



Probing the scale of grand unification with gravitational waves

Wilfried Buchmüller^a, Valerie Domcke^a, Hitoshi Murayama^{a,b,c,d}, Kai Schmitz^{e,*}

^a Deutsches Elektronen Synchrotron DESY, 22607 Hamburg, Germany

^b Department of Physics, University of California, Berkeley, CA 94720, USA

^c Lawrence Berkeley National Laboratory, Berkeley, CA 94720, USA

^d Kavli IPMU (WPI), University of Tokyo, Kashiwa 277-8583, Japan

^e Theoretical Physics Department, CERN, 1211 Geneva 23, Switzerland

ARTICLE INFO

Article history:

Received 16 March 2020

Accepted 31 August 2020

Available online 3 September 2020

Editor: M. Trodden

ABSTRACT

The spontaneous breaking of $U(1)_{B-L}$ around the scale of grand unification can simultaneously account for hybrid inflation, leptogenesis, and neutralino dark matter, thus resolving three major puzzles of particle physics and cosmology in a single predictive framework. The $B-L$ phase transition also results in a network of cosmic strings. If strong and electroweak interactions are unified in an $SO(10)$ gauge group, containing $U(1)_{B-L}$ as a subgroup, these strings are metastable. In this case, they produce a stochastic background of gravitational waves that evades current pulsar timing bounds, but features a flat spectrum with amplitude $h^2\Omega_{GW} \sim 10^{-8}$ at interferometer frequencies. Ongoing and future LIGO observations will hence probe the scale of $B-L$ breaking.

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1. Introduction

The grand unified (GUT) gauge group $SO(10)$ contains $B-L$, the difference between baryon and lepton number, as a local symmetry. As shown in Ref. [1], the decay of a false vacuum of unbroken $B-L$ symmetry is an intriguing and testable mechanism to generate the initial conditions of the hot early universe (see Ref. [2] for a review). With $B-L$ broken close to the unification scale, the false-vacuum phase yields hybrid inflation [3] and ends in tachyonic preheating [4]. Decays of the $B-L$ breaking Higgs field and thermal processes produce an abundance of heavy neutrinos whose decays generate the entropy of the hot early universe, the baryon asymmetry via leptogenesis [5], and dark matter (DM) in the form of the lightest supersymmetric particle (LSP) [6].

The cosmological evolution during and after inflation is determined by a small set of parameters, including the $B-L$ breaking scale and the masses of the $B-L$ Higgs boson, heavy neutrino, and gravitino. In this Letter, we revisit the model in Ref. [1] and perform for the first time a global analysis identifying the viable parameter space that simultaneously explains the primordial power spectrum of the cosmic microwave background (CMB), the matter-antimatter asymmetry, and the DM relic density.

In addition, we study the network of cosmic strings (CSs) that is produced during the $B-L$ phase transition. We embed our model in an $SO(10)$ GUT, which renders the $B-L$ strings metastable due to the nonperturbative (and hence exponentially suppressed) production of $SO(10)$ monopole-antimonopole pairs. As a consequence, we find that the cosmic-string network generates a stochastic background of gravitational waves (GWs) that evades current pulsar timing constraints but that is testable in ongoing and future GW observations. For a mild hierarchy between the $SO(10)$ and $U(1)_{B-L}$ breaking scales, LIGO can probe the scale of $B-L$ breaking. The latter result is a direct consequence of the symmetry breaking pattern and is independent of many details of the model, resulting in a prediction of the GW spectrum in terms of the two dimensionful parameters of the cosmic string network. This represents a clear target for current and future GW experiments.

2. Supersymmetric $B-L$ model

The example analyzed in Ref. [1] is based on the gauge group $SU(3) \times SU(2) \times U(1)_Y \times U(1)_{B-L} \equiv G_{SM} \times U(1)_{B-L}$ and superpotential

$$W = W_{\text{MSSM}} + h_{ij}^v \mathbf{5}_i^* n_j^c H_u + \frac{1}{\sqrt{2}} h_i^n n_i^c S_1 + \lambda \Phi \left(\frac{v_{B-L}^2}{2} - S_1 S_2 \right) + W_0. \quad (1)$$

* Corresponding author.

E-mail addresses: wilfried.buchmueller@desy.de (W. Buchmüller), valerie.domcke@desy.de (V. Domcke), hitoshi@berkeley.edu (H. Murayama), kai.schmitz@cern.ch (K. Schmitz).

Table 1
FN flavor charge assignment (from Ref. [1]).

ψ_i	$\mathbf{10}_3$	$\mathbf{10}_2$	$\mathbf{10}_1$	$\mathbf{5}_3^*$	$\mathbf{5}_2^*$	$\mathbf{5}_1^*$	n_3^c	n_2^c	n_1^c	$H_{u,d}$	$S_{1,2}$	Φ
Q_i	0	1	2	a	a	$a+1$	b	c	d	0	0	e

Here, $S_{1,2}$ are chiral superfields whose vacuum expectation values break $B-L$. In unitary gauge, they correspond to the physical $B-L$ Higgs superfield, $S_{1,2} = S/\sqrt{2}$. Φ is the inflaton superfield, n_i^c contain the charge conjugates of the right-handed neutrinos, the Standard Model (SM) leptons are arranged in the $SU(5)$ multiplets $\mathbf{5}^* = (d^c, \ell)$ and $\mathbf{10} = (q, u^c, e^c)$, and the SM Higgs fields are contained in $H_{u,d}$. W_{MSSM} is the MSSM superpotential,

$$W_{\text{MSSM}} = h_{ij}^u \mathbf{10}_i \mathbf{10}_j H_u + h_{ij}^d \mathbf{5}_i^* \mathbf{10}_j H_d, \quad (2)$$

while W_0 is a constant ensuring the correct zero point of the scalar potential in supergravity.

The Yukawa couplings are chosen according to the Froggatt-Nielsen (FN) model [7,8], which is known to yield a satisfactory description of quark, charged-lepton, and neutrino masses and mixing angles, with

$$h_{ij} \sim \eta^{Q_i + Q_j}, \quad \lambda \sim \eta^{Q_\Phi}, \quad (3)$$

$\eta \simeq 1/\sqrt{300}$ and FN charges listed in Table 1. As usual, the Yukawa couplings have unspecified $\mathcal{O}(1)$ coefficients that are not $SU(5)$ -symmetric. For simplicity, the FN charges are restricted to $b = c = d - 1 = e/2$. This implies the following mass relations for the heavy Majorana neutrinos $N_{1,2,3}$ and the $B-L$ Higgs field S ,

$$M_2 \simeq M_3 \simeq m_S \simeq \eta^{-2} M_1, \quad (4)$$

$$M_1 \sim \eta^{2d} v_{B-L}, \quad v_{B-L} \sim \eta^{2a} \frac{v_{\text{EW}}^2}{\bar{m}_\nu},$$

where v_{B-L} is the $B-L$ breaking scale, $v_{\text{EW}} \simeq 174 \text{ GeV}$ is the electroweak scale, and $\bar{m}_\nu = \sqrt{m_2 m_3}$. The decays $S \rightarrow N_2 N_2, N_3 N_3$ are thus forbidden, so that leptogenesis is dominated by the decay chain $S \rightarrow N_1 N_1, N_1 \rightarrow \ell H_u$. The light neutrino masses $m_{1,2,3}$ (with normal hierarchy) are the singular values of the seesaw mass matrix,

$$m_\nu = -m_D M^{-1} m_D^T, \quad (5)$$

where m_D and M denote the Dirac and heavy Majorana neutrino mass matrices, respectively. As we will discuss in more detail below, successful inflation requires $v_{B-L} \simeq \text{few} \times 10^{15} \text{ GeV}$, corresponding to $a = 0$. Hence, top and bottom Yukawa couplings are of the same order in η , and $v_{\text{EW}} \simeq \langle H_u \rangle$. Finally, an important quantity for leptogenesis is the effective light neutrino mass

$$\tilde{m}_1 = \frac{(m_D^\dagger m_D)_{11}}{M_1}. \quad (6)$$

Parametrically, one has $\tilde{m}_1 \sim \bar{m}_\nu \simeq 0.02 \text{ eV}$. However, since \tilde{m}_1 strongly depends on unknown $\mathcal{O}(1)$ factors for the Yukawa couplings, \tilde{m}_1 is treated as a free parameter in the range $10^{-5} \text{ eV} \leq \tilde{m}_1 \leq 10^{-1} \text{ eV}$. In summary, the free parameters of the model can be chosen to be v_{B-L} , M_1 , \tilde{m}_1 and W_0 .

3. GUT embedding and cosmic strings

The embedding of $G_{\text{SM}} \times U(1)_{B-L}$ into a larger GUT group, spontaneously broken at $v_{\text{GUT}} > v_{B-L}$, determines the $B-L$ charges of $S_{1,2}$. Ref. [1] is based on an $SU(5)$ FN model, and the $B-L$ charges are $q_S \equiv q_{S_2} = -q_{S_1} = 2$, $q_{n_i^c} = 1$, $q_\Phi = 0$. In this case, the final unbroken gauge group is $G_{\text{SM}} \times \mathbb{Z}_2$, which results in stable

CSs [9]. However, the model can be modified such that $q_S = 1$. This is achieved by the replacement in Eq. (1)

$$\frac{1}{\sqrt{2}} h_i^n n_i^c n_i^c S_1 \rightarrow \frac{1}{M_*} h_i^n n_i^c n_i^c S_1 S_1, \quad (7)$$

where the mass scale M_* is larger than the GUT scale. The heavy neutrino masses are now $M_i = h_i^n v_{B-L}^2 / M_*$. For M_* close to v_{B-L} , the mass relations in Eq. (4) can be preserved by changing the $\mathcal{O}(1)$ factors. In this form, the model can be embedded into an $SO(10)$ FN model [10]. S_1 and S_2 become part of a $\mathbf{16}^*$ and $\mathbf{16}$, respectively, and a further $\mathbf{16}^* + \mathbf{16}$ pair together with a $\mathbf{10}$ is introduced to achieve an embedding of quarks and leptons that only respects $SU(5)$ but not $SO(10)$ [11]. In this way, the $SU(5)$ FN charges in Table 1 can be obtained [10].

With $q_S = 1$, the final unbroken group is G_{SM} , and there can be no stable strings since the first homotopy group vanishes, $\Pi_1(SO(10)/G_{\text{SM}}) = 0$ [9]. To understand this, consider the breaking scheme (see also [12])

$$SO(10) \rightarrow G_{\text{SM}} \times U(1)_{B-L} \rightarrow G_{\text{SM}}. \quad (8)$$

The first step produces monopoles, while the second step produces CSs. The result is a network of CSs and monopoles, which is unstable [13–15]. The more interesting scenario is if cosmic inflation occurs after GUT but before $B-L$ breaking. In this case, the initial monopole population is diluted away. The CSs can then only decay via the Schwinger production of monopole-antimonopole pairs, leading to a metastable CS network. In this case, the decay rate per string unit length is [12,16,17]

$$\Gamma_d = \frac{\mu}{2\pi} \exp(-\pi\kappa), \quad (9)$$

with $\kappa = m^2/\mu$ denoting the ratio between the monopole mass $m \sim v_{\text{GUT}}$ and the CS tension μ . In Abelian field theories, one obtains $\mu = 2\pi B(\beta) v_{B-L}^2$ with $\beta = \lambda/(8g^2)$ and $B(\beta) = 2.4/\ln(2/\beta)$ for $\beta < 0.01$ [18]. For an appropriate choice of $v_{B-L} < v_{\text{GUT}}$, the CSs are sufficiently long-lived to give interesting signatures but decay before emitting low-frequency GWs that are strongly constrained by pulsar timing arrays.

4. Hybrid inflation, baryogenesis, dark matter

The superpotential term in Eq. (1) causing spontaneous $B-L$ breaking is precisely the superpotential of F-term hybrid inflation (FHI) [19,20]. It was widely believed that FHI could not account for the correct scalar spectral index of the CMB power spectrum; but the analyses in [21–25] showed that FHI is viable once the effect of supersymmetry (SUSY) breaking on the inflaton potential is taken into account. With an (almost) vanishing cosmological constant, SUSY breaking generates the constant term

$$W_0 = \alpha m_{3/2} M_{\text{Pl}}, \quad (10)$$

where $\alpha \sim 1$ encodes the details of SUSY breaking and $m_{3/2}$ is the gravitino mass. Choosing $\alpha = 1$ for definiteness, this adds a term linear in the inflaton field to the inflaton potential [26],

$$V_{3/2}(\Phi) = -\lambda v_{B-L}^2 m_{3/2} (\Phi + \Phi^*) + \dots \quad (11)$$

FHI now becomes a two-field model of inflation in the complex Φ -plane [24]. The choice of the inflationary trajectory impacts the

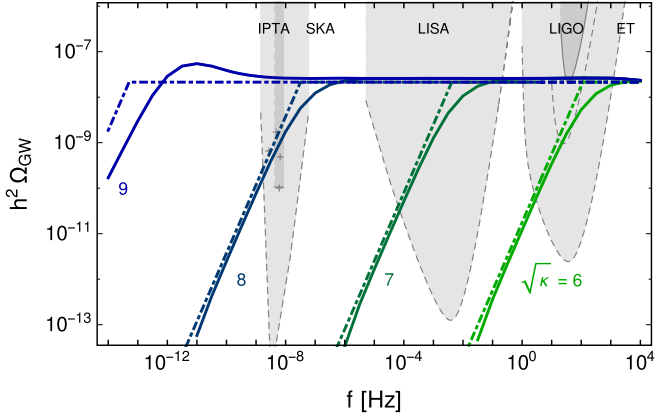


Fig. 2. GW spectrum for $G\mu = 2 \times 10^{-7}$. Different values of $\sqrt{\kappa}$ are indicated in different colors; the blue curve corresponds to a CS network surviving until today. The dot-dashed lines depict the analytical estimate (25). The (lighter) gray-shaded areas indicate the sensitivities of (planned) experiments SKA [39], LISA [40], LIGO [41] and ET [42], the crosses within the SKA band indicate constraints by the IPTA [43].

The present-day GW spectrum can be expressed as [37]

$$\Omega_{\text{GW}}(f) = \frac{\partial \rho_{\text{GW}}(f)}{\rho_c \partial \ln f} = \frac{8\pi f (G\mu)^2}{3H_0^2} \sum_{n=1}^{\infty} C_n(f) P_n, \quad (19)$$

where ρ_{GW} denotes the GW energy density, ρ_c is the critical energy density of the universe, $G\mu$ denotes the dimensionless string tension, $H_0 = 100 h \text{ km/s/Mpc}$ is today's Hubble parameter, $P_n \simeq 50/\xi [4/3] n^{-4/3}$ is the power spectrum of GWs emitted by the n th harmonic of a CS loop, and $C_n(f)$ indicates the number of loops emitting GWs that are observed at a given frequency f ,

$$C_n(f) = \frac{2n}{f^2} \int_{z_{\min}}^{z_{\max}} dz \frac{\mathcal{N}(\ell(z), t(z))}{H(z)(1+z)^6}, \quad (20)$$

which is a function of the number density of CS loops $\mathcal{N}(\ell, t)$, with $\ell = 2n/((1+z)f)$, selecting the loops that contribute to the spectrum at frequency f today. The loop number density can be estimated analytically and improved with input from numerical simulations. In particular, for loops generated and decaying in the radiation-dominated (RD) era, it can be estimated as [37,38]

$$\mathcal{N}_r(\ell, t) = \frac{0.18}{t^{3/2}(\ell + \Gamma G\mu t)^{5/2}}, \quad (21)$$

where $\Gamma \simeq 50$ parametrizes the CS decay rate into GWs, $\dot{\ell} = -\Gamma G\mu$. The integration range in Eq. (20) accounts for the lifetime of the CS network, from the formation at $z_{\max} \simeq T_{\text{rh}}/(2.7 \text{ K})$ until their decay when the decay rate of a string loop with average length $\bar{\ell} \simeq 13 G\mu/H$ equals the Hubble rate, $\bar{\ell} \Gamma_d = H$. The latter condition yields

$$z_{\min} = \left(\frac{70}{H_0}\right)^{1/2} (\Gamma \Gamma_d G\mu)^{1/4}, \quad (22)$$

which coincides with the estimate found in [12].

For a sufficiently long-lived CS network, the spectrum features a plateau over many orders of magnitude in frequency, accounting for the GW production from CS loops during the RD era. The amplitude of this plateau is [37]

$$\Omega_{\text{GW}}^{\text{plateau}} \simeq 8.04 \Omega_r \left(\frac{G\mu}{\Gamma}\right)^{1/2}. \quad (23)$$

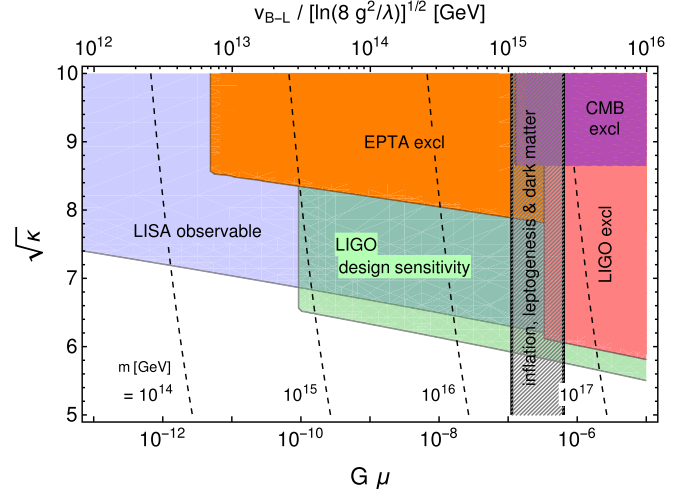


Fig. 3. Constraints on the CS parameter space from GW searches. The orange, red, and purple regions are excluded by the existing bounds from EPTA [44], LIGO O2 [41] and PLANCK [45], respectively. The shaded green and blue regions indicate the prospective reach of LIGO at design sensitivity and of LISA. The hatched region indicates the viable parameter space from Fig. 1.

A finite lifetime of the CS network leads to a suppression of the GW spectrum at low frequencies. Focusing for simplicity only on the RD era, we note that the scaling of the integrand of Eq. (20) with z changes at $z_\ell = \Gamma/2 G\mu f t_{\text{ref}} z_{\text{ref}}^2$, with $t_{\text{ref}} = t(z_{\text{ref}})$ denoting an arbitrary reference time during RD. One can verify that, for $z_{\min} \ll z_\ell$ (corresponding to large frequencies), $\Omega_{\text{GW}}(f)$ becomes scale-invariant, whereas for $z_{\min} \gg z_\ell$, we find $\Omega_{\text{GW}} \propto f^{3/2}$. With this, we can estimate the turn-over point f_* of the spectrum by solving $z_{\min} = z_\ell$ for f ,

$$f_* \simeq 3.0 \times 10^{14} \text{ Hz } e^{-\pi\kappa/4} \left(\frac{10^{-7}}{G\mu}\right)^{1/2}. \quad (24)$$

In summary, we arrive at a simple estimate for the GW spectrum from a metastable CS network,

$$\Omega_{\text{GW}}(f) = \Omega_{\text{GW}}^{\text{plateau}} \min\left[\left(f/f_*\right)^{3/2}, 1\right]. \quad (25)$$

Fig. 2 shows the GW spectrum obtained by numerically evaluating Eq. (19) (see Ref. [37] for details) as well as the analytical estimate Eq. (25). The shaded regions indicate the power-law-integrated sensitivity curves of current and planned experiments [46]. We see that, for $G\mu = 2 \times 10^{-7}$, the constraint from the European Pulsar Timing Array (EPTA) [44] enforces $\sqrt{\kappa} \lesssim 8$. The analytical estimate accurately explains the plateau value and the $f^{3/2}$ drop-off at low frequencies, showing overall good agreement with the numerical result.

Comparing the predicted GW spectrum (25) with the observational bounds and prospects depicted in Fig. 2, we can map out the regions in the $\kappa - G\mu$ parameter plane that are already excluded or that will be probed in the near future, see Fig. 3. In particular, cosmological $B-L$ breaking with stable CSs is excluded, as are GUT monopole masses above $m = 5.4 \times 10^{16} \text{ GeV}$. Assuming a mild hierarchy between the GUT and $B-L$ scales, $m/v_{B-L} \gtrsim 6$, the entire remaining parameter space is testable with LIGO.

6. Conclusions

We have presented a minimal extension of the SM with $U(1)_{B-L}$ symmetry that simultaneously explains inflation, leptogenesis, and neutralino DM. Gravitinos are unstable and heavier than 10 TeV. Remarkably, the viable parameter space of our model

automatically implies a large stochastic signal in GWs that is well within the reach of LIGO's design sensitivity. It would be interesting to relax some of our model-building assumptions, such as the underlying flavor model, in future work. However, the general philosophy behind our model – inflation ending in a GUT-scale phase transition in combination with leptogenesis and dark matter in a SUSY extension of the SM – provides a testable framework for the physics of the early universe. A characteristic feature of this framework is a stochastic background of gravitational waves emitted by metastable cosmic strings.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Acknowledgements

We thank Ryusuke Jinno for helpful discussions on the GW production from metastable cosmic strings. This project has received funding from the Deutsche Forschungsgemeinschaft under Germany's Excellence Strategy – EXC 2121 “Quantum Universe” – 390833306 (V.D.) and from the European Union's Horizon 2020 Research and Innovation Programme under grant agreement number 796961, “AxiBAU” (K.S.). The work of H.M. was supported by the NSF grant PHY-1915314, by the U.S. DOE Contract DE-AC02-05CH11231, by the JSPS Grant-in-Aid for Scientific Research JP17K05409, MEXT Grant-in-Aid for Scientific Research on Innovative Areas JP15H05887, JP15K21733, by WPI, MEXT, Japan, and Hamamatsu Photonics.

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