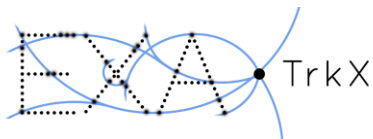
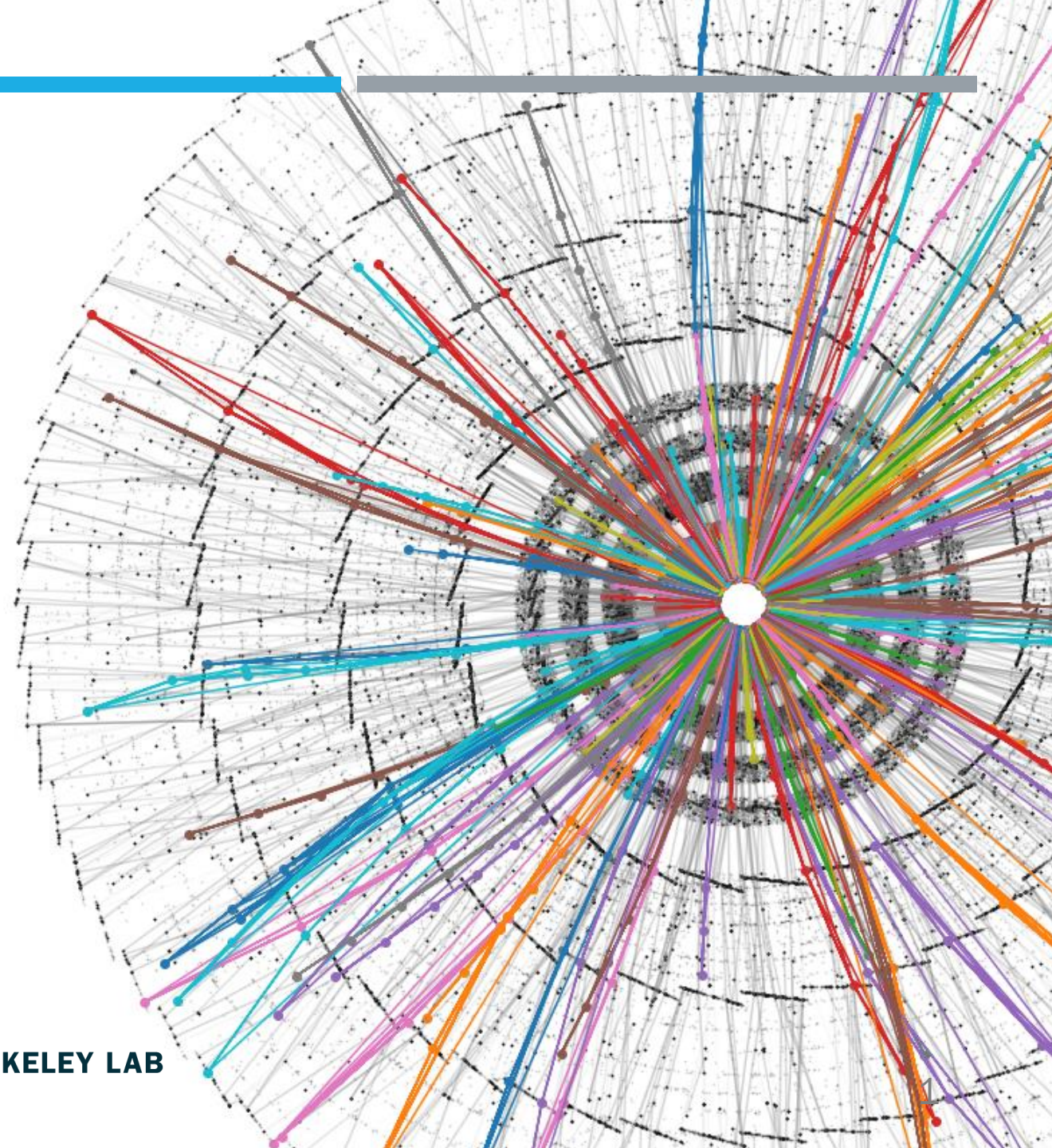


# GRAPH NEURAL NETWORK TRACK RECONSTRUCTION FOR ATLAS ITK

5<sup>TH</sup> IML WORKSHOP, CERN, 13 MAY 2022

DANIEL MURNANE

ON BEHALF OF THE ATLAS COLLABORATION



BERKELEY LAB



# PREVIOUS WORK & BACKGROUND

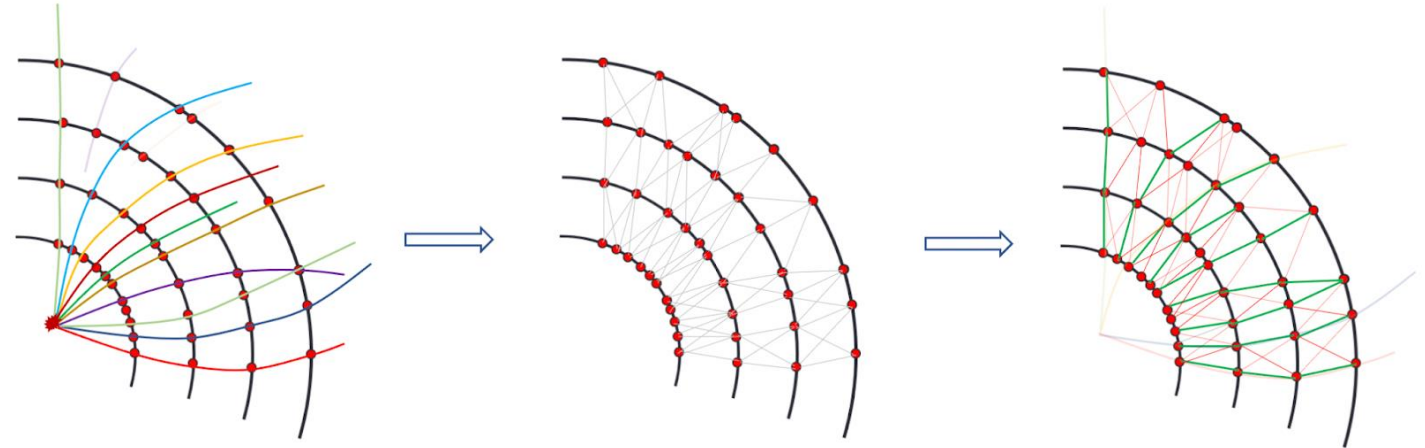


# GRAPH REPRESENTATION OF AN EVENT

- The goal of track reconstruction:

Given set of hits in a detector from particles, assign label(s) to each hit.

Perfect classification: All hits from a particle (*and only those hits*) share the same label



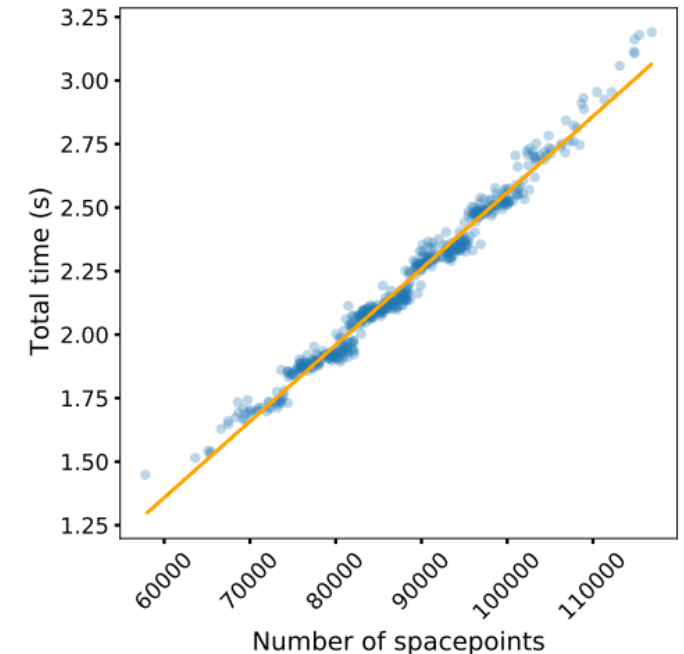
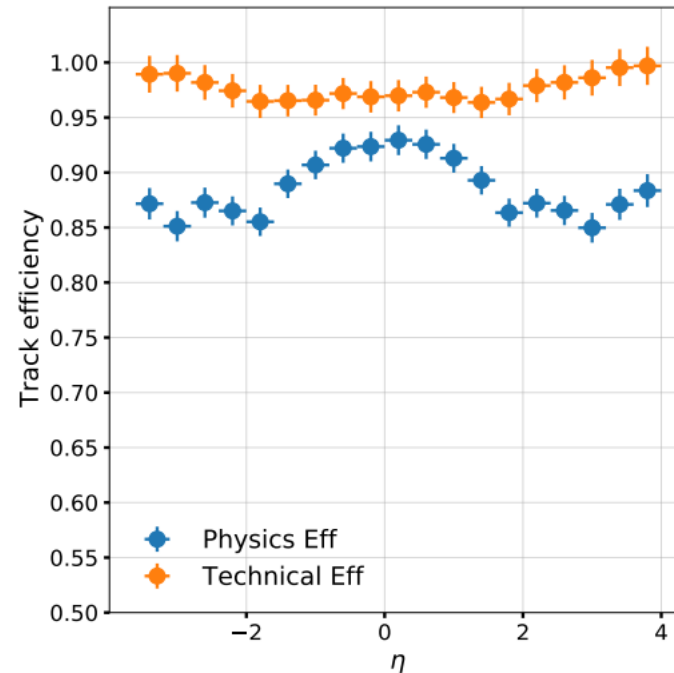
- What does it mean to represent an event with a graph?
  - Treat each hit as a **node**
  - A node can have features (e.g. position, charge deposit, etc.)
  - Nodes can be connected by **edges**, possibly in a meaningful way
- Goal: Use ML and/or graph techniques to segment or cluster the nodes to match particle tracks
- **Proof-of-concept:** TrackML community challenge dataset with simplified simulation

# TRACKML PERFORMANCE

Two groups worked on the results in this presentation, and both first tested methods on TrackML, based on the GNN-based reconstruction introduced in [arxiv:2003.11603](https://arxiv.org/abs/2003.11603)

On this dataset, Exatrkrx showed graph-based approach:

- Is competitive with highly-tuned hand-engineered solutions
- Has good scaling properties
- Is fast (~0.7s per event)
- Core algorithm is geometry-independent
- Is robust to noise and miscalibration



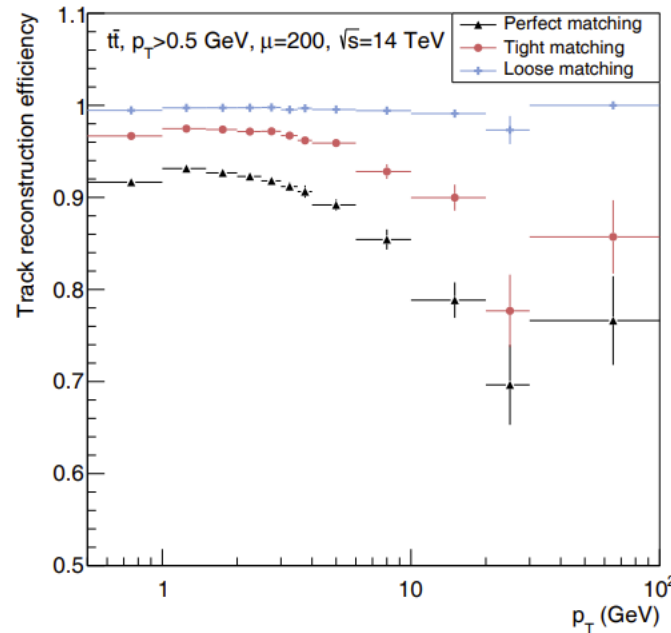
ExaTrkrx collaboration, [arXiv:2103.06995](https://arxiv.org/abs/2103.06995)

# TRACKML PERFORMANCE

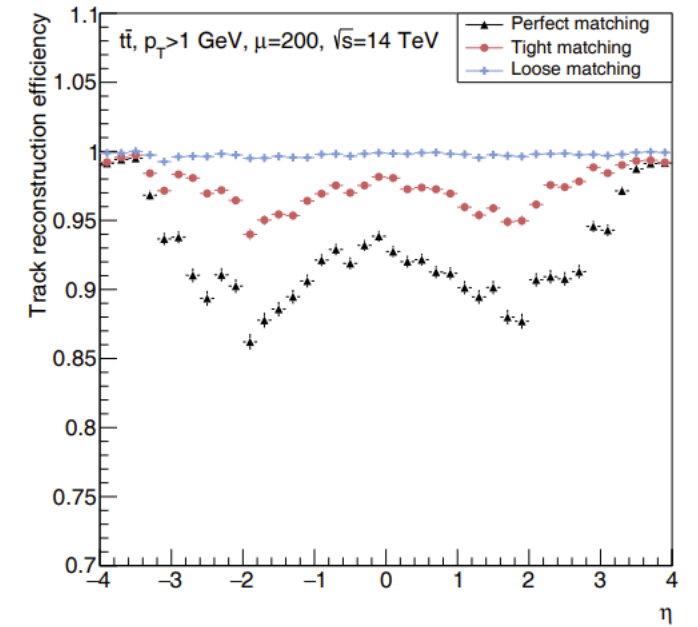
Two groups worked on the results in this presentation, and both first tested methods on TrackML, based on the GNN-based reconstruction introduced in [arxiv:2003.11603](https://arxiv.org/abs/2003.11603)

On this dataset, L2IT showed graph-based approach:

- Able to even get high efficiency with a perfect matching scheme
- Consistent performance (with loose matching, i.e. standard ATLAS matching) across  $\eta$  and  $p_T$



(a) Track reconstruction efficiency vs.  $p_T$



(b) Track reconstruction efficiency vs.  $\eta$

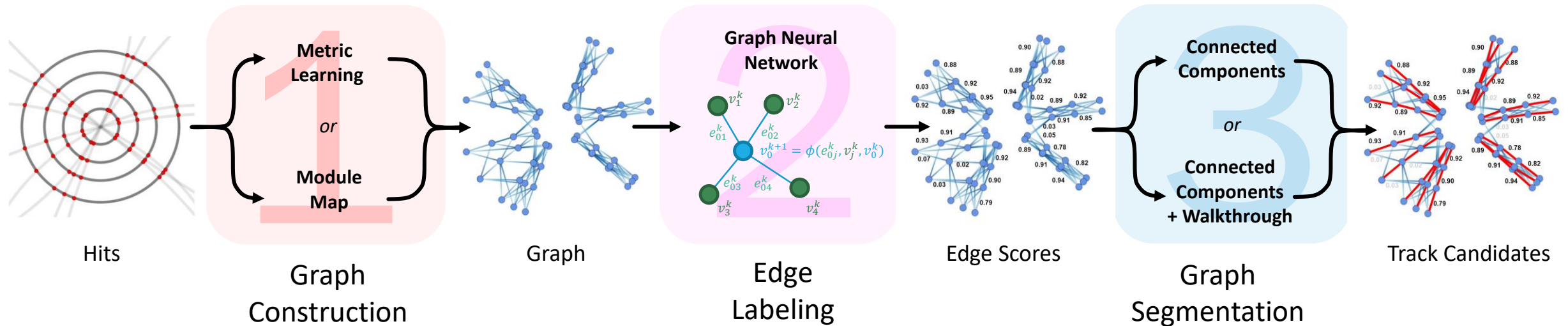
L2IT, [arxiv:2103.00916](https://arxiv.org/abs/2103.00916)

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# GRAPH-BASED TRACK RECONSTRUCTION PIPELINE FOR ITK GEOMETRY

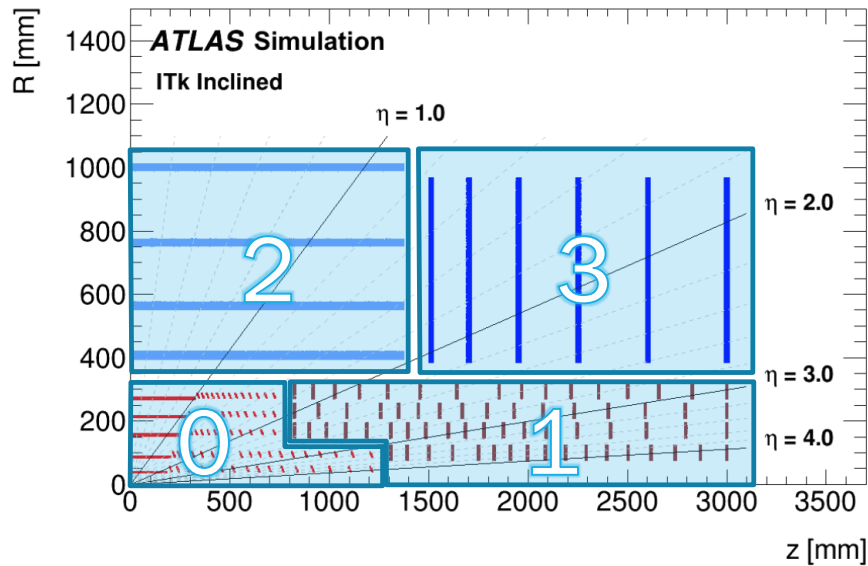
# PIPELINE OVERVIEW

- Current pipeline of the L2IT-Exatrnx collaborative effort
- Each stage offers multiple independent choices, depending on hardware and time constraints



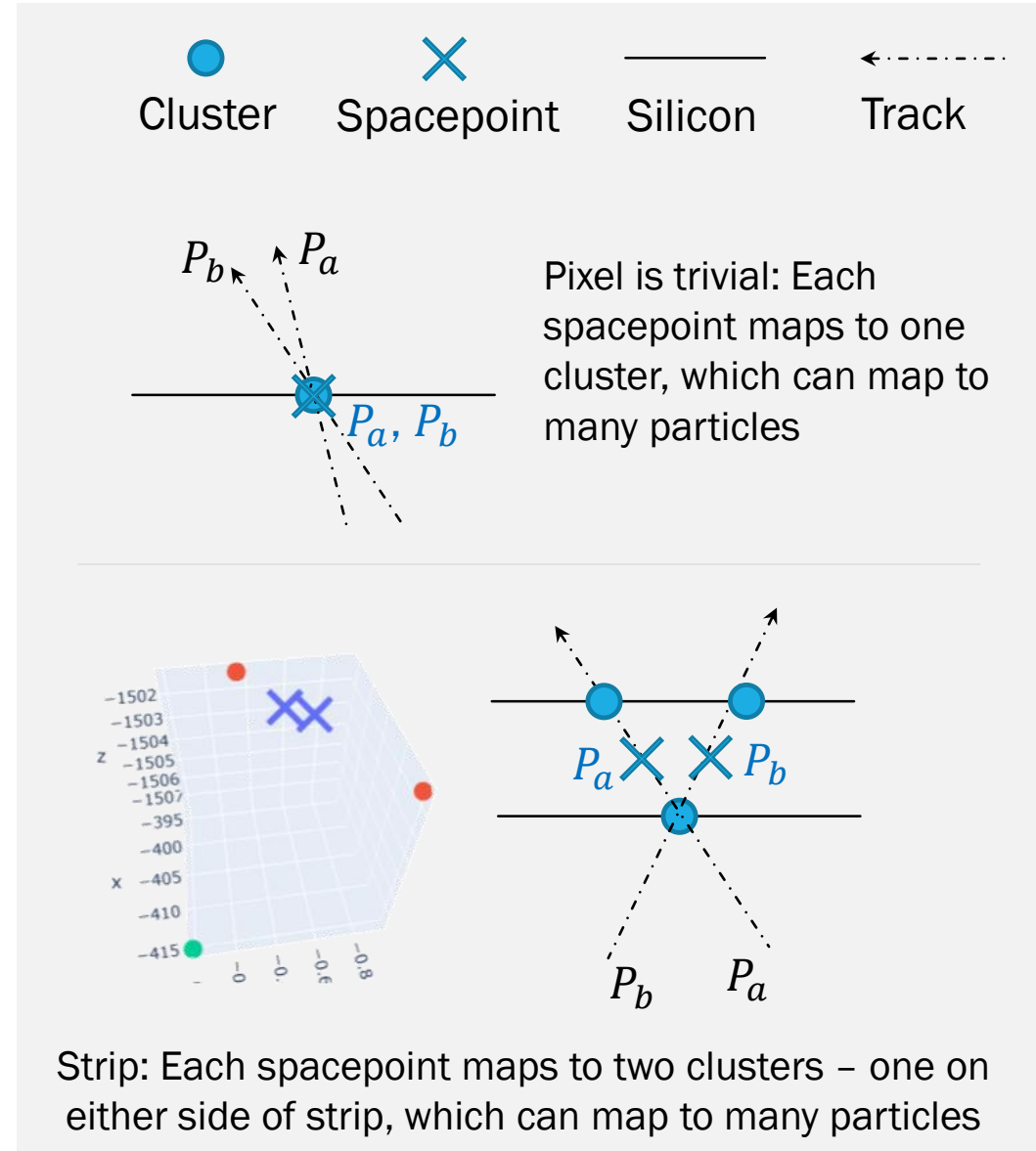
# ITK GEOMETRY

- [Generation script](#)\* using Athena,  $t\bar{t}$  at  $\mu = \langle 200 \rangle$ : with statistics dominated by soft interactions
- ITk consists of barrel and endcap, each with pixels and strips:



- 0: Pixel barrel
- 1: Pixel endcap
- 2: Strip barrel
- 3: Strip endcap

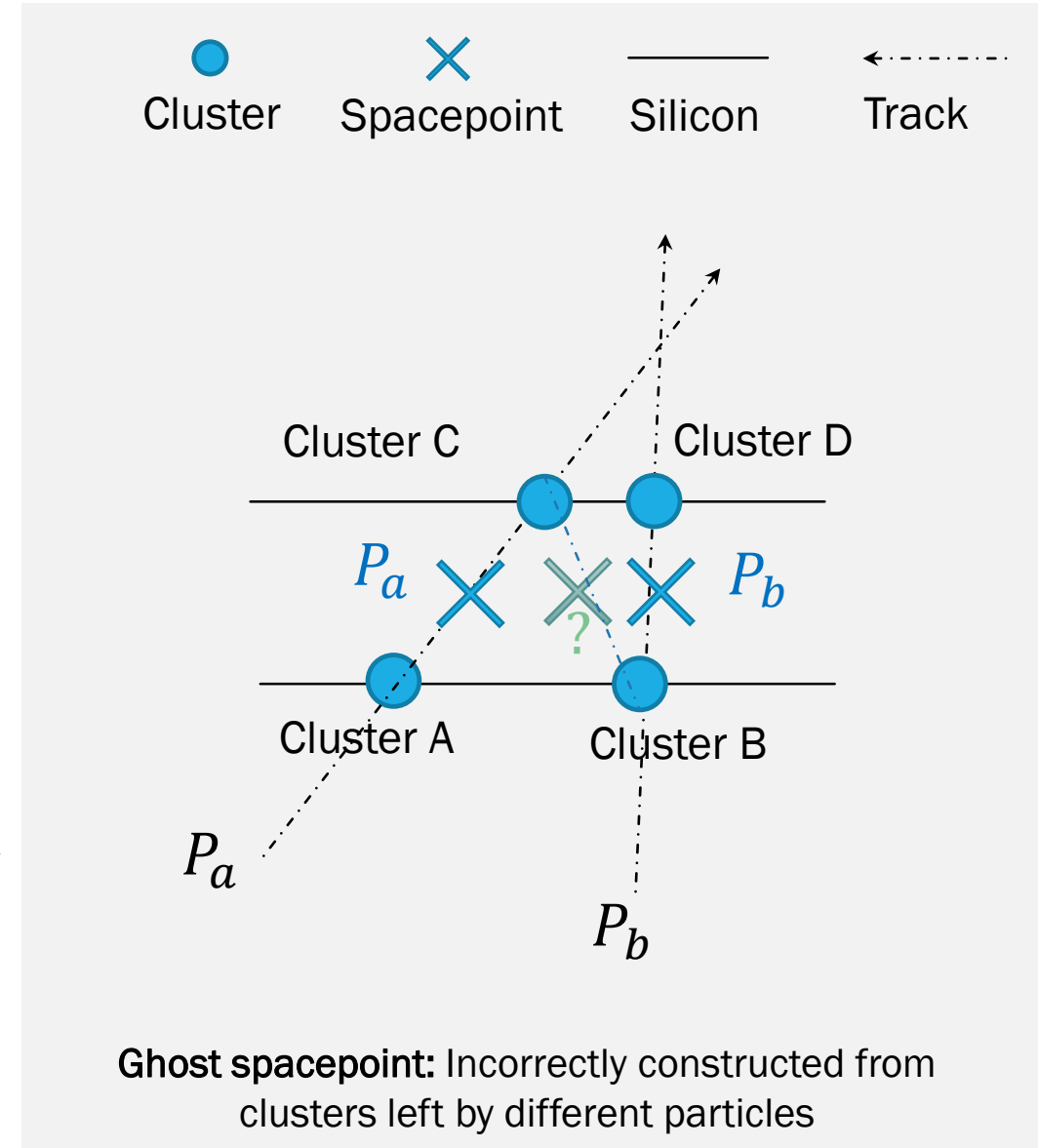
- Spacepoints are defined depending on strip or pixel:  $\longrightarrow$





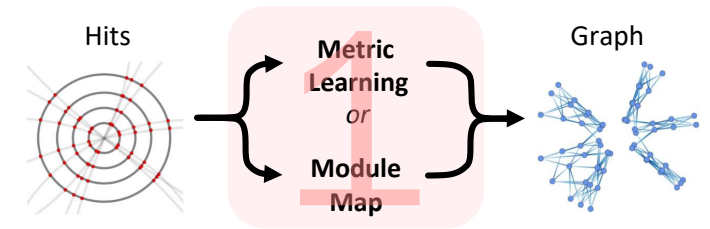
# ITK GEOMETRY

- Fiducial particles are **charged**, with  $\eta \in [-4, 4]$ , and production radius  $< 260\text{mm}$
- Each event has  $O(15\text{k})$  fiducial particles,  $O(300\text{k})$  spacepoints
- We define **background** spacepoints as including:
  - Those left by non-fiducial or intermediate particles (i.e. any particle barcodes not retained during simulation), or
  - Those mis-constructed in the strip regions as **ghost** spacepoints  $\longrightarrow$
- An event has  $O(170\text{k})$  background spacepoints

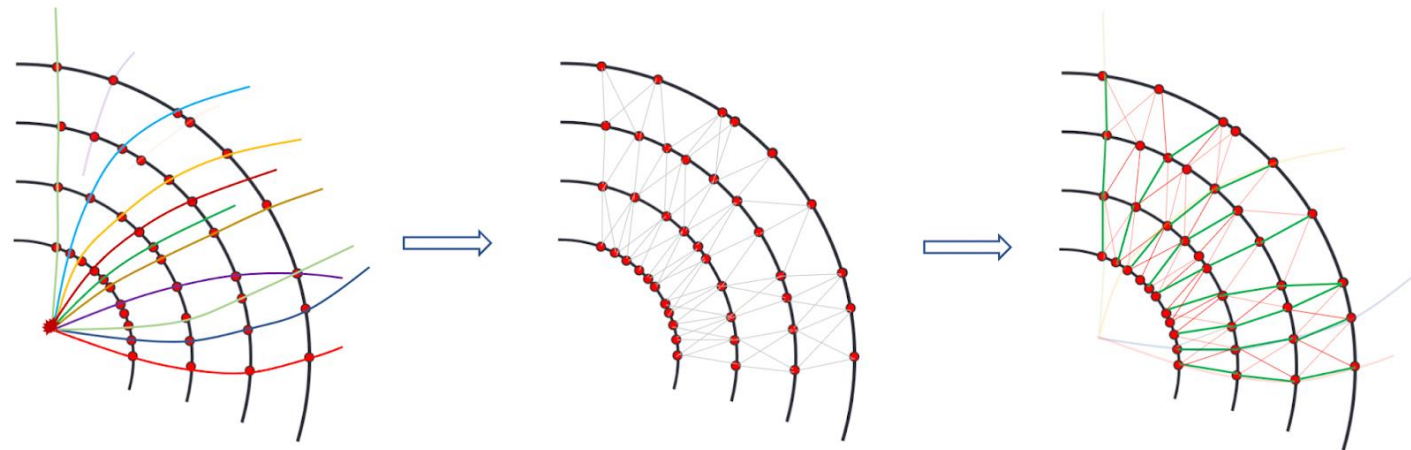


# GRAPH CONSTRUCTION

- What is the goal of graph construction?
  - To apply a GNN, need a graph structure from spacepoint data
  - Depending on our target particles, an edge can have several types of truth
- First need to define target graph to construct

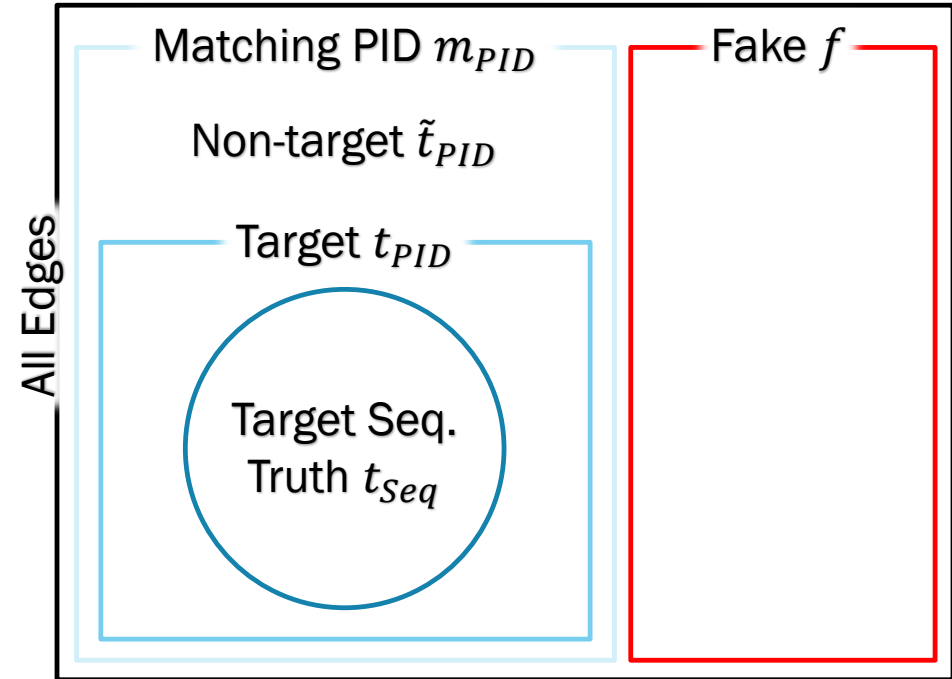
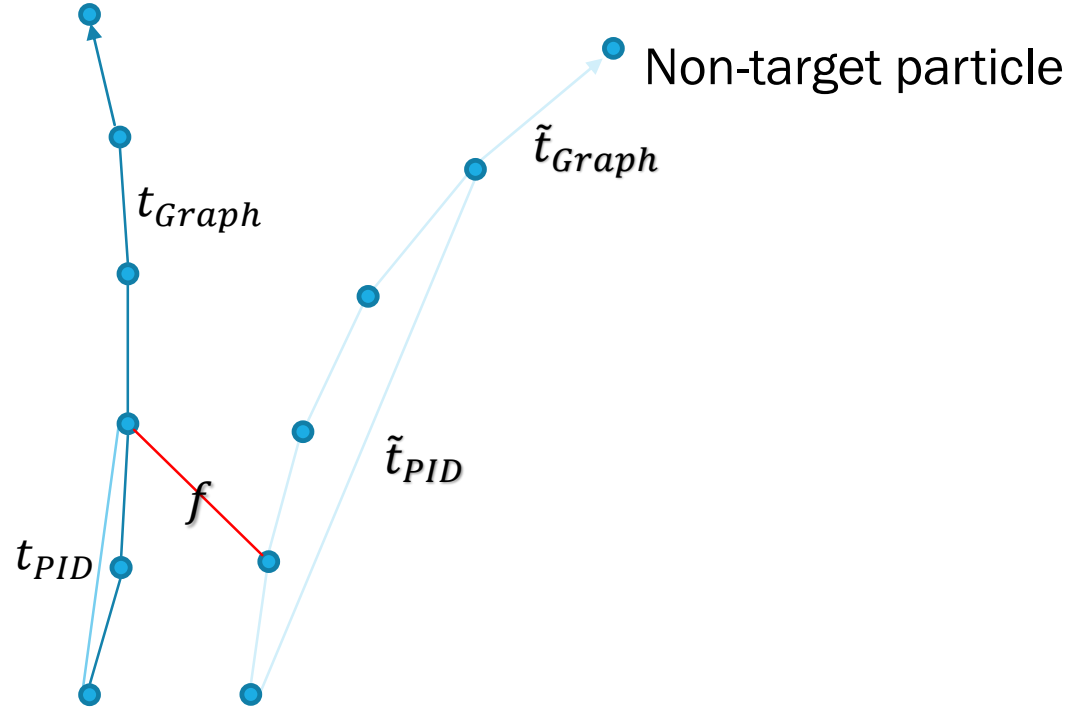


**Graph Construction**



# EDGE TRUTH DEFINITIONS

Target particle



**Target particle:**

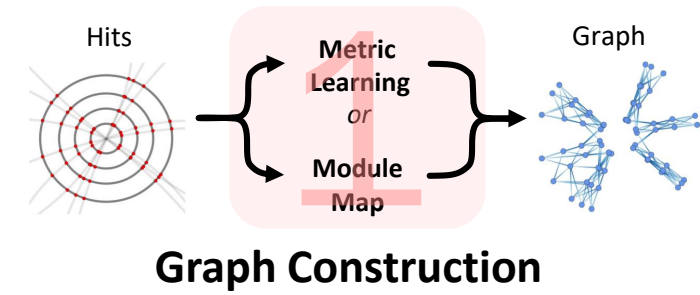
- $p_T > 1\text{GeV}$ , and
- At least 3 SP on different modules, and
- Primary

Therefore, define efficiency and purity (note that we mask out sequential non-target) for a graph with edges  $e$

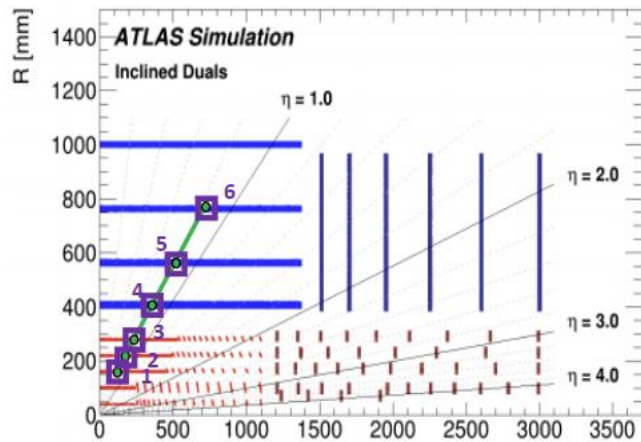
$$\text{Efficiency} = \frac{|e \cap t_{seq}|}{|t_{seq}|}, \quad \text{Purity} = \frac{|e \cap t_{seq} - \tilde{t}_{seq}|}{|e - \tilde{t}_{seq}|}$$

# MODULE MAP

- **The idea:** Build a map of detector modules, where a mapping between two modules means a particle could sequentially pass from one to the other
- Can make this more powerful by mapping triplets, where a connection *from* module A to module B to module C means that at least one true track has passed sequentially through A to B to C
- **Step 1:** Build all combinations of sequential triplets for an event, register an A-to-B-to-C entry if a triplet passes through
- **Step 2:** For each A-to-B-to-C entry, also register/update the max and min values of a set of geometric observables. Apply these cuts when building the graph in inference. O(90k) events used to train module map.



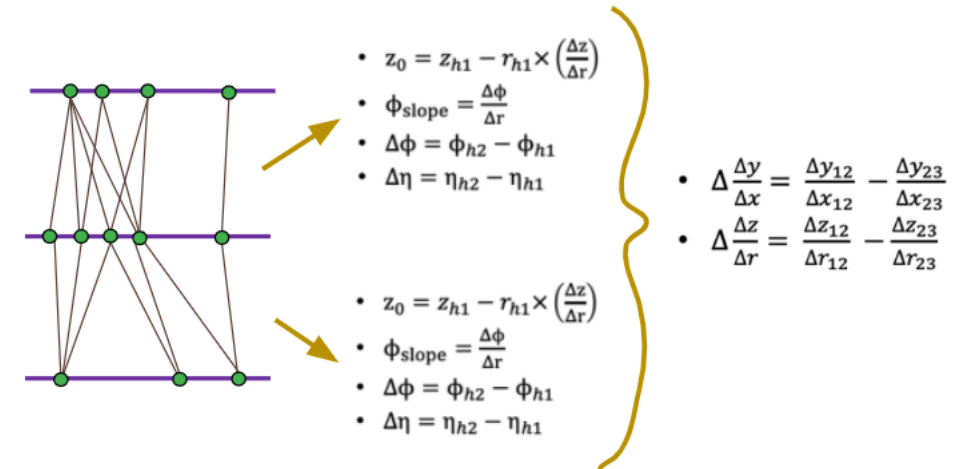
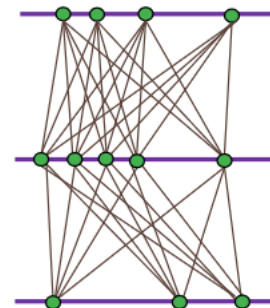
## Step 1



## Step 2

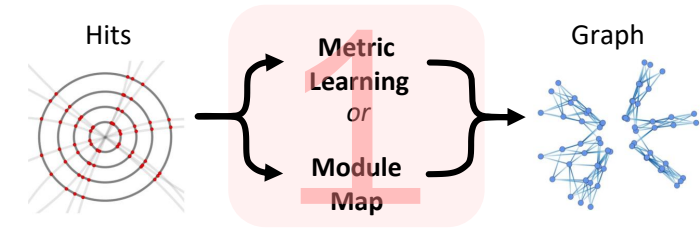
Connections added:

- 1 -> 2 -> 3
- 2 -> 3 -> 4
- 3 -> 4 -> 5
- 4 -> 5 -> 6



# METRIC LEARNING

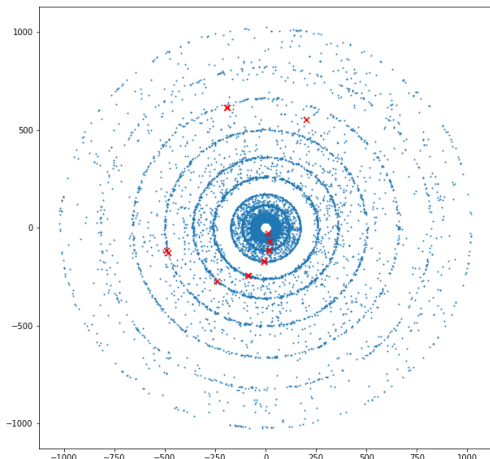
- **The idea:** Teach a multilayer perceptron (MLP) to embed spacepoint features (spatial and cell information)
- In this embedded space, all doublets in a given particle track are **trained to be near each other (Euclidean distance  $x$ )**, using a contrastive loss function  $L$ :
- A hit in a track is trained to be **closest** to its preceding and succeeding track hits



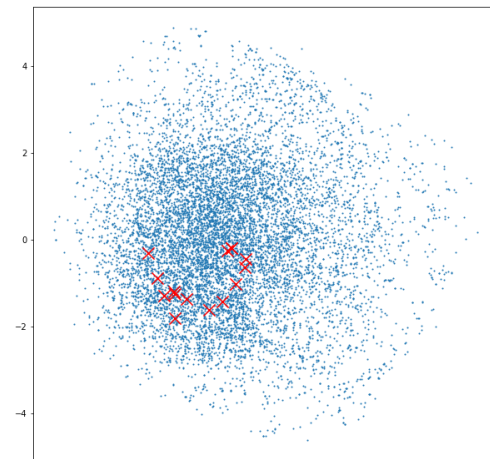
## Graph Construction

$$L = \begin{cases} x, & \text{if true pair} \\ \max(0, r - x), & \text{if false pair} \end{cases}$$

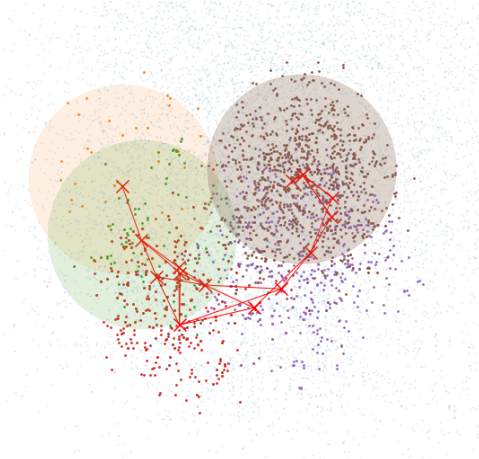
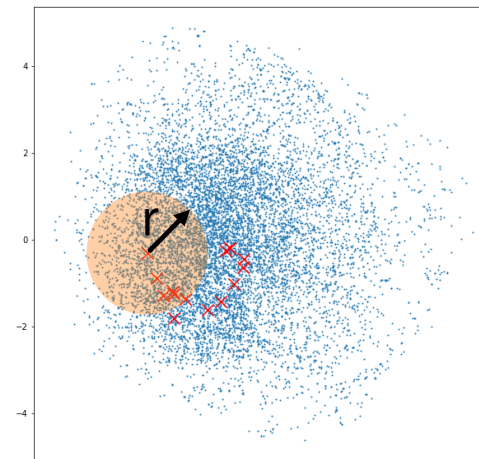
Embed into learned latent space



Connect all spacepoints within radius  $r$

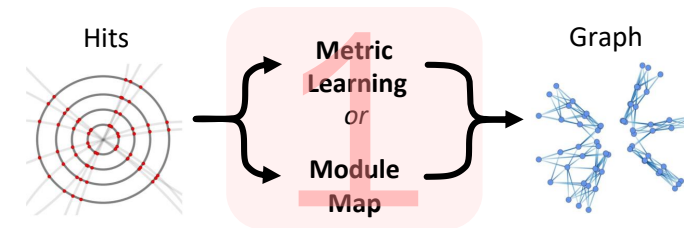


All spacepoint pairs joined into graph



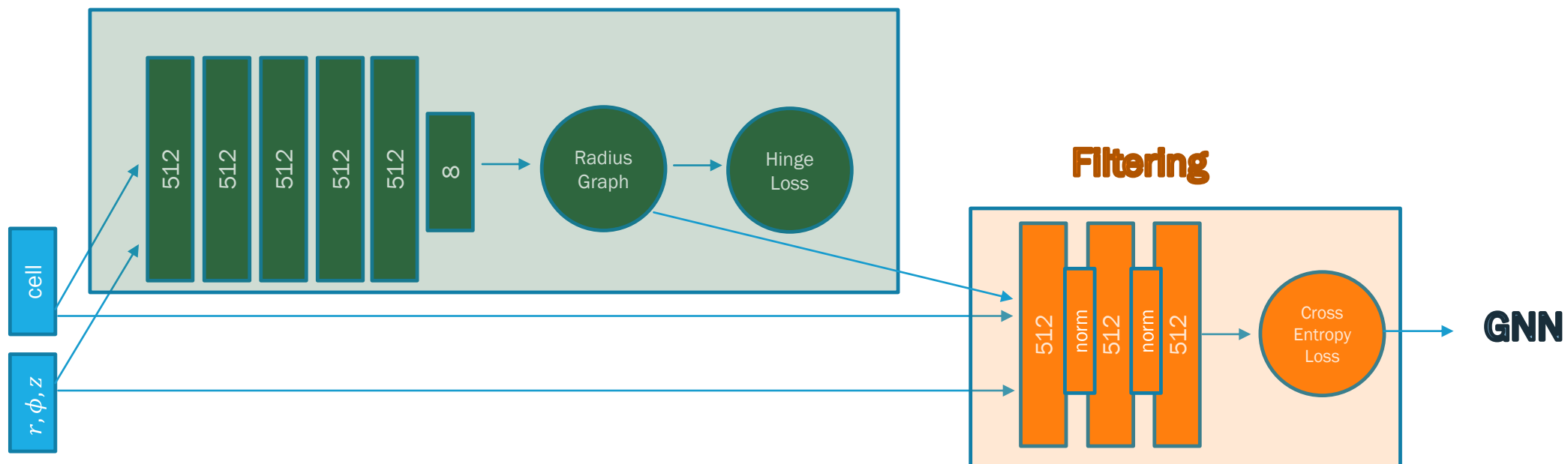
# METRIC LEARNING - FILTERING

- Output graph of metric learning is impure: 0.2%
- Can pass edges through a simple MLP filter to filter out the easy fakes
- Improves purity to 2%, so graph can be trained entirely on a single GPU

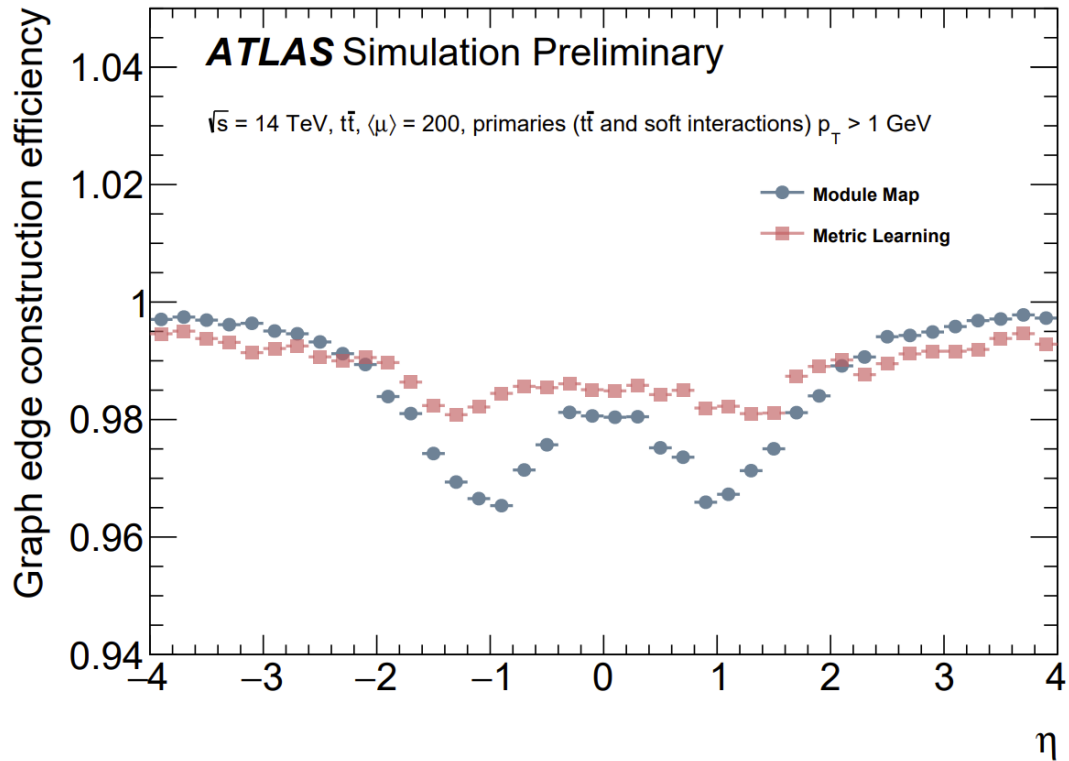


Graph Construction

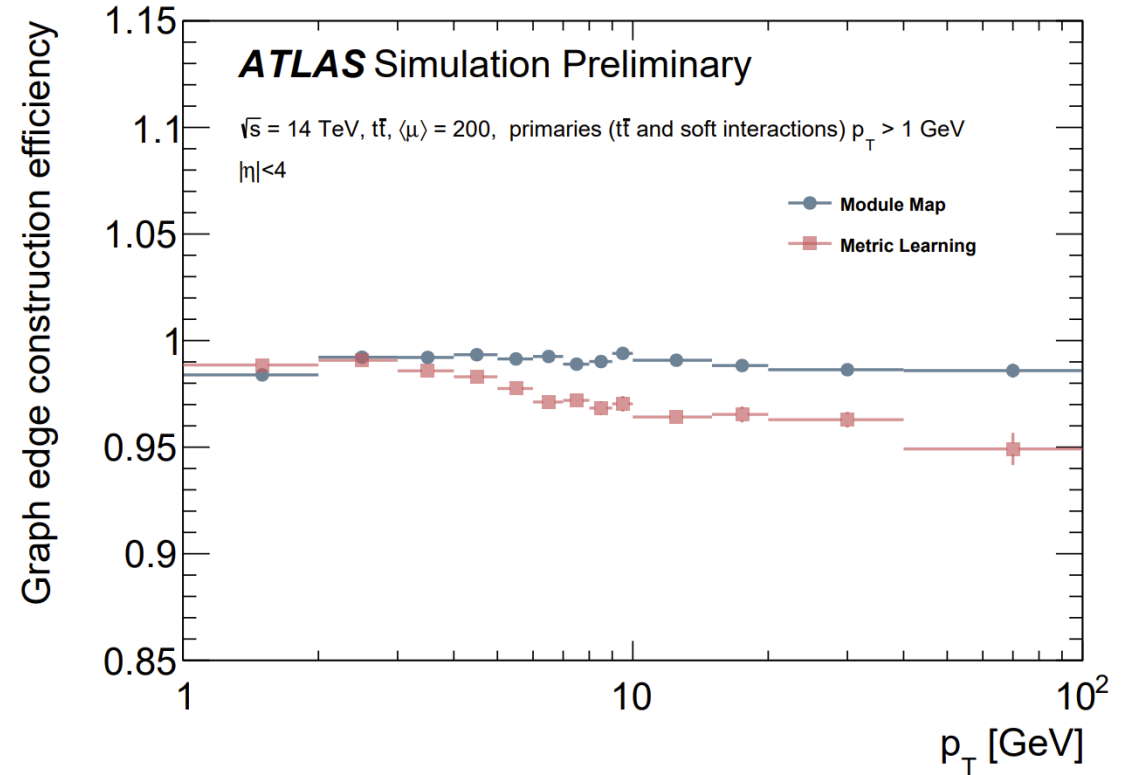
## Metric Learning



# GRAPH CONSTRUCTION RESULTS



- Drop in efficiency at low  $\eta$  due to poor barrel strip resolution (can discuss further!)



- Drop in efficiency at high  $p_T$  due to low training statistics

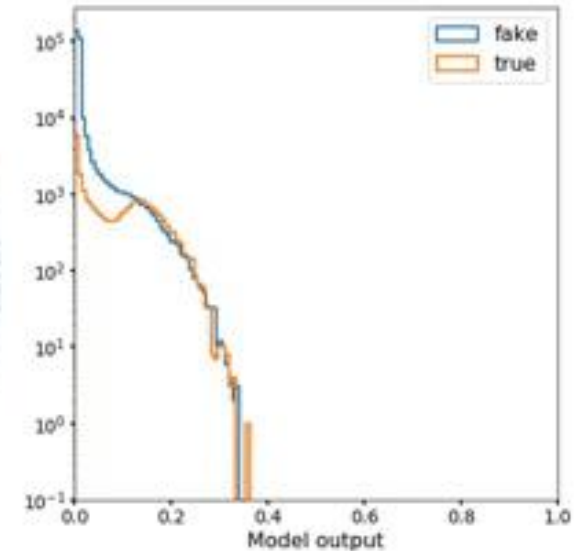
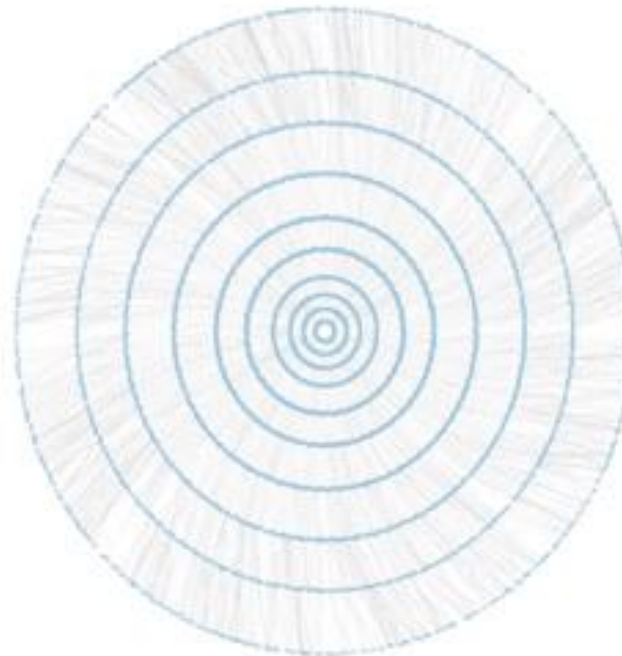
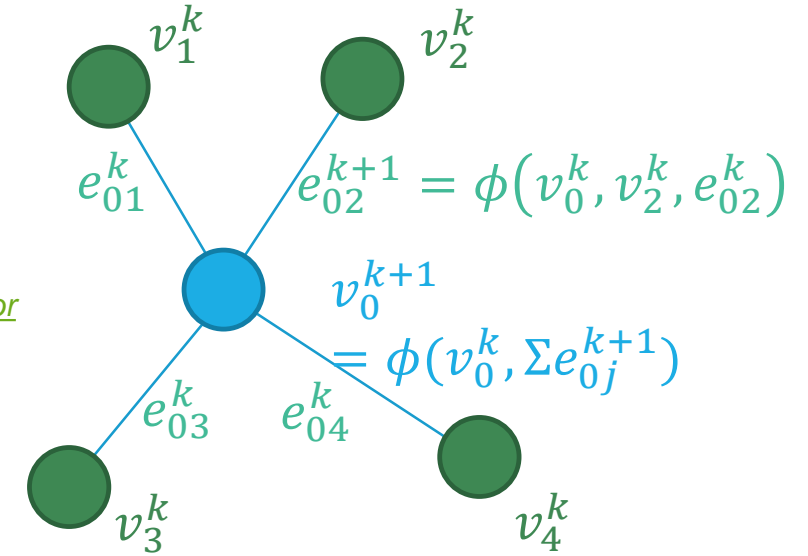
# EDGE CLASSIFICATION WITH GRAPH NEURAL NETWORK

1. Node features (spatial position) are encoded
2. Encoded features are concatenated and encoded to create edge features
3. Edge features are aggregated around nodes to create next round of encoded node features (i.e. message passing)
4. Each iteration of message passing improves discrimination power

$v_i^k$  node features  
 $e_{ij}^k$  edge features  
at iteration  $k$

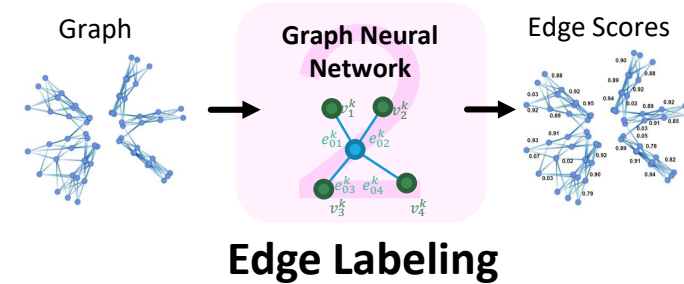
Battaglia, Peter, et al.  
["Interaction networks for learning about objects, relations and physics."](#)  
[2016.](#)

## INTERACTION NETWORK





# TRAINING CHALLENGES & SOLUTIONS



## Memory requirements

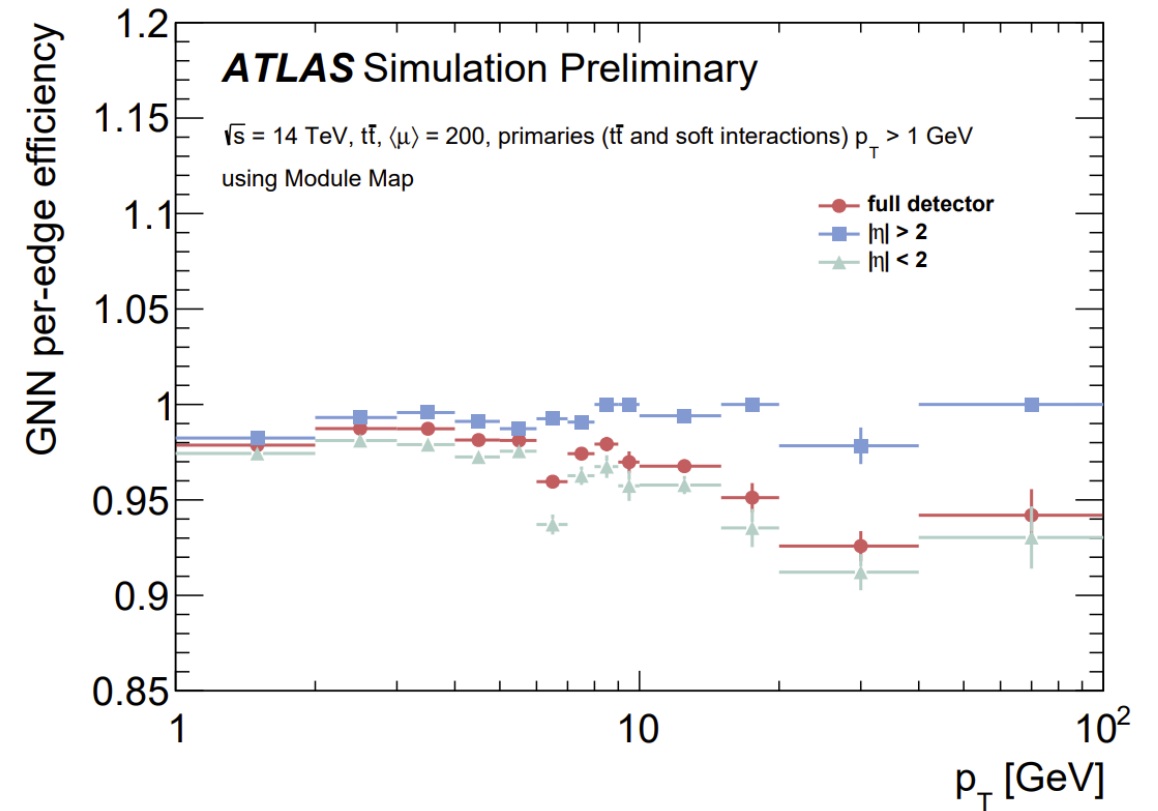
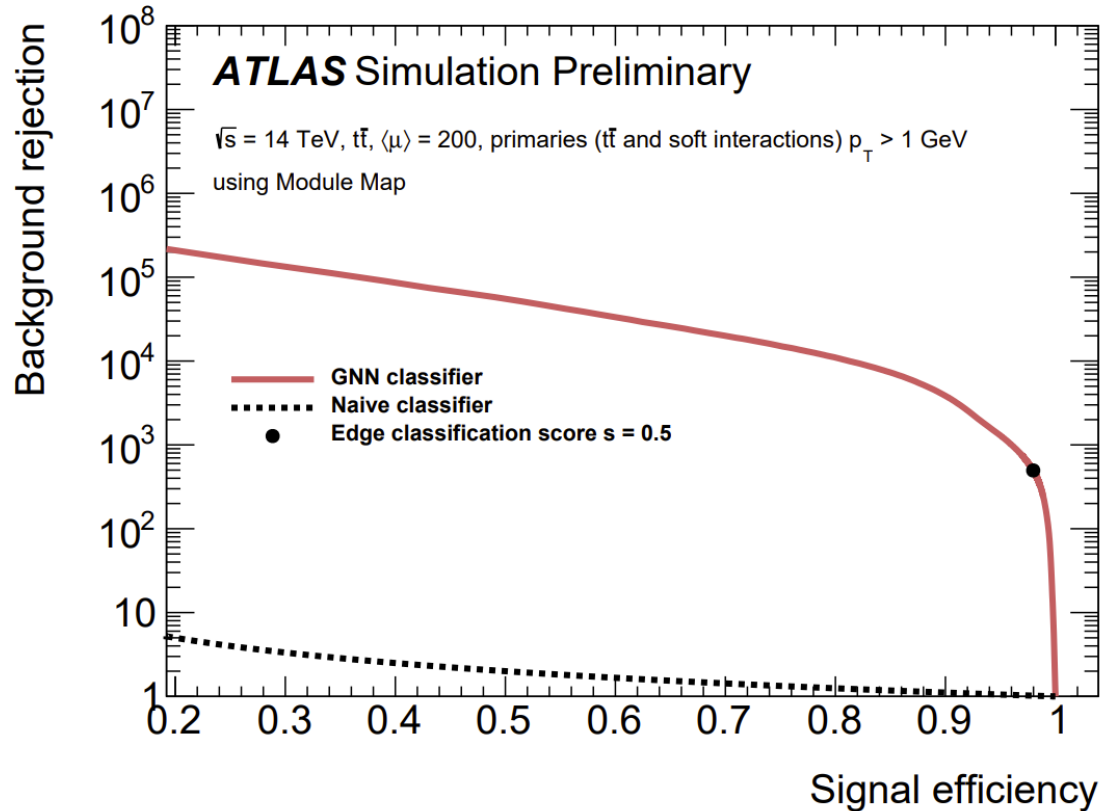
- Challenge: Training graphs are very large –  $O(1m)$  edges
  - Solution A: Gradient checkpointing
  - Solution B: Model offloading
- (Can discuss these further if interested)

## Loss masking and balancing

- Challenge: Target vs. background edges are highly imbalanced (1:100)
- Challenge: Non-target edges are not all equally “wrong” – don’t want to confuse GNN
- Solution: Weight target edges up by x10 and mask out sequential non-target edges

# GNN EDGE CLASSIFICATION RESULTS

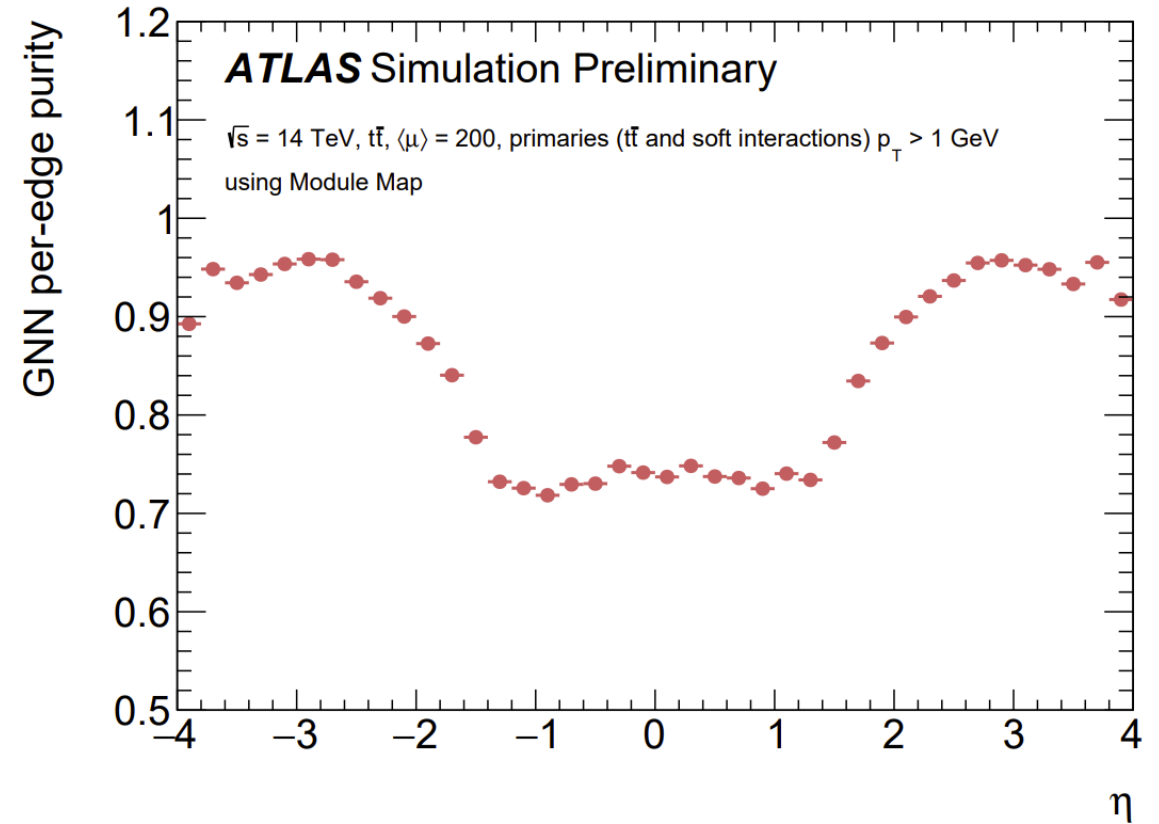
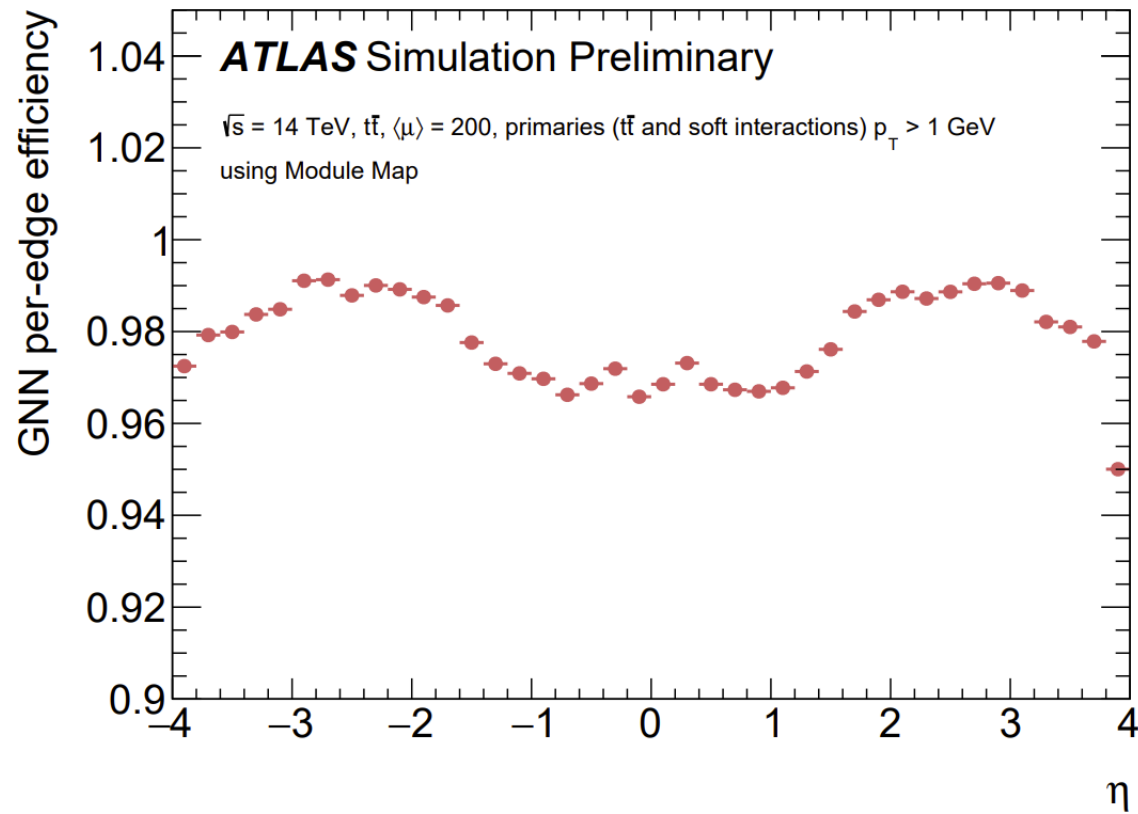
## ROC CURVE & EDGEWISE PERFORMANCE VS. $p_T$



■ Edge cut of 0.5 on output of GNN edge classifier

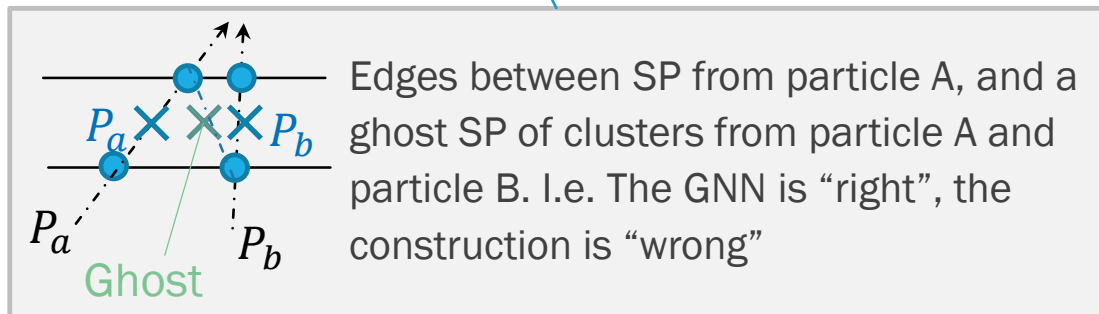
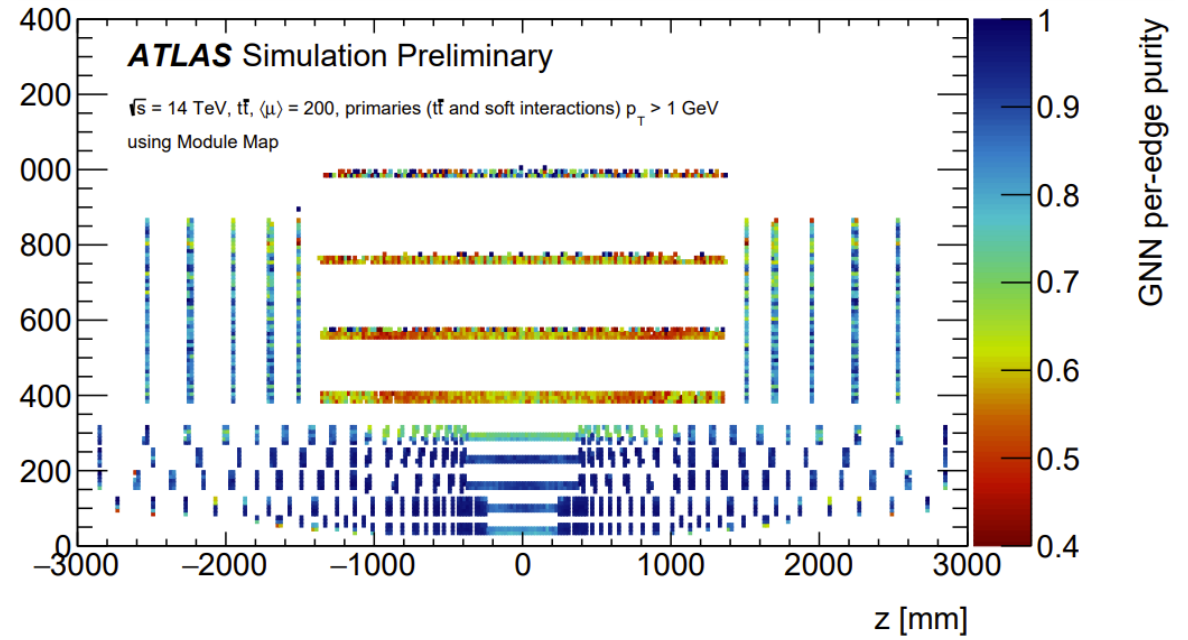
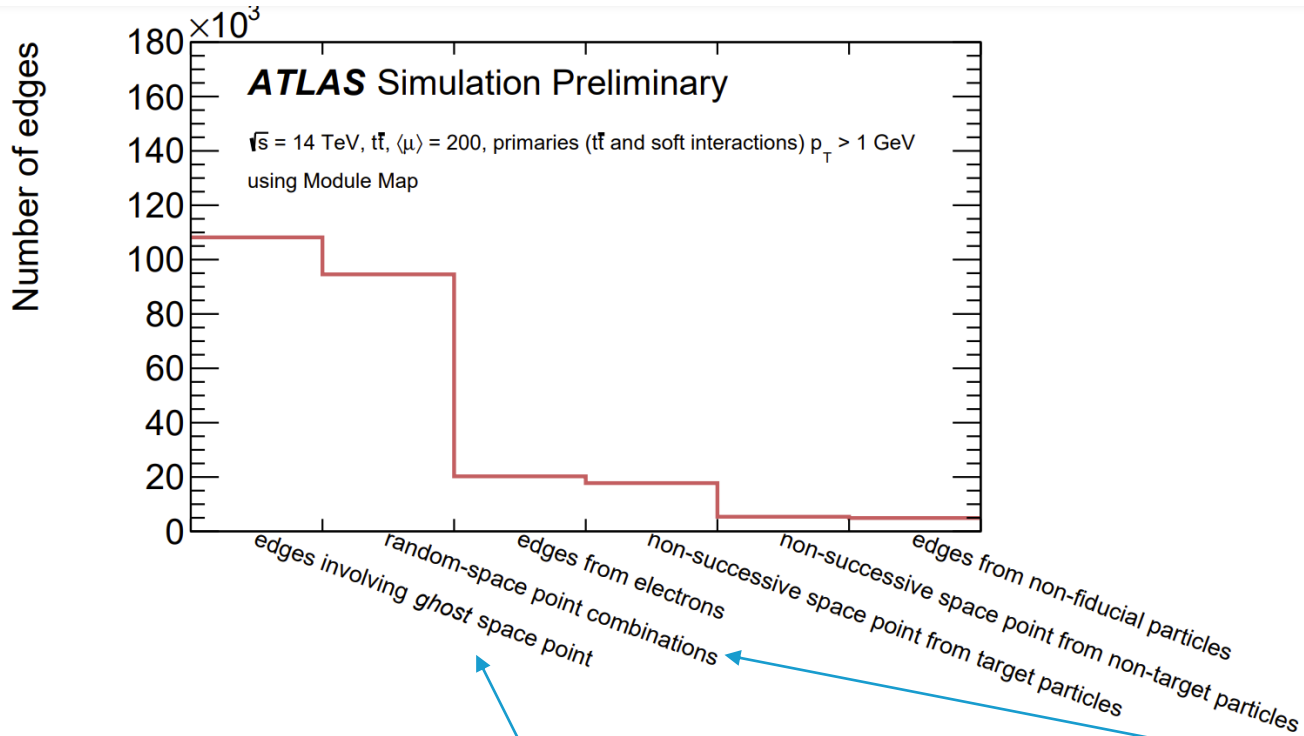
# GNN EDGE CLASSIFICATION RESULTS

## EDGEWISE PERFORMANCE VS. $\eta$



- Again, see a drop in performance at low  $\eta$

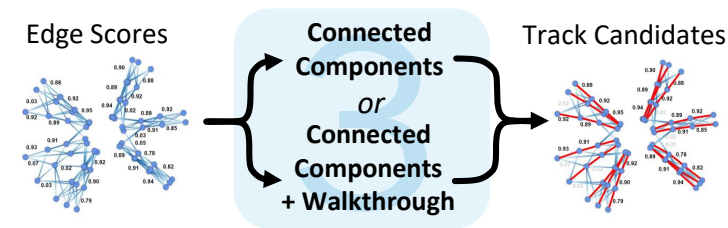
# BARREL STRIP MISCLASSIFICATION



Edges between SP from particle A and particle B. i.e. The GNN is “wrong”

# TRACK CANDIDATES CONSTRUCTION

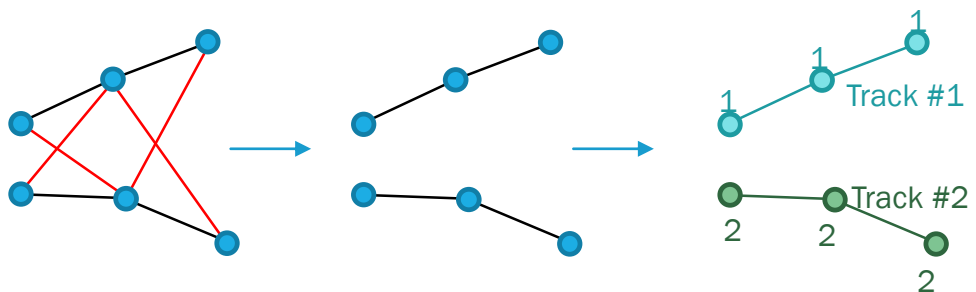
- We now have labelled edges. Want to now label each *node* depending on connectivity. A node is allowed to have more than one label.
- Two distinct approaches: **component-based** segmentation, or **path-based** segmentation.



## Graph Segmentation

### Component-based

E.g. connected components algorithm:

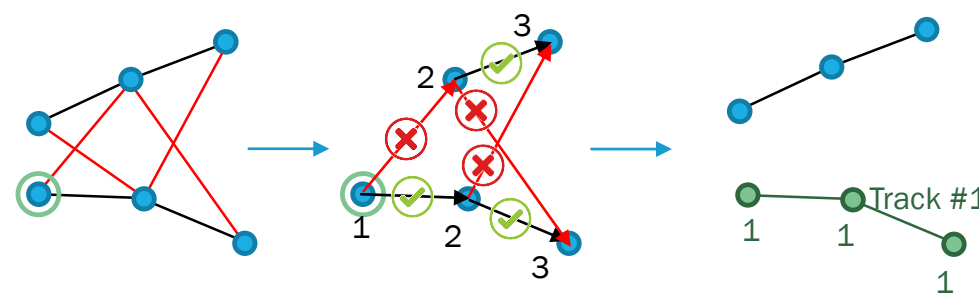


Classified edges    Ignore cut edges    Label connected components

- Pros: Fast, embarrassingly parallelizable
- Cons: Easily merges tracks into one candidate

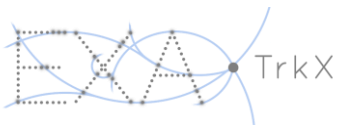
### Path-based

E.g. walkthrough algorithm:

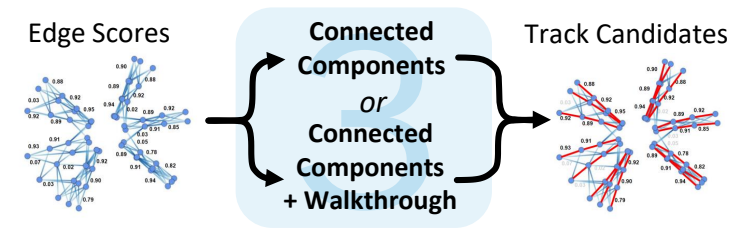


Classified edges, Starting node    Choose high score junctions    Remove a high-scoring path

- Pros: Handles hits as a sequence, as a track should be
- Cons: May not parallelize well, depending on algorithm, needs a *directed* graph



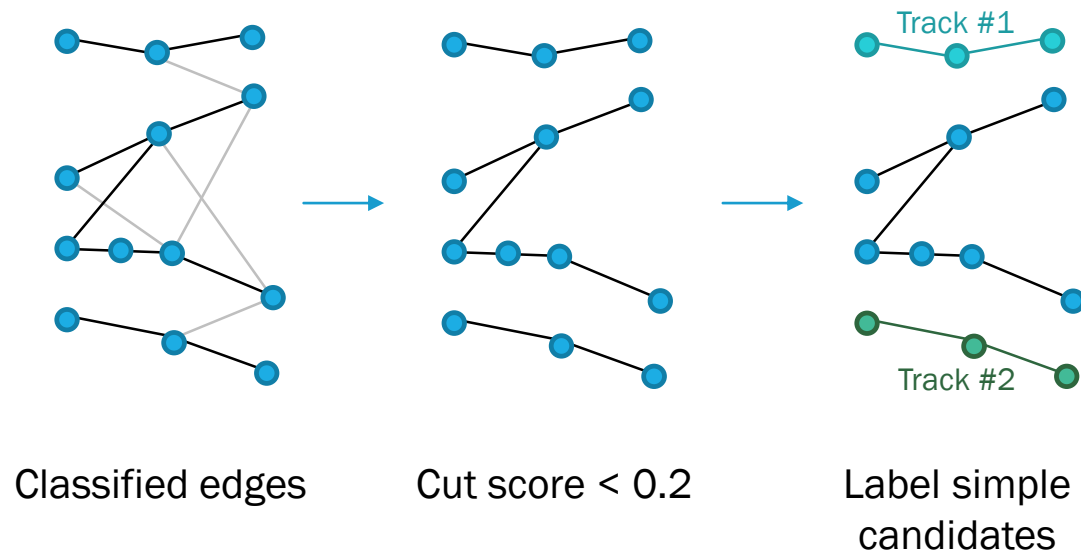
# TRACK CANDIDATES CONSTRUCTION



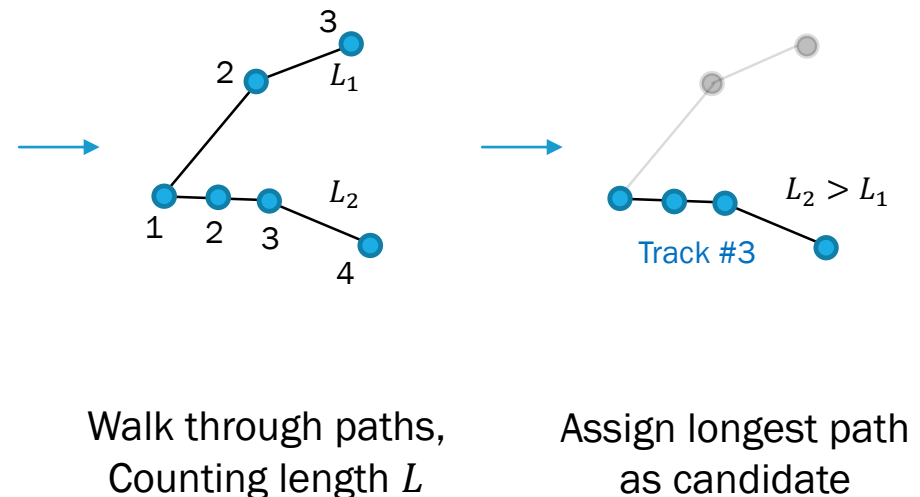
## Graph Segmentation

- Our specific algorithm combines the good features of each approach:

### 1. Connected Components



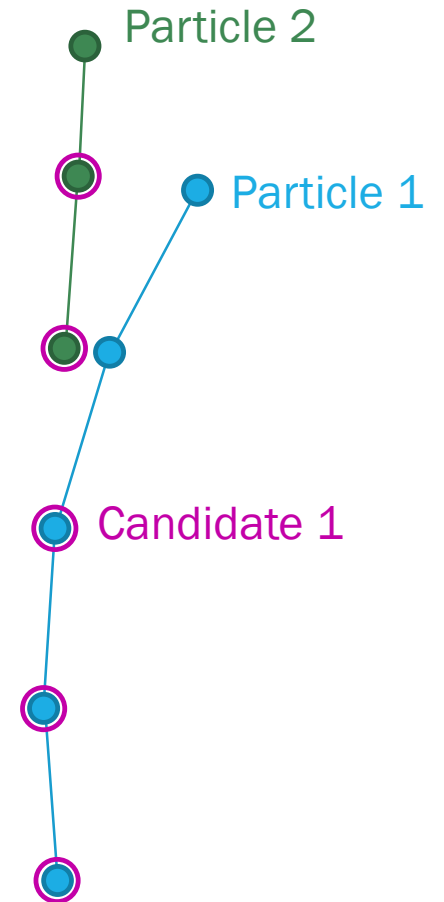
### 2. Walkthrough, a.k.a “Wrangler”



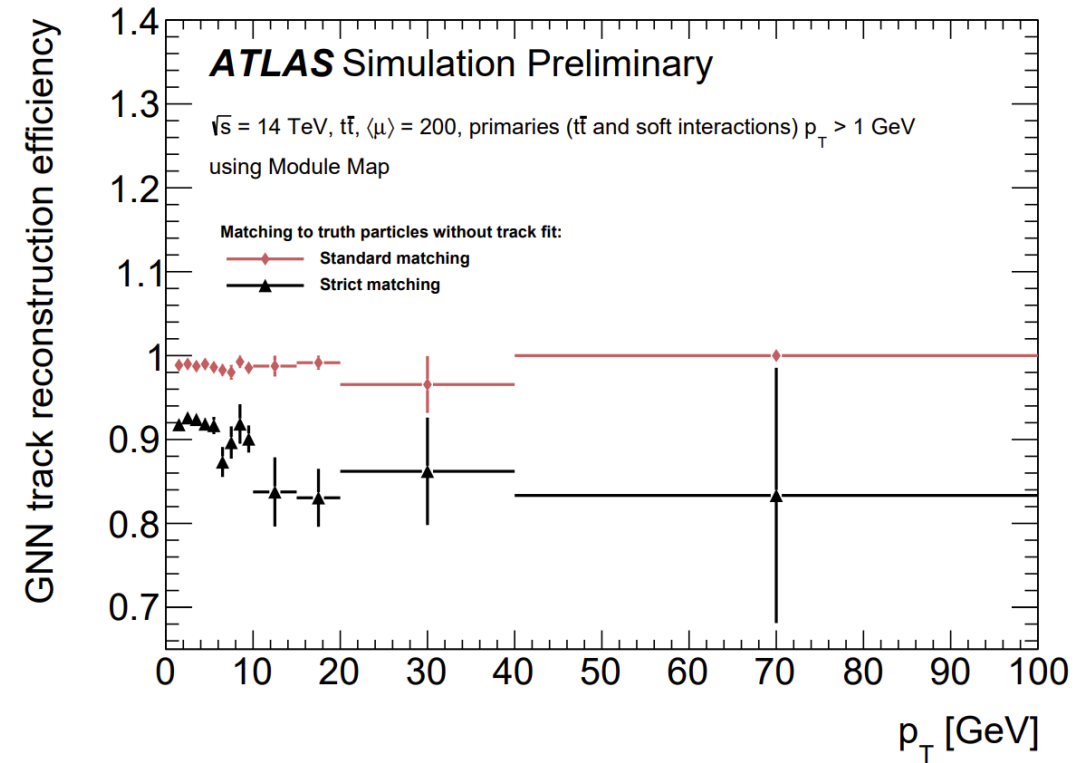
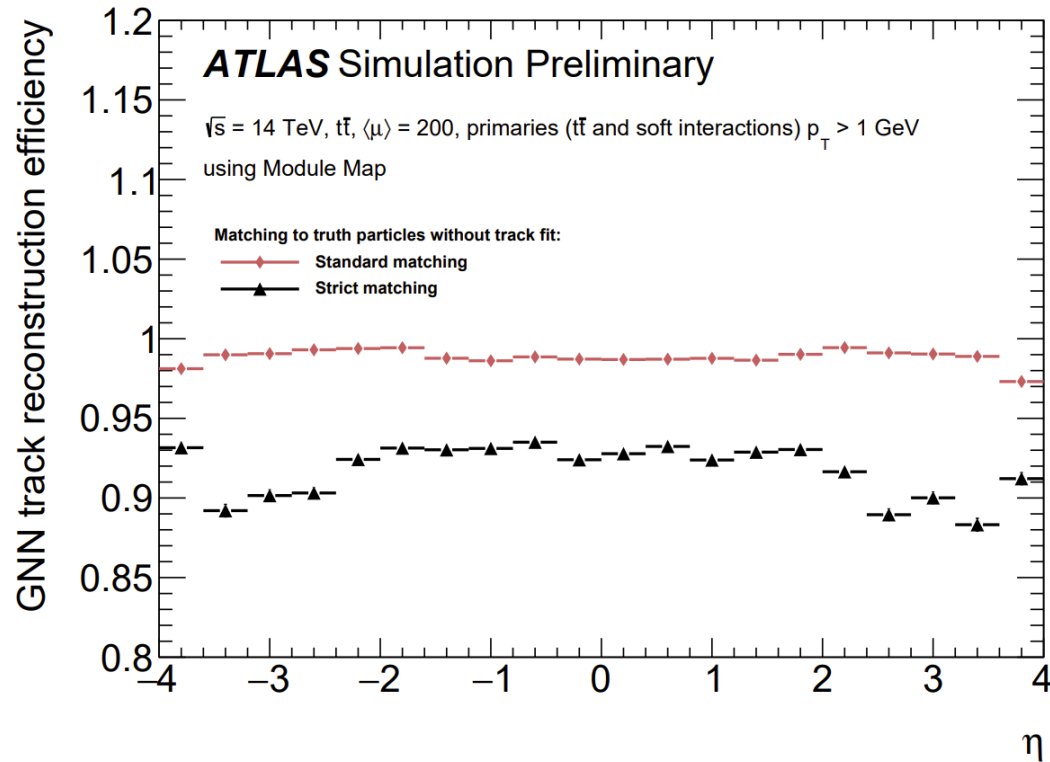
# TRACK MATCHING DEFINITIONS

- $N(P_i, C_j)$  is the number of spacepoints shared by particle  $i$  and candidate  $j$
- Particle  $i$  is called “matched” if, for some  $j$ ,  $\frac{N(P_i, C_j)}{N(P_i)} > f_{truth}$
- Candidate  $j$  is called “matched” if, for some  $i$ ,  $\frac{N(P_i, C_j)}{N(C_j)} > f_{reco}$
- Particle  $i$  and candidate  $j$  are called “double matched” if, for some  $i$  and  $j$ ,  $\frac{N(P_i, C_j)}{N(P_i)} > f_{truth}$  and  $\frac{N(P_i, C_j)}{N(C_j)} > f_{reco}$
- $eff = \frac{\sum_i P_i(\text{matching condition})}{\sum_i P_i}$ ,  $pur = \frac{\sum_j C_j(\text{matching condition})}{\sum_j C_j}$

**Standard matching:** single-matched particles with  $f_{truth} = 0.5$   
**Strict matching:** double-matched particles with  $f_{reco} = 1.0$



# TRACK RECONSTRUCTION RESULTS



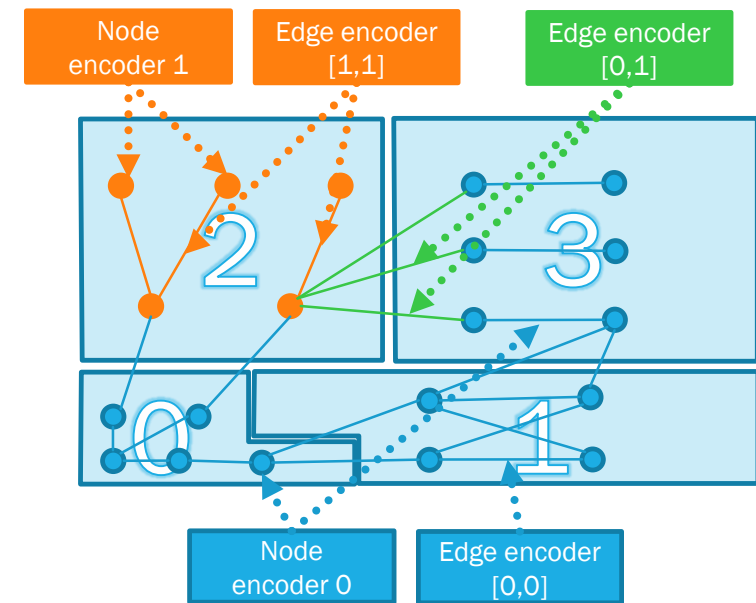
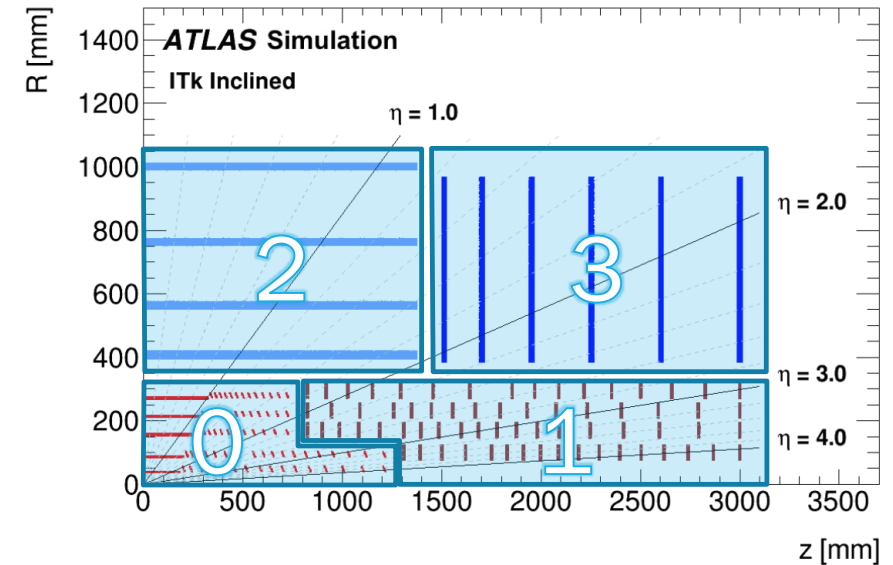
**Standard matching:** single-matched particles with  $f_{truth} = 0.5$   
**Strict matching:** double-matched particles with  $f_{reco} = 1.0$

- Fake rate is  $O(10^{-3})$ , and duplicate rate is  $O(10^{-3})$ , for the standard matching



# ONGOING WORK

- Extending TrackML inference timing and scaling studies to ITk dataset
- Incorporating strip cluster features into heterogeneous graph construction and GNN classification – already showing significant boost in performance
- Investigating training and inference performance on lower  $p_T$  tracks (i.e.  $< 1\text{GeV}$ ) and high  $p_T$  tracks (i.e.  $> 1\text{GeV}$ )
- Investigating performance on large radius tracks and dense track environments
- Incorporating pipeline into ACTS (done!) and Athena reconstruction chain – for direct comparison with CKF, and to study track parameter resolution



# CONCLUSION

- Produced first public results on official ATLAS ITk geometry using GNN-based track reconstruction pipeline
- Promising reconstruction performance, well-positioned for comparison with traditional algorithms

## THANKS! AND...

Please tune into the “mini-workshop” for GNNs in HEP, co-located with Connecting the Dots. Consider submitting an abstract to show some ongoing work, to [ctd.gnn.workshop@gmail.com](mailto:ctd.gnn.workshop@gmail.com) (by Monday!)