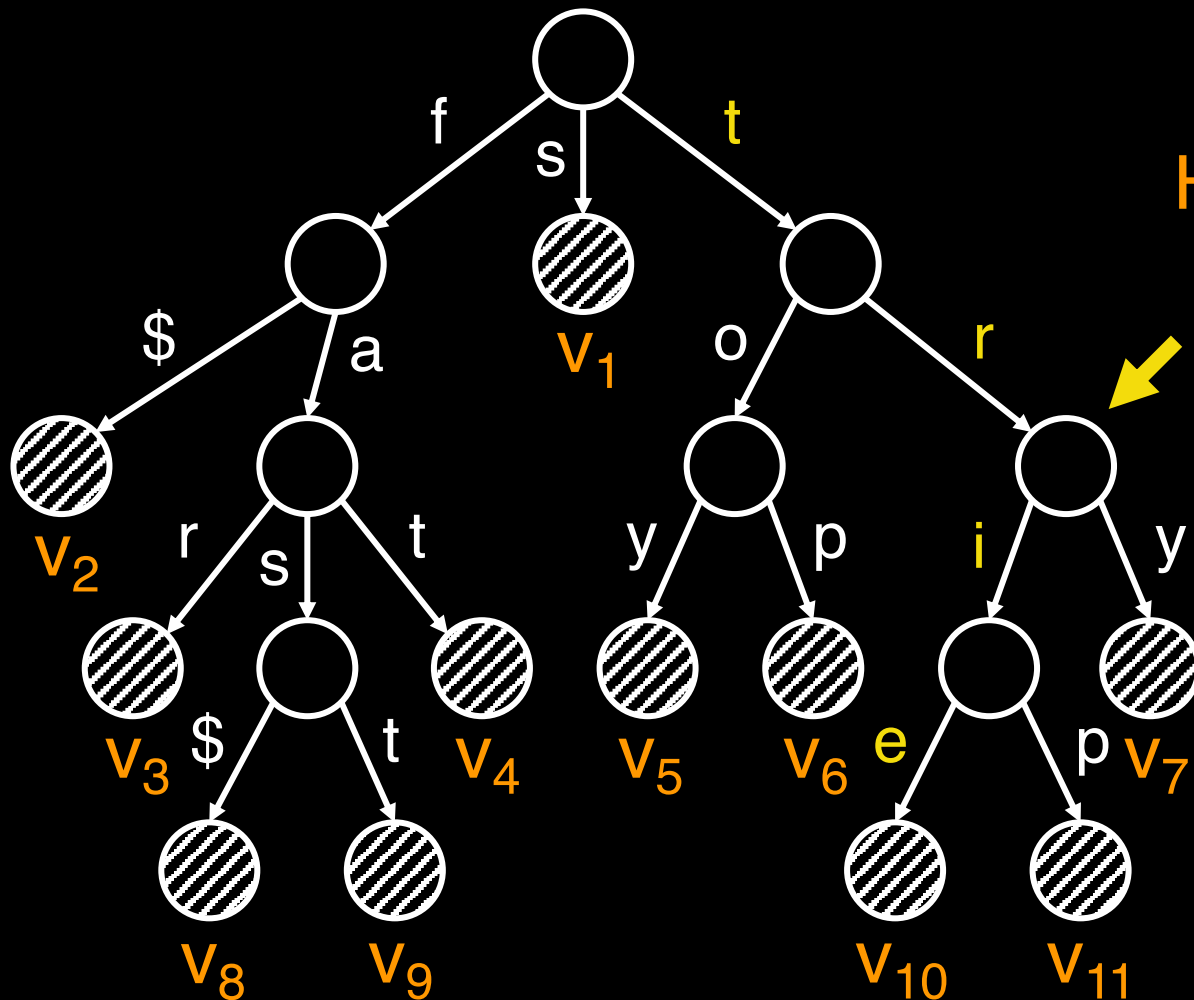


0 5 10 15
L: f s t \$ a o r r s t y p i y \$ t e p
HC: 1 0 1 0 1 1 1 0 1 0 0 0 1 0 0 0 0 0
S: 1 0 0 1 0 1 0 1 0 0 1 0 1 0 1 0 1 0
V: V₁ V₂ V₃ V₄ V₅ V₆ V₇ V₈ V₉ V₁₀ V₁₁

child(i) = select(S, rank(HC, i)+1)
8

value(i) = i - rank(HC, i)

Tree navigation relies on rank & select

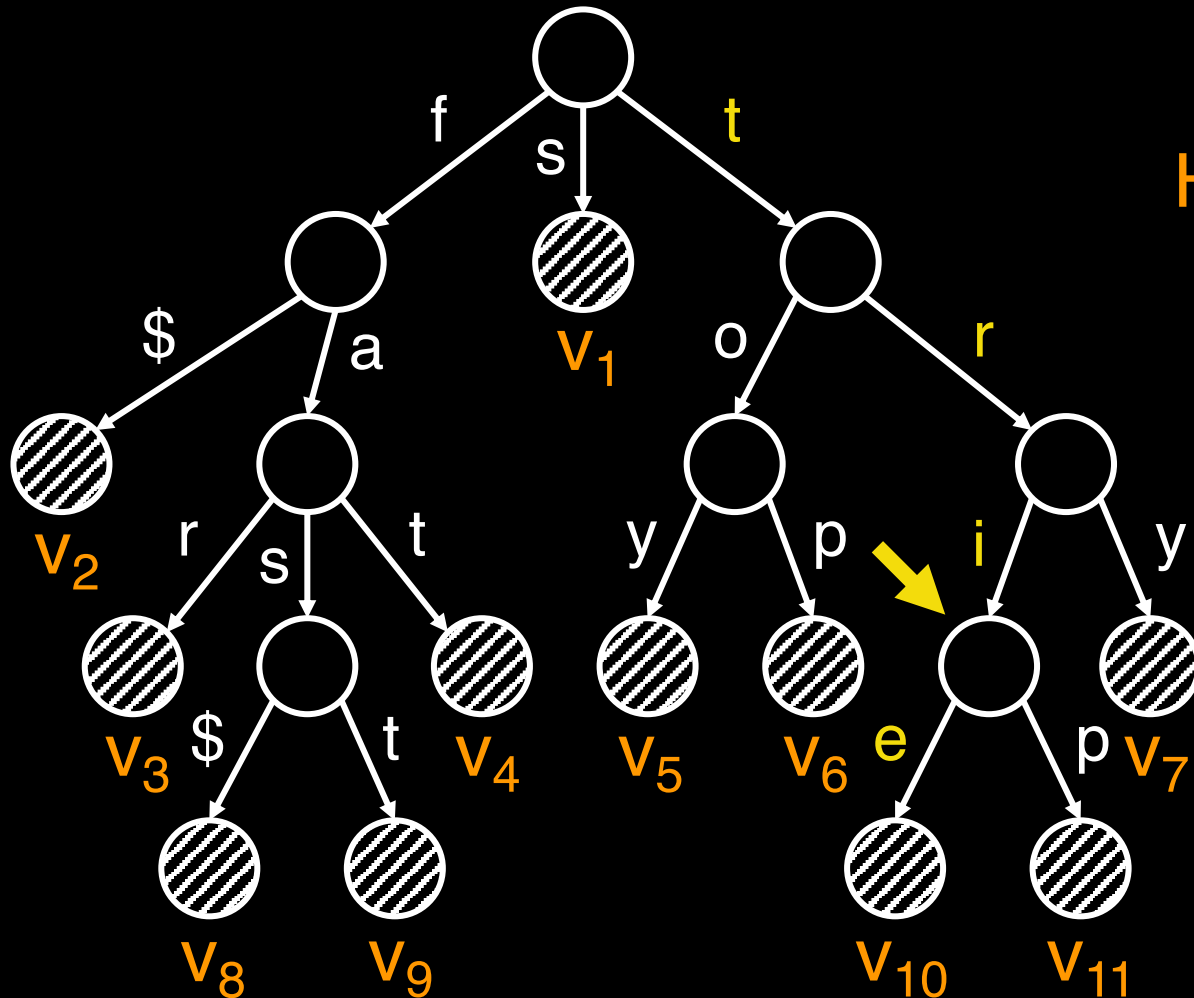


0 5 10 15
L: f s t \$ a o r r s t y p i y \$ t e p
HC: 1 0 1 0 1 1 1 0 1 0 0 0 1 0 0 0 0 0
S: 1 0 0 1 0 1 0 1 0 0 1 0 1 0 1 0 1 0
V: V₁ V₂ V₃ V₄ V₅ V₆ V₇ V₈ V₉ V₁₀ V₁₁

child(i) = select(S, rank(HC, i)+1)
16

value(i) = i - rank(HC, i)

Tree navigation relies on rank & select

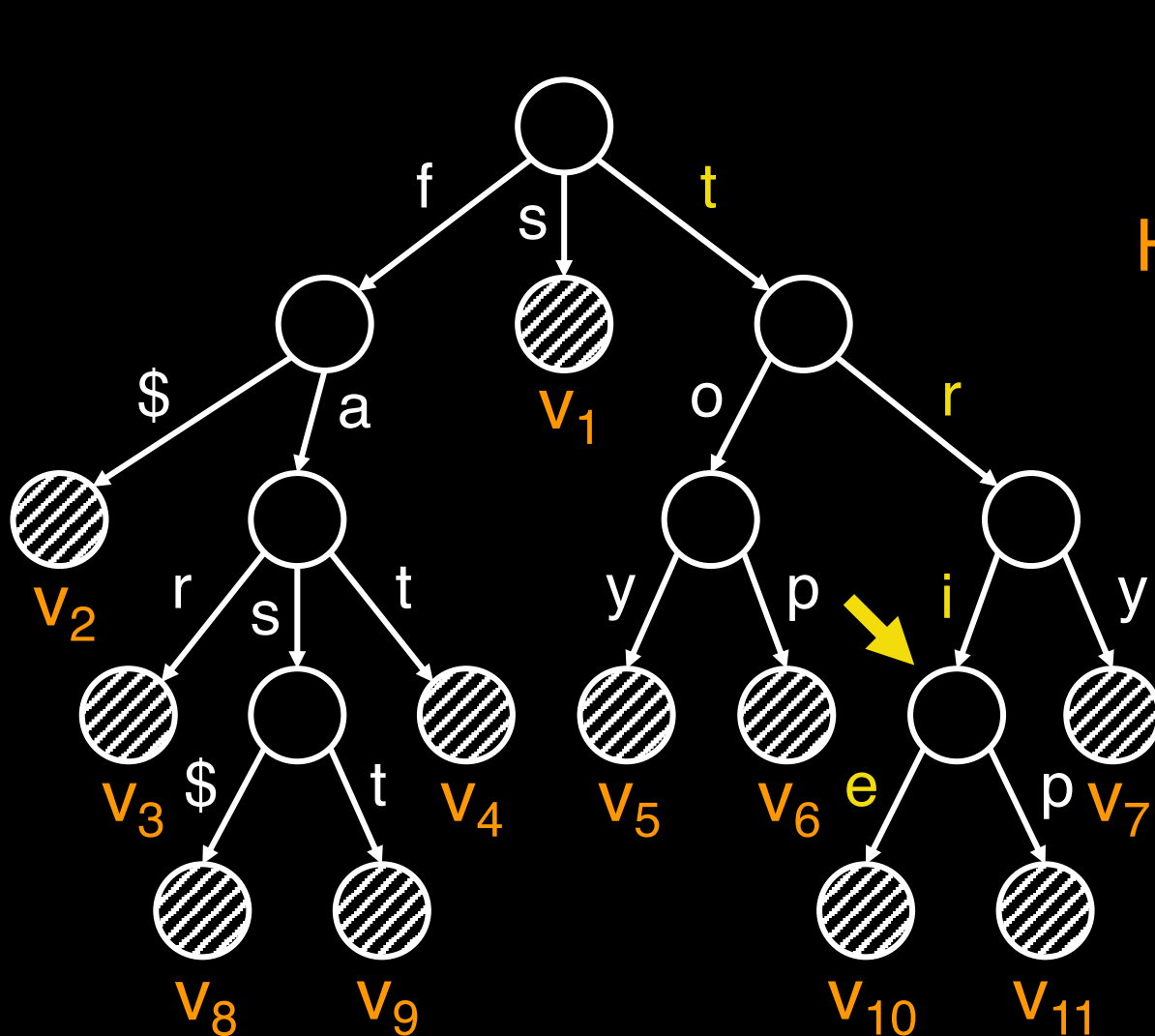


$0 \qquad 5 \qquad 10 \qquad 15 \downarrow$
L: f s t \$ a o r r s t y p i y \$ t e p
HC: 1 0 1 0 1 1 1 0 1 0 0 0 1 0 0 0 0 0
S: 1 0 0 1 0 1 0 1 0 0 1 0 1 0 1 0 1 0
V: $v_1 \quad v_2 \qquad v_3 \quad v_4 v_5 v_6 \quad v_7 v_8 v_9 v_{10} v_{11}$

$\text{child}(i) = \text{select}(S, \text{rank}(\text{HC}, i) + 1)$
16

$\text{value}(i) = i - \text{rank}(\text{HC}, i)$

Tree navigation relies on rank & select

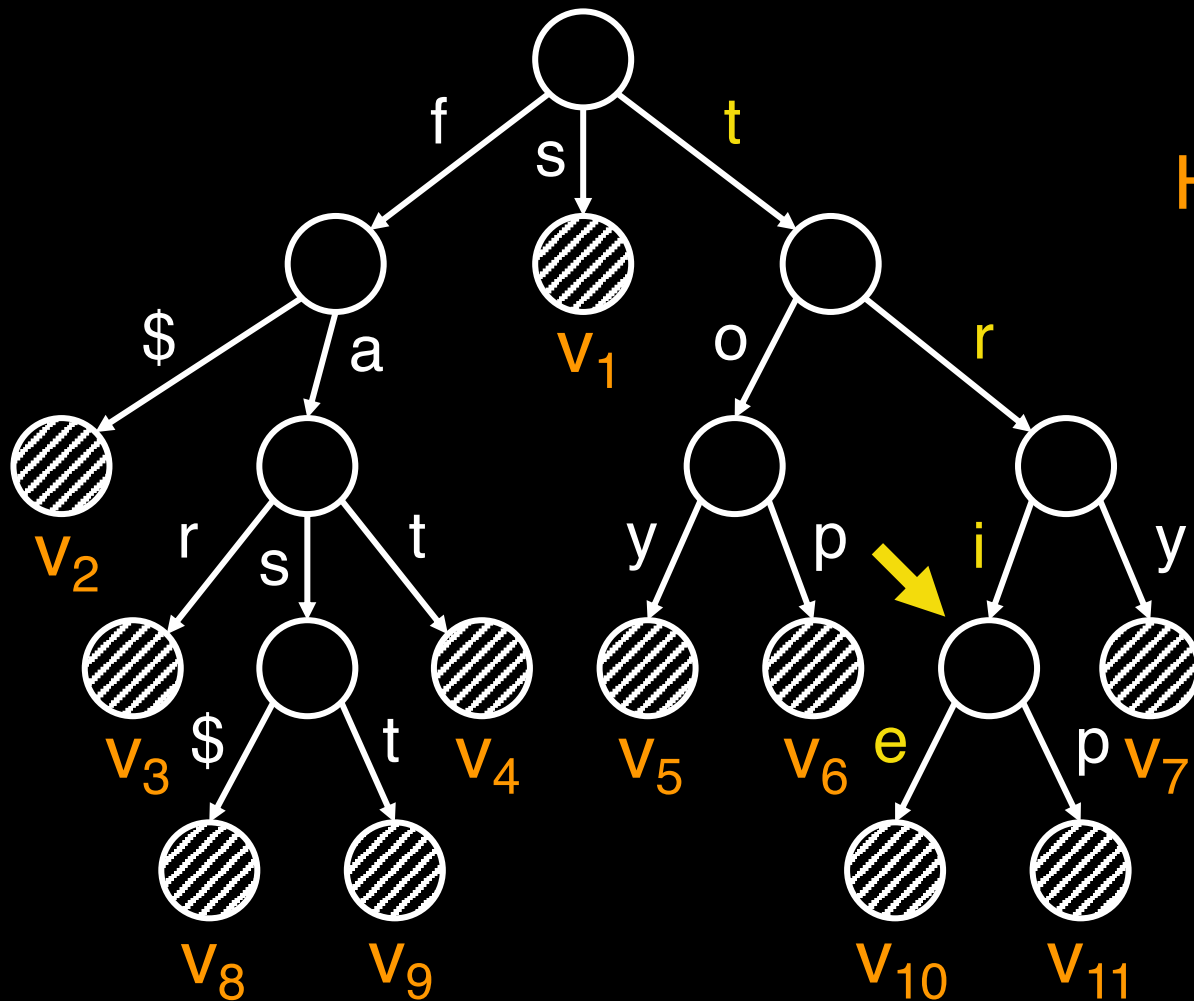


0 5 10 15 ↓
L: f s t \$ a o r r s t y p i y \$ t e p
HC: 1 0 1 0 1 1 1 0 1 0 0 0 1 0 0 0 0 0
S: 1 0 0 1 0 1 0 1 0 0 1 0 1 0 1 0 1 0
V: v_1 v_2 v_3 v_4 v_5 v_6 v_7 v_8 v_9 v_{10} v_{11}

$\text{child}(i) = \text{select}(S, \text{rank}(\text{HC}, i) + 1)$

$\text{value}(i) = i - \text{rank}(\text{HC}, i)$
16 16 16

Tree navigation relies on rank & select

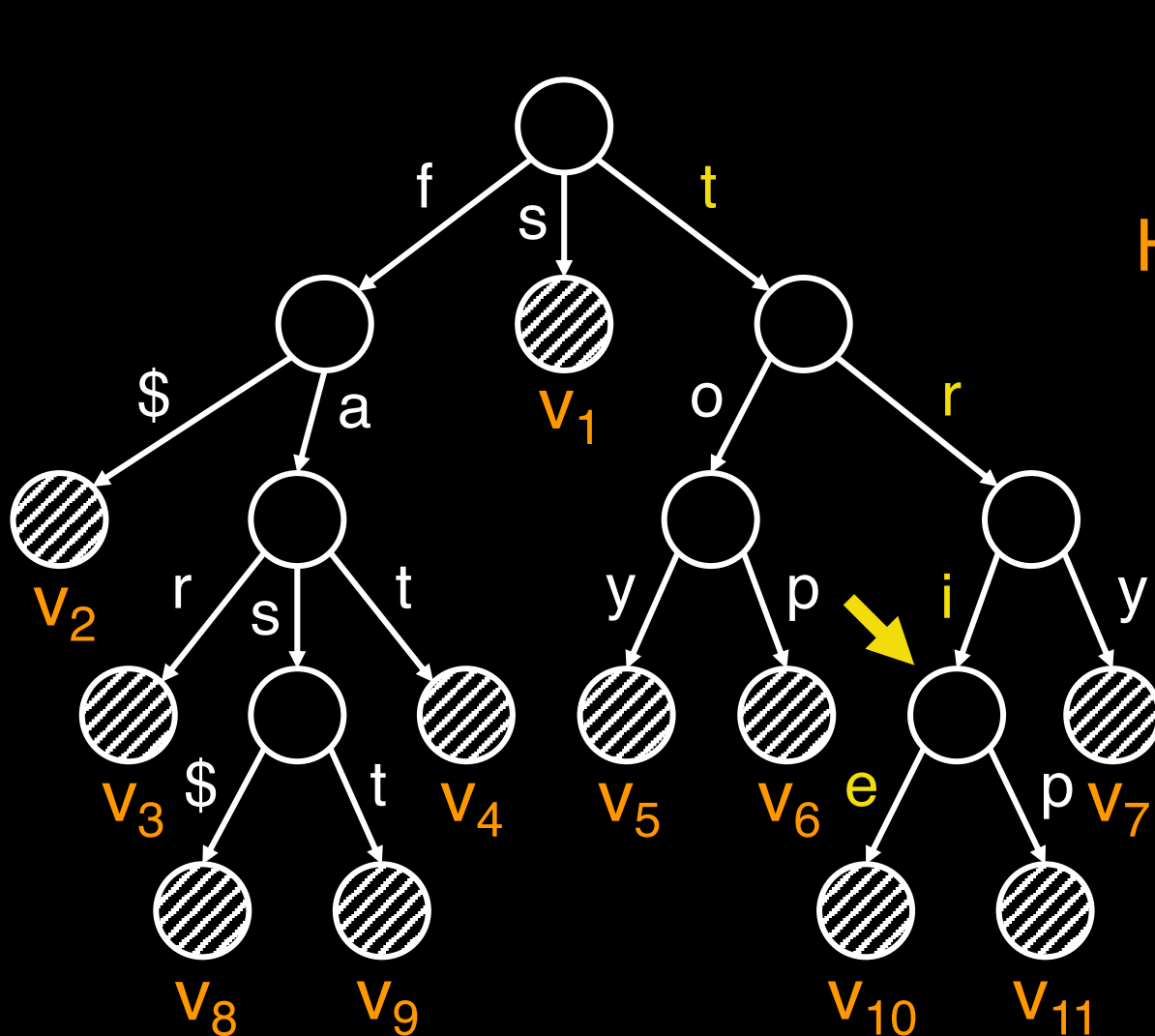


0 5 10 15 ↓
L: f s t \$ a o r r s t y p i y \$ t e p
HC: 1 0 1 0 1 1 1 0 1 0 0 0 1 0 0 0 0 0
S: 1 0 0 1 0 1 0 1 0 0 1 0 1 0 1 0 1 0
V: V_1 V_2 V_3 V_4 V_5 V_6 V_7 V_8 V_9 V_{10} V_{11}

$\text{child}(i) = \text{select}(S, \text{rank}(\text{HC}, i) + 1)$

$\text{value}(i) = i - \text{rank}(\text{HC}, i)$
16 7

Tree navigation relies on rank & select

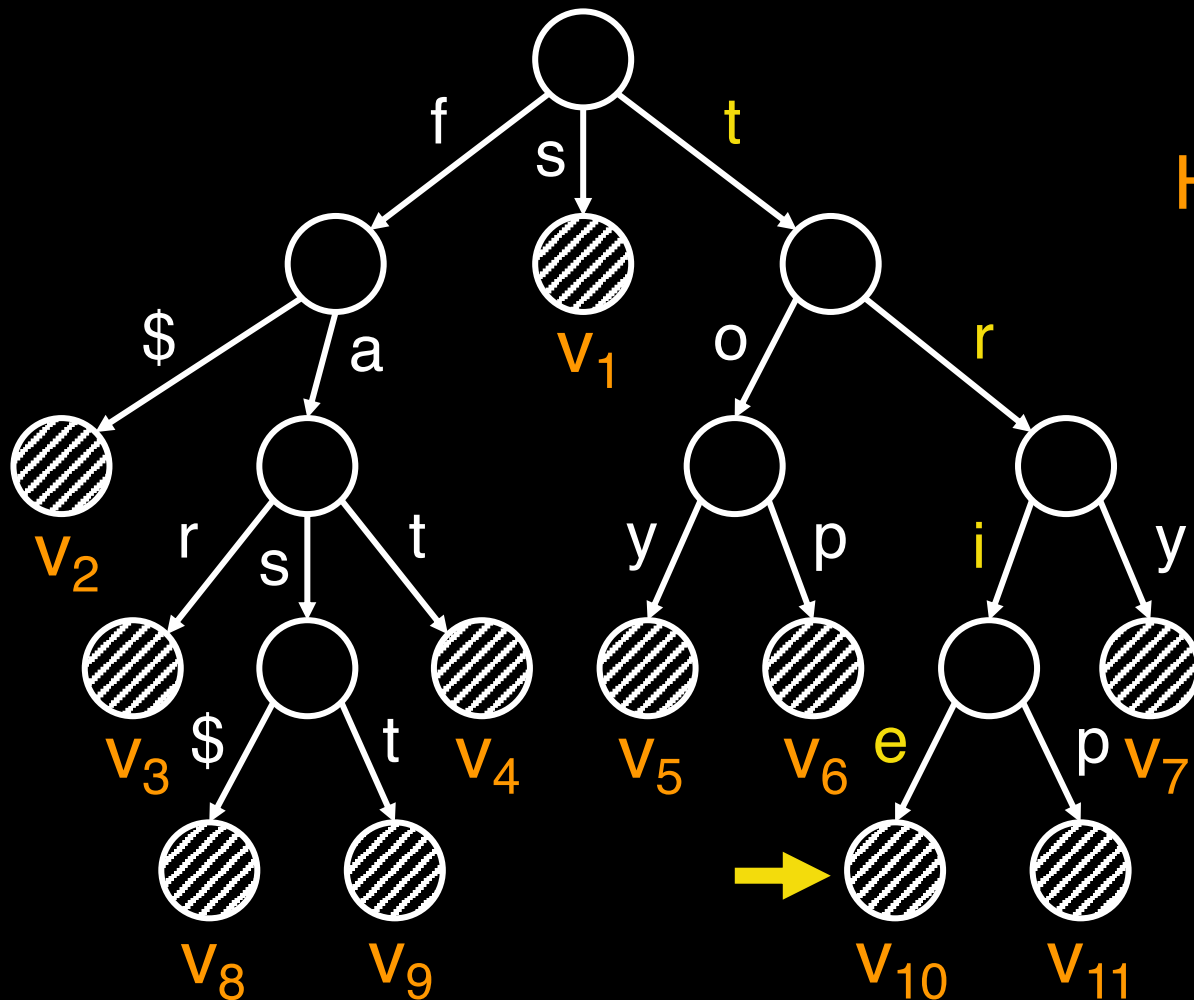


$0 \qquad 5 \qquad 10 \qquad 15 \downarrow$
L: f s t \$ a o r r s t y p i y \$ t e p
HC: 1 0 1 0 1 1 1 0 1 0 0 0 1 0 0 0 0 0
S: 1 0 0 1 0 1 0 1 0 0 1 0 1 0 1 0 1 0
V: $v_1 \quad v_2 \qquad v_3 \quad v_4 v_5 v_6 \quad v_7 v_8 v_9 v_{10} v_{11}$

$\text{child}(i) = \text{select}(S, \text{rank}(\text{HC}, i) + 1)$

$\text{value}(i) = i - \text{rank}(\text{HC}, i)$

Tree navigation relies on rank & select



$0 \qquad 5 \qquad 10 \qquad 15 \downarrow$
L: f s t \$ a o r r s t y p i y \$ t e p
HC: 1 0 1 0 1 1 1 0 1 0 0 0 1 0 0 0 0 0
S: 1 0 0 1 0 1 0 1 0 0 1 0 1 0 1 0 1 0
V: $v_1 \ v_2 \qquad v_3 \ v_4 v_5 v_6 \ v_7 v_8 v_9 v_{10} v_{11}$

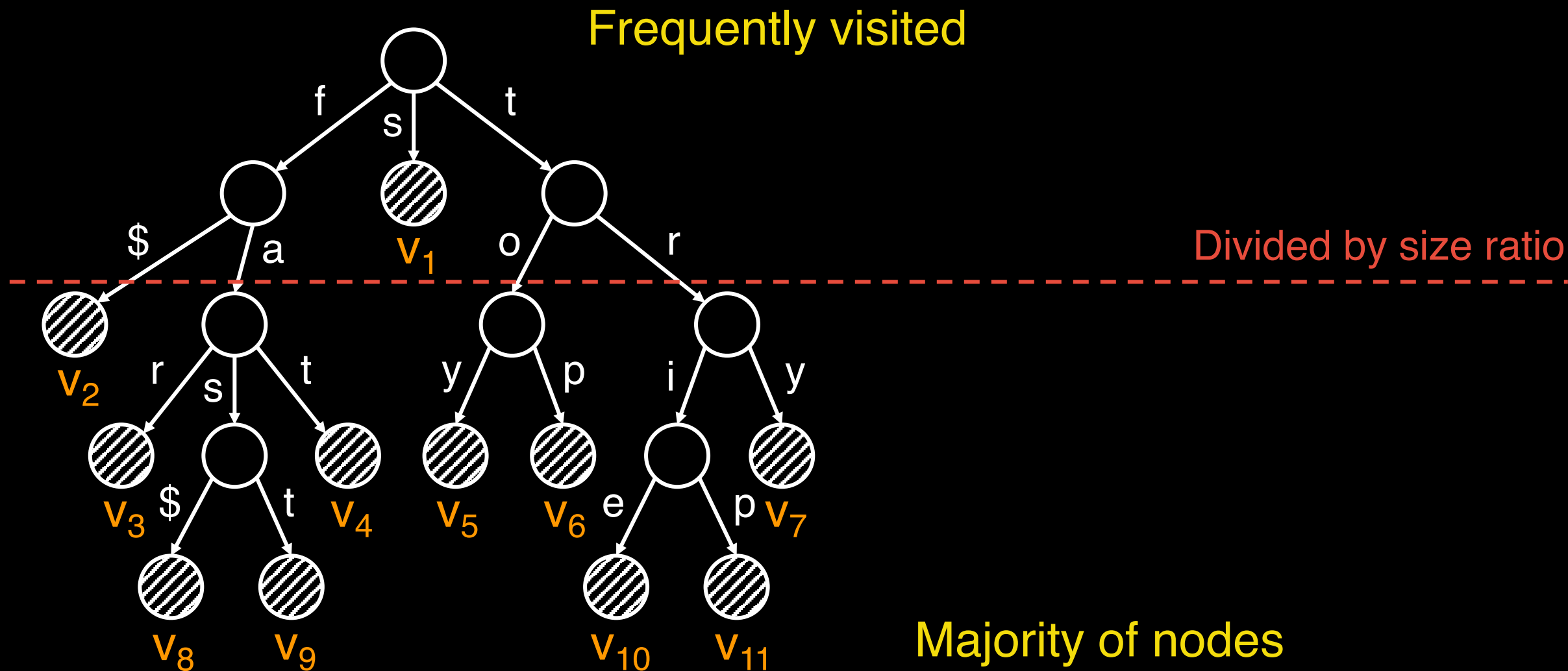
$\text{child}(i) = \text{select}(S, \text{rank}(\text{HC}, i) + 1)$

$\text{value}(i) = i - \text{rank}(\text{HC}, i)$

9

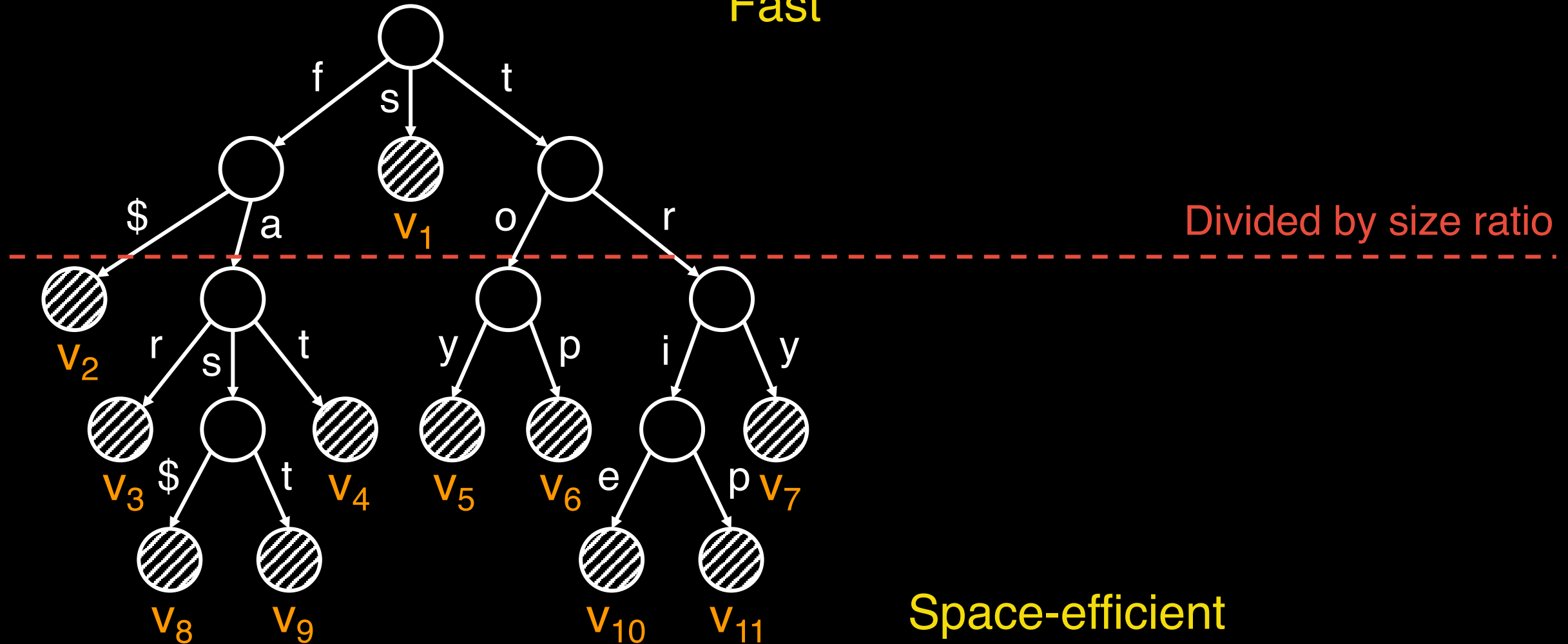
Performance Optimization

LOUDS-Dense: optimize for the common case



LOUDS-Dense: optimize for the common case

Fast



LOUDS-Dense: optimize for the common case

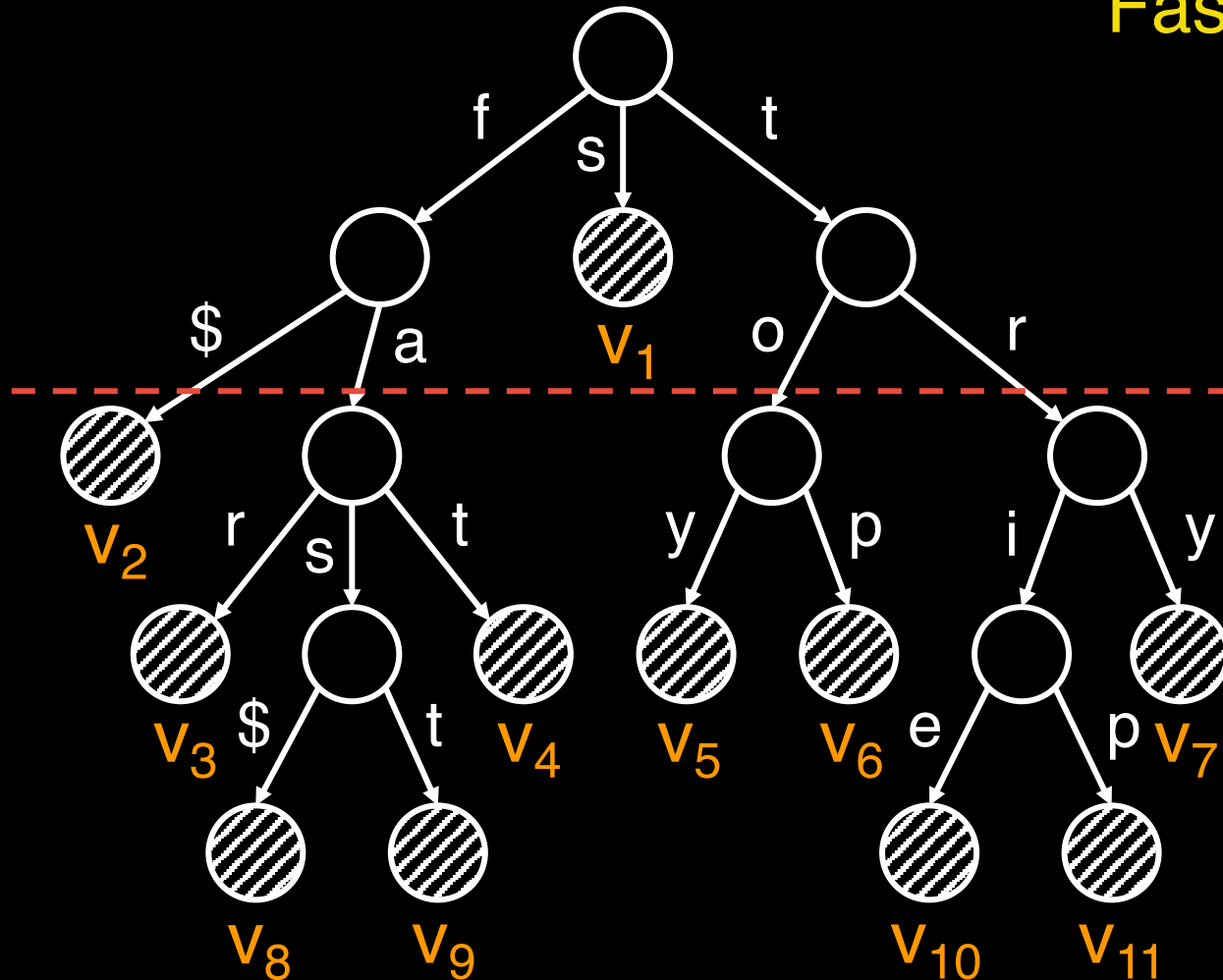
Fast

L: f s t \$ a o r

HC: 1 0 1 0 1 1 1

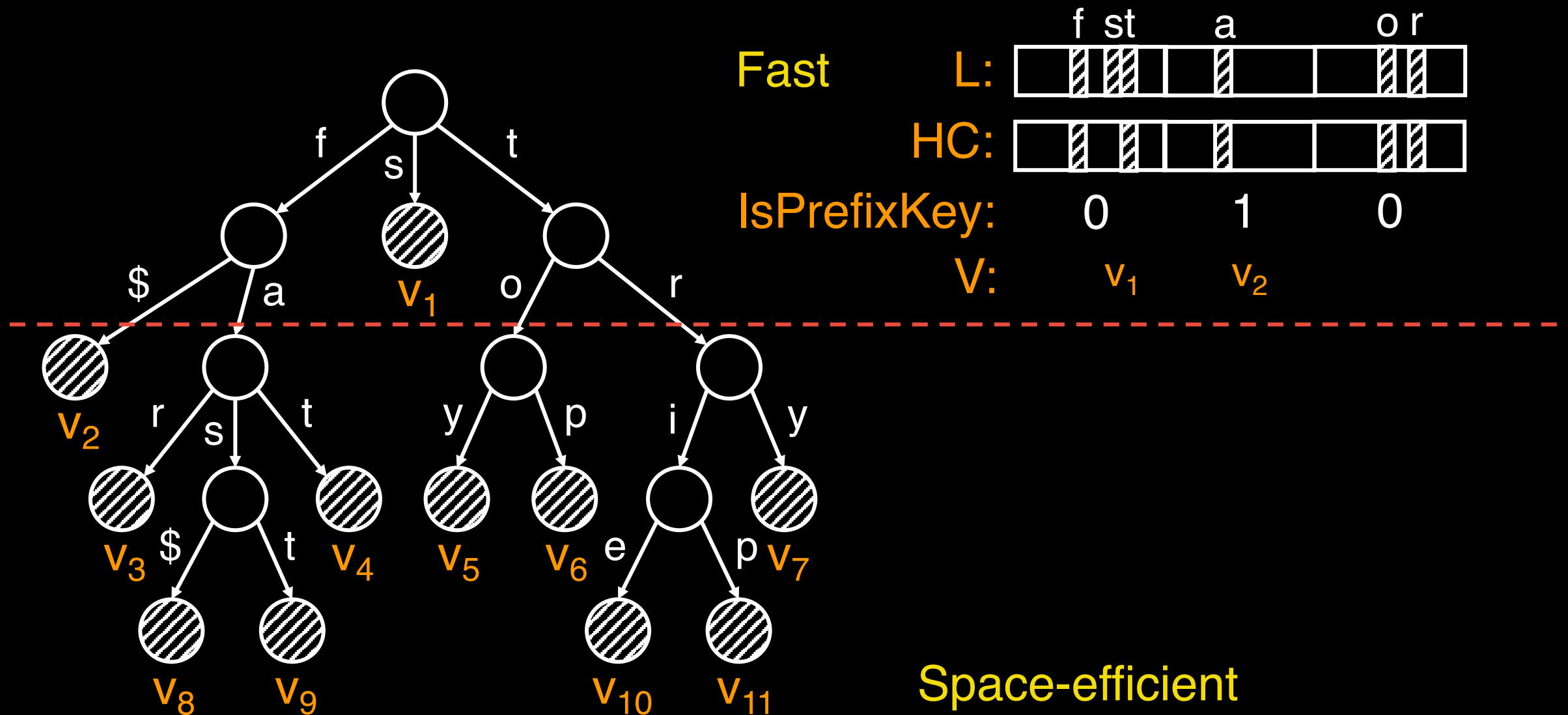
S: 1 0 0 1 0 1 0

V: v₁ v₂

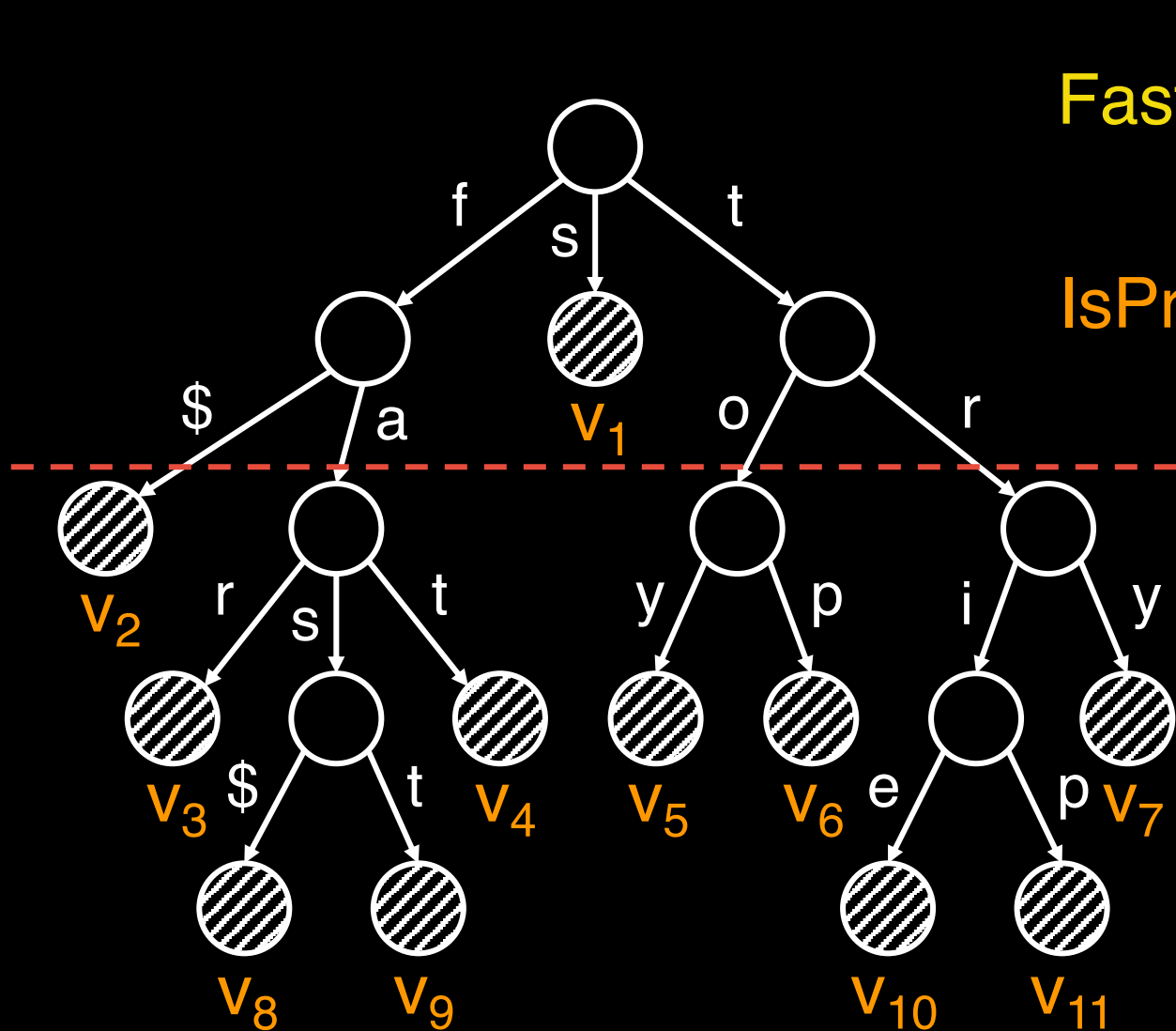


Space-efficient

LOUDS-Dense: optimize for the common case

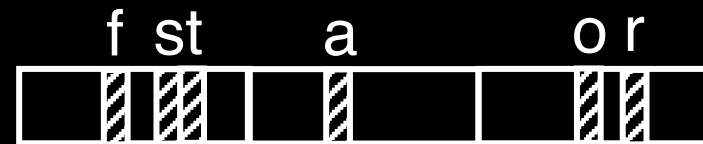


LOUDS-DS: best of both worlds



Fast

L:



HC:



IsPrefixKey:

0

1

0

V:

 V_1 V_2

L: r s t y p i y \$ t e p

HC: 0 1 0 0 0 1 0 0 0 0 0

S: 1 0 0 1 0 1 0 1 0

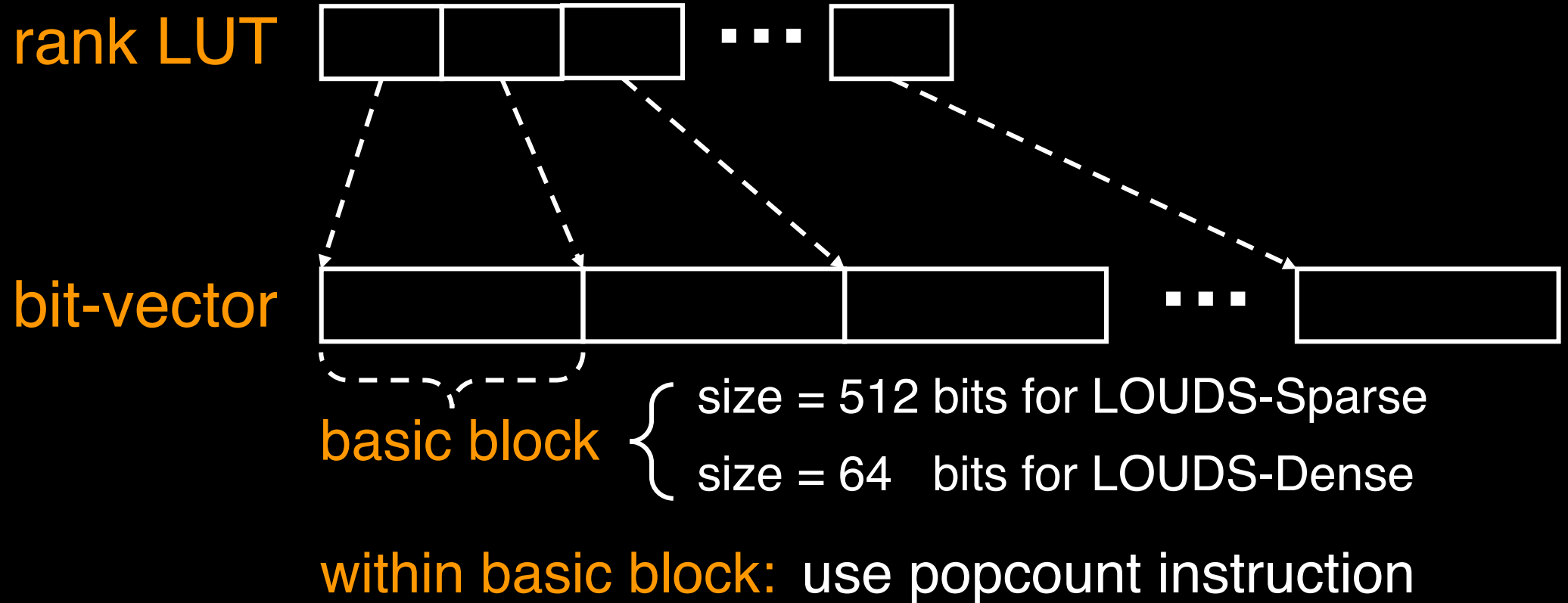
$$V: v_3 \quad v_4 v_5 v_6 \quad v_7 v_8 v_9 v_{10} v_{11}$$

Space-efficient

Observations: rank & select on LOUDS-DS

- ① Either rank or select is required, but not both
- ② Space taken by auxiliary structures is small
- ③ The bit vector (S) that requires select is dense

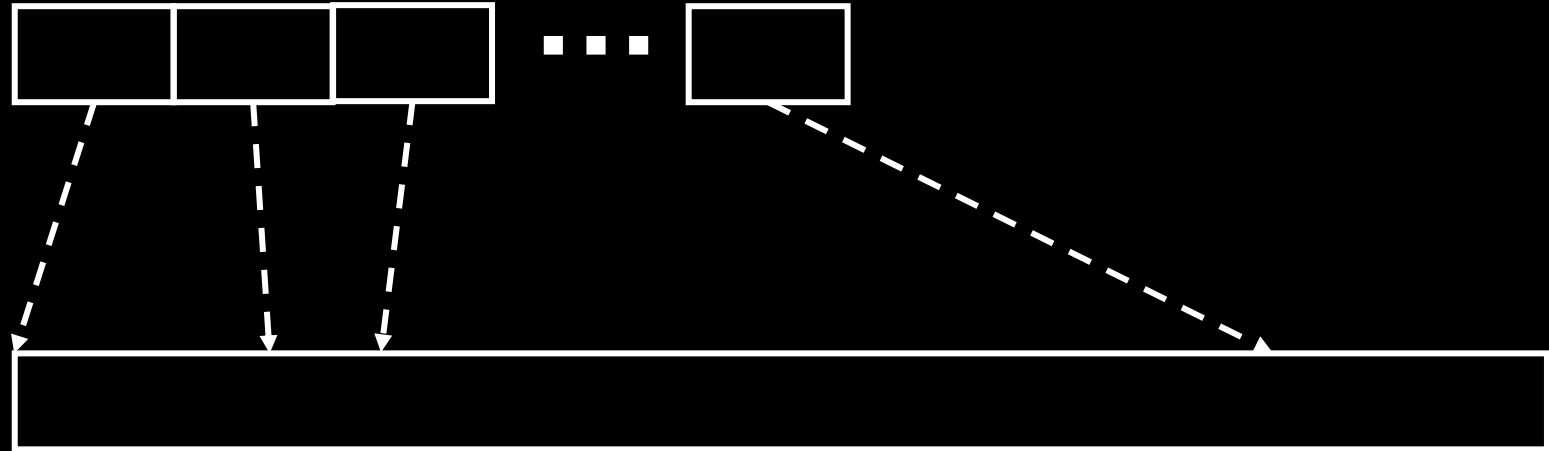
Optimizing rank structure for LOUDS-DS



Optimizing rank structure for LOUDS-DS

select samples
(every x 1's)

bit-vector



Optimizing label search algorithm using SIMD

L: a b c d e g h l j k l m n o p q r u v w x y z | f s t ...
↓ node boundary

S: 1 0 0 0 ... | 1 0 0 ...

Optimizing label search algorithm using SIMD

128-bit SIMD

L: a b c d e g h i j k l m n o p q r u v w x y z | f s t ...

node boundary

S: 1 0 0 0

...

| 1 0 0 ...
|

Optimizing label search algorithm using SIMD

L: a b c d e g h l j k l m n o p q **r u v w x y z** : f s t ...

node boundary

S: 1 0 0 0

1111

1 0 0 ...

Using prefetching to hide memory latency

L: f s t \$ a o r r s t y p i y \$ t e p

HC: 1 0 1 0 1 1 1 0 1 0 0 0 1 0 0 0 0 0

S: 1 0 0 1 0 1 0 1 0 0 1 0 1 0 1 0 1 0

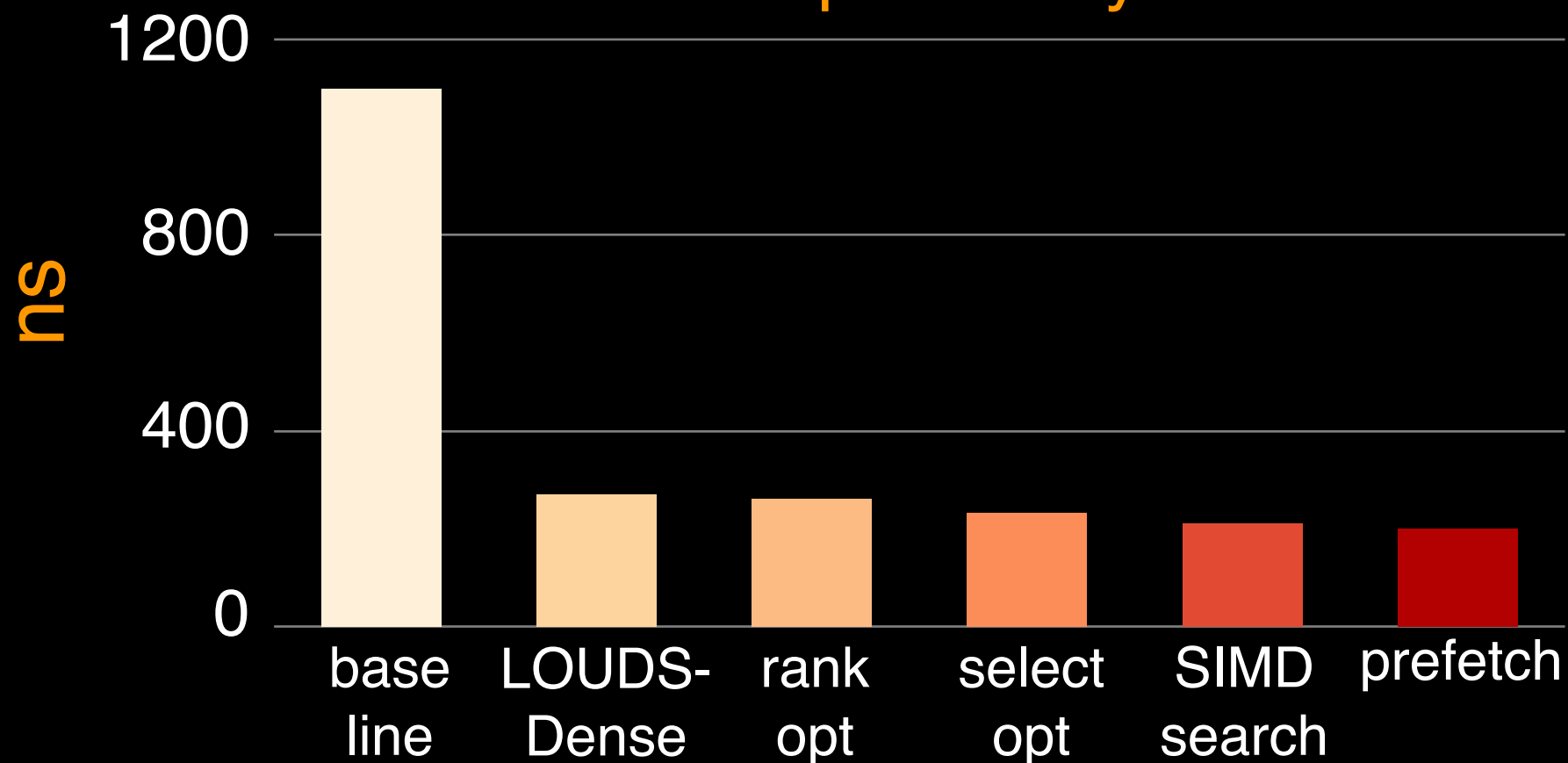
V: v_1 v_2 v_3 $v_4 v_5 v_6$ $v_7 v_8 v_9 v_{10} v_{11}$

What makes FST fast



50M 64-bit integer keys

Lookup Latency



Where is FST in the performance-space trade-off

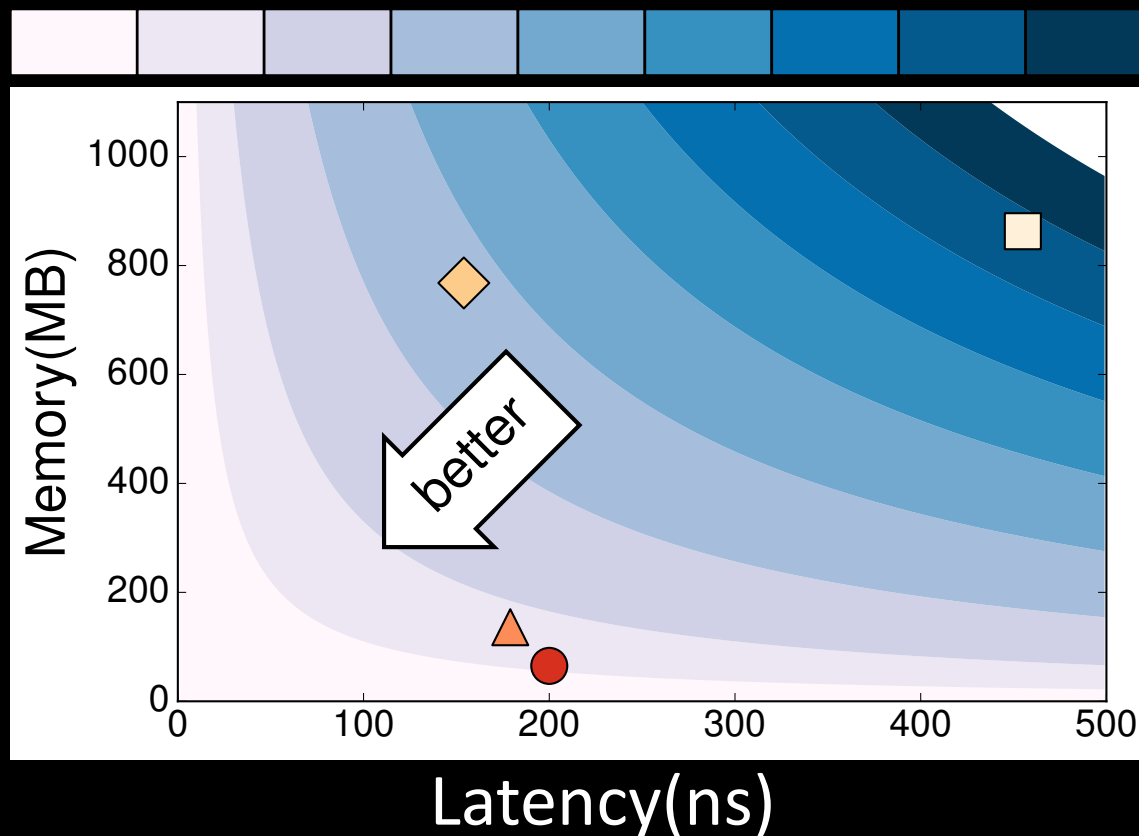
➔ 50M 64-bit integer keys

Cost function: $C = PrS$ $r > 1$ ➔ favors performance
 $r < 1$ ➔ favors space

low cost

high cost

$r = 1$ ➔



□ B+tree

◇ ART

△ C-ART

● FST

Conclusion

①

LOUDS-DS

- A hybrid approach to succinctly encode tries

②

FST

- Matches performance of pointer-based tries
- 4 – 15x faster than existing succinct tries
- Consumes only close-to-optimal space