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## $K^*(892)^0$ and $\phi(1020)$ production in p–Pb collisions at $\sqrt{s_{NN}} = 8.16$ TeV

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### Abstract

The production of  $K^*(892)^0$  and  $\phi(1020)$  resonances has been measured in p–Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV using the ALICE detector. Resonances are reconstructed via their hadronic decay channels in the rapidity interval  $-0.5 < y < 0$  and the transverse momentum spectra are measured for various multiplicity classes up to  $p_T = 20$  GeV/ $c$  for  $K^*(892)^0$  and  $p_T = 16$  GeV/ $c$  for  $\phi(1020)$ . The  $p_T$ -integrated yields and mean transverse momenta are reported and compared with previous results in pp, p–Pb and Pb–Pb collisions. The  $x_T$  scaling for  $K^*(892)^0$  and  $\phi(1020)$  resonance production is newly tested in p–Pb collisions and found to hold in the high- $p_T$  region at Large Hadron Collider energies. The nuclear modification factors ( $R_{pPb}$ ) as a function of  $p_T$  for  $K^{*0}$  and  $\phi$  at  $\sqrt{s_{NN}} = 8.16$  TeV are presented along with the new  $R_{pPb}$  measurements of  $K^{*0}$ ,  $\phi$ ,  $\Xi$ , and  $\Omega$  at  $\sqrt{s_{NN}} = 5.02$  TeV. At intermediate  $p_T$  (2–8 GeV/ $c$ ),  $R_{pPb}$  of  $\Xi$ ,  $\Omega$  show a Cronin-like enhancement, while  $K^{*0}$  and  $\phi$  show no or little nuclear modification. At high  $p_T$  ( $> 8$  GeV/ $c$ ), the  $R_{pPb}$  values of all hadrons are consistent with unity within uncertainties. The  $R_{pPb}$  of  $K^*(892)^0$  and  $\phi(1020)$  at  $\sqrt{s_{NN}} = 8.16$  and 5.02 TeV show no significant energy dependence.

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\*See Appendix A for the list of collaboration members

## 1 Introduction

High-energy heavy-ion (A–A) collisions provide a unique opportunity to study the deconfined quark–gluon plasma (QGP) created in such collisions [1–3]. The hot and dense medium created in heavy-ion collisions evolves with time and cools down to form a phase where hadron resonance gas is studied. Evidence at Relativistic Heavy Ion Collider (RHIC) and the Large Hadron Collider (LHC) suggest that the QGP phase is followed by a hadronic phase where the hadrons interact via rescattering and regeneration processes, before the final freeze-out. Resonances are short-lived hadrons that decay via the strong interactions. They play an important role to understand the particle production mechanisms and for the characterization of the dynamic evolution of the system formed in heavy-ion collisions. They are used as a sensitive probe of the hadronic phase, where their mass, width and yield could be modified due to interaction of their decay products through re-scattering and regeneration processes [4–15]. ALICE has previously measured K\*(892)<sup>0</sup> and  $\phi(1020)$  production in pp collisions at  $\sqrt{s} = 5.02, 7, 8$  and 13 TeV [16–22], in p–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV [23] and Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 2.76$  and 5.02 TeV [13, 15, 18, 19].

Proton–lead collisions are intermediate between pp and Pb–Pb collisions in terms of the size of the colliding system and the produced particle multiplicities. Recent measurements in high–multiplicity pp, p–Pb and d–Au collisions at different energies have uncovered strong flow-like effects even in these small collision systems [14, 22–25], whose origin is not fully understood. To investigate the mechanism of particle production and the origin of these effects, the ALICE Collaboration has studied the multiplicity dependence of light–flavor particle production for many species like  $\pi^\pm$ ,  $K^\pm$ ,  $K_S^0$ , K\*(892)<sup>0</sup>,  $\phi(1020)$ ,  $\Lambda$ ,  $\Lambda(1520)$ ,  $\Sigma^{*\pm}$ ,  $\Xi^\pm$ ,  $\Xi^{*0}$ ,  $\Omega^\pm$  in p–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV [23, 26–29] and in pp collisions at  $\sqrt{s} = 7$  and 13 TeV [17, 20, 22, 30]. This paper reports on the multiplicity dependence of K\*(892)<sup>0</sup> and  $\phi(1020)$  meson production at the highest center-of-mass energy,  $\sqrt{s_{\text{NN}}} = 8.16$  TeV, reached at the LHC in p–Pb collisions. This provides an opportunity to extend the previous studies of production of these particles in p–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV [23] to a higher multiplicity reach and a larger  $p_T$  coverage. Hadron production is governed by the soft and hard scattering processes at LHC energies. The bulk of particles produced in high energy collisions is dominated by low transverse momentum particles from soft interactions, which are nonperturbative in nature. The yield of particles at low  $p_T$  is not well understood from the first principles of QCD and their description relies on phenomenological QCD-based models such as EPOS-LHC, DPMJET and HIJING. The measurements in the low-momentum region of the spectra presented in this article provide input for the tuning of these event generators. In this paper, measurements of K\*(892)<sup>0</sup> and  $\phi(1020)$  are compared with predictions from EPOS-LHC [31], DPMJET [32] and HIJING [33].

The transverse momentum spectra of light–flavor hadrons have shown a clear evolution with multiplicity in high-energy pp and p–Pb collisions [17, 22, 23, 26, 34], similar to that observed in Pb–Pb collisions [13, 18, 19, 35, 36], where in the latter case the effect is usually attributed to a collective expansion of the system. The increase in slope of the  $p_T$  spectra as a function of multiplicity attributed to the radial flow is related to the low- $p_T$  region of the spectrum, where flow is relevant. This feature is also reflected in an increase of the average transverse momentum  $\langle p_T \rangle$  with multiplicity. In contrast to the yields  $dN/dy$ , which evolve smoothly as a function of multiplicity for different collision systems, the  $\langle p_T \rangle$  of light–flavour hadrons as well as K\*(892)<sup>0</sup> and  $\phi(1020)$ , rises faster as a function of multiplicity in pp and p–Pb collisions than in Pb–Pb collisions, as discussed in Refs. [20, 22, 23]. The new measurements, with the highest multiplicity reach in p–Pb collisions, and comparison with the different model predictions can be used to further extend these studies.

The high- $p_T$  particle production is analyzed within the framework of perturbative Quantum Chromodynamics (pQCD) which features a nearly scale-invariant behavior of elementary parton–parton hard-scattering processes [37, 38]. The convolution of hard scattering cross sections with the parton distribution functions (PDFs) of incident hadrons and fragmentation functions (FFs) leads to the observed scaling

of the inclusive invariant cross section  $Ed^3\sigma/dp^3$  as  $p_T^{-n}$  at fixed transverse  $x$ ,  $x_T = 2p_T/\sqrt{s}$  [39, 40]. The exponent  $n$  can be related to the scattering processes in which high- $p_T$  hadrons are produced. If hadrons are produced by leading twist (LT)  $2 \rightarrow 2$  hard subprocesses, then  $n \approx 4$ , and for higher twist (HT) processes,  $n \approx 8$ . It has been observed that the exponent value decreases with increasing collision energy, which suggests that the contribution of higher twist processes on high- $p_T$  hadron production is reduced as a function of energy. The transverse momentum distributions of different particle species at high  $p_T$  are observed to satisfy a universal  $x_T$  scaling over a wide energy range up to  $\sqrt{s} = 13$  TeV. This scaling behavior was observed by the CDF [41–43] and UA1 [44] Collaborations in  $p(\bar{p})$  collisions, and by the STAR [45], ALICE [46] and CMS [47] Collaborations in  $pp$  collisions. In this paper, the  $x_T$  scaling of  $K^*(892)^0$  and  $\phi(1020)$  mesons are tested in p–Pb collisions at LHC energies. The transverse momentum distributions of the particles in p–Pb collisions are compared to those in  $pp$  collisions using the nuclear modification factor ( $R_{pPb}$ ). The measurement of  $R_{pPb}$  acts as a control experiment observable in p–Pb collisions [48] in the context of the observed high- $p_T$  hadron suppression in Pb–Pb collisions [15]. In this paper,  $R_{pPb}$  measurements of  $K^*(892)^0$  and  $\phi(1020)$  in p–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  and 8.16 TeV, and that of  $\Xi$  and  $\Omega$  in p–Pb collisions at 5.02 TeV are reported. Similar measurements are also reported for strange and multi-strange hadrons by CMS [49], and for  $\pi^\pm$ ,  $K^\pm$  and  $p(\bar{p})$  by ALICE [26] in p–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV. At high  $p_T$  ( $> 8$  GeV/ $c$ ), the values of  $R_{pPb}$  for all light hadrons are similar and found to be consistent with unity within the uncertainties. At intermediate  $p_T$  ( $2 < p_T < 8$  GeV/ $c$ ), the values of  $R_{pPb}$  for strange baryons ( $\Xi$ ,  $\Omega$ ) show an enhancement with a clear mass dependence [49]. In this  $p_T$  region, the hard scattering processes start to dominate over soft processes and the momentum range where this transition may happen depend on the mass and quark composition of the particle species. The measurements of strange particles produced in high multiplicity p–Pb collisions [27, 50] suggested the presence of radial flow [51]. Due to the radial flow effect, hadrons of greater mass are pushed towards the higher transverse momentum and the effect increases with hadron mass as well as multiplicity [23, 51]. However, it should be noted that some final state effects such as color reconnection in PYTHIA [52] which can mimic the radial flow-like effect and EPOS-LHC [31] which uses parameterized flow could describe the modification of transverse momentum spectra. The difference in the production mechanism of baryon and meson has been observed in particle ratios [23, 51] and the nuclear modification factors [25, 26, 45, 49, 53]. The enhanced production of baryon ( $R_{pPb} > 1$ ) may happen as a result of hadronization by parton recombination [54]. In addition, there are several initial-state effects such as isospin effect, Cronin effect, cold-nuclear matter energy loss and nuclear shadowing that can result in  $R_{pPb} \neq 1$  [55]. The Cronin enhancement [56] in the intermediate  $p_T$  are reported in the low energy experiments [57, 58]. Similar enhancement is observed for (anti-)proton compared to pion and kaon in p–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV [26]. In this paper, the particle species and collision energy dependence of  $R_{pPb}$  is studied for p–Pb collisions at LHC energies.

Throughout this paper, the results for  $K^*(892)^0$  and  $\bar{K}^*(892)^0$  are averaged and denoted by the symbol  $K^{*0}$ , while  $\phi(1020)$  is denoted by  $\phi$ . The paper is organized as follows. In Sec. 2, the dataset, event and track selection criteria, the analysis techniques, the procedure for extraction of the yields, and the study of the systematic uncertainties are briefly discussed. In Sec. 3, the results on the transverse momentum spectra, the  $dN/dy$ ,  $\langle p_T \rangle$ ,  $x_T$  scaling, and  $R_{pPb}$  in p–Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV are presented. Finally, the results are summarized in Sec. 4.

## 2 Data analysis

The measurements of  $K^{*0}$  and  $\phi$  meson production in p–Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV have been performed on data collected with the ALICE detector in the year 2016. The resonances are reconstructed via their hadronic decay channels with branching ratios (BR) of 66.6% for  $K^{*0} \rightarrow \pi^\pm K^\mp$  and 49.2% for  $\phi \rightarrow K^+ K^-$  in the rapidity interval  $-0.5 < y < 0$ , where  $y$  stands for the rapidity in the nucleon–nucleon

**Table 1:** Mean charged particle multiplicity densities ( $\langle dN_{ch}/d\eta \rangle$ ) measured in pseudorapidity range  $|\eta_{lab}| < 0.5$ , corresponding to the various multiplicity classes defined using the V0A detector in p–Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV [62].

V0A percentile (%)	$\langle dN_{ch}/d\eta \rangle_{ \eta_{lab}  < 0.5}$
0–5	$53.22 \pm 1.38$
5–10	$42.40 \pm 1.10$
10–20	$35.49 \pm 0.92$
20–40	$26.89 \pm 0.70$
40–60	$18.39 \pm 0.48$
60–80	$10.97 \pm 0.29$
80–100	$4.47 \pm 0.14$

center-of-mass. For both  $K^{*0}$  and  $\phi$ , the analysis is performed in various multiplicity classes and also using a multiplicity-integrated sample.

## 2.1 Event selection

The detailed description of the ALICE detector setup and its performance can be found in Refs. [59, 60]. In p–Pb configurations, the  $^{208}\text{Pb}$  beam circulates towards the positive  $z$  direction in the ALICE laboratory frame, while the proton beam circulates in the opposite direction. Due to the asymmetric system, the center-of-mass frame is shifted in the rapidity by  $\Delta y = -0.465$  in the direction of the proton beam with respect to the laboratory frame. The minimum bias trigger was configured to select events by requiring at least a coincidence signal in both the V0A and V0C detectors [61, 62]. The V0 detector system consists of two arrays of 32 scintillator detectors, one on each side of the interaction point covering the full azimuthal angle in the pseudorapidity regions  $2.8 < \eta < 5.1$  (V0A) and  $-3.7 < \eta < -1.7$  (V0C). The background events due to beam–gas interaction and other machine-induced background collisions are rejected using the timing information from the V0 and the Zero Degree Calorimeter (ZDC) [60]. The primary vertex of a collision is determined using charged tracks reconstructed in the Inner Tracking System (ITS) [63] and the Time Projection Chamber (TPC) [64]. The events are selected whose primary vertex position along the beam axis ( $v_z$ ,  $z$  is the longitudinal direction) is within  $\pm 10$  cm from the nominal interaction point. Pile-up events from the triggered bunch crossing are rejected if multiple collision vertices are identified in the Silicon Pixel Detector (SPD), which is the innermost detector of the ITS [60, 64]. The total number of events analyzed after applying the event selection criteria is about 30 million. The minimum bias events are further divided into seven multiplicity classes, according to the total charge deposited in the forward V0A detector [61]. The yield of  $K^{*0}$  and  $\phi$  are measured in the rapidity interval  $-0.5 < y < 0$  for the following event multiplicity classes, 0–5%, 5–10%, 10–20%, 20–40%, 40–60%, 60–80% and 80–100%. The  $p_T$  spectra normalized to the fraction of non-single-diffractive (NSD) events are also obtained for both  $K^{*0}$  and  $\phi$ . The mean charged-particle multiplicity ( $\langle dN_{ch}/d\eta \rangle$ ) corresponding to each multiplicity class, and measured in the pseudorapidity interval  $|\eta_{lab}| < 0.5$ , is given in Table 1 taken from [62].

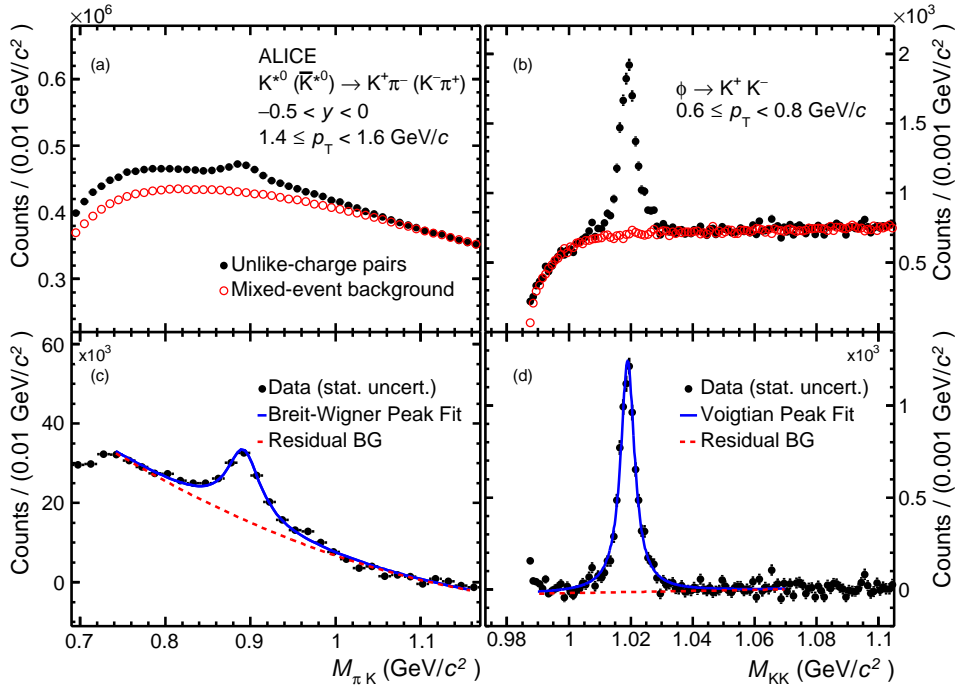
## 2.2 Track selection and particle identification

The charged tracks coming from the primary vertex are selected in the pseudorapidity interval  $|\eta| < 0.8$  with  $p_T > 0.15$  GeV/ $c$ . This ensures the uniform acceptance for the central barrel detectors. The high quality tracks are chosen based on selection criteria as done previously in Ref. [23]. The  $K^{*0}$  and  $\phi$  mesons are reconstructed from the charged tracks which have crossed at least 70 out of maximum 159 horizontal segments along the transverse readout plane of the TPC. The contamination from secondary particles originating from weak decays and beam background events are reduced by applying a selection on the distance of closest approach to the primary vertex in the transverse plane ( $DCA_{xy}$ ) and along the

longitudinal direction ( $DCA_z$ ). A  $p_T$ -dependent cut of  $DCA_{xy}(p_T) < (0.0105 + 0.035p_T^{-1.1})$  cm, with  $p_T$  in GeV/ $c$ , is used, which is less than 7 times its resolution. The track  $DCA_z$  is required to be less than 2 cm [65]. The decay daughters (pions and kaons) of resonances are identified by measuring the specific ionization energy loss ( $dE/dx$ ) in the detector gas of the TPC and their time-of-flight information using the TOF [66]. The  $dE/dx$  resolution of the TPC is denoted as  $\sigma_{TPC}$  and the charged tracks are identified as pions and kaons if the mean specific energy loss measured by the TPC is within  $6\sigma_{TPC}$ ,  $3\sigma_{TPC}$ , and  $2\sigma_{TPC}$  from the expected  $\langle dE/dx \rangle$  values in the momentum range  $p < 0.3$  GeV/ $c$ ,  $0.3 < p < 0.5$  GeV/ $c$  and  $p > 0.5$  GeV/ $c$ , respectively. In addition to the TPC, if the TOF information is available, then the charged tracks are identified by requiring the time-of-flight values within  $3\sigma_{TOF}$  of the expected values for the full momentum range.

### 2.3 Yield extraction

The  $K^{*0}$  and  $\phi$  resonances are reconstructed from their decay products using the invariant mass technique. The invariant mass distributions are obtained from unlike charge  $\pi K$  (for  $K^{*0}$ ) and  $KK$  (for  $\phi$ ) pairs in the same event. The distributions exhibit a signal peak and a large combinatorial background from the uncorrelated  $\pi K$  ( $KK$ ) pairs. The combinatorial background is estimated using two methods, mixed-event and like-sign. In the mixed-event method, the tracks from one event are paired with oppositely charged tracks from other events. Each event is mixed with five other events to reduce the contribution of statistical uncertainty from the background distribution. The events which are mixed are selected to have similar characteristics like the longitudinal position of primary vertex ( $v_z$ ) must differ by less than 1 cm and the multiplicity percentiles computed using the V0A amplitude must differ by less than 5%. The mixed-event distributions for  $K^{*0}$  ( $\phi$ ) are normalized in the mass region  $1.1 < m_{inv} < 1.15$  GeV/ $c^2$  ( $1.04 < m_{inv} < 1.15$  GeV/ $c^2$ ) that is approximately five  $\sigma$  away from the mass peak of each particle. In the like-sign method, tracks of identical charges from the same events are paired and the invariant mass distribution for the uncorrelated background is obtained as the geometric mean  $2\sqrt{n^{++} \times n^{--}}$ , where  $n^{++}$  and  $n^{--}$  are the number of positive-positive and negative-negative pairs in each invariant mass bin, respectively. The mixed-event technique is the default method used for the extraction of yield both for  $K^{*0}$  and  $\phi$  whereas the like-sign background is used for the estimation of the systematic uncertainty. In Fig. 1, panels (a) and (b) show the invariant mass distributions of  $\pi^+K^\pm$  and  $K^+K^-$  pairs from the same events and the mixed-events in the transverse momentum interval  $1.4 \leq p_T < 1.6$  and  $0.6 \leq p_T < 0.8$  GeV/ $c$  for 0–100% in p–Pb collisions, respectively. The  $\pi^+K^\pm$  and  $K^+K^-$  invariant mass distributions after mixed-event background subtraction are shown in panels (c) and (d) of Fig. 1, respectively, where the characteristic signal peak is observed on top of the residual background. The residual background arises due to correlated pairs from jets, misidentification of the decay daughters of resonances and decay of other particles [23]. The raw yields of resonances are extracted in each  $p_T$  bin and multiplicity class. The signal peak is fitted with a Breit-Wigner and a Voigtian function (convolution of Breit-Wigner and Gaussian functions) for  $K^{*0}$  and  $\phi$ , respectively. A second order polynomial function is used to describe the shape of the residual background for both resonances. The signal peak fit is performed in the range  $0.75 < M_{K\pi} < 1.15$  GeV/ $c^2$  ( $0.99 < M_{KK} < 1.07$  GeV/ $c^2$ ) for  $K^{*0}$  ( $\phi$ ). The widths of the  $K^{*0}$  and  $\phi$  are fixed to their PDG values  $\Gamma(K^{*0}) = 47.4 \pm 0.6$  MeV/ $c^2$ ,  $\Gamma(\phi) = 4.26 \pm 0.04$  MeV/ $c^2$  [67], whereas the resolution parameter of the Voigtian function for  $\phi$  is kept as a free parameter. The measured resolution of the  $\phi$  mass as a function of  $p_T$  ( $\sigma$  of Gaussian) varies between 1 and 3 MeV/ $c^2$ . The sensitivity to the choice of the fitting range, the normalization interval, the shape of the background function, the width and resolution parameters have been studied by varying the default settings, as described in Sec. 2.4. In minimum bias collisions,  $K^{*0}$  ( $\phi$ ) production is measured in the  $p_T$  range from 0 to 20 GeV/ $c$  (0.4 to 16 GeV/ $c$ ). With the available data samples,  $K^{*0}$  production is measured up to  $p_T = 15$  GeV/ $c$  in 0–5% and 5–10%, up to  $p_T = 20$  GeV/ $c$  in 10–20%, 20–40% and 40–60%, up to 10 GeV/ $c$  in 60–80% and up to 6 GeV/ $c$  in 80–100% multiplicity classes, while the  $\phi$  production is measured up to  $p_T = 16$  GeV/ $c$  in 0–5%, 5–10%, 10–20%, 20–40%,  $p_T = 12$  GeV/ $c$  in 40–60%,  $p_T = 10$  GeV/ $c$  in 60–80% and  $p_T = 6$  GeV/ $c$  in 80–100% multiplicity class.



**Figure 1:** Invariant mass distributions for  $K^{*0}$  and  $\phi$  in the multiplicity class 0–100% and transverse momentum range  $1.4 \leq p_T < 1.6$  GeV/c and  $0.6 \leq p_T < 0.8$  GeV/c, respectively. In the upper panels, (a) and (b), black markers show the unlike-sign invariant mass distributions and red markers show the normalized mixed event background. After the background subtraction the signals are shown in the lower panels (c) and (d). The  $K^{*0}$  peak is described by a Breit-Wigner function whereas the  $\phi$  peak is fitted with a Voigtian function. The residual background is described by the 2<sup>nd</sup> order polynomial function.

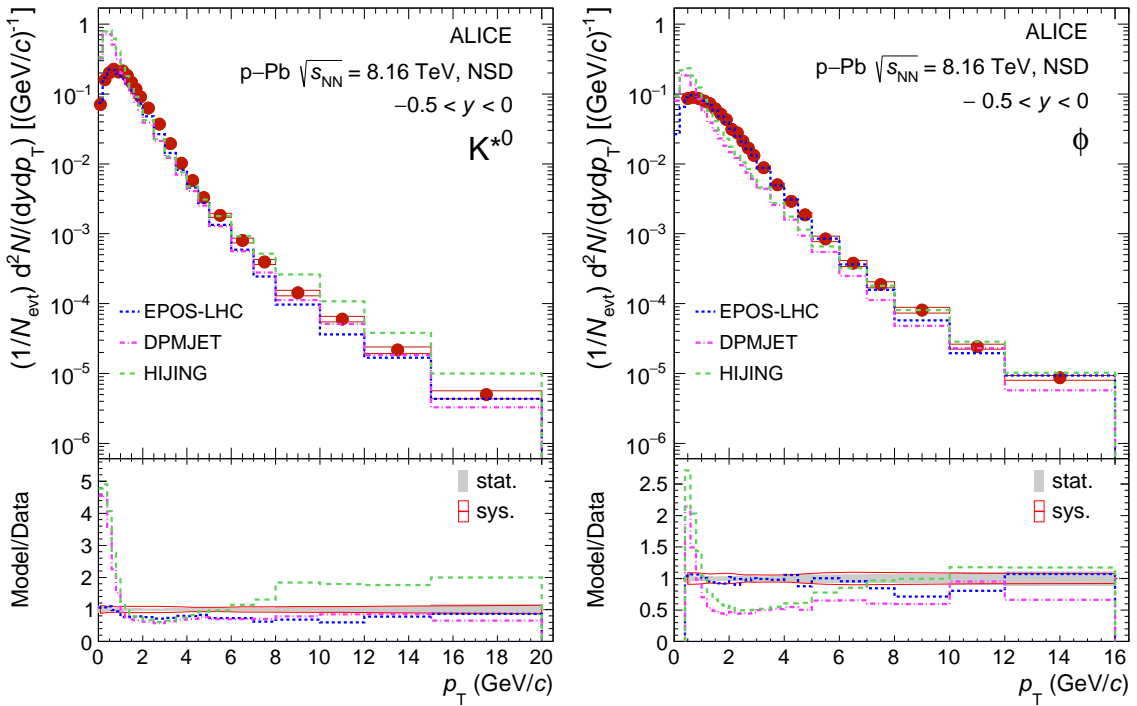
The raw transverse momentum distributions are normalized by the number of accepted events and corrected for the branching ratio, detector acceptance and reconstruction efficiency ( $A \times \epsilon_{\text{rec}}$ ) and, signal loss. The correction factor due to the vertex reconstruction efficiency is negligible in all multiplicity classes. The  $A \times \epsilon_{\text{rec}}$  is obtained from the Monte Carlo simulation (MC) based on the DPMJET [32] event generator and the interaction of the generated particles passing through the ALICE detector geometry is modeled using GEANT3 [68]. It is defined as the ratio of the reconstructed  $K^{*0}$  ( $\phi$ ) to the generated  $K^{*0}$  ( $\phi$ ), both in the rapidity interval  $-0.5 < y < 0$ , and determined as a function of  $p_T$ . The same track and particle identification (PID) selection criteria are applied to the decay daughter of resonances in MC as are used in the analysis. The shape of the generated  $p_T$  distributions are different from the measured  $p_T$  distributions, therefore a re-weighting procedure is used, in which the generated distributions are weighted to match the measured distributions. The effect of the re-weighting procedure on  $A \times \epsilon_{\text{rec}}$  is  $\approx 2 - 5\%$  at low  $p_T$  ( $< 1$  GeV/c) and negligible for  $p_T > 1$  GeV/c. The re-weighted  $A \times \epsilon_{\text{rec}}$  is used to correct the raw  $p_T$  distribution. No significant multiplicity dependence of  $A \times \epsilon_{\text{rec}}$  is observed, therefore the raw  $p_T$  spectra in the various multiplicity classes are corrected with the minimum bias  $A \times \epsilon_{\text{rec}}$  values. The signal loss corrections that account for the loss in  $K^{*0}$  and  $\phi$  yields caused by the event selection with minimum bias trigger, rather than all NSD events, are found to be negligible in the measured  $p_T$  range. The minimum bias  $p_T$  spectra are normalized to the fraction of NSD events, which is 0.992.

## 2.4 Systematic uncertainties

The sources of systematic uncertainties of the measurement of  $K^{*0}$  and  $\phi$  production are signal extraction, track selection criteria, particle identification, global tracking efficiency, uncertainty in the material budget of the ALICE detector and the hadronic interaction cross section in the detector material. A similar approach is adopted as used for the systematic uncertainty study of  $K^{*0}$  and  $\phi$  in p–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV [23]. No multiplicity dependence of the systematic effects is observed, therefore the systematic uncertainties of minimum bias  $p_T$  spectra are propagated for all multiplicity event classes studied. A summary of systematic uncertainties for  $K^{*0}$  ( $\phi$ ) in two transverse momentum intervals,  $0 < p_T < 4$  GeV/ $c$  ( $0.4 < p_T < 4$  GeV/ $c$ ) and  $4 < p_T < 20$  GeV/ $c$  ( $4 < p_T < 16$  GeV/ $c$ ) are given in Table 2. The uncertainties due to signal extraction include variations of the signal peak fitting range, variations of width and mass resolution, mixed–event background normalization region, choice of residual background function and combinatorial background. The fitting range of the  $\pi K$  (KK) invariant mass distribution is varied by  $\approx 50$  (5) MeV/ $c^2$  on each side of the signal peak. The normalization range of the  $\pi K$  (KK) invariant mass distributions differed by approximately 150 (50) MeV/ $c^2$  with respect to the default value. The width of the resonances is fixed for the default fit whereas it is kept free for systematic studies. The residual background is fitted with a first–order and third–order polynomial function for the systematic studies of the signal extraction. For  $\phi$  resonance, the effect of the variation of the resolution parameter ( $\sigma$  of the Gaussian) on the yield is also included in the systematic uncertainties. The combinatorial background from the like-sign method is used for systematic studies. The contribution of systematic uncertainties due to the signal extraction is 7.5–8% for  $K^{*0}$  and 2.8–4.5% for  $\phi$ . The systematic effects due to the charged track selection are studied by varying the criteria based on the number of crossed readout rows in the TPC and the distance of closest approach to the primary vertex of the collision [65]. The relative contribution of uncertainties due to the track selection are 2–3 % for  $K^{*0}$  and about 4.4–5.5% for the  $\phi$ . For the PID systematic uncertainty, the selections based on the TPC  $dE/dx$  and TOF time-of-flight are varied. Three variations are taken where one is a momentum dependent PID selection of  $5\sigma_{\text{TPC}}$  ( $0 < p < 0.3$ ),  $2.5\sigma_{\text{TPC}}$  ( $0.3 < p < 0.5$ ),  $1.5\sigma_{\text{TPC}}$  ( $p > 0.5$ ) with  $3\sigma_{\text{TOF}}$ , and two momentum-independent selection;  $2\sigma_{\text{TPC}}$  with  $3\sigma_{\text{TOF}}$  and  $2\sigma_{\text{TPC}}$  only, for both  $K^{*0}$  and  $\phi$ . This results in systematic uncertainties of 4.3–5% for  $K^{*0}$  and 1.9–3.5% for the  $\phi$ . The uncertainty related to global tracking arises from the difference in the ITS-TPC track matching efficiency in data and MC. It is estimated from the single charged track uncertainty by taking the linear sum of the uncertainties of the two charged tracks which are used to reconstruct the resonances. It contributes to the systematic uncertainties with 2–3.2% and 2–2.3% for  $K^{*0}$  and  $\phi$ , respectively. The material budget systematic effects account for the uncertainties in the estimation of the ALICE detector material budget and is estimated to be 1.2% for  $K^{*0}$  and 2.2% for  $\phi$  at low  $p_T$ . It is negligible at  $p_T > 4$  GeV/ $c$  for both  $K^{*0}$  and  $\phi$ . The systematic uncertainty due to the hadronic interaction cross section in the detector material is estimated to be 1.9% for  $K^{*0}$  and 2.4% for  $\phi$  at low  $p_T$ , and negligible for  $p_T > 4$  GeV/ $c$ . The effects of material budget and hadronic interaction are evaluated by combining the uncertainties of the two charged tracks ( $\pi$ , K for  $K^{*0}$  and two K for  $\phi$ ) according to the kinematics of the decay. The systematic uncertainties of the material budget and the hadronic interaction cross section were taken from [23]. The total systematic uncertainty is taken as the quadratic sum of all contributions and varies as 9.6–10.2% for  $K^{*0}$  and 6.7–8.3% for  $\phi$ . The sources of systematic uncertainties that are multiplicity-dependent and uncorrelated across different multiplicity classes are also estimated. The systematic uncertainties due to signal extraction and PID are fully uncorrelated, whereas global tracking, track selection criteria, material budget and hadronic cross section are correlated among event multiplicity classes.

**Table 2:** The sources of systematic uncertainties for  $K^{*0}$  and  $\phi$  yields in p-Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV. For each source, the average uncertainties are listed for the low and high- $p_T$  intervals.

Systematic variation	$K^{*0}$		$\phi$	
	$p_T$ (GeV/c)			
	0.0-4.0	4.0-20.0	0.4-4.0	4.0-16.0
Yield extraction (%)	7.5	8.0	2.8	4.5
Track selection (%)	3.0	2.0	4.4	5.5
Particle identification (%)	4.3	5.0	1.9	3.5
Global tracking efficiency (%)	2.0	3.2	2.0	2.3
Material budget (%)	1.2	< 0.5	2.2	< 0.5
Hadronic Interaction (%)	1.9	< 0.5	2.4	< 1
Total (%)	9.6	10.2	6.7	8.3



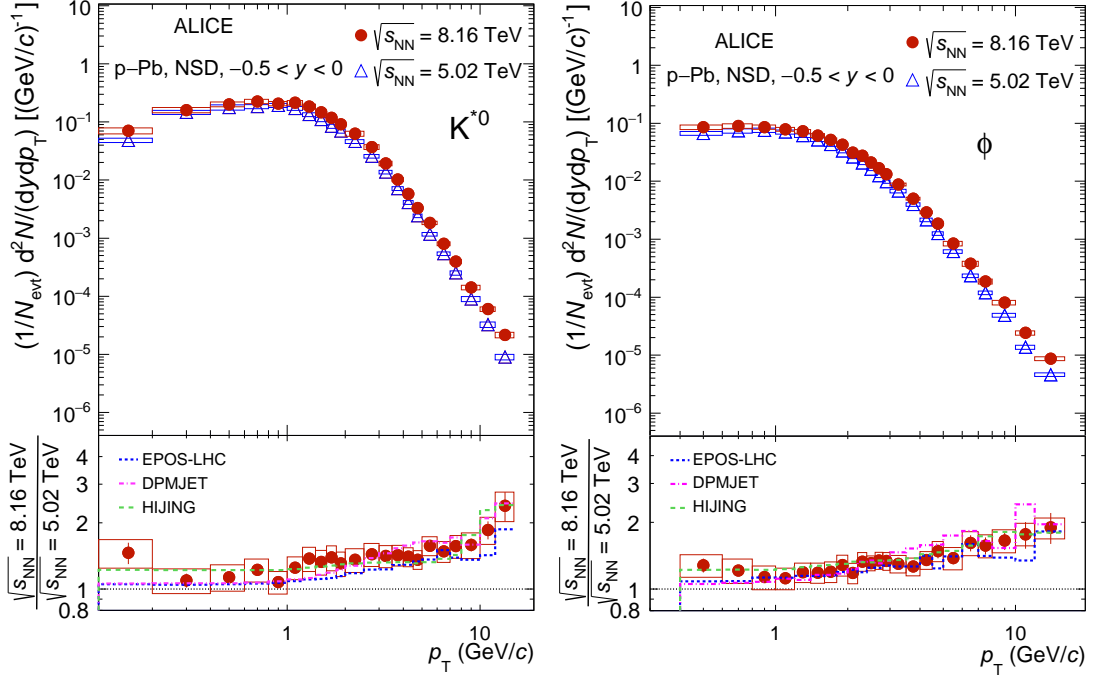
**Figure 2:** Top panels: Transverse momentum spectrum of  $K^{*0}$  (left) and  $\phi$  (right) as a function of  $p_T$  for the NSD events, measured in the rapidity interval  $-0.5 < y < 0$  for p-Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV. The statistical and systematic uncertainties are shown as bars and boxes, respectively. The NSD spectrum is compared with the predictions from EPOS-LHC [31, 69], DPMJET [32] and HIJING [33]. Bottom panels: The ratios of  $p_T$  spectra from model to data. The shaded bands around unity describe the statistical and systematic uncertainties of the data point.

### 3 Results and discussion

#### 3.1 Transverse momentum spectra

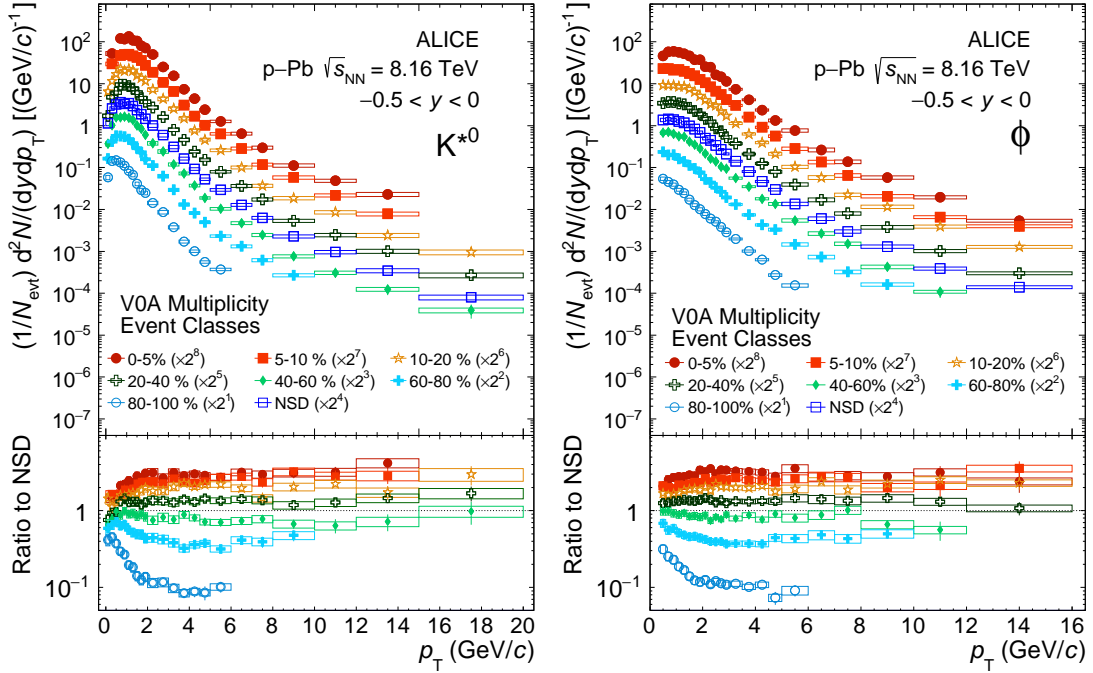
The measurement of  $K^{*0}$  ( $\phi$ ) production performed in the rapidity interval  $-0.5 < y < 0$  up to  $p_T = 20$  (16) GeV/c in p-Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV is reported. Figure 2 shows  $p_T$  spectra of  $K^{*0}$  (left panel) and  $\phi$  (right panel) for NSD events. These are compared with the predictions from EPOS-LHC [31, 69], DPMJET [32] and HIJING [33] models.





**Figure 3:** Top panels: Energy dependence comparison of the transverse momentum spectra of  $K^{*0}$  (left) and  $\phi$  (right) as a function of  $p_T$  for the NSD events, measured in the rapidity interval  $-0.5 < y < 0$  for p-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  and  $8.16$  TeV. Bottom panels: The ratio of  $p_T$  spectrum at  $\sqrt{s_{NN}} = 8.16$  TeV to the  $p_T$  spectrum at  $\sqrt{s_{NN}} = 5.02$  TeV. The ratio is compared with the predictions from EPOS-LHC [31, 69], DPMJET [32] and HIJING [33]. The statistical and systematic uncertainties are shown as bars and boxes, respectively.

The bottom panels of Fig. 2 show the ratios of  $p_T$  spectra from these models to the data. The EPOS Monte Carlo event generator is a hadronic interaction parton model based on Gribov’s Reggeon field theory formalism which includes the feature of collective hadronization and the core-corona mechanism from pp to A–A collisions [70–72]. If the string segments of the final state parton have high energy density that region is known as the “core”, whereas the region with strings of low energy density surrounding the core is called the “corona”. The core evolves hydrodynamically and subsequently hadronizes to form the bulk of the system whereas the strings in the corona region break through the production of quark-antiquark pairs, which hadronize as fragmentation processes in vacuum. EPOS-LHC [31] is a tune of EPOS1.99 [73] that incorporates a parameterization of flow based on LHC data. The EPOS1.99 model is different from EPOS2.x [74] and EPOS3.x [69] as it does not use the complete 3D hydro calculation followed by the hadronic cascade but instead relies on the fast covariant approach. It describes various observables in minimum bias heavy-ion collisions as well as small collision systems up to a few  $\text{GeV}/c$  at LHC energies. DPMJET is a QCD-inspired dual parton model based on the Gribov-Glauber approach that treats the soft and hard scattering interaction processes differently. HIJING combines the perturbative QCD process with soft excitation, the production of multiple minijets, the interactions of jets in dense hadronic matter, and nuclear shadowing of parton distribution functions. For the  $K^{*0}$  resonance, at low  $p_T$  ( $< 1 \text{ GeV}/c$ ), DPMJET and HIJING models overestimate the data, whereas EPOS-LHC model gives a good description of the  $p_T$  spectrum. At  $p_T > 1 \text{ GeV}/c$ , DPMJET and EPOS-LHC underestimate and closer to the data, however HIJING model underestimates (similar to the DPMJET and EPOS-LHC) for  $1 < p_T < 5 \text{ GeV}/c$  and overestimates for  $p_T > 5 \text{ GeV}/c$ . The EPOS-LHC model describes the  $\phi$   $p_T$  spectrum relatively better than the DPMJET and HIJING for all  $p_T$ . However, HIJING models gives a good description of  $p_T$  distribution of  $\phi$  resonance for  $p_T > 6 \text{ GeV}/c$ . The EPOS-LHC model, where a different parametrization of flow is introduced in small collision systems like pp than the large volume produced in heavy-ion collisions, gives a better description of the transverse momentum distributions for



**Figure 4:** Top panels: The transverse momentum spectra of  $K^{*0}$  (left) and  $\phi$  (right) for various multiplicity classes, measured in the rapidity interval  $-0.5 < y < 0$  for p-Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV. Bottom panels: The ratios of  $p_T$  spectra of given event multiplicity classes to the NSD spectra are shown. The statistical and systematic uncertainties are shown as bars and boxes, respectively.

both  $K^{*0}$  and  $\phi$  in p-Pb collisions. Figure 3 shows the  $\sqrt{s_{NN}}$  dependence of the transverse momentum spectra of  $K^{*0}$  and  $\phi$  for NSD events in p-Pb collisions. The upper panels of Fig. 3 show a comparison of the transverse momentum spectra of  $K^{*0}$  and  $\phi$  at  $\sqrt{s_{NN}} = 5.02$  and 8.16 TeV whereas the lower panels show the ratio of the  $p_T$ -differential yield at  $\sqrt{s_{NN}} = 8.16$  to 5.02 TeV and its comparison with the results obtained from models [31–33, 69]. The uncertainties of the ratios are obtained as the sum in quadrature of the uncertainties of the spectra at the two energies, which are largely uncorrelated. Up to  $p_T$  1 GeV/c, the differential yield ratio seems to be independent of  $p_T$  and collision energy. The values are consistent with unity within uncertainties. It suggests that the particle production in the soft scattering region is not strongly dependent on collision energy. The differential yield ratios increase as a function of  $p_T$  for  $p_T \gtrsim 1$  GeV/c. Similar behavior is also observed in pp collisions in Ref. [21]. The  $p_T$  differential yield ratios obtained from EPOS-LHC, DPMJET, and HIJING are consistent with the measurements within the systematic uncertainties and reproduce well the energy dependence trend for  $K^{*0}$  and  $\phi$  in p-Pb collisions.

Figure 4 shows the transverse momentum distributions of  $K^{*0}$  (left panel) and  $\phi$  (right panel) in various multiplicity classes. The ratios of  $p_T$  spectra in various multiplicity classes to the  $p_T$  spectrum for NSD events are shown in the bottom panels of Fig. 4.

For  $p_T \lesssim 4$  GeV/c, the slopes of the  $p_T$  spectra increase from low to high multiplicity classes, whereas the spectral shapes are similar at high  $p_T$  for all multiplicity classes. This indicates that processes like radial flow, which lead to a change in the shape of the  $p_T$  spectra for various multiplicity classes, dominate mainly at low  $p_T$  [36]. The increase in the slope of  $p_T$  spectrum with multiplicity is reflected in Fig. 5 for  $\langle p_T \rangle$  as a function of multiplicity. A similar behavior was also observed for  $K^{*0}$  and  $\phi$  in p-Pb collisions [23] at  $\sqrt{s_{NN}} = 5.02$  TeV. The hardening of the  $p_T$  spectra with charged particle multiplicity was also reported for inclusive charged hadron spectra,  $\pi$ , K, p,  $K_S^0$ ,  $\Lambda$ ,  $\Xi$ , and  $\Omega$  in pp collisions at LHC energies [20, 22, 34, 75], where different models with multi-parton interactions were shown to describe

**Table 3:** The values of  $dN/dy$  and  $\langle p_T \rangle$  are presented for different multiplicity classes in p–Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV. In each entry, the first uncertainty is statistical and the second is systematic. The value given in the parentheses corresponds to uncorrelated part of the systematic uncertainty. The fraction of total yield obtained by extrapolation (“extr.”) are also reported.

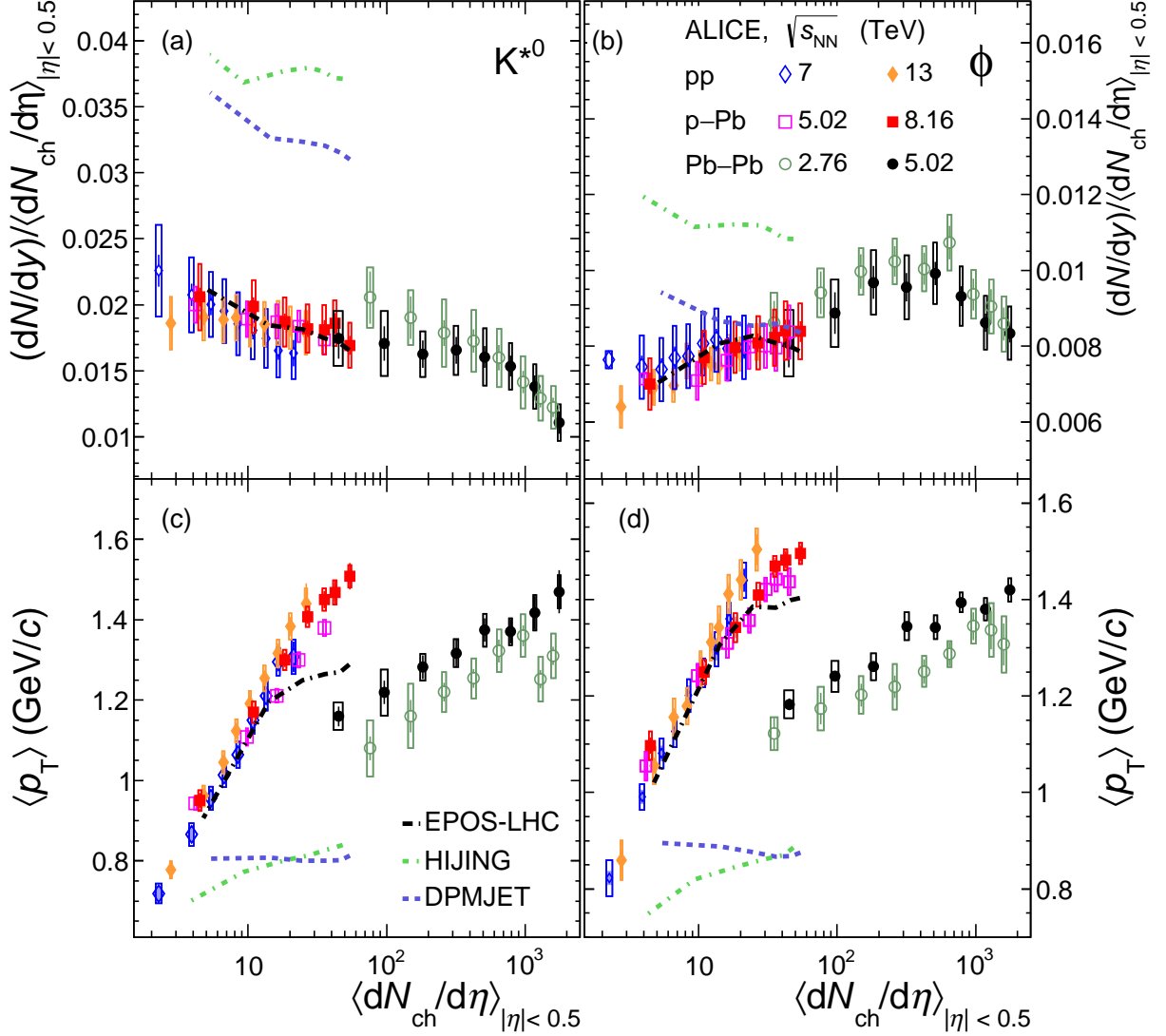
		$K^{*0}$	
Multiplicity (%)	extr.	$dN/dy$	$\langle p_T \rangle$ (GeV/c)
0–5	-	$0.913 \pm 0.030 \pm 0.086$ (0.047)	$1.509 \pm 0.033 \pm 0.028$ (0.018)
5–10	-	$0.783 \pm 0.025 \pm 0.074$ (0.050)	$1.461 \pm 0.029 \pm 0.030$ (0.021)
10–20	-	$0.644 \pm 0.015 \pm 0.060$ (0.047)	$1.460 \pm 0.021 \pm 0.028$ (0.020)
20–40	-	$0.489 \pm 0.009 \pm 0.045$ (0.028)	$1.407 \pm 0.016 \pm 0.025$ (0.017)
40–60	-	$0.344 \pm 0.006 \pm 0.032$ (0.018)	$1.301 \pm 0.014 \pm 0.025$ (0.014)
60–80	-	$0.220 \pm 0.004 \pm 0.020$ (0.016)	$1.176 \pm 0.012 \pm 0.026$ (0.020)
80–100	-	$0.092 \pm 0.002 \pm 0.008$ (0.006)	$0.950 \pm 0.011 \pm 0.026$ (0.018)
NSD	-	$0.396 \pm 0.004 \pm 0.037$	$1.335 \pm 0.008 \pm 0.027$
		$\phi$	
Multiplicity (%)	extr.	$dN/dy$	$\langle p_T \rangle$ (GeV/c)
0–5	0.089	$0.455 \pm 0.008 \pm 0.041$ (0.026)	$1.496 \pm 0.015 \pm 0.022$ (0.021)
5–10	0.088	$0.356 \pm 0.007 \pm 0.033$ (0.028)	$1.482 \pm 0.017 \pm 0.022$ (0.021)
10–20	0.093	$0.292 \pm 0.004 \pm 0.028$ (0.018)	$1.468 \pm 0.013 \pm 0.021$ (0.016)
20–40	0.101	$0.217 \pm 0.003 \pm 0.020$ (0.008)	$1.409 \pm 0.011 \pm 0.025$ (0.010)
40–60	0.104	$0.146 \pm 0.002 \pm 0.014$ (0.007)	$1.342 \pm 0.011 \pm 0.029$ (0.021)
60–80	0.122	$0.084 \pm 0.001 \pm 0.008$ (0.004)	$1.249 \pm 0.013 \pm 0.025$ (0.020)
80–100	0.141	$0.0313 \pm 0.0008 \pm 0.003$ (0.002)	$1.097 \pm 0.016 \pm 0.029$ (0.008)
NSD	0.103	$0.161 \pm 0.002 \pm 0.015$	$1.393 \pm 0.008 \pm 0.024$

these effects.

### 3.2 Integrated particle yield and mean transverse momentum

The  $p_T$ -integrated yields and mean transverse momentum are extracted from transverse momentum spectra in the measured range and using the fit function in the unmeasured region. The  $\phi$  yield is extrapolated in the unmeasured region ( $p_T < 0.4$  GeV/c) by fitting a Lévy-Tsallis functions [76] to the measured  $p_T$  spectra in all multiplicity classes. The difference in the yield contribution at low  $p_T$  due to different fitting functions (i.e exponential, Boltzmann,  $m_T$ -exponential, Bose-Einstein and Boltzmann-Gibbs Blast-Wave function in Ref. [46]) from the Lévy-Tsallis function is included in the systematic uncertainties. The low- $p_T$  extrapolation accounts for 8.9% (14.1%) of the total yield in the 0–5% (80–100%) multiplicity class. The  $K^{*0}$  spectra are measured from  $p_T = 0$ , so low- $p_T$  extrapolation is not needed. The contribution of the extrapolated fraction of the yield is negligible for  $p_T > 20$  GeV/c (16 GeV/c) for  $K^{*0}$  ( $\phi$ ). The values of  $dN/dy$  and  $\langle p_T \rangle$  of  $K^{*0}$  and  $\phi$  for various multiplicity classes are summarized in the Table 3. The multiplicity-scaled integrated yields ( $(dN/dy)/(\langle dN_{ch}/d\eta \rangle_{|\eta|<0.5})$ ) for  $K^{*0}$  and  $\phi$  are shown in the upper panels of Fig. 5 as a function of  $\langle dN_{ch}/d\eta \rangle_{|\eta|<0.5}$ . These results are compared with other ALICE measurements in pp collisions at  $\sqrt{s} = 7$  and 13 TeV [20, 22], in p–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV [23], and in Pb–Pb collisions at  $\sqrt{s_{NN}} = 2.76$  and 5.02 TeV [15, 18, 19]. The scaled integrated yields evolve smoothly as a function of multiplicity from pp, p–Pb to Pb–Pb collisions. For similar  $\langle dN_{ch}/d\eta \rangle_{|\eta|<0.5}$ , these values are consistent within uncertainties for different colliding systems and at various LHC energies. This indicates that event multiplicity drives the resonance production, irrespective of the colliding systems and energies [20, 22, 23].

The scaled integrated yields of  $\phi$  show a slight increase with multiplicity from pp collisions to mid-central Pb–Pb collisions. The total increase is 12% with a  $1.5\sigma$  significance between the lowest multiplicity bin and the highest multiplicity bin in p–Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV. Similarly scaled integrated yields of  $K^{*0}$  show a slight decrease with multiplicity for all three collision systems and the total decrease is



**Figure 5:** The multiplicity-scaled integrated yield ( $\langle dN/dy \rangle / \langle dN_{ch}/d\eta \rangle_{|\eta| < 0.5}$ ) (upper panels) and mean transverse momentum ( $\langle p_T \rangle$ ) (bottom panels) for  $K^*0$  (left panels) and  $\phi$  (right panels) as a function of  $\langle dN_{ch}/d\eta \rangle_{|\eta| < 0.5}$  measured in the ALICE central barrel in pp collisions at  $\sqrt{s} = 7, 13$  TeV, in p-Pb collisions at  $\sqrt{s_{NN}} = 5.02, 8.16$  TeV and Pb-Pb collisions at  $\sqrt{s_{NN}} = 2.76, 5.02$  TeV. Measurements are compared with the predictions from EPOS-LHC [31, 69], DPMJET [32] and HIJING [33] for p-Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV. Statistical uncertainties are represented as bars, boxes indicate total systematic uncertainties.

12% with a  $1.8\sigma$  significance for p–Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV. The significance is calculated using statistical and multiplicity uncorrelated systematic uncertainties, added in quadrature. The integrated yield ratios of resonances relative to those of longer lived particles,  $\pi$ , K, and p are computed to study their production mechanism. The  $K^{*0}/K$  ( $\phi/\pi$ ) ratio measured in p–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV [23] shows a decreasing (increasing) trend going from the lowest multiplicity to the highest multiplicity bin with a significance of  $2.6\sigma$  ( $1.5\sigma$ ) which is discussed in the context of a hint of a re-scattering (strangeness enhancement) effect. Future measurements of  $\pi$  and K yields in p–Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV will be useful to study these effects at higher centre-of-mass energy and up to larger multiplicity. The model comparison with the p–Pb data shows that EPOS-LHC describes the scaled integrated yields for both  $K^{*0}$  and  $\phi$  whereas HIJING overestimates the data for all multiplicities. The DPMJET model describes the scaled integrated yield of  $\phi$  at higher multiplicities but overestimates the  $K^{*0}$  at all multiplicities. The  $\langle p_T \rangle$  exhibits an increasing trend as a function of  $\langle dN_{ch}/d\eta \rangle_{|\eta|<0.5}$  for  $K^{*0}$  and  $\phi$  in various colliding systems and energies as shown in the bottom panels of Fig 5. The increase in  $\langle p_T \rangle$  is faster for pp and p–Pb than Pb–Pb and for a common multiplicity coverage the values of  $\langle p_T \rangle$  in pp and p–Pb are larger than Pb–Pb. At similar multiplicity ( $\langle dN_{ch}/d\eta \rangle_{|\eta|<0.5} \approx 40$ ), the difference in  $\langle p_T \rangle$  values among Pb–Pb, p–Pb and pp collisions indicate that the geometry and dynamics of the collision systems are different, while the scaled integrated yields of  $K^{*0}$  and  $\phi$  are similar for all colliding systems and energies. This indicates that the high multiplicity event sample in small collision systems has a dominantly large fraction of harder events.

Similar studies are reported in Refs. [23, 77], where the moderate increase of  $\langle p_T \rangle$  in Pb–Pb collisions was related to collective flow. The strong increase of  $\langle p_T \rangle$  with  $\langle dN_{ch}/d\eta \rangle_{|\eta|<0.5}$  in small collision systems can be further investigated by systematic studies of  $\langle p_T \rangle$  from different models in pp and p–Pb collisions that incorporate processes like color reconnection, between strings produced in multi-parton interactions, different string fragmentation processes and the core-corona mechanism. It was observed in Ref. [22] that the PYTHIA8 model with color reconnection, which introduces a flow-like effect, and the EPOS-LHC model, which uses parameterized flow, are able to reproduce the increasing trend of  $\langle p_T \rangle$  as a function of multiplicity for  $K^{*0}$  and  $\phi$  in pp collisions at  $\sqrt{s} = 13$  TeV. The p–Pb measurements are important, as in Ref. [77] it is shown that the  $\langle p_T \rangle$  of charged hadrons as a function of multiplicity shows a similar behavior as in pp collisions at low multiplicity whereas it seems to approach a similar but less prominent trend of saturation as in Pb–Pb collisions at high multiplicity.

The model comparison with p–Pb data shows that EPOS-LHC describes the increasing trend of  $\langle p_T \rangle$  with multiplicity for both  $K^{*0}$  and  $\phi$ , and it gives a better agreement for  $\phi$  to high multiplicity values. DPMJET and HIJING models fail to describe the observed trend in  $\langle p_T \rangle$  for both  $K^{*0}$  and  $\phi$  and underpredict the data for all multiplicities.

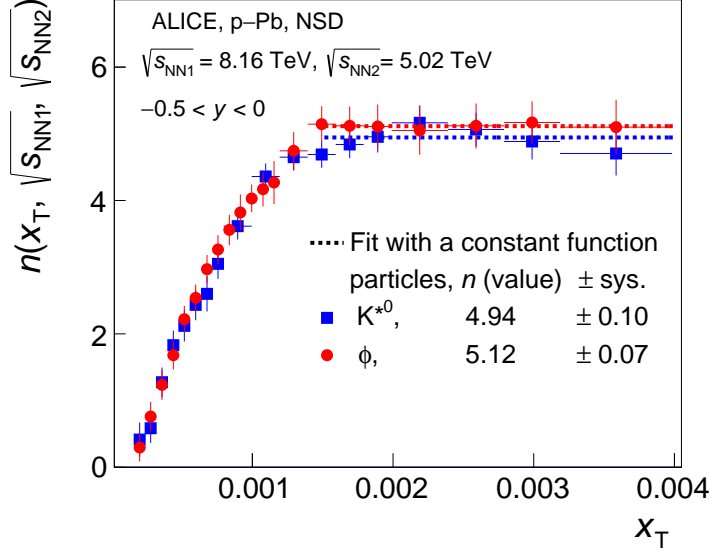
### 3.3 $x_T$ scaling

Particle invariant production cross sections are known to follow a scaling in the measurement of the transverse momentum spectrum for different collision energies at high  $p_T$  using the scaling variable  $x_T = 2p_T/\sqrt{s}$  [39, 40]. The  $x_T$  scaling was tested in pp collisions for identified hadrons in STAR [45], ALICE [46] and for (non-identified) charged particles in CDF [41–43], UA1 [44], and CMS [47]. In this paper, the validity of empirical  $x_T$  scaling is tested using the  $K^{*0}$  and  $\phi$  measurements in p–Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV reported here and those obtained at  $\sqrt{s_{NN}} = 5.02$  TeV [23]. The invariant cross sections are determined from the measured invariant yield as  $Ed^3\sigma/dp^3 = \sigma_{inel} \times Ed^3N/dp^3$ , where  $\sigma_{inel} = (72.5 \pm 0.5)$  mb and  $(67.6 \pm 0.6)$  mb is the inelastic cross section in pp collisions at  $\sqrt{s} = 8.16$  TeV and 5.02 TeV, respectively [78].

At fixed  $x_T$ , the invariant cross section  $Ed^3\sigma/dp^3$  scales as  $p_T^{-n}$ , where the exponent of scaling  $n$  depends

on  $x_T$  and  $\sqrt{s_{NN}}$ , and is calculated using the following equation

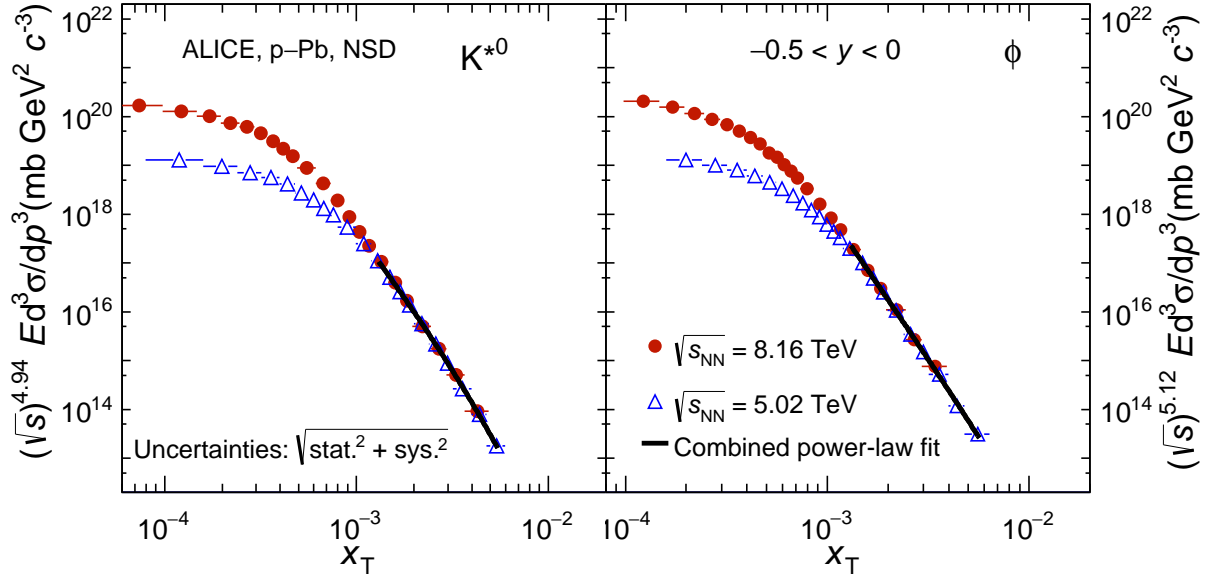
$$n(x_T, \sqrt{s_{NN1}}, \sqrt{s_{NN2}}) = \frac{\ln(\sigma^{\text{inv}}(x_T, \sqrt{s_{NN2}})/\sigma^{\text{inv}}(x_T, \sqrt{s_{NN1}}))}{\ln(\sqrt{s_{NN1}}/\sqrt{s_{NN2}})}, \quad (1)$$



**Figure 6:** The values of  $n$  as a function of  $x_T$  for  $K^{*0}$  and  $\phi$  in p–Pb collisions.

where  $x_T = 2p_T/\sqrt{s_{NN}}$ . The distributions of  $n$  values as a function of  $x_T$  for  $K^{*0}$  and  $\phi$  are shown in Fig. 6. In the low  $x_T$  region, where the particle production is dominated by soft processes, the values of  $n$  are found to increase with  $x_T$  whereas the  $n$  values seem to saturate at high  $x_T$ . The  $n$  values are obtained by fitting the  $n(x_T, \sqrt{s_{NN}})$  distribution by a constant function in the  $x_T$  range  $1.3 \times 10^{-3} < x_T < 4 \times 10^{-3}$  for both  $K^{*0}$  and  $\phi$ . The  $x_T$  spectra for both particles are scaled by the corresponding  $(\sqrt{s_{NN}}/\text{GeV})^n$ . The best scaling is obtained in the quoted fitting range with an exponent of  $n = 4.94 \pm 0.10$  (sys.) for  $K^{*0}$  and  $n = 5.12 \pm 0.07$  (sys.) for  $\phi$ . The systematic uncertainties on the exponent  $n$  are calculated by changing the fit range in  $n(x_T, \sqrt{s_{NN}})$  versus  $x_T$  distribution. The maximum deviation of  $n$  value with respect to the default one is taken as systematic uncertainties. The  $n$  values for  $K^{*0}$  and  $\phi$  are consistent within the uncertainties, which suggests that the ratios of particle spectra attain similar values in p–Pb collisions at LHC energies. The  $x_T$ -scaled spectra for  $K^{*0}$  (left panel) and  $\phi$  (right panel) in p–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  and 8.16 TeV are shown in Fig. 7.

These measurements suggest that the  $K^{*0}$  and  $\phi$  yields in p–Pb collisions at LHC energies follow  $x_T$  scaling for  $x_T \gtrsim 10^{-3}$ . Similar studies were performed in pp collisions at LHC energies for identified hadrons ( $\pi^\pm$ ,  $K^\pm$ , p ( $\bar{p}$ ) and  $K^{*0}$ ) with ALICE [46] and for charged hadrons with CMS [47]. The  $n$  values obtained in pp collisions for all hadron species except the proton are comparable to those reported here for  $K^{*0}$  and  $\phi$  in p–Pb collisions. In Ref. [46], the proton takes a larger value of the exponent  $n$ , which was discussed in the context of the decrease of the baryon-to-meson ratio with increasing  $p_T$  in contrast to the nearly constant behavior of meson-to-meson ratios. The  $n$  value obtained at LHC energies is also observed to be lower than at RHIC energies, which suggests an increasing contribution of hard processes at higher centre-of-mass energies.



**Figure 7:** Scaled invariant yield of  $K^{*0}$  and  $\phi$  as a function of  $x_T = 2p_T/\sqrt{s_{NN}}$  in p–Pb collisions at different energies  $\sqrt{s_{NN}} = 5.02$  and  $8.16$  TeV.

A combined fit to the scaled differential cross sections of  $K^{*0}$  and  $\phi$  is performed with a power-law function of the form  $a \times x_T^b \times (1+x_T)^c$  to verify the quality of the scaling behaviour. Here,  $a$ ,  $b$ , and  $c$  are free parameters. The fitting is done in the region above  $x_T \gtrsim 1.3 \times 10^{-3}$  (shown as black curve in Fig. 7), where the  $x_T$  scaling is observed. The  $\chi^2/\text{ndf}$  value for  $K^{*0}$  ( $\phi$ ) is 0.16 (0.6), which confirms the good quality of the fit. In the fitting region, the measurements agree with the combined power law fits within  $\approx 20\%$  for both  $K^{*0}$  and  $\phi$ . The measurements at  $\sqrt{s_{NN}} = 8.16$  TeV are consistent, over the accessible  $x_T$  range  $1.3 \times 10^{-3} < x_T < 3 \times 10^{-3}$ , with empirical  $x_T$  scaling and with measurements from p–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV. This further helps understanding and distinguishing the contributions of the soft and hard processes to particle production.

### 3.4 Nuclear modification factor ( $R_{pPb}$ )

In order to understand the nuclear effects, the nuclear modification factor ( $R_{pPb}$ ) is an important observable in p–Pb collisions. It is calculated as :

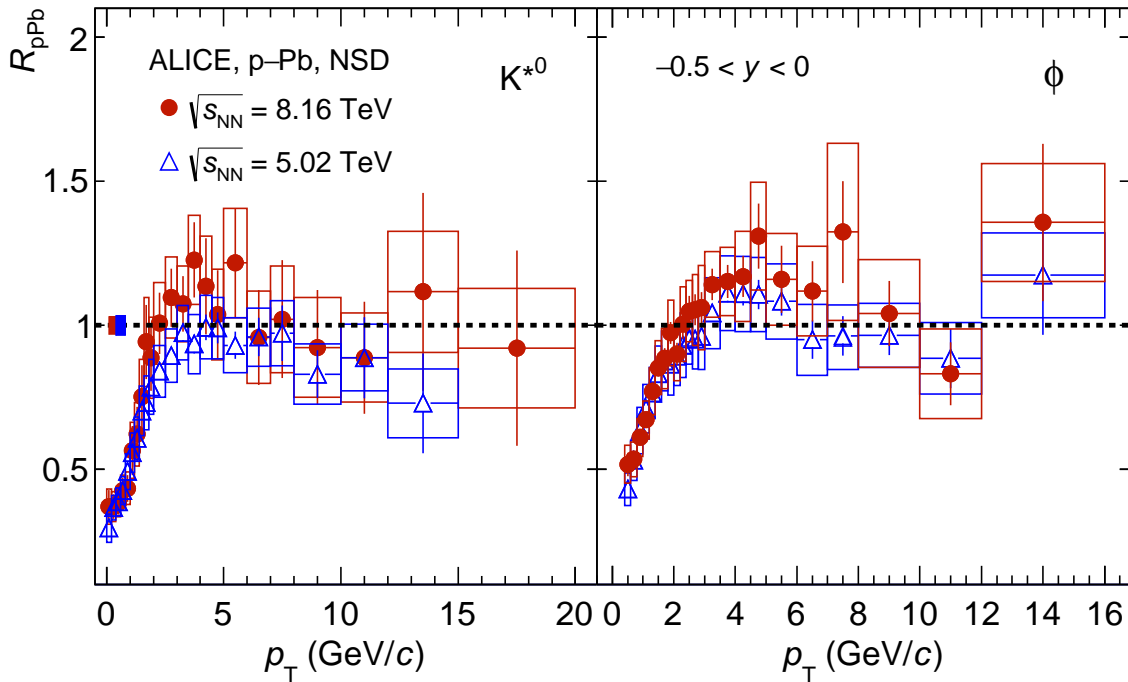
$$R_{pPb}(p_T) = \frac{d^2 N_{pPb}/dp_T dy}{\langle T_{pPb} \rangle d^2 \sigma_{pp}^{\text{INEL}}/dp_T dy}, \quad (2)$$

where  $d^2 N_{pPb}/dp_T dy$  is the yield in p–Pb collisions and  $d^2 \sigma_{pp}^{\text{INEL}}/dp_T dy$  is the invariant cross section in inelastic pp collisions.  $\langle T_{pPb} \rangle = \langle N_{\text{coll}} \rangle / \sigma^{\text{INEL}}$  is the average nuclear overlap function, which accounts for the nuclear collision geometry as obtained from a Glauber model [78]. If the nuclear modification factor is unity, then the yield in nuclear collisions is the same as from an incoherent superposition of nucleon–nucleon collisions.

In the absence of  $K^{*0}$  and  $\phi$  measurements in pp collisions at  $\sqrt{s} = 8.16$  TeV, the reference  $p_T$  spectra are obtained from the distributions measured in pp collisions at  $\sqrt{s} = 8$  TeV [21] scaled by the ratio between the  $p_T$  spectra at the two energies as obtained from PYTHIA 8.230 [52]. For the systematic study the reference pp spectra are also obtained using the measured  $p_T$  spectrum at  $\sqrt{s} = 7$  TeV [16]. The total

systematic uncertainty of the pp reference spectrum is then calculated as the quadrature sum of the systematic uncertainties of the measured  $p_T$  spectrum at  $\sqrt{s} = 8$  TeV and the difference of the reference spectra obtained using the measured  $p_T$  spectra at  $\sqrt{s} = 7$  and 8 TeV. The systematic uncertainties of the reference  $p_T$  spectra of  $K^{*0}$  ( $\phi$ ) are 11.5% (7.3%) for the low  $p_T$  ( $< 4$  GeV/ $c$ ) and 15.5% (7.4%) for the high  $p_T$  ( $> 4$  GeV/ $c$ ) [26]. The systematic and statistical uncertainties of  $R_{pPb}$  are calculated as the quadrature sum of respective uncertainties of the  $p_T$  spectra in p–Pb and pp collisions. The value of the nucleon–nucleon inelastic cross section for the reference spectra at  $\sqrt{s} = 8.16$  TeV is  $(72.5 \pm 0.5)$  mb, taken from Ref. [78].

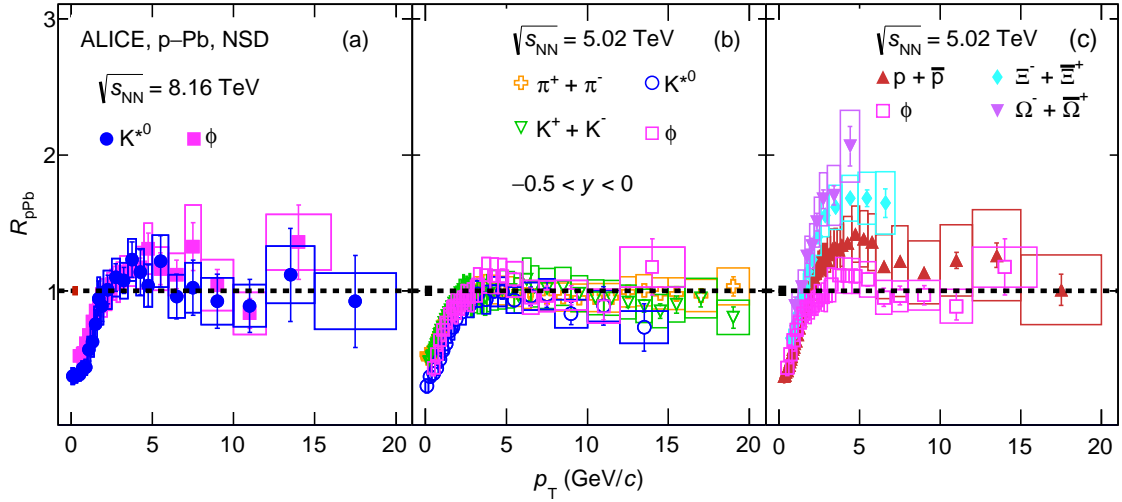
The  $R_{pPb}$  measurements of  $K^{*0}$ ,  $\phi$  and multi-strange baryon ( $\Xi$  and  $\Omega$ ) in p–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV are also reported here. The  $R_{pPb}$  of  $K^{*0}$  and  $\phi$  at  $\sqrt{s_{NN}} = 5.02$  TeV are calculated from the measured  $p_T$  spectra in pp and p–Pb collisions published in Refs. [18, 19, 23]. The  $p_T$  spectra measurements of multi-strange baryon ( $\Xi$  and  $\Omega$ ) production in p–Pb collisions are reported in Ref. [27]. Due to the



**Figure 8:** Nuclear modification factor of  $K^{*0}$  and  $\phi$  as a function of  $p_T$  in p–Pb collisions at different energies  $\sqrt{s_{NN}} = 5.02$  and 8.16 TeV. The statistical and systematic uncertainties are represented by vertical bars and boxes, respectively. The normalization uncertainties are shown in each panel as boxes around  $R_{pPb} = 1$  near  $p_T = 0$  GeV/ $c$ .

unavailability of multi-strange baryon measurements in pp collisions at  $\sqrt{s} = 5.02$  TeV, reference  $p_T$  spectra are calculated by interpolating the measurements at  $\sqrt{s} = 2.76$  [79] and 7 TeV [80], in each  $p_T$  interval, assuming a power law dependence as a function of  $\sqrt{s}$ . The systematic uncertainties of the reference  $p_T$  spectra are taken as the maximum relative systematic uncertainty of the measured  $p_T$  spectra at  $\sqrt{s} = 2.76$  and 7 TeV. This approach is similar to the one as described in Ref. [26] to obtain reference  $p_T$  spectra for  $\pi^\pm$ ,  $K^\pm$  and  $p(\bar{p})$  in pp collisions at  $\sqrt{s} = 5.02$  TeV. Figure 8 shows the nuclear modification factor of  $K^{*0}$  (left panel) and  $\phi$  (right panel) as a function of  $p_T$  in p–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  and 8.16 TeV. At intermediate  $p_T$  (2–8 GeV/ $c$ ), there is a hint of increase in  $R_{pPb}$ , above unity which is more pronounced for  $K^{*0}$  than for  $\phi$ . The measurements are consistent with each other within uncertainties. No significant energy dependence of  $R_{pPb}$  is observed for resonances in p–Pb collisions at the LHC energies.





**Figure 9:** The nuclear modification factor  $R_{pPb}$  as a function of transverse momentum  $p_T$  for different particle species in p–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  and 8.16 TeV. For comparison the results for  $\pi$ , K, and p [26] are also shown. The statistical and systematic uncertainties are represented by vertical bars and boxes, respectively. The normalization uncertainties are shown in each panel as boxes around  $R_{pPb} = 1$  near  $p_T = 0$  GeV/ $c$ .

Figure 9 shows the particle species dependence of the nuclear modification factors in p–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  and 8.16 TeV. Panels (a) and (b) show  $R_{pPb}$  of  $K^{*0}$  and  $\phi$  at  $\sqrt{s_{NN}} = 8.16$  and 5.02 TeV, respectively. Previous measurements of  $\pi$  and K mesons at  $\sqrt{s_{NN}} = 5.02$  TeV [26] are also shown in panel (b). Panel (c) shows the  $R_{pPb}$  of multi-strange baryons ( $\Xi$ ,  $\Omega$ ) at  $\sqrt{s_{NN}} = 5.02$  TeV. To study the mass dependence of baryons and to compare baryons and mesons, the  $R_{pPb}$  of protons taken from [26] and that of  $\phi$  mesons are also shown in panel (c). At low  $p_T$  ( $< 2$  GeV/ $c$ ), the  $R_{pPb}$  is less than unity for all hadrons. The measurements of  $K^{*0}$  and  $\phi$  at  $\sqrt{s_{NN}} = 5.02$  and 8.16 TeV are consistent with each other within uncertainties, no flavor dependence in  $R_{pPb}$  is observed. At intermediate  $p_T$  (2–8 GeV/ $c$ ), the  $R_{pPb}$  of baryons shows a Cronin-like enhancement above unity [56]. The  $R_{pPb}$  shows a mass ordering and larger values are observed for the baryons with higher masses. A similar mass ordering for baryons in this  $p_T$  region is also reported by CMS in Ref. [49] and the results are consistent with a hydrodynamical expectation of the radial flow [31]. It is also observed that the  $R_{pPb}$  of  $\phi$  meson is smaller than that of the proton in spite of their similar masses, which may indicate baryon-meson ordering. Therefore, along with the presence of a strong radial flow component, there are other effects like different production mechanism for baryons and mesons which affect the  $R_{pPb}$  in this  $p_T$  region. Similar behavior is also observed in Pb–Pb collisions in this  $p_T$  region [36]. At high  $p_T$  ( $> 8$  GeV/ $c$ ), the  $R_{pPb}$  values of all particles are consistent with unity within the uncertainties in p–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  and 8.16 TeV which suggests that there is no modification in  $R_{pPb}$  due to cold-nuclear matter effects for different particle species. Similar findings are also reported for  $\pi^0$  meson with  $p_T$  up to 200 GeV/ $c$  in p–Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV [53], for charged hadrons in p–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV by ALICE [26, 48], and for strange hadrons by CMS in p–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV [49] and by STAR in d–Au collisions at  $\sqrt{s_{NN}} = 200$  GeV [25].

## 4 Summary

The production of  $K^{*0}$  and  $\phi$  mesons as a function of  $p_T$  has been measured in the rapidity interval  $-0.5 < y < 0$  for various multiplicity classes in p–Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV with the ALICE detector. The EPOS-LHC model describes the NSD  $p_T$  distribution while the DPMJET and HIJING

models largely overestimate the distribution at low  $p_T$ . A significant evolution of spectral shapes with multiplicity is observed for  $p_T < 4$  GeV/ $c$ , with a pattern similar to that of Pb–Pb collisions, which can be attributed to the collective radial expansion of the system. The spectral shapes are similar for all multiplicity classes at high  $p_T$ . The scaled  $p_T$ -integrated yields ( $(dN/dy)/(\langle dN_{ch}/d\eta \rangle_{|\eta| < 0.5})$ ) as a function of multiplicity show a smooth evolution from small systems, pp and p–Pb, to Pb–Pb, and the values are similar for a given multiplicity, irrespective of the colliding systems and energies, suggesting that the hadrochemistry at LHC energies is mainly driven by the event multiplicity. The  $\langle p_T \rangle$  values of  $K^{*0}$  and  $\phi$  increase as a function of multiplicity and follow a different trend in p–Pb and pp than Pb–Pb collisions. The EPOS-LHC model which includes parameterized flow gives a good quantitative description of the scaled  $p_T$ -integrated yields and describes qualitatively the increase in  $\langle p_T \rangle$  values with multiplicity for both  $K^{*0}$  and  $\phi$ . An empirical  $x_T$  scaling for  $K^{*0}$  and  $\phi$  holds (within roughly 20%) in the hard scattering region of the particle production. The obtained value of the exponent ( $n \approx 5$ ) is lower than at RHIC energies which suggests an increasing contribution of hard scattering processes at higher  $\sqrt{s_{NN}}$ . Furthermore, the value of the exponent  $n$  in p–Pb collisions is compatible with those in pp collisions for  $\pi^\pm$ ,  $K^\pm$  and  $K^{*0}$  suggesting that the high- $p_T$  particle production mechanism is similar in both collision systems. No significant energy dependence in  $R_{pPb}$  is observed for  $K^{*0}$  and  $\phi$  in p–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  and 8.16 TeV. At intermediate  $p_T$  ( $2 < p_T < 8$  GeV/ $c$ ),  $R_{pPb}$  values for multi-strange baryon ( $\Xi$  and  $\Omega$ ) and the protons in p–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV show a Cronin-like enhancement and the values are found to be significantly larger than those for  $\pi^\pm$ ,  $K^\pm$ ,  $K^{*0}$  and  $\phi$ . The  $R_{pPb}$  values are consistent with unity within the uncertainties for all species at  $p_T > 8$  GeV/ $c$ , which further confirms the absence of cold-nuclear matter effects in this  $p_T$  range. Future measurements of light flavor hadron ( $\pi^\pm$ ,  $K^\pm$ , p( $\bar{p}$ ) etc.) yields up to high  $p_T$  in p–Pb collisions at  $\sqrt{s_{NN}} = 8.16$  TeV are required for a comprehensive study of nuclear modification factor and  $x_T$  scaling.

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