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# On amplitudes and field redefinitions

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ABSTRACT: We derive an off-shell recursion relation for correlators that holds at all loop orders. This allows us to prove how generalized amplitudes transform under generic field redefinitions, starting from an assumed behavior of the one-particle-irreducible effective action. The form of the recursion relation resembles the operation of raising the rank of a tensor by acting with a covariant derivative. This inspires a geometric interpretation, whose features and flaws we investigate.

KEYWORDS: Effective Field Theories, Field Theories in Higher Dimensions, Sigma Models

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# 1 Introduction

It is well understood that defining a theory in terms of fields introduces a tremendous redundancy. In particular, one of the most fundamental quantities that can be computed from a field theory are the S-matrix elements or amplitudes. Amplitudes are known to be invariant under field redefinitions of the form [1-4]

$$\phi(x) \longrightarrow \phi(x) + f(\phi(x), \partial_{\mu}\phi(x), \partial_{\mu}\partial_{\nu}\phi(x), \cdots), \qquad (1.1)$$

where f is an arbitrary polynomial function of the field(s) and its derivatives evaluated at the spacetime point x.<sup>1</sup> This field redefinition invariance plays a minor role for "renormalizable" theories (with the important exception of gauge theory). However, this redundancy becomes a significant source of technical complexity when one studies "non-renormalizable" Effective Field Theories (EFTs) that include irrelevant operators. In the case of EFTs, the ability to perform field redefinitions, often expressed as an iterative equation of motion redundancy (along with the application of integration by parts) implies that the space of allowed operators

<sup>&</sup>lt;sup>1</sup> f is often said to start with  $\mathcal{O}(\phi^2)$  in the literature. This is because lower order terms are relatively trivial — a constant term in f simply redefines the vev of the field, while a linear  $\phi$  term in f can be absorbed by a rescaling (when restricted to non-derivative field redefinitions). Here we clarify that in general, f can be an arbitrary polynomial of  $\phi$  and its derivatives, as long as the functional derivative  $\frac{\delta\phi(x)}{\delta\phi(y)}$  is an invertible matrix.

is highly redundant, so that Lagrangians which appear different actually describe the same underlying scattering physics.

In this paper, we build upon and explore the results in ref. [5] to provide a new perspective on the notion of field redefinition invariance of amplitudes. In particular, we prove a "transformation lemma" for an off-shell generalization of the amplitudes. We then apply this result to show that the on-shell amplitudes are invariant under field redefinitions (up to one-loop order). This new approach follows as a direct consequence of a new off-shell recursion relation that we prove in this paper.

The study of field redefinitions and EFTs has undergone something of a renaissance in recent years. The determination of the size of the operator basis using the Hilbert series has been developed and applied to many examples [6-23]. This is closely related to the approach of constructing EFT amplitudes directly [24-55], which again avoids the issues of operator redundancies. In both approaches, the full set of field redefinitions included in eq. (1.1) are accommodated.

Another fruitful approach is to work with the Lagrangian directly, but to express it in terms of geometric objects defined on a Riemannian field space manifold [56–63]. In this case, the key insight is to identify that field redefinitions without derivatives are equivalent to coordinate changes on the field space manifold. One can then express amplitudes directly in terms of well known geometric quantities built out of the Riemannian metric. This makes the invariance of amplitudes under the restricted set of field redefinitions completely manifest. This approach has seen recent applications to the scalar sector of the Standard Model [64–74], and has also led to new insights into the properties of amplitudes for both scalars and particles of higher spins [75–86].

However, the geometric picture that can accommodate the full set of field redefinitions has remained elusive [5, 87–90]. It is this search for a generalized notion of geometry that has prompted us to revisit the field redefinition properties of amplitudes. In particular, we will show that our new perspective has a natural geometry-like interpretation, that we call "functional geometry." We are able to find hints that functional geometry exists and has the desired features to be associated with a generalized manifold. However, we also show that it fails to fully generalize field space geometry in a number of important ways. Nevertheless, we are optimistic that the ideas presented here represent genuine progress towards what will eventually be the discovery of a new notion of geometry that accommodates the full set of allowed field redefinitions.

The rest of this paper is organized as the following. In section 2, we review the well-known path integral formalism of QFTs and use it to derive a recursion relation for the off-shell amplitudes that holds at all loop orders. We then make use of it to prove a transformation lemma in section 3, which is the main result of this paper. We demonstrate that up to one-loop level, this lemma applies when a general field redefinition that accommodates derivatives is taken, which then immediately implies the invariance of the on-shell amplitudes. In section 4, we present an attempt to introduce a geometric interpretation, motivated by the tensor-like structure of the recursion relation derived in section 2. In particular, we discuss the successes and failures of this interpretation, and comment on its relation with the well-established field space geometry picture. Conclusions and future directions are given in

section 5. Two appendices are provided, one reviewing the path integral based derivation of field redefinition invariance and a second showing how a derivative field redefinition changes the field space geometry.

## 2 Off-shell recursion for amplitudes

We begin with a brief review of the formalism for computing correlation functions from the path integral (section 2.1). The partition function Z[J] spans a set of theories that are parameterized by different choices of the source fields J(x), and the original theory corresponds to taking J(x) = 0, i.e., the "zero source condition." We then review the LSZ formalism for projecting amplitudes from the correlation functions (section 2.2). The LSZ formula provides a general definition of "amplitudes" which allow for the external states to be off-shell; the limit where the external states are on-shell defines the "S-matrix elements." Although these first two subsections contain material typically covered in QFT textbooks, our purpose here is to express these well-known results in a notation that is convenient for deriving a recursion relation for off-shell amplitudes that holds at all loop orders [5] (section 2.3).

## 2.1 Correlation functions from the path integral

Given a scalar field  $\eta(x)$ , whose action is given by  $S[\eta]$ , one can define the partition function as a path integral

$$Z[J] \equiv e^{iW[J]} \equiv \int \mathcal{D}\eta \, e^{iS[\eta] + i \int \mathrm{d}^4 x \, J(x)\eta(x)} \,, \tag{2.1}$$

and we have defined  $iW[J] \equiv \log Z[J]$  as usual. The partition function Z[J] is a generating functional of the (time-ordered) J-dependent correlation functions

$$\langle \eta^{x_1} \cdots \eta^{x_n} \rangle_J \equiv \frac{\int \mathcal{D}\eta \, e^{iS[\eta] + iJ_x \eta^x} \, \eta(x_1) \cdots \eta(x_n)}{\int \mathcal{D}\eta \, e^{iS[\eta] + iJ_x \eta^x}} = \frac{1}{Z[J]} (-i)^n \frac{\delta^n Z}{\delta J_{x_1} \cdots \delta J_{x_n}} \,, \qquad (2.2)$$

where we have introduced the concise notation<sup>2</sup>

$$\eta(x) = \eta^x \,, \tag{2.3a}$$

$$J(x) = J_x \,, \tag{2.3b}$$

so that an integral over spacetime is represented as a sum over a dummy index

$$\int \mathrm{d}^4 x \, J(x) \, \eta(x) = J_x \eta^x \,. \tag{2.4}$$

It is well known that the path integral formalism and the use of generating functionals being reviewed in this section generalizes to an arbitrary set of bosonic and fermionic fields [91– 93]. When dealing with fermionic fields, one needs to keep track of the signs carefully. In the case of a general field, the index x in eq. (2.3) is understood to collectively label the spacetime position, the spin indices, as well as any of its internal flavor indices, all of which are summed over when the dummy index x is contracted.

 $<sup>^{2}</sup>$ We will use both notations in what follows based on convenience.

#### Source dependence.

The *J*-dependent correlation functions  $\langle \eta^{x_1} \cdots \eta^{x_n} \rangle_J$  can be viewed as the correlation functions of a modified theory with the action  $S_J[\eta]$ :

$$S[\eta] \longrightarrow S_J[\eta] \equiv S[\eta] + J_x \eta^x .$$
 (2.5)

The partition function Z[J] generates correlation functions for these generalized theories that include non-trivial dependence on the sources. The correlation functions of the *original* theory  $S[\eta]$  can be extracted from their generalized counterparts by taking the zero source condition J(x) = 0:

$$\langle \eta^{x_1} \cdots \eta^{x_n} \rangle_{J=0} = \frac{\int \mathcal{D}\eta \, e^{iS[\eta]} \, \eta(x_1) \cdots \eta(x_n)}{\int \mathcal{D}\eta \, e^{iS[\eta]}} = \frac{1}{Z[J=0]} (-i)^n \frac{\delta^n Z}{\delta J_{x_1} \cdots \delta J_{x_n}} \bigg|_{J=0} \,. \tag{2.6}$$

Meanwhile, it is useful to work with the source dependent theories, whose correlation functions are given in eq. (2.2). Their functional dependence on J is key to the off-shell recursion relation.

## Connected and 1PI correlation functions.

It is more convenient to work with W[J] defined in eq. (2.1), since this is the generating functional for the contributions from the connected diagrams

$$\langle \eta^{x_1} \cdots \eta^{x_n} \rangle_{J, \text{ conn}} = (-i)^n \frac{\delta^n(iW)}{\delta J_{x_1} \cdots \delta J_{x_n}} \,.$$
 (2.7)

The one-particle-irreducible (1PI) effective action  $\Gamma[\phi]$  is then defined as a Legendre transform of W[J]:

$$\phi^{x}[J] \equiv \frac{\delta W}{\delta J_{x}} \qquad \Longrightarrow \qquad \Gamma[\phi] \equiv W[J[\phi]] - \phi^{x} J_{x}[\phi] \,. \tag{2.8}$$

To implement the Legendre transform, one introduces a new set of variables, the set of fields  $\phi(x)$  defined as in eq. (2.8). By construction, these are "conjugate variables" to the source fields J(x), in that there is an invertible map between them determined by eq. (2.8):

$$J(x) \quad \longleftrightarrow \quad \phi(x) \,. \tag{2.9}$$

We emphasize that the fields  $\phi(x)$  are *not* the scalar fields  $\eta(x)$  of the theory. However, making use of the n = 1 case of eq. (2.7), one derives a relation between  $\phi(x)$  and  $\eta(x)$ ;  $\phi(x)$ are the *J*-dependent quantum vacuum expectation values (vev) of the fields  $\eta(x)$ :

$$\phi^x[J] \equiv \frac{\delta W}{\delta J_x} = \langle \eta^x \rangle_J \,. \tag{2.10}$$

Some other relations also follow from the general properties of the Legendre transform

$$\frac{\delta\Gamma}{\delta\phi^x} = -J_x$$
, and  $\frac{\delta^2(i\Gamma)}{\delta\phi^x\delta\phi^y} = \left[\frac{\delta^2(iW)}{\delta J_x\delta J_y}\right]^{-1}$ . (2.11)

It is well known that  $i\Gamma[\phi]$  is the generating functional of the *J*-dependent 1PI correlation functions

$$\langle \eta(x_1)\cdots\eta(x_n)\rangle_{J,\,\mathrm{1PI}} = \frac{\delta^n(i\Gamma)}{\delta\phi(x_1)\cdots\delta\phi(x_n)} \qquad \text{for} \qquad n \ge 3.$$
 (2.12)

The 1PI correlation functions for the original theory are then recovered by taking the zero source condition J(x) = 0. Through the one-to-one map in eq. (2.9), this corresponds to evaluating the right-hand side of eq. (2.12) at a specific choice of  $\phi(x)$ :

$$J(x) = 0 \quad \longleftrightarrow \quad \phi(x)|_{J=0} = \phi_v(x) \equiv \langle \eta^x \rangle_{J=0} \,. \tag{2.13}$$

We see that  $\phi_v(x)$  is the quantum vev of the fields  $\eta(x)$  for the original theory. According to eq. (2.11), it satisfies the condition

$$\left. \frac{\delta \Gamma}{\delta \phi^x} \right|_{\phi = \phi_v} = 0. \tag{2.14}$$

Let us pause here to clarify the precise meaning of  $\Gamma[\phi]$ . As it is a generating functional for the 1PI correlation functions (see eq. (2.12)), one can in practice compute it perturbatively by adding a series of "1PI diagrams." Here we clarify that these are diagrams with the property that they cannot be separated into two disconnected parts that each contains a nonzero number of external legs by cutting a single internal leg. One subtle case is that diagrams with tadpoles can be consistent with the 1PI requirement; cutting off the tadpole could separate the diagram into two disconnected parts, but the part including the tadpole does not contain any external legs. Therefore, when computing the 1PI effective action  $\Gamma[\phi]$ diagrammatically, one must include diagrams with tadpoles (when they are nonzero), see e.g. [94]. We stress, however, that the results in this section are non-perturbative: they hold regardless of whether a perturbative expansion is used to evaluate  $\Gamma[\phi]$ , or not.

#### 2.2 Amplitudes from correlation functions

To compute the amplitudes from the correlation functions, we first define the on-shell momenta. For this purpose, we study the connected two-point functions, namely the propagators:

$$D^{xy}[J] \equiv \langle \eta^x \eta^y \rangle_{J,\,\text{conn}} = -\frac{\delta^2(iW)}{\delta J_x \delta J_y} = -\left[\frac{\delta^2(i\Gamma)}{\delta \phi^x \delta \phi^y}\right]^{-1},\qquad(2.15)$$

where the second-to-last expression comes from eq. (2.7), while the last equality is due to the property of the Legendre transform in eq. (2.11). Again, this is the propagator for the *J*-dependent theory  $S_J[\eta] = S[\eta] + J_x \eta^x$ . Taking the zero source condition, J(x) = 0 or equivalently  $\phi(x) = \phi_v(x)$ , recovers the propagator of the original theory  $S[\eta]$ . Its momentum space form is the familiar one:

$$\int d^4 x_1 d^4 x_2 \, e^{ip_1 x_1} e^{ip_2 x_2} \, D^{x_1 x_2} [J=0] = (2\pi)^4 \delta^4(p_1 + p_2) \, \Delta(p_1) \,, \tag{2.16}$$

with

$$\Delta(p) = \frac{iR_{\eta}}{p^2 - m_p^2 + i\epsilon} + \text{regular}, \qquad (2.17)$$

where  $m_p$  denotes the pole mass of the particle and  $R_\eta$  denotes the residue. Using eq. (2.15), one can write eq. (2.16) alternatively as

$$\int d^4 x_1 \, e^{ip(x_1 - x_2)} \left. \frac{\delta^2 \Gamma}{\delta \phi^{x_1} \delta \phi^{x_2}} \right|_{\phi = \phi_v} = \int d^4 x_1 \, e^{ip(x_1 - x_2)} \, iD_{x_1 x_2}^{-1}[J = 0] = \frac{i}{\Delta(p)} \,. \tag{2.18}$$

Note that eq. (2.15) is the fully connected two-point function, or the full interacting propagator. Specifically, if we denote the 1PI two-point function as  $-i\Sigma(p^2)$ , we have

$$\Delta(p) = \frac{i}{p^2 - m^2 + i\epsilon} + \frac{i}{p^2 - m^2 + i\epsilon} \left[ -i\Sigma(p^2) \right] \frac{i}{p^2 - m^2 + i\epsilon} + \cdots = \frac{i}{p^2 - m^2 - \Sigma(p^2) + i\epsilon},$$
(2.19)

where  $m^2$  is the tree-level mass parameter, and the pole mass  $m_p^2$  is determined by the condition  $m_p^2 = m^2 + \text{Re}\Sigma(m_p^2)$ .

## On-shell condition.

A momentum  $p^{\mu}$  is said to be on-shell when it sits on the pole of the propagator

$$\frac{1}{\Delta(\bar{p})} = 0 \qquad \Longrightarrow \qquad \bar{p}^2 = m_p^2, \tag{2.20}$$

where we are introducing the notation  $\bar{p}$  to denote on-shell momenta. Using eq. (2.18), we can equivalently state the on-shell condition as

$$\int \mathrm{d}^4 x_1 \, e^{i\bar{p}x_1} \left. \frac{\delta^2 \Gamma}{\delta \phi^{x_1} \delta \phi^{x_2}} \right|_{\phi=\phi_v} = 0 \,. \tag{2.21}$$

## Amplitudes from LSZ and external wavefunctions.

To compute the amplitudes following the LSZ prescription [95, 96], one can first compute the *J*-dependent amputated correlation functions

$$-i\mathcal{M}_{x_1\cdots x_n}[J] \equiv \left(D_{x_1y_1}^{-1}\right)\cdots \left(D_{x_ny_n}^{-1}\right) \langle \eta^{y_1}\cdots \eta^{y_n} \rangle_{J,\,\text{conn}} \,.$$
(2.22)

Then the momentum space amplitudes  $\mathcal{A}$  follow by evaluating  $\mathcal{M}$  at J = 0, taking a Fourier transform, and including the appropriate residue factors for the external legs:

$$(2\pi)^{4} \delta^{4}(p_{1} + \dots + p_{n}) \mathcal{A}(p_{1}, \dots, p_{n}) = (R_{\eta}^{1/2})^{n} \int \left[\prod_{i=1}^{n} \mathrm{d}^{4} x_{i} e^{ip_{i}x_{i}}\right] \left(-i\mathcal{M}_{x_{1}\cdots x_{n}}|_{J=0}\right).$$
(2.23)

This defines general amplitudes for off-shell momenta  $p_i^2 \neq m_{p,i}^2$ . The on-shell amplitudes (the usual *S*-matrix elements) are then given by taking all external momenta to be on shell.

It is convenient to introduce the external wavefunction<sup>3</sup>

$$\psi^x(p) = R_\eta^{1/2} \, e^{ipx} \,, \tag{2.24}$$

which is an eigenstate of the inverse propagator (cf. eq. (2.18)):

$$\frac{\delta^2 \Gamma}{\delta \phi^{x_1} \delta \phi^{x_2}} \bigg|_{\phi = \phi_v} \psi^{x_2}(p) = \frac{i}{\Delta(p)} \psi^{x_1}(p) \,. \tag{2.25}$$

<sup>&</sup>lt;sup>3</sup>In general, the external wavefunctions are  $\psi_i = \langle 0 | \eta^{x_i} | p_i, h_i, \cdots \rangle_{J=0}$ , which represent the overlap of the fields with the *i*<sup>th</sup> external states of given momentum  $p_i$ , helicity  $h_i$ , *etc.* For an external scalar, it has the form in eq. (2.24), whereas for a gauge boson, it would also include a polarization vector, i.e.,  $\psi_i = \epsilon_{h_i}^{\mu_i}(p_i) e^{i p_i x_i}$ .

Note that when the momentum is on-shell, the eigenvalue vanishes

$$\frac{\delta^2 \Gamma}{\delta \phi^{x_1} \delta \phi^{x_2}} \bigg|_{\phi = \phi_v} \psi^{x_2}(\bar{p}) = 0.$$
(2.26)

With the external wavefunctions, we can write the LSZ formula in eq. (2.23) more concisely as

$$(2\pi)^4 \delta^4(p_1 + \dots + p_n) \, i\mathcal{A}(p_1, \dots, p_n) = \left[\psi^{x_1}(p_1) \cdots \psi^{x_n}(p_n)\right] \left(-i\mathcal{M}_{x_1 \cdots x_n}|_{J=0}\right), \quad (2.27)$$

compare analogous equations in [77, 78]. We emphasize here that  $\mathcal{A}(p_1, \dots, p_n)$  defines a generalized momentum space amplitude where the external momenta can be off-shell.

## Computing amputated correlation functions.

In order to compute amplitudes, eq. (2.27) implies that we can focus on calculating the amputated correlation functions  $-i\mathcal{M}_{x_1\cdots x_n}[J]$  defined in eq. (2.22). These can be obtained by gluing together the 1PI correlation functions (eq. (2.12)) using the propagators (eq. (2.15)). As discussed above, these two types of building blocks for  $-i\mathcal{M}_{x_1\cdots x_n}$  are both conveniently expressed in terms of the 1PI effective action. Concretely, the three-point amputated correlation function can be expressed as

$$-i\mathcal{M}_{x_1x_2x_3} = \frac{\delta^3(i\Gamma)}{\delta\phi^{x_1}\delta\phi^{x_2}\delta\phi^{x_3}},\qquad(2.28)$$

while at four-points we have

$$-i\mathcal{M}_{x_1x_2x_3x_4} = \frac{\delta^4(i\Gamma)}{\delta\phi^{x_1}\delta\phi^{x_2}\delta\phi^{x_3}\delta\phi^{x_4}} + \frac{\delta^3(i\Gamma)}{\delta\phi^{x_1}\delta\phi^{x_2}\delta\phi^{y}}D^{yz}\frac{\delta^3(i\Gamma)}{\delta\phi^z\delta\phi^{x_3}\delta\phi^{x_4}} \\ + \frac{\delta^3(i\Gamma)}{\delta\phi^{x_1}\delta\phi^{x_3}\delta\phi^{y}}D^{yz}\frac{\delta^3(i\Gamma)}{\delta\phi^z\delta\phi^{x_2}\delta\phi^{x_4}} + \frac{\delta^3(i\Gamma)}{\delta\phi^{x_1}\delta\phi^{x_4}\delta\phi^{y}}D^{yz}\frac{\delta^3(i\Gamma)}{\delta\phi^z\delta\phi^{x_2}\delta\phi^{x_3}}.$$
 (2.29)

Similar expressions can be worked out for higher-point functions. As we will explain next, they can more efficiently be built recursively out of lower-point functions.

## 2.3 Recursion relation for amplitudes

We now explain how to derive higher point generalizations of eqs. (2.28) and (2.29) recursively. For convenience, we introduce notation for the following combination of the three-point function and the propagator:

$$G_{x_1x_2}^y \equiv i\mathcal{M}_{x_1x_2z} \, D^{zy} \,. \tag{2.30}$$

With this, one can rewrite eq. (2.29) as

$$\mathcal{M}_{x_1 x_2 x_3 x_4} = \frac{\delta}{\delta \phi^{x_4}} \mathcal{M}_{x_1 x_2 x_3} - G^y_{x_4 x_1} \mathcal{M}_{y x_2 x_3} - G^y_{x_4 x_2} \mathcal{M}_{x_1 y x_3} - G^y_{x_4 x_3} \mathcal{M}_{x_1 x_2 y} \,. \tag{2.31}$$

This way of writing the four-point function exposes a relation to the three-point function. We will now argue that this pattern persists to any number of external legs as a recursion relation of the form [5]

$$\mathcal{M}_{x_1\cdots x_n x_{n+1}} = \frac{\delta}{\delta\phi^{x_{n+1}}} \,\mathcal{M}_{x_1\cdots x_n} - \sum_{i=1}^n G^y_{x_{n+1}x_i} \,\mathcal{M}_{x_1\cdots \hat{x}_i y\cdots x_n} \,, \tag{2.32}$$

where a hat denotes the absence of an index in the sequence. Note that the form of eq. (2.32) is suggestive of a covariant derivative where G is the connection; we return to this point in section 4.

To derive this recursion relation, we first use the definition of  $\mathcal{M}_{x_1 \cdots x_n}$  in eq. (2.22) together with eq. (2.7) to obtain

$$-i\mathcal{M}_{x_1\cdots x_n} = D_{x_1y_1}^{-1}\cdots D_{x_ny_n}^{-1}(-i)^n \frac{\delta^n(iW)}{\delta J_{y_1}\cdots \delta J_{y_n}}.$$
 (2.33)

This implies the following relation between  $\mathcal{M}_{x_1\cdots x_n x_{n+1}}$  and  $\mathcal{M}_{x_1\cdots x_n}$ :

$$\mathcal{M}_{x_1\cdots x_n x_{n+1}} = D_{x_1 y_1}^{-1} \cdots D_{x_n y_n}^{-1} D_{x_{n+1} y_{n+1}}^{-1} (-i) \frac{\delta}{\delta J_{y_{n+1}}} D^{y_1 z_1} \cdots D^{y_n z_n} \mathcal{M}_{z_1 \cdots z_n}$$
$$= D_{x_1 y_1}^{-1} \cdots D_{x_n y_n}^{-1} \frac{\delta}{\delta \phi^{x_{n+1}}} D^{y_1 z_1} \cdots D^{y_n z_n} \mathcal{M}_{z_1 \cdots z_n}, \qquad (2.34)$$

where we have used eqs. (2.8) and (2.15) to obtain the second line. We can simplify this expression using the commutator between the functional derivative  $\frac{\delta}{\delta\phi^{x_{n+1}}}$  and the propagators. Using eq. (2.15) again, together with eq. (2.28) and the definition in eq. (2.30), we get

$$\begin{bmatrix} \frac{\delta}{\delta\phi^{x_{n+1}}}, D^{y_i z_i} \end{bmatrix} = \left( \frac{\delta}{\delta\phi^{x_{n+1}}} D^{y_i z_i} \right) = -\left( \frac{\delta}{\delta\phi^{x_{n+1}}} \left[ \frac{\delta^2(i\Gamma)}{\delta\phi^{y_i}\delta\phi^{z_i}} \right]^{-1} \right)$$
$$= \left[ \frac{\delta^2(i\Gamma)}{\delta\phi^{y_i}\delta\phi^u} \right]^{-1} \frac{\delta^3(i\Gamma)}{\delta\phi^u\delta\phi^{x_{n+1}}\delta\phi^v} \left[ \frac{\delta^2(i\Gamma)}{\delta\phi^v\delta\phi^{z_i}} \right]^{-1}$$
$$= -D^{y_i u} i\mathcal{M}_{x_{n+1} uv} D^{v z_i} = -D^{y_i u} G^{z_i}_{x_{n+1} u}.$$
(2.35)

Using this repeatedly, we obtain the following relation

$$D_{x_1y_1}^{-1} \cdots D_{x_ny_n}^{-1} \frac{\delta}{\delta \phi^{x_{n+1}}} D^{y_1 z_1} \cdots D^{y_n z_n} = \delta_{x_1}^{z_1} \cdots \delta_{x_n}^{z_n} \frac{\delta}{\delta \phi^{x_{n+1}}} - \sum_{i=1}^n \left( \delta_{x_1}^{z_1} \cdots \delta_{x_i}^{z_i} \cdots \delta_{x_n}^{z_n} \right) G_{x_{n+1}x_i}^{z_i}, \qquad (2.36)$$

where  $\delta_x^z = \frac{\delta \phi^z}{\delta \phi^x} = \delta^4(z-x)$  and the hat indicates the absence of a quantity in the sequence as before. With this relation, eq. (2.34) simplifies to the recursion relation in eq. (2.32). Note that no step in this derivation relied on any reference to perturbation theory. Therefore, the recursion relation for  $\mathcal{M}_{x_1\cdots x_n}$  in eq. (2.32) holds to all loop orders.

#### 2.3.1 Diagrammatic derivation

The above derivation of the recursion relation eq. (2.32) is purely algebraic. To provide a more intuitive perspective, we present a diagrammatic derivation in this section, which repeats the argument given in [5] with more details.

Consider the diagrammatic representation of the amputated correlation functions  $-i\mathcal{M}_{x_1\cdots x_n}$ . They can be obtained by gluing together the 1PI vertices with the full propagators; both ingredients are conveniently expressed in terms of the 1PI effective action, as

shown in eqs. (2.12) and (2.15). Here we recap the dictionary between diagram components and algebraic factors for our convenience:

*k*-point 1PI vertices : 
$$\frac{\delta^k(i\Gamma)}{\delta\phi^{x_1}\cdots\delta\phi^{x_k}}, \quad k \ge 3,$$
 (2.37a)

full propagators : 
$$D^{xy} = -\left[\frac{\delta^2(i\Gamma)}{\delta\phi^x\delta\phi^y}\right]^{-1}$$
. (2.37b)

As usual, we group all the contributing Feynman diagrams into different "gluing topologies," which characterize all possible ways of gluing together 1PI vertices. For example, at n = 3 there is a unique gluing topology:

$$-i\mathcal{M}_{x_1x_2x_3} = \underbrace{\begin{array}{c} x_1 \\ 1 \\ x_2 \end{array}}_{x_2} (2.38)$$

This corresponds to the single term in eq. (2.28). At n = 4, there are four distinct gluing topologies, each corresponding to a term in eq. (2.29):

$$-i\mathcal{M}_{x_{1}x_{2}x_{3}x_{4}} = \underbrace{x_{1}}_{x_{2}} \underbrace{x_{4}}_{x_{3}} + \underbrace{x_{1}}_{x_{2}} \underbrace{x_{4}}_{x_{3}} + \underbrace{x_{1}}_{x_{3}} \underbrace{x_{4}}_{x_{3}} + \underbrace{x_{1}}_{x_{3}} \underbrace{x_{4}}_{x_{2}} \underbrace{x_{4}}_{x_{2}} + \underbrace{x_{1}}_{x_{2}} \underbrace{x_{2}}_{x_{3}} \underbrace{x_{2}} \underbrace{x_{2}}_{x_{3}} \underbrace{x_{2}}_{x_{3}} \underbrace{x_{2$$

Now let us consider the gluing topologies for  $-i\mathcal{M}_{x_1\cdots x_n}$  and  $-i\mathcal{M}_{x_1\cdots x_n x_{n+1}}$ , with  $n \geq 3$ . The latter has one more leg,  $x_{n+1}$ , and hence receives contributions from more gluing topologies. We can examine each of them, paying attention to where the extra leg  $x_{n+1}$  is attached. In this way, for each gluing topology  $T_{n+1}$  of  $-i\mathcal{M}_{x_1\cdots x_n x_{n+1}}$ , one can first identify a corresponding gluing topology  $T_n$  of  $-i\mathcal{M}_{x_1\cdots x_n}$ , and then figure out how one can calculate  $T_{n+1}$  from  $T_n$ .

Let us elaborate this procedure in detail. Specifically, there are three scenarios for the position of the leg  $x_{n+1}$  in  $T_{n+1}$ :

1.  $x_{n+1}$  is part of a four- (or higher-) point 1PI vertex in the gluing topology  $T_{n+1}$ . In this case, if one removes  $x_{n+1}$ , the 1PI vertex that it is attaching to will remain as a 1PI vertex, and  $T_{n+1}$  will become a gluing topology  $T_n$  for  $-i\mathcal{M}_{x_1\cdots x_n}$ . Diagrammatically, one identifies the corresponding  $T_n$  from  $T_{n+1}$  as

$$T_{n+1} = \underbrace{(1\text{PI})}_{y_1} \underbrace{y_{m+1}}_{y_1} \underbrace{y_{k}}_{y_{n+1}} \Longrightarrow T_n = \underbrace{(1\text{PI})}_{y_1} \underbrace{y_{m+1}}_{y_1} \underbrace{y_{k}}_{y_k} . \quad (2.40)$$

Next, from the dictionary in eq. (2.37a), we see that  $T_{n+1}$  can be calculated from  $T_n$  by taking a functional derivative  $\frac{\delta}{\delta \phi^{x_{n+1}}}$  of the corresponding vertex factor in  $T_n$ , because

$$\frac{\delta^{k+1}(i\Gamma)}{\delta\phi^{y_1}\cdots\delta\phi^{y_k}\delta\phi^{x_{n+1}}} = \frac{\delta}{\delta\phi^{x_{n+1}}} \left(\frac{\delta^k(i\Gamma)}{\delta\phi^{y_1}\cdots\delta\phi^{y_k}}\right).$$
(2.41)

2.  $x_{n+1}$  is part of a three-point 1PI vertex in the gluing topology  $T_{n+1}$ , and none of the other two lines from this 1PI vertex is a leg. In this case, one can remove  $x_{n+1}$ by replacing the three-point 1PI vertex with a propagator, and thus obtain a gluing topology  $T_n$  for  $-i\mathcal{M}_{x_1\cdots x_n}$ . Diagrammatically, one identifies the corresponding  $T_n$  from  $T_{n+1}$  as

$$T_{n+1} = \underbrace{(1\text{PI})_{y_1}}_{z_1} \underbrace{(1\text{PI})_{z_2}}_{z_2} \underbrace{(1\text{PI})_{y_2}}_{y_2} \underbrace{(1\text{PI})_{y_1}}_{z_2} \xrightarrow{(1\text{PI})_{y_1}}_{y_2} \underbrace{(1\text{PI})_{y_1}}_{z_2} \underbrace{(1\text{PI})_{y_1}}_$$

Next, from the dictionary in eq. (2.37b), we see that  $T_{n+1}$  can be calculated from  $T_n$  by taking a functional derivative  $\frac{\delta}{\delta\phi^{x_{n+1}}}$  of the corresponding propagator factor in  $T_n$ , because

$$D^{y_1 z_1} \frac{\delta^3(i\Gamma)}{\delta \phi^{z_1} \delta \phi^{x_{n+1}} \delta \phi^{z_2}} D^{z_2 y_2} = \frac{\delta}{\delta \phi^{x_{n+1}}} \left( D^{y_1 y_2} \right) \,. \tag{2.43}$$

3.  $x_{n+1}$  is part of a three-point 1PI vertex in the gluing topology  $T_{n+1}$ , and one of the other two lines from this 1PI vertex is a leg  $x_i$ . (For  $n \ge 3$ , one cannot have both the other two lines being legs.) In this case, one can remove  $x_{n+1}$  by cutting off the three-point 1PI vertex from the diagram and relabeling the leg from the cut as  $x_i$  to get a gluing topology  $T_n$  for  $-i\mathcal{M}_{x_1\cdots x_n}$ . Diagrammatically, one identifies the corresponding  $T_n$  from  $T_{n+1}$  as

$$T_{n+1} = \underbrace{\begin{array}{c} x_{n+1} \\ 1 \text{PI} \\ x_i \end{array}}_{x_i} \underbrace{\begin{array}{c} x_1 \\ x_n \end{array}}_{x_n} \Longrightarrow T_n = \underbrace{\begin{array}{c} x_i \\ \mathcal{M} \\ x_n \end{array}}_{x_n} . \quad (2.44)$$

Next, from the definition in eq. (2.30), we see that  $T_{n+1}$  can be calculated from  $T_n$  by first taking the replacement  $x_i \to y$  and then contracting with the factor  $-G_{x_{n+1}x_i}^y$ , because

$$\frac{\delta^3(i\Gamma)}{\delta\phi^{x_n+1}\delta\phi^{x_i}\delta\phi^z} D^{zy} \mathcal{M}_{x_1\cdots\hat{x}_iy\cdots x_n} = -G^y_{x_n+1x_i} \mathcal{M}_{x_1\cdots\hat{x}_iy\cdots x_n} .$$
(2.45)

In summary, scenarios 1 and 2 together gives the functional derivative term in eq. (2.32), and scenario 3 gives us the terms involving the contraction with  $G_{x_{n+1}x_i}^y$ . This completes the diagrammatic proof of the recursion relation in eq. (2.32).

## 2.3.2 Connection with Berends-Giele recursion relation

Since it involves off-shell building blocks, the recursion relation in eq. (2.32) can be related to the Berends-Giele off-shell recursion relation for computing the amplitudes [97–99] as we

now explain. First, all the amputated correlation functions (and therefore the amplitudes) are encoded in the functional relation  $\phi^x[J]$ . Specifically, using our definition of the field  $\phi^x$  in eq. (2.8), we can rewrite eq. (2.33) as

$$-i\mathcal{M}_{x_1\cdots x_n} = \left(D_{x_1y_1}^{-1}\right)\cdots\left(D_{x_ny_n}^{-1}\right)(-i)^{n-1}\frac{\delta^{n-1}\phi^{y_1}}{\delta J_{y_2}\cdots\delta J_{y_n}}.$$
 (2.46)

Note also from eq. (2.15) that

$$iD_{x_iy_i} = \frac{\delta\phi^{x_i}}{\delta J_{y_i}}.$$
(2.47)

Therefore, by rearranging terms and evaluating them at J = 0, we can obtain the relation between  $\mathcal{M}_{x_1 \cdots x_n}|_{J=0}$  and the Taylor expansion coefficients of  $\phi^x[J]$  at J = 0:

$$\frac{\delta^{n-1}\phi^{y_1}}{\delta J_{y_2}\cdots\delta J_{y_n}}\Big|_{J=0} = \left(-\mathcal{M}_{x_1\cdots x_n}|_{J=0}\right)\left(\frac{\delta\phi^{x_1}}{\delta J_{y_1}}\Big|_{J=0}\right)\cdots\left(\frac{\delta\phi^{x_n}}{\delta J_{y_n}}\Big|_{J=0}\right).$$
 (2.48)

The Berends-Giele approach [97–99] is to iteratively solve the equation of motion condition

$$\frac{\delta\Gamma}{\delta\phi^x} = -J_x \,, \tag{2.49}$$

to obtain the functional relation  $\phi^x[J]$  order by order in J. Alternatively it is possible to construct  $\phi^x[J]$  order by order in J via the "perturbiner method" [100–103]. In both cases, this is computing the Taylor expansion coefficients of  $\phi^x[J]$  at J = 0 in eq. (2.48). One can then obtain  $\mathcal{M}_{x_1\cdots x_n}|_{J=0}$  through eq. (2.48). This is in contrast with our recursion relation in eq. (2.32), which directly constructs  $\mathcal{M}_{x_1\cdots x_n}[J]$  recursively for  $J \neq 0$ .

## 3 Invariance of amplitudes under general field redefinitions

In section 2, we reviewed how the *n*-point amplitudes  $\mathcal{A}(p_1, \dots, p_n)$ , for both on-shell and off-shell kinematics, can be obtained from the *n*-point amputated correlation functions  $\mathcal{M}_{x_1 \dots x_n}[J]$  using LSZ reduction (eq. (2.27)), and we derived an off-shell recursion relation for  $\mathcal{M}_{x_1 \dots x_n}[J]$  (eq. (2.32)). Both of these results are well known; the novelty here is how we organize the terms. As we will show in this section, this organization of the results facilitates a new proof of the invariance of on-shell amplitudes under general field redefinitions, including those involving derivatives. Our results here are complementary to the traditional approach that makes the argument directly from the path integral (see e.g. section 6.2 of [4], which we also reproduce in appendix A for completeness).

An important lesson learned from section 2 is that the amplitudes  $\mathcal{A}(p_1, \dots, p_n)$  are encoded in a given 1PI effective action  $\Gamma[\phi]$ :

$$\Gamma[\phi] \longrightarrow \mathcal{M}_{x_1 \cdots x_n}[J] \longrightarrow \mathcal{A}(p_1, \cdots, p_n) .$$
(3.1)

This is independent of the loop order; for a given theory  $S[\eta]$ , the truncation in terms of loop order only impacts the computation of the 1PI effective action  $\Gamma[\phi]$  itself. One can therefore explore the properties of amplitudes by analyzing the behavior of the 1PI effective action.<sup>4</sup> In particular, we will make use of the recursion relation in eq. (2.32) to prove the following *transformation lemma* in section 3.1:

Define the 1PI effective action  $\tilde{\Gamma}[\tilde{\phi}]$  as a transformation of  $\Gamma[\phi]$  that results from substituting in a given analytic functional relation  $\phi[\tilde{\phi}]$ :

$$\tilde{\Gamma}[\tilde{\phi}] = \Gamma[\phi[\tilde{\phi}]] . \tag{3.2}$$

Then the amputated correlation functions encoded in these two 1PI effective actions,  $\widetilde{\mathcal{M}}$  and  $\mathcal{M}$  respectively, are related by

$$\widetilde{\mathcal{M}}_{x_1\cdots x_n} = \frac{\delta \phi^{y_1}}{\delta \tilde{\phi}^{x_1}} \cdots \frac{\delta \phi^{y_n}}{\delta \tilde{\phi}^{x_n}} \,\mathcal{M}_{y_1\cdots y_n} + U_{x_1\cdots x_n} \,, \tag{3.3}$$

where  $U_{x_1\cdots x_n}$  is an "evanescent term"<sup>5</sup> (see eq. (3.18) below for a detailed expression), which satisfies

$$\tilde{\psi}^{x_1}(\bar{p}_1)\cdots\tilde{\psi}^{x_n}(\bar{p}_n)\left(U_{x_1\cdots x_n}|_{J=0}\right) = 0, \qquad (3.4)$$

where  $\bar{p}_i$  is an on-shell momentum. Therefore,  $U_{x_1\cdots x_n}$  does not contribute to on-shell amplitudes. As a consequence, the on-shell amplitudes encoded in  $\tilde{\Gamma}[\tilde{\phi}]$  and  $\Gamma[\phi]$  are the same:

$$\widehat{\mathcal{A}}(\bar{p}_1,\cdots,\bar{p}_n) = \mathcal{A}(\bar{p}_1,\cdots,\bar{p}_n) .$$
(3.5)

This is the main result of this paper. We emphasize that this result holds to all loop orders, since eq. (3.1) holds to all loop orders.

In section 3.2, we will apply the above statement to show that tree-level and one-loop amplitudes are invariant under general field redefinitions. Concretely, we will parameterize a general field redefinition by writing the old fields  $\eta(x)$  and the new fields  $\tilde{\eta}(x)$  as functionals of each other:<sup>6</sup>

$$\eta \longrightarrow \tilde{\eta} : \qquad \eta(y) = f_y[\tilde{\eta}(x)].$$
 (3.6)

This accommodates all field redefinitions that are expected to leave the S-matrix elements invariant, and in particular includes field redefinitions that involve derivatives. Such a field redefinition leads to a new Lagrangian, which gives a new 1PI effective action  $\tilde{\Gamma}[\tilde{\phi}]$ . In section 3.2, we will show that up to one-loop order, one can find an analytic functional

$$f_y[\tilde{\eta}]: \quad \tilde{\eta}(x) \rightarrow f\left(\tilde{\eta}(x) , \partial_\mu \tilde{\eta}(x) , \partial_\mu \partial_\nu \tilde{\eta}(x) , \cdots\right)\Big|_{x=y}.$$

 $<sup>^{4}</sup>$ We mention that this is the exact same spirit of functional methods for EFT matching calculations (e.g. [104, 105]), where the matching of amplitudes are efficiently achieved/guaranteed through the matching of the 1PI effective actions.

<sup>&</sup>lt;sup>5</sup>We stress that our use of "evanescent" in this context is unrelated to the evanescent operators found when dimensionally regularizing loop integrals involving fermions.

<sup>&</sup>lt;sup>6</sup>Note that  $f_y[\tilde{\eta}]$  is a family of functionals parameterized by y. Specifically, for each given y, it maps the function  $\tilde{\eta}(x)$  to a number:

relation  $\phi[\tilde{\phi}]$ , such that the new 1PI effective action is related to the old one as in eq. (3.2),  $\tilde{\Gamma}[\tilde{\phi}] = \Gamma[\phi[\tilde{\phi}]]$ . Therefore, the transformation lemma applies, which leads to the conclusion that the on-shell amplitudes are the same.

#### 3.1 Proof of the transformation lemma

Given a relation between two 1PI effective actions  $\tilde{\Gamma}[\tilde{\phi}]$  and  $\Gamma[\phi]$  as in eq. (3.2):

$$\widetilde{\Gamma}[\widetilde{\phi}] = \Gamma[\phi[\widetilde{\phi}]], \qquad (3.7)$$

we now address how their corresponding amplitudes would be related. Specifically, we will prove the transformation lemma described above; see eqs. (3.2)–(3.4). Following the procedure in eq. (3.1), we will first use eq. (3.7) to derive the relations between their functional derivatives, and then the relations between the amputated correlation functions  $\widetilde{\mathcal{M}}_{x_1\cdots x_n}$  and  $\mathcal{M}_{x_1\cdots x_n}$ , and eventually the relations between the amplitudes.

## Zero source condition.

We begin by relating the first functional derivatives of the two effective actions. They are related by the chain rule

$$\frac{\delta \widetilde{\Gamma}}{\delta \widetilde{\phi}^x} = \frac{\delta \phi^y}{\delta \widetilde{\phi}^x} \frac{\delta \Gamma}{\delta \phi^y} \,. \tag{3.8}$$

It means that for analytic functional relations  $\phi[\tilde{\phi}]$  in eq. (3.7), where the matrix  $\delta \phi^y / \delta \tilde{\phi}^x$  is invertible, the zero source condition eq. (2.14) is unchanged:

$$\frac{\delta \widetilde{\Gamma}}{\delta \widetilde{\phi}^x}\Big|_{\widetilde{\phi} = \widetilde{\phi}_v} = 0 \qquad \Longleftrightarrow \qquad \frac{\delta \Gamma}{\delta \phi^x}\Big|_{\phi = \phi[\widetilde{\phi}_v]} = 0.$$
(3.9)

Put in other words,  $\phi_v(x)$  is given by plugging  $\tilde{\phi}_v(x)$  into the functional relation  $\phi[\tilde{\phi}]$ :

$$\phi_v(x) = \phi[\tilde{\phi}_v](x). \tag{3.10}$$

Note that this would not be true if there were an inhomogeneous piece in eq. (3.8).

## On-shell condition.

Now we move onto the relation between the second derivatives. Following eq. (3.8), we derive the relation between the second functional derivatives again using the chain rule:

$$\frac{\delta^2 \widetilde{\Gamma}}{\delta \widetilde{\phi}^{x_1} \delta \widetilde{\phi}^{x_2}} = \frac{\delta \phi^{y_1}}{\delta \widetilde{\phi}^{x_1}} \frac{\delta \phi^{y_2}}{\delta \widetilde{\phi}^{x_2}} \frac{\delta^2 \Gamma}{\delta \phi^{y_1} \delta \phi^{y_2}} + \frac{\delta^2 \phi^{y_1}}{\delta \widetilde{\phi}^{x_1} \delta \widetilde{\phi}^{x_2}} \frac{\delta \Gamma}{\delta \phi^{y_1}}.$$
(3.11)

From eq. (3.9), we see that the inhomogeneous piece vanishes when this expression is evaluated at  $\tilde{\phi}(x) = \tilde{\phi}_v(x)$ :

$$\frac{\delta^2 \widetilde{\Gamma}}{\delta \widetilde{\phi}^{x_1} \delta \widetilde{\phi}^{x_2}} \Big|_{\widetilde{\phi}_v} = \left( \frac{\delta \phi^{y_1}}{\delta \widetilde{\phi}^{x_1}} \Big|_{\widetilde{\phi}_v} \right) \left( \frac{\delta \phi^{y_2}}{\delta \widetilde{\phi}^{x_2}} \Big|_{\widetilde{\phi}_v} \right) \left( \frac{\delta^2 \Gamma}{\delta \phi^{y_1} \delta \phi^{y_2}} \Big|_{\phi_v} \right) \,, \tag{3.12}$$

where we have used eq. (3.10) for the last factor. This tells us that the on-shell momentum condition eq. (2.21) is unchanged:

$$\int \mathrm{d}^4 x_1 \, e^{i\bar{p}x_1} \left. \frac{\delta^2 \Gamma}{\delta \phi^{x_1} \delta \phi^{x_2}} \right|_{\phi_v} = 0 \qquad \Longleftrightarrow \qquad \int \mathrm{d}^4 x_1 \, e^{i\bar{p}x_1} \left. \frac{\delta^2 \widetilde{\Gamma}}{\delta \widetilde{\phi}^{x_1} \delta \widetilde{\phi}^{x_2}} \right|_{\widetilde{\phi}_v} = 0 \,, \tag{3.13}$$

again for analytic functional relations  $\phi[\tilde{\phi}]$  such that the matrix  $\delta \phi^y / \delta \tilde{\phi}^x$  is invertible. Moreover, from eq. (2.26) we see that eq. (3.12) also implies the following relation between the *on-shell* external wavefunctions

$$\psi^{y}(\bar{p}) = \left(\frac{\delta\phi^{y}}{\delta\bar{\phi}^{x}}\Big|_{\bar{\phi}_{v}}\right)\tilde{\psi}^{x}(\bar{p}).$$
(3.14)

Note however that eigenstates with nonzero eigenvalues  $\psi^y(p)$  and  $\tilde{\psi}^x(p)$  with off-shell momentum  $p^{\mu}$  are not related in such a simple way. This is because eq. (3.12) is a congruence transform instead of a similarity transform between the two matrices  $\frac{\delta^2 \tilde{\Gamma}}{\delta \tilde{\phi}^{x_1} \delta \tilde{\phi}^{x_2}} \Big|_{\tilde{\phi}_v}$  and  $\frac{\delta^2 \Gamma}{\delta \phi^{y_1} \delta \phi^{y_2}} \Big|_{\phi_v}$ . Under such a transform, the nonzero eigenvalues are not preserved/invariant, which is also inferred by the mismatch regarding the upper/lower index structure between the two sides of eq. (2.25).

## Three-point function.

Following eq. (3.11), one can further move on to the third functional derivatives of  $\Gamma[\phi]$ , which are of course the three-point amputated correlation functions (cf. eq. (2.28)):

$$\widetilde{\mathcal{M}}_{x_1x_2x_3} = \frac{\delta\phi^{y_1}}{\delta\tilde{\phi}^{x_1}} \frac{\delta\phi^{y_2}}{\delta\tilde{\phi}^{x_2}} \frac{\delta\phi^{y_3}}{\delta\tilde{\phi}^{x_3}} \mathcal{M}_{y_1y_2y_3} - \frac{\delta^3\phi^{y_1}}{\delta\tilde{\phi}^{x_1}\delta\tilde{\phi}^{x_2}\delta\tilde{\phi}^{x_3}} \frac{\delta\Gamma}{\delta\phi^{y_1}} - \left(\frac{\delta^2\phi^{y_1}}{\delta\tilde{\phi}^{x_2}\delta\tilde{\phi}^{x_3}} \frac{\delta\phi^{y_2}}{\delta\tilde{\phi}^{x_1}} + \frac{\delta^2\phi^{y_1}}{\delta\tilde{\phi}^{x_1}\delta\tilde{\phi}^{x_2}} \frac{\delta\phi^{y_2}}{\delta\tilde{\phi}^{x_2}} + \frac{\delta^2\phi^{y_1}}{\delta\tilde{\phi}^{x_1}\delta\tilde{\phi}^{x_2}} \frac{\delta\phi^{y_2}}{\delta\tilde{\phi}^{x_3}}\right) \frac{\delta^2\Gamma}{\delta\phi^{y_1}\delta\phi^{y_2}}.$$
 (3.15)

We see that this expression involves more inhomogeneous pieces as compared to the second functional derivatives. However, these terms will drop out when computing on-shell amplitudes:

$$(2\pi)^4 \delta^4(\bar{p}_1 + \bar{p}_2 + \bar{p}_3) \, i \widetilde{\mathcal{A}} \left( \bar{p}_1, \bar{p}_2, \bar{p}_3 \right) = \tilde{\psi}^{x_1}(\bar{p}_1) \, \tilde{\psi}^{x_2}(\bar{p}_2) \, \tilde{\psi}^{x_3}(\bar{p}_3) \left( -i \widetilde{\mathcal{M}}_{x_1 x_2 x_3} \big|_{\tilde{\phi}_v} \right). \tag{3.16}$$

This is because the inhomogeneous pieces in the first and second lines of eq. (3.15) respectively contain the following two types of factors:

$$\frac{\delta\Gamma}{\delta\phi^y}: \qquad \frac{\delta\Gamma}{\delta\phi^y}\Big|_{\phi_v} = 0, \qquad (3.17a)$$

$$\frac{\delta\phi^{y_2}}{\delta\tilde{\phi}^{x_i}}\frac{\delta^2\Gamma}{\delta\phi^{y_1}\delta\phi^{y_2}}:\qquad \tilde{\psi}^{x_i}(\bar{p}_i)\left(\frac{\delta\phi^{y_2}}{\delta\tilde{\phi}^{x_i}}\Big|_{\tilde{\phi}_v}\right)\left(\frac{\delta^2\Gamma}{\delta\phi^{y_1}\delta\phi^{y_2}}\Big|_{\phi_v}\right)=0\,,\qquad(3.17b)$$

where  $x_i$  refers to an index in  $\widetilde{\mathcal{M}}_{x_1\cdots x_n}$ , corresponding to an external leg of the diagram. As indicated above, terms with the first type of factors vanish upon enforcing the zero source condition  $\tilde{\phi}(x) = \tilde{\phi}_v(x)$ ; terms with the second type of factors are nonzero at  $\tilde{\phi}(x) = \tilde{\phi}_v(x)$ ,

but will vanish upon a further contraction with the on-shell external wavefunctions  $\bar{\psi}^{x_i}(\bar{p}_i)$ , due to eqs. (2.26) and (3.14). Since they do not change the observable (on-shell) physics, we refer to these quantities as "evanescent."

From the above discussion, we see that in general, an evanescent term would have to contain either the factor in eq. (3.17a), or the factor in eq. (3.17b). Therefore, a general parameterization of the evanescent terms is

$$U_{x_1\cdots x_n} = a_{x_1\cdots x_n}^{y_1} \frac{\delta\Gamma}{\delta\phi^{y_1}} + \sum_{i=1}^n b_{x_1\cdots \hat{x}_i\cdots x_n}^{y_1} \frac{\delta\phi^{y_2}}{\delta\tilde{\phi}^{x_i}} \frac{\delta^2\Gamma}{\delta\phi^{y_1}\delta\phi^{y_2}} \,. \tag{3.18}$$

By construction, it satisfies the condition in eq. (3.4). Below, we will show by induction that all the inhomogeneous terms in eq. (3.3) are evanescent terms, i.e., they can be written in the form eq. (3.18).

The proof for the n = 3 case is straightforward. One can simply rewrite eq. (3.15):

$$\widetilde{\mathcal{M}}_{x_1x_2x_3} = \frac{\delta\phi^{y_1}}{\delta\tilde{\phi}^{x_1}} \frac{\delta\phi^{y_2}}{\delta\tilde{\phi}^{x_2}} \frac{\delta\phi^{y_3}}{\delta\tilde{\phi}^{x_3}} \,\mathcal{M}_{y_1y_2y_3} + U_{x_1x_2x_3}\,,\tag{3.19}$$

because all the inhomogeneous terms in eq. (3.15) manifestly take the form in eq. (3.18). This proves the n = 3 case of the transformation lemma around eqs. (3.3) and (3.4). It says that the three-point amputated correlation functions  $\widetilde{\mathcal{M}}_{x_1x_2x_3}$  and  $\mathcal{M}_{y_1y_2y_3}$  are related homogeneously by the transformation matrices  $\delta \phi^{y_i} / \delta \tilde{\phi}^{x_i}$ , up to an evanescent term  $U_{x_1x_2x_3}$ that would not change the on-shell amplitudes  $\widetilde{\mathcal{A}}(\bar{p}_1, \bar{p}_2, \bar{p}_3)$ .

#### *n*-point functions.

The relation in eq. (3.19) (with the structure of the evanescent term given in eq. (3.18)) holds also for higher-point amputated correlation functions, i.e., eq. (3.3). To show this, one can derive the higher-point analog of eq. (3.15), and then check if the inhomogeneous pieces are evanescent.

In order to organize the proof, we will use the recursive expression of the *n*-point functions in eqs. (2.31) and (2.32), where an (n + 1)-point amputated correlation function is concisely written in terms of the *n*-point ones. The n = 3 case that we proved above in eq. (3.19) (with eq. (3.18)) serves as the *base case* for the induction. To further prove the result for arbitrary integer  $n \ge 3$ , we need to prove the *induction step*: if eq. (3.3) (with eq. (3.18)) holds for k, then it will also hold for k + 1.

To show this, we assume that  $\widetilde{\mathcal{M}}_{x_1\cdots x_k}$  and  $\mathcal{M}_{y_1\cdots y_k}$  are related as in eq. (3.3):

$$\widetilde{\mathcal{M}}_{x_1\cdots x_k} = \frac{\delta\phi^{y_1}}{\delta\tilde{\phi}^{x_1}}\cdots\frac{\delta\phi^{y_k}}{\delta\tilde{\phi}^{x_k}}\,\mathcal{M}_{y_1\cdots y_k} + U_{x_1\cdots x_k}\,,\tag{3.20}$$

where  $U_{x_1\cdots x_k}$  is an evanescent term that has the form in eq. (3.18):

$$U_{x_1\cdots x_k} = a_{x_1\cdots x_k}^{y_1} \frac{\delta\Gamma}{\delta\phi^{y_1}} + \sum_{i=1}^k b_{x_1\cdots \hat{x}_i\cdots x_k}^{y_1} \frac{\delta\phi^{y_2}}{\delta\tilde{\phi}^{x_i}} \frac{\delta^2\Gamma}{\delta\phi^{y_1}\delta\phi^{y_2}}.$$
(3.21)

We then make use of the recursion relations for both  $\widetilde{\mathcal{M}}$  and  $\mathcal{M}$ 

$$\widetilde{\mathcal{M}}_{x_1\cdots x_k} \longrightarrow \widetilde{\mathcal{M}}_{x_1\cdots x_k x_{k+1}} = \frac{\delta}{\delta \widetilde{\phi}^{x_{k+1}}} \widetilde{\mathcal{M}}_{x_1\cdots x_k} - \sum_{i=1}^k \widetilde{G}^y_{x_{k+1} x_i} \widetilde{\mathcal{M}}_{x_1\cdots \widehat{x}_i y \cdots x_k} , \qquad (3.22a)$$

$$\mathcal{M}_{y_1\cdots y_k} \longrightarrow \mathcal{M}_{y_1\cdots y_k y_{k+1}} = \frac{\delta}{\delta\phi^{y_{k+1}}} \mathcal{M}_{y_1\cdots y_k} - \sum_{i=1}^k G^z_{y_{k+1}y_i} \mathcal{M}_{y_1\cdots \hat{y}_i z \cdots y_k} , \qquad (3.22b)$$

to show that consequently  $\widetilde{\mathcal{M}}_{x_1\cdots x_k x_{k+1}}$  and  $\mathcal{M}_{y_1\cdots y_k y_{k+1}}$  will also be related as in eq. (3.3). To this end, we compute the inhomogeneous pieces at k + 1:

$$\widetilde{\mathcal{M}}_{x_{1}\cdots x_{k}x_{k+1}} - \frac{\delta\phi^{y_{1}}}{\delta\tilde{\phi}^{x_{1}}} \cdots \frac{\delta\phi^{y_{k}}}{\delta\tilde{\phi}^{x_{k}}} \frac{\delta\phi^{y_{k+1}}}{\delta\tilde{\phi}^{x_{k+1}}} \mathcal{M}_{y_{1}\cdots y_{k}y_{k+1}} \\
= -\sum_{i=1}^{k} \left( \frac{\delta\phi^{y_{1}}}{\delta\tilde{\phi}^{x_{1}}} \cdots \frac{\delta\phi^{y_{i}}}{\delta\tilde{\phi}^{x_{i}}} \cdots \frac{\delta\phi^{y_{k}}}{\delta\tilde{\phi}^{x_{k}}} \right) \mathcal{M}_{y_{1}\cdots\hat{y}_{i}z\cdots y_{k}} \\
\times \left( \frac{\delta\phi^{z}}{\delta\tilde{\phi}^{y}} \widetilde{G}_{x_{k+1}x_{i}}^{y} - \frac{\delta\phi^{y_{k+1}}}{\delta\tilde{\phi}^{x_{k+1}}} \frac{\delta\phi^{y_{i}}}{\delta\tilde{\phi}^{x_{k+1}}} G_{y_{k+1}y_{i}}^{z} - \frac{\delta^{2}\phi^{z}}{\delta\tilde{\phi}^{x_{k+1}}\delta\tilde{\phi}^{x_{i}}} \right) \\
+ \left( \frac{\delta}{\delta\tilde{\phi}^{x_{k+1}}} U_{x_{1}\cdots x_{k}} - \sum_{i=1}^{k} \widetilde{G}_{x_{k+1}x_{i}}^{y} U_{x_{1}\cdots\hat{x}_{i}y\cdots x_{k}} \right).$$
(3.23)

To obtain this result, we have used eqs. (3.20) and (3.22). Our goal is to show that the right hand side has the general structure given in eq. (3.18), so that it is an evanescent term. Let us check that this is true for the first and the second terms in turn.

To check the evanescence of the first term in eq. (3.23), we need to study the relation between  $\tilde{G}^y_{x_{k+1}x_i}$  and  $G^z_{y_{k+1}y_i}$ . Recalling the definition in eq. (2.30) and the relation in eq. (2.15), we get

$$G_{x_1x_2}^y = i\mathcal{M}_{x_1x_2z} D^{zy} = -\mathcal{M}_{x_1x_2z} \left(\frac{\delta^2\Gamma}{\delta\phi^z\delta\phi^y}\right)^{-1}.$$
(3.24)

Therefore, using the relations in eqs. (3.11) and (3.15), we have

$$\begin{split} \widetilde{G}_{x_{k+1}x_{i}}^{y} &= -\widetilde{\mathcal{M}}_{x_{k+1}x_{i}u} \left(\frac{\delta^{2}\widetilde{\Gamma}}{\delta\tilde{\phi}^{u}\delta\tilde{\phi}^{y}}\right)^{-1} \\ &= -\left(\frac{\delta\phi^{y_{k+1}}}{\delta\tilde{\phi}^{x_{k+1}}}\frac{\delta\phi^{y_{i}}}{\delta\tilde{\phi}^{x_{i}}}\frac{\delta\phi^{v}}{\delta\tilde{\phi}^{u}}\mathcal{M}_{y_{k+1}y_{i}v} - \frac{\delta^{2}\phi^{y_{1}}}{\delta\tilde{\phi}^{x_{k+1}}\delta\tilde{\phi}^{x_{i}}}\frac{\delta\phi^{y_{2}}}{\delta\phi^{y_{2}}}\right)\frac{\delta\tilde{\phi}^{u}}{\delta\phi^{w}} \left(\frac{\delta^{2}\Gamma}{\delta\phi^{w}\delta\phi^{z}}\right)^{-1}\frac{\delta\tilde{\phi}^{y}}{\delta\phi^{z}} + U_{x_{k+1}x_{i}}^{y} \\ &= \frac{\delta\phi^{y_{k+1}}}{\delta\tilde{\phi}^{x_{k+1}}}\frac{\delta\phi^{y_{i}}}{\delta\tilde{\phi}^{x_{i}}}\frac{\delta\tilde{\phi}^{y}}{\delta\phi^{z}}G_{y_{k+1}y_{i}}^{z} + \frac{\delta^{2}\phi^{z}}{\delta\tilde{\phi}^{x_{k+1}}\delta\tilde{\phi}^{x_{i}}}\frac{\delta\tilde{\phi}^{y}}{\delta\phi^{z}} + U_{x_{k+1}x_{i}}^{y} \,, \end{split}$$
(3.25)

where  $U_{x_{k+1}x_i}^y$  collects terms that contain the evanescent factors in eq. (3.17), in a similar fashion as in eq. (3.18). We emphasize that in the parentheses of the second line above, the second term is not evanescent and hence did not get collected into  $U_{x_{k+1}x_i}^y$ . This is because unlike  $x_{k+1}$  or  $x_i$ , the index u is not a leg, since it not an index in  $\widetilde{\mathcal{M}}_{x_1\cdots x_{k+1}}$ . It yields the non-evanescent inhomogeneous piece in the last line. With the relation in eq. (3.25), the first line of the result in eq. (3.23) simplifies into

$$-\sum_{i=1}^{k} \left( \frac{\delta \phi^{y_1}}{\delta \tilde{\phi}^{x_1}} \cdots \frac{\delta \widehat{\phi}^{y_k}}{\delta \tilde{\phi}^{x_k}} \cdots \frac{\delta \phi^{y_k}}{\delta \tilde{\phi}^{x_k}} \right) \mathcal{M}_{y_1 \cdots \hat{y}_i z \cdots y_k} \frac{\delta \phi^z}{\delta \tilde{\phi}^y} U^y_{x_{k+1} x_i} \in U_{x_1 \cdots x_{k+1}}.$$
(3.26)

As indicated here, this is clearly an evanescent term, because of the  $U_{x_{k+1}x_i}^y$  factor.

Now let us move on to the second term in eq. (3.23). This term contains the evanescent term  $U_{x_1\cdots x_k}$ , whose general form — given in eq. (3.21) — comprises "*a*-type" and "*b*-type" evanescent factors in eqs. (3.17a) and (3.17b), respectively. However, if one takes a functional derivative  $\frac{\delta}{\delta \phi^{x_{k+1}}}$ , and/or makes an index replacement  $x_i \to y$ , an evanescent term of the *a*-type or *b*-type might become non-evanescent. In what follows, we show that despite this, the combination in the second term of eq. (3.23) is still evanescent.

Since the second term of eq. (3.23) is linear in  $U_{x_1\cdots x_k}$ , we can examine its *a*-type and *b*-type terms separately. Let us begin with the *a*-type terms. The evanescence of an *a*-type term does not rely on any of its indices being a leg  $x_i$ , so the index replacement  $x_i \rightarrow y$ would not cause any problems. On the other hand, it does rely on containing a factor of the first functional derivative of  $\Gamma$ , so the additional functional derivative could potentially be a problem. However, since this additional functional derivative is at a leg  $x_{k+1}$ , any potentially problematic term that arises from an *a*-type term will simply be a *b*-type term, which is still evanescent:

$$\frac{\delta}{\delta\tilde{\phi}^{x_{k+1}}} \left( a_{x_1\cdots x_k}^{y_1} \frac{\delta\Gamma}{\delta\phi^{y_1}} \right) \supset a_{x_1\cdots x_k}^{y_1} \frac{\delta\phi^{y_2}}{\delta\tilde{\phi}^{x_{k+1}}} \frac{\delta^2\Gamma}{\delta\phi^{y_1}\delta\phi^{y_2}} \quad \in \quad U_{x_1\cdots x_{k+1}} \,. \tag{3.27}$$

Therefore, for *a*-type terms in  $U_{x_1\cdots x_k}$ , the second term in eq. (3.23) remains evanescent for each individual term in its parentheses.

Now let us check the *b*-type terms. Acting the additional functional derivative on them yields the following non-evanescent terms

$$\frac{\delta}{\delta\tilde{\phi}^{x_{k+1}}} U_{x_1\cdots x_k} \supset \frac{\delta}{\delta\tilde{\phi}^{x_{k+1}}} \left( \sum_{i=1}^k b_{x_1\cdots \hat{x}_i\cdots x_k}^{y_1} \frac{\delta\phi^{y_2}}{\delta\tilde{\phi}^{x_i}} \frac{\delta^2\Gamma}{\delta\phi^{y_1}\delta\phi^{y_2}} \right) 
\supset \sum_{i=1}^k b_{x_1\cdots \hat{x}_i\cdots x_k}^{y_1} \left( \frac{\delta^2\phi^{y_2}}{\delta\tilde{\phi}^{x_{k+1}}\delta\tilde{\phi}^{x_i}} \frac{\delta^2\Gamma}{\delta\phi^{y_1}\delta\phi^{y_2}} + \frac{\delta\phi^{y_2}}{\delta\tilde{\phi}^{x_i}} \frac{\delta\phi^{y_{k+1}}}{\delta\tilde{\phi}^{x_{k+1}}} \frac{\delta^3\Gamma}{\delta\phi^{y_1}\delta\phi^{y_2}\delta\phi^{y_{k+1}}} \right). \quad (3.28)$$

On the other hand, the external-to-internal index replacement  $x_i \rightarrow y$  yields the following non-evanescent terms

$$-\sum_{i=1}^{k} \tilde{G}_{x_{k+1}x_{i}}^{y} U_{x_{1}\cdots\hat{x}_{i}y\cdots x_{k}} \supset -\sum_{i=1}^{k} \tilde{G}_{x_{k+1}x_{i}}^{y} b_{x_{1}\cdots\hat{x}_{i}\cdots x_{k}}^{y_{1}} \frac{\delta\phi^{y_{2}}}{\delta\phi^{y_{2}}} \frac{\delta^{2}\Gamma}{\delta\phi^{y_{1}}\delta\phi^{y_{2}}}$$
$$\supset -\sum_{i=1}^{k} \left( \frac{\delta\phi^{y_{k+1}}}{\delta\phi^{x_{k+1}}} \frac{\delta\phi^{y_{i}}}{\delta\phi^{x}} \frac{\delta\phi^{y}}{\delta\phi^{z}} G_{y_{k+1}y_{i}}^{z} + \frac{\delta^{2}\phi^{z}}{\delta\phi^{x_{k+1}}\delta\phi^{x_{i}}} \frac{\delta\phi^{y}}{\delta\phi^{z}} \right) b_{x_{1}\cdots\hat{x}_{i}\cdots x_{k}}^{y_{1}} \frac{\delta\phi^{y_{2}}}{\delta\phi^{y_{1}}\delta\phi^{y_{2}}}$$
$$\supset -\sum_{i=1}^{k} b_{x_{1}\cdots\hat{x}_{i}\cdots x_{k}}^{y_{1}} \left( \frac{\delta\phi^{y_{k+1}}}{\delta\phi^{x_{k+1}}} \frac{\delta\phi^{y_{i}}}{\delta\phi^{x_{i}}} G_{y_{k+1}y_{i}}^{y_{2}} + \frac{\delta^{2}\phi^{y_{2}}}{\delta\phi^{x_{k+1}}\delta\phi^{x_{i}}} \right) \frac{\delta^{2}\Gamma}{\delta\phi^{y_{1}}\delta\phi^{y_{2}}}$$
$$\supset -\sum_{i=1}^{k} b_{x_{1}\cdots\hat{x}_{i}\cdots x_{k}}^{y_{1}} \left( \frac{\delta\phi^{y_{k+1}}}{\delta\phi^{x_{k+1}}} \frac{\delta\phi^{y_{i}}}{\delta\phi^{x_{i}}} \frac{\delta^{3}\Gamma}{\delta\phi^{y_{k+1}}\delta\phi^{y_{i}}} + \frac{\delta^{2}\phi^{y_{2}}}{\delta\phi^{x_{k+1}}\delta\phi^{x_{i}}} \frac{\delta^{2}\Gamma}{\delta\phi^{y_{1}}\delta\phi^{y_{2}}} \right), \quad (3.29)$$

where we have used the results in eq. (3.25), eq. (3.24), and then eq. (2.28). We see that the non-evanescent terms in eq. (3.29) precisely cancel those from eq. (3.28). Therefore, for *b*-type terms in  $U_{x_1\cdots x_k}$ , the second term in eq. (3.23) remains evanescent as a sum of the two terms in its parentheses. Combining our investigations on *a*-type and *b*-type terms in  $U_{x_1\cdots x_k}$ , we conclude that the second term of the result in eq. (3.23) remains evanescent:

$$\frac{\delta}{\delta\tilde{\phi}^{x_{k+1}}} U_{x_1\cdots x_k} - \sum_{i=1}^k \tilde{G}^y_{x_{k+1}x_i} U_{x_1\cdots\hat{x}_iy\cdots x_k} \in U_{x_1\cdots x_{k+1}}.$$
(3.30)

eqs. (3.26) and (3.30) together then complete our proof of the induction step, namely that the following relation for (k + 1)-point amputated correlation functions holds

$$\widetilde{\mathcal{M}}_{x_1\cdots x_k x_{k+1}} = \frac{\delta \phi^{y_1}}{\delta \widetilde{\phi}^{x_1}} \cdots \frac{\delta \phi^{y_k}}{\delta \widetilde{\phi}^{x_k}} \frac{\delta \phi^{y_{k+1}}}{\delta \widetilde{\phi}^{x_{k+1}}} \mathcal{M}_{y_1\cdots y_k y_{k+1}} + U_{x_1\cdots x_k x_{k+1}}, \qquad (3.31)$$

provided that it holds for k-point functions (eq. (3.20)). Combining this induction step with the base case that we proved for n = 3 in eq. (3.19), this proves that eq. (3.3) (together with eq. (3.18)) holds for an arbitrary integer  $n \ge 3$ .

To complete our proof of the transformation lemma, let us show that eqs. (3.3) and (3.18) imply that the on-shell amplitudes are the same:

$$(2\pi)^{4}\delta^{4}(\bar{p}_{1}+\cdots+\bar{p}_{n})\,i\tilde{\mathcal{A}}\,(\bar{p}_{1},\cdots,\bar{p}_{n})$$

$$= \left[\tilde{\psi}^{x_{1}}(\bar{p}_{1})\cdots\tilde{\psi}^{x_{n}}(\bar{p}_{n})\right]\left(-i\widetilde{\mathcal{M}}_{x_{1}\cdots x_{n}}|_{\tilde{\phi}_{v}}\right)$$

$$= \left[\tilde{\psi}^{x_{1}}(\bar{p}_{1})\cdots\tilde{\psi}^{x_{n}}(\bar{p}_{n})\right]\left(\frac{\delta\phi^{y_{1}}}{\delta\tilde{\phi}^{x_{1}}}\Big|_{\tilde{\phi}_{v}}\right)\cdots\left(\frac{\delta\phi^{y_{n}}}{\delta\tilde{\phi}^{x_{n}}}\Big|_{\tilde{\phi}_{v}}\right)\left(-i\mathcal{M}_{y_{1}\cdots y_{n}}|_{\phi_{v}}\right)$$

$$= \left[\psi^{y_{1}}(\bar{p}_{1})\cdots\psi^{y_{n}}(\bar{p}_{n})\right]\left(-i\mathcal{M}_{y_{1}\cdots y_{n}}|_{\phi_{v}}\right)$$

$$= (2\pi)^{4}\delta^{4}(\bar{p}_{1}+\cdots+\bar{p}_{n})\,i\mathcal{A}\,(\bar{p}_{1},\cdots,\bar{p}_{n}), \qquad (3.32)$$

where we have used the relation in eq. (3.14).

#### 3.2 Applications to tree and one-loop amplitudes

We now apply the transformation lemma to show that tree-level and one-loop amplitudes are invariant under a general field redefinition that accommodates derivatives:

$$\eta \longrightarrow \tilde{\eta} : \quad \eta = f[\tilde{\eta}].$$
 (3.33)

Our task is to show that under such a field redefinition, the change of the 1PI effective action can be described by eq. (3.2), i.e.,  $\tilde{\Gamma}[\tilde{\phi}] = \Gamma[\phi|\tilde{\phi}]]$  for some  $\phi[\tilde{\phi}]$ .

## Tree-level amplitudes.

We begin with the tree-level case. When we perform a field redefinition described by eq. (3.33), the new action at tree level is simply given by substituting in that relation (see eq. (A.6) for a general expression):

$$\tilde{S}[\tilde{\eta}] = S \Big[ f[\tilde{\eta}] \Big] \,. \tag{3.34}$$

Meanwhile, the tree-level 1PI effective action is just given by the action of the theory

$$\Gamma[\phi] = S[\phi], \quad \text{and} \quad \widetilde{\Gamma}[\widetilde{\phi}] = \widetilde{S}[\widetilde{\phi}].$$

$$(3.35)$$

Putting eqs. (3.34) and (3.35) together, we get the relation between the new and old 1PI effective actions at the tree level:

$$\widetilde{\Gamma}[\widetilde{\phi}] = \widetilde{S}[\widetilde{\phi}] = S\left[f[\widetilde{\phi}]\right] = \Gamma\left[f[\widetilde{\phi}]\right].$$
(3.36)

We see that they do satisfy the transformation relation in eq. (3.2), with the functional  $\phi[\phi]$  identified to be the field redefinition functional itself:

$$\phi[\tilde{\phi}] = f[\tilde{\phi}]. \tag{3.37}$$

The transformation lemma then implies that tree-level on-shell amplitudes are invariant under the general field redefinition in eq. (3.33).

## One-loop amplitudes.

In the one-loop case, both eqs. (3.34) and (3.35) become more complicated. As elaborated in appendix A, under a general field redefinition in eq. (3.33), the action in terms of the new field at the loop level is (see eq. (A.6))

$$\tilde{S}[\tilde{\eta}] = S\left[f[\tilde{\eta}]\right] - i\log\det\left(\frac{\delta\eta^y}{\delta\tilde{\eta}^x}\right).$$
(3.38)

Note the extra one-loop sized Jacobian term compared to eq. (3.34). Apart from anomalous fermion chiral transformations, this term vanishes if one works with dimensional regularization [3, 4]. However, we keep it here to make our argument independent of the choice of the regularization scheme. On the other hand, up to one-loop level, the relation between  $\Gamma[\phi]$  and  $S[\phi]$  is also modified:

$$\Gamma[\phi] = S[\phi] + \frac{i}{2} \log \det \left( \frac{\delta^2 S}{\delta \eta^{x_1} \delta \eta^{x_2}} \Big|_{\eta=\phi} \right) \,. \tag{3.39}$$

With eqs. (3.38) and (3.39), we can obtain the relation between  $\Gamma[\tilde{\phi}]$  and  $\Gamma[\phi]$ . The second functional derivatives of the new action are

$$\frac{\delta^2 \tilde{S}}{\delta \tilde{\eta}^{x_1} \delta \tilde{\eta}^{x_2}} = \frac{\delta \eta^{y_1}}{\delta \tilde{\eta}^{x_1}} \frac{\delta \eta^{y_2}}{\delta \tilde{\eta}^{x_2}} \frac{\delta^2 S}{\delta \eta^{y_1} \delta \eta^{y_2}} + \frac{\delta^2 \eta^{y_1}}{\delta \tilde{\eta}^{x_1} \delta \tilde{\eta}^{x_2}} \frac{\delta S}{\delta \eta^{y_1}} + (\text{one-loop terms}) .$$
(3.40)

Plugging this in, we obtain the following relation up to one-loop level

$$\begin{split} \widetilde{\Gamma}[\widetilde{\phi}] &= \widetilde{S}[\widetilde{\phi}] + \frac{i}{2} \log \det \left( \frac{\delta^2 \widetilde{S}}{\delta \widetilde{\eta}^{x_1} \delta \widetilde{\eta}^{x_2}} \Big|_{\widetilde{\phi}} \right) \\ &= S\Big[ f[\widetilde{\phi}] \Big] - i \log \det \left( \frac{\delta \eta^y}{\delta \widetilde{\eta}^x} \Big|_{\widetilde{\phi}} \right) \\ &+ \frac{i}{2} \log \det \left( \frac{\delta \eta^{y_1}}{\delta \widetilde{\eta}^{x_1}} \frac{\delta \eta^{y_2}}{\delta \widetilde{\eta}^{x_2}} \Big|_{\widetilde{\phi}} \frac{\delta^2 S}{\delta \eta^{y_1} \delta \eta^{y_2}} \Big|_{f[\widetilde{\phi}]} + \frac{\delta^2 \eta^{y_1}}{\delta \widetilde{\eta}^{x_1} \delta \widetilde{\eta}^{x_2}} \Big|_{\widetilde{\phi}} \frac{\delta S}{\delta \eta^{y_1}} \Big|_{f[\widetilde{\phi}]} \Big) \\ &= S\Big[ f[\widetilde{\phi}] \Big] + \frac{i}{2} \log \det \left( \frac{\delta^2 S}{\delta \eta^{y_1} \delta \eta^{y_2}} \Big|_{f[\widetilde{\phi}]} + \frac{\delta^2 \eta^y}{\delta \widetilde{\eta}^{x_1} \delta \widetilde{\eta}^{x_2}} \Big|_{\widetilde{\phi}} \frac{\delta \widetilde{\eta}^{x_1}}{\delta \eta^{y_1}} \frac{\delta \widetilde{\eta}^{x_2}}{\delta \eta^{y_1}} \frac{\delta S}{\delta \eta^y} \Big|_{f[\widetilde{\phi}]} \Big) \\ &= \Gamma\Big[ f[\widetilde{\phi}] \Big] + \frac{i}{2} \operatorname{Tr} \log \left[ 1 + \frac{\delta^2 \eta^y}{\delta \widetilde{\eta}^{x_1} \delta \widetilde{\eta}^{x_2}} \Big|_{\widetilde{\phi}} \left( \frac{\delta^2 S}{\delta \eta^{y_1} \delta \eta^{z_1}} \right)^{-1} \frac{\delta \widetilde{\eta}^{x_1}}{\delta \eta^{y_1}} \frac{\delta T^{x_2}}{\delta \eta^{y_2}} \frac{\delta S}{\delta \eta^y} \Big|_{f[\widetilde{\phi}]} \Big] \,. \tag{3.41}$$

We see that there is an extra term compared to eq. (3.36). Although this term looks complicated, after expanding the log it will yield a series of terms that are proportional to the tree-level equation of motion  $\delta S/\delta \eta^y$ , with some one-loop order coefficients  $a^y$ :

$$\widetilde{\Gamma}[\widetilde{\phi}] = \Gamma\left[f[\widetilde{\phi}]\right] + a^{y}[\widetilde{\phi}] \left(\frac{\delta S}{\delta \eta^{y}}\Big|_{f[\widetilde{\phi}]}\right).$$
(3.42)

Since  $a^{y}[\tilde{\phi}]$  are one-loop order, we can replace S with  $\Gamma$ , as the difference introduced will be two-loop order. For the same reason, we can keep the accuracy only to the first power of  $a^{y}[\tilde{\phi}]$ . Carrying out these manipulations, we get

$$\widetilde{\Gamma}[\widetilde{\phi}] = \Gamma\left[f[\widetilde{\phi}]\right] + a^{y}[\widetilde{\phi}]\left(\frac{\delta\Gamma}{\delta\phi^{y}}\Big|_{f[\widetilde{\phi}]}\right) = \Gamma\left[f[\widetilde{\phi}] + a[\widetilde{\phi}]\right].$$
(3.43)

This shows that up to one-loop order, the 1PI effective actions  $\tilde{\Gamma}[\tilde{\phi}]$  and  $\Gamma[\phi]$  again satisfy the transformation relation in eq. (3.2), where the functional  $\phi[\tilde{\phi}]$  is identified as

$$\phi[\tilde{\phi}] = f[\tilde{\phi}] + a[\tilde{\phi}]. \tag{3.44}$$

The transformation lemma then implies that on-shell amplitudes up to one-loop order are invariant under the field redefinition in eq. (3.33).

## 4 Towards a geometric interpretation

In the previous section, we used the transformation lemma to show that the tree-level and one-loop amputated correlation functions transform as tensors under generalized field redefinitions up to terms that vanish when the sources are set to zero and the external states are taken to be on shell. Since the proof of the transformation lemma in section 3.1 is rather technical, it would be useful to have a more intuitive explanation of these results. With this motivation in mind, we note that the recursion relation eq. (2.32) appears to have a tensor-like structure — the relation between the n + 1 and the n leg results resembles that of a covariant derivative acting on a tensor:

$$\mathcal{M}_{x_1\cdots x_n x_{n+1}} = \frac{\delta}{\delta\phi^{x_{n+1}}} \mathcal{M}_{x_1\cdots x_n} - \sum_{i=1}^n G^y_{x_{n+1}x_i} \mathcal{M}_{x_1\cdots \hat{x}_i y\cdots x_n} \stackrel{?}{=} \nabla_{x_{n+1}} \mathcal{M}_{x_1\cdots x_n} \,. \tag{4.1}$$

Having the notion of a covariant derivative evokes the expectation that this can be used to define parallel transport along some kind of geometric space.

However, such a geometric interpretation requires that we can identify a manifold such that

a) The functional derivative 
$$\frac{\delta}{\delta \phi^x}$$
 can be interpreted as a coordinate derivative. (4.2a)

- b) The factor  $G_{x_1x_2}^y$  serves as a connection. (4.2b)
- c) The amputated correlation functions  $\mathcal{M}_{x_1 \cdots x_n}$  transform as tensors. (4.2c)

We already know that the third condition fails. When the transformation lemma applies, the amputated correlation functions do not transform as tensors, due to the extra evanescent term:

$$\widetilde{\Gamma}[\widetilde{\phi}] = \Gamma[\phi[\widetilde{\phi}]] \qquad \Longrightarrow \qquad \widetilde{\mathcal{M}}_{x_1 \cdots x_n} = \frac{\delta \phi^{y_1}}{\delta \widetilde{\phi}^{x_1}} \cdots \frac{\delta \phi^{y_n}}{\delta \widetilde{\phi}^{x_n}} \mathcal{M}_{y_1 \cdots y_n} + U_{x_1 \cdots x_n} \,. \tag{4.3}$$

Nevertheless, one could imagine that some sort of procedure to quotient out the evanescent terms exists, leaving behind a well defined "projective" geometry, that we will refer to as "functional geometry." This section is devoted to exploring the possibility that the resulting "functional manifold" could be constructed. In particular, we will discuss aspects where this approach appears to be successful, and we will highlight some ways in which it fails. We will also comment on the relation between functional geometry and the well-established field space geometry formalism (which does not incorporate derivative field redefinitions).

#### 4.1 Evidence for a functional manifold: success and failure

We begin by checking the condition in eq. (4.2a). Our goal is to find a manifold on which the functional derivatives  $\frac{\delta}{\delta\phi(x)}$  can be identified with coordinate derivatives. To this end, we consider the so-called "field configuration space," which is the collection of all the  $\phi(x)$ field configurations that are integrated over when computing the path integral. This space is naturally endowed with a functional differentiable structure, and hence can be viewed as a differential manifold, albeit an infinite dimensional one [61, 106, 107]. We refer to this manifold as the "functional manifold."

One way to parameterize the field configuration space is to simply specify the values of the field at all the spacetime points:

$$\left\{\phi^x \mid x \in \text{spacetime}\right\}. \tag{4.4}$$

Each allowed value of the set of variables in eq. (4.4) gives a specific field configuration  $\phi(x)$ , and by our construction, corresponds to a specific point on the functional manifold. The whole functional manifold is a collection of all such points. The functional manifold is therefore charted by  $\{\phi^x\}$ . Functions on this manifold are functions of the field configurations, or equivalently functionals of the field  $\phi^x$ , for example the 1PI effective action  $\Gamma[\phi]$ . Therefore, functional derivatives with respect to the field  $\phi^x$  are just coordinate derivatives on this manifold, and they form a basis for the tangent space:

$$\left\{\frac{\delta}{\delta\phi^x} \quad \text{with } \phi^x \text{ parameterizing the configuration space}\right\}. \tag{4.5}$$

## 4.1.1 Success: 1PI effective action as a scalar

We argued in section 3.2 that under a general field redefinition parameterized by eq. (3.6), the 1PI effective action (up to one-loop level) transforms as in eq. (4.3):

$$\widetilde{\Gamma}[\widetilde{\phi}] = \Gamma[\phi[\widetilde{\phi}]]. \tag{4.6}$$

As a functional relation,  $\phi[\tilde{\phi}]$  is a map between the two field configurations,  $\{\phi^x\}$  and  $\{\tilde{\phi}^x\}$ . Alternatively, one can view this map as reparameterizing a point on the functional manifold  $\{\phi^x\}$  to the same point using the new set of variables  $\{\tilde{\phi}^x\}$ . Therefore, it is a re-charting or coordinate change on the functional manifold.<sup>7</sup> From this point of view, eq. (4.6) means that the 1PI effective action transforms as a scalar on the functional manifold.

#### 4.1.2 Success: physical vacuum as a geometric point

Since the 1PI effective action transforms as a scalar, its first functional derivative transforms as a vector on the functional manifold (cf. eq. (3.8)):

$$\frac{\delta \widetilde{\Gamma}}{\delta \widetilde{\phi}^{x}}\Big|_{\widetilde{\phi}} = \frac{\delta \phi^{y}}{\delta \widetilde{\phi}^{x}}\Big|_{\widetilde{\phi}} \frac{\delta \Gamma}{\delta \phi^{y}}\Big|_{\phi[\widetilde{\phi}]}.$$
(4.7)

Recall from eq. (2.14) that the physical vacuum field configuration  $\phi_v(x)$  (for the original theory  $S[\eta]$ ) is determined by

$$\left. \frac{\delta \Gamma}{\delta \phi^x} \right|_{\phi = \phi_v} = 0. \tag{4.8}$$

The transformation law in eq. (4.7) then implies that the physical vacuum is a geometric point on the functional manifold — it is independent of the chart chosen, and its coordinates changing accordingly:

$$\phi_v(x) = \phi[\tilde{\phi}_v](x) \,. \tag{4.9}$$

## 4.1.3 Failure: evanescent terms ruin covariance

Given these successes, we move on to check the conditions in eqs. (4.2b) and (4.2c). Unfortunately, it turns out that the functional manifold considered above fails to satisfy these conditions. However, it is still enlightening to see how it fails, since this can provide guidance for alternative constructions.

First, we can check the properties of  $G_{x_1x_2}^y$ . We will argue that it does not have the appropriate transformation rules to be interpreted as a connection. Following standard methodology, we use  $\{\frac{\delta}{\delta\phi^x}, \delta\phi^x\}$  as the bases of the tangent and cotangent spaces of the functional manifold. Then a connection  $\Gamma$  can be defined using

$$\delta\left(\frac{\delta}{\delta\phi^{y_3}}\right) \equiv \delta\phi^{y_2} \nabla_{\phi^{y_2}}\left(\frac{\delta}{\delta\phi^{y_3}}\right) \equiv \delta\phi^{y_2} \Gamma^{y_1}_{y_2y_3}\left(\frac{\delta}{\delta\phi^{y_1}}\right) \,, \tag{4.10}$$

where  $\Gamma_{y_2y_3}^{y_1}$  are components of the connection (not to be confused with the 1PI effective action). Now consider a coordinate change  $\phi[\tilde{\phi}]$ . The bases transform as tensors

$$\frac{\delta}{\delta\tilde{\phi}^x} = \frac{\delta\phi^y}{\delta\tilde{\phi}^x}\frac{\delta}{\delta\phi^y}\,,\tag{4.11a}$$

$$\delta \tilde{\phi}^x = \frac{\delta \tilde{\phi}^x}{\delta \phi^y} \, \delta \phi^y \,. \tag{4.11b}$$

<sup>&</sup>lt;sup>7</sup>Note that this coordinate change  $\phi[\tilde{\phi}]$  is not necessarily the same as the field redefinition relation  $\eta = f[\tilde{\eta}]$ , cf. eqs. (3.37) and (3.44).

This leads to the following standard transformation law for a connection:

$$\widetilde{\Gamma}_{x_2x_3}^{x_1} = \frac{\delta\phi^{y_2}}{\delta\tilde{\phi}^{x_2}} \frac{\delta\phi^{y_3}}{\delta\tilde{\phi}^{x_3}} \frac{\delta\tilde{\phi}^{x_1}}{\delta\phi^{y_1}} \Gamma_{y_2y_3}^{y_1} + \frac{\delta^2\phi^{y_1}}{\delta\tilde{\phi}^{x_2}\delta\tilde{\phi}^{x_3}} \frac{\delta\tilde{\phi}^{x_1}}{\delta\phi^{y_1}} \,. \tag{4.12}$$

Now comparing with the transformation property of  $G_{x_1x_2}^y$  derived in eq. (3.25), we see that  $G_{x_1x_2}^y$  does not satisfy eq. (4.12). Therefore, it cannot serve as a connection on the functional manifold. However, it is worth mentioning that the transformation property of  $G_{x_1x_2}^y$  in eq. (3.25) is very close to that in eq. (4.12); the only difference is that eq. (3.25) contains an extra evanescent term. Given that  $G_{x_{n+1}x_i}^y$  does not serve as a connection on the functional manifold, the right-hand side of the recursion relation in eq. (4.1) cannot be interpreted as a covariant derivative " $\nabla_{x_{n+1}}$ ".

The same essential obstruction holds for the amputated correlation functions. As derived in section 3, the transformation property of the amputated correlation functions are given in eq. (4.3). Clearly, they do not transform as tensors on the functional manifold, again due to the extra evanescent term. So similar to the situation of eq. (4.2b), the condition in eq. (4.2c) is almost satisfied, except for the evanescent term.

#### 4.1.4 Failure: vanishing curvature tensor

We will now identify another fundamental issue with the functional geometry picture as defined above. We show that if we ignore the evanescent term issue discussed above and mindlessly use  $G_{x_1x_2}^y$  defined in eq. (2.30) as a connection to compute the Riemann curvature tensor, then it vanishes. One straightforward way to see this follows directly from the recursion relation eq. (4.1) — using it twice, we find

$$\mathcal{M}_{x_1\cdots x_n yz} = \nabla_z \nabla_y \,\mathcal{M}_{x_1\cdots x_n} \,. \tag{4.13}$$

Then the crossing symmetry of  $\mathcal{M}_{x_1 \cdots x_n yz}$  between the legs y and z implies that

$$\left[\nabla_y, \nabla_z\right] \mathcal{M}_{x_1 \cdots x_n} = 0, \qquad (4.14)$$

namely that there is no curvature. We will provide a bit more insight into this issue in section 4.2.5 below, in terms of so-called field space geometry.

## 4.2 Relation to the field space geometry

There is a well-established geometric picture for amplitudes in the literature [56-66], based on the idea of the "field space manifold," which accommodates a narrower set of field redefinitions, namely those that do not involve derivatives. In this section, we comment on the relation between the functional manifold and the field space manifold. We will also discuss how a variety of quantities on the functional manifold reproduce geometric statements that have been derived using the field space geometry picture. Some of these have been shown in [5]. Here we give a more detailed discussion.

## 4.2.1 Review of field space geometry

We briefly review the field space geometry picture. For this purpose, we again focus on the case of scalar fields, similar with section 2.1. We consider an EFT of scalar fields  $\{\phi^a\}$ . The most general Lagrangian involving up to two derivatives is:

$$\mathcal{L} = -V(\phi) + \frac{1}{2} g_{ab}(\phi) (\partial_{\mu} \phi^{a}) (\partial^{\mu} \phi^{b}) + \mathcal{O}(\partial^{4}).$$
(4.15)

 $V(\phi)$  and  $g_{ab}(\phi)$  can be interpreted as functions on the so-called "field space manifold," which consists of all the allowed field space (or target space) points. Note that each point on the field space manifold is specified by the set of values  $\{\phi^a\}$ , so it is a finite dimensional manifold, with its dimension being the number of field flavors. The field space geometry deals with the differential geometry on this manifold.

A field redefinition without derivatives

$$\phi = f(\tilde{\phi}), \qquad (4.16)$$

can be viewed as a coordinate change on the field space manifold. As usual, the bases of its tangent and cotangent spaces  $\{\frac{\partial}{\partial \phi^a}, d\phi^a\}$  transform as tensors

$$\frac{\partial}{\partial \tilde{\phi}^a} = \frac{\partial \phi^b}{\partial \tilde{\phi}^a} \frac{\partial}{\partial \phi^b}, \qquad (4.17a)$$

$$\mathrm{d}\tilde{\phi}^a = \frac{\partial\tilde{\phi}^a}{\partial\phi^b}\,\mathrm{d}\phi^b\,.\tag{4.17b}$$

Using these bases, a connection on the manifold can be introduced as

$$d\left(\frac{\partial}{\partial\phi^c}\right) \equiv d\phi^b \,\nabla_{\phi^b}\left(\frac{\partial}{\partial\phi^c}\right) \equiv d\phi^b \,\Gamma^a_{bc}\left(\frac{\partial}{\partial\phi^a}\right) \,, \tag{4.18}$$

where  $\Gamma_{bc}^{a}$  are the connection components (not to be confused with 1PI effective actions). A covariant derivative of a general tensor is then given by

$$\nabla_c T^{a\cdots}{}_{b\cdots} = \partial_c T^{a\cdots}{}_{b\cdots} + \left(\Gamma^a_{ck} T^{k\cdots}{}_{b\cdots} + \cdots\right) - \left(\Gamma^k_{cb} T^{a\cdots}{}_{k\cdots} + \cdots\right).$$
(4.19)

We note that the function  $g_{ab}(\phi)$  transforms as a (0, 2)-tensor under the non-derivative field redefinition in eq. (4.16):

$$\tilde{g}_{ab}(\tilde{\phi}) = \frac{\partial \phi^c}{\partial \tilde{\phi}^a} \frac{\partial \phi^d}{\partial \tilde{\phi}^b} g_{cd}(\phi) \,. \tag{4.20}$$

This object is a natural choice of a metric on the field space manifold. If we require the connection in eq. (4.18) to be compatible with this metric, i.e.,  $\nabla_c g_{ab} = 0$ , we get the usual Levi-Civita connection:

$$\Gamma_{bc}^{a} = \frac{1}{2} g^{ak} \left( g_{kb,c} + g_{kc,b} - g_{bc,k} \right) , \qquad (4.21)$$

where indices following a comma denote partial derivatives.

The field space geometry is a Riemannian geometry. On-shell amplitudes can be written in terms of geometric tensors on the field space manifold, multiplied by additional kinematic factors. For example, for the theory up to two-derivative interactions given in eq. (4.15), the three-point amplitudes can be written as

$$-\left(\prod_{i=1}^{3} \overline{g}_{a_{i}a_{i}}^{1/2}\right) \mathcal{A}_{a_{1}a_{2}a_{3}}\left(\bar{p}_{1}, \bar{p}_{2}, \bar{p}_{3}\right) = \overline{V}_{;(a_{1}a_{2}a_{3})}.$$
(4.22)

Here indices following a semicolon denote covariant derivatives under the Levi-Civita connection in eq. (4.21), and the parentheses denote a normalized symmetrization of these indices. The bars on the geometric quantities,  $g_{ab}$ , V, etc. indicates evaluating them at the physical vacuum point on the field space manifold. The four-point amplitudes have a similar but richer expression:

$$-\left(\prod_{i=1}^{4} \overline{g}_{a_{i}a_{i}}^{1/2}\right) \mathcal{A}_{a_{1}a_{2}a_{3}a_{4}}\left(\overline{p}_{1}, \overline{p}_{2}, \overline{p}_{3}, \overline{p}_{4}\right) = \overline{V}_{;(a_{1}a_{2}a_{3}a_{4})} + \frac{1}{3} \sum_{i < j} s_{ij} \overline{R}_{a_{i}(a_{k}a_{l})a_{j}} \\ + \left[\overline{V}_{;(a_{1}a_{2}b)} \frac{\overline{g}^{bc}}{s_{12} - m_{b}^{2}} \overline{V}_{;(a_{3}a_{4}c)}\right]_{3 \text{ perms}}.$$
 (4.23)

where  $R_{abcd}$  denotes the Riemann curvature tensor derived from the metric  $g_{ab}$  in the standard way. We see from these examples that the field space geometry does not address the kinematic factors in the amplitudes. It only provides a geometric interpretation for the coefficients of each kinematic combination that can appear.<sup>8</sup> Moreover, the geometric interpretation of these coefficients is spoiled by derivative field redefinitions; in appendix B we give a simple example of how the curvature of the field space manifold can be changed by derivative field redefinitions.

## 4.2.2 Embedding the field space manifold into the functional manifold

The field space geometry is constructed on the manifold of the field space, while the functional manifold discussed in section 4.1 consists of the field configuration space. Therefore, the finite dimensional field space manifold could be identified with a submanifold of the infinite dimensional functional manifold, defined by the restriction that it only contains the constant field configurations.

However, this is not to say that the field space geometry only handles constant field configurations. It addresses arbitrary field configurations by invoking the field maps  $\phi^a(x)$  from the spacetime manifold to the field space manifold, inducing a factorized structure of the connection (cf. eq. (4.18)):

$$d\phi^{b} = dx^{\mu} \left(\partial_{\mu} \phi^{b}\right) \qquad \Longrightarrow \qquad d\left(\frac{\partial}{\partial \phi^{c}}\right) = dx^{\mu} \left[\left(\partial_{\mu} \phi^{b}\right) \Gamma^{a}_{bc}\right] \left(\frac{\partial}{\partial \phi^{a}}\right) \,. \tag{4.24}$$

The term in the squared bracket can be viewed as a connection that defines a covariant derivative  $\mathscr{D}_{\mu}$  on the spacetime manifold; see e.g. [66]. For example, the first derivative of

<sup>&</sup>lt;sup>8</sup>Note that eqs. (4.22) and (4.23) assume w.l.o.g. that  $\overline{g}_{ab}$  and  $\overline{V}_{;ab} = m_a^2 \overline{g}_{ab}$  are diagonal. See [77, 78] for details of how to avoid this assumption with the use of vielbeins.

the potential  $V_{,a} = V_{;a}$  is a (0, 1)-tensor on the field space manifold. Its spacetime covariant derivative is then given by

$$\mathscr{D}_{\mu}V_{;a} = \partial_{\mu}V_{;a} - \Gamma^{c}_{ba}\left(\partial_{\mu}\phi^{b}\right)V_{;c} = \left(\partial_{\mu}\phi^{b}\right)\nabla_{b}V_{;a} = \left(\partial_{\mu}\phi^{b}\right)V_{;ab}.$$
(4.25)

On the other hand, the functional manifold is formed by all the field maps  $\{\phi^a(x)\}$ . The bases of its tangent and cotangent space are "promoted" from the field space manifold version into (cf. eq. (4.11))

$$\frac{\partial}{\partial \phi^a} \longrightarrow \frac{\delta}{\delta \phi^a(x)},$$
(4.26a)

$$\mathrm{d}\phi^a \longrightarrow \delta\phi^a(x) \,. \tag{4.26b}$$

## 4.2.3 Reproducing the connection on the field space manifold

We would like to reproduce geometric quantities on the field space manifold from quantities on the functional manifold. To this end, we should restrict the functional manifold quantities onto the submanifold formed by constant field configurations, namely by taking

$$\partial_{\mu}\phi^{a} = 0. \tag{4.27}$$

In what follows, we will show how to reproduce the field space manifold connection  $\Gamma_{bc}^a$  from  $G_{x_1x_2}^y$ , even though the latter does not serve as a connection on the functional manifold. More specifically, we will take the definition of  $G_{x_1x_2}^y$  in eq. (2.30) and apply it to the theory given by the Lagrangian in eq. (4.15) at the tree level. We then restrict the resulting expression onto the submanifold formed by constant field configurations, and show that this gives us  $\Gamma_{bc}^a$ .

We begin with the 1PI effective action at tree level, which is just the action:

$$\Gamma[\phi] = S[\phi] = \int d^4x \left[ -V(\phi) + \frac{1}{2} g_{ab}(\phi) \left(\partial_\mu \phi^a\right) \left(\partial^\mu \phi^b\right) \right]_x.$$
(4.28)

Here everything in the squared bracket is evaluated at the spacetime point x, as indicated by the subscript x shorthand. Note that without following a comma or semicolon, this subscript x is not denoting a functional derivative, but simply denotes evaluating the function at x, as in the cases of  $\phi^x$  and  $J_x$ . Note that we are keeping the flavor indices explicit. We need its first functional derivative

$$\frac{\delta\Gamma}{\delta\phi^a(x_1)} = -\left[g_{ai}\left(\partial^2\phi^i\right) + \left(g_{ai,j} - \frac{1}{2}g_{ij,a}\right)\left(\partial_\mu\phi^i\right)\left(\partial^\mu\phi^j\right) + V_{,a}\right]_{x_1},\tag{4.29}$$

its second functional derivative

$$\frac{\delta^{2}\Gamma}{\delta\phi^{a}(x_{1})\delta\phi^{b}(x_{2})} = -\left\{ (g_{ab})_{x_{1}} \left[ \partial^{2}\delta^{4}(x_{1} - x_{2}) \right] + \left( g_{ai,b}\partial^{2}\phi^{i} \right)_{x_{1}} \delta^{4}(x_{1} - x_{2}) \right. \\ \left. + \left[ (g_{ab,i} - g_{ib,a} + g_{ai,b})(\partial_{\mu}\phi^{i}) \right]_{x_{1}} \left[ \partial^{\mu}\delta^{4}(x_{1} - x_{2}) \right] \right. \\ \left. + \left[ \left( g_{ai,jb} - \frac{1}{2}g_{ij,ab} \right) (\partial_{\mu}\phi^{i})(\partial^{\mu}\phi^{j}) \right]_{x_{1}} \delta^{4}(x_{1} - x_{2}) \right. \\ \left. + \left( V_{,ab} \right)_{x_{1}} \delta^{4}(x_{1} - x_{2}) \right\}, \tag{4.30}$$

and its third functional derivative

$$\frac{\delta^{3}\Gamma}{\delta\phi^{a}(x_{1})\delta\phi^{b}(x_{2})\delta\phi^{k}(z)} = -\left\{ (g_{ab,k})_{x_{1}} \left[\partial^{2}\delta^{4}(x_{1}-x_{2})\right] \delta^{4}(x_{1}-z) + (g_{ak,b})_{x_{1}}\delta^{4}(x_{1}-x_{2}) \left[\partial^{2}\delta^{4}(x_{1}-z)\right] + (g_{ab,k}-g_{kb,a}+g_{ak,b})_{x_{1}} \left[\partial_{\mu}\delta^{4}(x_{1}-x_{2})\right] \left[\partial^{\mu}\delta^{4}(x_{1}-z)\right] + \left[ (g_{ab,ik}-g_{ib,ak}+g_{ai,bk})(\partial_{\mu}\phi^{i})\right]_{x_{1}} \left[\partial^{\mu}\delta^{4}(x_{1}-x_{2})\right] \delta^{4}(x_{1}-z) + \left[ (g_{ai,kb}-g_{ik,ab}+g_{ak,ib})(\partial_{\mu}\phi^{i})\right]_{x_{1}} \delta^{4}(x_{1}-x_{2}) \left[\partial^{\mu}\delta^{4}(x_{1}-z)\right] + \left[ g_{ai,bk}(\partial^{2}\phi_{i}) + \left( g_{ai,jbk} - \frac{1}{2}g_{ij,abk} \right) (\partial_{\mu}\phi^{i})(\partial^{\mu}\phi^{j}) \right]_{x_{1}} \delta^{4}(x_{1}-x_{2}) \delta^{4}(x_{1}-z) + \left( V_{,abk} \right)_{x_{1}} \delta^{4}(x_{1}-x_{2}) \delta^{4}(x_{1}-z) \right\}.$$
(4.31)

Now using the definition in eq. (2.30) and restricting to the constant field configurations, we get

$$G_{ab}^{c}(x_{1}, x_{2}; y) \Big|_{\partial_{\mu}\phi^{a}=0} \equiv -\frac{\delta^{3}\Gamma}{\delta\phi^{a}(x_{1})\delta\phi^{b}(x_{2})\delta\phi^{k}(z)} iD^{kc}(z, y) \Big|_{\partial_{\mu}\phi^{a}=0}$$

$$= \int d^{4}z \Big\{ g_{ab,k} [\partial^{2}\delta^{4}(x_{1}-x_{2})]\delta^{4}(x_{1}-z) + g_{ak,b}\delta^{4}(x_{1}-x_{2})[\partial^{2}\delta^{4}(x_{1}-z)] + (g_{ab,k}-g_{kb,a}+g_{ak,b})[\partial_{\mu}\delta^{4}(x_{1}-x_{2})][\partial^{\mu}\delta^{4}(x_{1}-z)] + V_{,abk}\delta^{4}(x_{1}-x_{2})\delta^{4}(x_{1}-z) \Big\} \int \frac{d^{4}p}{(2\pi)^{4}} e^{-ip(z-y)} \frac{-1}{g_{kc}p^{2}-V_{,kc}}.$$

$$(4.32)$$

It is more convenient to take a Fourier transform

$$\int d^{4}x_{1} d^{4}x_{2} d^{4}y e^{ip_{1}x_{1}+ip_{2}x_{2}} e^{-iqy} \left[ G_{ab}^{c}(x_{1},x_{2};y) \left|_{\partial_{\mu}\phi^{a}=0} \right]$$

$$= (2\pi)^{4} \delta^{4}(p_{1}+p_{2}-q) \frac{1}{g_{kc}q^{2}-V_{,kc}} \left[ \frac{1}{2}(g_{ka,b}+g_{kb,a}-g_{ab,k}) q^{2} + \frac{1}{2}(g_{ab,k}-g_{kb,a}+g_{ka,b}) p_{1}^{2} \right]$$

$$+ \frac{1}{2}(g_{ab,k}+g_{kb,a}-g_{ka,b}) p_{2}^{2} - V_{,abk} \left].$$

$$(4.33)$$

We see that when the potential is absent in the theory, and the external momenta  $p_1, p_2$  are on shell  $\bar{p}_1^2 = \bar{p}_2^2 = 0$ , we indeed reproduce the field space manifold connection:

$$\int d^4 x_1 d^4 x_2 d^4 y \, e^{i\bar{p}_1 x_1 + i\bar{p}_2 x_2} e^{-iqy} \left[ G^c_{ab} \left( x_1, x_2; y \right) \Big|_{\partial_\mu \phi^a = 0} \right]$$
  
=  $(2\pi)^4 \delta^4 (\bar{p}_1 + \bar{p}_2 - q) \Gamma^c_{ab},$  (4.34)

or equivalently written with the external wavefunctions (eq. (2.24)) as

$$\psi^{x_1}(\bar{p}_1)\,\psi^{x_2}(\bar{p}_2)\left[G^c_{ab}\left(x_1,x_2;y\right)\big|_{\partial_\mu\phi^a=0}\right] = R^{1/2}_\eta\,\psi^y(\bar{p}_1+\bar{p}_2)\,\Gamma^c_{ab}\,. \tag{4.35}$$

When the potential is present,  $\Gamma_{ab}^c$  is reproduced from  $G_{ab}^c(x_1, x_2; y)$  in the kinematic limit of large  $q^2$ . These results demonstrate that  $G_{x_1x_2}^y$  serves as a generalization of  $\Gamma_{bc}^a$ , even though it does not have a geometric meaning on the functional manifold.

#### 4.2.4 Reproducing the geometric soft theorem

A nice result obtained from the field space geometry picture is the so-called geometric soft theorem [77]. When applied to the scalar field theory in eq. (4.15) with only the two-derivative term,<sup>9</sup> it states that in the soft kinematic limit of the (n + 1)<sup>th</sup> leg (labeled by the flavor index b below), the on-shell amplitudes satisfy the following recursion relation

$$\lim_{\bar{q}\to 0} \mathcal{A}_{a_1\cdots a_n b}\left(\bar{p}_1,\cdots,\bar{p}_n,\bar{q}\right) = R_{\eta}^{1/2} \nabla_b \mathcal{A}_{a_1\cdots a_n}\left(\bar{p}_1,\cdots,\bar{p}_n\right), \qquad (4.36)$$

where  $\nabla_b$  is the covariant derivative on the field space manifold; see eq. (4.19) for explicit expression. In this subsection, we show that the tensor-like recursion relation in eq. (4.1) serves as a generalized version of eq. (4.36), in the sense that it reproduces eq. (4.36) when restricted to the submanifold of constant field configurations.<sup>10</sup>

We begin with the functional derivative part of eq. (4.1). When restricted to the submanifold of constant field configurations, and taking the  $q \rightarrow 0$  limit, we have

$$\lim_{q \to 0} \psi^{y}(q) \frac{\delta}{\delta \phi^{b}(y)} \left[ \mathcal{M}_{a_{1} \cdots a_{n}}(x_{1}, \cdots, x_{n}) \big|_{\partial_{\mu} \phi^{a} = 0} \right] = R_{\eta}^{1/2} \frac{\partial}{\partial \phi^{b}} \mathcal{M}_{a_{1} \cdots a_{n}}(x_{1}, \cdots, x_{n}) .$$
(4.37)

Now using eq. (2.27), we get

$$\begin{split} \lim_{q \to 0} \left[ (2\pi)^4 \delta^4(p_1 + \dots + p_n + q) \, i\mathcal{A}_{a_1 \dots a_n b}(p_1, \dots, p_n, q) \right] \\ &= \left[ \psi^{x_1}(p_1) \dots \psi^{x_n}(p_n) \, \psi^y(q) \right] \left[ -i\mathcal{M}_{a_1 \dots a_n b}(x_1, \dots, x_n, y) \, \big|_{J=0} \right] \\ &\supset \left[ \psi^{x_1}(p_1) \dots \psi^{x_n}(p_n) \, \psi^y(q) \right] \left[ -i \frac{\delta}{\delta \phi^b(y)} \, \mathcal{M}_{a_1 \dots a_n}(x_1, \dots, x_n) \right] \Big|_{J=0} \\ &= R_{\eta}^{1/2} \left[ \psi^{x_1}(p_1) \dots \psi^{x_n}(p_n) \right] \left[ -i \frac{\partial}{\partial \phi^b} \, \mathcal{M}_{a_1 \dots a_n}(x_1, \dots, x_n) \right] \Big|_{J=0} \\ &= (2\pi)^4 \delta^4(p_1 + \dots + p_n) \, R_{\eta}^{1/2} \frac{\partial}{\partial \phi^b} \, i\mathcal{A}_{a_1 \dots a_n}(p_1, \dots, p_n) \,, \end{split}$$
(4.38)

or simply

$$\lim_{q \to 0} \mathcal{A}_{a_1 \cdots a_n b}(p_1, \cdots, p_n, q) \supset R_{\eta}^{1/2} \frac{\partial}{\partial \phi^b} \mathcal{A}_{a_1 \cdots a_n}(p_1, \cdots, p_n) .$$
(4.39)

Next let us work out the connection part of eq. (4.1). Taking the momenta to be on-shell, i.e.,  $p_i = \bar{p}_i$  and  $q = \bar{q}$ , we can make use of eq. (4.35) to get

$$(2\pi)^{4} \delta^{4}(\bar{p}_{1} + \dots + \bar{p}_{n} + \bar{q}) \, i\mathcal{A}_{a_{1}\cdots a_{n}b}(\bar{p}_{1}, \dots, \bar{p}_{n}, \bar{q}) \supset \left[\psi^{x_{1}}(\bar{p}_{1}) \cdots \psi^{x_{n}}(\bar{p}_{n}) \, \psi^{y}(\bar{q})\right] \times \int d^{4}z \left[-G_{ba_{1}}^{c}(y, x_{1}; z) \left|_{\partial_{\mu}\phi^{a}=0}\right] \left[-i\mathcal{M}_{ca_{2}\cdots a_{n}}(z, x_{2}, \dots, x_{n}) \left|_{J=0}\right] \right] = R_{\eta}^{1/2} \left[\psi^{z}(\bar{p}_{1} + \bar{q}) \, \psi^{x_{2}}(\bar{p}_{2}) \cdots \psi^{x_{n}}(\bar{p}_{n})\right] \Gamma_{ba_{1}}^{c} \left[i\mathcal{M}_{ca_{2}\cdots a_{n}}(z, x_{2}, \dots, x_{n}) \left|_{J=0}\right] = (2\pi)^{4} \delta^{4}(\bar{p}_{1} + \dots + \bar{p}_{n} + \bar{q}) \, R_{\eta}^{1/2} \left(-\Gamma_{ba_{1}}^{c}\right) i\mathcal{A}_{ca_{2}\cdots a_{n}}(\bar{p}_{1} + \bar{q}, \bar{p}_{2}, \dots, \bar{p}_{n}) \,.$$
(4.40)

 $<sup>^{9}</sup>$ We focus on the zero potential case here for simplicity of the presentation. When the potential term is turned on, the geometric soft theorem is slightly more complicated; see eq. (17) in [77]. It can be also reproduced in a similar way.

<sup>&</sup>lt;sup>10</sup>Note that the residue factor  $R_{\eta}^{1/2}$  in eq. (4.36) can be extracted from the analogous all-order expression in [77] by changing from a mass basis to a flavor basis index. We assume no mass mixing between flavor eigenstates.

Taking the soft limit, this reads

$$\lim_{\bar{q}\to 0} \mathcal{A}_{a_1\cdots a_n b}\left(\bar{p}_1,\cdots,\bar{p}_n,\bar{q}\right) \supset R_{\eta}^{1/2}\left(-\Gamma_{ba_1}^c\right) \mathcal{A}_{ca_2\cdots a_n}\left(\bar{p}_1,\bar{p}_2,\cdots,\bar{p}_n\right).$$
(4.41)

Combining eqs. (4.39) and (4.41), we obtain eq. (4.36).

## 4.2.5 Revisiting vanishing curvature for functional geometry

We can gain some insight into why we are finding that functional geometry has zero curvature (see section 4.1.4) by comparing with the case of field space geometry. Consider the expression in eq. (4.23) for the four-point amplitude written using field space geometry. The amplitude is written as a sum of several terms, and each can be expressed as a geometric quantity multiplied by kinematic dependence. Under a non-derivative field redefinition, each term here is individually invariant. On the other hand, when a derivative field redefinition is carried out, each term alone will no longer have a well-defined geometric meaning. However, the total amplitude is of course still invariant. A repackaged expression of eq. (4.23) is desired to make this invariance manifest, which would serve as a generalization of the field space geometry. This is what we hoped (and failed) to accomplish by introducing functional geometry.

Taking a closer look at the expression in eq. (4.23), we note that it contains two types of geometric quantities: some of its terms are fully determined by the Riemann curvature tensor, which is the intrinsic geometry of the field space manifold endowed with the metric  $g_{ab}(\phi)$ , while others depend on external input functions on the manifold, such as the potential  $V(\phi)$ . From this point of view, a generalization of the field space geometry will repackage eq. (4.23) still into these two types of geometric quantities, under the new notion of geometry. Apparently, what the functional geometry picture has done is to package everything into the second type. The intrinsic geometry is trivialized since the curvature vanishes, and the amplitude is fully determined by an external input function, namely the 1PI effective action  $\Gamma[\phi]$ .

## 4.3 Exploring modified source terms

In this section we consider if modifications of the source term that appears in the path integral can change the conclusions about the lack of curvature for functional geometry. Modifications of the source term in the partition function change the off-shell behavior of correlators but leave amplitudes invariant. This statement is a key feature of the traditional argument for field redefinition invariance of amplitudes, see e.g. [4] and appendix A. The freedom to modify the source term has also been used by Vilkovisky [60] and DeWitt [61, 63] to define specific effective actions whose correlators transform covariantly with respect to transformations in field space; the same freedom may be useful here for removing evanescent terms in configuration space.

We define a new partition function  $\widetilde{Z}[J]$ , which differs from eq. (2.1) by an additional source term  $\delta T[\eta, J]$ :

$$\widetilde{Z}[J] \equiv \int \mathcal{D}\eta \, e^{iS + iJ_x \eta^x + i\delta T[\eta, J]} \,. \tag{4.42}$$

We assume that  $\delta T[\eta, J]$  has a smooth dependence on  $\eta$ , which admits the following functional expansion

$$\delta T = \tilde{J}_{y_1}^{(1)} (\tilde{\phi} - \eta)^{y_1} + \tilde{J}_{y_1 y_2}^{(2)} (\tilde{\phi} - \eta)^{y_1} (\tilde{\phi} - \eta)^{y_2} + \tilde{J}_{y_1 y_2 y_3}^{(3)} (\tilde{\phi} - \eta)^{y_1} (\tilde{\phi} - \eta)^{y_2} (\tilde{\phi} - \eta)^{y_3} + \dots$$
(4.43)

where the coefficients  $\tilde{J}^{(i)}$  are  $\eta$  independent, but functionals of J. Note that  $\tilde{\phi}$  here is a functional of J, which is implicitly determined through its definition

$$\tilde{\phi}^{y} \equiv \langle \eta^{y} \rangle_{\delta T,J} \equiv \frac{\int \mathcal{D}\eta \, \eta^{y} \, e^{iS + iJ_{x}\eta^{x} + i\delta T}}{\int \mathcal{D}\eta \, e^{iS + iJ_{x}\eta^{x} + i\delta T}} \,. \tag{4.44}$$

It is expected that the  $\tilde{J}_{y_1...y_k}^{(i)}$  are local, in that they are only supported when  $y_1 = y_2 = \dots = y_k$ , but the following analysis does not rely on this.

Therefore, eq. (4.43) is an expansion of the  $\eta$  dependence about its quantum vev. This is done to reduce the size of the ensuing expressions, and we can make this shift without loss of generality. eq. (4.43) could be rewritten as an expansion about  $\eta = 0$ : as  $\tilde{\phi}$  is a functional of J, the  $\tilde{\phi}$  terms can be absorbed in eq. (4.43) through redefinitions of the  $\tilde{J}^{(i)}$ , up to an  $\eta$ -independent phase which drops out of all correlators.<sup>11</sup>

We define a set of analogous tilded quantities that are modified with respect to the quantities in previous sections due to the presence of the extra source terms:

$$\widetilde{D}^{xy} \equiv \langle \eta^x \eta^y \rangle_{\delta T, J, \text{ conn}} , \qquad (4.45a)$$

$$-i\widetilde{\mathcal{M}}_{x_1\cdots x_n} \equiv \left(\prod_i \widetilde{D}_{x_i y_i}^{-1}\right) \langle \eta^{y_1} \cdots \eta^{y_n} \rangle_{\delta T, J, \operatorname{conn}} , \qquad (4.45b)$$

$$\widetilde{G}_{x_1x_2}^z \equiv i\widetilde{D}^{zy}\,\widetilde{\mathcal{M}}_{yx_1x_2}\,,\tag{4.45c}$$

$$\widetilde{\nabla}_{y} \widetilde{\mathcal{M}}_{x_{1}\cdots x_{n}} \equiv \frac{\delta}{\delta \widetilde{\phi}^{y}} \widetilde{\mathcal{M}}_{x_{1}\cdots x_{n}} - \sum_{i=1}^{n} \widetilde{G}_{yx_{i}}^{z} \widetilde{\mathcal{M}}_{x_{1}\cdots \widehat{x}_{i}z\cdots x_{n}}.$$
(4.45d)

Working to first order in the extra source terms, any modified correlator can be expanded in terms of unmodified ones

$$\langle (\cdots) \rangle_{\delta T,J} \equiv \frac{\int \mathcal{D}\eta (\cdots) e^{iS+iJ_x\eta^x + i\delta T}}{\int \mathcal{D}\eta e^{iS+iJ_x\eta^x + i\delta T}} = \frac{\int \mathcal{D}\eta (\cdots) (1+i\delta T) e^{iS+iJ_x\eta^x}}{\int \mathcal{D}\eta (1+i\delta T) e^{iS+iJ_x\eta^x}} + \mathcal{O}(\delta T^2) = \langle (\cdots) \rangle_J + \langle (\cdots) i\delta T \rangle_J - \langle (\cdots) \rangle_J \langle i\delta T \rangle_J + \mathcal{O}(\delta T^2) .$$
(4.46)

By further expanding  $\widetilde{\mathcal{M}}$ ,  $\widetilde{G}$ , and  $\widetilde{\nabla}\widetilde{\mathcal{M}}$  (which depend on products of correlators), the linear dependence in  $\delta T$  of the quantities in eq. (4.45) then follows. We test the resulting modifications to the recursion relation by computing the difference

$$\mathfrak{D} = -i\left(\widetilde{\nabla}_{x_4}\,\widetilde{\mathcal{M}}_{x_1x_2x_3} - \widetilde{\mathcal{M}}_{x_1x_2x_3x_4}\right)\,,\tag{4.47}$$

<sup>&</sup>lt;sup>11</sup>Note that the definition of  $\tilde{\phi}^y$ , eq. (4.44), is self-referential, but it can be iteratively solved to an arbitrarily high power of J and  $\tilde{J}^{(i)}$ .

which is zero in the unmodified path integral. This gives

$$\begin{split} \mathfrak{D} &= \left\{ \tilde{J}_{y_{1}y_{2},x_{4}}^{(2)} + 3\tilde{J}_{y_{1}y_{2}x_{4}}^{(3)} \right\} D^{y_{1}d_{1}} D^{y_{2}d_{2}} \left( \underline{i\mathcal{M}_{d_{1}d_{2}f_{1}}D^{f_{1}f_{2}}\mathcal{M}_{f_{2}x_{1}x_{2}x_{3}}} + \underline{\mathcal{M}_{d_{1}d_{2}x_{1}x_{2}x_{3}}}{- \left[ \mathcal{M}_{d_{1}d_{2}f_{1}}D^{f_{1}f_{2}}\mathcal{M}_{f_{2}f_{3}x_{1}}D^{f_{3}f_{4}}\mathcal{M}_{f_{4}x_{2}x_{3}} - i\mathcal{M}_{d_{1}d_{2}f_{1}x_{1}}D^{f_{1}f_{2}}\mathcal{M}_{f_{2}x_{2}x_{3}} + \operatorname{cycs} \right] \right) \\ &- \left\{ \tilde{J}_{y_{1}y_{2}y_{3},x_{4}}^{(3)} + 4\tilde{J}_{y_{1}y_{2}y_{3}x_{4}}^{(4)} \right\} D^{y_{1}d_{1}} D^{y_{2}d_{2}} D^{y_{3}d_{3}} \\ &\times \left( \underline{i\mathcal{M}_{d_{1}d_{2}d_{3}f_{1}}D^{f_{1}f_{2}}\mathcal{M}_{f_{2}x_{1}x_{2}x_{3}}}{- \left[ \mathcal{M}_{d_{1}d_{2}d_{3}f_{1}}D^{f_{1}f_{2}}\mathcal{M}_{f_{2}f_{3}x_{3}}D^{f_{3}f_{4}}\mathcal{M}_{f_{4}x_{1}x_{2}} - i\mathcal{M}_{d_{1}d_{2}d_{3}f_{1}x_{1}}D^{f_{1}f_{2}}\mathcal{M}_{f_{2}x_{2}x_{3}} + \operatorname{cycs} \right] \right) \\ &- \left[ 3\left\{ \tilde{J}_{y_{1}y_{2}x_{1},x_{4}}^{(3)} + 4\tilde{J}_{y_{1}y_{2}x_{1}x_{4}}^{(4)} \right\} D^{y_{1}d_{1}} D^{y_{2}d_{2}} \\ &\times \left( \underline{\mathcal{M}_{d_{1}d_{2}x_{2}x_{3}}}{+ i\mathcal{M}_{d_{1}d_{2}f_{1}}D^{f_{1}f_{2}}\mathcal{M}_{f_{2}x_{2}x_{3}}} \right) + \operatorname{cycs} \right] - \underline{6}\left\{ \tilde{J}_{x_{1}x_{2}x_{3},x_{4}}^{(3)} + 4\tilde{J}_{x_{1}x_{2}x_{3}x_{4}}^{(4)} \right\} \\ &+ \left[\operatorname{terms} \infty \operatorname{derivatives} \operatorname{of} \tilde{J}^{(4)}\right] + \left[\operatorname{terms} \infty \tilde{J}^{(i)} \operatorname{for} i > 4\right] + \mathcal{O}(\delta T^{2}) , \end{split}$$

where 'cycs' refers to the terms generated by cyclically permuting  $x_1, x_2, x_3$ , and an index after a comma denotes a functional derivative with respect to  $\tilde{\phi}$ , for example  $\tilde{J}_{y_1y_2,x_4}^{(2)} \equiv \frac{\delta}{\delta \tilde{\phi}^{x_4}} \tilde{J}_{y_1y_2}^{(2)}$ . (The underlining in this expression has no mathematical meaning and will be used simply to identify terms in the discussion below.)

We note that the non-zero right-hand side of eq. (4.48) cannot be wholly absorbed by a redefinition of the "connection"  $\tilde{G}_{x_1x_2}^z \to \tilde{G}_{x_1x_2}^z + \delta \tilde{G}_{x_1x_4}^z$ , for some  $\delta \tilde{G}_{x_1x_2}^z$  linear in  $\delta T$ . This redefinition would exclusively generate terms of the form  $-\delta \tilde{G}_{x_1x_4}^z \mathcal{M}_{zx_2x_3} + \text{cycs.}$  However, the underlined terms in eq. (4.48) do not contain a piece  $\mathcal{M}_{zx_2x_3}$  for some dummy index z, so they could not be set to zero by such a redefinition.

Nonetheless, the parts of  $\mathfrak{D}$  shown in eq. (4.48) can be set to zero for  $\tilde{J}^{(i)}$  satisfying the conditions

$$\tilde{J}_{y_1y_2,y_3}^{(2)} + 3\tilde{J}_{y_1y_2y_3}^{(3)} = \mathcal{O}(\delta T^2), \qquad (4.49a)$$

$$\tilde{J}_{y_1 y_2 y_3, y_4}^{(3)} + 4 \tilde{J}_{y_1 y_2 y_3 y_4}^{(4)} = \mathcal{O}(\delta T^2) \,. \tag{4.49b}$$

These describe some necessary conditions that additional source terms must satisfy to maintain the recursion relation between correlators. These modifications, in analog with the Vilkovisky and DeWitt effective actions, have the potential to change the transformations of correlators under field redefinitions. This leaves open the exciting possibility that a judicious choice of  $\tilde{J}^{(i)}$  can remove the evanescent terms in G and  $\mathcal{M}$ , which prevent a clear geometric interpretation of this formalism. We leave this for future work.

## 5 Conclusions and outlook

In this paper, we provided a new perspective on the covariance properties of generalized amplitudes under field redefinitions. We proved a result that connects the transformation properties of the 1PI effective action to the transformation of the generalized amplitudes, which we called the *transformation lemma*. We then showed that this result can be applied to demonstrate the invariance of on-shell amplitudes under field redefinitions for scalar field theories up to one-loop order.

The covariance properties of these objects is highly suggestive of an underlying geometric interpretation, that we refer to as functional geometry. We explored the ways in which this functional geometry construction succeeds and where it does not. In particular, the curvature invariants (as computed by naively following the strategy for Riemannian geometry) vanish, and it is currently unclear if a modified approach (for example, adjusting the source term in the path integral) can resolve this issue. Nonetheless, we showed that the functional geometry does reduce to the field space geometry in the appropriate limits, which provides some evidence that this approach is on the right track. See also [108] for recent progress on using n-particle irreducible effective actions to study a geometric interpretation.

Generally speaking, any improved understanding of field redefinition freedom in quantum field theory improves our understanding of the physical content of its Lagrangian. It also provides insight into the intricate structure of its amplitudes, which project out these field redefinition redundancies in a non-trivial way. There are many open questions that we would like to explore in the future.

We expect that the condition on the transformation of the effective action, eq. (3.2), should hold to all orders in perturbation theory. Since we have only shown this up to one loop, it would be very interesting to understand how this holds at two loops (and beyond), which could help lead to an all-orders result. It is possible that a looser assumption than eq. (3.2) would still result in the transformation of the correlator given in eq. (3.3); understanding the minimal possible conditions on the transformation of the effective action could further constrain the edge cases of the allowed space of field redefinitions. It would also be useful to extend our results explicitly to fermionic theories, as well as to understand how the gauge redundancy in gauge theories (whose behavior is in many ways similar to the field redefinition freedom in an ungauged theory) can be included in our framework.

It is worth considering the assumptions we have imposed on the possible space of field redefinitions. In principle, the transformation lemma, eq. (3.3), holds for any invertible, infinitely differentiable (i.e. smooth) functional  $\phi[\tilde{\phi}]$ . However, in order for the "evanescent term" U to be projected out in the amplitude by LSZ reduction, we have assumed many properties in our treatment of the on-shell states. In particular, in section 2.2, we assume properties of the pole structure of two-point correlator (whence the usual restriction that field redefinitions should be local, in order not to disturb said pole structure), as well as Poincaré invariance. This latter requirement of preserving spacetime symmetry is unnecessary, and there are many examples of non-Poincaré invariant field theories that have a well-defined S-matrix. It would be interesting to extend the results of this paper to such non-Poincaré invariant theories.

One interesting intermediate step would be to define the wavefunctions  $\psi$  for  $J \neq 0$ , and therefore define a *J*-dependent amplitude via the LSZ reduction formula eq. (2.27), which would describe scattering about an arbitrary spatially-dependent background. Understanding the background dependence could be useful for investigating various IR constraints on EFTs. Knowing the *J*-dependence of the amplitude would also allow us to write a functional recursion relation for the amplitudes themselves, i.e.,  $\mathcal{A}$  in addition to  $\mathcal{M}$ , which could serve as a generalization of the expressions in [77] away from the soft and spatially constant background limit. Finally, the true nature of the functional geometry remains to be discovered. Perhaps this can be accomplished by finding the appropriate source term in the path integral as explored above. Another approach would be to find a way to quotient out the evanescent terms in order to construct the functional manifold directly. It would also be fascinating to understand if there is a connection between functional geometry and recent progress understanding EFTs in terms of Lagrange space [88] and/or jet bundles [89, 90]. Similarly, there is a possible connection of our recursion relation on correlators to the  $L_{\infty}$ -algebra of the functional formalism of QFT, for which the correlators are the structure constants, as shown in [109, 110].<sup>12</sup> Clearly, we have only begun to address some of the most fundamental questions regarding the connections between EFTs and geometry.

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## A Amplitude invariance from the path integral

In this appendix, we briefly review the argument for amplitude invariance under field redefinitions from the path integral point of view. This is largely repeating section 6.2 in [4]. We include this appendix to make this paper self-contained.

To compute the amplitudes for a theory given by  $S[\eta]$ , one can start with the generating functional W[J] defined in eq. (2.1):

$$e^{iW[J]} \equiv \int \mathcal{D}\eta \, \exp\left\{iS[\eta] + i \int \mathrm{d}^4 x \, J(x)\eta(x)\right\},$$
 (A.1)

which generates the connected correlation functions. Making an integration variable change

$$\eta = f[\tilde{\eta}] , \qquad (A.2)$$

we get the same quantity rewritten as

$$e^{iW[J]} = \int \det\left(\frac{\delta\eta}{\delta\tilde{\eta}}\right) \mathcal{D}\tilde{\eta} \exp\left\{iS\left[f[\tilde{\eta}]\right] + i\int d^4x J(x)f[\tilde{\eta}](x)\right\}$$
$$= \int \mathcal{D}\tilde{\eta} \exp\left\{i\left(S\left[f[\tilde{\eta}]\right] - i\log\det\left(\frac{\delta\eta}{\delta\tilde{\eta}}\right)\right) + i\int d^4x J(x)f[\tilde{\eta}](x)\right\}.$$
(A.3)

Now, consider a slightly different generating functional  $W_1[J]$ :

$$e^{iW_1[J]} = \int \mathcal{D}\tilde{\eta} \exp\left\{i\left(S\left[f[\tilde{\eta}]\right] - i\log\det\left(\frac{\delta\eta}{\delta\tilde{\eta}}\right)\right) + i\int d^4x J(x)\tilde{\eta}(x)\right\}, \quad (A.4)$$

 $<sup>^{12}\</sup>mathrm{We}$  thank A. Arvanitakis for pointing this out to us.

where the difference is due to the last term in the exponent. As  $W_1[J] \neq W[J]$ , it generates a set of connected correlation functions that are different from the original theory  $S[\eta]$ . However, the only difference between  $W_1[J]$  and W[J] is how the source field J(x) is coupled to the theory:

$$\int d^4x J(x) f[\tilde{\eta}](x) \quad \text{versus} \quad \int d^4x J(x) \,\tilde{\eta}(x) \,. \tag{A.5}$$

In such cases, for legitimate field redefinitions  $f[\tilde{\eta}]$ , it is understood [3, 4] that upon the LSZ reduction procedure, they yield the same on-shell amplitudes. Therefore, we see from eq. (A.4) that for the purposes of computing the on-shell amplitudes for the theory  $S[\eta]$ , one can alternatively work with a new theory given by the action  $\tilde{S}[\tilde{\eta}]$ :

$$\tilde{S}[\tilde{\eta}] = S\left[f[\tilde{\eta}]\right] - i\log\det\left(\frac{\delta\eta}{\delta\tilde{\eta}}\right).$$
(A.6)

Note that the second piece from the Jacobian is one-loop sized. For tree-level calculations, one can ignore it and simply use the first term above as the new theory. For loop-level calculations, if one works with dimensional regularization, the second piece above also vanishes due to it being a scaleless integral (except for anomalous fermion chiral transformations); see e.g. ref. [3] for more detailed discussions. In this paper, to make our statement independent of the choice of regularization scheme, we keep the second piece above for the loop-level discussions.

## **B** An example of derivative field redefinitions

In this appendix, we provide a simple example demonstrating how a derivative field redefinition invalidates the field space geometry picture that we reviewed in section 4.2.1. Let us consider the free theory of two real scalar fields  $\varphi$  and  $\chi$ :

$$\mathcal{L} = \frac{1}{2} \left( \partial_{\mu} \varphi \right) \left( \partial^{\mu} \varphi \right) + \frac{1}{2} \left( \partial_{\mu} \chi \right) \left( \partial^{\mu} \chi \right) - \frac{1}{2} m_1^2 \varphi^2 - \frac{1}{2} m_2^2 \chi^2 \,. \tag{B.1}$$

Following eq. (4.15), one can read off the components of the metric:

$$g_{\varphi\varphi} = g_{\chi\chi} = 1 \,, \tag{B.2a}$$

$$g_{\varphi\chi} = g_{\chi\varphi} = 0. \tag{B.2b}$$

All these components are constants, so they lead to vanishing Christoffel symbols:

$$\Gamma_{bc}^{a} = \frac{1}{2} g^{ak} \left( g_{kb,c} + g_{kc,b} - g_{bc,k} \right) = 0, \qquad (B.3)$$

and subsequently vanishing curvature

$$R_{\varphi\chi\varphi\chi} = 0 \quad \text{and} \quad R = 2 \left( g^{\varphi\varphi} g^{\chi\chi} - g^{\varphi\chi} g^{\varphi\chi} \right) R_{\varphi\chi\varphi\chi} = 0.$$
 (B.4)

Now, let us consider a simple field redefinition parameterized by two dimensionless parameters  $\alpha$  and  $\beta$  and a dimensionful scale  $\Lambda$ :

$$\varphi = \tilde{\varphi} + \frac{1}{2} \frac{\alpha}{\Lambda} \tilde{\chi}^2 + \frac{1}{2} \frac{\beta}{\Lambda^3} \left( \partial_\mu \tilde{\chi} \right) \left( \partial^\mu \tilde{\chi} \right), \tag{B.5a}$$

$$\chi = \tilde{\chi} \,. \tag{B.5b}$$

When  $\beta \neq 0$ , this field redefinition involves derivatives. Plugging this field redefinition into eq. (B.1), we get the Lagrangian rewritten in terms of the new fields  $\tilde{\varphi}$  and  $\tilde{\chi}$ :

$$\mathcal{L} = \frac{1}{2} \left( \partial_{\mu} \tilde{\varphi} \right) \left( \partial^{\mu} \tilde{\varphi} \right) + \frac{\alpha}{\Lambda} \tilde{\chi} \left( \partial_{\mu} \tilde{\varphi} \right) \left( \partial^{\mu} \tilde{\chi} \right) + \frac{1}{2} \left[ 1 + \frac{\alpha^{2}}{\Lambda^{2}} \tilde{\chi}^{2} - \frac{\beta m_{1}^{2}}{\Lambda^{3}} \left( \tilde{\varphi} + \frac{\alpha}{\Lambda} \frac{1}{2} \tilde{\chi}^{2} \right) \right] \left( \partial_{\mu} \tilde{\chi} \right) \left( \partial^{\mu} \tilde{\chi} \right) \\ - \frac{1}{2} m_{1}^{2} \left( \tilde{\varphi}^{2} + \frac{\alpha}{\Lambda} \tilde{\varphi} \tilde{\chi}^{2} + \frac{1}{4} \frac{\alpha^{2}}{\Lambda^{2}} \tilde{\chi}^{4} \right) - \frac{1}{2} m_{2}^{2} \tilde{\chi}^{2} + \mathcal{O}(\partial^{4}) \,. \tag{B.6}$$

Following eq. (4.15) again, one would read off the new metric components as

$$\tilde{g}_{\tilde{\varphi}\tilde{\varphi}} = 1,$$
(B.7a)

$$\tilde{g}_{\tilde{\chi}\tilde{\chi}} = 1 - \frac{\beta m_1^2}{\Lambda^3} \,\tilde{\varphi} + \left(\frac{\alpha^2}{\Lambda^2} - \frac{1}{2} \frac{\alpha \beta m_1^2}{\Lambda^4}\right) \tilde{\chi}^2 \,, \tag{B.7b}$$

$$\tilde{g}_{\tilde{\varphi}\tilde{\chi}} = \tilde{g}_{\tilde{\varphi}\tilde{\chi}} = \frac{\alpha}{\Lambda} \,\tilde{\chi} \,. \tag{B.7c}$$

The determinant of this metric matrix is

$$\det_{\tilde{g}} = \tilde{g}_{\tilde{\varphi}\tilde{\varphi}}\tilde{g}_{\tilde{\chi}\tilde{\chi}} - \tilde{g}_{\tilde{\varphi}\tilde{\chi}}\tilde{g}_{\tilde{\chi}\tilde{\varphi}} = 1 - \frac{\beta m_1^2}{\Lambda^3}\,\tilde{\varphi} - \frac{1}{2}\frac{\alpha\beta m_1^2}{\Lambda^4}\,\tilde{\chi}^2\,. \tag{B.8}$$

With this, one can conveniently express the components of the inverse metric:

$$\tilde{g}^{\tilde{\varphi}\tilde{\varphi}} = \frac{1}{\det_{\tilde{g}}} \, \tilde{g}_{\tilde{\chi}\tilde{\chi}} \,, \tag{B.9a}$$

$$\tilde{g}^{\tilde{\chi}\tilde{\chi}} = \frac{1}{\det_{\tilde{g}}} \, \tilde{g}_{\tilde{\varphi}\tilde{\varphi}} \,, \tag{B.9b}$$

$$\tilde{g}^{\tilde{\varphi}\tilde{\chi}} = \tilde{g}^{\tilde{\chi}\tilde{\varphi}} = -\frac{1}{\det_{\tilde{g}}} \, \tilde{g}_{\tilde{\varphi}\tilde{\chi}} \,, \tag{B.9c}$$

and subsequently those of the Christoffel symbol:

$$\widetilde{\Gamma}^{\tilde{\varphi}}_{\tilde{\varphi}\tilde{\varphi}} = 0 \,, \tag{B.10a}$$

$$\widetilde{\Gamma}_{\tilde{\chi}\tilde{\chi}}^{\tilde{\varphi}} = \frac{1}{2} \left[ \tilde{g}^{\tilde{\varphi}\tilde{\varphi}} \left( \frac{2\alpha}{\Lambda} + \frac{\beta m_1^2}{\Lambda^3} \right) + \tilde{g}^{\tilde{\varphi}\tilde{\chi}} \left( \frac{2\alpha}{\Lambda} - \frac{\beta m_1^2}{\Lambda^3} \right) \frac{\alpha}{\Lambda} \tilde{\chi} \right], \tag{B.10b}$$

$$\widetilde{\Gamma}^{\tilde{\varphi}}_{\tilde{\varphi}\tilde{\chi}} = \Gamma^{\tilde{\varphi}}_{\tilde{\chi}\tilde{\varphi}} = -\frac{1}{2} \, \tilde{g}^{\tilde{\varphi}\tilde{\chi}} \, \frac{\beta m_1^2}{\Lambda^3} \,, \tag{B.10c}$$

$$\widetilde{\Gamma}^{\widetilde{\chi}}_{\widetilde{\varphi}\widetilde{\varphi}} = 0 \,, \tag{B.10d}$$

$$\widetilde{\Gamma}_{\tilde{\chi}\tilde{\chi}}^{\tilde{\chi}} = \frac{1}{2} \left[ \tilde{g}^{\tilde{\chi}\tilde{\varphi}} \left( \frac{2\alpha}{\Lambda} + \frac{\beta m_1^2}{\Lambda^3} \right) + \tilde{g}^{\tilde{\chi}\tilde{\chi}} \left( \frac{2\alpha}{\Lambda} - \frac{\beta m_1^2}{\Lambda^3} \right) \frac{\alpha}{\Lambda} \tilde{\chi} \right], \tag{B.10e}$$

$$\widetilde{\Gamma}^{\tilde{\chi}}_{\tilde{\varphi}\tilde{\chi}} = \Gamma^{\tilde{\chi}}_{\tilde{\chi}\tilde{\varphi}} = -\frac{1}{2} \, \tilde{g}^{\tilde{\chi}\tilde{\chi}} \, \frac{\beta m_1^2}{\Lambda^3} \,. \tag{B.10f}$$

Finally, we obtain the new Ricci scalar

$$\widetilde{R} = 2\left(\widetilde{g}^{\widetilde{\varphi}\widetilde{\varphi}}\widetilde{g}^{\widetilde{\chi}\widetilde{\chi}} - \widetilde{g}^{\widetilde{\varphi}\widetilde{\chi}}\widetilde{g}^{\widetilde{\varphi}\widetilde{\chi}}\right)\widetilde{R}_{\widetilde{\varphi}\widetilde{\chi}\widetilde{\varphi}\widetilde{\chi}} = \frac{1}{\det_{\tilde{g}}^{2}}\frac{1}{2}\frac{\beta^{2}m_{1}^{4}}{\Lambda^{6}}$$
$$= \left(1 - \frac{\beta m_{1}^{2}}{\Lambda^{3}}\widetilde{\varphi} - \frac{1}{2}\frac{\alpha\beta m_{1}^{2}}{\Lambda^{4}}\widetilde{\chi}^{2}\right)^{-2}\frac{1}{2}\frac{\beta^{2}m_{1}^{4}}{\Lambda^{6}}.$$
(B.11)

This result demonstrates our point. When  $\beta = 0$ , the field redefinition in eq. (B.5) is a non-derivative field redefinition, and as expected from the field space geometry picture, the Ricci scalar is indeed unchanged,  $\tilde{R} = R$ . On the other hand, when  $\beta \neq 0$ , the field redefinition in eq. (B.5) involves derivatives. In this case, our result above shows that  $\tilde{R} \neq R$ , which is an indication that the field space geometry picture breaks down.

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