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# Top-quark couplings, cross-sections and SMEFT interpretations

**Laurynas Mince** (University of Glasgow)  
on behalf of the ATLAS collaboration

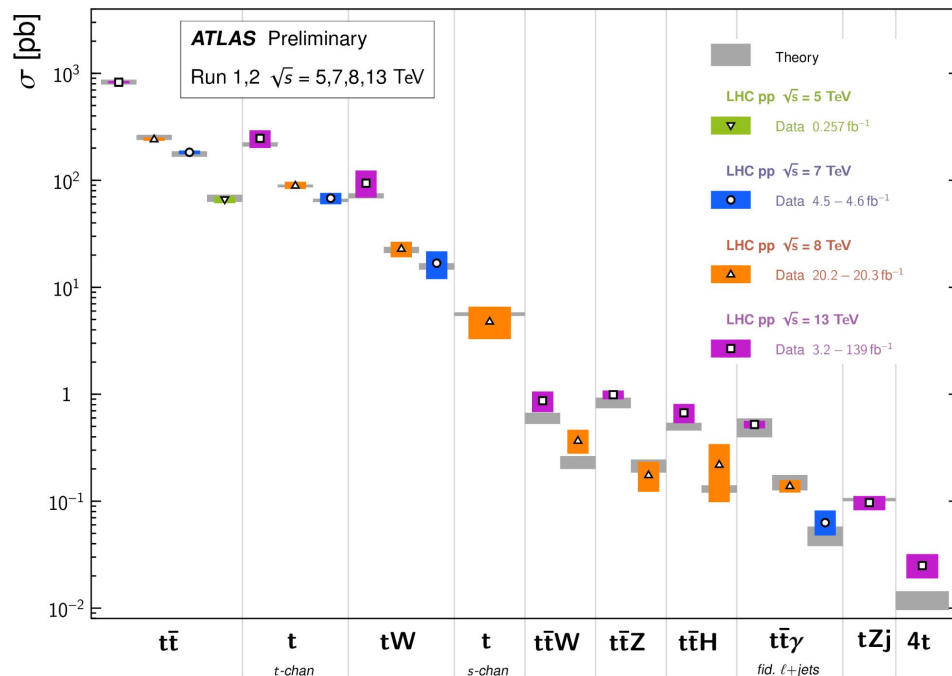
The 7th Symposium on Prospects in the Physics of Discrete Symmetries, DISCRETE 2020-2021  
Bergen, Norway  
30.11.2021

The **top-quark** and its **couplings** play an important role in the **Standard Model**.

[ATL-PHYS-PUB-2021-014](#)

Status: May 2021

Top Quark Production Cross Section Measurements



- The **heaviest particle** in the Standard Model (SM)  
→ Special role in **beyond the SM** (BSM) theories.
- Decays before hadronization  
→ Properties of a **bare quark**.
- Precision tests of **perturbative QCD**.
- Main **background** in many **BSM** searches.

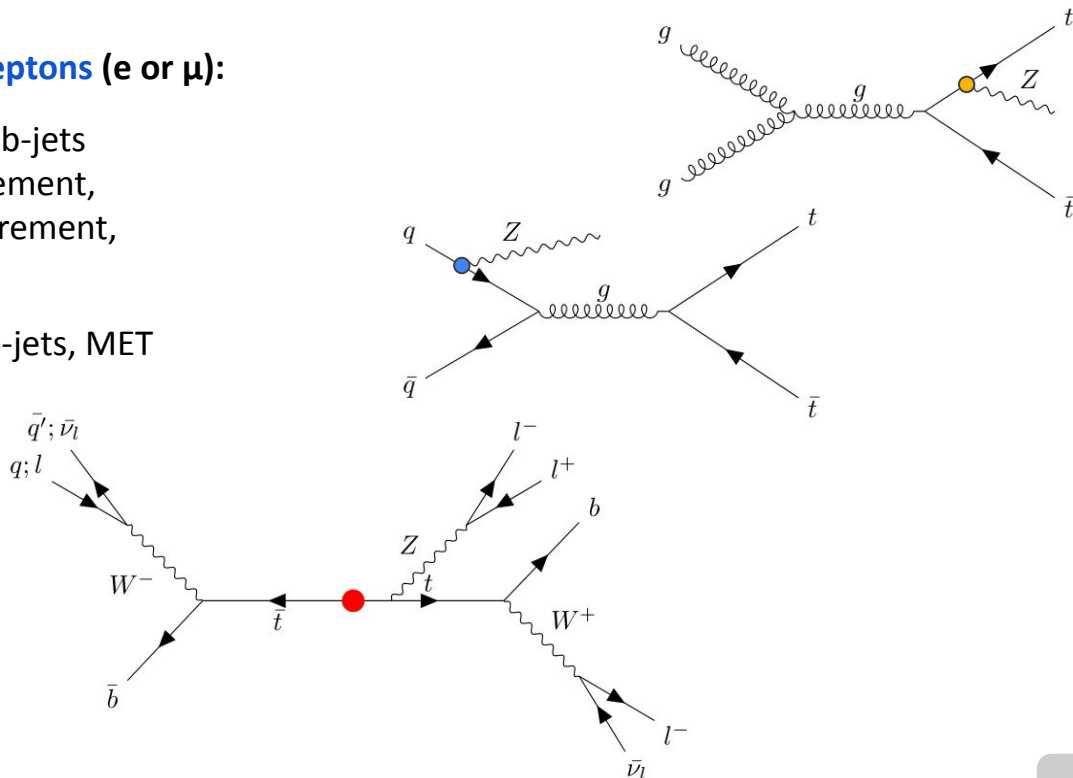
## Many interesting full Run 2 analysis covering top-quark rare processes, cross-sections and EFT interpretations with the ATLAS detector.

- Inclusive and differential cross-sections of a top-quark pair in association with a Z boson:  
[Eur. Phys. J. C 81 \(2021\) 737](#).
- Search for flavour-changing neutral-current couplings between the top-quark and the Z boson:  
[ATLAS-CONF-2021-049](#).
- Evidence for the 4-top production:  
[Eur. Phys. J. C 80 \(2020\) 1085](#).
- Measurement of the 4-top production:  
[arXiv:2106.11683](#).
- Measurement of the top-antitop energy asymmetry in jet-associated top-quark pair production:  
[arXiv:2110.05453](#).
- Differential  $t\bar{t}$  cross-section measurements using boosted top quarks:  
[ATLAS-CONF-2021-031](#), [ATLAS-CONF-2021-050](#).
- Single top-quark polarisation and EFT fit:  
[ATLAS-CONF-2021-027](#).

A **direct probe** of the **top-quark** coupling to the **Z boson** → **BSM?**

Final states with **three (3ℓ)** or **four (4ℓ)** isolated leptons (e or μ):

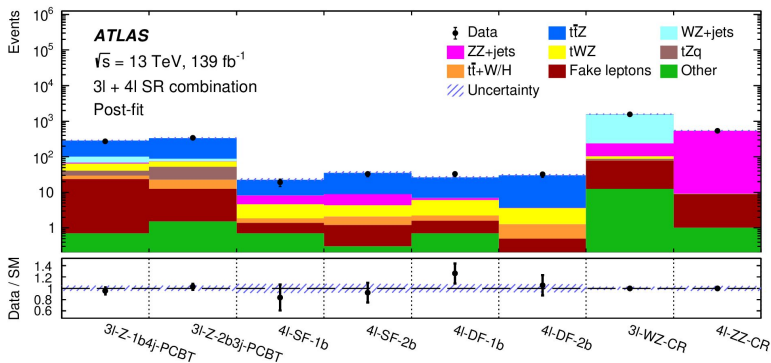
- **3ℓ channel:** three isolated leptons, jets and b-jets  
 → 2 signal regions for the inclusive measurement,  
 → 1 signal region for the differential measurement,  
 → WZ control region.
- **4ℓ channel:** four isolated leptons, jets and b-jets, MET  
 → 4 signal regions,  
 → ZZ control region.



A **simultaneous profile-likelihood fit** to the **6 SRs** and **2 CRs** is used to extract the **inclusive cross-section**:

**NLO + NNLL SM prediction:**

$$\sigma_{t\bar{t}Z}^{SM} = 0.86^{+0.07}_{-0.08}(\text{scale}) \pm 0.02(\text{PDF}) \text{ pb}$$

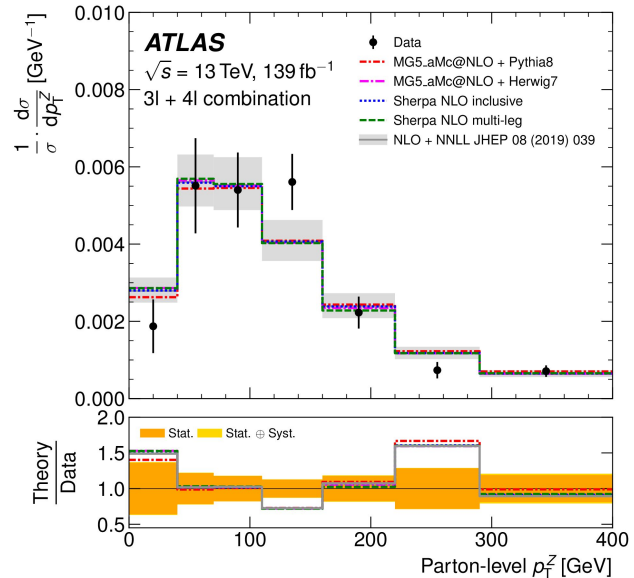


$$\sigma(pp \rightarrow t\bar{t}Z) = 0.99 \pm 0.05 (\text{stat.}) \pm 0.08 (\text{syst.}) \text{ pb}$$

**Dominant sources of the systematic uncertainty:**

1. **ttZ parton-shower modelling,**
2. **tWZ modelling,**
3. **Jet flavour-tagging.**

An **iterative Bayesian unfolding (IBU)** is used to obtain **parton and particle level** unfolded distributions:



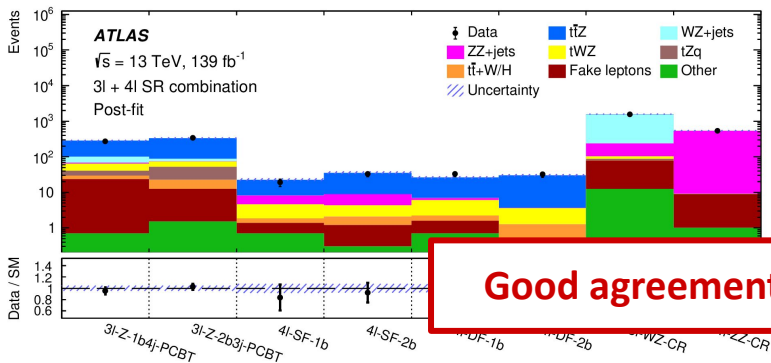
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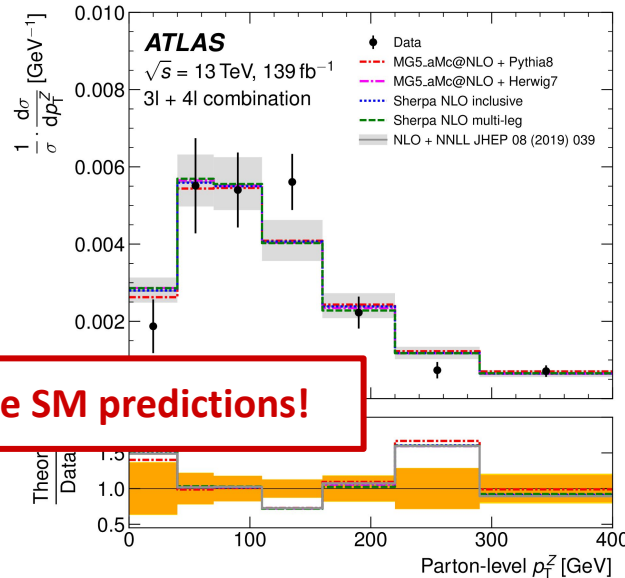
**Good agreement of data with the SM predictions!**

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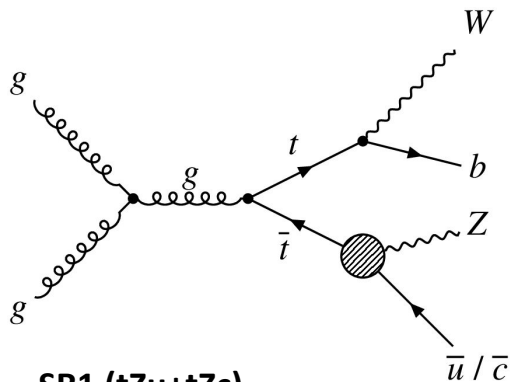
1. **Statistical uncertainty,**
2. **Signal modelling,**
3. **Jet flavour-tagging.**

**FCNC** processes are **forbidden at tree level in the SM** and **strongly suppressed at higher orders**

→ **potential for new physics.**

[ATLAS-CONF-2021-049](#)

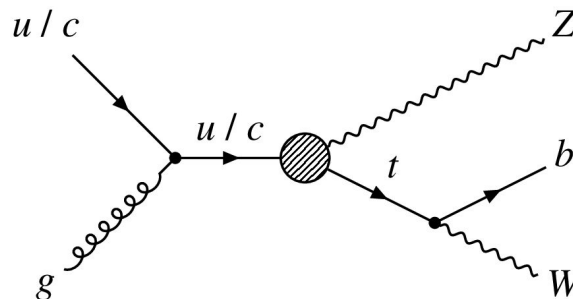
**FCNC top-quark pair**



**SR1 (tZu+tZc):**

3 leptons,  
 $\geq 2$  jets of which 1 is b-tagged,  
 MET.

**FCNC single top-quark**



**SR2 (tZu):**

3 leptons,  
 1 b-tagged jet and  $\leq 1$  additional jet,  
 MET.

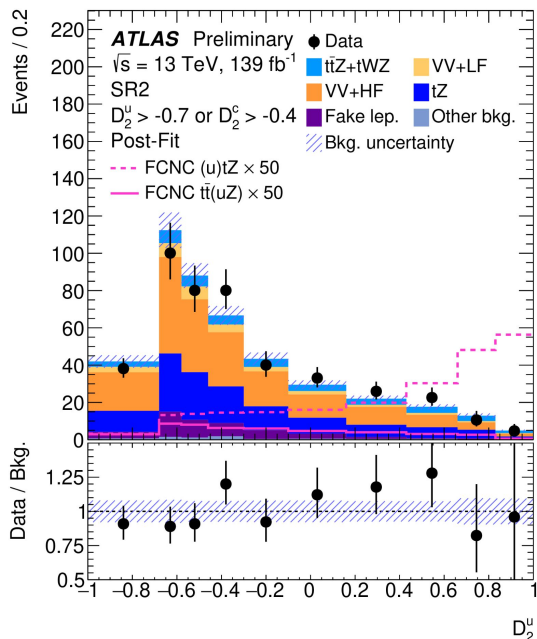
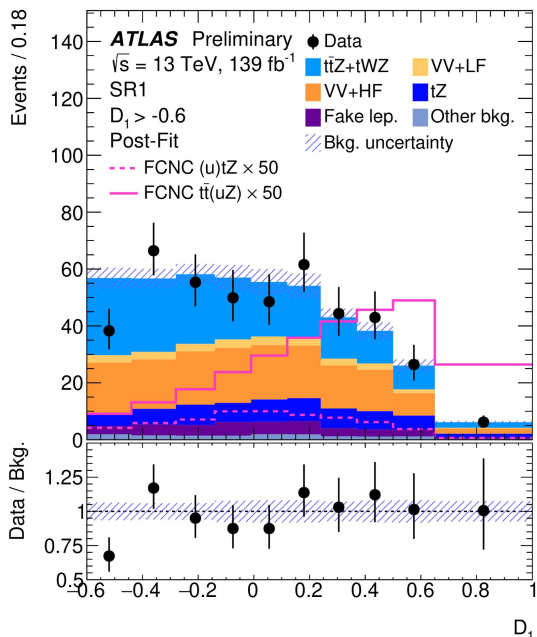
- Discrimination of signal and background with Gradient BDT:  $D_1(tZu+tZc)$ ,  $D_2(tZu)$ ,  $D_2(tZc)$ .
- 4 control regions: top-antitop CR, ttZ CR, mass side-band CR1, mass side-band CR2.



The current analysis provides the **most stringent limits** on the **LH tZ<sub>u</sub>** and **tZ<sub>c</sub>** couplings to date.

Good agreement between the data and the SM

→ **No evidence of a signal.**



The observed **95% CL** limit on the **FCNC LH tZ<sub>u</sub>** branching ratio is **improved by a factor of 3**

$$\mathcal{B}(t \rightarrow Z u) = 6.2 \times 10^{-5}$$

The observed **95% CL** limit on the **FCNC LH tZ<sub>c</sub>** branching ratio is **improved by a factor of 2**

$$\mathcal{B}(t \rightarrow Z c) = 13 \times 10^{-5}$$



A very rare SM process and sensitivity to the 4-fermion couplings.

**2LSS** and **≥3L** channels: [Eur. Phys. J. C 80 \(2020\) 1085](#)

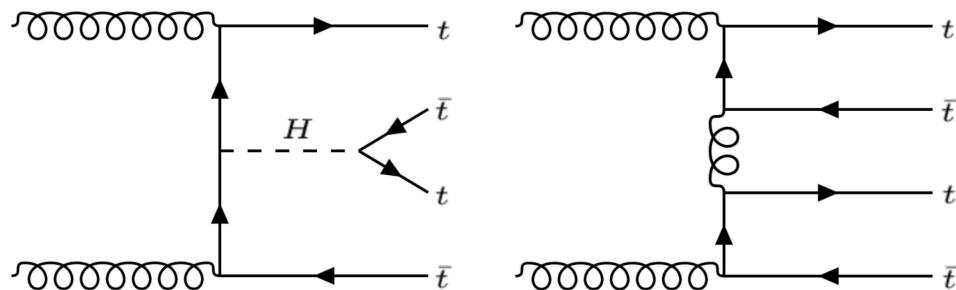
- $\ell^{\pm}\ell^{\pm}$  or  $\geq 3\ell$ : 12% BR, reduced backgrounds.
- Jet multiplicity, b-tagged jets, kinematics.
- BDT signal/background discriminant.

**Four control regions:**

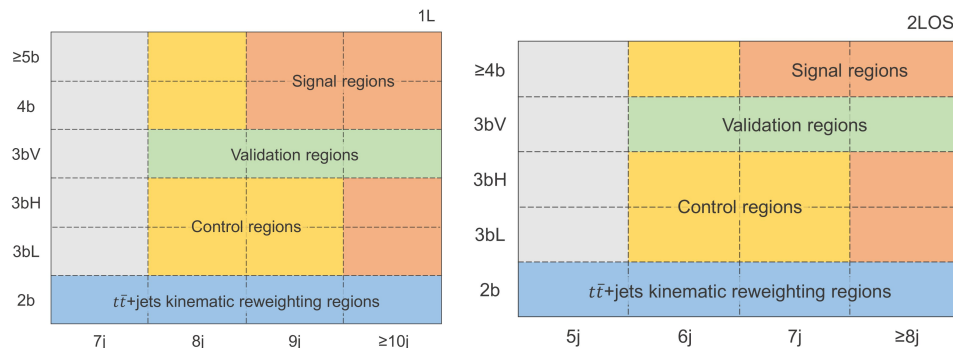
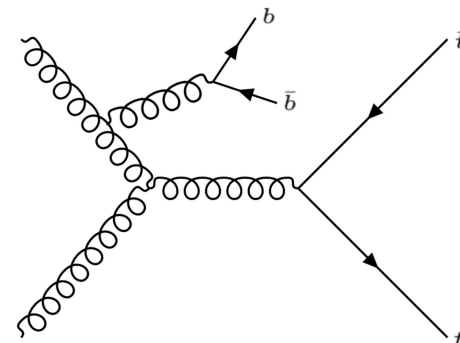
$NF_{ttW}$ ,  $NF_{Mat. Conv.}$ ,  $NF_{Low m\gamma^*}$ ,  $NF_{HF e'}$ ,  $NF_{HF \mu'}$

**1L** and **2LOS** channels: [arXiv:2106.11683](#)

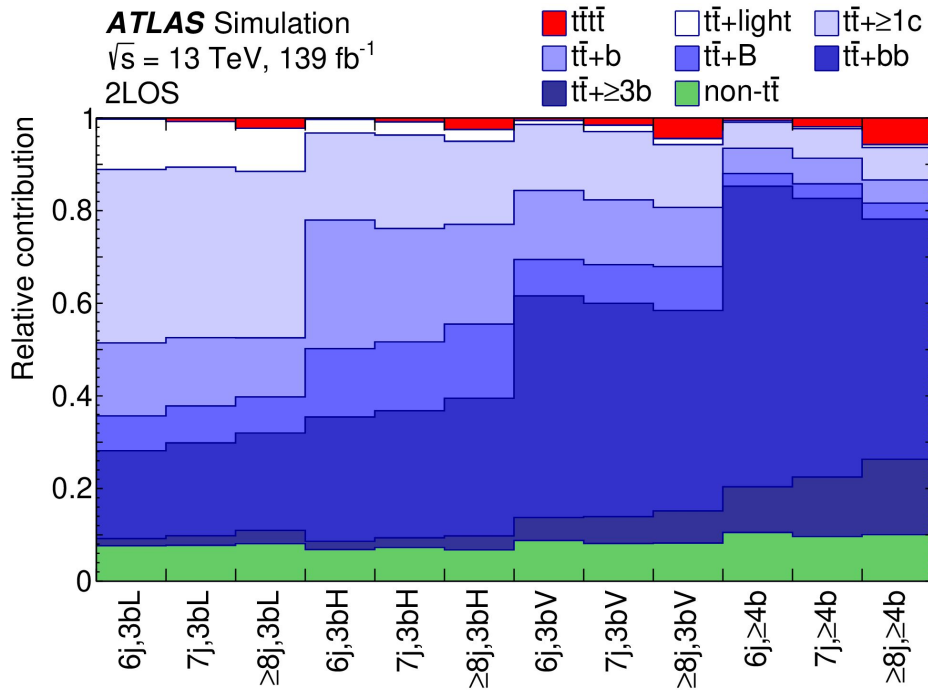
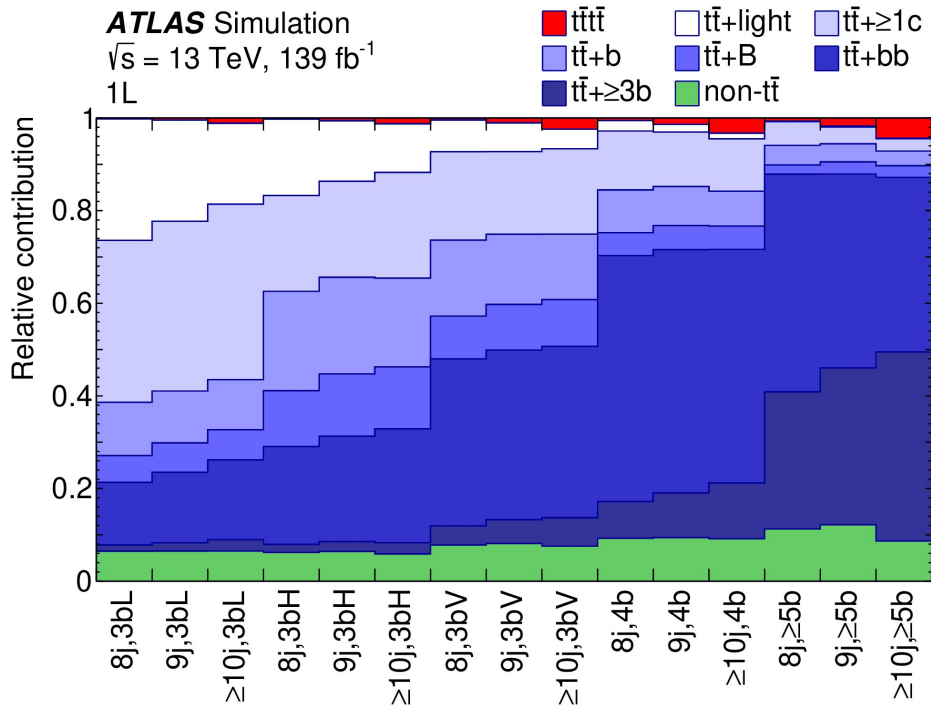
- $1\ell$  or  $\ell^{\pm}\ell^{\mp}$ : larger BR, larger backgrounds.
- Jet and b-jet multiplicities.
- BDT signal/background discriminant.



The dominant background is **tt+jets**.

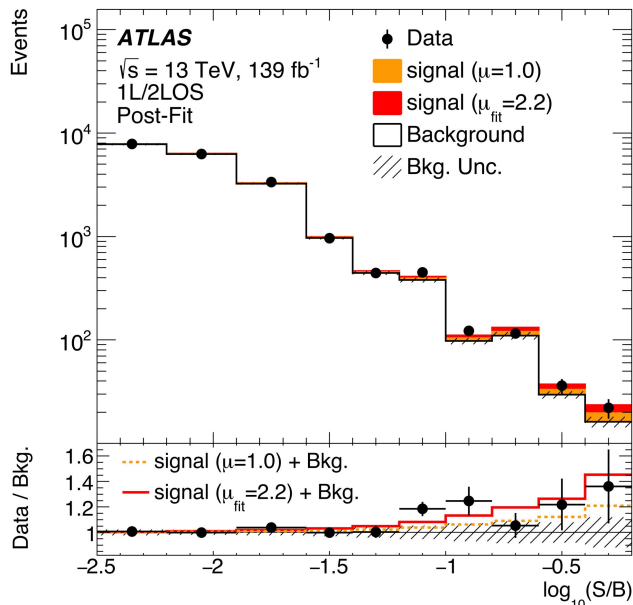


Signal-to-background ratio  $\leq 6.1\%$  in signal regions.

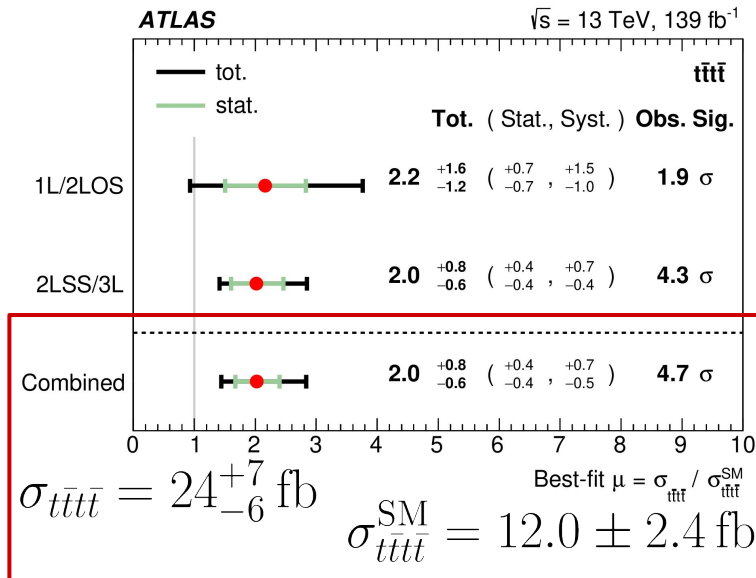


The **significance** of the **combined 4-top cross-section** is  **$4.7\sigma$**  exceeding the expected  **$2.6\sigma$**  significance.

A best-fit signal strength of  $\mu = 2.2$  is extracted from the data.



A binned profile likelihood fit extracts the 4-top signal strength.

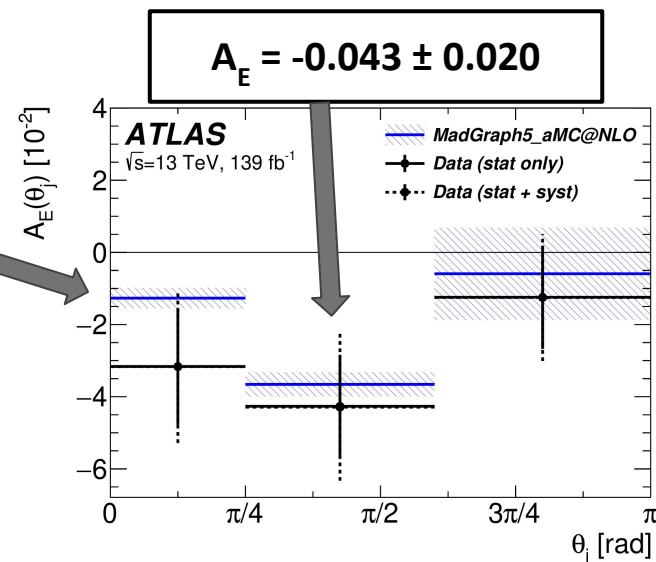
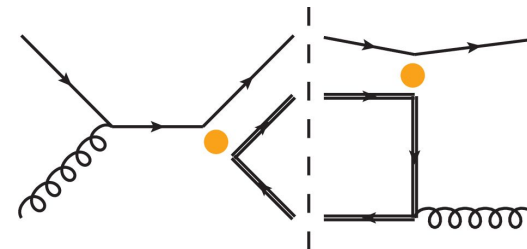


The **energy asymmetry** is **sensitive** to the **top-quark chirality** in the SM.

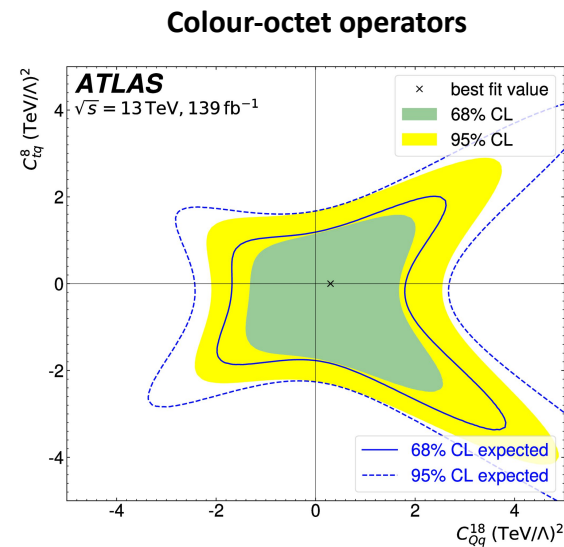
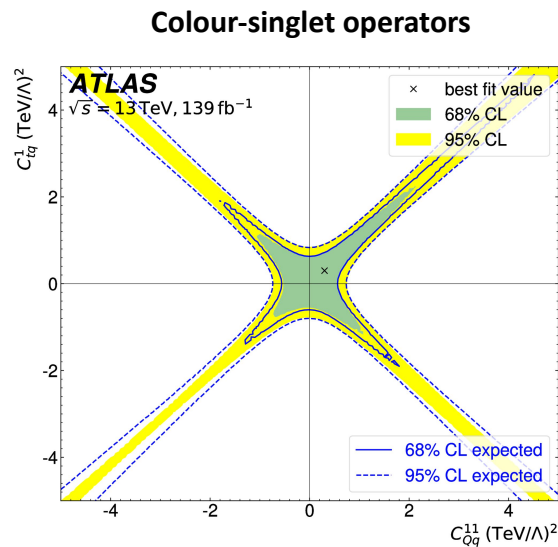
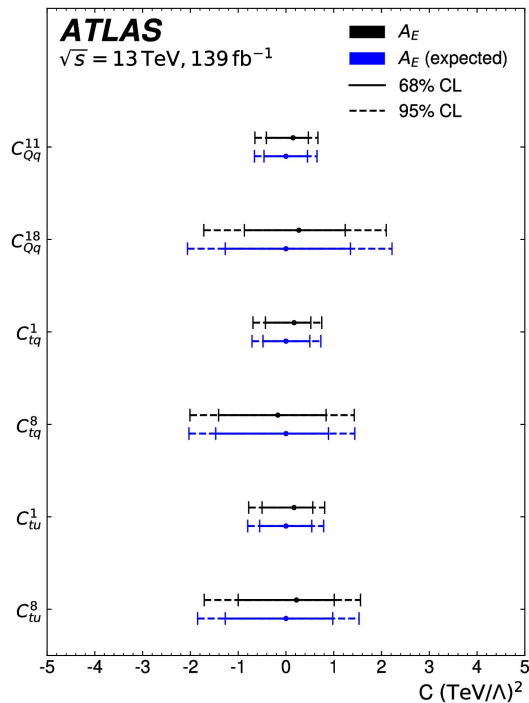
- **Anti-tops** tend to be produced more **perpendicular to the beam axis** → charge asymmetry.
- Lepton + jets boosted topology:
  - A hadronic large-R top-tagged jet,
  - An isolated lepton, a b-tagged jet, MET, an extra jet.
- **Detector effects** on the **jet angle** are corrected with the **Fully Bayesian unfolding**.
- **Largest uncertainty** is from **data statistics**.

$$A_E(\theta_j) \equiv \frac{\sigma^{opt}(\theta_j | \Delta E > 0) - \sigma^{opt}(\theta_j | \Delta E < 0)}{\sigma^{opt}(\theta_j | \Delta E > 0) + \sigma^{opt}(\theta_j | \Delta E < 0)}$$

$$\sigma^{opt}(\theta_j) = \sigma(\theta_j | y_{t\bar{t}j} > 0) + \sigma(\pi - \theta_j | y_{t\bar{t}j} < 0), \theta_j \in [0, \pi]$$

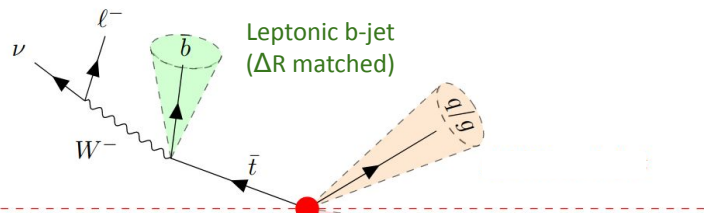


The **energy asymmetry** has sensitivity to individual **four-quark operators** and **probes new directions** in the parameter space of Wilson coefficients.



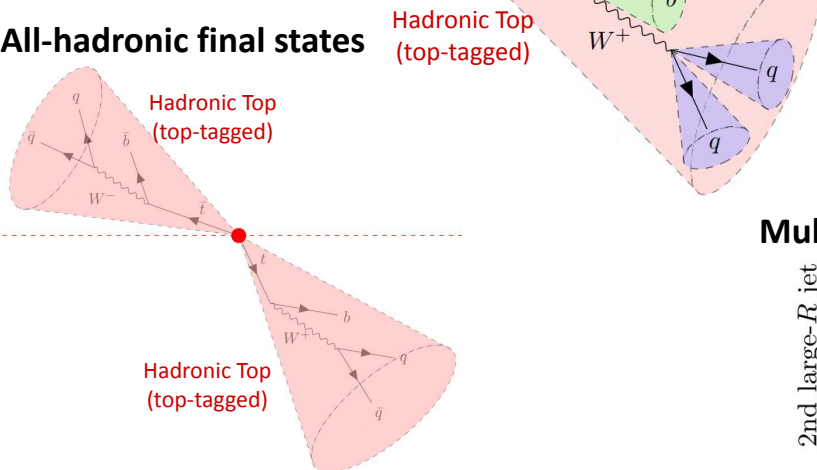
The **high rate** of top-quark pair production allows to look for **deviations from the SM**.

## Lepton+jets final states [ATLAS-CONF-2021-031](#)

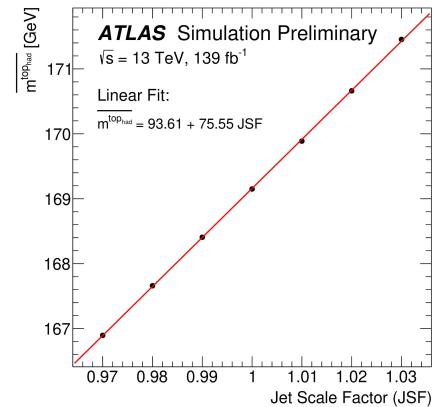
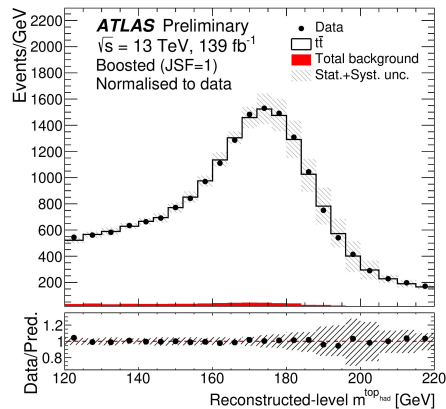


## [ATLAS-CONF-2021-050](#)

### All-hadronic final states



## Correction of the jet energy



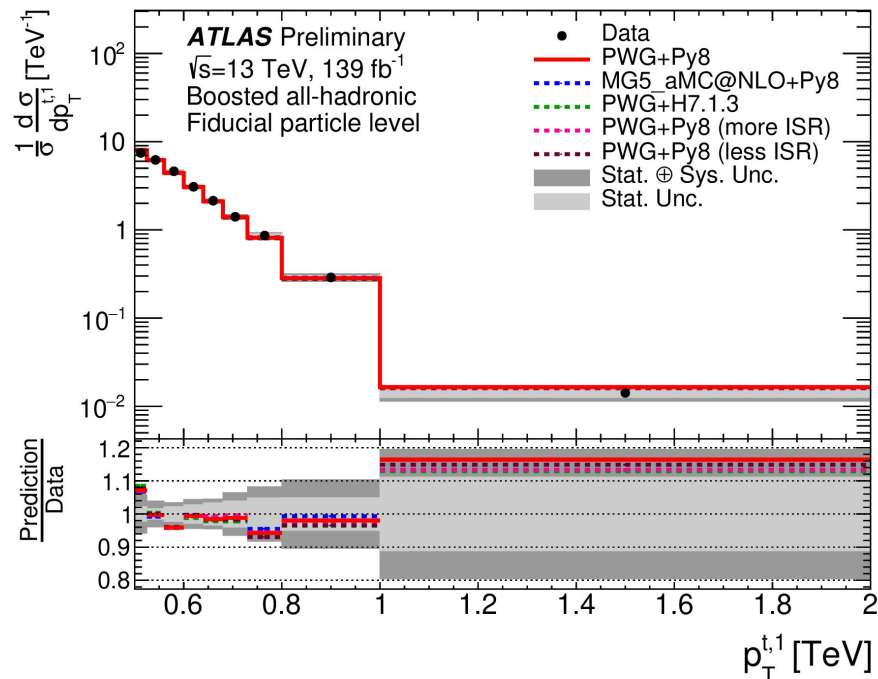
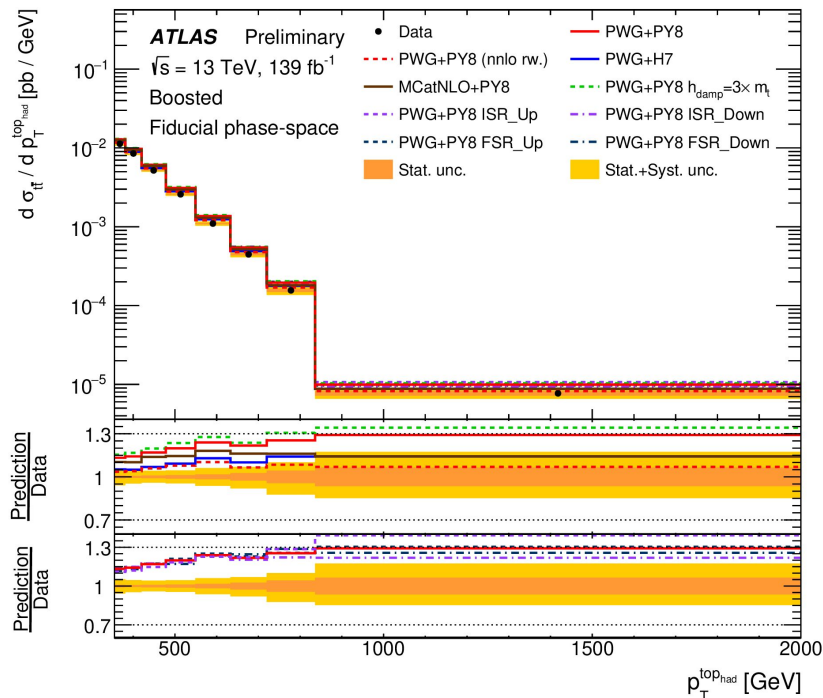
## Multijet backgrounds estimated with the ABCD method

|                    |      |                        |          |          |          |
|--------------------|------|------------------------|----------|----------|----------|
| 2nd large- $R$ jet | 1t1b | J (7.0%)               | K (25%)  | L (39%)  | S        |
|                    | 0t1b | B (1.2%)               | D (5.0%) | H (9.0%) | N (47%)  |
|                    | 1t0b | E (0.5%)               | F (2.3%) | G (4.9%) | M (31%)  |
|                    | 0t0b | A (0.09%)              | C (0.5%) | I (1.1%) | O (9.0%) |
|                    |      | 0t0b                   | 1t0b     | 0t1b     | 1t1b     |
|                    |      | Leading large- $R$ jet |          |          |          |

## Most precise top-quark pair production measurements in the boosted topology.

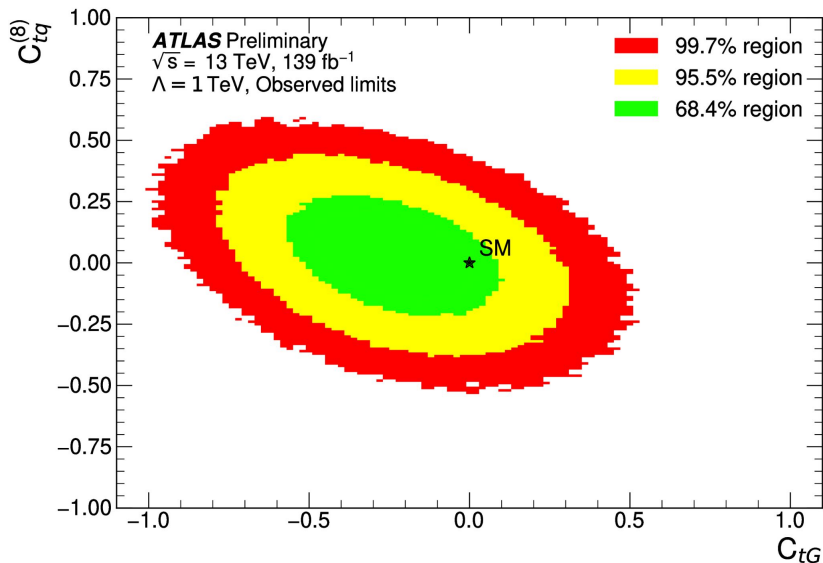
Detector effects corrected with the iterative Bayesian unfolding method.

Reweighting the MC prediction to the NNLO parton-level prediction significantly improves the Data/MC agreement.

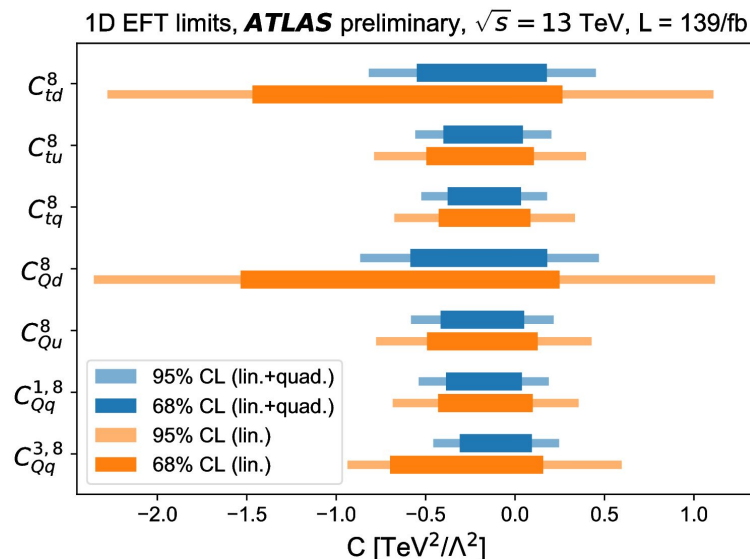




The fitted Wilson coefficients (linear term only) are consistent with zero.



The 95% CL limits are within the range of  $[-0.8, +0.5] \text{ TeV}^2/\Lambda^2$  (linear and quadratic terms).



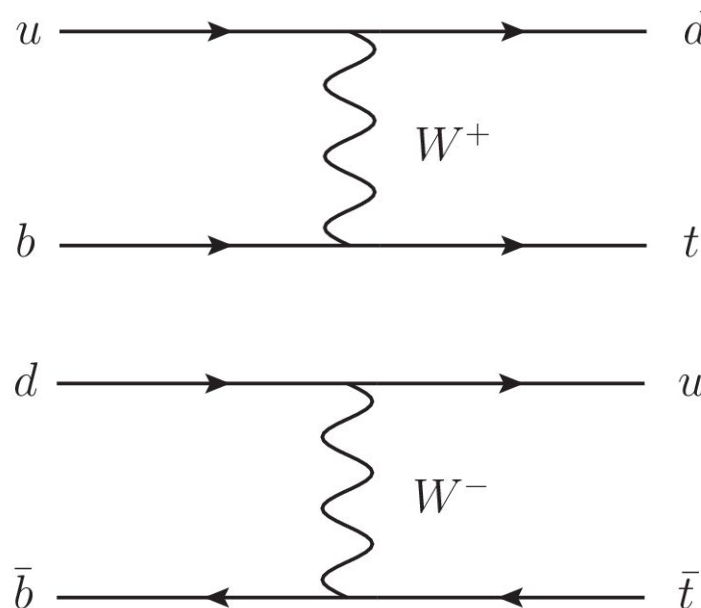
| Wilson coefficient | Marginalised 95% intervals |               | Individual 95% intervals |               |                |
|--------------------|----------------------------|---------------|--------------------------|---------------|----------------|
|                    | Expected                   | Observed      | Expected                 | Observed      | Global fit     |
| $C_{tG}$           | [-0.44, 0.44]              | [-0.68, 0.21] | [-0.41, 0.42]            | [-0.63, 0.20] | [0.007, 0.111] |
| $C_{tq}^{(8)}$     | [-0.35, 0.35]              | [-0.30, 0.36] | [-0.35, 0.36]            | [-0.34, 0.27] | [-0.40, 0.61]  |

**Polarisation** observables are sensitive to **new physics** phenomena affecting the **tWb vertex**.

[ATLAS-CONF-2021-027](#)

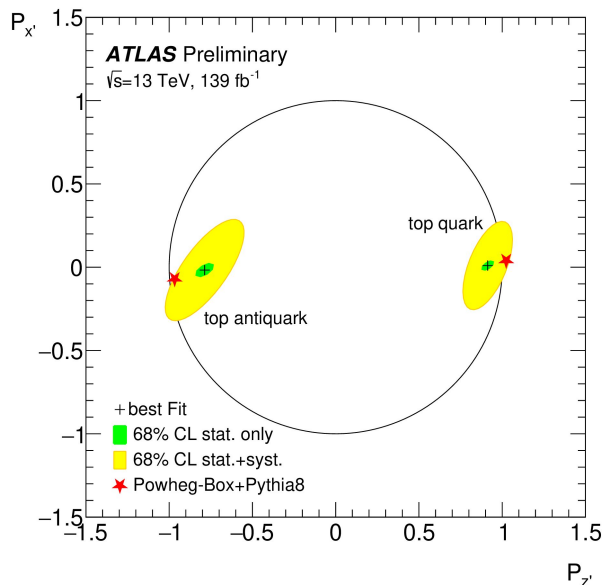
General analysis strategy:

- W-boson decays to an electron or muon are considered.
- Signal events:
  - Exactly one isolated lepton,
  - Significant MET,
  - Exactly two jets one of which is b-tagged.
- Two background-enriched control regions:
  - CR ttbar and
  - CR W+jets.
- The differential angular distributions are unfolded to particle level using the iterative Bayesian unfolding.



Profile likelihood fit to the **16 SRs** and **4 CRs** bins extracts the **polarisation vectors** of the **top quark** and **antiquark**.

- Fitting of  $\mathbf{P} = \{P_{x'}, P_{y'}, P_{z'}\}$  for top quarks and antiquarks  
→ **6 parameters**.
- The **measured polarisations** are **consistent with theory**.



### Top quark

$$P_{x'} = 0.01 \pm 0.18$$

$$P_{y'} = -0.029 \pm 0.027$$

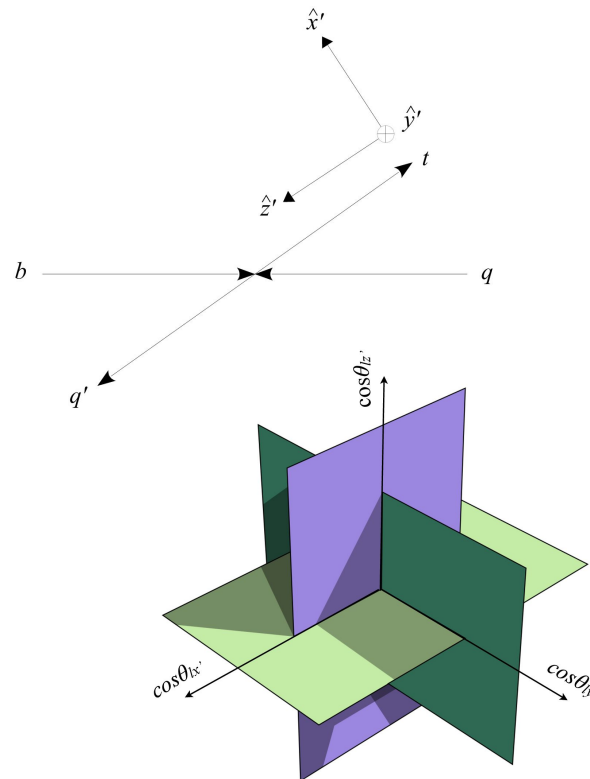
$$P_{z'} = 0.91 \pm 0.10$$

### Top antiquark

$$P_{x'} = -0.02 \pm 0.200$$

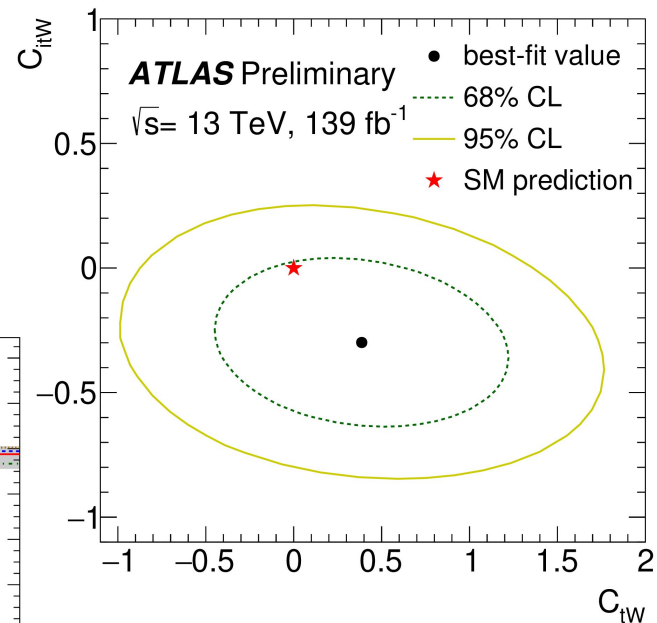
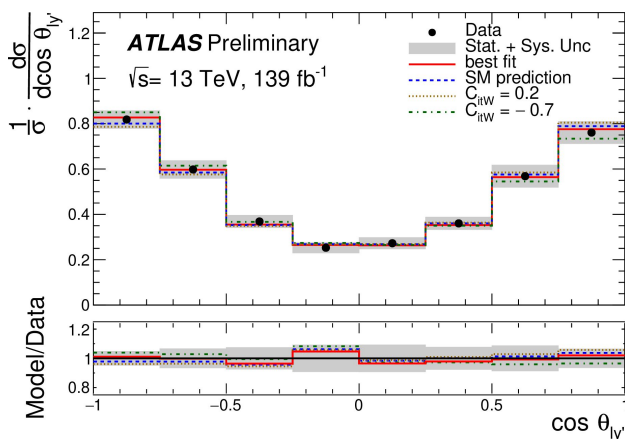
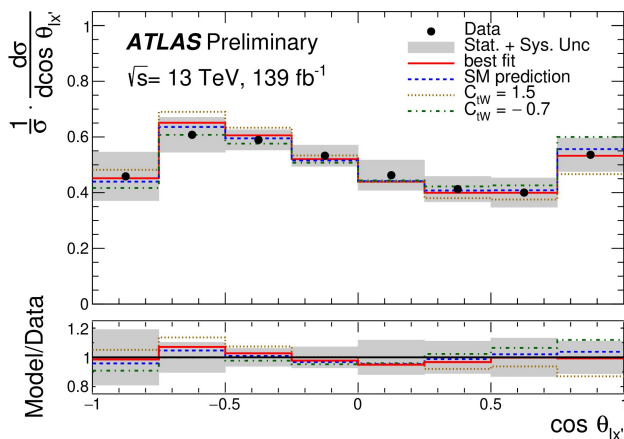
$$P_{y'} = -0.007 \pm 0.051$$

$$P_{z'} = -0.79 \pm 0.16$$



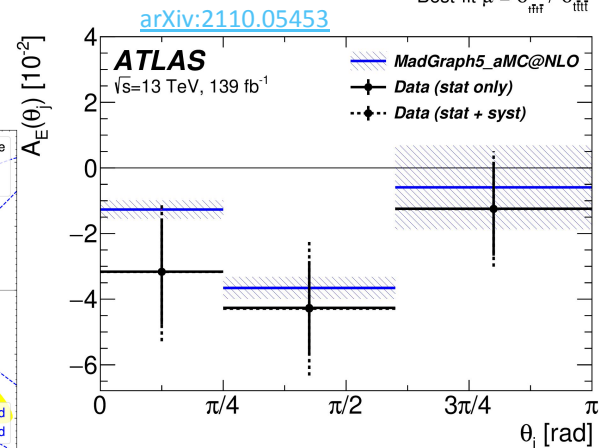
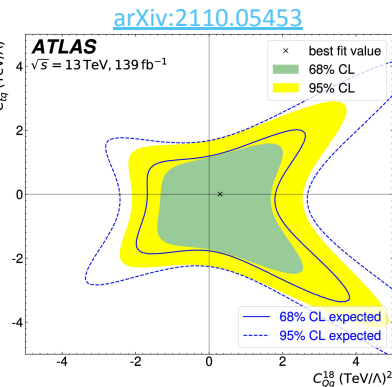
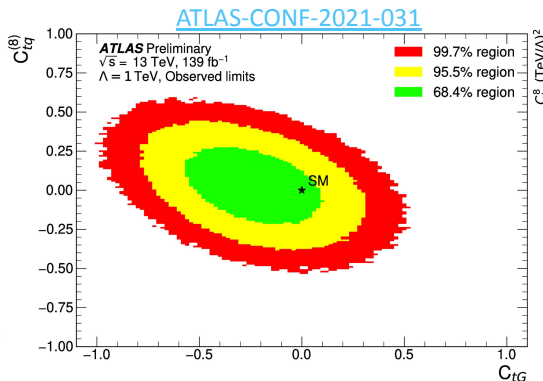
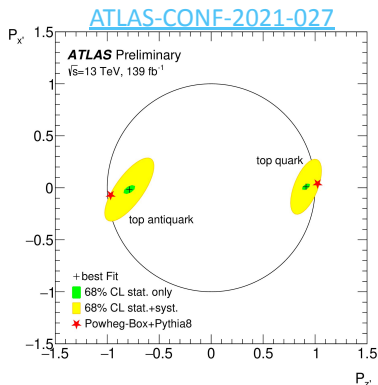
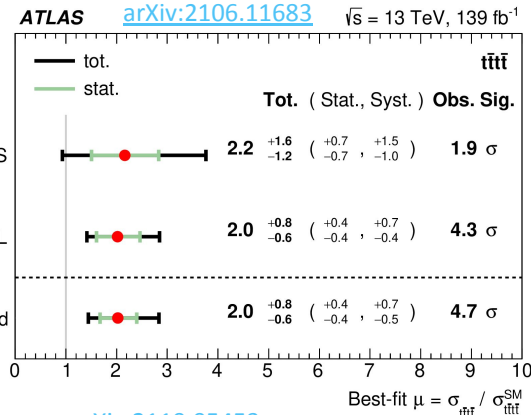
Measured differential cross-sections of the  $\cos(\theta_{lx'})$  and  $\cos(\theta_{ly'})$  variables are **fitted to an EFT prediction**.

- $\theta_{lx'}$  and  $\theta_{ly'}$  are **angles of the charged lepton**.
- The measurements are **consistent with SM predictions**.
- **Angular distributions** as a function of  $C(tW)$  and  $C(itW)$  coefficients
  - **Good agreement** between the **model** and the **data**,
  - **Good agreement** with the **SM prediction**.



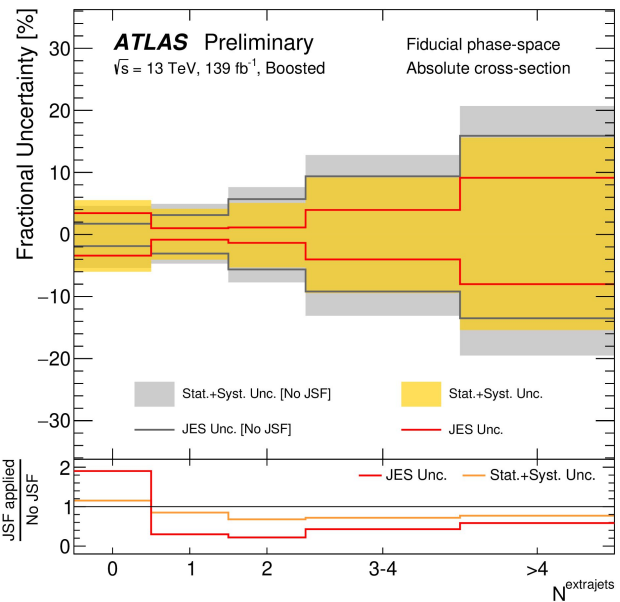
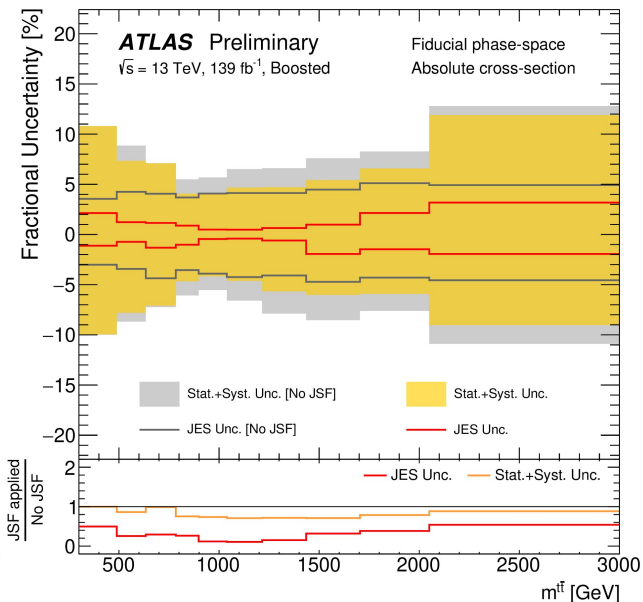
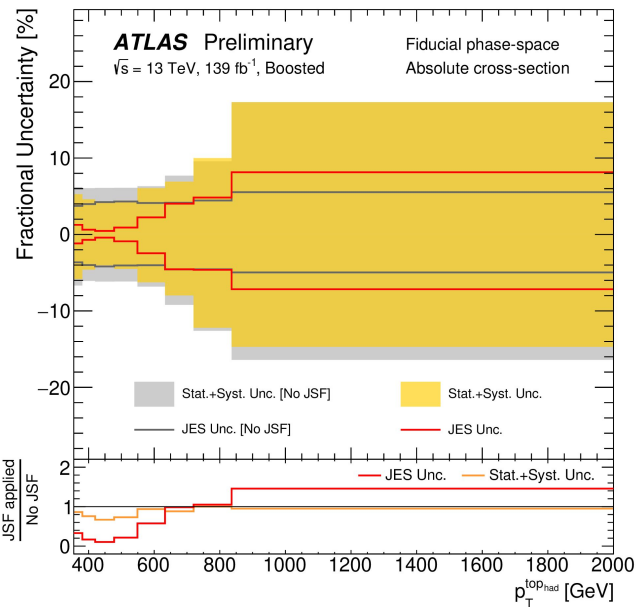
Best fit values at 95% CL:  
 $C_{tW} = 0.4 \pm 1.1$   
 $C_{itW} = -0.3 \pm 0.4$  (improvement)

- The presented measurements show **good agreement with the SM predictions**.
- Limits on **LH BR(t→Zu) improved 3 times** and **LH BR(t→Zc) 2 times**.
- **4.7σ significance** is achieved in the **4-top** cross-section measurements.
- The top-quark pair cross-section measurements in boosted topology show that **higher-order predictions for the additional jets** may be needed.
- Several analyses demonstrate **promising results** to improve the **global EFT fit**.





# Backup





| Source                         | Uncertainty [%] | Uncertainty [%] (no JSF) |
|--------------------------------|-----------------|--------------------------|
| Statistical (data)             | $\pm 0.4$       | $\pm 0.4$                |
| JSF statistical (data)         | $\pm 0.4$       | —                        |
| Statistical (MC)               | $\pm 0.2$       | $\pm 0.1$                |
| Hard scatter                   | $\pm 0.5$       | $\pm 0.8$                |
| Hadronisation                  | $\pm 2.0$       | $\pm 1.8$                |
| Radiation (IFSR + $h_{damp}$ ) | +1.0<br>-1.6    | +1.4<br>-2.3             |
| PDF                            | $\pm 0.1$       | $\pm 0.1$                |
| Top-quark mass                 | +0.8<br>-1.1    | $\pm 0.1$                |
| Jets                           | $\pm 0.7$       | $\pm 4.2$                |
| $b$ -tagging                   | $\pm 2.4$       | $\pm 2.4$                |
| Leptons                        | $\pm 0.8$       | $\pm 0.8$                |
| $E_T^{\text{miss}}$            | $\pm 0.1$       | $\pm 0.1$                |
| Pileup                         | $\pm 0.4$       | $\pm 0.0$                |
| Luminosity                     | $\pm 1.8$       | $\pm 1.8$                |
| Backgrounds                    | $\pm 0.7$       | $\pm 0.6$                |
| Total systematics              | +4.1<br>-4.3    | +5.8<br>-6.0             |
| Total                          | +4.1<br>-4.3    | +5.8<br>-6.0             |