

# COLOUR-OCTET EFFECTS IN RADIATIVE $\Upsilon$ DECAYS<sup>1</sup>

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## Abstract

We investigate the effects of colour-octet contributions to the radiative  $\Upsilon$  decay within the Bodwin, Braaten and Lepage NRQCD factorization framework. Photons coming both from the coupling to hard processes ('direct') and by collinear emission from light quarks ('fragmentation') are consistently included at next-to-leading order (NLO) in  $\alpha_s$ . An estimate for the non-perturbative matrix elements which enter in the final result is then obtained. By comparing the NRQCD prediction at NLO for total decay rates with the experimental data, it is found that the non-perturbative parameters must be smaller than expected from the naïve scaling rules of NRQCD. Nevertheless, colour-octet contributions to the shape of the photon spectrum turn out to be significant.

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# 1 Introduction

Since the early times of QCD, heavy quarkonia decays have been considered among the most promising processes to test the perturbative sector of the theory and to extract the value of the strong coupling at scales of the order of the heavy-quark mass. In addition to the calculation and comparison of full inclusive decay rates, much attention has been devoted to the decays in which one photon is emitted, and its energy measured [1]. Experimental data on the direct photon spectrum in  $\Upsilon$  decays have been compared [2, 3], up to now, under the assumption of a factorization between a short-distance part describing the annihilation of the heavy-quark pair in a colour-singlet state and a non-perturbative long-distance factor, related to the value of the non-relativistic wave function at the origin.

Recently Bodwin, Braaten and Lepage (BBL) [4] provided a new framework to study quarkonium decay within QCD. Introducing an effective non-relativistic theory (NRQCD), perturbative and gauge-invariant factorization is obtained by including in the decay intermediate  $Q\bar{Q}$  states with quantum numbers different from those of the physical quarkonium state. The relative importance of various contributions depends on short-distance coefficients which are calculable by standard perturbative techniques, and on long-distance matrix elements, which can be either extracted phenomenologically from the data or calculated on the lattice. In the end one is able to organize all these terms in a double perturbative series in the strong coupling  $\alpha_s$  and in the relative velocity  $v$  of the heavy quarks, and then to make predictions at any given order of accuracy.

In quarkonia decays, photons arise from electromagnetic coupling to both heavy and light quarks. While contributions coming from the former, at leading order (LO) in  $\alpha_s$  in the Colour-Singlet Model (CSM) –i.e. at the lowest order in  $v$  expansion in NRQCD– have been known for a long time and are one of the first tests of QCD [2, 3], LO contributions coming from collinear emission from light quarks have surprisingly been considered only recently by Catani and Hautmann [5]. The inclusion of these ‘fragmentation’ contributions within the CSM was found to greatly affect the photon spectrum in the  $\Upsilon$  decay at low values of the energy fraction taken away by the photon [5]. Moreover, one finds that at LO such a contribution comes entirely from the gluon, as the decay into light quarks vanishes.

It then becomes natural to assess to which extent this picture remains unchanged at next-to-leading order (NLO) in  $\alpha_s$  and in  $v$ . The aim of this work is to investigate the effects of colour-octet intermediate states on the photon spectrum, at fixed order in  $\alpha_s, \alpha_{\text{em}}, v$ , including the coupling of the photons to light quarks and gluons. In fact, while the order of magnitude of octet contributions is predicted using scaling rules, and found to be suppressed by powers of  $v$  with respect to the LO colour-singlet ones, their short-distance coefficients receive contributions at lower order of  $\alpha_s$ , and are then numerically enhanced. Furthermore, once leading logarithmic corrections are included, it is found that, contrary to the colour-singlet case, quark and gluon fragmentation into a photon appears at the same order in the  $\alpha_s, \alpha_{\text{em}}$  expansion and there is no signature to distinguish between the two.

The paper is organized as follows. In section 2 we summarize the analysis of quarkonium decay into photons and hadrons in the framework of NRQCD. Section 3 describes the NLO calculation

and the technique used to isolate and cancel/subtract IR and collinear divergences. In section 4 we give estimates for the non-perturbative matrix elements by comparing the NLO predictions for total decay rates with experimental data. Finally, we present a numerical study of the impact of octet states on the shape of the photon spectrum. The last section is devoted to our conclusions. Appendix A collects symbols and notation, appendix B collects the results for the Born decay rates in  $D$  dimensions. A summary of the NLO results is provided in appendix C, where differential decay rates are presented in their final form, after cancellation of all singularities.

## 2 NRQCD and fragmentation

A consistent description of the photon energy spectrum in  $\Upsilon \rightarrow \gamma + X$  decay requires the inclusion of the fragmentation components [5]. The differential photon decay can be expressed in terms of a convolution between partonic kernels  $C_a$  and the fragmentation functions  $D_{a \rightarrow \gamma}$ :

$$\begin{aligned} \frac{d\Gamma}{dz} &= C_\gamma(z) + \sum_{a=q,\bar{q},g} \int_z^1 \frac{dx}{x} C_a(x, \mu_F) D_{a \rightarrow \gamma}\left(\frac{z}{x}, \mu_F\right) \\ &\equiv C_\gamma + \sum_a C_a \otimes D_{a \rightarrow \gamma}, \end{aligned} \quad (1)$$

where  $z = E_\gamma/m_Q$  is the rescaled energy of the photon ( $m_Q$  is the heavy-quark mass). The first term corresponds to what is usually called the ‘prompt’ or ‘direct’ photon production where the photon is produced directly in the hard interaction while the second one corresponds to the long-distance fragmentation process where one of the partons fragments and transfers a fraction of its momentum to the photon.

Each type of parton,  $a$ , contributes according to the process-independent parton-to-photon fragmentation functions  $D_{a \rightarrow \gamma}^B$  and the sum runs over all partons. Note that although the fragmentation functions are non-perturbative, we can assign a power of coupling constants, based on naively counting the couplings necessary to radiate a photon: since the photon couples directly to the quark,  $D_{q \rightarrow \gamma}$  is of  $\mathcal{O}(\alpha_{\text{em}})$ , while we might expect that  $D_{g \rightarrow \gamma}$  is of  $\mathcal{O}(\alpha_{\text{em}}\alpha_s)$ . An explicit calculation at leading order in  $\alpha_s$  gives:

$$zD_{q \rightarrow \gamma}(z) = e_q^2 \frac{\alpha_{\text{em}}}{2\pi} z \mathcal{P}_{q \rightarrow \gamma}(z) \log \frac{Q^2}{\Lambda^2}, \quad (2)$$

$$zD_{g \rightarrow \gamma}(z) = 0, \quad (3)$$

where the  $\log(Q^2/\Lambda^2)$  in eq. (2) comes from the integration over the transverse momentum of the emitted photon and  $\Lambda$  is a collinear cut-off that reveals the breaking of the perturbative approach and can be chosen of the order of  $\Lambda_{\text{QCD}}$ . The photon fragmentation functions evolve with  $Q^2$  just as the usual hadronic fragmentation functions do, as a result of gluon bremsstrahlung and  $q\bar{q}$  pair production. Such evolution can be derived from a set of coupled equations, which are the usual Altarelli-Parisi equations but with an added term that takes into account the leading behaviour in eq. (2). The main result of the evolution is that  $D_{g \rightarrow \gamma}$  acquires a non-vanishing contribution so

that all the  $D_{a \rightarrow \gamma}$  show the typical logarithmic growth of eq. (2). This leads to using the following leading-log approximation (LLA) for the fragmentation functions [6]:

$$D_{a \rightarrow \gamma}(z, Q) = \frac{1}{b_0} \frac{\alpha_{\text{em}}}{\alpha_s(Q)} f_a(z), \quad (4)$$

where  $f_a(z)$  are to be extracted from the data. This shows explicitly that in general the determination of the spectrum at  $O(\alpha_{\text{em}}\alpha_s^k)$  requires the knowledge of partonic kernels  $C_a$  in eq. (1) at  $O(\alpha_s^{k+1})$ . This observation was first made, in quarkonia decays, by Catani and Hautmann [5] who evaluated the effects of fragmentation contributions to the photon energy spectrum within the CSM. They found a strong enhancement in the region of small  $z$ , where soft radiation becomes dominant.

In the NRQCD perspective, a heavy-quarkonium state is represented by a superposition of infinite  $Q\bar{Q}$  pair configurations organized in powers of  $v$ ;  $v \equiv \langle \vec{v}^2 \rangle^{1/2}$  is the average velocity of the heavy quark in the quarkonium rest frame. Within this framework, the decay width is expanded in terms of the matrix elements of 4-fermion operators (that create and annihilate a given  $Q\bar{Q}$  pair) times perturbative coefficients associated to each operator. By implementing the NRQCD factorization formalism within the fragmentation picture, the effects of higher Fock components in the quarkonium state can therefore be evaluated systematically.

The NRQCD expansion for the coefficients  $C_i(x)$  reads:

$$C_i = \sum_{\mathcal{Q}} C_i[\mathcal{Q}] \quad i = \gamma, q, \bar{q}, g, \quad (5)$$

$$C_i[\mathcal{Q}] = \hat{C}_i[\mathcal{Q}](\alpha_s(m_Q), \mu_\Lambda) \frac{\langle \Upsilon | \mathcal{O}(\mathcal{Q}, \mu_\Lambda) | \Upsilon \rangle}{m^{\delta_{\mathcal{Q}}}}, \quad (6)$$

where  $\mu_\Lambda$  is the NRQCD factorization scale and  $\hat{C}_i[\mathcal{Q}](x, \alpha_s(m_Q), \mu_\Lambda)$  the perturbative coefficients (here we have dropped the dependence of  $\hat{C}_i$  on the fragmentation scale  $\mu_F$ ). The NRQCD sum is performed over all the relevant spin, angular momentum and colour configurations  $\mathcal{Q}$  that contribute at a given order in  $v$ . In the case of a  $\Upsilon$ , the structure of the Fock state at order  $v^4$  is

$$|\Upsilon\rangle = O(1) |b\bar{b}[^3S_1^{[1]}]\rangle + \sum_J O(v) |b\bar{b}[^3P_J^{[8]}]\rangle + O(v^2) |b\bar{b}[^1S_0^{[8]}]\rangle + O(v^2) |b\bar{b}[^3S_1^{[1,8]}]\rangle. \quad (7)$$

As a consequence, eq. (5) can be written in the following explicit form:

$$\begin{aligned} C_i = & \hat{C}_i[^3S_1^{[1]}] \frac{\langle \Upsilon | \mathcal{O}_1(^3S_1) | \Upsilon \rangle}{m^2} + \hat{C}_i[^3S_1^{[1]}] \frac{\langle \Upsilon | \mathcal{P}_1(^3S_1) | \Upsilon \rangle}{m^4} + \sum_J \hat{C}_i[^3P_J^{[8]}] \frac{\langle \Upsilon | \mathcal{O}_8(^3P_J) | \Upsilon \rangle}{m^4} \\ & + \hat{C}_i[^1S_0^{[8]}] \frac{\langle \Upsilon | \mathcal{O}_8(^1S_0) | \Upsilon \rangle}{m^2} + \hat{C}_i[^3S_1^{[8]}] \frac{\langle \Upsilon | \mathcal{O}_8(^3S_1) | \Upsilon \rangle}{m^2} + O(v^6). \end{aligned} \quad (8)$$

Let us consider the direct contributions ( $i = \gamma$ ). The leading colour-singlet dimension-6 operator contribution is of  $O(\alpha_s^2\alpha_{\text{em}})$ , and the  $\mathcal{P}_1$  – operator contribution is suppressed by  $v^2$ . All the colour-octet processes start contributing at  $O(\alpha_s\alpha_{\text{em}}v^4)$ . By naive power counting, and using the approximate relation  $\alpha_s \sim v^2$ , one finds therefore that the octet states contribute to the same

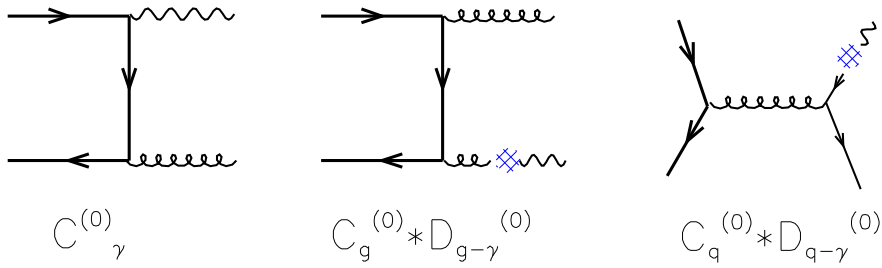


Figure 1: Sample of LO Feynman diagrams: direct and fragmentation.

order as the singlet relativistic corrections and might be comparable in size to these. Moreover differential quantities are obviously sensitive to the details of the kinematics and so it may happen that contributions that are suppressed by standard counting rules are actually leading, in some particular region of the phase space.

LO diagrams are shown in fig. 1. By considering the following perturbative QCD expansions of the coefficients  $C_a[\mathcal{Q}]$  and of the fragmentation functions  $D_{i \rightarrow j}$ ,

$$C_a[\mathcal{Q}] = \left( \hat{C}_a^{(0)}[\mathcal{Q}] + \hat{C}_a^{(1)}[\mathcal{Q}] \right) \frac{\langle \Upsilon | \mathcal{O}(\mathcal{Q}) | \Upsilon \rangle}{m^{\delta_{\mathcal{Q}}}} \equiv C_a^{(0)}[\mathcal{Q}] + C_a^{(1)}[\mathcal{Q}] + \dots, \quad (9)$$

$$D_{i \rightarrow j} = D_{i \rightarrow j}^{(0)} + D_{i \rightarrow j}^{(1)} + \dots, \quad (10)$$

one is able to write the general structure of the LO spectrum:

$$\frac{d\Gamma^{(0)}}{dz} = \sum_{\mathcal{Q}} \left\{ C_{\gamma}^{(0)}[\mathcal{Q}] + C_g^{(0)}[\mathcal{Q}] \otimes D_{g \rightarrow \gamma}^{(0)} + 2 C_q^{(0)}[\mathcal{Q}] \otimes D_{q \rightarrow \gamma}^{(0)} \right\}. \quad (11)$$

Since the LO colour-octet contributions have a two particle final state, the kinematics is fixed and the delta function  $\delta(1-x)$  of the short-distance coefficient transforms the convolutions in trivial products:

$$\begin{aligned} \frac{d\Gamma^{(0)}}{dz} &= \sum_{\mathcal{Q}} \left[ \Gamma_{\text{Born}}(\mathcal{Q} \rightarrow g\gamma) \delta(1-z) + 2 \Gamma_{\text{Born}}(\mathcal{Q} \rightarrow gg) D_{g \rightarrow \gamma}^{(0)}(z) \right] \\ &\quad + 2 \sum_q \Gamma_{\text{Born}}({}^3S_1^{[8]} \rightarrow q\bar{q}) D_{q \rightarrow \gamma}^{(0)}(z), \end{aligned} \quad (12)$$

where the first sum is performed over the lowest-order non-zero octet configurations  $\mathcal{Q} = {}^1S_0^{[8]}$ ,  ${}^3P_0^{[8]}$ ,  ${}^3P_2^{[8]}$ , while the second one over the flavours of the light quarks. As eq. (12) shows, at leading order the colour-octet contributions are proportional to the fragmentation functions and

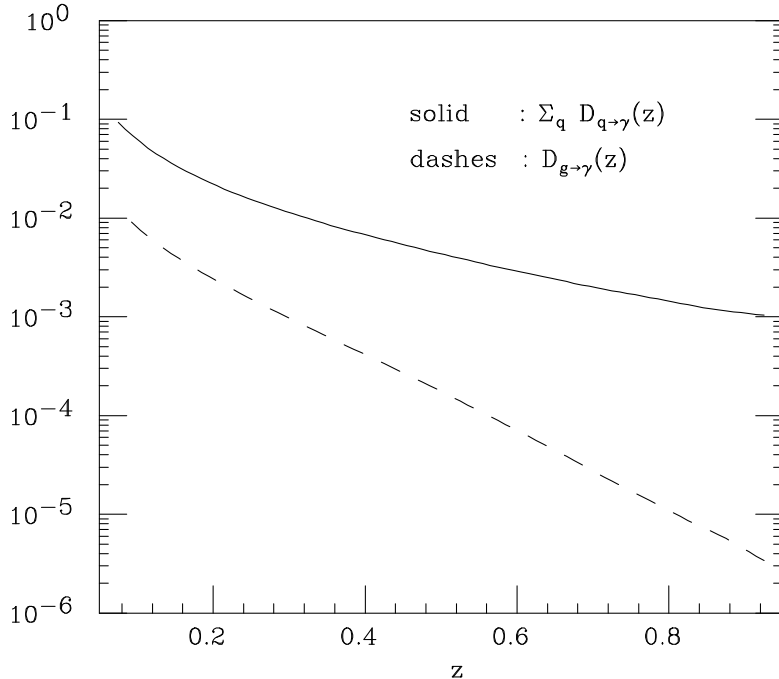


Figure 2: Fragmentation functions of a light parton into a photon according to the reference [8].

to terms proportional to  $\delta(1 - z)$  which do not contribute for  $z < 1^3$ .

The fragmentation functions of a light parton into a photon have been calculated by several groups [8, 9]. In this paper we employ the set recently developed by Bourhis, Fontannaz and Guillet [8]. In fig. 2 functions  $D_{g \rightarrow \gamma}(z)$  and  $\sum_q D_{q \rightarrow \gamma}(z)$  are shown: as was previously stated, the contribution from quarks is dominant.

### 3 NLO radiative decays: the calculation technique

In this section we briefly describe the strategy for the calculation of higher-order corrections. A consistent calculation of these entails the evaluation of the real and virtual emission diagrams, carried out in  $D$  dimensions. The UV divergences present in the virtual diagrams are removed by the standard renormalization. The IR divergences appearing after the integration over the phase

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<sup>3</sup>Although we did not include these ‘direct’ terms in our analysis, we expect that resummation of higher order effects for  $z \sim 1$  will induce an effective smearing of the delta function and ‘feed down’ some photons to lower values of  $z$  [7]. This point will be discussed in more detail in the sequel.

space of the emitted parton are cancelled by similar divergences present in the virtual corrections, or by higher-order corrections to the long-distance matrix elements [4]. Collinear divergences, finally, are either cancelled by similar divergences in the virtual corrections or by factorization into the NLO fragmentation functions. The evaluation of the real emission matrix elements in  $D$  dimensions being particularly complex, we follow in this paper the technique developed in ref. [10] and already employed in [11, 12], whereby the structure of soft and collinear singularities in  $D$  dimensions is extracted by using universal factorization properties of the amplitudes. Thanks to these factorization properties, the residues of all IR and collinear poles in  $D$  dimensions can be obtained without an explicit calculation of the full  $D$ -dimensional real matrix elements. In general they only require the knowledge of the  $D$ -dimensional Born-level amplitudes, a much simpler task. The isolation of these residues allows the complete cancellations of the relative poles in  $D$  dimensions to be carried out, leaving residual finite expressions, which can then be evaluated exactly directly in  $D = 4$  dimensions. In this way one can avoid the calculation of the full  $D$ -dimensional real-emission matrix elements. Furthermore, the four-dimensional real matrix elements that will be required have been known in the literature for quite some time [13, 14]. The study of the soft behaviour of the real-emission amplitudes was already presented in [11, 12] and we made substantial use of those results.

To be more specific, let us consider the three-body decay processes  $\mathcal{Q}^{[1,8]} \rightarrow k_1 + k_2 + k_3$ , where  $\mathcal{Q}^{[1,8]} \equiv Q\bar{Q}^{[2S+1]L_J^{[1,8]}}$ . Using the conservation of energy-momentum and rotational invariance, it is straightforward to verify that there are only two independent variables, which we chose to be  $x_i$ , the fraction of energy of the parton whose spectrum we are interested in, and  $y$ , the cosine of the angle of such parton with one of the other two. Within this choice, the differential decay width in  $D$  dimensions reads :

$$C_i^{(1)}[\mathcal{Q}] = \frac{\Phi_{(2)}}{2M} \frac{N}{K} \frac{1}{\mathcal{S}_1} x_i^{1-2\epsilon} (1-x_i)^{-1-\epsilon} \int_0^1 dy [y(1-y)]^{-1-\epsilon} f_R[\mathcal{Q}](x_i, y) + \frac{\Phi_{(2)}}{2M\mathcal{S}_2} f_V[\mathcal{Q}] \delta(1-x_i) \equiv C_i^{(R)}[\mathcal{Q}] + C_i^{(V)}[\mathcal{Q}]. \quad (13)$$

The NLO spectrum coefficients are the sum of the virtual and the real ( $R$ ) and the virtual ( $V$ ) QCD corrections. In general both channels  $ggg$  and  $q\bar{q}g$  contribute to the real term, the  $\mathcal{S}_{1,2}$  are factors that account for the right counting for identical particles in the final state, and for the multiplicity of the various corrections, and  $\Phi_{(2)}$  is the total two-body phase space in  $D$  dimensions:

$$\Phi_{(2)} = \frac{1}{8\pi} \left( \frac{4\pi}{M^2} \right)^\epsilon \frac{\Gamma(1-\epsilon)}{\Gamma(2-2\epsilon)}, \quad (14)$$

while  $N$  and  $K$  are defined as

$$N = \frac{M^2}{(4\pi)^2} \left( \frac{4\pi}{M^2} \right)^\epsilon \Gamma(1+\epsilon), \quad K = \Gamma(1+\epsilon)\Gamma(1-\epsilon) \sim 1 + \epsilon^2 \frac{\pi^2}{6}. \quad (15)$$

The function  $f(x, y)$  is defined as

$$f_R[\mathcal{Q}](x_i, y) = (1-x_i)y(1-y) \overline{\sum} |A_R[\mathcal{Q}](x_i, y)|^2 \quad (16)$$

$$f_V[\mathcal{Q}] = 2 \operatorname{Re} \overline{\sum} (A_B A_V^*). \quad (17)$$

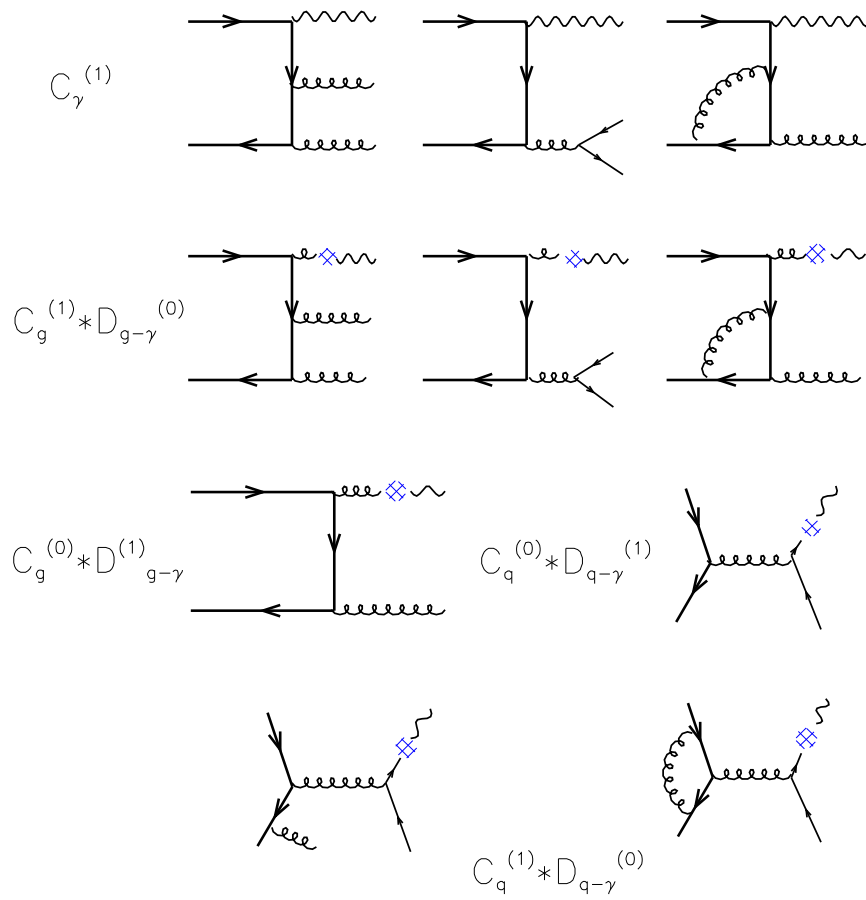


Figure 3: Sample of NLO Feynman diagrams: direct and fragmentation.



Since divergences can appear only at the border of phase space, i.e.  $y = 0, y = 1, x_i = 0, x_i = 1$ ,  $f_R$  is finite for all values of  $x$  and  $y$  within the integration domain. Therefore all singularities of the total decay rates can be easily extracted by isolating the  $\epsilon \rightarrow 0$  poles from those factors in eq. (13) that explicitly depend on  $x_i$  and  $y$ . It must be noted that an infrared divergence arises in the limit  $x_i \rightarrow 0$  when  $i = g$ , giving a term of the form  $\sim \log x_g$  in the width. Nevertheless we are not interested in regularizing such a divergence, since, in this case, the physical resolution of the detector works as a physical cut-off. For the same reason the virtual gluon emission at  $x_g = 0$  has not been included in the account of the multiplicities.

The virtual coefficients can be extracted straightforwardly from ref. [12]. The calculation of the real coefficients is much more complicated, and it has been carried out by exploiting the soft properties of the amplitude obtained in refs. [11, 12]. To illustrate the fundamental steps of the calculation of the real part, we consider here the  $C_g^{(R)}[\mathcal{Q}]$  coefficients, with  $\mathcal{Q}$  being one among the  $C$ -even configurations  $^1S_0^{[8]}, ^3P_0^{[8]}, ^3P_2^{[8]}$ . Let also  $n_f = 0$  for the time being, so that we neglect contributions coming from the decay into  $q\bar{q}g$ . In this case we reorganize the first term of eq. (17) by expanding the structure in powers of  $\epsilon$  and using the symmetry of the phase space. Considering the spectrum of the gluon “1”, we find

$$\begin{aligned}
C_g^{(R)}[\mathcal{Q}] &= \frac{\Phi_{(2)}}{2M} \frac{N}{K} \frac{1}{\mathcal{S}_1} \left[ 2 \left( \frac{1}{1-x} \right)_+ f_R[\mathcal{Q}](x, 0) \left( -\frac{1}{\epsilon_{\text{coll}}} + 2 \log x \right) \right. \\
&+ 2x \left( \frac{\log(1-x)}{1-x} \right)_+ f_R[\mathcal{Q}](x, 0) - \frac{1}{\epsilon} \delta(1-x) \int_0^1 dy [y(1-y)]^{-1-\epsilon} f_R[\mathcal{Q}](x, y) \\
&\left. + 2 \left( \frac{1}{1-x} \right)_+ \int_0^1 dy \left( \frac{1}{y} \right)_+ f_R[\mathcal{Q}](x, y) \right]. \tag{18}
\end{aligned}$$

The soft divergences  $\sim \delta(1-x)$  cancel by adding the virtual contribution in the same area of the phase space. The last piece of eq. (18) is a state-dependent finite contribution. The limit  $y \rightarrow 0$  corresponds to gluon 1 and gluon 2 becoming collinear  $1\parallel 2$  and the factor 2 in front accounts for the case  $1\parallel 3$ . Integration over the phase space gives rise to a pole labelled by  $\epsilon_{\text{coll}}$  and a universal finite part. This divergence is not cancelled by adding the virtual term and reveals that non-perturbative effects are leading in this case. In fact the residual sensitivity can be consistently factorized into the fragmentation function of the gluon into the photon. Such singular residual collinear part corresponds to the first term in eq. (18) plus the collinear piece of the virtual contribution ( $\sim \delta(1-x)$ ) that comes from the gluon, ghost self-energy loops of the gluon we are selecting, so that it reads

$$\begin{aligned}
C_g^{(\text{coll})}[\mathcal{Q}] &= -\frac{1}{\epsilon_{\text{coll}}} \left( \frac{4\pi\mu^2}{M^2} \right)^\epsilon \Gamma(1+\epsilon) \frac{\alpha_s}{\pi} \times \\
&\left\{ 2C_A \left[ \frac{x}{(1-x)_+} + \frac{1-x}{x} + x(1-x) \right] + \frac{11}{6} C_A \delta(1-x) \right\} \Gamma_{\text{Born}}[\mathcal{Q}]. \tag{19}
\end{aligned}$$

If we now switch on the light flavours including  $q\bar{q}g$  and gluon vacuum polarization diagrams, then

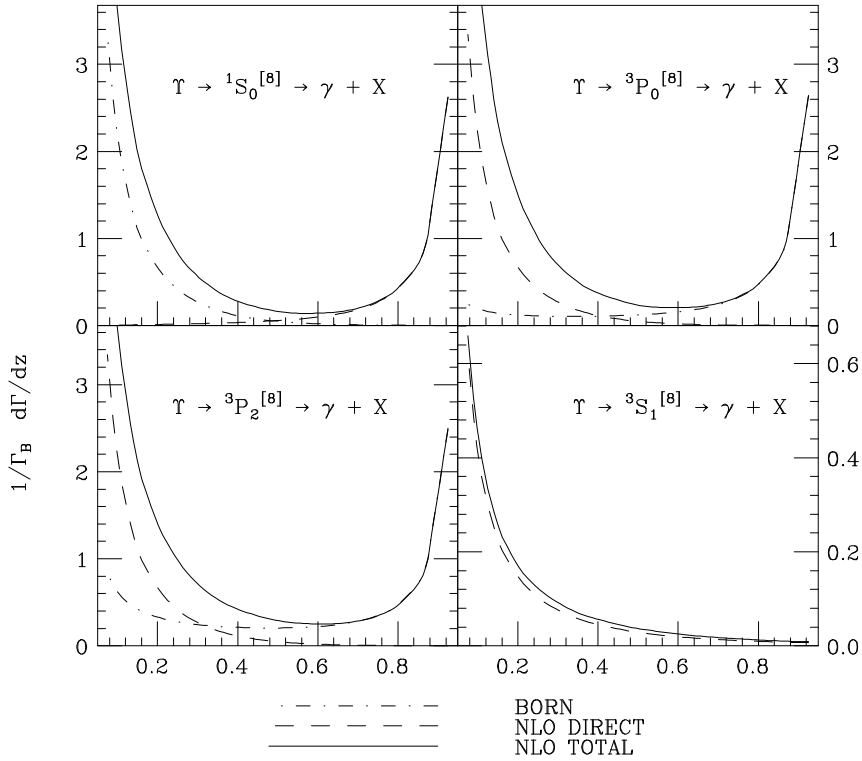


Figure 4: Different colour-octet contributions to the photon spectrum in the  $\Upsilon$  decay up to  $O(v^4)$ . The differential decay widths  $d\Gamma/dz$  are reported as a function of  $z = E_\gamma/m$ . All the distributions displayed are normalized to the respective Born (that is  $Q \rightarrow g\gamma$  for  $C$ -even and  ${}^3S_1^{[8]} \rightarrow q\bar{q}$  for the only  $C$ -odd contribution).

we obtain the conventional counter-term  $\sim \mathcal{P}_{gg}$ , which has to be subtracted at the factorization scale  $\mu_F$ .

This procedure can be extended to all short-distance terms and may be useful to express the factorization in a more general way. At NLO the individual terms in eq. (1) may be divergent and will be denoted by tilded quantities. As we have already mentioned, such divergences correspond only to two final partons becoming collinear, and their form is dictated by the factorization theorem. According to this we can reorganize them as follows:

$$\begin{aligned}
\tilde{C}_\gamma &= C_\gamma + \sum_q C_q \otimes \mathcal{G}_{q \rightarrow \gamma} + C_g \otimes \mathcal{G}_{g \rightarrow \gamma}, \\
\tilde{C}_q &= \sum_{q'} C_{q'} \otimes \mathcal{G}_{q' \rightarrow q} + C_g \otimes \mathcal{G}_{g \rightarrow q}, \\
\tilde{C}_g &= \sum_q C_q \otimes \mathcal{G}_{q \rightarrow g} + C_g \otimes \mathcal{G}_{g \rightarrow g},
\end{aligned} \tag{20}$$

where all of the divergences are now concentrated in the factorization-scale-dependent, transition

functions  $\mathcal{G}_{i \rightarrow j}$ :

$$\mathcal{G}_{a \rightarrow b} = \delta_{ab} \delta(1-x) + \frac{\alpha_s}{2\pi} \frac{1}{\Gamma(1-\epsilon)} \left( \frac{4\pi\mu^2}{\mu_F^2} \right) \left[ -\frac{1}{\epsilon} \mathcal{P}_{ba}(x) \right] + K_{ab}(x), \quad (21)$$

$$\mathcal{G}_{g \rightarrow \gamma} = K_{g\gamma}(x), \quad (22)$$

$$\mathcal{G}_{q \rightarrow \gamma} = \left( \frac{\alpha_{\text{em}} e_q^2}{2\pi} \right) \frac{1}{\Gamma(1-\epsilon)} \left( \frac{4\pi\mu^2}{\mu_F^2} \right)^\epsilon \left[ -\frac{1}{\epsilon} \mathcal{P}_{\gamma q} \right] + K_{q\gamma}(x), \quad (23)$$

where  $a = g, q, \bar{q}$  and all the coefficients  $C_i$  are now finite for  $\epsilon \rightarrow 0$ . The functions  $\mathcal{P}_{ba}(x)$  are the  $D = 4$  Altarelli-Parisi splitting kernels, collected in appendix A, and the factors  $K_{ij}$  are arbitrary functions, defining the factorization scheme. In this paper we adopt the  $\overline{\text{MS}}$  factorization, in which  $K_{ij}(x) = 0$  for all  $i, j$ . The collinear factors  $\mathcal{G}_{i \rightarrow j}$  are usually absorbed into the bare fragmentation functions by defining

$$\begin{aligned} D_{q \rightarrow \gamma} &= \mathcal{G}_{q \rightarrow \gamma} + \sum_{q'} \mathcal{G}_{q \rightarrow q'} \otimes D_{q' \rightarrow \gamma}^B + \mathcal{G}_{q \rightarrow g} \otimes D_{g \rightarrow \gamma}^B, \\ D_{g \rightarrow \gamma} &= \mathcal{G}_{g \rightarrow \gamma} + \sum_q \mathcal{G}_{g \rightarrow q} \otimes D_{q \rightarrow \gamma}^B + \mathcal{G}_{g \rightarrow g} \otimes D_{g \rightarrow \gamma}^B, \end{aligned} \quad (24)$$

so that we can write the physical decay rate in terms of finite quantities,

$$\frac{d\Gamma}{dz}(\gamma + X) = C_\gamma + \sum_q C_q \otimes D_{q \rightarrow \gamma} + C_g \otimes D_{g \rightarrow \gamma}. \quad (25)$$

As illustrated in fig. 3, we write the general structure of the NLO processes as

$$\begin{aligned} \frac{d\Gamma^{(1)}}{dz} &= \sum_{\mathcal{Q}} \left[ C_\gamma^{(1)}[\mathcal{Q}] + C_g^{(1)}[\mathcal{Q}] \otimes D_{g \rightarrow \gamma}^{(0)} + C_g^{(0)}[\mathcal{Q}] \otimes D_{g \rightarrow \gamma}^{(1)} \right. \\ &\quad \left. + 2C_q^{(1)}[\mathcal{Q}] \otimes D_{q \rightarrow \gamma}^{(0)} + 2C_q^{(0)} \otimes D_{q \rightarrow \gamma}^{(1)} \right]. \end{aligned} \quad (26)$$

## 4 Results

In the previous section we have shown how the short-distance coefficients have been calculated and all the final-state collinear divergences have been consistently absorbed into fragmentation functions. Now, in order to investigate the phenomenological applications of colour-octet states, an estimate of the NRQCD matrix elements (ME) must be given. The long-distance MEs can be calculated on the lattice, extracted from experiments when enough data are available, or roughly determined by using scaling rules of NRQCD or by renormalization group (RG) arguments. At the present time none of the aforementioned techniques is able to give a set of precise values for MEs and, as we will see below, estimates are affected by large uncertainties.

The velocity-scaling of the MEs is basically determined by the number of derivatives in the respective operators and by the number of electric or magnetic dipole transitions between the

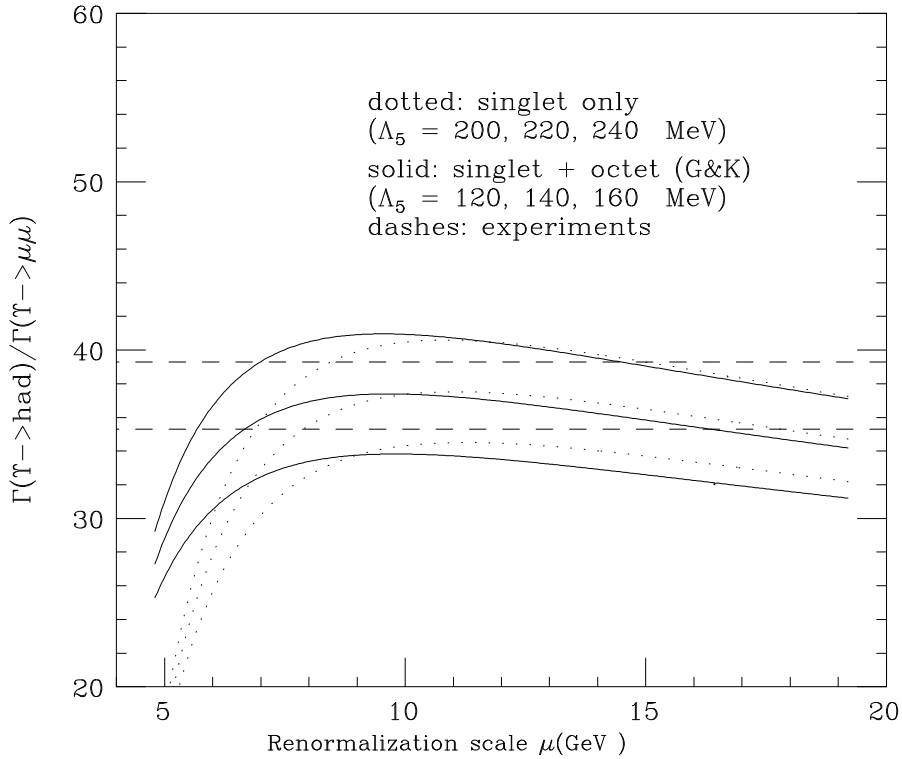


Figure 5: Ratio  $\Gamma(\Upsilon \rightarrow \text{had})/\Gamma(\Upsilon \rightarrow \mu^+\mu^-)$  versus renormalization scale  $\mu$  for different values of  $\Lambda_5$ . The solid lines include NLO colour-octet contributions with the RG estimates of the matrix elements. The dotted lines include colour-singlet only.

$Q\bar{Q}$  pair annihilated at short distance and the  $Q\bar{Q}$  pair in the asymptotic physical state. This can nicely be described by a multipole expansion of the non-perturbative transition  $\Upsilon \rightarrow \mathcal{Q}$ : an  $^1S_0^{[8]}$  can be reached by a chromo-magnetic dipole transition, an  $^3S_1^{[8]}$  by a double chromo-electric emission and  $^3P_J^{[8]}$  by a simple chromo-electric transition. The first two are of order  $v^4$  while the last only of order  $v^2$ . Finally, since the hard-production vertex for a  $P$ -wave is already suppressed by  $v^2$  relative to the production of an  $S$ -state, one realizes that the colour-octet  $C$ -even states and  $^1S_0^{[8]}$  all contribute at the same order in  $v$ . Following this approach, we can write:

$$\langle \Upsilon | \mathcal{O}_8(^3S_1) | \Upsilon \rangle \approx v^4 \langle \Upsilon | \mathcal{O}_1(^3S_1) | \Upsilon \rangle \quad \langle \Upsilon | \mathcal{O}_8(^3P_J) | \Upsilon \rangle \approx m^2 v^4 \langle \Upsilon | \mathcal{O}_1(^3S_1) | \Upsilon \rangle, \quad (27)$$

where for bottomonium one usually takes  $v^2 \simeq 0.1$  and  $m \simeq 4.8$  GeV.

An alternative approach has been considered by Gremm and Kapustin in [15]. They obtain estimates for the colour-octet operators by solving the RG equations. To order  $v^4$  and leading order in  $\alpha_s$ , they read:

$$\Lambda \frac{d}{d\Lambda} \langle \Upsilon | \mathcal{O}_8(^1S_0) | \Upsilon \rangle = O(\alpha_s v^6), \quad (28)$$

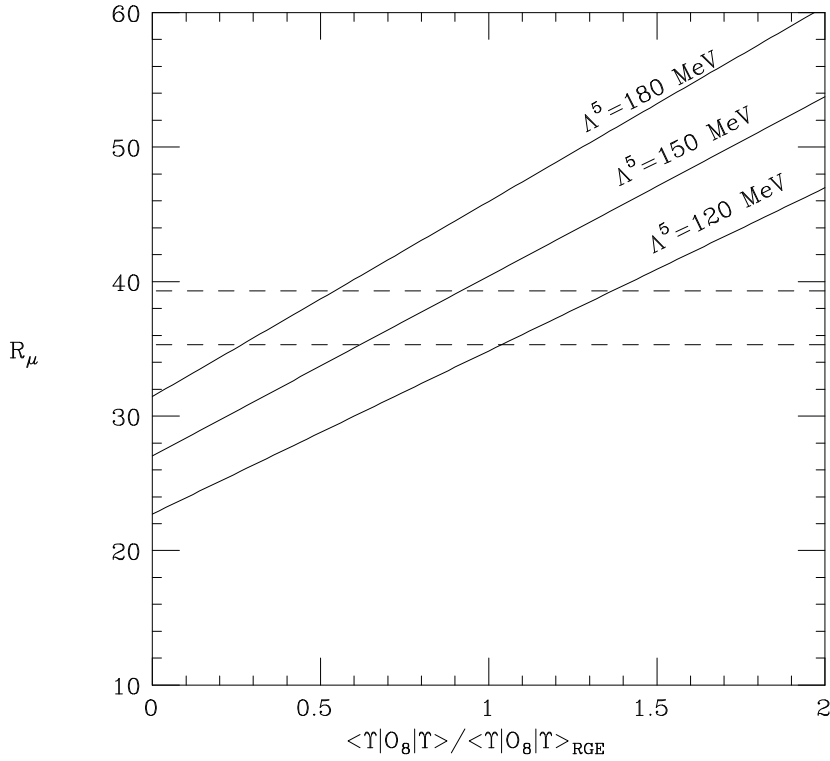


Figure 6: Ratio  $\Gamma(\Upsilon \rightarrow \text{had})/\Gamma(\Upsilon \rightarrow \mu^+\mu^-)$  versus colour-octet matrix elements for different values of  $\Lambda_5$ . The dashed lines indicate the  $2\sigma$  interval of the experimental value for  $R_\mu$ .

$$\Lambda \frac{d}{d\Lambda} \langle \Upsilon | \mathcal{O}_8(^3S_1) | \Upsilon \rangle = \frac{24B_F\alpha_s}{\pi m^2} \langle \Upsilon | \mathcal{O}_8(^3P_0) | \Upsilon \rangle, \quad (29)$$

$$\Lambda \frac{d}{d\Lambda} \langle \Upsilon | \mathcal{O}_8(^3P_0) | \Upsilon \rangle = \frac{8C_F\alpha_s}{81\pi} (mv^2)^2 \langle \Upsilon | \mathcal{O}_1(^3S_1) | \Upsilon \rangle, \quad (30)$$

where we used the heavy quark spin symmetry to reexpress the expectation values of  $\mathcal{O}_8(^3P_{1,2})$  in terms of  $\mathcal{O}_8(^3P_0)$ . We note here that our normalization for the colour-singlet NRQCD operators differs from the original one introduced by BBL, i.e.  $\mathcal{O}_1 = \frac{1}{2N_c} \mathcal{O}_1^{\text{BBL}}$ . Equation (29) differs from the respective equations that appear in ref. [15] because we included the contribution of  ${}^3P_1^{[8]}$  to the evolution of  $\mathcal{O}_8(^3S_1)$ , which was left out in the previous treatment<sup>4</sup>. Assuming that logarithmic terms of the evolution are dominant [4, 15] over the MEs evaluated at a starting scale  $\Lambda \sim \Lambda_{QCD}$ , we obtain:

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<sup>4</sup>The authors of ref. [15] agree that it is correct to include the  ${}^3P_1^{[8]}$  contribution in the right-hand side of eq. (29) (private communication).

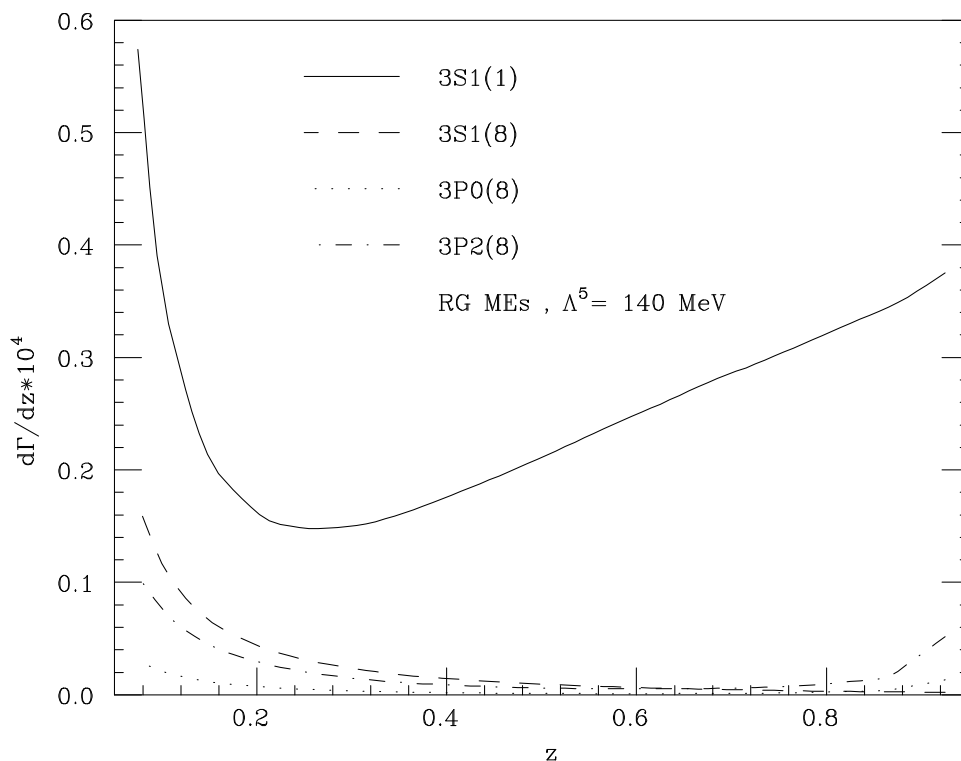


Figure 7: Various Fock contributions to the photon spectrum as a function of  $z = E_\gamma/m$ . The solid line gives the LO singlet contribution. Fragmentation and NLO direct are summed up for each colour-octet state. The NRQCD MEs are related to the colour-singlet one through the RG estimate. The colour-singlet matrix element is arbitrarily chosen to be  $\langle \Upsilon | \mathcal{O}_1(^3S_1) | \Upsilon \rangle = M^2/4\pi$ , so that comparison with ref. [5] is straightforward.

$$\langle \Upsilon | \mathcal{O}_8(^3S_1) | \Upsilon \rangle_{\text{RG}} \approx \frac{32B_F C_F}{27} v^4 \left( \frac{1}{b_0} \log \left( \frac{1}{\alpha_s(m)} \right) \right)^2 \langle \Upsilon | \mathcal{O}_1(^3S_1) | \Upsilon \rangle, \quad (31)$$

$$\langle \Upsilon | \mathcal{O}_8(^3P_0) | \Upsilon \rangle_{\text{RG}} \approx \frac{8C_F}{81} m^2 v^4 \frac{1}{b_0} \log \left( \frac{1}{\alpha_s(m)} \right) \langle \Upsilon | \mathcal{O}_1(^3S_1) | \Upsilon \rangle, \quad (32)$$

$$\langle \Upsilon | \mathcal{O}_8(^1S_0) | \Upsilon \rangle_{\text{RG}} \approx 0. \quad (33)$$

Once numbers are plugged into the previous expression, one realizes that MEs in eqs. (27) result larger by more than one order of magnitude with respect to the RG estimates shown in eqs. (31)-(33). This suggests that the very first assumption, i.e. that the non-perturbative matrix elements should be dominated by QCD evolution, is doubtful and cannot be justified unless their input values were accidentally much smaller than the ‘natural’ values given in eqs. (27). In any case, therefore, the estimates in eqs. (31)-(33) provide a lower limit for the range of all possible

values.

To obtain an independent test of the RG estimates and possibly find an upper limit for the matrix elements, we have analysed their impact on the observable  $R_\mu(\Upsilon) = \Gamma(\Upsilon \rightarrow \text{had})/\Gamma(\Upsilon \rightarrow \mu^+\mu^-)$ . This observable is particularly advantageous because of the cancellation of several sources of uncertainties: both the colour-singlet NRQCD matrix element and the overall dependence on the bottom mass cancel in the ratio. As a result the mass enters only in the logarithm of the renormalization scale and its uncertainties can be naturally associated to the choice of the scale itself.

In fig. 5, the ratio  $R_\mu$  is plotted versus the renormalization scale  $\mu$  (i.e. the NRQCD factorization scale is kept equal to the renormalization one);  $\Gamma_{\mu\mu}$  includes the  $\mathcal{P}_1(^3S_1)$ -operator contribution [4] and the NLO QCD corrections [16];  $\Gamma_{\text{had}}$  includes the NLO QCD colour-singlet [17] and the  $\mathcal{P}_1(^3S_1)$ -operator contribution [18, 15] (dotted curves) and the NLO colour-octet  $^3S_1^{[8]}, ^1S_0^{[8]}, ^3P_J^{[8]}$  [11] (solid curves). The dashed lines limit the  $2\sigma$  band of the experimental value of  $R_\mu = 37.3 \pm 1.0$  [19]. The theoretical curves are drawn according to the following choice of parameters:  $v^2 = 0.1$  and  $\alpha_{\text{EM}}(m_b) = 1/132$ . Hence fig. 5 shows that, once the colour-octet RG MEs estimation is plugged in, the ratio  $R_\mu$  is consistent with the experiments only for  $\Lambda_5 \simeq 140$  MeV ( $\alpha_s(M_Z) \simeq 0.110$ ). On the other hand if we drop the colour-octet term, just the NLO colour-singlet contribution can still reproduce the experimental measure of  $R_\mu$  by choosing a much higher value of  $\Lambda_5$ , namely  $\Lambda_5 \simeq 220$  MeV ( $\alpha_s(M_Z) \simeq 0.118$ ).

Now we fix the renormalization scale  $\mu_R = 10$  GeV. We note that, more than corresponding to the ‘natural’ choice  $\mu_R \simeq M_\Upsilon$ , this value also satisfies the so-called ‘minimal sensitivity principle’ [20], i.e. it is the value at which  $\mu_R \frac{d}{d\mu_R} R_\mu(\mu_R)$  vanishes. Within this choice, we plot the ratio  $R_\mu$  versus the variable

$$x = \frac{\langle \Upsilon | \mathcal{O}_8(^3P_0) | \Upsilon \rangle}{\langle \Upsilon | \mathcal{O}_8(^3P_0) | \Upsilon \rangle_{\text{RG}}} = \frac{\langle \Upsilon | \mathcal{O}_8(^3S_1) | \Upsilon \rangle}{\langle \Upsilon | \mathcal{O}_8(^3S_1) | \Upsilon \rangle_{\text{RG}}}. \quad (34)$$

The result is shown in fig. 6. The solid lines represent the theoretical calculation of  $R_\mu$  and the dashed lines are the  $2\sigma$  experimental range, as in fig. 5. The larger the colour-octet MEs are, the smaller  $\Lambda_5$  has to be taken. In particular, already for values of the MEs of the order of twice the RG estimates, we would find a value of  $\Lambda_5 \simeq 80$  MeV ( $\alpha_s(M_Z) \simeq 0.102$ ), well outside the present world average range.

Following this line one finds that the MEs provided by the velocity scaling rules are strictly excluded. In an ideal global fit perspective both the value of  $\Lambda_5$  and the colour-octet MEs should be extracted from the data. Unfortunately the experimental inputs in the  $\Upsilon$  decay sector are not sufficient to perform a fit of such a large number of unknown parameters.

As a confirmation of what we found in fig. 5, fig. 6 shows that the RG estimate reproduces the experimental value of  $R_\mu$  for  $\Lambda_5 \simeq 140$  MeV. Such a value of  $\Lambda_5$  corresponds to  $\alpha_s(m_b) \simeq 0.190$  and  $\alpha_s(M_Z) \simeq 0.110$ . The world average of  $\alpha_s$  ( $\alpha_s(M_Z) = 0.119 \pm 0.004$ ) (or equivalently  $\Lambda_5 \simeq 237$  MeV) is actually consistent with a vanishing (or even negative) octet contribution to the  $\Upsilon$  decay into hadrons. Nevertheless the uncertainties involved are still large: NNLO QCD corrections (reflected in the  $\mu$  dependence of the NLO correction) might be important as well as higher twist

effects. A clear indication that higher order effects are not negligible, comes from the two-loop calculation of the leptonic width recently performed by Beneke et al. [21]: in this case, it is found that the  $O(\alpha_s^2)$  corrections (NNLO) are of the same size (or even larger) of the NLO ones.

Summing up, we can say that on the one hand comparison with scaling rules of NRQCD shows that RG estimates have to be thought of as a lower limit, while on the other hand consistency between theory and experiment in total decay rates strongly disfavour much larger colour-octet MEs. We then conclude that the RG estimates of the colour-octet MEs, although sizeably smaller than expected from NRQCD scaling rules, are the most reasonable at the present stage of our knowledge.

In fig. 4 we show in detail the contribution of the single colour-octet components. The figure reports LO, direct, and full NLO contributions for states normalized to their respective Born decay widths at  $\mathcal{O}(\alpha_s\alpha_{\text{em}})$ . Let us consider the  $C$ -even states first ( $^1S_0^{[8]}$ ,  $^3P_0^{[8]}$ ,  $^3P_2^{[8]}$ ). It is evident that they contribute to the spectrum with a very similar shape: there is a strong enhancement at low values of  $z$  due to the fragmentation contribution that is present both at LO and NLO. Then it is clearly seen that direct photons mainly contribute near the end-point, a zone of the spectrum where the fixed-order calculation is not reliable: in fact there are clear indications of a need of resummation both in the short-distance perturbative expansion in  $\alpha_s$  and in the long-distance  $v$  series. In ref. [7] Rothstein and Wise identified an infinite class of NRQCD operators, which determine the shape of photonic end-point functions, and introduced the so-called ‘shape function’, to be extract from data. The overall effect of colour-octet states would be a smearing of the energy distribution near the end-point on the interval  $v^2 \approx 0.1$ . In the case of the  $^3S_1^{[8]}$  component, the direct amplitude is not divergent in  $z = 1$  and the NLO correction to the LO fragmentation picture is very small. Indeed the NLO contribution from direct photons is negative in the  $\overline{\text{MS}}$ -renormalization scheme and is almost balanced by the other NLO fragmentation terms.

Finally figs. 7 and 8 show the total contribution to the spectrum, using the RG estimate for the non-relativistic matrix elements. We notice that the overall effect of octet states is at its minimum in the central region of the spectrum, exactly where the singlet LO direct contribution dominates. This indicates that this region of the spectrum is ‘safe’ from colour-octet effects, and therefore we think that it should be used to make a comparison with experimental data. Moreover this indicates that relativistic corrections to the singlet (which are indeed important) and higher-order strong ones should be included to have a consistent theoretical picture at NLO. On the other side, for small values of  $z$ , colour-octet components are not negligible. In this area of the phase space, the fragmentation components from gluons contribute at the same order in  $\alpha_s$  as the ones from quarks, and there is no signature to distinguish between the two. Contrary to LO expectations in the framework of CSM [5], we conclude that the decay of  $\Upsilon$  into a photon would not be useful for an estimate of the photon fragmentation functions.

As a final remark, we notice that, not surprisingly, many of the aspects of the photon spectrum in quarkonium decay, resemble those in photoproduction [22, 23]. Cross-sections plotted versus the inelasticity  $z$  of the quarkonium state show a very similar pattern: for  $z \approx 1$ , a divergence, which is not supported by the available experimental data, reveals the breaking of the NRQCD expansion in powers of  $\alpha_s$  and  $v$ . On the other side, for low values of  $z$ , the resolved contributions,



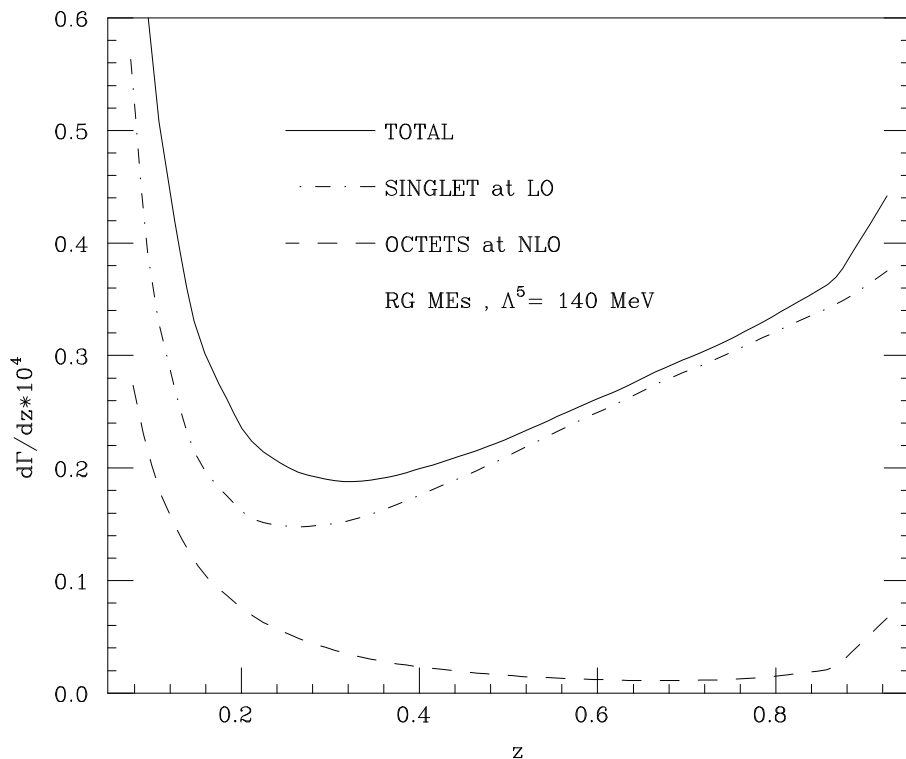


Figure 8: Total colour-octet contribution on the LO, colour-singlet photon spectrum. Notice that neither NLO QCD nor relativistic effects are included in the singlet contribution. Normalization and MEs as in fig. 7.

which corresponds to fragmentation in the decays, are indeed dominated by colour-octet states.

## 5 Conclusions

We presented the calculation of  $\mathcal{O}(\alpha_s^2 \alpha_{\text{em}})$  colour-octet corrections to the decay of  $\Upsilon$  into one photon plus light hadrons. Both direct and fragmentation contributions have been included at NLO. In order to study the impact of these contributions on the photon spectrum, an estimate of the non-perturbative MEs was also given. By comparing the available experimental data on fully inclusive and leptonic decay rates with the NLO theoretical predictions of NRQCD, we found an unexpected result: estimates based on naïve scaling rules result in large colour-octet contributions to the total rates which are not consistent with the data. In particular, it turns out that non-perturbative MEs should be much smaller than expected from NRQCD scaling rules. Nevertheless, using the above mentioned estimates for the non-perturbative MEs, we showed that there are sizeable effects at the end-points of the spectrum of the photon. In the case of low values of  $z$ , the possibility of measuring the fragmentation function of a gluon into a photon, which was

suggested by the LO result in the CSM [5], becomes unfeasible: for the colour-octet states both quark and gluon fragmentation processes are of the same order in  $\alpha_s \alpha_{\text{em}}$  and there is no signature to distinguish between the two. Moreover, for values of  $z$  near the end-point, breaking of the fixed-order calculation is manifest, and the resummations of both short-distance coefficient in  $\alpha_s$  and non-perturbative MEs in  $v$ , are called for. Nevertheless a ‘safe’ region, for  $0.3 < z < 0.9$ , has been found where octet effects are at their minimum and the perturbative expansion in powers of  $\alpha_s$  and  $v$  under proper control. Following this point of view, we consider the NLO QCD correction the colour-singlet differential decay  $d\Gamma/dE_\gamma(\Upsilon \rightarrow {}^3S_1^{[1]} \rightarrow \gamma gg)$  worth while to be undertaken.

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## A Symbols and notations

This appendix collects the meaning of various symbols, which are used throughout the paper.

Kinematical factors:

$$M = 2m, \quad v = \sqrt{1 - \frac{M^2}{s}}, \quad (35)$$

where  $s$  is the partonic centre-of-mass energy squared and  $S_{had}$  is the hadronic one;  $v$  is the velocity of the bound (anti)quark in the quarkonium rest frame,  $2v$  then being the relative velocity of the quark and the antiquark. The following expression is used:

$$f_\epsilon(Q^2) = \left( \frac{4\pi\mu^2}{Q^2} \right)^\epsilon \Gamma(1 + \epsilon) = 1 + \epsilon \left( -\gamma_E + \log(4\pi) + \log \frac{\mu^2}{Q^2} \right) + \mathcal{O}(\epsilon^2). \quad (36)$$

Altarelli-Parisi splitting functions. Several functions related to the AP splitting kernels enter in our calculations. We collect here our definitions:

$$P_{qq}(x) = C_F \left[ \frac{1+x^2}{1-x} - \epsilon(1-x) \right], \quad (37)$$

$$\mathcal{P}_{qq}(x) = C_F \left[ \frac{1+x^2}{(1-x)_+} + \frac{3}{2} \delta(1-x) \right], \quad (38)$$

$$P_{qg}(x) = T_F \left[ x^2 + (1-x)^2 - 2\epsilon x(1-x) \right], \quad (39)$$

$$\mathcal{P}_{qg}(x) = T_F \left[ x^2 + (1-x)^2 \right], \quad (40)$$

$$P_{\gamma q}(x) = \frac{1 + (1-x)^2}{x} - \epsilon x, \quad (41)$$

$$\mathcal{P}_{\gamma q}(x) = \frac{1 + (1-x)^2}{x}, \quad (42)$$

$$P_{gq}(x) = C_F \left[ \frac{1 + (1-x)^2}{x} - \epsilon x \right], \quad (43)$$

$$\mathcal{P}_{gq}(x) = C_F \left[ \frac{1 + (1-x)^2}{x} \right], \quad (44)$$

$$P_{gg}(x) = 2C_A \left[ \frac{x}{1-x} + \frac{1-x}{x} + x(1-x) \right], \quad (45)$$

$$\mathcal{P}_{gg}(x) = 2C_A \left[ \frac{x}{(1-x)_+} + \frac{1-x}{x} + x(1-x) \right] + b_0 \delta(1-x). \quad (46)$$

The  $P_{ij}$  are the  $D$ -dimensional splitting functions that appear in the factorization of collinear singularities from real emission, while the functions  $\mathcal{P}_{ij}$  are the four-dimensional AP kernels, which enter in the  $\overline{\text{MS}}$  collinear counter-terms. The ‘+’ and ‘a’ distributions are defined by:

$$\int_0^1 dx [T(x)]_+ \phi(x) = \int_0^1 dx T(x) [\phi(x) - \phi(1)], \quad (47)$$

$$\int_a^1 dx [T(x)]_a \phi(x) = \int_a^1 dx T(x) [\phi(x) - \phi(1)], \quad (48)$$

where  $T(x)$  is the function associated to the distributions  $[T(x)]_{+,a}$ . We recall a useful weak distributional identity:

$$[T(x)]_+ = [T(x)]_a - \delta(1-x) \int_0^a T(x) dx. \quad (49)$$

In particular it is straightforward to get:

$$\left( \frac{1}{1-x} \right)_+ = \left( \frac{1}{1-x} \right)_a + \delta(1-x) \log(1-a), \quad (50)$$

$$\left( \frac{\log(1-x)}{1-x} \right)_+ = \left( \frac{\log(1-x)}{1-x} \right)_a + \delta(1-x) \frac{1}{2} \log^2(1-a). \quad (51)$$

### Colour coefficients

$$C_F = \frac{N_c^2 - 1}{2N_c}, \quad C_A = N_c, \quad B_F = \frac{N_c^2 - 4}{4N_c}, \quad T_F = \frac{1}{2}. \quad (52)$$

The following standard symbol is used:

$$b_0 = \frac{11}{6} C_A - \frac{2}{3} T_F n_f, \quad (53)$$

with  $n_f$  the number of flavours *lighter* than the bound one.

NRQCD operators. To denote a perturbative  $Q\bar{Q}$  state with generic spin and angular momentum quantum numbers, and in a colour-singlet or colour-octet state, we use the symbol:

$$\mathcal{Q}^{[1,8]} \equiv Q\bar{Q}[^{2S+1}L_J^{[1,8]}]. \quad (54)$$

Notice that, according to the discussion in ref. [11], our conventions differ from the Bodwin, Braaten and Lepage ones [4] (labelled here as BBL) in the case of a colour-singlet.

$$\mathcal{O}_1 = \frac{1}{2N_c} \mathcal{O}_1^{\text{BBL}}, \quad (55)$$

$$\mathcal{O}_8 = \mathcal{O}_8^{\text{BBL}}. \quad (56)$$

## B Summary of lowest order results

### B.1 Born widths

The decay rates read

$$\Gamma(\Upsilon \rightarrow \mathcal{Q}^{[1,8]} \rightarrow ab) = \hat{\Gamma}(\mathcal{Q}^{[1,8]} \rightarrow ab) \langle \Upsilon | \mathcal{O}_{[1,8]}(^{2S+1}L_J) | \Upsilon \rangle, \quad (57)$$

the short-distance coefficients  $\hat{\Gamma}$  having been calculated according to the rules of ref. [11]. We shall use the short-hand notation

$$\Gamma(\mathcal{Q}^{[1,8]} \rightarrow ab) \equiv \Gamma(\Upsilon \rightarrow \mathcal{Q}^{[1,8]} \rightarrow ab) \quad (58)$$

to indicate the decay of the physical quarkonium state  $H$  through the intermediate  $Q\bar{Q}$  state  $\mathcal{Q}^{[1,8]} = Q\bar{Q}[^{2S+1}L_J^{[1,8]}]$ . The  $D$ -dimensional ( $D = 4 - 2\epsilon$ )  $O(\alpha_s \alpha_{\text{em}})$  level decay rates read:

$$\Gamma_{\text{Born}}(^1S_0^{[8]} \rightarrow g\gamma) = \frac{32\alpha_s \alpha_{\text{em}} e_Q^2 \mu^{4\epsilon} \pi^2}{m^2} \Phi_{(2)}(1 - \epsilon)(1 - 2\epsilon) \langle \Upsilon | \mathcal{O}_8(^1S_0) | \Upsilon \rangle, \quad (59)$$

$$\Gamma_{\text{Born}}(^3S_1^{[8]} \rightarrow g\gamma) = 0, \quad (60)$$

$$\Gamma_{\text{Born}}(^3P_0^{[8]} \rightarrow g\gamma) = \frac{288\alpha_s \alpha_{\text{em}} e_Q^2 \mu^{4\epsilon} \pi^2}{m^4} \Phi_{(2)} \frac{1 - \epsilon}{3 - 2\epsilon} \langle \Upsilon | \mathcal{O}_8(^3P_0) | \Upsilon \rangle, \quad (61)$$

$$\Gamma_{\text{Born}}(^3P_1^{[8]} \rightarrow g\gamma) = 0, \quad (62)$$

$$\Gamma_{\text{Born}}(^3P_2^{[8]} \rightarrow g\gamma) = \frac{64\alpha_s \alpha_{\text{em}} e_Q^2 \mu^{4\epsilon} \pi^2}{m^4} \Phi_{(2)} \frac{(6 - 13\epsilon + 4\epsilon^2)}{(3 - 2\epsilon)(5 - 2\epsilon)} \langle \Upsilon | \mathcal{O}_8(^3P_2) | \Upsilon \rangle. \quad (63)$$

Lowest  $O(\alpha_s^2)$  contributions:

$$\Gamma_{\text{Born}}(^1S_0^{[8]} \rightarrow gg) = B_F \frac{16\alpha_s^2 \mu^{4\epsilon} \pi^2}{m^2} \Phi_{(2)}(1 - \epsilon)(1 - 2\epsilon) \langle \Upsilon | \mathcal{O}_8(^1S_0) | \Upsilon \rangle, \quad (64)$$

$$\Gamma_{\text{Born}}(^3S_1^{[8]} \rightarrow q\bar{q}) = 8 \frac{\alpha_s^2 \mu^{4\epsilon} \pi^2}{m^2} \Phi_{(2)} \frac{1 - \epsilon}{3 - 2\epsilon} \langle \Upsilon | \mathcal{O}_8(^3S_1) | \Upsilon \rangle, \quad (65)$$

$$\Gamma_{\text{Born}}(^3P_0^{[8]} \rightarrow gg) = B_F \frac{144\alpha_s^2 \mu^{4\epsilon} \pi^2}{m^4} \Phi_{(2)} \frac{(1-\epsilon)}{(3-2\epsilon)} \langle \Upsilon | \mathcal{O}_8(^3P_0) | \Upsilon \rangle, \quad (66)$$

$$\Gamma_{\text{Born}}(^3P_1^{[8]} \rightarrow gg) = 0, \quad (67)$$

$$\Gamma_{\text{Born}}(^3P_2^{[8]} \rightarrow gg) = B_F \frac{32\alpha_s^2 \mu^{4\epsilon} \pi^2}{m^4} \Phi_{(2)} \frac{(6-13\epsilon+4\epsilon^2)}{(3-2\epsilon)(5-2\epsilon)} \langle \Upsilon | \mathcal{O}_8(^3P_2) | \Upsilon \rangle, \quad (68)$$

where  $\Phi_{(2)}$  is defined according to eq. (14).

## B.2 The LO spectrum coefficients $C^{(0)}[\mathcal{Q}]$

We can now read out the lowest-order coefficients according to eqs. (5)–(11). For  $\mathcal{Q} = ^3P_J^{[8]}, ^1S_0^{[8]}$  we have:

$$C_\gamma^{(0)}[\mathcal{Q}](z) = \Gamma_{\text{Born}}[\mathcal{Q} \rightarrow g\gamma] \delta(1-z), \quad (69)$$

$$C_g^{(0)}[\mathcal{Q}](x) = 2\Gamma_{\text{Born}}[\mathcal{Q} \rightarrow gg] \delta(1-x), \quad (70)$$

$$C_q^{(0)}[\mathcal{Q}](x) = 0, \quad (71)$$

and for  $^3S_1^{[8]}$ :

$$C_\gamma^{(0)}[^3S_1^{[8]}](z) = 0, \quad (72)$$

$$C_g^{(0)}[^3S_1^{[8]}](x) = 0, \quad (73)$$

$$C_q^{(0)}[^3S_1^{[8]}](x) = \Gamma_{\text{Born}}[\mathcal{Q} \rightarrow q\bar{q}] \delta(1-x). \quad (74)$$

## C Summary of $O(\alpha_s^2 \alpha_{\text{em}})$ results

### C.1 The NLO photonic coefficients $C_\gamma^{(1)}[\mathcal{Q}]$

We summarize the NLO spectrum coefficient following the convention of eqs. (5)–(26). The photon energy fraction is  $z = E_\gamma/m$ . Components  $\sim \delta(z)$  have been neglected. For  $\mathcal{Q} = ^1S_0^{[8]}, ^3P_0^{[8]}, ^3P_2^{[8]}$ , we have:

$$\begin{aligned} C_\gamma^{(1)}[\mathcal{Q}] &= \frac{\alpha_s}{2\pi} \Gamma_{\text{Born}}[\mathcal{Q} \rightarrow g\gamma] \left[ \left( A[\mathcal{Q}] + 2b_0 \log \frac{\mu_R}{2m} \right) \delta(1-z) \right. \\ &\quad \left. + \left( \frac{1}{1-z} \right)_+ f_1^\gamma[\mathcal{Q}](z) + \left( \frac{\log(1-z)}{1-z} \right)_+ f_2^\gamma[\mathcal{Q}](z) \right], \end{aligned} \quad (75)$$

where

$$A[^1S_0^{[8]}] = C_F \left( -10 + \frac{\pi^2}{2} \right) + C_A \left( \frac{121}{18} - \frac{\pi^2}{2} \right) - \frac{10}{9} n_f T_F, \quad (76)$$

$$A[{}^3P_0^{[8]}] = C_F \left( -\frac{14}{3} + \frac{\pi^2}{2} \right) + C_A \left( \frac{85}{18} - \frac{\pi^2}{2} \right) - \frac{10}{9} n_f T_F, \quad (77)$$

$$A[{}^3P_2^{[8]}] = -8C_F + C_A \left( \frac{47}{9} + \log 2 \right) - \frac{8}{45} n_f T_F, \quad (78)$$

and

$$f_1^\gamma[{}^1S_0^{[8]}](z) = C_A \frac{(-72 + 144z - 176z^2 + 104z^3 - 23z^4)}{6(-2+z)^2 z} + n_f T_F \frac{2}{3} z, \quad (79)$$

$$f_1^\gamma[{}^3P_0^{[8]}](z) = C_A \frac{1}{54(-2+z)^4 z^3} \left( -960 + 3360z - 6224z^2 + 5312z^3 - 1544z^4 - 520z^5 \right. \\ \left. + 496z^6 - 136z^7 + 9z^8 \right) + n_f T_F \frac{2}{27z} (z+2)^2, \quad (80)$$

$$f_1^\gamma[{}^3P_2^{[8]}](z) = C_A \frac{1}{36(-2+z)^4 z^3} \left( -240 + 1848z - 7820z^2 + 13976z^3 - 12710z^4 + 6254z^5 \right. \\ \left. - 1628z^6 + 197z^7 - 15z^8 \right) + n_f T_F \frac{1}{9z} (10 - 5z + z^2), \quad (81)$$

$$f_2^\gamma[{}^1S_0^{[8]}](z) = C_A \frac{2(+12 - 36z + 56z^2 - 52z^3 + 28z^4 - 8z^5 + z^6)}{(-2+z)^3 z^2}, \quad (82)$$

$$f_2^\gamma[{}^3P_0^{[8]}](z) = C_A \frac{2}{9(-2+z)^5 z^4} \left( +160 - 720z + 1624z^2 - 2016z^3 + 1360z^4 - 468z^5 \right. \\ \left. + 104z^6 - 66z^7 + 40z^8 - 10z^9 + z^{10} \right), \quad (83)$$

$$f_2^\gamma[{}^3P_2^{[8]}](z) = C_A \frac{1}{3(-2+z)^5 z^4} \left( +40 - 348z + 1618z^2 - 3684z^3 + 4702z^4 - 3669z^5 \right. \\ \left. + 1826z^6 - 582z^7 + 115z^8 - 13z^9 + z^{10} \right). \quad (84)$$

For the  ${}^3S_1^{[8]}$  component we get:

$$C_\gamma^{(1)}[{}^3S_1^{[8]}] = \frac{20\alpha_{\text{em}} e_Q^2 \alpha_s^2}{9} \left[ \frac{1}{z(-2+z)^2} (8 - 12z + 7z^2 - 2z^3) \right. \\ \left. + \frac{2}{(-2+z)^3 z^2} (-1+z)(8 - 12z + 5z^2) \log(1-z) \right] \frac{\langle \Upsilon | \mathcal{O}_8[{}^3S_1] | \Upsilon \rangle}{m^2} \\ + \Gamma_{\text{Born}}({}^3S_1^{[8]} \rightarrow q\bar{q}) \frac{\alpha_{\text{em}}}{\pi} P_{\gamma q}(z) \left( \log \frac{4m^2}{\mu_F^2} + \log(1-z) + 2 \log z \right) \sum_q e_q^2, \quad (85)$$

and finally for  ${}^3P_1^{[8]}$ :

$$C_\gamma^{(1)}[{}^3P_1^{[8]}] = \frac{2\alpha_{\text{em}} e_Q^2 \alpha_s^2}{3} \left[ \frac{1}{(-2+z)^4 z^3} (240 + 312z - 2620z^2 + 4204z^3 - 3150z^4 + 1260z^5 \right. \\ \left. - 276z^6 + 31z^7) + \frac{12}{(-2+z)^5 z^4} (-1+z)(40 + 52z - 430z^2 + 716z^3 - 588z^4 \right.$$

$$+ 275z^5 - 74z^6 + 11z^7 - z^8) \log(1-z) + \frac{2}{3} n_f \frac{2-x}{x} \Big] \frac{\langle \Upsilon | \mathcal{O}_8[{}^3P_1] | \Upsilon \rangle}{m^4}. \quad (86)$$

## C.2 The NLO gluonic coefficients $C_g^{(1)}[\mathcal{Q}]$

In this section we present the NLO QCD spectrum of the gluon arising from the colour-octet components. Contributions  $\sim \delta(x)$  have been neglected. The gluon energy fraction is denoted by  $x = E_g/m$ . For  $\mathcal{Q} = {}^1S_0^{[8]}, {}^3P_0^{[8]}, {}^3P_2^{[8]}$ , we have:

$$\begin{aligned} C_g^{(1)}[\mathcal{Q}] &= \frac{\alpha_s}{\pi} \Gamma_{\text{Born}}[\mathcal{Q} \rightarrow gg] \left[ \log \frac{4m^2}{\mu_F^2} \mathcal{P}_{gg}(x) + 2 \log x \mathcal{P}_{gg}(x) + \left( \frac{\log(1-x)}{1-x} \right)_+ (1-x) P_{gg}(x) \right. \\ &+ \left. \left( \frac{1}{1-x} \right)_+ f[\mathcal{Q}](x) + \left( B[\mathcal{Q}] + 4b_0 \log \frac{\mu_R}{2m} \right) \delta(1-x) \right], \end{aligned} \quad (87)$$

where:

$$B[{}^1S_0^{[8]}] = C_F \left( -10 + \frac{\pi^2}{2} \right) + C_A \left( \frac{139}{18} - \frac{1}{12} \pi^2 \right) - \frac{10}{9} n_f T_F \quad (88)$$

$$B[{}^3P_0^{[8]}] = C_F \left( -\frac{14}{3} + \frac{\pi^2}{2} \right) + C_A \left( \frac{235}{54} + \frac{70}{27} \log 2 - \frac{1}{12} \pi^2 \right) - \frac{10}{9} n_f T_F \quad (89)$$

$$B[{}^3P_2^{[8]}] = -8C_F + C_A \left( 5 + \frac{14}{9} \log 2 - \frac{1}{6} \pi^2 \right) - \frac{8}{45} n_f T_F, \quad (90)$$

and furthermore

$$\begin{aligned} f_g[{}^1S_0^{[8]}](x) &= \frac{C_A}{6(-2+x)^2 x} (-120 + 336x - 494x^2 + 410x^3 - 215x^4 + 72x^5 - 12x^6) \\ &+ \frac{2C_A(-1+x)}{(2-x)^3 x^2} (16 - 40x + 50x^2 - 26x^3 - 8x^4 + 16x^5 - 7x^6 + x^7) \log(1-x) \\ &+ n_f T_F \frac{2}{3} x, \end{aligned} \quad (91)$$

$$\begin{aligned} f_g[{}^3P_0^{[8]}](x) &= \frac{C_A}{54(-2+x)^4 x^3} (-1536 + 5376x - 9632x^2 + 10016x^3 - 9288x^4 + 12976x^5 \\ &- 16906x^6 + 13918x^7 - 6623x^8 + 1664x^9 - 172x^{10}) \\ &+ \frac{2C_A(-1+x)}{9(2-x)^5 x^4} (256 - 896x + 1504x^2 - 1008x^3 - 516x^4 + 1792x^5 - 2276x^6 \\ &+ 2011x^7 - 1220x^8 + 464x^9 - 99x^{10} + 9x^{11}) \log(1-x) \\ &+ n_f T_F \frac{2(2+x)^2}{27x}, \end{aligned} \quad (92)$$

$$\begin{aligned}
f_g[{}^3P_2^{[8]}](x) &= \frac{C_A}{36(-2+x)^4 x^3} (-384 + 3072x - 12704x^2 + 25376x^3 - 30738x^4 + 26998x^5 \\
&- 19231x^6 + 10924x^7 - 4373x^8 + 1028x^9 - 106x^{10}) \\
&+ \frac{C_A(-1+x)}{3(2-x)^5 x^4} (64 - 512x + 2032x^2 - 3840x^3 + 3747x^4 - 1577x^5 - 515x^6 \\
&+ 1162x^7 - 800x^8 + 308x^9 - 66x^{10} + 6x^{11}) \log(1-x) \\
&+ n_f T_F \frac{1}{9x} (10 - 5x + x^2). \tag{93}
\end{aligned}$$

For the  ${}^3S_1^{[8]}$  component we get:

$$\begin{aligned}
C_g^{(1)}[{}^3S_1^{[8]}] &= \frac{\alpha_s^3}{18} \left[ \frac{1}{(-2+x)^2 x} (1168 - 3264x + 3740x^2 - 2200x^3 + 693x^4 - 108x^5) \right. \\
&+ \left. \frac{4(-1+x)}{(-2+x)^3 x^2} (584 - 1632x + 1904x^4 - 1134x^3 + 324x^4 - 27x^5) \log(1-x) \right] \frac{\langle \Upsilon | \mathcal{O}_8[{}^3S_1] | \Upsilon \rangle}{m^2} \\
&+ n_f \Gamma_{\text{Born}}({}^3S_1^{[8]} \rightarrow q\bar{q}) \frac{\alpha_s}{\pi} \left[ \frac{-3 + 3x - x^2}{x} + \left( \log \frac{4m^2}{\mu_F^2} + \log(1-x) + 2 \log x \right) P_{gq}(x) \right]. \tag{94}
\end{aligned}$$

Finally for  ${}^3P_1^{[8]}$ :

$$\begin{aligned}
C_g^{(1)}[{}^3P_1^{[8]}] &= \frac{5\alpha_s^3}{18} \left[ \frac{1}{(-2+x)^4 x^3} (384 + 384x - 4192x^2 + 7552x^3 - 6446x^4 + 2876x^5 \right. \\
&- 485x^6 - 141x^7 + 82x^8 - 10x^9) + \frac{12}{(-2+x)^5 x^4} (-1+x)(64 + 64x - 688x^2 + 1280x^3 \\
&- 1181x^4 + 626x^5 - 195x^6 + 36x^7 - 4x^8) \log(1-x) + \left. \frac{2}{3} n_f \frac{2-x}{x} \right] \frac{\langle \Upsilon | \mathcal{O}_8[{}^3P_1] | \Upsilon \rangle}{m^4}. \tag{95}
\end{aligned}$$

### C.3 The NLO quark coefficients $C_q^{(1)}[\mathcal{Q}]$

We report in this section the quark energy spectrum in  $\mathcal{Q} \rightarrow q\bar{q}g$  decays. The adimensional energy of the quark  $E_q/m$  is denoted by  $x$ .

$$\begin{aligned}
C_q^{(1)}[{}^1S_0^{[8]}] &= \frac{\alpha_s}{\pi} \Gamma_{\text{Born}}[{}^1S_0^{[8]} \rightarrow gg] \left[ \mathcal{P}_{gq}(x) \log \frac{4m^2}{\mu_F^2} \right. \\
&+ \left. 2x(1-x)T_F + \mathcal{P}_{gq}(x) \log[x^2(1-x)] + f[{}^1S_0^{[8]}](x) \right], \tag{96}
\end{aligned}$$

where

$$f_q[{}^1S_0^{[8]}](x) = x(1-x)(1 + \log(1-x)). \tag{97}$$



We have

$$\begin{aligned}
C_q^{(1)}[{}^3S_1^{[8]}] &= \Gamma_{\text{Born}}[{}^3S_1^{[8]} \rightarrow q\bar{q}] \frac{\alpha_s}{\pi} \left[ \frac{1}{2} \mathcal{P}_{qq}(x) \log \frac{4m^2}{\mu_F^2} \right. \\
&+ C_F \frac{1+x^2}{(1-x)_+} \log x + \frac{C_F}{2} (1-x) + \frac{1}{2} (1-x) \left( \frac{\log(1-x)}{1-x} \right)_+ P_{qq}(x) \\
&\left. + \left( \frac{1}{1-x} \right)_+ f_q[{}^3S_1^{[8]}](x) + A[{}^3S_1^{[8]}] \delta(1-x) \right], \tag{98}
\end{aligned}$$

where

$$A[{}^3S_1^{[8]}] = C_F \left( -\frac{25}{4} + \frac{\pi^2}{3} \right) + C_A \left( \frac{50}{9} + \frac{2}{3} \log 2 - \frac{\pi^2}{4} \right) - \frac{10}{9} n_f T_F + 2 \log \frac{\mu_R}{2m}, \tag{99}$$

and

$$f_q[{}^3S_1^{[8]}](x) = C_F \frac{x}{4} (-4+x) - C_A \frac{x}{2} (5-5x+2x^2) + C_A (1-x)(-2+x) \log(1-x) \tag{100}$$

$$\begin{aligned}
C_q^{(1)}[{}^3P_J^{[8]}] &= B_F \alpha_s^3 \delta(1-x) \left[ -\frac{8}{9} \log \frac{\mu_\Lambda}{2m} + a_J \right] \frac{\langle \Upsilon | \mathcal{O}_8[{}^3P_J] | \Upsilon \rangle}{m^4} \\
&+ \frac{\alpha_s}{\pi} \Gamma_{\text{Born}}[{}^3P_J^{[8]} \rightarrow gg] \left[ 2x(1-x) T_F + \log[x^2(1-x)] \mathcal{P}_{qg}(x) + \left( \frac{1}{1-x} \right)_+ f_q^{(J)}(x) \right. \\
&\left. + \mathcal{P}_{qg}(x) \log \frac{4m^2}{\mu_F^2} \right], \quad [J = 0, 2]. \tag{101}
\end{aligned}$$

We also have

$$\begin{aligned}
C_q^{(1)}[{}^3P_1^{[8]}] &= B_F \alpha_s^3 \delta(1-x) \left[ -\frac{8}{9} \log \frac{\mu_\Lambda}{2m} + a_1 \right] \frac{\langle \Upsilon | \mathcal{O}_8[{}^3P_1] | \Upsilon \rangle}{m^4} \\
&+ \alpha_s^3 B_F \left( \frac{1}{1-x} \right)_+ f_q^{(1)}(x) \frac{\langle \Upsilon | \mathcal{O}_8[{}^3P_1] | \Upsilon \rangle}{m^4}, \tag{102}
\end{aligned}$$

where

$$a_0 = \frac{2}{9}, \quad a_1 = \frac{1}{9}, \quad a_2 = \frac{7}{45}, \tag{103}$$

and finally

$$f_q^{(0)}(x) = \frac{1}{27} \left[ x(33 - 72x + 43x^2) - 3(1-x)(4 - 9x + 9x^2) \log(1-x) \right], \tag{104}$$

$$f_q^{(1)}(x) = \frac{2}{9} \left[ x(3 + 6x - 5x^2) + 3(1-x) \log(1-x) \right], \tag{105}$$

$$f_q^{(2)}(x) = \frac{1}{36} \left[ x(57 - 90x + 53x^2) - 3(1-x)(5 - 12x + 12x^2) \log(1-x) \right]. \tag{106}$$

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