

# Model Independent Approach of the JUNO $^8\text{B}$ Solar Neutrino Program

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## Abstract

The physics potential of detecting  $^8\text{B}$  solar neutrinos is exploited at the Jiangmen Underground Neutrino Observatory (JUNO), in a model independent manner by using three distinct channels of the charged-current (CC), neutral-current (NC) and elastic scattering (ES) interactions. Due to the largest-ever mass of  $^{13}\text{C}$  nuclei in the liquid-scintillator detectors and the potential low background level,  $^8\text{B}$  solar neutrinos would be observable in the CC and NC interactions on  $^{13}\text{C}$  for the first time. By virtue of optimized event selections and muon veto strategies, backgrounds from the accidental coincidence, muon-induced isotopes, and external backgrounds can be greatly suppressed. Excellent signal-to-background ratios can be achieved in the CC, NC and ES channels to guarantee the  $^8\text{B}$  solar neutrino observation. From the sensitivity studies performed in this work, we show that one can reach the precision levels of 5%, 8% and 20% for the  $^8\text{B}$  neutrino flux,  $\sin^2 \theta_{12}$ , and  $\Delta m_{21}^2$ , respectively, using ten years of JUNO data. It would be unique and helpful to probe the details of both solar physics and neutrino physics. In addition, when combined with SNO, the world-best precision of 3% is expected for the  $^8\text{B}$  neutrino flux measurement.

# 1 Introduction

Electron neutrino fluxes are produced from thermal nuclear fusion reactions in the solar core, either through the proton-proton ( $pp$ ) chain or the Carbon-Nitrogen-Oxygen (CNO) cycle. According to their production reactions, the solar neutrino species can be categorized as  $pp$ ,  ${}^7\text{Be}$ ,  $pep$ ,  ${}^8\text{B}$ ,  $hep$  neutrinos of the  $pp$  chain, and  ${}^{13}\text{N}$ ,  ${}^{15}\text{O}$ , and  ${}^{17}\text{F}$  neutrinos of the CNO cycle. Before reaching the detector, solar neutrinos undergo the flavor conversion inside the Sun and the Earth during their propagation. It has been a long history for solar neutrino physics since the first observation at the Homestake experiment [1]. Many measurements, such as Kamiokande [2], GALLEX/GNO [3, 4], SAGE [5], and Super-Kamiokande (SK) [6, 7], had observed the solar neutrino deficit problem: that is the amount of observed neutrinos originating from the Sun was much less than that expected from the Standard Solar Model (SSM). Subsequently, the Sudbury Neutrino Observatory (SNO) provided the first model-independent evidence of the solar neutrino flavor conversion using three distinct neutrino interaction channels in heavy water [8–14]. These reactions include the  $\nu_e$  sensitive charged-current (CC) interaction, all flavor sensitive neutral-current (NC) interaction on Deuterium, and the elastic scattering (ES) interaction on electrons from all neutrino flavors with different cross sections.

Solar neutrino observations rely on both the flux prediction from the SSM and neutrino oscillation parameters that determine the flavor conversion [15–17]. Thus although SK [18, 19] and Borexino [20, 21] experiments have made precision measurements on the  ${}^8\text{B}$  neutrinos via the ES interaction, the evaluation of the total amount of neutrinos produced inside the Sun relies on the input of solar neutrino oscillations [17]. The present most precise  ${}^8\text{B}$  neutrino flux is determined by SNO with the precision of 3.8% [10–14], and it is the only existing model independent flux measurement. Therefore, a second independent measurement of the total  ${}^8\text{B}$  neutrino flux with the NC channel [22, 23] would be important to answer relevant questions in the field of solar physics. For example, there is the solar abundance problem, in which the SSM based on the solar composition with a higher value of metallicity is inconsistent with the helioseismological measurements [24].

In contrast, the neutrino oscillation parameters  $\sin^2\theta_{12}$  and  $\Delta m_{21}^2$  have reached the precision levels around 5% and 15% respectively, from the current global solar neutrino data [25]. The mixing angle  $\sin^2\theta_{12}$  is extracted from the comparison of the observed fluxes of  $pp$ ,  ${}^7\text{Be}$ , and  ${}^8\text{B}$  solar neutrinos to their respective total fluxes from the SSM. And the mass squared difference  $\Delta m_{21}^2$  is measured from both the vacuum-matter transition of the  ${}^8\text{B}$  neutrino oscillations and the size of the day-night asymmetry. A direct comparison of oscillation parameters from the solar neutrino and reactor antineutrino oscillations is an unique probe of new physics beyond the Standard Model of particle physics. It would be excellent to have a new measurement of solar neutrino oscillations with high precision in this respect. This has triggered a variety of interesting discussions on the prospects of future large neutrino detectors [26–29].

The Jianmen Underground Neutrino Observatory (JUNO) is a liquid scintillator (LS) detector of 20 kton, which is located in South China and will start data taking by 2023. As a multiple-purpose neutrino experiment, JUNO is unique for the solar neutrino detection because of its large target mass, excellent energy resolution, and expected low background levels. With the analysis threshold cut of around 2 MeV for the recoiled electron energies in the ES channel, JUNO can make a high-statistics measurement of the flux and spectral shape of  ${}^8\text{B}$  solar neutrinos and will be able to extract the neutrino oscillation parameters  $\sin^2\theta_{12}$  and  $\Delta m_{21}^2$  [27]. In addition to the high statistics measurement in the ES channel, the presence of a large mass of the  ${}^{13}\text{C}$  nuclei ( $\sim 0.2$  kt) makes it feasible to detect  ${}^8\text{B}$  solar neutrinos via CC and NC interactions on  ${}^{13}\text{C}$ . By combining all the CC,

Table 1: Typical CC, NC, and ES detection channels of the  $^8\text{B}$  solar neutrinos together with the final states, the neutrino energy threshold, the typical signatures in the detector, and the expected event numbers with 10 years of data taking. Note that  $\nu_x$  with ( $x = e, \mu, \tau$ ) denotes all three active flavor neutrinos. The spin and parity of the daughter nuclei at the ground (gnd) or excited states, denoted as the corresponding excited energies, are also provided.

No.	Channels	Threshold [MeV]	Signal	Event numbers (10 years)
1	$\nu_e + ^{12}\text{C} \rightarrow e^- + ^{12}\text{N}(1^+; \text{gnd})$ [32]	16.827	$e^- + ^{12}\text{N}$ decay ( $\beta^+$ , $Q=17.338$ MeV)	0.43
1	$\nu_e + ^{13}\text{C} \rightarrow e^- + ^{13}\text{N}(\frac{1}{2}^-; \text{gnd})$ [33]	2.2	$e^- + ^{13}\text{N}$ decay ( $\beta^+$ , $Q=2.22$ MeV)	3929
2	$\nu_e + ^{13}\text{C} \rightarrow e^- + ^{13}\text{N}(\frac{3}{2}^-; 3.5 \text{ MeV})$ [33]	5.7	$e^- + p$	2464
4	$\nu_x + ^{12}\text{C} \rightarrow \nu_x + ^{12}\text{C}(1^+; 15.11 \text{ MeV})$ [32]	15.1	$\gamma$	4.8
3	$\nu_x + ^{13}\text{C} \rightarrow \nu_x + n + ^{12}\text{C}(2^+; 4.44 \text{ MeV})$ [34]	6.864	$\gamma + n$ capture	65
4	$\nu_x + ^{13}\text{C} \rightarrow \nu_x + ^{13}\text{C}(\frac{1}{2}^+; 3.089 \text{ MeV})$ [33]	3.089	$\gamma$	14
5	$\nu_x + ^{13}\text{C} \rightarrow \nu_x + ^{13}\text{C}(\frac{3}{2}^-; 3.685 \text{ MeV})$ [33]	3.685	$\gamma$	3032
6	$\nu_x + ^{13}\text{C} \rightarrow \nu_x + ^{13}\text{C}(\frac{5}{2}^+; 3.854 \text{ MeV})$ [33]	3.854	$\gamma$	2.8
7	ES $\nu_x + e \rightarrow \nu_x + e$	0	$e^-$	$3.0 \times 10^5$

NC and ES channels, we are able to perform a model independent measurement of the  $^8\text{B}$  solar neutrino flux and oscillation parameters  $\sin^2 \theta_{12}$  and  $\Delta m_{21}^2$ , which will add a unique contribution to the global solar neutrino program.

The paper is organized as follows. We illustrate the typical signatures of the CC and NC interactions of  $^8\text{B}$  solar neutrinos, and evaluate the corresponding backgrounds in the JUNO detector in Sec. 2. In Sec. 3, the physics potential of detecting the  $^8\text{B}$  solar neutrinos with different combinations of the CC, NC, and ES channels are presented, and the sensitivity to the  $^8\text{B}$  solar neutrino flux,  $\sin^2 \theta_{12}$  and  $\Delta m_{21}^2$  is reported. The concluding remarks of this study are presented in Sec. 4.

## 2 Signal and Background at JUNO

The JUNO experiment is building the world largest LS detector with the total target mass of 20 kt, in which the mass fraction of Carbon is 88%. Given that the natural abundance of  $^{13}\text{C}$  is 1.1%, the mass of  $^{13}\text{C}$  can reach the level of  $\sim 0.2$  kt, which is similar to the total mass of Deuterium of the SNO detector. Therefore, the CC and NC solar neutrino interaction rates on the  $^{13}\text{C}$  nuclei will be sizable at JUNO.

In Table 1, we present the typical CC, NC and ES detection channels for  $^8\text{B}$  solar neutrinos in the LS medium. For each interaction channel, the reaction threshold is provided, together with the typical experimental signatures, and the expected event numbers for 10 years of data taking before event selection cuts. The spin and parity of the daughter nuclei at the ground (gnd) or excited state, denoted by the corresponding excited energies, are also provided. The unoscillated  $^8\text{B}$  solar neutrino  $\nu_e$  flux ( $5.25 \times 10^6$  /cm<sup>2</sup>/s) is taken from the final result of SNO for this estimation [14], and the spectra are taken from Refs. [30, 31]. The cross sections for these exclusive channels are taken from the calculation in Refs. [32–34], in which the uncertainties at the level of a few percent are considered to be achievable. Note that the standard Mikheev-Smirnov-Wolfenstein (MSW) effect of solar neutrino oscillations [15, 16] and the neutrino oscillation parameters from Ref. [17] are used in the signal calculations of the CC, NC, and ES channels.

There are no interactions on the  $^{12}\text{C}$  nuclei for most solar neutrinos because of the high energy threshold. Thus for the CC channel, we are left with the following exclusive interaction:

$$\nu_e + ^{13}\text{C} \rightarrow e^- + ^{13}\text{N}\left(\frac{1}{2}^-; \text{gnd}\right), \quad (1)$$



where the final  $^{13}\text{N}$  is in the ground state. It undergoes a delayed  $\beta^+$  decay ( $Q = 2.2$  MeV) with a lifetime of 863 s. The distinct signature for this channel is a coincidence of the prompt electron and delayed positron with stringent time, distance, and energy requirements. The expected number of events for  $^8\text{B}$  solar neutrinos in this CC channel is 3929 for 10 years of data taking. Note that the CC interaction channel with an excited  $^{13}\text{N}$  ( $3/2^-; 3.502$  MeV) in the final state has a comparable cross section as the ground-state channel [33], but the deexcitation of  $^{13}\text{N}$  ( $3/2^-; 3.502$  MeV) is dominated by a proton knockout. The corresponding signature after quenching is a single event and thus cannot be distinguished from the recoiled electron of the ES channel on an event-by-event basis.

Among all the five listed NC channels, the only one with a coincidence signature is the interaction of  $\nu_x + ^{13}\text{C} \rightarrow \nu_x + n + ^{12}\text{C}$ , with a prompt  $\gamma$  energy of 4.44 MeV from  $^{12}\text{C}$  de-excitation and the delayed neutron capture. However, given that the background from the inverse beta decay interactions of reactor antineutrinos are overwhelming, where the signal to background ratio is at the level of  $10^{-4}$ , and thus the event rate of this channel is unobservable. In this work we focus on the NC channels with the signature of single  $\gamma$  deexcitation, among which the NC interaction with the  $^{13}\text{C}$  de-excited energy of 3.685 MeV:

$$\nu_x + ^{13}\text{C} \rightarrow \nu_x + ^{13}\text{C} \left( \frac{3^-}{2} ; 3.685 \text{ MeV} \right), \quad (2)$$

is the dominant interaction channel and will be used to determine the  $^8\text{B}$  solar neutrino flux via the NC interaction.

Finally, we also consider the ES interaction channel on the electron,

$$\nu_x + e \rightarrow \nu_x + e, \quad (3)$$

where the signature is a single recoiled electron [27]. Using all the three channels of CC, NC, ES interactions, we are able to make a model independent measurement of the  $^8\text{B}$  solar neutrino flux,  $\sin^2 \theta_{12}$  and  $\Delta m_{21}^2$  with JUNO, which is useful to disentangle the solar dynamics and the neutrino oscillation effects. This measurement is expected to be the only model independent study after the SNO experiment [9–12].

To summarize, in this work we are going to employ the following three interaction channels for a model independent approach of the JUNO  $^8\text{B}$  solar neutrino program: i) the CC detection channel is sensitive to the  $\nu_e$  component of solar neutrinos, ii) the NC channel is sensitive to all active neutrino flavors ( $\nu_e, \nu_\mu, \nu_\tau$ ) with identical cross sections, iii) the ES channel is also sensitive to all active flavors, but with a preferred cross section for the  $\nu_e$  flux [i.e.,  $\sigma(\nu_\mu/\tau) \simeq 0.17 \sigma(\nu_e)$ ].

## 2.1 $\nu_e + ^{13}\text{C}$ Charged Current Channel

For the typical coincidence signature of the CC channel,  $\nu_e + ^{13}\text{C} \rightarrow e^- + ^{13}\text{N}$  ( $1/2^-; \text{gnd}$ ), the energy of the prompt signal is the kinetic energy of the outgoing electron with the reaction threshold of 2.2 MeV. Therefore, there is a one-to-one correspondence between the electron kinetic energy and the initial neutrino energy  $T_e \simeq E_\nu - 2.2$  MeV, because of the negligible recoil energy of the daughter  $^{13}\text{N}$ . Meanwhile, the delayed signal is the deposited energy of the positron from the  $^{13}\text{N}$   $\beta^+$  decay ( $Q = 2.2$  MeV), with a decay lifetime of  $\tau = 863$  s. The time and spatial correlation between the prompt and delayed signals provides the distinct feature of the coincidence signature.

In the following, we consider two significant backgrounds for this coincidence signature of the CC channel in this work.

- The first background is the accidental coincidence of two single events. For the visible energy between 2 and 5 MeV, natural radioactivity composes the most significant part of the prompt

Table 2: The efficiencies of optimized event selection cuts for the signal and backgrounds of the  $\nu_e$  CC channel [ $\nu_e + {}^{13}\text{C} \rightarrow e^- + {}^{13}\text{N} (1/2^-; \text{gnd})$ ] analysis. The expected event numbers of the signal and backgrounds for 10 years of data taking after each cut are also listed. The fiducial volume used in this work corresponds to the effective mass of 16.2 kt. For the energy cuts,  $E_p$  and  $E_d$  represent the visible energy of prompt and delayed signals. The same muon and three-fold-coincidence veto strategies as in Ref. [27] are used for the reduction of muon-induced isotopes.

	Cuts	CC signal efficiency	CC signal	Background for CC channel		
				Solar ES	Muon-induced isotopes	
				Accidental	Accidental	Correlated
–	–	–	3929	–	–	–
Time cut	$\Delta T < 900$ s	65%	2554	$10^{10}$	$10^{13}$	$10^{12}$
Energy cut	$5 \text{ MeV} < E_p < 14 \text{ MeV}$	79%	1836	$10^9$	$10^{10}$	$10^9$
	$1 \text{ MeV} < E_d < 2 \text{ MeV}$	91%				
Fiducial volume Cut	$R < 16.5$ m [27]	81%	1487	$10^7$	$10^7$	$10^8$
Vertex cut	$\Delta d < 0.47$ m	87%	1293	328	$10^5$	$10^6$
Muon veto	Muon and TFC veto [27]	50%	647	164	53	58
Combined	–	17%	647	275		

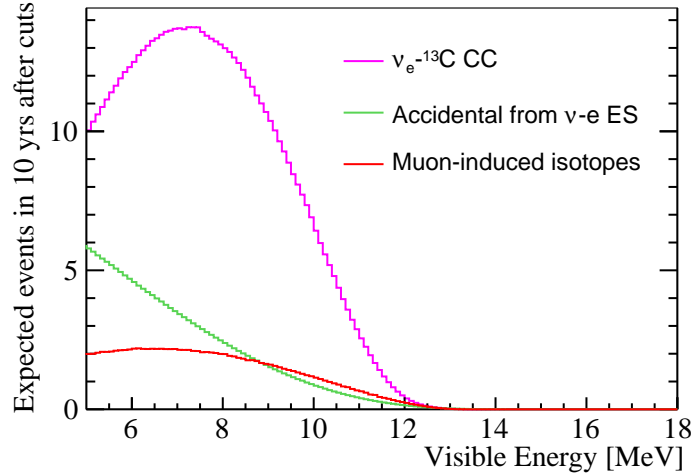


Figure 1: Expected prompt visible energy spectra of the CC signal and backgrounds after the optimized cuts. The accidental background with the recoiled electron from solar neutrino ES interaction as the prompt signal is illustrated as the green line. The background from muon-induced isotopes is illustrated as the red line, which is the summation of the accidental and correlated backgrounds originated from the initial muons.

component of the coincidence candidate, while the prompt signals above 5 MeV come from the muon-induced unstable isotopes and the recoiled electrons of solar neutrino ES interactions. Due to the expected natural radioactivity level in the LS ( $10^{-17}$  g/g  $^{238}\text{U}$  and  $^{232}\text{Th}$  in the secular equilibrium,  $10^{-18}$  g/g  $^{40}\text{K}$  and  $10^{-24}$  g/g  $^{210}\text{Pb}$ ), a requirement on the selection of the prompt energy is to minimize the contribution from these radioactivity events. The delayed component of the accidental background is mainly from the cosmogenic  $^{11}\text{C}$  decay ( $Q = 1.98$  MeV) in the energy range of [1, 2] MeV, while the internal LS radioactivity contributes less than 2% compared to that from  $^{11}\text{C}$ . If the internal radioactivity is 1-2 orders of magnitude higher than expected, the contribution to the delayed component from the radioactivity would be at the same level as the cosmogenic  $^{11}\text{C}$  decay. Note that all the single events in the energy range between 1 and 2 MeV can be accurately measured in-situ with the future data, and the accidental background can be deduced with the off-time coincidence method. Note that we have neglected the external radioactivity which can be effectively removed by the proper fiducial volume cut.

- The second background is produced by the correlated prompt and delayed decays of unstable isotopes from the same parent muon. These correlated decays are not considered in the above accidental background. Therefore, the cosmic muon and the corresponding isotope simulations have been performed, and the muon veto strategies of the three-fold-coincidence are the same as those in Ref. [27]. It shows that the prompt signal is mainly from the beta decays of  $^{12}\text{B}$ ,  $^8\text{Li}$ ,  $^6\text{He}$ , and  $^{10}\text{C}$  (below 4 MeV), and as expected the delayed signal is from  $^{11}\text{C}$ . The muon detection efficiency of the outer water veto can reach as high as 99.5% [27]. Since the remaining untagged muons are usually located at the edge of the central detector, these muon-induced correlated background can be removed using the fiducial volume cut and is neglected in this work. Note that we have assumed a perfect detector uniformity for these isotopes and used the whole detector region to estimate the background inside the fiducial volume.

We have simulated the signal and backgrounds using the official JUNO simulation software [35, 36]. According to the signal characteristics of the CC channel, the accidental background can be calculated with different selection cuts. The final event selection criteria is obtained by optimizing the figure of merit,  $S/\sqrt{S+B}$ , where  $S$  and  $B$  stand for the rates of the signal and background, respectively. The optimized event selection cuts of the fiducial volume, the prompt and delayed energies, the time and spatial correlation cuts and muon vetos are provided step by step in Tab. 2, where the efficiencies of the signal and backgrounds are also calculated. In order to avoid possible large contamination from the internal radioactivity and muon-induced  $^{10}\text{C}$ , we select the threshold of the prompt visible energy to 5 MeV for the CC channel, i.e.,  $5 \text{ MeV} < E_p < 14 \text{ MeV}$ . Meanwhile, the fiducial volume is chosen to be  $R < 16.5 \text{ m}$  to reject the external radioactivity and isotopes, with  $R$  being the distance to the detector center.

We illustrate in Fig. 1 the expected prompt visible energy spectra of the selected signal and residual backgrounds in the CC channel after the optimized cuts. The expected number of selected signals is 647 for 10 years of data taking, which is shown as the purple line. The fiducial volume used in this work corresponds to the effective mass of 16.2 kt. The accidental background with solar neutrino ES interactions as the prompt signal is illustrated as the green line and contributes 164 background events, which will be fully correlated with the solar neutrino ES signal in the following global analysis. In contrast, the muon-induced isotopes contribute 111 background events (depicted as the red line of Fig. 1), which are from both the accidental coincidence (53 events) and correlated background (58 events). Therefore, we can achieve an excellent  $S/\sqrt{S+B} \simeq 21$ .

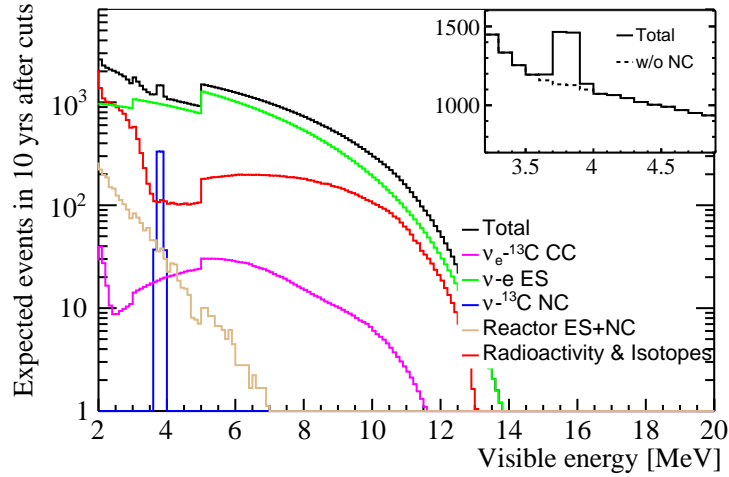


Figure 2: Expected visible energy spectra of all single event sources for 10 years of data taking with the same energy-dependent fiducial volume cuts as in Ref. [27] are illustrated. The blue and green curves are singles from the  $\nu_x + {}^{13}\text{C}$  NC and  $\nu_x + e$  ES channels, respectively. The purple curve includes the  $\nu_e + {}^{13}\text{C} \rightarrow e^- + {}^{13}\text{N}(\frac{3}{2}^-)$  channel and the residual singles of the  $\nu_e + {}^{13}\text{C} \rightarrow e^- + {}^{13}\text{N}(\frac{1}{2}^-)$  channel after the coincidence cut. The red curve represents the single events from natural radioactivity and muon-induced unstable isotopes. The brown curve includes the  $\bar{\nu}_e + e$  ES and  $\bar{\nu}_x + {}^{13}\text{C}$  NC channels from reactor antineutrinos. The black curve is the summation of all the components. The upper right insert plot is illustrated for the energy range between 3 and 5 MeV in the linear scale. Note that the discontinuities at 3 MeV and 5 MeV are due to the changes in the fiducial volume size.

Finally, the expected event number of *hep* solar neutrinos in the CC channel is about 15 for ten years of data taking, but only 3 events are beyond the spectral tail of  ${}^8\text{B}$  solar neutrinos. Thus it would be difficult to detect the *hep* solar neutrinos with the CC interaction on  ${}^{13}\text{C}$ , and the signal from the *hep* solar neutrinos will be neglected in this work.

## 2.2 $\nu_x + {}^{13}\text{C}$ Neutral Current Channel

The typical signature for the NC event,  $\nu_x + {}^{13}\text{C} \rightarrow \nu_x + {}^{13}\text{C}(3/2^-; 3.685 \text{ MeV})$  is a mono-energetic  $\gamma$  with the energy of 3.685 MeV, convoluted with the detector energy resolution of  $3\%/\sqrt{E}$ . The expected visible energy spectra of all single event sources for 10 years of data taking with the same energy-dependent fiducial volume cuts as in Ref. [27] are shown in Fig. 2. The blue and green curves are singles from the  $\nu_x + {}^{13}\text{C}$  NC and  $\nu_x + e$  ES channels, respectively. The purple curve includes the  $\nu_e + {}^{13}\text{C} \rightarrow e^- + {}^{13}\text{N}(\frac{3}{2}^-)$  channel and the residual singles of the  $\nu_e + {}^{13}\text{C} \rightarrow e^- + {}^{13}\text{N}(\frac{1}{2}^-)$  channel after the coincidence cut. The red curve represents the single events from natural radioactivity and muon-induced unstable isotopes [27]. The brown curve includes the  $\bar{\nu}_e + e$  ES and  $\bar{\nu}_x + {}^{13}\text{C}$  NC channels from reactor antineutrinos. The NC events rate from reactor antineutrinos is less than 0.2% of that from solar neutrinos. The black curve is the summation of all the components. Note that the discontinuities at 3 MeV and 5 MeV are caused by the energy-dependent fiducial volume cuts which are, from low to high energies,  $R < 13 \text{ m}$  for [2, 3] MeV,  $R < 15 \text{ m}$  for [3, 5] MeV, and  $R < 16.5 \text{ m}$  for the energies large than 5 MeV. The upper right insert plot is illustrated for the energy range between 3 to 5 MeV in the linear scale, where a clear peak from the solar neutrino NC channel can be seen above the continuous spectra from solar neutrino ES interactions and the other backgrounds, demonstrating the promising prospect for the observation of the NC channel at

JUNO. After all the cuts the number of signal events in the NC channel is 738 for 10 years of data taking.

### 2.3 $\nu_x + e$ Elastic Scattering Channel

In this work, we follow exactly the same strategy as in Ref. [27] for the analysis of the  $\nu_x + e$  ES channel, where energy spectra for the recoiled electrons as well as all the backgrounds have been shown in Fig. 2. One should note that the upturn feature of the energy dependence of the solar neutrino survival probability is clearly visible in the electron energy spectrum.

### 2.4 Day-Night Asymmetry

The MSW effect can cause solar neutrino event rate variations as a function of the solar zenith angle when the neutrinos propagate through the Earth [37–45], and result in the day-night asymmetry of the solar neutrino observation, in which the signal rate in the night is higher than that in the day due to  $\nu_e$  regeneration inside the Earth.

In this work, in addition to the visible energy spectra of the CC, NC and ES channels, we also consider the day-night asymmetry to constrain the neutrino oscillation parameters. The location of JUNO (i.e., 112°31'05" E and 22°07'05" N [46]) is used in the day-night asymmetry calculations, and the two dimensional visible energy and zenith angle spectra are employed. For illustration, we show in Fig. 3 the ratios of solar neutrino signal event rates with and without considering the terrestrial matter effects as the function of the zenith angle  $\theta_z$ . The red and blue solid lines are for the ES and CC channels, respectively. In comparison, the dashed lines are shown for the respective averages over the whole zenith angle range. The ratios of the day-night average ( $R_A$ ), the daytime ( $R_D$ ), and the nighttime ( $R_N$ ) are also illustrated with the first three bins. The error bars are quoted as the statistical uncertainties of the signal and backgrounds. The blue shaded regions with different colors from the left to right are used to denote the zenith angle ranges passing through the crust, mantle and core of the Earth respectively. The day-night asymmetry, defined as  $(R_D - R_N)/R_A$ , is predicted to be  $-3.1\%$  and  $-1.6\%$  for the CC and ES channels respectively. The energy ranges of the CC and ES channels are [5, 14] MeV and [2, 16] MeV respectively. Given that all the neutrino flavors can be detected through the NC channel, no day-night asymmetry exists in the NC detection. Note that the magnitude of the day-night asymmetry strongly depends on the value of  $\Delta m_{21}^2$ . If  $\Delta m_{21}^2$  is decreased from the KamLAND measurement  $7.5 \times 10^{-5} \text{ eV}^2$  [17] to  $6.1 \times 10^{-5} \text{ eV}^2$  of the global solar neutrino data [25], the absolute values of the day-night asymmetry are also increased to  $-4.2\%$  and  $-2.2\%$  for the CC and ES channels, respectively.

## 3 Sensitivity Study

In this section, we study the physical potential for the model independent measurement of  $^8\text{B}$  solar neutrinos using CC, NC, and ES channels. Based on the typical event signatures, the full solar neutrino data can be separated into the correlated and single event data sets. As discussed in the previous section, all the three interaction channels from  $^8\text{B}$  solar neutrinos would contribute to the single event data set, while the correlated data set includes events from both the CC channel and the accidental coincidence of the ES channel.

In this analysis, we consider the following systematic uncertainties. First, the uncertainty of detection efficiency is estimated to be 2% [27], which is fully correlated for the the signal and background components of each data set, but uncorrelated between the coincidence and single event

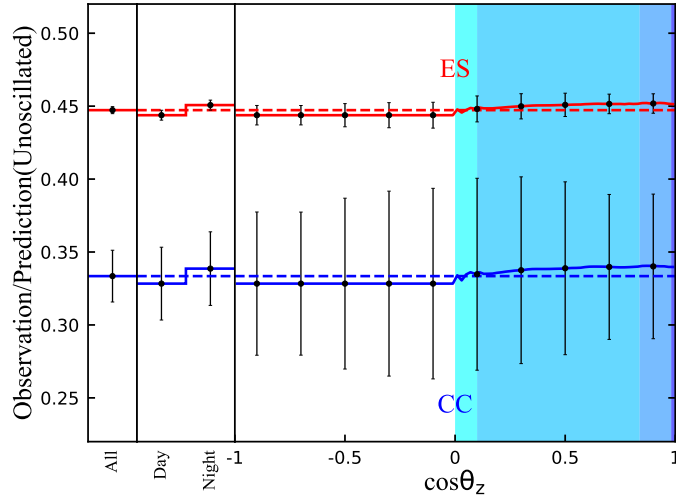


Figure 3: Ratios of the solar neutrino signal event rates with and without considering terrestrial matter effects as the function of the zenith angle for the ES (red solid line) and CC (blue solid line) channels. The dashed lines are shown for the average over the whole zenith angle range. The ratios for the daytime, nighttime and the day-night average are also shown for comparison. The blue shaded regions with different colors from the left to right are used to denote the zenith angle ranges passing through the crust, mantle and core of the Earth. Note that the signal rate in the night is higher than that in the day due to the  $\nu_e$  regeneration through the Earth.

data samples. Second, the current uncertainty of the  $^{13}\text{C}$  cross sections from the model calculation is at the level of several percents [32–34], but the precision could be reduced to 1% or better with large-scale modern shell-model calculations [47]. Therefore the uncertainties for the  $^{13}\text{C}$  CC and NC interaction are taken as 1% for the current study. A 0.5% cross section uncertainty is used for the ES channel [48]. Third, the shape uncertainty of  $^8\text{B}$  solar neutrinos is taken from Refs. [30, 31], and the uncertainties for the radioactive and muon-induced backgrounds are the same as those in Ref. [27], namely, 1% for  $^{238}\text{U}$ ,  $^{232}\text{Th}$  and  $^{12}\text{B}$  decays, 3% for  $^8\text{Li}$  and  $^6\text{He}$  decays, and 10% for  $^{10}\text{C}$  and  $^{11}\text{Be}$  decays. A 2% uncertainty is used for the single event from the reactor antineutrino ES interaction. In this work we treat the  $^8\text{B}$  solar neutrino flux as a free parameter since we are performing the model independent measurement. Only in the scenario of combining with the SNO flux measurement, an uncertainty of 3.8% is used as an informative prior.

The standard Poisson-type  $\chi^2$  method using the Asimov data set [17] is employed to estimate the sensitivity to measure the  $^8\text{B}$  solar neutrino flux and the oscillation parameters  $\sin^2\theta_{12}$  and  $\Delta m_{21}^2$ , where different pull parameters are included in the  $\chi^2$  function to account for the systematic uncertainties described in this section. More technical details on the construction of the  $\chi^2$  function are provided in the Appendix. In order to identify the contribution of each interaction channel, we divide the whole data sets into the correlated events, the single events within [3.5, 4.1] MeV, and the single events outside [3.5, 4.1] MeV, which correspond to the CC, NC, and ES measurements respectively.

We illustrate in Figs 4-6 the two dimensional allowed ranges and the marginalized one dimensional curves on the sensitivity of the  $^8\text{B}$  neutrino flux,  $\sin^2\theta_{12}$  and  $\Delta m_{21}^2$ , of which Fig. 4 is for the comparison of the ES and ES+NC measurements, Fig. 5 for the comparison the ES+NC and ES+NC+CC measurements, and Fig. 6 for the comparison of the JUNO and JUNO + SNO flux

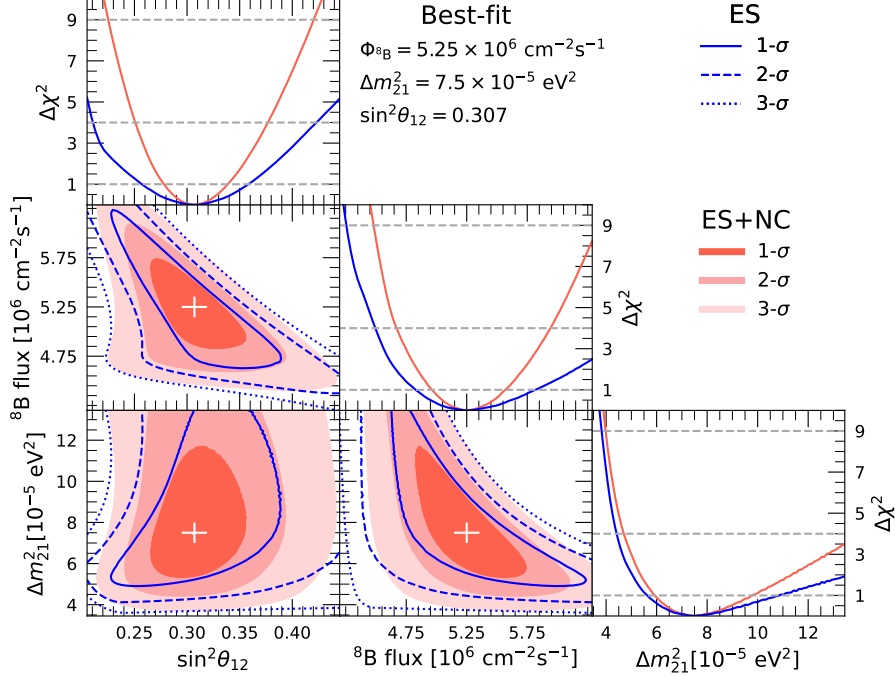


Figure 4: Comparison of the sensitivity on the  ${}^8\text{B}$  solar neutrino flux,  $\sin^2\theta_{12}$  and  $\Delta m_{21}^2$  between the ES measurement (single events outside [3.5, 4.1] MeV) and the ES+NC measurement (all singles events). The  $1\sigma$  (68.3%),  $2\sigma$  (95.5%), and  $3\sigma$  (99.7%) allowed regions are illustrated with blue lines and red shaded regions, respectively. The marginalized projections of these parameters are also shown.

measurements. In addition, a summary of relative uncertainties on the  ${}^8\text{B}$  neutrino flux,  $\sin^2\theta_{12}$  and  $\Delta m_{21}^2$  from the model independent approach is provided in Fig. 7. Several important observations and comments are presented as follows.

- The NC measurement is accomplished based on the single events within [3.5, 4.1] MeV, where the background events are from the singles of ES and CC interactions of  ${}^8\text{B}$  solar neutrinos, together with the natural radioactivity and muon-induced unstable isotopes. The standard MSW effect of solar neutrino oscillations is used in the calculation of ES and CC interactions and the oscillation parameters  $\sin^2\theta_{12}$  and  $\Delta m_{21}^2$  are marginalized. The  ${}^8\text{B}$  solar neutrino flux can be obtained with an accuracy of 10.6% with the NC measurement, which is comparable to the level of 8.6% from the NC measurement of the SNO Phase-III data [13].
- The ES measurement is based on the single events outside the energy range of [3.5, 4.1] MeV, in which the dominant background is from the natural radioactivity and muon-induced unstable isotopes, which are summarized in Fig. 2 and more details can be found in Ref. [27]. In the model independent approach of the ES measurement, the  ${}^8\text{B}$  neutrino flux and two oscillation parameters  $\sin^2\theta_{12}$  and  $\Delta m_{21}^2$  are simultaneously constrained, where the relative uncertainties are derived as  ${}^{+11\%}_{-8\%}$ ,  ${}^{+17\%}_{-17\%}$ , and  ${}^{+45\%}_{-25\%}$ , respectively. The uncertainties of  $\sin^2\theta_{12}$  and  $\Delta m_{21}^2$  are larger than those obtained in Ref. [27] by including the 3.8% SNO flux measurement because of the strong correlation between the flux and oscillation parameters in the model independent

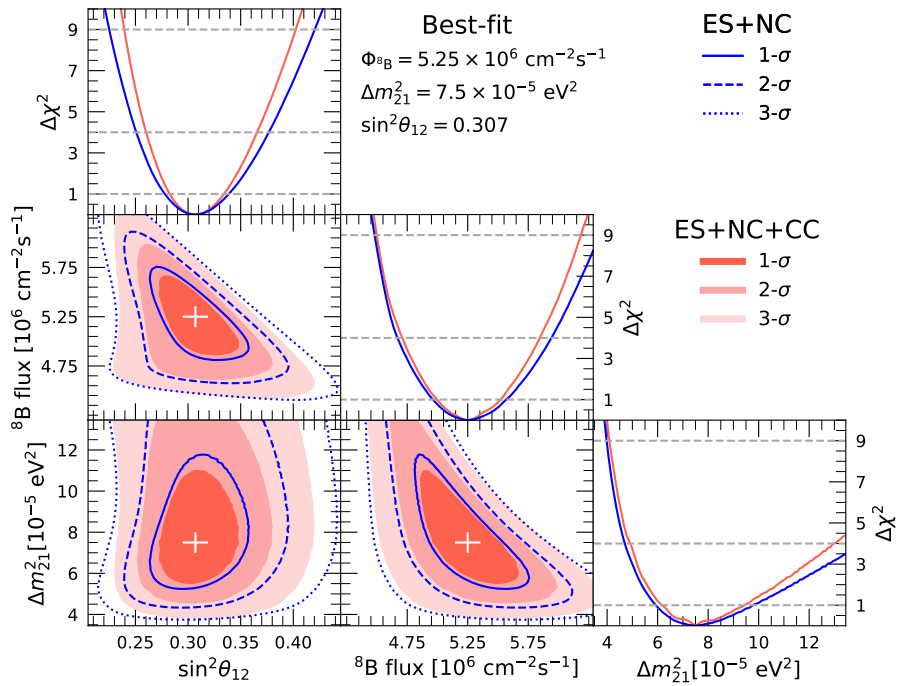


Figure 5: Same as Fig. 4, but for the comparison between the ES+NC measurement (all single events) and the ES+NC+CC measurement (both the single events and correlated events).



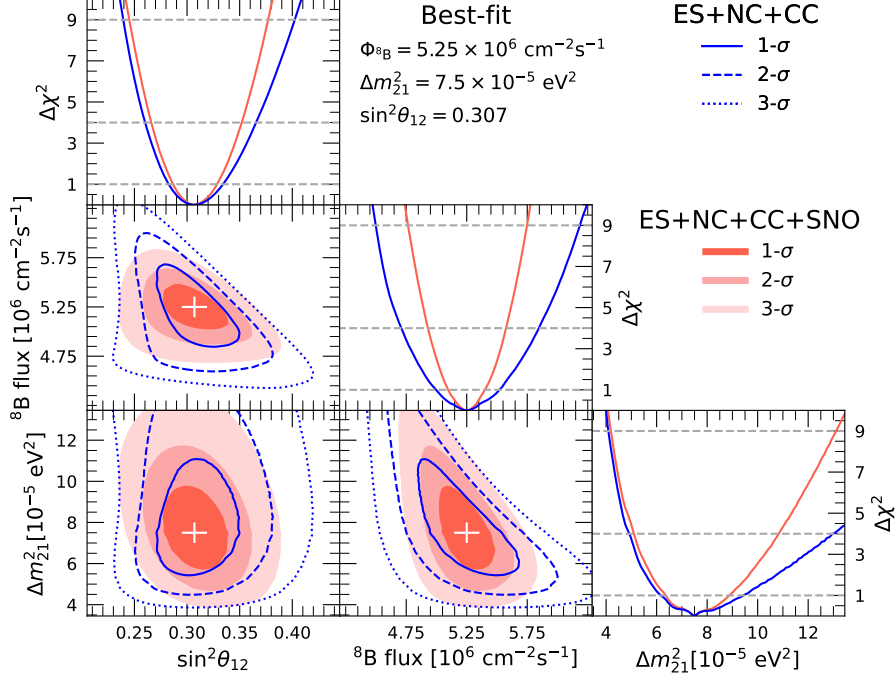


Figure 6: Same as Fig. 4, but for the comparison between the ES+NC+CC measurement of JUNO and the combined JUNO+SNO flux measurement.

approach. When adding the JUNO NC measurement, the accuracy of the  ${}^8\text{B}$  neutrino flux can be improved to the level of  ${}^{+6.0\%}_{-5.5\%}$ , and the uncertainties of  $\sin^2\theta_{12}$  and  $\Delta m_{21}^2$  are also improved to  ${}^{+10\%}_{-10\%}$ , and  ${}^{+31\%}_{-21\%}$  respectively.

- The CC measurement with the correlated events itself cannot simultaneously determine the  ${}^8\text{B}$  neutrino flux and oscillation parameters because of the high visible energy threshold. However, by combining the CC measurement with the single events of the NC+ES channels, it will help to break the correlation and possible degeneracy among different parameters, where the accuracy of the  ${}^8\text{B}$  neutrino flux can be further improved to 5%, while those of  $\sin^2\theta_{12}$  and  $\Delta m_{21}^2$  are  ${}^{+9\%}_{-8\%}$ , and  ${}^{+25\%}_{-17\%}$  respectively.
- The expected 5% precision of the  ${}^8\text{B}$  neutrino flux obtained with all three detection channels is much better than that of 11.6% from the latest prediction of the SSM [24]. This will be the only model independent measurement after SNO [14]. In addition, the uncertainties of  $\sin^2\theta_{12}$  and  $\Delta m_{21}^2$  from the  ${}^8\text{B}$  neutrino measurement at JUNO are at the levels of  ${}^{+9\%}_{-8\%}$  and  ${}^{+25\%}_{-17\%}$  respectively, which is comparable to the levels of  ${}^{+5\%}_{-5\%}$ , and  ${}^{+20\%}_{-11\%}$  from the latest results of combined SK and SNO solar neutrino data [49]. Considering that the reactor antineutrino measurement of JUNO will obtain sub-percent levels of  $\sin^2\theta_{12}$  and  $\Delta m_{21}^2$  in the near future [50], measurements of these parameters from future solar neutrino data would be important to test the CPT symmetry of fundamental physics and resolve the possible discrepancy between the neutrino and antineutrino oscillation channels.
- Within the spirit of the model independent approach, one can also include the 3.8%  ${}^8\text{B}$  neutrino

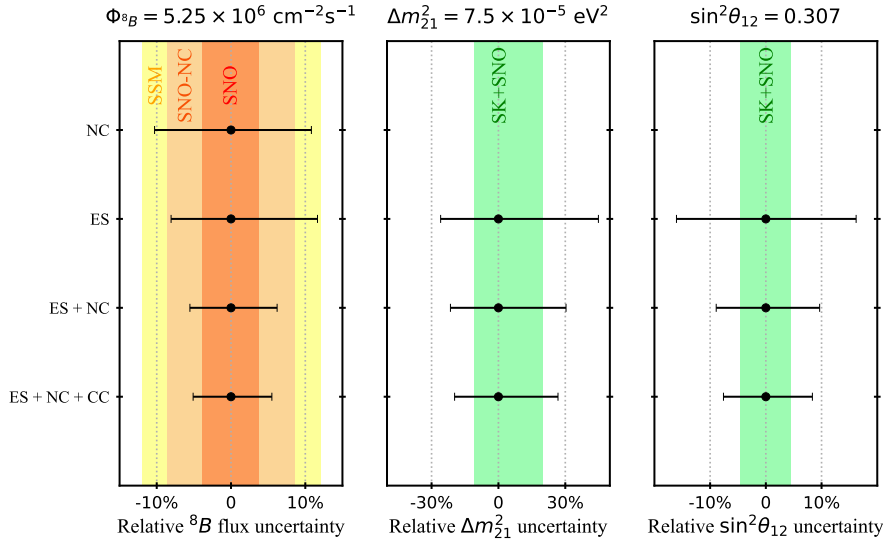


Figure 7: Relative uncertainties of the  ${}^8\text{B}$  solar neutrino flux (left panel),  $\Delta m_{21}^2$  (middle panel), and  $\sin^2 \theta_{12}$  (right panel) from the model independent approach with different combinations of the data sets. The colored bands in the left panel are for the flux uncertainty from the SSM [24], the NC measurement of the SNO Phase-III data (SNO-NC) [13], and the combined SNO CC, NC and ES data (SNO) [14]. The green bands in the middle and right panels are the uncertainty of oscillation parameters from the combined SK and SNO solar neutrino data [49].

flux measurement of SNO as an informative prior, where even better precision levels of the flux and oscillation parameters can be achieved. In this scenario, the expected accuracy of the  ${}^8\text{B}$  solar neutrino flux would reach the level of 3%, and  $\sin^2 \theta_{12}$  and  $\Delta m_{21}^2$  can be constrained with the precision of  ${}^{+7.5\%}_{-6.5\%}$ , and  ${}^{+19\%}_{-15\%}$  respectively. These measurements are comparable to those from the current global solar neutrino data and would provide unique information to the future solar neutrino program.

- In addition to the discussion above, it is also noteworthy that the signal event rates and cross section uncertainties are the most crucial factors that affect the detection potential of the CC and NC detection channels. If the cross section uncertainties are 10%, instead of 1% assumed in this work, the uncertainty of the  ${}^8\text{B}$  neutrino flux will become  ${}^{+6\%}_{-6\%}$ .

## 4 Concluding Remarks

In this work we have studied the physics potential of detecting  ${}^8\text{B}$  solar neutrinos at JUNO, in a model independent manner by using the CC, NC and ES detection channels. Because of its largest-ever mass of  ${}^{13}\text{C}$  and the expected low background level, excellent signal-to-background ratios can be achieved. Thus  ${}^8\text{B}$  solar neutrinos will be observable in all three interaction channels.

We have performed detailed evaluations on the background budgets and signal efficiencies of the CC, NC and ES channels at JUNO. With optimized selection strategies, we find that the expected  ${}^8\text{B}$  neutrino rates of the CC and NC channels are  $\mathcal{O}(100)$  interactions per year after the event selection. It turns out that the signal event rates and cross section uncertainties are the most crucial factors that affect the detection potential of these two channels. We have carried out a combined analysis of both the coincidence and single events from all three detection channels, and shown that the  ${}^8\text{B}$

solar neutrino flux,  $\sin^2 \theta_{12}$ , and  $\Delta m_{21}^2$  can be measured to  $\pm 5\%$ ,  $^{+9\%}_{-8\%}$ , and  $^{+25\%}_{-17\%}$ , respectively. When combined with the SNO flux measurement, the world-best precision of 3% can be achieved for the  $^8\text{B}$  neutrino flux.

In the history of solar neutrino experiments, the NC measurement is unique in decoupling the neutrino flux and oscillation parameters, and enabling the model independent approach of the solar neutrino program. SNO has been the only solar neutrino experiment in the past to achieve this goal, and JUNO would be the second one. In this work, we have demonstrated the feasibility of  $^8\text{B}$  solar neutrino measurements at JUNO, which, together with other large solar neutrino detectors [26,28,29], will open a new era of solar neutrino observation and may uncover new directions on neutrino physics and solar physics.

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## Appendix

In this appendix, we present technical details of the sensitivity study used in this work. The Poisson-type least squares function  $\chi^2$  is defined as follows,

$$\begin{aligned}
\chi^2 &= \chi_{\text{stat}}^2(\text{CC}) + \chi_{\text{stat}}^2(\text{NC}) + \chi_{\text{stat}}^2(\text{ES}) + \chi_{\text{sys}}^2 \\
&= 2 \times \sum_{i=1}^{10} \left[ \sum_{j_{\text{C}}=1}^{90} \left( N_{\text{pre}}^{\text{C}}(\theta_z^i, E_{\text{vis}}^{j_{\text{C}}}) - N_{\text{obs}}^{\text{C}}(\theta_z^i, E_{\text{vis}}^{j_{\text{C}}}) + N_{\text{obs}}^{\text{C}}(\theta_z^i, E_{\text{vis}}^{j_{\text{C}}}) \cdot \log \frac{N_{\text{obs}}^{\text{C}}(\theta_z^i, E_{\text{vis}}^{j_{\text{C}}})}{N_{\text{pre}}^{\text{C}}(\theta_z^i, E_{\text{vis}}^{j_{\text{C}}})} \right) \right. \\
&\quad \left. + \sum_{j_{\text{S}}=1}^{140} \left( N_{\text{pre}}^{\text{S}}(\theta_z^i, E_{\text{vis}}^{j_{\text{S}}}) - N_{\text{obs}}^{\text{S}}(\theta_z^i, E_{\text{vis}}^{j_{\text{S}}}) + N_{\text{obs}}^{\text{S}}(\theta_z^i, E_{\text{vis}}^{j_{\text{S}}}) \cdot \log \frac{N_{\text{obs}}^{\text{S}}(\theta_z^i, E_{\text{vis}}^{j_{\text{S}}})}{N_{\text{pre}}^{\text{S}}(\theta_z^i, E_{\text{vis}}^{j_{\text{S}}})} \right) \right] \\
&\quad + \left( \frac{\varepsilon_X^{\text{ES}}}{\sigma_X^{\text{ES}}} \right)^2 + \left( \frac{\varepsilon_X^{\text{NC}}}{\sigma_X^{\text{NC}}} \right)^2 + \left( \frac{\varepsilon_X^{\text{CC}}}{\sigma_X^{\text{CC}}} \right)^2 + \sum_{k_{\text{C}}} \left( \frac{\varepsilon_{\text{B}}^{k_{\text{C}}}}{\sigma_{\text{B}}^{k_{\text{C}}}} \right)^2 + \sum_{k_{\text{S}}} \left( \frac{\varepsilon_{\text{B}}^{k_{\text{S}}}}{\sigma_{\text{B}}^{k_{\text{S}}}} \right)^2 \\
&\quad + \left( \frac{\varepsilon_{\text{eff}}^{\text{C}}}{\sigma_{\text{eff}}^{\text{C}}} \right)^2 + \left( \frac{\varepsilon_{\text{eff}}^{\text{S}}}{\sigma_{\text{eff}}^{\text{S}}} \right)^2 + (\varepsilon_{\text{s}})^2, \tag{4}
\end{aligned}$$

where  $\chi_{\text{stat}}^2(\text{CC})$ ,  $\chi_{\text{stat}}^2(\text{NC})$ , and  $\chi_{\text{stat}}^2(\text{ES})$  are the statistical parts of the CC, NC and ES channels in the  $\chi^2$  function, respectively, which are shown in the second row and third row Eq. (4), with  $j_C$  from 1 to 90 for the CC measurement,  $j_S$  from 16 to 21 for the NC measurement, and  $j_S$  from 1 to 15 and from 22 to 140 for the ES measurement.  $N_{\text{pre}}^C(\theta_z^i, E_{\text{vis}}^{j_C})$  and  $N_{\text{pre}}^S(\theta_z^i, E_{\text{vis}}^{j_S})$  are the predicted numbers of the signal and background events in the  $i$ -th zenith angle bin, and the  $j_C$ -th or  $j_S$ -th visible energy bin of the correlated and single event samples, respectively, which can be calculated as

$$N_{\text{pre}}^C(\theta_z^i, E_{\text{vis}}^{j_C}) = (1 + \varepsilon_{\text{eff}}^C) S_{\text{pre}}^{\text{CC}}(\theta_z^i, E_{\text{vis}}^{j_C}) + \sum_{k_C} (1 + \varepsilon_B^{k_C}) B_{\text{pre}}^{k_C}(\theta_z^i, E_{\text{vis}}^{j_C}), \quad (5)$$

$$N_{\text{pre}}^S(\theta_z^i, E_{\text{vis}}^{j_S}) = (1 + \varepsilon_{\text{eff}}^S) [S_{\text{pre}}^{\text{NC}}(\theta_z^i, E_{\text{vis}}^{j_C}) + S_{\text{pre}}^{\text{ES}}(\theta_z^i, E_{\text{vis}}^{j_C})] + \sum_{k_S} (1 + \varepsilon_B^{k_S}) B_{\text{pre}}^{k_S}(\theta_z^i, E_{\text{vis}}^{j_S}), \quad (6)$$

where  $S_{\text{pre}}^{\text{CC}}$ ,  $S_{\text{pre}}^{\text{NC}}$ , and  $S_{\text{pre}}^{\text{ES}}$  are the two dimensional spectra of the  $^8\text{B}$  neutrino signals in the CC, NC, and ES channels with the fiducial volume and signal efficiencies taken into account, whose projection in the axis of the visible energy can be found in Fig. 1 and Fig. 2 respectively. Meanwhile,  $B_{\text{pre}}^{k_C}$  and  $B_{\text{pre}}^{k_S}$  are components of the respective backgrounds in the correlated and single event samples, respectively, whose visible energy spectra are also illustrated in Fig. 1 and Fig. 2.

The calculations of the  $^8\text{B}$  neutrino signal spectra in the CC, NC, and ES channels are given by

$$S_{\text{pre}}^{\text{CC}}(\theta_z^i, E_{\text{vis}}^{j_C}) = \Phi_{^8\text{B}} \times \left[ (1 + \varepsilon_s \delta_{E_\nu}^S) S_{^8\text{B}}(E_\nu) \otimes P_{ee}(\theta_{12}, \Delta m_{21}^2, E_\nu, \theta_z^i) \otimes (1 + \varepsilon_X^{\text{CC}}) \sigma_{\text{CC}}(E_\nu, E_e) \otimes M(E_e, E_{\text{vis}}^{j_C}) \right], \quad (7)$$

$$S_{\text{pre}}^{\text{NC}}(\theta_z^i, E_{\text{vis}}^{j_C}) = \Phi_{^8\text{B}} \times \left[ (1 + \varepsilon_s \delta_{E_\nu}^S) S_{^8\text{B}}(E_\nu) \otimes (1 + \varepsilon_X^{\text{NC}}) \sigma_{\text{NC}}(E_\nu, E_\gamma) \otimes M(E_\gamma, E_{\text{vis}}^{j_S}) \right], \quad (8)$$

$$S_{\text{pre}}^{\text{ES}}(\theta_z^i, E_{\text{vis}}^{j_C}) = \Phi_{^8\text{B}} \times \left\{ (1 + \varepsilon_s \delta_{E_\nu}^S) S_{^8\text{B}}(E_\nu) \otimes \Sigma_\alpha \left[ P_{e\alpha}(\theta_{12}, \Delta m_{21}^2, E_\nu, \theta_z^i) \otimes (1 + \varepsilon_X^{\text{ES}}) \sigma_{\text{ES}}^{\nu\alpha}(E_\nu, E_e) \right] \otimes M(E_e, E_{\text{vis}}^{j_C}) \right\}, \quad (9)$$

which are the convolution of the  $^8\text{B}$  neutrino spectrum  $\Phi_{^8\text{B}}$ , the neutrino oscillation probability  $P_{e\alpha}$  with  $\alpha = e$  or  $\mu + \tau$ , the interaction cross section (i.e.,  $\sigma_{\text{CC}}$ ,  $\sigma_{\text{NC}}$ , and  $\sigma_{\text{ES}}^{\nu\alpha}$ ) and the detector response matrix  $M$ .  $P_{e\alpha}$  with both the standard MSW favor conversion and the terrestrial matter effect as the function of neutrino energy  $E_\nu$  and the zenith angle  $\theta_z$  is calculated in the three neutrino oscillation framework. The detector response matrix  $M$  includes the effects of both the energy resolution and energy non-linearity, which we directly follow the description in Ref. [27]. Finally, the observed spectra  $N_{\text{obs}}^C(\theta_z^i, E_{\text{vis}}^{j_C})$  and  $N_{\text{obs}}^S(\theta_z^i, E_{\text{vis}}^{j_S})$  can be obtained from the corresponding predicted spectra by taking the true values of the  $^8\text{B}$  neutrino flux  $\Phi_{^8\text{B}}$  and oscillation parameters  $\sin^2 \theta_{12}$  and  $\Delta m_{21}^2$ , as well as the vanishing values of nuisance parameters. Note that, as discussed in Sec. 2, the  $^8\text{B}$  solar neutrino interactions may also contribute to some of the background components  $B_{\text{pre}}^{k_C}$  (e.g., the green line in Fig. 1, the purple line in Fig. 2), in this case, all possible correlation between the respective signal and background components will be taken into account in the  $\chi^2$  function.

The nuisance parameters  $\varepsilon_X^m$  ( $m=\text{CC}, \text{NC}, \text{ES}$ ),  $\varepsilon_B^k$ ,  $\varepsilon_{\text{eff}}^n$  ( $n=\text{C}, \text{S}$ ) correspond to the systematical uncertainties from the cross section, the backgrounds, and the detection efficiency that discussed in the manuscript.  $\delta_{E_\nu}^S$  is the  $1\sigma$  fractional variation of the  $^8\text{B}$  neutrino energy spectrum [30,31], and  $\varepsilon_s$

Table 3: Description the nuisance parameters and the associated uncertainties in the  $\chi^2$  function.

Sys.	Description for the pull term	Uncertainty
$\varepsilon_X^{\text{ES}}, \varepsilon_X^{\text{NC}}, \varepsilon_X^{\text{CC}}$	Cross section for the CC, NC, ES channels	1%, 1%, 0.5%
$\varepsilon_{\text{eff}}^{\text{C}}, \varepsilon_{\text{eff}}^{\text{S}}$	Detector efficiency	2% [27]
$\varepsilon_{\text{B}}^{k_{\text{C}}}, \varepsilon_{\text{B}}^{k_{\text{S}}}$	Rate for the $k_{\text{C}}$ -th or $k_{\text{S}}$ -th background component	1%-10%, same as Ref. [27]
$\varepsilon_{\text{s}}$	$^8\text{B}$ neutrino energy spectrum	Refs. [30,31]

denotes the magnitude of the  $^8\text{B}$  neutrino spectral uncertainty. Description the nuisance parameters and the associated uncertainties in the  $\chi^2$  function is summarized in Table 3. In the sensitivity study of obtaining the results of Figs. 4-7, we first choose the data sets from one of the CC, NC and ES measurements or their combinations, and turn on the relevant nuisance parameters of the systematical uncertainties to formulate the respective  $\chi^2$  function. When the allowed regions of each analysis of are calculated, the displayed parameters (one or two of the fitting parameters  $\Phi_{\text{sB}}, \theta_{12}$ , and  $\Delta m_{21}^2$ ) will be fitted and all the other physical and nuisance will be marginalized. The critical values of  $\Delta\chi^2$  for different confidence levels are taken from Ref. [17].

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