

**RESONANCE MEASUREMENTS USING FOURIER SPECTRUM ANALYSIS  
OF BEAM OSCILLATIONS**

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**ABSTRACT**

This notes describes the possibility to measure strength and phase of linear and non linear resonances by Fourier transform analysis of the beam response to a kick.

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# I. INTRODUCTION

Since four years we use at least a Fourier Transform of the beam response to a kick to measure the tune of the machine with a high quality hardware<sup>①</sup> and software<sup>②③</sup>. The idea of this note is to show that we can measure with the same device the strength and the phase of some linear and non-linear resonances acting on the beam behaviour. The perturbation method was used to understand the Fourier spectrum and direct integration was performed to confirm the result in some cases.

## II. Perturbation method

Many papers<sup>④⑤⑥</sup> describe the perturbation method to calculate the betatron motion in a storage ring and we need only to recall some results here. The perturbed Hamiltonian near an isolated resonance can be written as:

$$H(\phi_x, \phi_y, J_x, J_y; \theta) = \gamma_x J_x + \gamma_y J_y + 2k J_x^{k/2} J_y^{k/2} \cos \psi$$

with

$$\psi = (j-k)\phi_x + (l-m)\phi_y + \delta - p\theta$$

$N = j+k+l+m$  : order of resonance

$j, k, l, m \geq 0$

$p$  : resonance harmonic

$k, \delta$  : amplitude, phase of resonance

$$k e^{i\psi} = h_{jklm-p} = \frac{1}{2\pi} \frac{1}{2^{N/2}} \frac{1}{j!k!l!m!} \int_0^{2\pi} \beta_x^{k/2} \beta_y^{k/2} V_{k_1, k_2} e^{-i[(j-k)\phi_x + (l-m)\phi_y + p\theta]} d\theta$$

$$\theta = \frac{\Delta}{R} , k_1 = j+k, k_2 = l+m, W_{x,y} = \int_0^{\beta} \frac{R d\theta}{\beta_{x,y}} - \gamma_{x,y} \theta$$

$\beta_x, \beta_y$  : perturbation function in x, y plane

The field in the perturbed magnet is

$$B = \sum_{\substack{k_1, k_2 \\ k_1 + k_2 = N}} V_{k_1, k_2} x^{k_1} y^{k_2}$$

with  $V_{k_1, k_2} = \begin{cases} (-1)^{k_2/2} \frac{1}{\beta p} \left( \frac{\partial^{N-1} B_y}{\partial x^{N-1}} \right)_{x=y=0} & \text{for } k_2 \text{ even} \\ & \text{for Normal magnet} \end{cases}$

$V_{k_1, k_2} = (-1)^{(k_2+1)/2} \left( \frac{\partial^{N-1} B_x}{\partial x^{N-1}} \right)_{x=y=0}$  for  $k_2$  odd  
for skew magnet

with this formalism

$$x = \sqrt{2\beta_x J_x} \cos(\phi_x + W_x)$$

$$y = \sqrt{2\beta_y J_y} \cos(\phi_y + W_y)$$

For the unperturbed Hamiltonian ( $k=0$ )  $J_x$  ( $x, y$ ) is constant

$$2\beta_x J_x = \alpha^2 + (\beta_x p_x - \beta'_x \alpha)^2 \quad \text{with } p_x = \alpha' \quad \text{The phase advance}$$

$$\omega = \gamma_x \theta + \phi_x$$

The equation of motion obtained from the perturbed Hamiltonian can be written as:

$$\textcircled{1} \begin{cases} J'_x = -\frac{\partial H}{\partial \phi_x} = + 2m_0 k J_x^{k_1/2} J_y^{k_2/2} \sin \psi & m_0 = j-k \\ J'_y = -\frac{\partial H}{\partial \phi_y} = + 2n_0 k J_x^{k_1/2} J_y^{k_2/2} \sin \psi & n_0 = l-m \\ \phi'_x = \frac{\partial H}{\partial J_x} = \gamma_x + k_1 k J_x^{(k_1-2)/2} J_y^{k_2} \cos \psi \\ \phi'_y = \frac{\partial H}{\partial J_y} = \gamma_y + k_2 k J_x^{k_1/2} J_y^{(k_2-2)/2} \cos \psi \end{cases}$$

From equation  $\textcircled{1}$  we can find easily that

$$\frac{J_x}{m_0} - \frac{J_y}{n_0} = \text{const.}$$

and with  $\frac{\partial H}{\partial \theta} = \frac{dH}{d\theta} = \frac{p}{m_0} J'_x \Rightarrow H = \frac{p}{m_0} J_x + \text{const}$

$$\left| \begin{aligned} e J_x + 2m_0 k J_x^{k_1/2} J_y^{k_2/2} \cos \psi &= \text{const}_x \\ e J_y + 2n_0 k J_x^{k_1/2} J_y^{k_2/2} \cos \psi &= \text{const}_y \end{aligned} \right.$$

with  $e = m_0 \gamma_x + n_0 \gamma_y - p$

To solve equations (1)

we have used perturbation theory specially the Ruth's method (5)

The next canonical transformation is

$$G(\phi_x, \phi_y, J_x, J_y; \theta) = -2k \frac{J_x^{k_1/2} J_y^{k_2/2}}{e} \sin \psi + \phi_x J_x + \phi_y J_y$$

that gives

$$\begin{aligned} J_x &= J_x - 2m_0 \frac{k}{e} J_x^{k_1/2} J_y^{k_2/2} \sin \psi \\ J_y &= J_y - 2n_0 \frac{k}{e} J_x^{k_1/2} J_y^{k_2/2} \cos \psi \\ \phi_x &= \phi_x - \frac{k_1 k}{e} J_x^{(k_1-2)/2} J_y^{k_2/2} \sin \psi \\ \phi_y &= \phi_y - \frac{k_2 k}{e} J_x^{k_1/2} J_y^{(k_2-2)/2} \sin \psi \end{aligned}$$

(2)

and  $H_2(\phi_x, \phi_y, J_x, J_y, \theta) =$

$$J_x J_x + J_y J_y - \frac{k^2}{e} J_x^{k_1-1} J_y^{k_2-1} (m_0 k_1 J_y + n_0 k_2 J_x) (1 + \cos 2\psi)$$

the term in  $k^2$  is of second order. We can neglect the faster varying term  $\cos 2\psi$  and  $J_x, J_y$  become constant up to 2<sup>nd</sup> order in  $J$ . By using  $\phi_x', \phi_y'$  coming from  $H_2$  or (2)

We write

$$\psi' \left( 1 - \frac{\Delta e}{e} \cos \psi \right) = e \left( 1 - \frac{2k^2}{e^2} \beta \right)$$

with  $\Delta e = k J_x^{(k_1-2)/2} J_y^{(k_2-2)/2} (m_0 k_1 J_y + n_0 k_2 J_x)$

and  $\beta = \frac{J_x^{(k_1-2)} J_y^{(k_2-2)}}{J_x^{k_1} J_y^{k_2}} \left[ m_0^2 k_1 (k_1-1) J_y^2 + (e m_0 n_0) k_1 k_2 J_x J_y + n_0^2 k_2 (k_2-1) J_x^2 \right]$

$\frac{2k^2}{e} \beta$  represents the second order variation of  $\psi'$

$\Delta e$  is related to the width of the resonance

if  $\frac{\Delta e}{e}$  is small  $\psi = e \left( 1 - \frac{2k^2}{e^2} \beta \right) \theta + \psi_0$

if not  $\psi = e \left( 1 - \frac{2k^2}{e^2} \beta \right) \theta + \frac{\Delta e}{e} \cos \psi + \psi_0$

## III Analysis of oscillations

(4)

### III.1 The oscillation

The method involves picking the beam, preferably in the two planes ( $x, y$ ) simultaneously and looking at the oscillations turn by turn at the same place (H and V pick-up). If the beam has sufficiently small emittances (obtained by cooling) and with the machine tuned close to a resonance - not too close to avoid losses - it is possible to obtain the characteristics of that resonance.

I  
Knowing the variation of  $J_x$  and  $\phi_x$  to first order one could look at the beam behaviour using the relationship.

$$x = \sqrt{2\beta J_x} \cos(\phi_x + W_x)$$

③ i.e.  $x = \sqrt{2\beta J_x} \sqrt{1 - \frac{2m\alpha \cos\psi}{J_x}} \cos\left(\phi_{1x} - \frac{k_1 \alpha}{2J_x} \sin\psi + W_x\right)$

$$\text{with } \alpha = \frac{k}{E} J_x^{k/2} J_y^{k/2}$$

if  $\frac{\alpha}{J_x} \ll 1$

The Fourier spectrum is  $\frac{d}{d\theta} \left( \phi_{1x} - \frac{k_1 \alpha}{2J_x} \sin\psi + W_x \right) = f_x$

Looking at one place the oscillations of the beam  
 $\langle f_x \rangle = J_x + \text{phase independent perturbing terms}$

The amplitude of the oscillation at  $\langle f_x \rangle$  is  
 $a_x = \sqrt{2\beta J_x}$  and the original phase is  $\phi_{1x0} - \frac{k_1 \alpha}{2J_x} \sin\psi_0 = f_{xc}$

The same kind of result is obtained for vertical oscillation (6)

$$\langle f_y \rangle = \gamma_x + \text{perturbation}$$

$$a_y = \sqrt{2\beta_y J_y}$$

$$\psi_{y0} = \phi_{y0} - k_2 \frac{\alpha}{T_{ix}} \sin \psi_0$$

As the  $x$  and  $y$  oscillations can be viewed as amplitude and phase modulated one can try to demodulate to obtain the amplitude and phase. Two methods could be used to find the perturbations:

- the first one uses two pick-ups in one plane and two in the other with a phase difference not too far from  $\pi/2$  in each plane - for computing  $J_x, J_y$ .
- the second is just demodulation by computing the Fourier spectrum of  $x^2$  (amplitude demodulation).

### III - 2 Finding $J$

with two signals  $x_1$  and  $x_2$  in the horizontal plane it is possible to find the phase difference  $\phi$  between the pick-up and the amplitude ratio  $\frac{a_{1x}}{a_{2x}}$  of the signals. Using the normalized phase space we could write

$$X'_1 = \frac{1}{\sin \phi} (x_1 \cos \phi - x_2)$$

Introducing the electronic gain and the  $\beta_x$  value

$$X'_1 = \frac{1}{\sin \phi} \left( \frac{x_1}{a_{1x}} \cos \phi - \frac{x_2}{a_{2x}} \right)$$

As we have seen in II  $2J_x \approx X_1^2 + X'_1{}^2$

$$\text{Finally we find } \langle I_x \rangle a_{ix}^2 = \frac{1}{\sin^2 \psi} \left( z_1 \cos \psi - z_2 \frac{a_{ix}}{a_{ix}} \right)^2 \quad (5)$$

using the appropriate relation (2) the only frequencies we could see on the Fourier spectrum

of  $\langle I_x \rangle a_{ix}^2$  are:

$$\begin{aligned} 0 & : \text{amplitude } (\langle I_x \rangle = \langle I_x \rangle) \times a_{ix}^2 \\ \pm \langle \psi \rangle & : \begin{array}{l} \text{amplitude } \propto a_{ix}^2 \\ \text{phase } \psi_0 \end{array} \end{aligned}$$

We should be careful of the value of  $\psi_0$

if  $e > 0$  i.e. above the resonance

$$\psi_0 = \psi_{\text{measured}} + 180^\circ \quad (\text{- sign of } \cos \psi)$$

if  $e < 0$  i.e. under the resonance

$$\psi_0 = -\psi_{\text{measured}} \quad (\text{right frequency i.e.})$$

By comparing  $\psi_0 = m_0 \phi_{x0} + n_0 \phi_{y0} + \delta$  with the equation of (2) we could extract  $\delta = \psi_0 - m_0 \phi_{x0} - n_0 \phi_{y0}$  which is the phase of the resonance term compared to the point of measurement

To find the strength  $k$  of the resonance we should calibrate the electronic gain

from  $I_x$  spectrum we measure

$$\begin{aligned} \mu_2 &= a_{ix}^2 I_x \\ \mu_2 &= a_{ix}^2 \frac{2m_0 k}{e} I_x^{k/2} I_y^{k/2} \end{aligned}$$

from  $I_y$  spectrum we measure

$$\begin{aligned} \mu_3 &= a_{iy}^2 I_y \\ \mu_4 &= a_{iy}^2 \frac{2n_0 k}{e} I_x^{k/2} I_y^{k/2} \end{aligned}$$



we suppose  $m_0, n_0, k_1, k_2$  are known as well  $\textcircled{2}$   
 as  $\langle \psi' \rangle$  we get 4 equations  
 with 5 unknowns  $(I_x, I_y, k, \frac{a_{ix}^2}{I_x}, \frac{a_{iy}^2}{I_x})$

that can be solved if  $k_1 = k_2 = 1$   
 but for other cases we have to make  
 another measurements just by inverting the  
 electronic channel of H and V.

The above method could be used with  
 the results of tracking programs to find  
 what are the perturbing resonances.

### III-3 Démodulation by squaring

From equation  $\textcircled{3}$

$$x^2 = \sqrt{I_x} \left\{ I_x \cos \psi \right\} \left\{ 1 + \cos \left( 2\phi_{ix} - \frac{k_1}{I_x} \alpha \sin \psi + 2\psi \right) \right\}$$

the frequencies that can be found from this  
 signal are

$\langle \psi' \rangle$  amplitude mod

phase  $\psi_0$

$2q_H = \left\langle 2\phi_{ix} - \frac{k_1}{I_x} \alpha \sin \psi \right\rangle$  amplitude  $I_x$

phase  $2\psi_0$

and some others

$2q_H \pm \langle \psi' \rangle$  amplitude mod

It's obvious that the demodulation is  
 useful if  $2q_H$  or  $(1-2q_H)$  is different from  $\langle \psi' \rangle$

as in III-2 we could find the phase and amplitude of the resonant term.

III-4 Special case of  $k_1 = k_2 = 1$

when  $k_1 = k_2 = 1$  (resonances of type  $Q_{H_2} Q_{V_2} = P$ ) one can see from equation (2) that some problems occur when  $\sqrt{\frac{J_y}{J_x}}$  or  $\sqrt{\frac{J_x}{J_y}}$  is big corresponding to a non negligible value of  $\frac{k_1 d}{J_x}$ . To be sure that the method still

remain correct we propose to integrate the equations using the Guignard's formalism.

## IX. The resonance $Q_H + Q_V = 5$

In this case (linear coupling) it is possible to solve the equations of motion analytically.

The perturbing part of the Hamiltonian is in the case of skew quadrupoles <sup>7)</sup>

$$\begin{aligned} \mathcal{H} &= h_{1010-5}^{(2)} a_1 a_2 e^{i\epsilon\theta} + h_{0101-5}^{(2)} a_1^* a_2^* e^{-i\epsilon\theta} \\ &= k a_1 a_2 e^{i\epsilon\theta} + k^* a_1^* a_2^* e^{-i\epsilon\theta} \end{aligned}$$

where

$\epsilon = Q_H + Q_V - 5$  , distance from resonance

$|k|$  , amplitude of the resonance

Hamilton's equation gives

$$\begin{cases} \frac{da_1}{d\theta} = i \frac{\partial \mathcal{H}}{\partial a_1^*} = i k^* a_2^* e^{-i\epsilon\theta} \\ \frac{da_2}{d\theta} = i \frac{\partial \mathcal{H}}{\partial a_2^*} = i k^* a_1^* e^{-i\epsilon\theta} \end{cases} \quad (1)$$

$a_i$  ( $i=1,2$ ) is related to the invariant of unperturbed motion by

$$\begin{cases} |a_1|^2 = R J_x \\ |a_2|^2 = R J_y \end{cases}$$

where

$R$  average machine radius

Eq (1) can be solved by differentiating the second equation

$$\frac{d}{d\theta} \left( \frac{da_1}{d\theta} e^{i\epsilon\theta} \right) = ik^* \frac{da_1}{d\theta} \rightarrow$$

$$\left( \frac{d^2 a_1}{d\theta^2} + i\epsilon \frac{da_1}{d\theta} \right) e^{i\epsilon\theta} = ik^* \frac{da_1}{d\theta}$$

Using the first equation gives

$$\left( \frac{d^2 a_2}{d\theta^2} + i\epsilon \frac{da_2}{d\theta} \right) e^{i\epsilon\theta} = ik^* (-k) a_2 e^{i\epsilon\theta} \rightarrow$$

$$\boxed{\frac{d^2 a_2}{d\theta^2} + i\epsilon \frac{da_2}{d\theta} - |k|^2 a_2 = 0}$$

The solution to this equation is

$$a_2 = A_+^* e^{i(-\frac{\epsilon}{2} - \omega')\theta} + A_-^* e^{i(-\frac{\epsilon}{2} + \omega')\theta}$$

where

$A_+, A_-$  complex constants given by initial conditions

$$\omega' = \sqrt{\left(\frac{\epsilon}{2}\right)^2 - |k|^2}$$

This can be written

$$\boxed{a_2 = A_+^* e^{i\omega_- \theta} + A_-^* e^{i\omega_+ \theta}}$$

$$\boxed{\omega_{\pm} = -\frac{\epsilon}{2} \pm \sqrt{\left(\frac{\epsilon}{2}\right)^2 - |k|^2}}$$

This gives

$$\begin{aligned} \frac{da_1}{d\theta} &= ik^* (A_+ e^{-i\omega_- \theta} + A_- e^{-i\omega_+ \theta}) e^{-i\epsilon\theta} \\ &= ik^* (A_+ e^{-i(\omega_- + \epsilon)\theta} + A_- e^{-i(\omega_+ + \epsilon)\theta}) \\ &= ik^* (A_+ e^{i\omega_+ \theta} + A_- e^{i\omega_- \theta}) \end{aligned}$$

This can be integrated, assuming that the integration constant is already in the unperturbed term.

$$a_1 = k^* \left( \frac{A_+}{\omega_+} e^{i\omega_+ \theta} + \frac{A_-}{\omega_-} e^{i\omega_- \theta} \right)$$

We now get

$$|a_1|^2 = a_1 a_1^* = |k|^2 \left( \frac{A_+}{\omega_+} e^{i\omega_+ \theta} + \frac{A_-}{\omega_-} e^{i\omega_- \theta} \right) \left( \frac{A_+^*}{\omega_+} e^{-i\omega_+ \theta} + \frac{A_-^*}{\omega_-} e^{-i\omega_- \theta} \right) =$$

$$= |k|^2 \left[ \frac{|A_+|^2}{\omega_+} + \frac{|A_-|^2}{\omega_-} + \frac{A_+ A_-^*}{\omega_+ \omega_-} e^{i(\omega_+ - \omega_-)\theta} + \frac{A_+^* A_-}{\omega_+ \omega_-} e^{-i(\omega_+ - \omega_-)\theta} \right] =$$

We use

$$\omega_+ - \omega_- = 2 \sqrt{\left(\frac{c}{2}\right)^2 - |k|^2} = \sqrt{c^2 - 4|k|^2} \equiv \delta$$

$$\omega_+ \omega_- = |k|^2$$

and obtain

$$|a_1|^2 = \frac{|k|^2}{\omega_+^2} |A_+|^2 + \frac{|k|^2}{\omega_-^2} |A_-|^2 + A_+ A_-^* e^{i\delta\theta} + A_+^* A_- e^{-i\delta\theta}$$

$$|a_1|^2 = \frac{|k|^2}{\omega_+^2} |A_+|^2 + \frac{|k|^2}{\omega_-^2} |A_-|^2 + 2 \operatorname{Re}(A_+ A_-^*) \cos \delta\theta + 2 \operatorname{Im}(A_+ A_-^*) \sin \delta\theta$$

$$|a_2|^2 = a_2 a_2^* = (A_+^* e^{i\omega_- \theta} + A_-^* e^{i\omega_+ \theta}) (A_+ e^{-i\omega_- \theta} + A_- e^{-i\omega_+ \theta}) =$$

$$= |A_+|^2 + |A_-|^2 + A_+ A_-^* e^{i\delta\theta} + A_+^* A_- e^{-i\delta\theta}$$

$$|a_2|^2 = |A_+|^2 + |A_-|^2 + 2 \operatorname{Re}(A_+ A_-^*) \cos \delta\theta + 2 \operatorname{Im}(A_+ A_-^*) \sin \delta\theta$$

$J'_A$  are given by

$$\begin{cases} J_x = \frac{1}{R} |a_1|^2 \\ J_y = \frac{1}{R} |a_2|^2 \end{cases}$$

From this we can see that the coherent oscillations vary due to the resonance with the angular frequency  $\delta$  given by

$$\delta = \sqrt{\epsilon^2 - 4|k|^2}$$

The unperturbed motion is

$$\begin{cases} x = a_1 u_1 e^{iQ_1 \theta} + a_1^* u_1^* e^{-iQ_1 \theta} \\ z = a_2 u_2 e^{iQ_2 \theta} + a_2^* u_2^* e^{-iQ_2 \theta} \end{cases}$$

where the Floquet-functions  $u_i$  ( $i=1,2$ ) are

$$u_i = \sqrt{\frac{\beta_i}{2R}} e^{i(\mu_i - Q_i \theta)}$$

where

$$\mu_i = \int_0^\theta \frac{R}{\beta_i(\theta')} d\theta' \quad \rightarrow \quad \frac{d\mu_i}{d\theta} = \frac{R}{\beta_i}$$

$$Q_i = \frac{1}{2\pi} \int_0^{2\pi} \frac{R}{\beta_i(\theta')} d\theta'$$

This gives

$$\begin{cases} x = \sqrt{\frac{\beta_x}{2R}} \left[ k \left( \frac{A_+}{\omega_+} e^{i(\mu_x + \omega_+ \theta)} + \frac{A_-}{\omega_-} e^{i(\mu_x + \omega_- \theta)} \right) + \right. \\ \left. + k \left( \frac{A_+^*}{\omega_+} e^{-i(\mu_x + \omega_+ \theta)} + \frac{A_-^*}{\omega_-} e^{-i(\mu_x + \omega_- \theta)} \right) \right] \\ z = \sqrt{\frac{\beta_z}{2R}} \left[ A_+^* e^{i(\mu_z + \omega_+ \theta)} + A_-^* e^{i(\mu_z + \omega_- \theta)} + A_+ e^{-i(\mu_z + \omega_+ \theta)} + A_- e^{-i(\mu_z + \omega_- \theta)} \right] \end{cases}$$

By using Euler's equations this can be written in terms of sine and cosine.

$$\begin{aligned}
 x &= \sqrt{\frac{B_0}{2R}} \left[ \frac{1}{\omega_+} (k^* A_+ + k A_+^*) \cos(\mu_x + \omega_+ \theta) + \frac{i}{\omega_+} (k^* A_+ - k A_+^*) \sin(\mu_x + \omega_+ \theta) \right. \\
 &\quad \left. + \frac{1}{\omega_-} (k^* A_- + k A_-^*) \cos(\mu_x + \omega_- \theta) + \frac{i}{\omega_-} (k^* A_- - k A_-^*) \sin(\mu_x + \omega_- \theta) \right] = \\
 &= \sqrt{\frac{2B_0}{R}} \left[ \frac{1}{\omega_+} \operatorname{Re}(k^* A_+) \cos(\mu_x + \omega_+ \theta) - \frac{1}{\omega_+} \operatorname{Im}(k A_+) \sin(\mu_x + \omega_+ \theta) + \right. \\
 &\quad \left. + \frac{1}{\omega_-} \operatorname{Re}(k^* A_-) \cos(\mu_x + \omega_- \theta) - \frac{1}{\omega_-} \operatorname{Im}(k A_-) \sin(\mu_x + \omega_- \theta) \right]
 \end{aligned}$$

$$\begin{aligned}
 z &= \sqrt{\frac{B_0}{2R}} \left[ (A_+ + A_+^*) \cos(\mu_z + \omega_- \theta) - i(A_+ + A_+^*) \sin(\mu_z + \omega_- \theta) + \right. \\
 &\quad \left. + (A_- + A_-^*) \cos(\mu_z + \omega_+ \theta) - i(A_- + A_-^*) \sin(\mu_z + \omega_+ \theta) \right] = \\
 &= \sqrt{\frac{2B_0}{R}} \left[ \operatorname{Re}(A_+) \cos(\mu_z + \omega_- \theta) + \operatorname{Im}(A_+) \sin(\mu_z + \omega_- \theta) + \right. \\
 &\quad \left. + \operatorname{Re}(A_-) \cos(\mu_z + \omega_+ \theta) + \operatorname{Im}(A_-) \sin(\mu_z + \omega_+ \theta) \right]
 \end{aligned}$$

By using

$$a \cos \varphi + b \sin \varphi = \sqrt{a^2 + b^2} \cos(\varphi - \varphi_0) \quad \frac{b}{a} = \tan \varphi_0$$

$$x = \sqrt{\frac{2B_0}{R}} \left[ \frac{|k^* A_+|}{\omega_+} \cos(\mu_x + \omega_+ \theta + \varphi_x^{(+)}) + \frac{|k^* A_-|}{\omega_-} \cos(\mu_x + \omega_- \theta + \varphi_x^{(-)}) \right]$$

where

$$\tan(-\varphi_x^{(+)}) = \frac{-\operatorname{Im}(k^* A_+)}{\operatorname{Re}(k^* A_+)} \quad \Rightarrow \quad \tan \varphi_x^{(+)} = \frac{\operatorname{Im}(k^* A_+)}{\operatorname{Re}(k^* A_+)}$$

$$\varphi_x^{(+)} = \arg A_+ - \arg k$$

$$\tan(-\varphi_x^{(-)}) = \frac{-\operatorname{Im}(k^* A_-)}{\operatorname{Re}(k^* A_-)} \quad \Rightarrow \quad \tan \varphi_x^{(-)} = \frac{\operatorname{Im}(k^* A_-)}{\operatorname{Re}(k^* A_-)}$$

$$\varphi_x^{(-)} = \arg A_- - \arg k$$

$$z = \sqrt{\frac{2\beta z}{R}} \left[ |A_+| \cos(\mu_z + \omega_- \theta + \varphi_z^{(+)}) + |A_-| \cos(\mu_z + \omega_+ \theta + \varphi_z^{(-)}) \right]$$

where

$$\tan(-\varphi_z^{(+)}) = \frac{\text{Im}A_+}{\text{Re}A_+} \rightarrow \tan \varphi_z^{(+)} = -\frac{\text{Im}A_+}{\text{Re}A_+}$$

$$\tan(-\varphi_z^{(-)}) = \frac{\text{Im}A_-}{\text{Re}A_-} \rightarrow \tan \varphi_z^{(-)} = -\frac{\text{Im}A_-}{\text{Re}A_-}$$

$$\varphi_z^{(+)} = -\arg A_+$$

$$\varphi_z^{(-)} = -\arg A_-$$

Let us now take the square of x and z

$$\begin{aligned} x^2 &= \frac{2\beta x}{R} \left[ \frac{|k^+ A_+|^2}{\omega_+^2} \cos^2(\mu_x + \omega_+ \theta + \varphi_x^{(+)}) + \frac{|k^- A_-|^2}{\omega_-^2} \cos^2(\mu_x + \omega_- \theta + \varphi_x^{(-)}) + \right. \\ &\quad \left. 2 \frac{|k^+ A_+| |k^- A_-|}{\omega_+ \omega_-} \cos(\mu_x + \omega_+ \theta + \varphi_x^{(+)}) \cos(\mu_x + \omega_- \theta + \varphi_x^{(-)}) \right] \\ z^2 &= \frac{2\beta z}{R} \left[ |A_+|^2 \cos^2(\mu_z + \omega_- \theta + \varphi_z^{(-)}) + |A_-|^2 \cos^2(\mu_z + \omega_+ \theta + \varphi_z^{(+)}) + \right. \\ &\quad \left. 2 |A_+| |A_-| \cos(\mu_z + \omega_- \theta + \varphi_z^{(-)}) \cos(\mu_z + \omega_+ \theta + \varphi_z^{(+)}) \right] \end{aligned}$$

This can be developed to

$$\begin{aligned} x^2 &= \frac{\beta x}{R} \left[ \frac{|k^+ A_+|^2}{\omega_+^2} + \frac{|k^- A_-|^2}{\omega_-^2} + \frac{|k^+ A_+|^2}{\omega_+^2} \cos 2(\mu_x + \omega_+ \theta + \varphi_x^{(+)}) + \right. \\ &\quad \left. + \frac{|k^- A_-|^2}{\omega_-^2} \cos 2(\mu_x + \omega_- \theta + \varphi_x^{(-)}) + \right. \\ &\quad \left. + 2 \frac{|k^+ A_+| |k^- A_-|}{\omega_+ \omega_-} \cos(2\mu_x + (\omega_+ + \omega_-)\theta + \varphi_x^{(+)} + \varphi_x^{(-)}) + \right. \\ &\quad \left. + 2 \frac{|k^+ A_+| |k^- A_-|}{\omega_+ \omega_-} \cos(\delta \theta + \varphi_x^{(+)} - \varphi_x^{(-)}) \right] \end{aligned}$$

$\delta = \omega_+ - \omega_-$



$$z^2 = \frac{\beta z}{R} \left[ |A_+|^2 + |A_-|^2 + |A_+|^2 \cos 2(\mu_2 + \omega_- \theta + \varphi_z^{(-)}) + \right. \\ \left. + |A_-|^2 \cos 2(\mu_2 + \omega_+ \theta + \varphi_z^{(+)}) + \right. \\ \left. + 2|A_+||A_-| \cos(2\mu_2 + (\omega_+ + \omega_-)\theta + \varphi_z^{(+)} + \varphi_z^{(-)}) + \right. \\ \left. + 2|A_+||A_-| \cos(\delta \theta + \varphi_z^{(+)} - \varphi_z^{(-)}) \right]$$

$$\delta \equiv \omega_- - \omega_+$$

This can be summarized as follow:

<u>Variable</u>	<u>Frequency component</u>	<u>Phase</u>
x	$\mu_x' + \omega_+$	$\varphi_x^{(+)} = \arg A_+ - \arg k$
	$\mu_x' + \omega_-$	$\varphi_x^{(-)} = \arg A_- - \arg k$
z	$\mu_z' + \omega_+$	$\varphi_z^{(+)} = -\arg A_+$
	$\mu_z' + \omega_-$	$\varphi_z^{(-)} = -\arg A_-$
x <sup>2</sup>	$\delta$	$\varphi_x^{(+)} - \varphi_x^{(-)} = \arg A_+ - \arg A_-$
	$2(\mu_x' + \omega_+)$	$2\varphi_x^{(+)} = 2(\arg A_+ - \arg k)$
	$2(\mu_x' + \omega_-)$	$2\varphi_x^{(-)} = 2(\arg A_- - \arg k)$
	$2\mu_x' + \omega_+ + \omega_-$	$\varphi_x^{(+)} + \varphi_x^{(-)} = \arg A_+ + \arg A_- - 2\arg k$
z <sup>2</sup>	$\delta$	$\varphi_z^{(+)} - \varphi_z^{(-)} = -\arg A_- + \arg A_+$
	$2(\mu_z' + \omega_+)$	$2\varphi_z^{(+)} = -2\arg A_+$
	$2(\mu_z' + \omega_-)$	$2\varphi_z^{(-)} = -2\arg A_-$
	$2\mu_z' + \omega_+ + \omega_-$	$\varphi_z^{(+)} + \varphi_z^{(-)} = -\arg A_- - \arg A_+$

Note that  $\omega_+$  and  $\omega_-$  appear as factors in the horizontal motion. Due to this

$$\begin{cases} \omega_+, \omega_- < 0 & , \text{ above the resonance} \\ \omega_+, \omega_- > 0 & , \text{ below the resonance} \end{cases}$$

and  $\gamma$  should be added to the phases of the horizontal frequency components if one are above the resonance ( $Q_H + Q_V > 5$ ).

From the table above one can find some different relations for the phase of the resonance. For example

$$\left\{ \begin{array}{l} \arg k = -\arg(\mu_z' + \omega_-) - \arg(\mu_x' + \omega_+) \\ \arg k = -\arg(\mu_z' + \omega_+) - \arg(\mu_x' + \omega_-) \\ \arg k = \arg \delta - \arg(\mu_z' + \omega_+) - \arg(\mu_x' + \omega_+) \\ \arg k = -\arg \delta - \arg(\mu_z' + \omega_-) - \arg(\mu_x' + \omega_-) \end{array} \right.$$

Which one is to be used for real measurements depends on which peaks are best seen in the measured spectra.

## V. Simulation

It is possible to simulate the motion in phase-space for any given resonance by numerical integration of the equations of motion for the perturbation <sup>7</sup>

$$\begin{cases} \frac{da_1}{d\theta} = ikk a_1^j a_2^{*(k-l)} a_2^l a_2^m e^{i\epsilon\theta} + ik^* j a_1^{*(j-l)} a_1^k a_2^l a_2^m e^{-i\epsilon\theta} \\ \frac{da_2}{d\theta} = ikm a_1^j a_1^{*k} a_2^l a_2^{*(m-l)} e^{i\epsilon\theta} + ik^* l a_1^{*k} j a_1^k a_2^{*(l-l)} a_2^m e^{-i\epsilon\theta} \end{cases}$$

where

$$\epsilon = n_x Q_x + n_z Q_z - p$$

and  $a_1, a_2$  are related to the invariant of the unperturbed motion by

$$\begin{cases} |a_1|^2 = R \cdot J_x \\ |a_2|^2 = R \cdot J_y \end{cases}$$

The unperturbed motion was given by

$$\begin{cases} x = a_1 u_1 e^{iQ_x \theta} + a_1^* u_1^* e^{-iQ_x \theta} \\ z = a_2 u_2 e^{iQ_z \theta} + a_2^* u_2^* e^{-iQ_z \theta} \end{cases}$$

and

$$u_i = \sqrt{\frac{\beta_i}{2R}} e^{i(\mu_i - Q_i \theta)}$$

$$\mu_i = \int_0^\theta \frac{R}{\beta_i(\theta')} d\theta'$$

$$Q_i = \frac{1}{2\pi} \int_0^{2\pi} \frac{R}{\beta_i(\theta')} d\theta'$$

where  $a_1$  and  $a_2$  now are functions of  $\theta$  due to the perturbation.

To make life simple we assume  $\beta$  to be constant around the machine for the simulation. The numerical integration is uses the Runge-Kutta<sup>method</sup> and we enter the unperturbed  $Q$ -values and oscillation amplitude, strength and phase for the resonance  $(|k|, \varphi_k)$  as parameters.

We show two examples of the simulation.

The first is for <sup>case)</sup>  $Q_H + Q_V = 5$  <sup>the resonance)</sup> where we also give the calculated perturbed  $Q$ -values which can be compared with the<sup>ones</sup> measured by Fast Fourier Transform. We also calculate the phase of the resonance from one of the relations in the previous chapter, for comparison with the given phase.

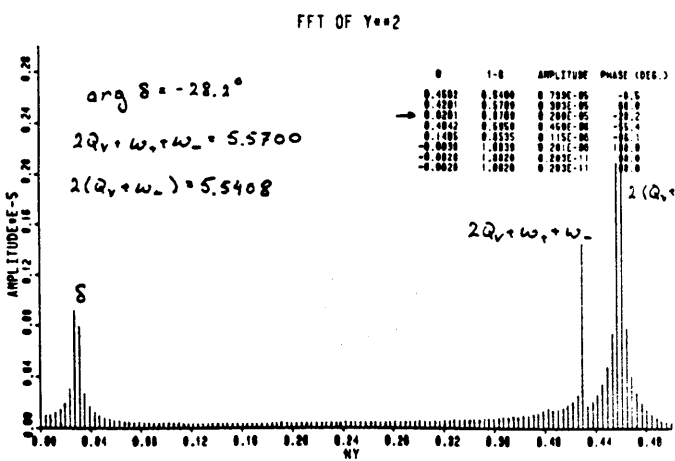
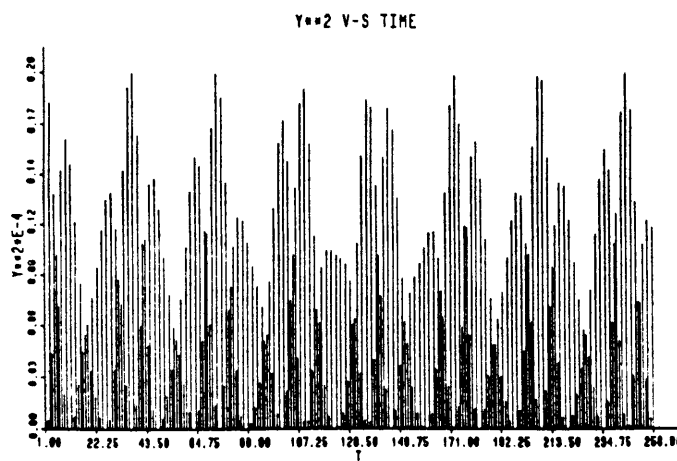
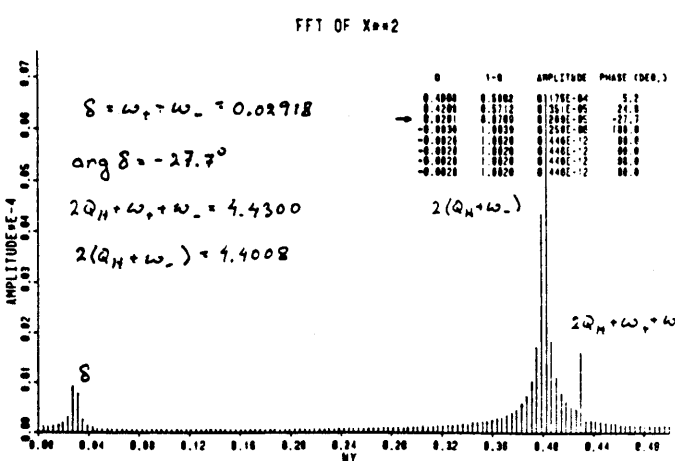
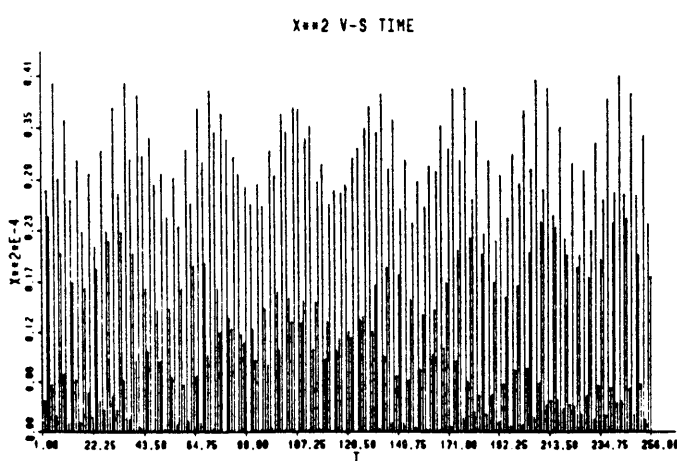
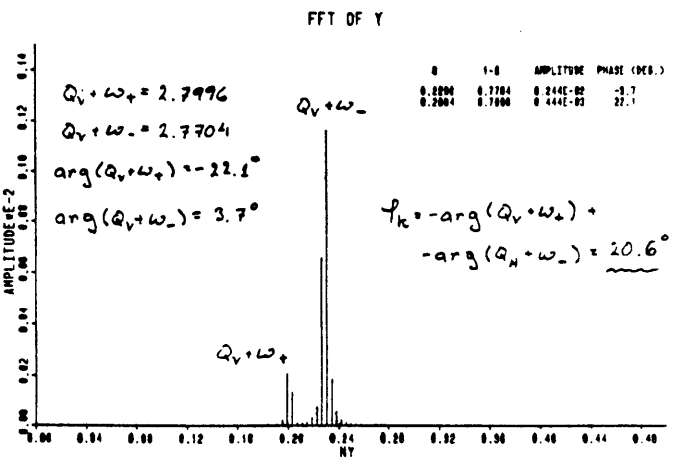
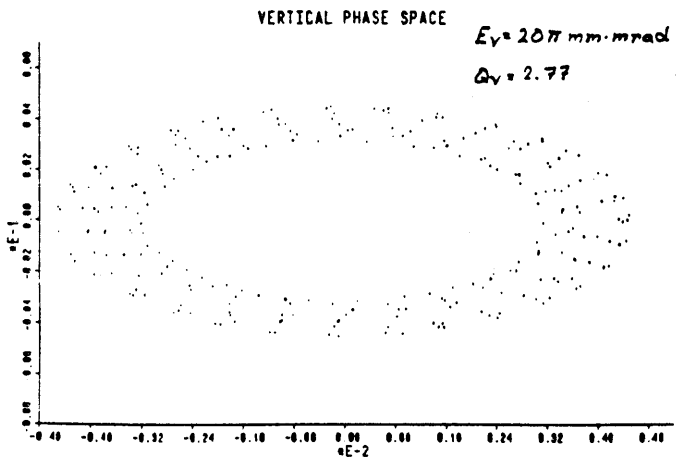
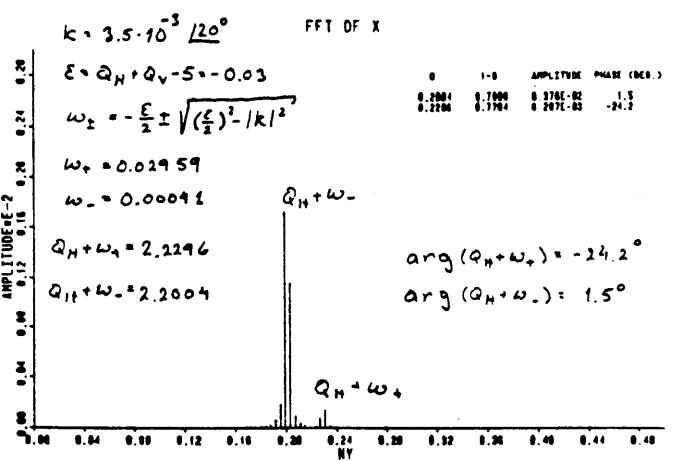
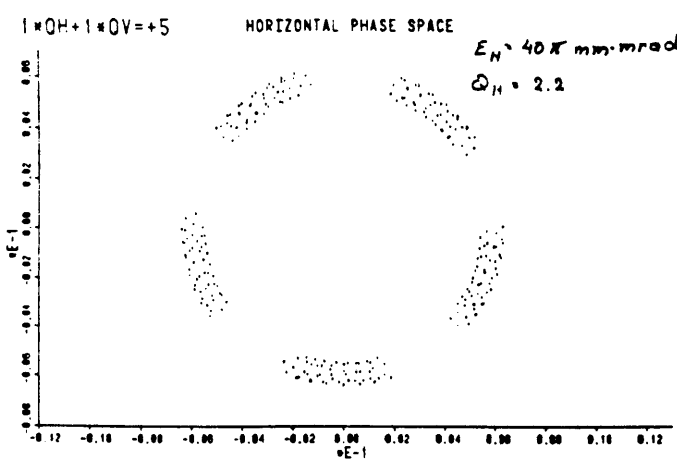
In the second case we simulate the 3rd order resonance  $Q_H + 2Q_V = 8$ , and we calculate the phase of the resonance given in chapter III. We also identify the different peaks from the measured perturbed  $Q$ -values.

## II Conclusions

With the clean signals that we expect to obtain from the measuring device we hope to be able to measure the strength and phase of perturbing resonances and hence decrease their effects.

We would like to thank P. Lefevre and D. Möhl for their continuous support and discussions. We also thank E. Aéro for introducing<sup>us</sup> to the subtleties of Fourier Transform and for building high quality hardware.

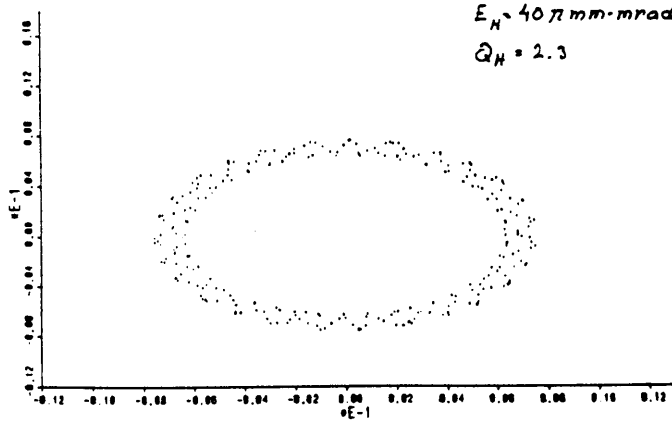
# Appendix



1\*OH+1\*OV=+5

HORIZONTAL PHASE SPACE

$E_H = 40\pi \text{ mm}\cdot\text{mrad}$   
 $Q_H = 2.3$



$\kappa = 3.5 \cdot 10^{-3} \angle 120^\circ$

FFT OF X

$\epsilon = Q_H + Q_V - 5 = 0.03$

$\omega_{\pm} = -\frac{\epsilon}{2} \pm \sqrt{\left(\frac{\epsilon}{2}\right)^2 - |\kappa|^2}$

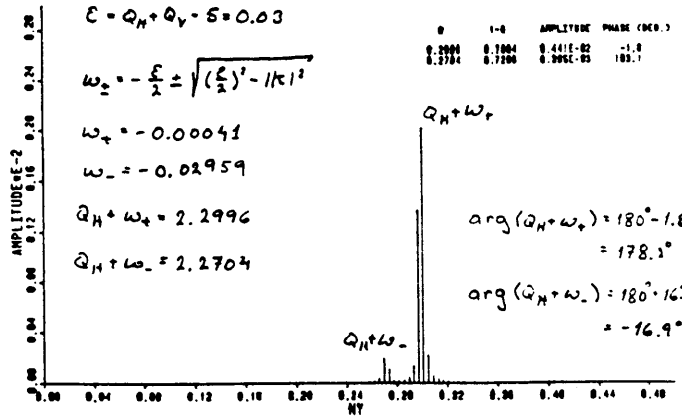
$\omega_+ = -0.0041$

$\omega_- = -0.02959$

$Q_H + \omega_+ = 2.2996$

$Q_H + \omega_- = 2.2704$

0	1-0	AMPLITUDE	PHASE (DEG.)
0.2999	0.7004	0.441E-02	-1.0
0.2704	0.7296	0.395E-02	103.7

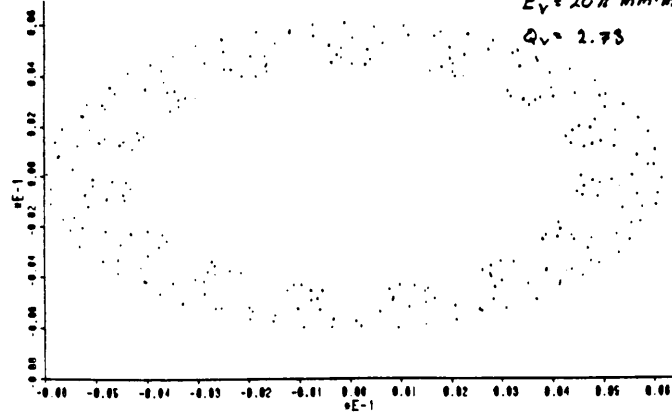


$\arg(Q_H + \omega_+) = 180^\circ - 1.8^\circ = 178.2^\circ$

$\arg(Q_H + \omega_-) = 180^\circ - 163.1^\circ = -16.9^\circ$

VERTICAL PHASE SPACE

$E_V = 20\pi \text{ mm}\cdot\text{mrad}$   
 $Q_V = 2.73$



FFT OF Y

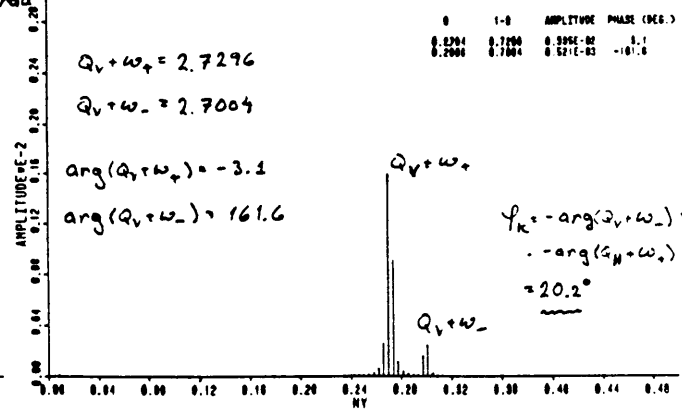
$Q_V + \omega_+ = 2.7296$

$Q_V + \omega_- = 2.7004$

$\arg(Q_V + \omega_+) = -3.1$

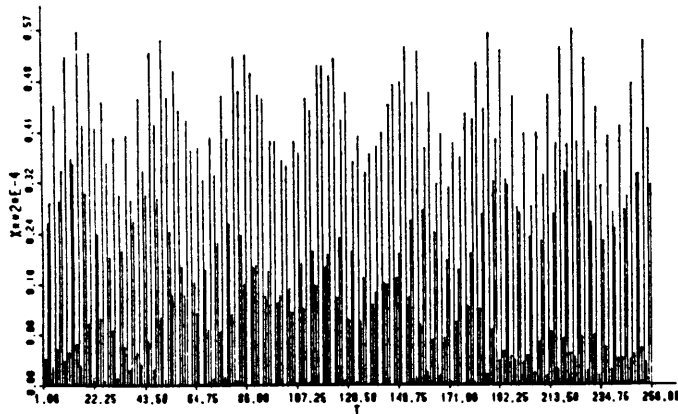
$\arg(Q_V + \omega_-) = 161.6$

0	1-0	AMPLITUDE	PHASE (DEG.)
0.2704	0.7296	0.395E-02	103.7
0.2999	0.7004	0.441E-02	-1.0



$\gamma_k = -\arg(Q_V + \omega_-) + \arg(Q_H + \omega_+) = 20.2^\circ$

X=2 V-S TIME



FFT OF X=2

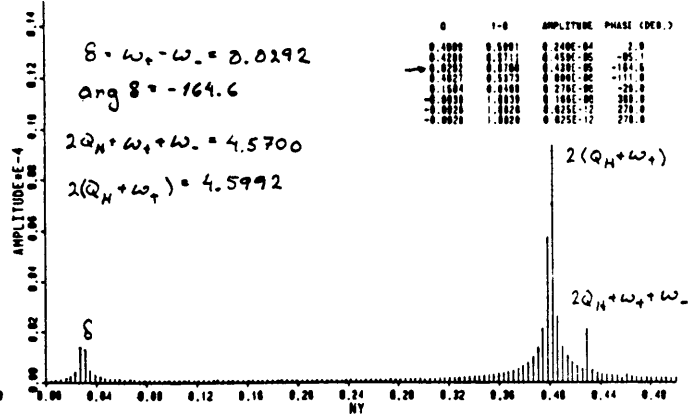
$\delta = \omega_+ - \omega_- = 0.0292$

$\arg \delta = -164.6$

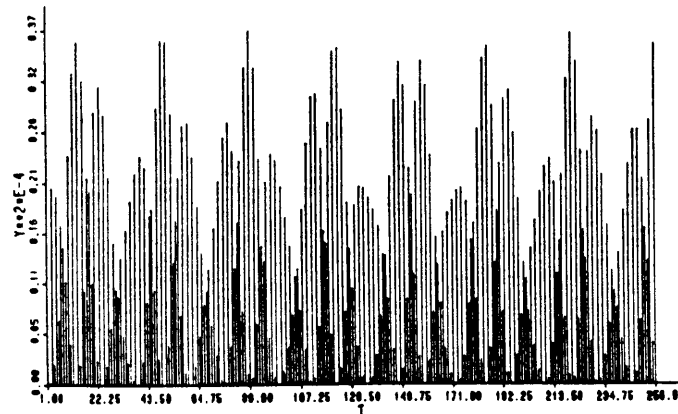
$2Q_H + \omega_+ + \omega_- = 4.5700$

$2(Q_H + \omega_+) = 4.5992$

0	1-0	AMPLITUDE	PHASE (DEG.)
0.4000	0.6000	0.240E-04	2.0
0.4200	0.5800	0.450E-05	-95.1
0.4400	0.5600	0.230E-05	-104.0
0.4600	0.5400	0.200E-05	-111.0
0.4800	0.5200	0.270E-05	-20.0
0.5000	0.5000	0.180E-05	270.0
0.5200	0.4800	0.235E-05	270.0
0.5400	0.4600	0.255E-05	270.0



Y=2 V-S TIME



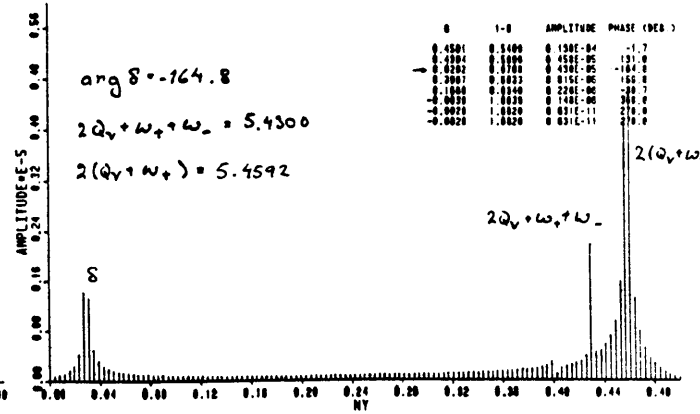
FFT OF Y=2

$\arg \delta = -164.8$

$2Q_V + \omega_+ + \omega_- = 5.4300$

$2(Q_V + \omega_+) = 5.4592$

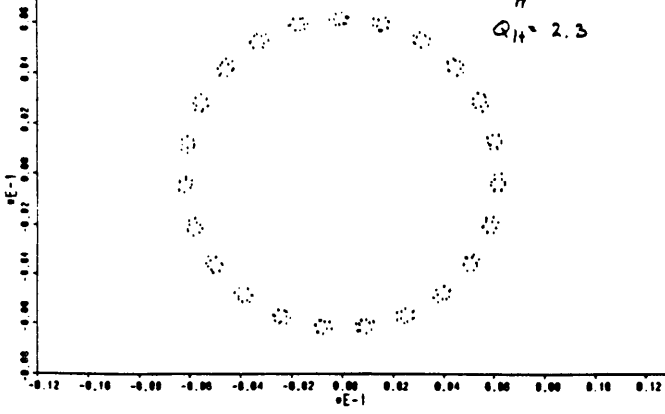
0	1-0	AMPLITUDE	PHASE (DEG.)
0.4500	0.5500	0.150E-04	-1.7
0.4700	0.5300	0.450E-05	131.0
0.4900	0.5100	0.430E-05	-104.0
0.5100	0.4900	0.150E-05	150.0
0.5300	0.4700	0.220E-05	-20.7
0.5500	0.4500	0.140E-05	260.0
0.5700	0.4300	0.210E-05	270.0
0.5900	0.4100	0.210E-05	270.0



$1 \times Q_H + 2 \times Q_V = +8$

HORIZONTAL PHASE SPACE

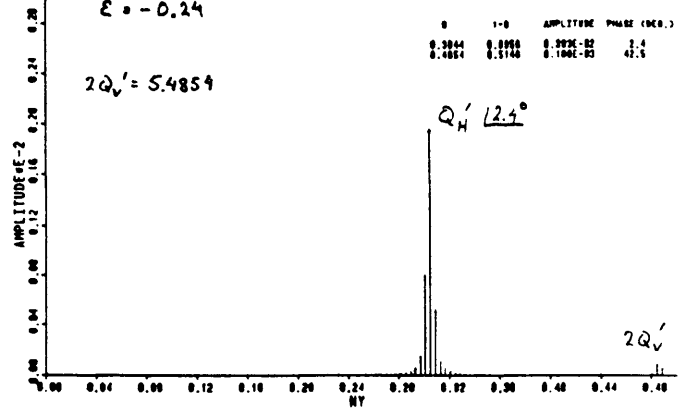
$E_H = 40\pi \text{ mm-mrad}$   
 $Q_H = 2.3$



$K = 1.56 \text{ } \mu\text{rad}$   
 $\epsilon = -0.24$

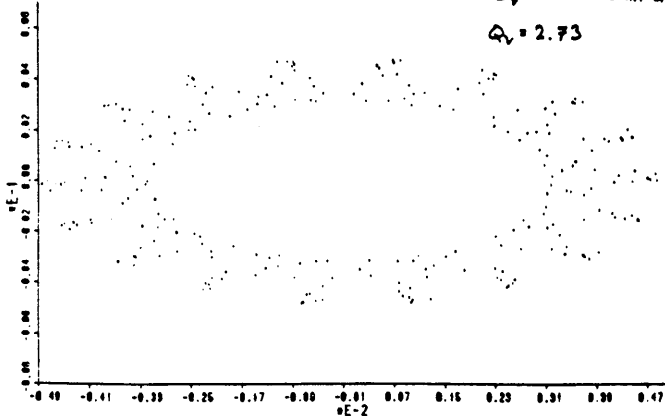
$2Q_V' = 5.4854$

FFT OF X

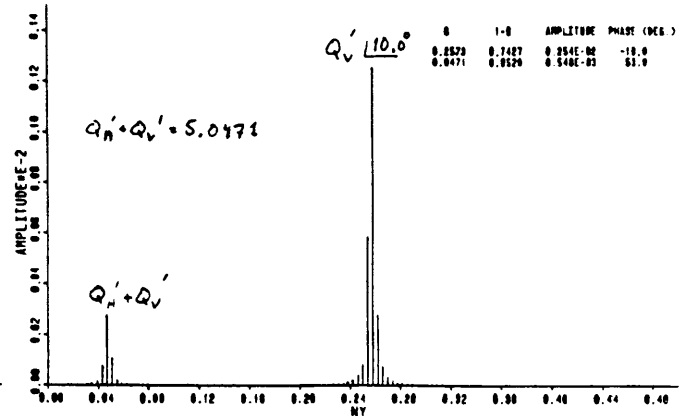


VERTICAL PHASE SPACE

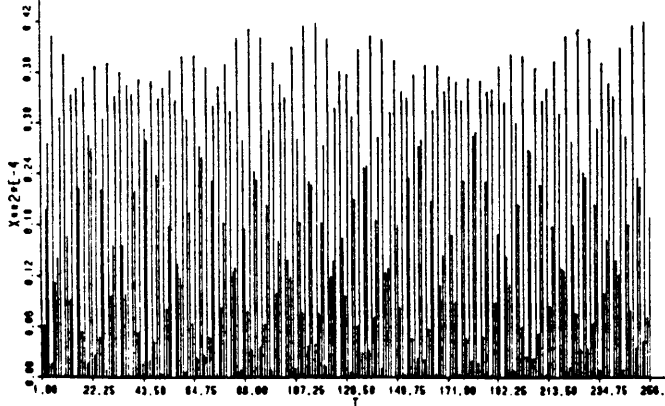
$E_V = 20\pi \text{ mm-mrad}$   
 $Q_V = 2.73$



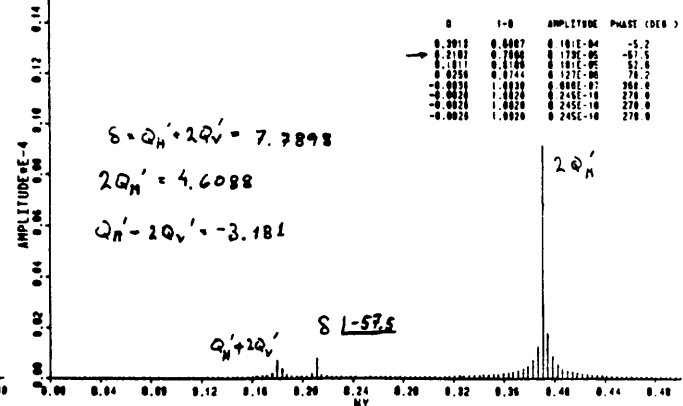
FFT OF Y



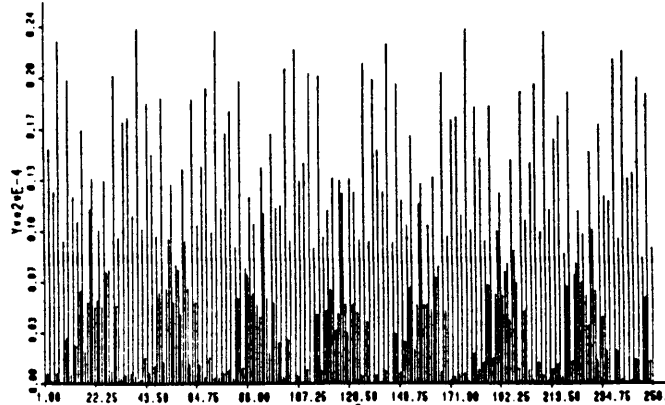
X==2 V-S TIME



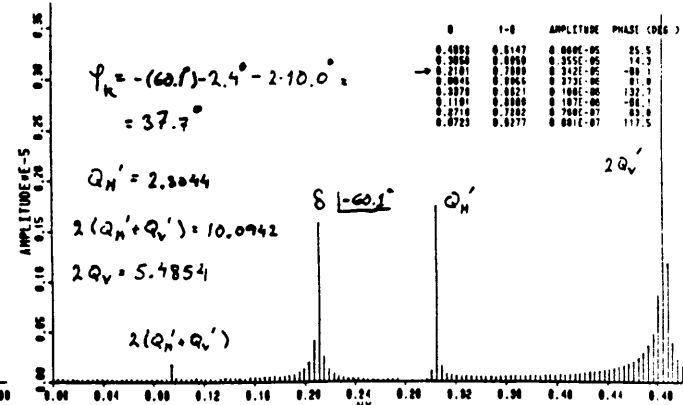
FFT OF X==2



Y==2 V-S TIME



FFT OF Y==2



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