

# measurements of single diffraction using the ALFA forward spectrometer at ATLAS



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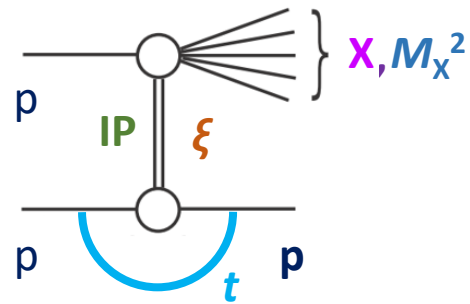
on behalf of the ATLAS collaboration



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Charles University

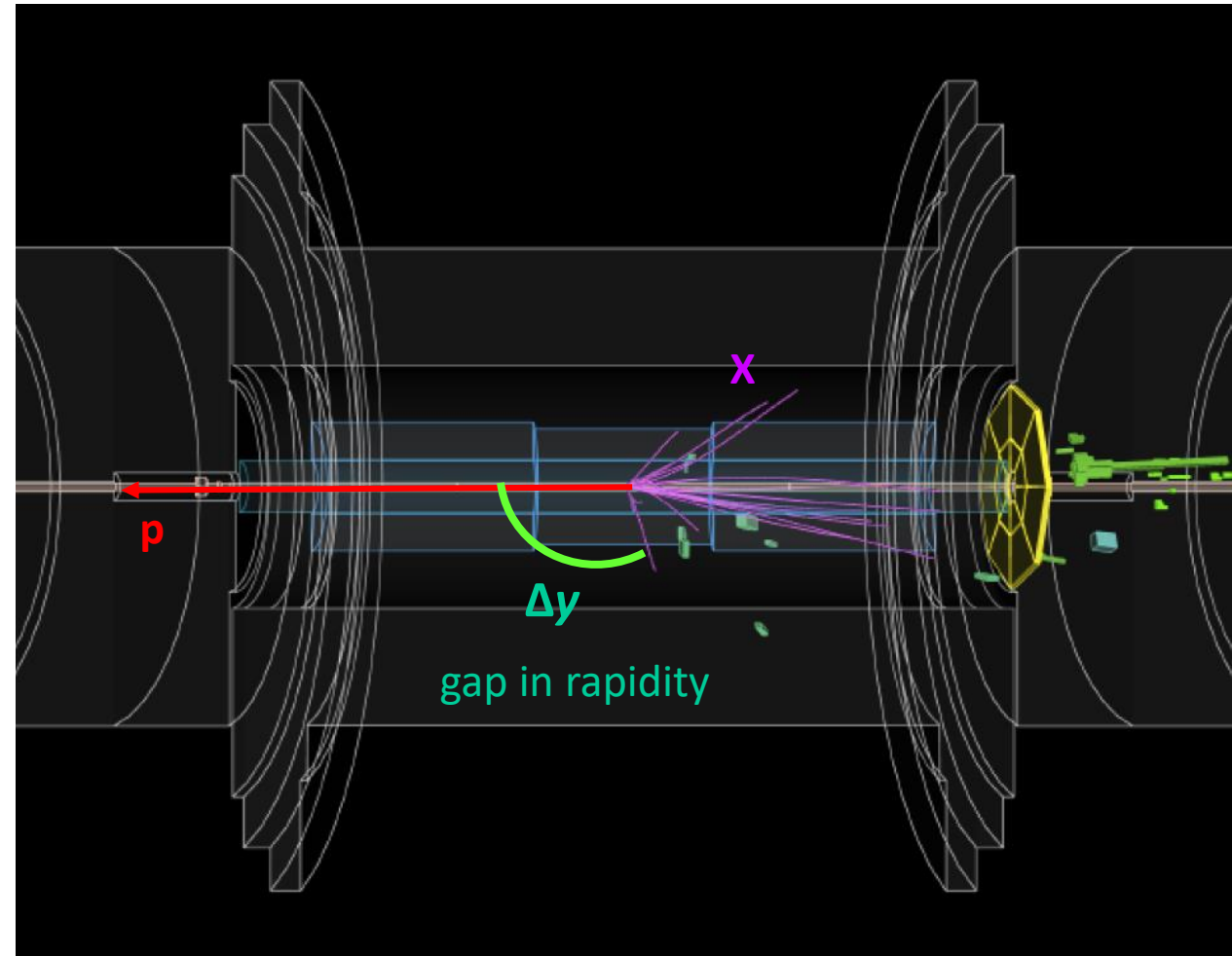


## single diffraction



**Inclusive single diffractive dissociation**,  $p + p \rightarrow p + X$ , where  $X$  stands for a **dissociative system**, with a mass  $M_X^2$ , is studied using data **collected by the ATLAS experiment at the LHC**. The **intact proton p** is reconstructed and measured in the **ALFA forward spectrometer**, while charged particles from the **dissociative system** are **reconstructed and measured using the ATLAS Inner tracking Detector (ID) and calorimeters**.

**Differential cross sections** are presented as functions of the proton  $p$  fractional momentum loss  $\xi$ , the four-momentum transfer squared  $t$ , and the size of a pseudorapidity gap measured from the edge of the ATLAS calorimeters  $\Delta\eta$ . The **results are interpreted in the framework of Regge phenomenology**, with pomeron **IP** as exchanged “particle”.

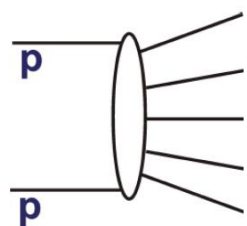


**talk is based on** the conference note:

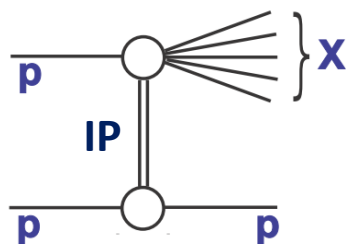
*Measurement of Differential Cross Sections for Single Diffractive Dissociation in  $\sqrt{s} = 8$  TeV  $pp$  collisions using the ATLAS ALFA Spectrometer*

**ATLAS-CONF-2019-012**

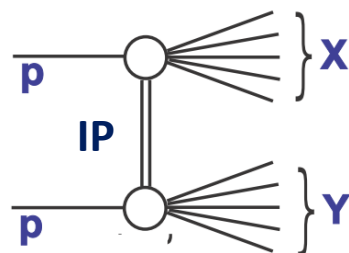
# p-p collisions: diffractive and non-diffractive components



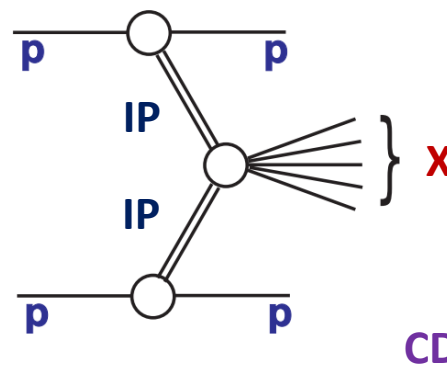
ND



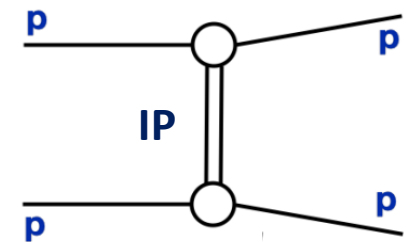
SD



DD



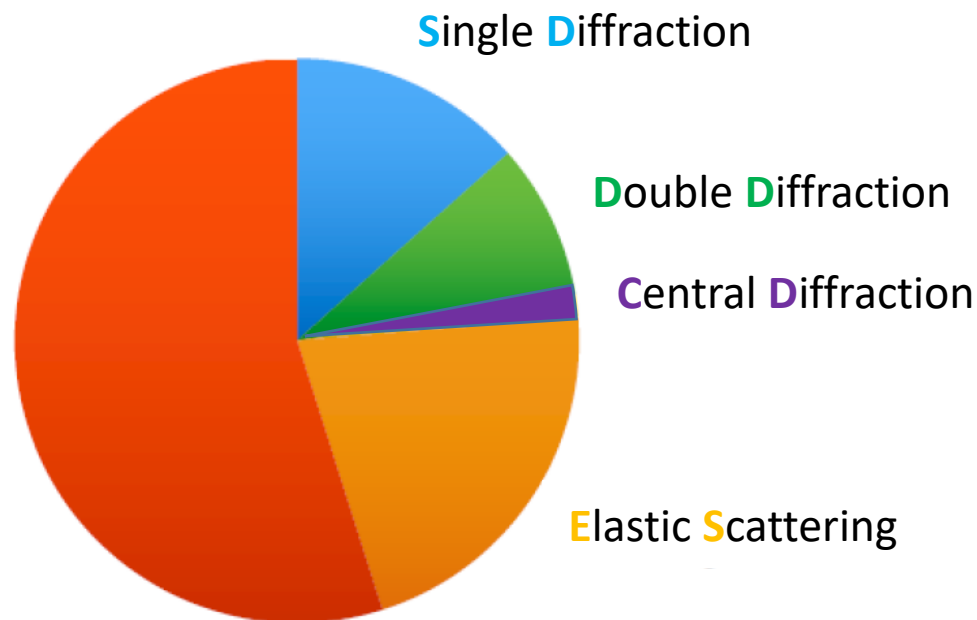
CD



ES = CD

$x = \emptyset$

for illustration



Non Diffractive

- **SD** has large cross section but was not precisely measured in **previous analyses** based on **rapidity gaps**

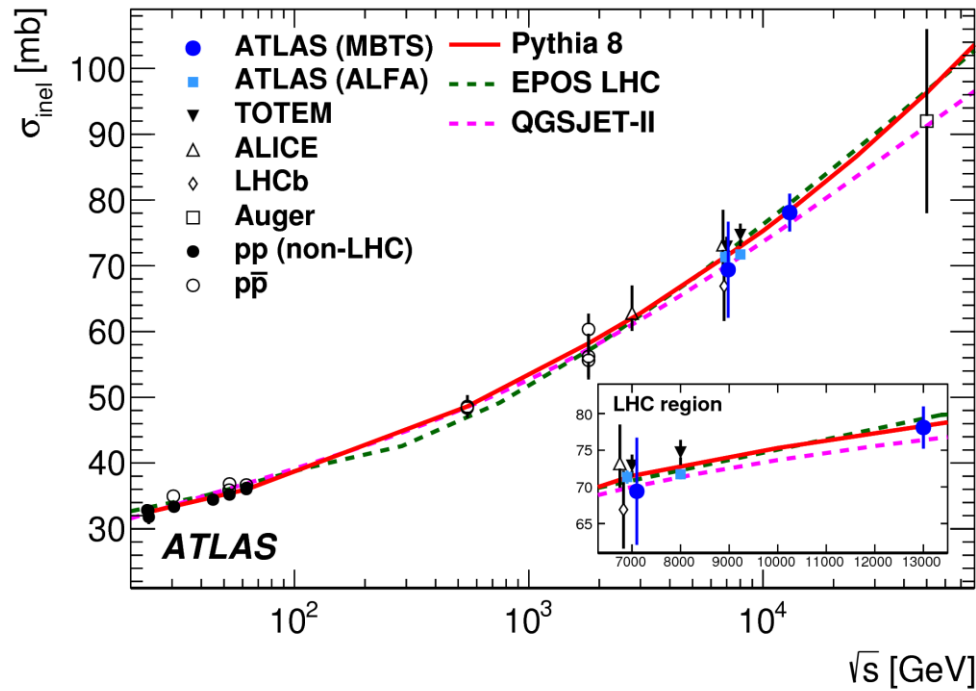
ATLAS: [Eur. Phys. J. C72 \(2012\) 1926](#)

CMS: [Phys. Rev. D 92, 012003](#)

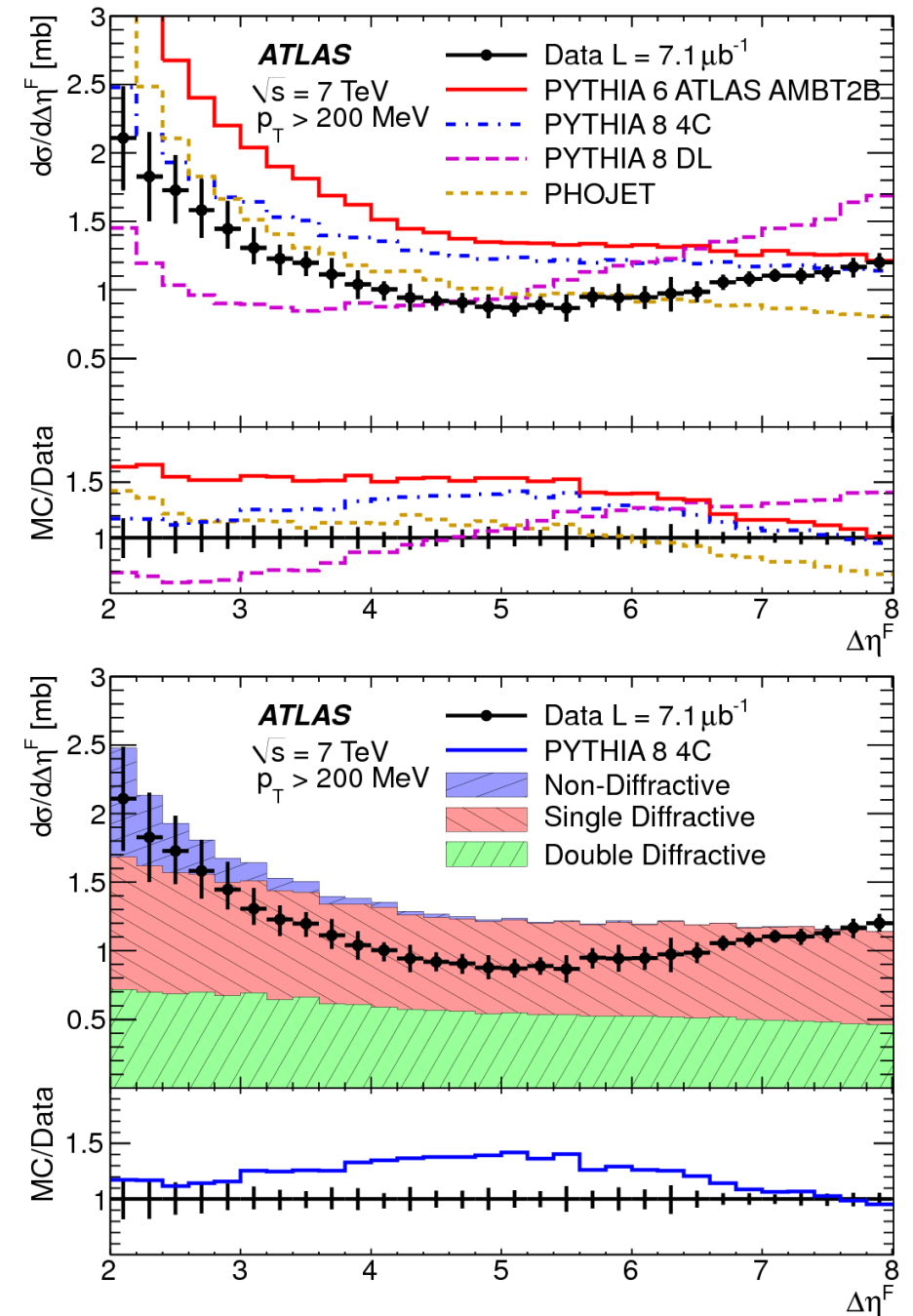
due to **no possibility** to well distinguish **SD**, **DD** and the tail of **ND** contributions and no direct access to the underlying dynamics

- **present analysis based on proton tagging**

# measurement based on rapidity gap – motivation for proton tagged measurement(s)



- better precision of the total inelastic p-p cross section
- understanding the low Bjorken- $x$  region of proton structure
- interpretation of cosmic ray air showers
- with proton tagging - removal of **DD** and **ND**
- direct access to  $t$  and  $\xi$

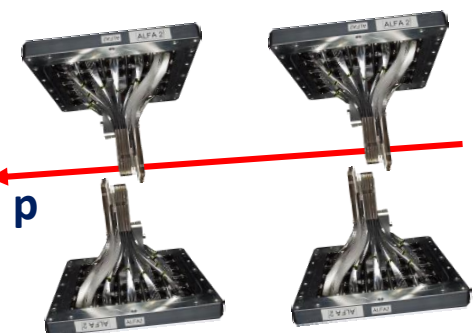


proton  $p$  is scattered through a very small angle of typically  $10 - 100 \mu\text{rad}$  and is measured by **ALFA detector** 240 m from the ATLAS Interaction Point (IP); the detector was placed 7.5 mm from the beam in the dedicated run of the LHC with the special high  $\beta^* = 90 \text{ m}$  optics

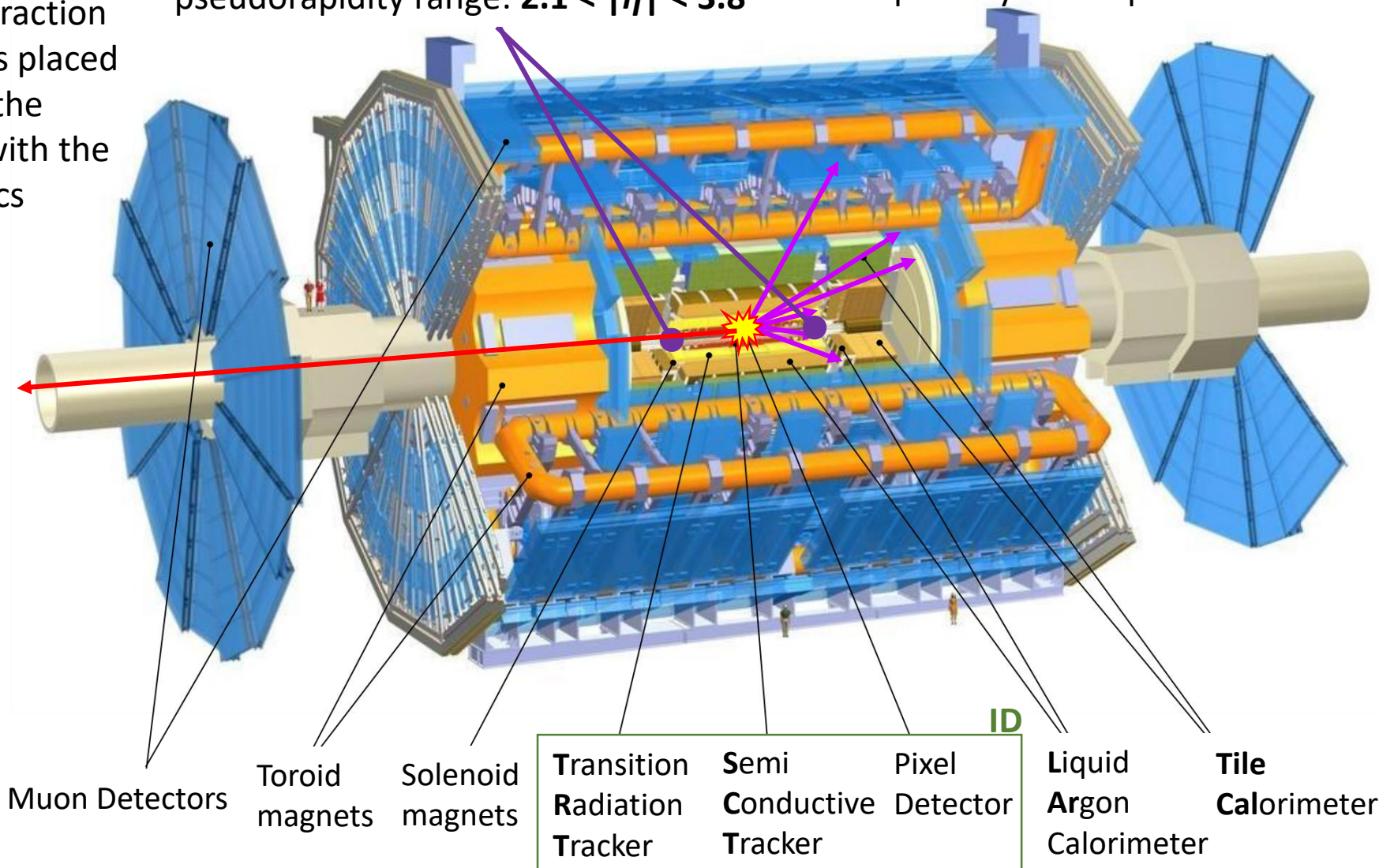
experimental setup

Minimum Bias Trigger Scintillator (MBTS) pseudorapidity range:  $2.1 < |\eta| < 3.8$

second proton dissociates, producing a multi-particle hadronic system  $X$ ; charged particles with  $p_T \geq 0.2 \text{ GeV}$  and  $|\eta| \leq 2.5$  are measured in the ID allowing determination of the primary vertex position.

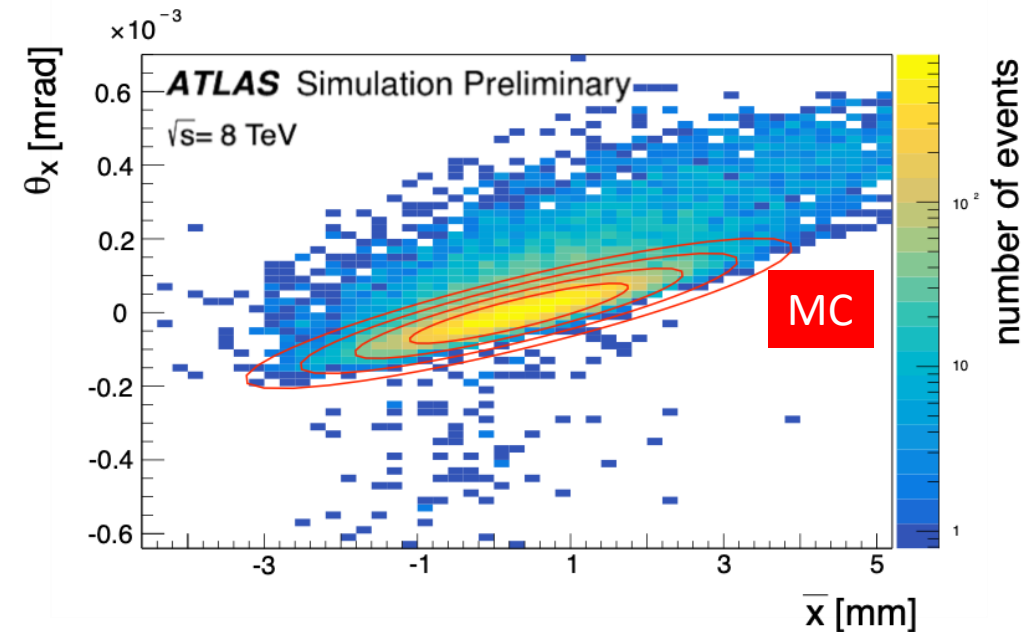
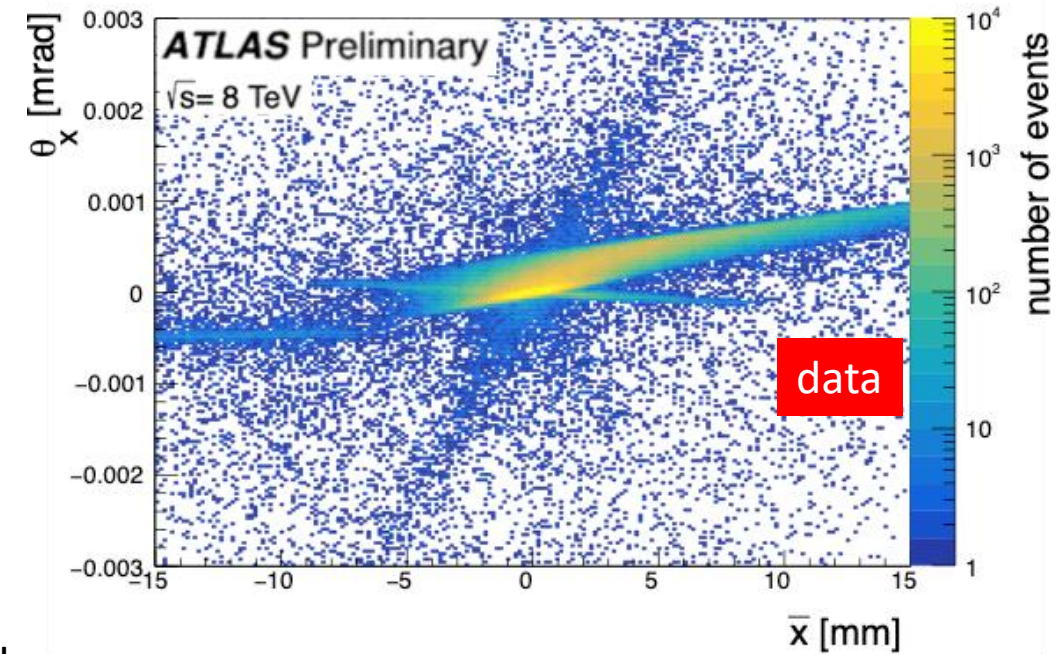
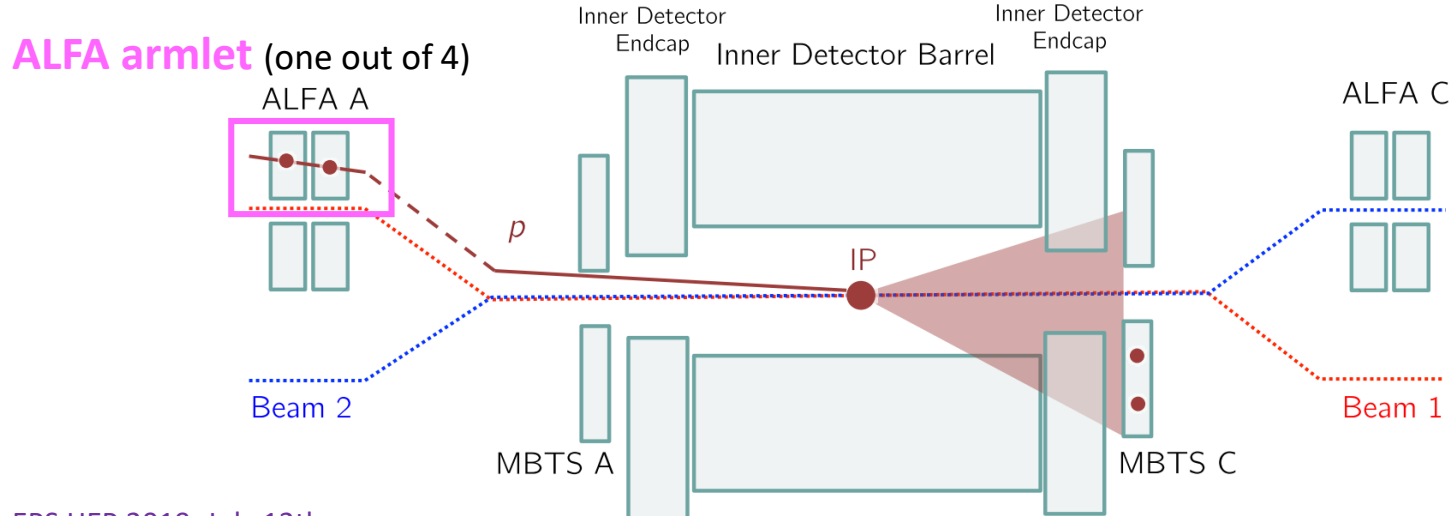


ALFA detector (one side)

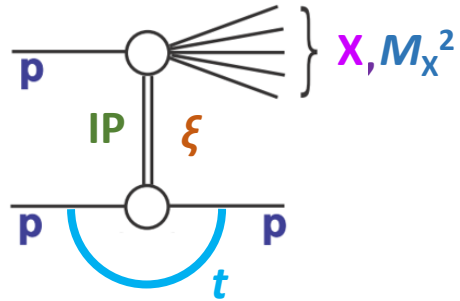


## measurement conditions and event selection

- **8 TeV** data from low lumi and pile-up run 206881, LHC fill 2836, July 12–13, 2012;  $\mu = 0.08$ ,  $\beta^* = 90$  m  
(the same run was used for 8 TeV ALFA elastic / total cross-section analysis Phys. Lett. B761 (2016) 158)
- **trigger**: **ALFA** and **MBTS** signals on opposite sides of the IP
- **ALFA** selection: exactly one reconstructed proton in an **ALFA armlet** with additional off-line selection for SD events using the geometrical cut:  $(\bar{x}, \theta_x)$  within  $3\sigma$  ellipse around  $[0, 0]$ , where  $\bar{x}$  is a proton track mean position,  $\theta_x$  is a proton track local angle between stations
- **MBTS** selection: at least 5 (out of 16) counters above noise threshold
- **ID** selection:  $\geq 1$  track with  $p_T \geq 200$  MeV, reconstructed vertex



# variables and fiducial region of the measurement



$t = -p_T^2$ : four-momentum transfer squared;  $p_T$  reconstructed from proton track in ALFA detector

$\xi = M_X^2/s$ : fractional proton energy loss; reconstructed from

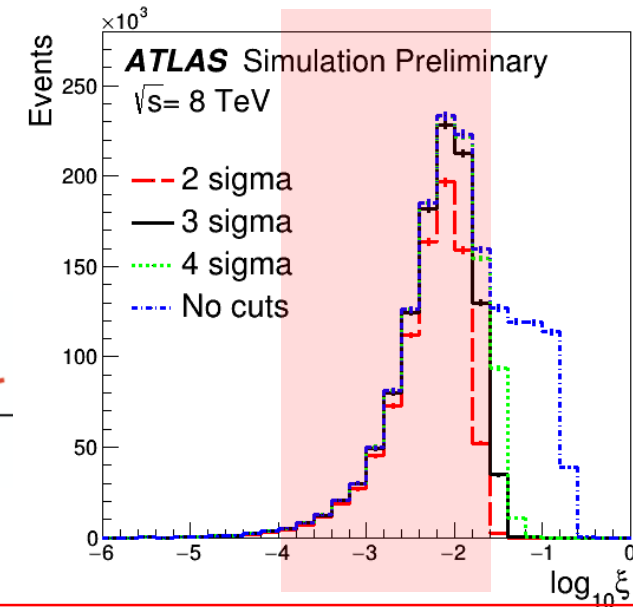
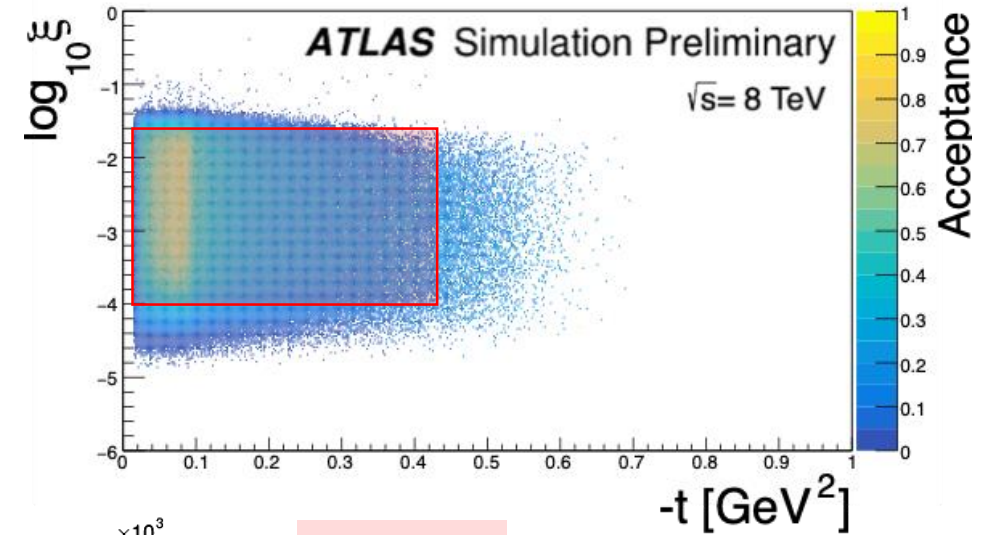
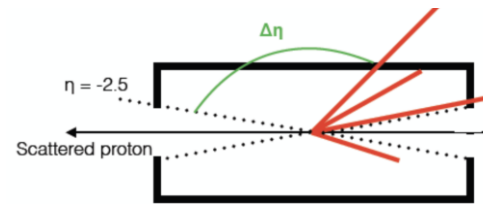
- tracks in the **ID**

$$\xi_{EPZ}^{\pm} = \sum_i (E_i \mp p_{z,i}) / \sqrt{s}$$

- track in ALFA

$$\xi_{ALFA} = 1 - E_p / E_{\text{beam}}$$

- 'visible size of pseudorapidity gap'  $\Delta\eta$  - between tracker edge on side with proton ( $\eta = +2.5$  or  $-2.5$ ) and the first **ID** track with  $p_T \geq 0.2$  GeV



## fiducial region of the measurement:

- $0.016 < |t| < 0.43 \text{ GeV}^2$
- $-4.0 < \log_{10} \xi < -1.6$  ( $\Leftrightarrow 80 < M_X < 1270 \text{ GeV}$ )
- lower limit in  $\xi$  determined by MBTS requirement
- upper limit in  $\xi$  and range in  $t$  determined by ALFA acceptance

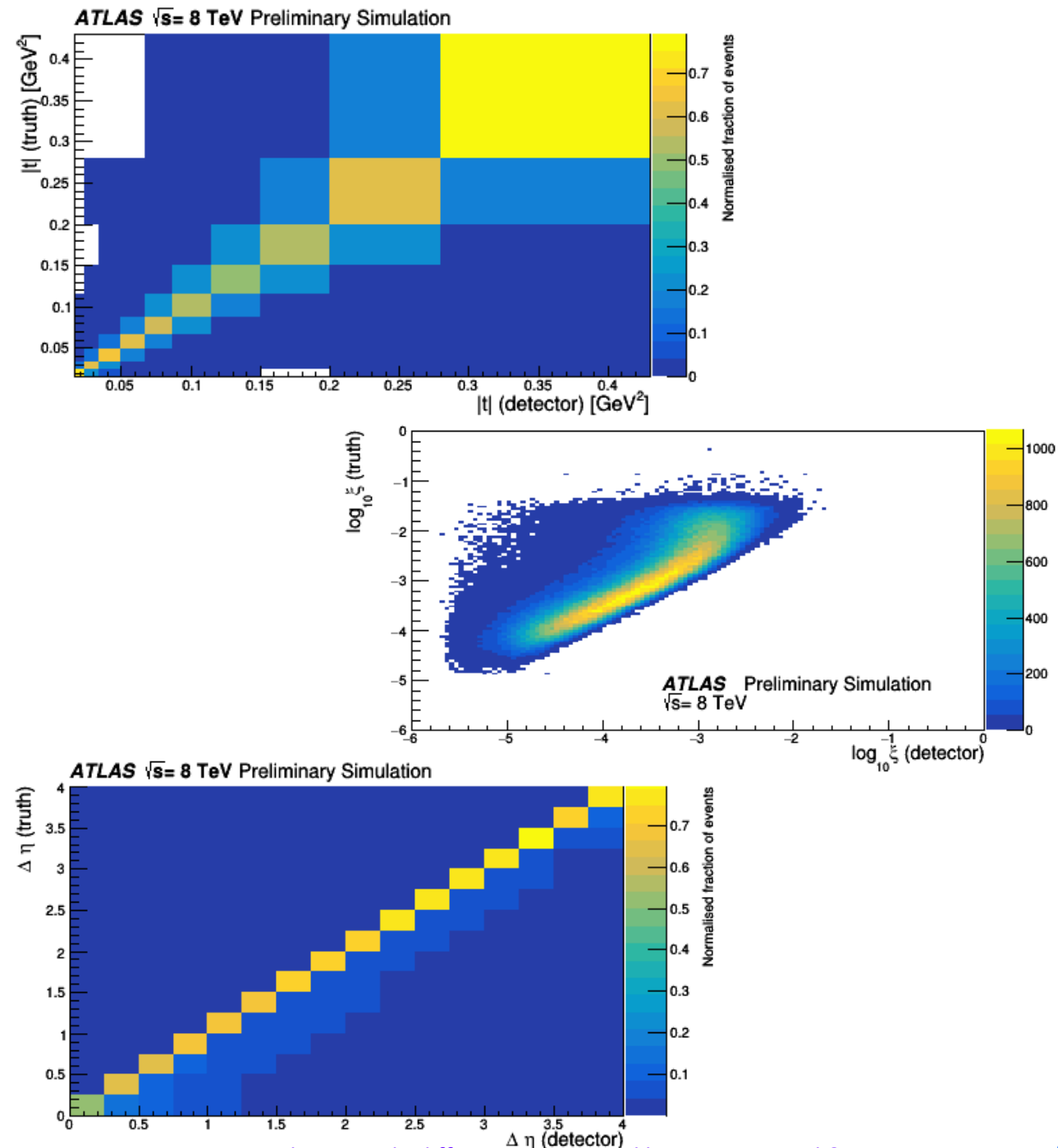
# MC generators

## signal

- **PYTHIA8 A3**
  - proton PDF = NNPDF23 LO
  - pomeron PDF = H1 2006 Fit B
    - flux: intercept  $\alpha(0) = 1.07$ , slope  $\alpha' = 0.25$  (Donnachie-Landshoff)
  - **SD** for unfolding
  - **CD**, **DD**, **ND** for background subtraction
  - **EL** for ALFA reconstruction efficiency

## systematics

- **PYTHIA 8 A2**  
same as A3 tune, but Schuler-Sjostrand flux with intercept  $\alpha(0) = 1.00$
- **HERWIG 7.1.3**
  - proton PDF = MMHT2014lo68cl
  - pomeron PDF -  $\alpha(0)$ ,  $\alpha'$  not extracted





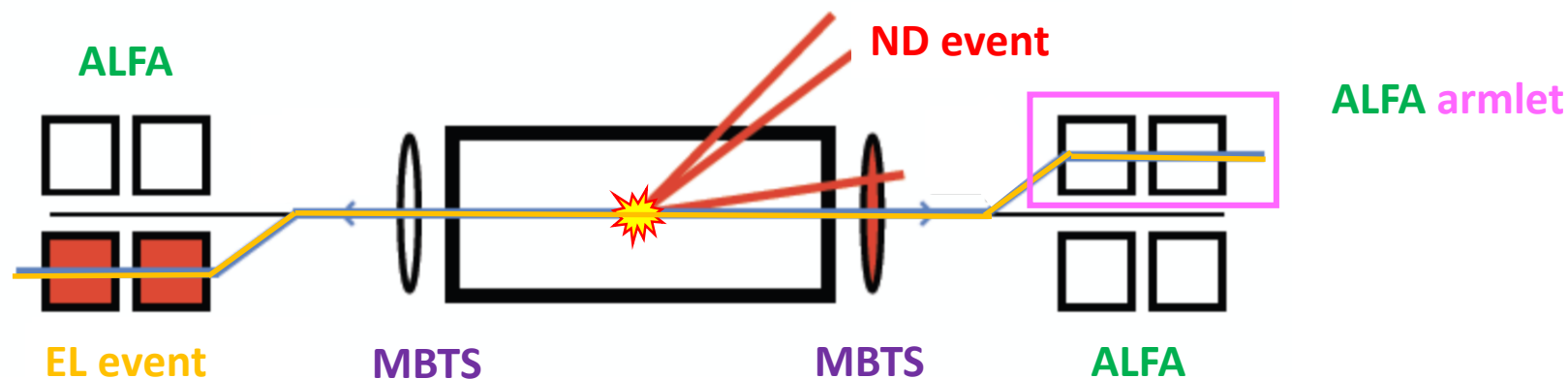
## signal background

- single event contamination due to **ND**, **CD**, **DD**, **EL** processes is small/zero:

$$\text{ND} < 1\%, \text{CD} \sim 9\%, \text{DD} < 1\%, \text{EL} = 0\%$$

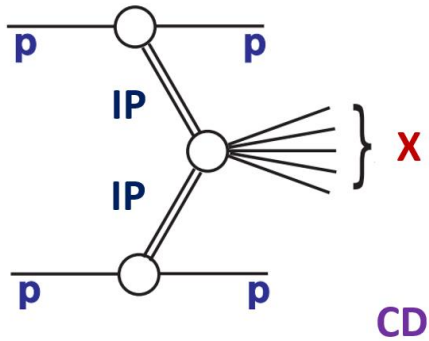
- not the case for events overlay (= combination of two uncorrelated events)

$$\text{ALFA (elastics / beam halo proton) + ID/MBTS (Minimum Bias events)} \sim 25\%$$

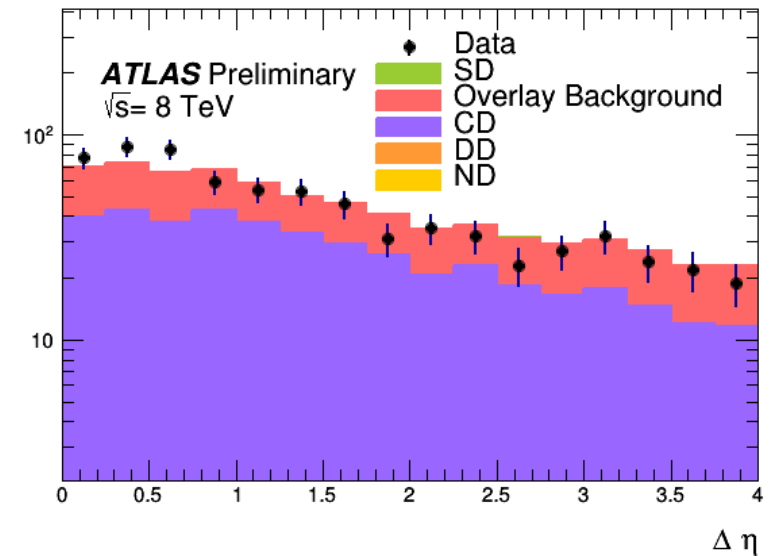
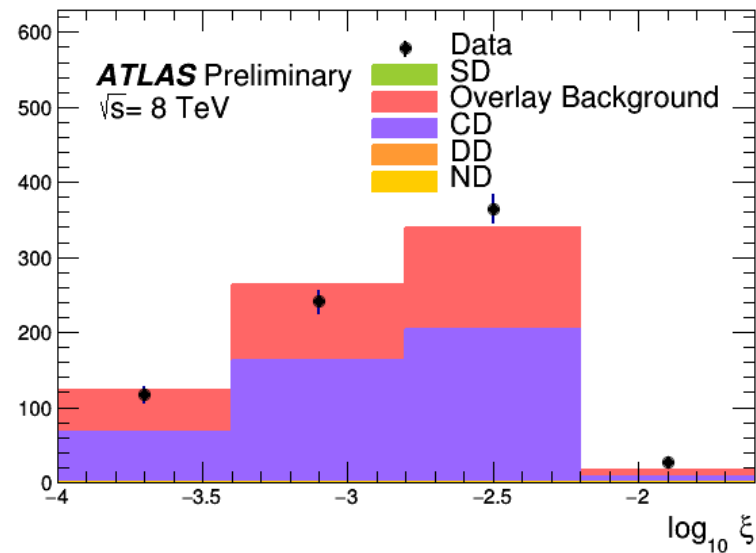
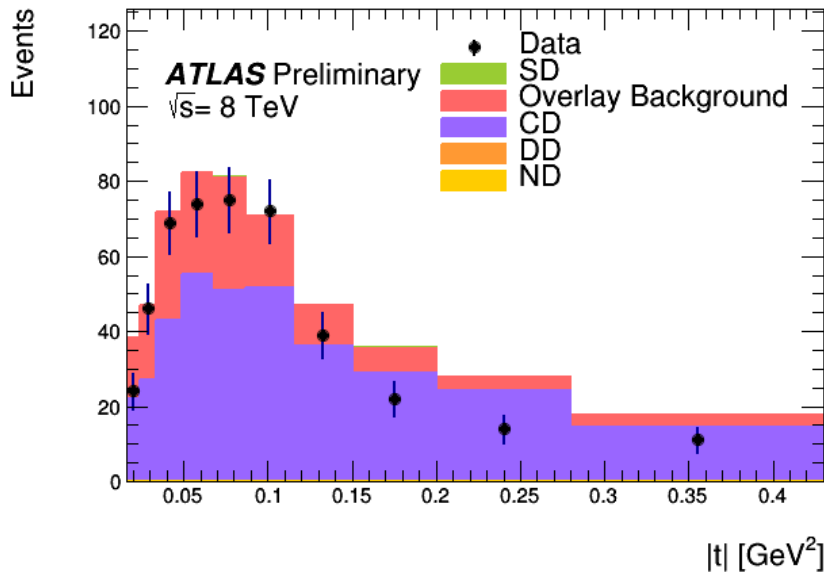


- (overlay background) estimated by data-driven technique assessing **ALFA** activity in strongly **ND**-enriched events, selected as:
  - all 32 **MBTS** segments have signals
  - at least 1 track with  $p_T > 200$  MeV and  $|\Delta\eta| < 0.5$
- ALFA**, 1 proton, 0.8% of such events, gives **normalization**
- shape** in  $t$  from **ALFA** data
- shapes** in  $\xi$ ,  $\Delta\eta$  based on MC events that pass all analysis selection cuts except for number of protons

# background due to CD



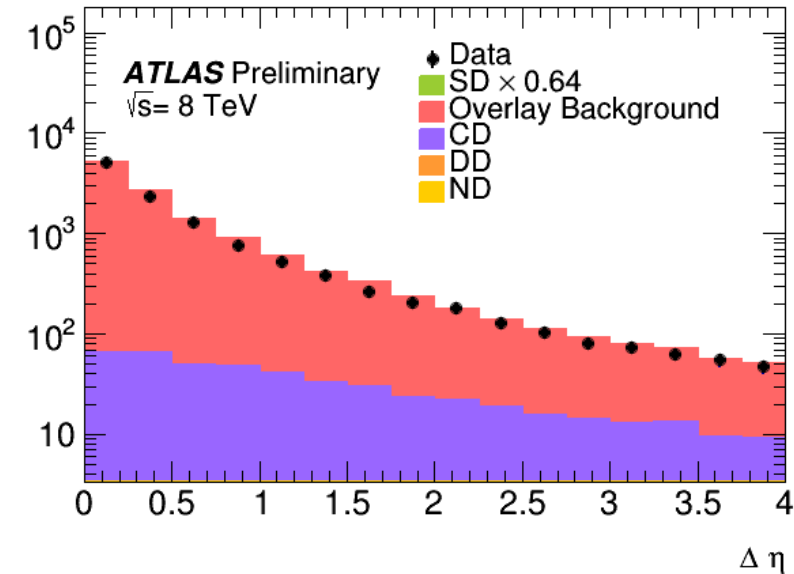
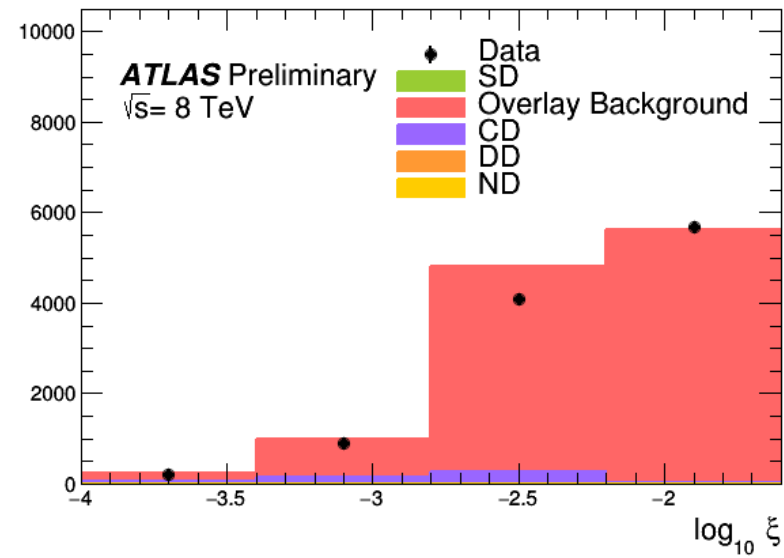
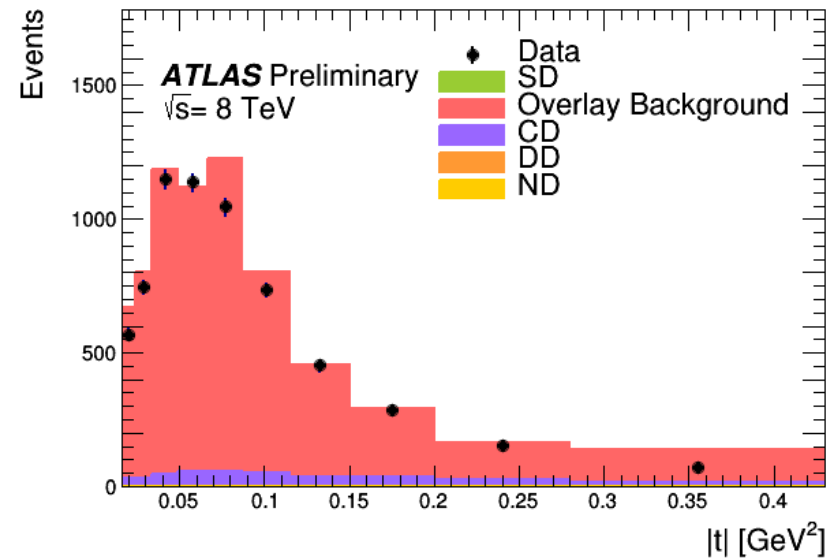
- the second largest source of signal background (9%)
- obtained from MC control region (CD-enriched sample)
  - protons in exactly two ALFA armlets
  - 2-10 MBTS segments fired



- **good** description of **normalizations** and **shapes**
- reweight  $\xi$  distributions to match the data, preserving normalization

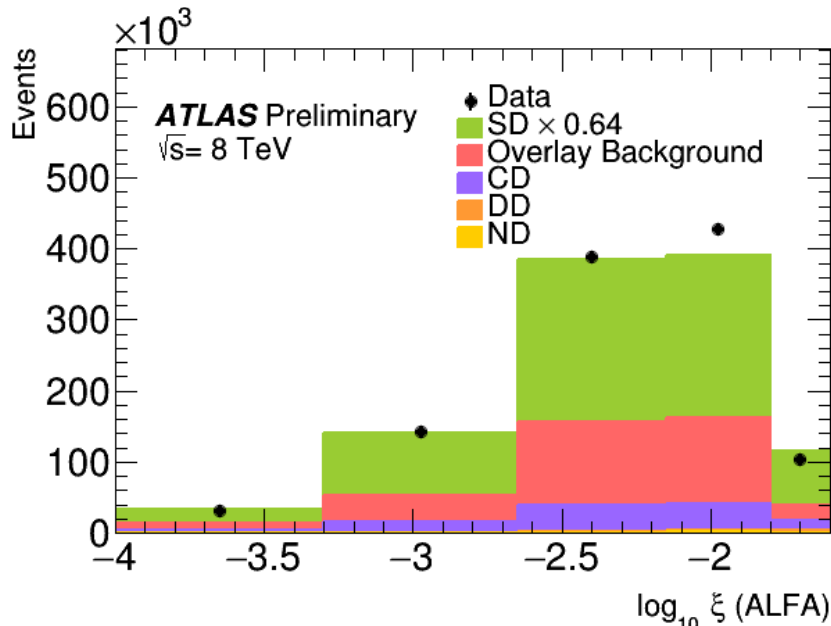
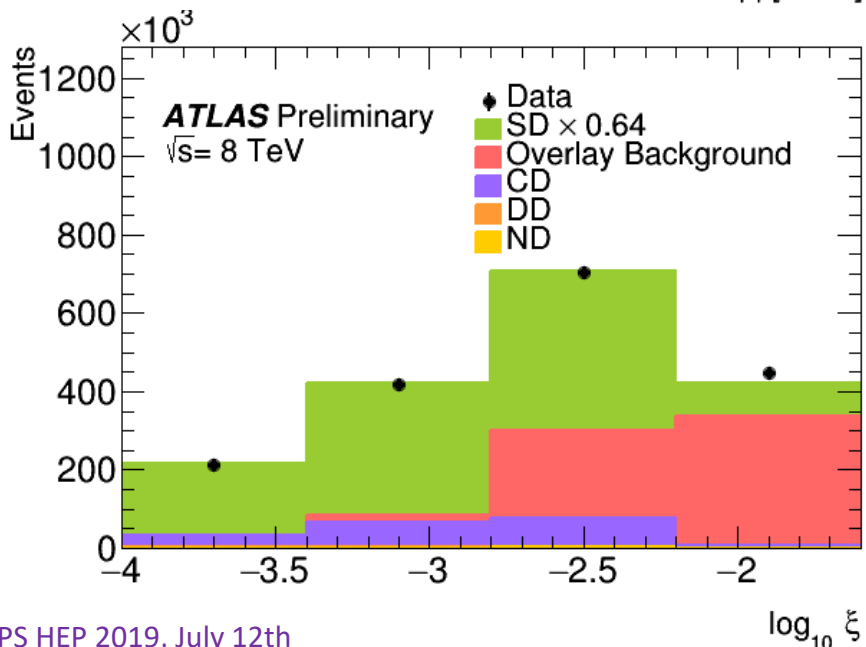
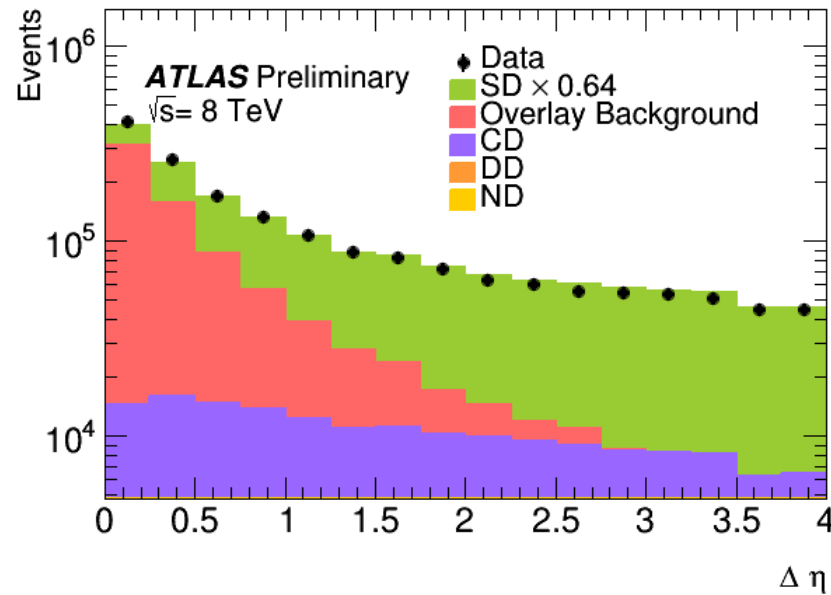
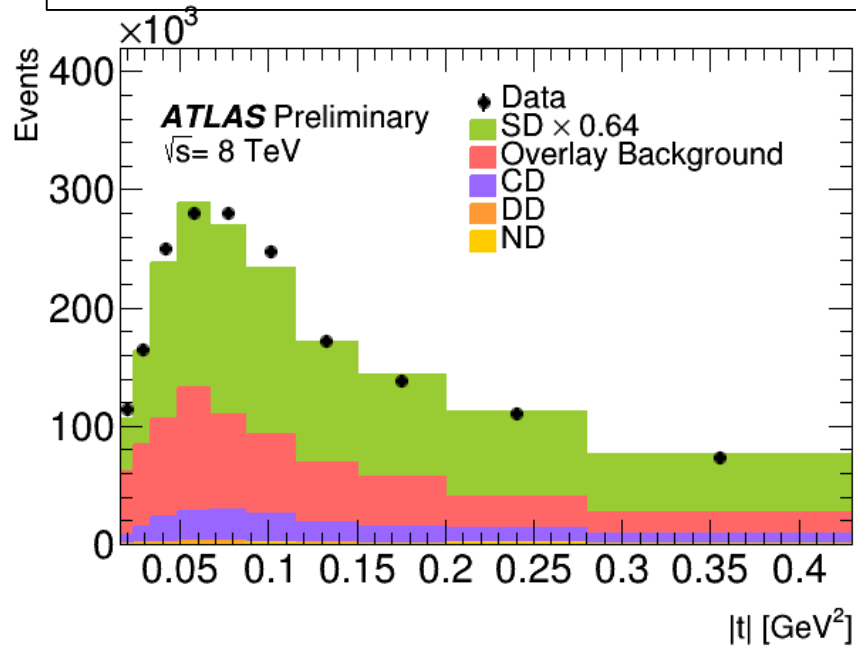
## background due to overlay events

- biggest source of signal background (25%)
- same as nominal selection, but with protons in exactly two ALFA armlets - dominated by elastics  $p$  in ALFA + ND in ID



- **good** description of normalization and shapes
- **systematic errors** obtained from residual differences between data and model in this control sample

# uncorrected (detector level) distributions

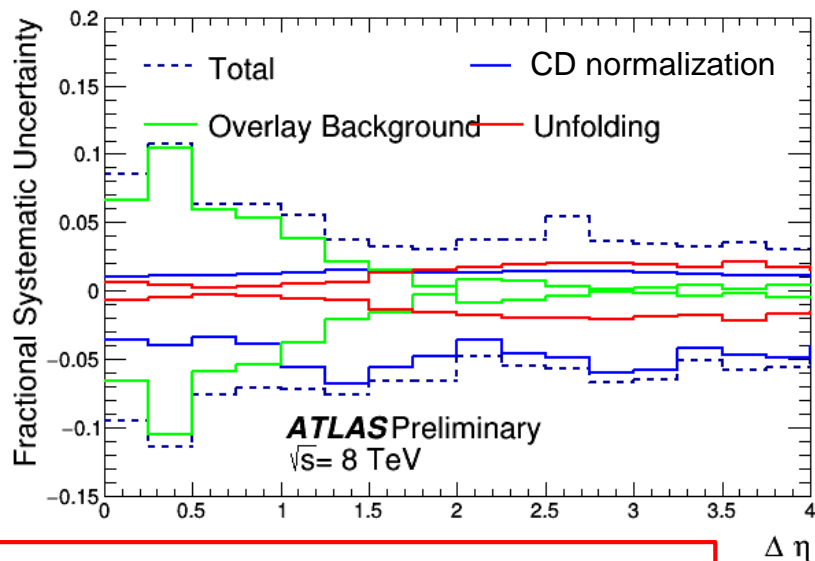


- poor description with default PYTHIA8 SD normalization
- adjust SD total cross section to the result from this measurement by the factor 0.64

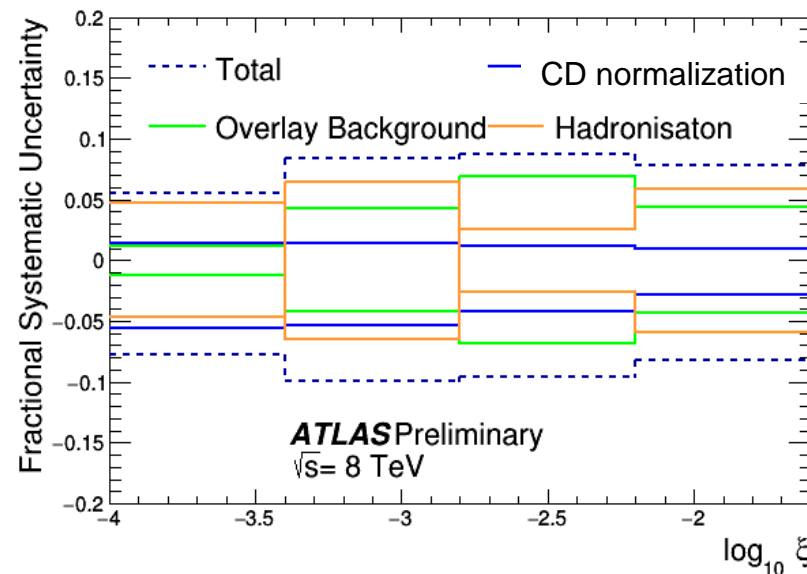
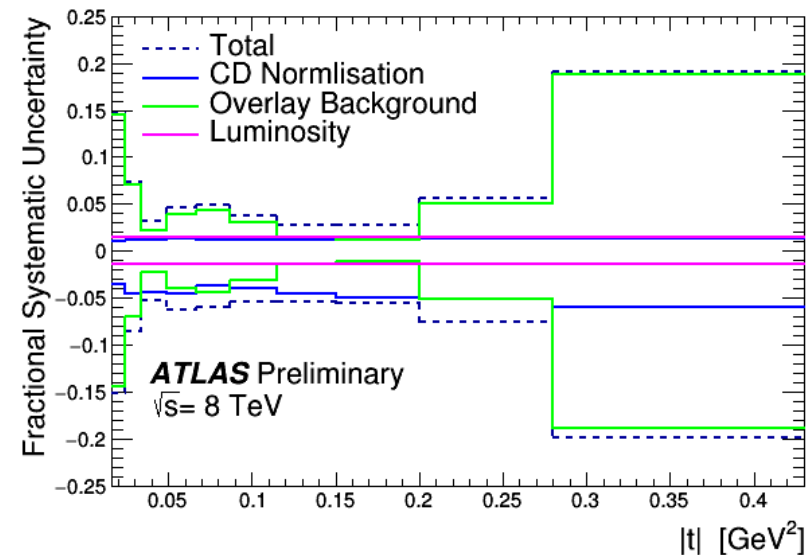
- good description of all distributions after renormalization
- $\xi$  (ALFA) has very different background shapes and other systematics from  $\xi$

# systematics uncertainty sources

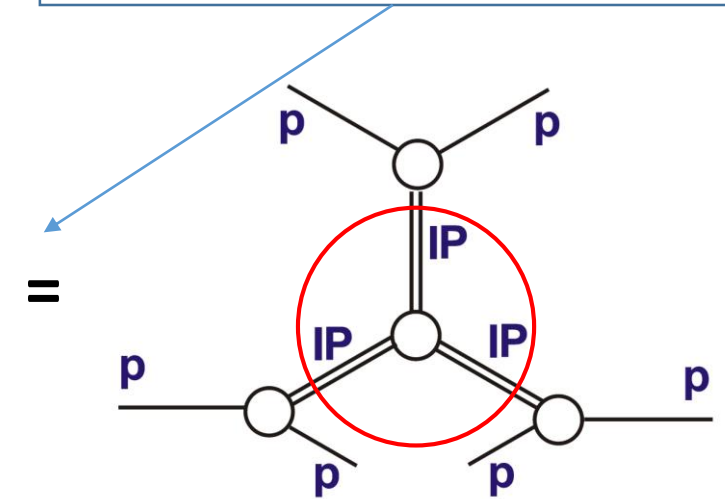
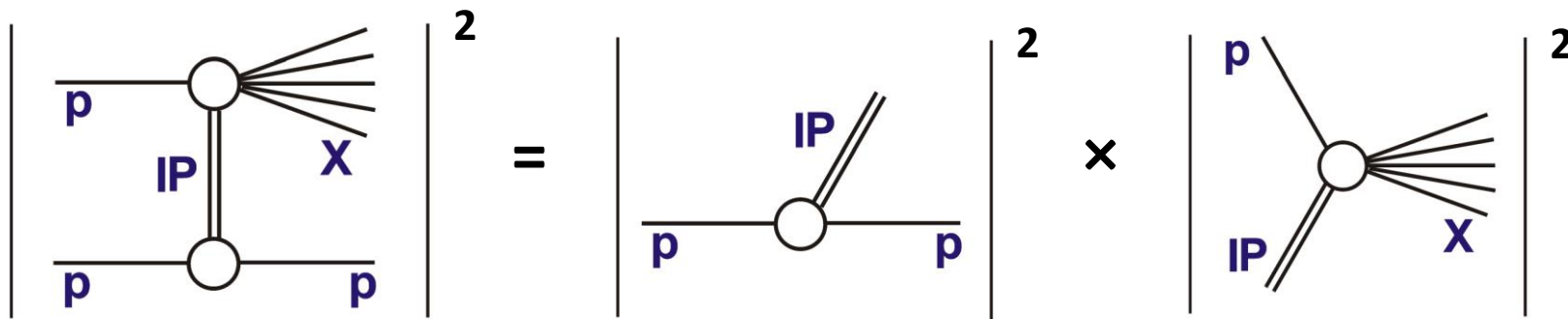
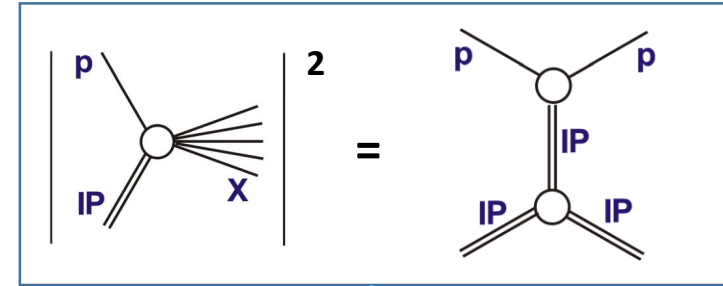
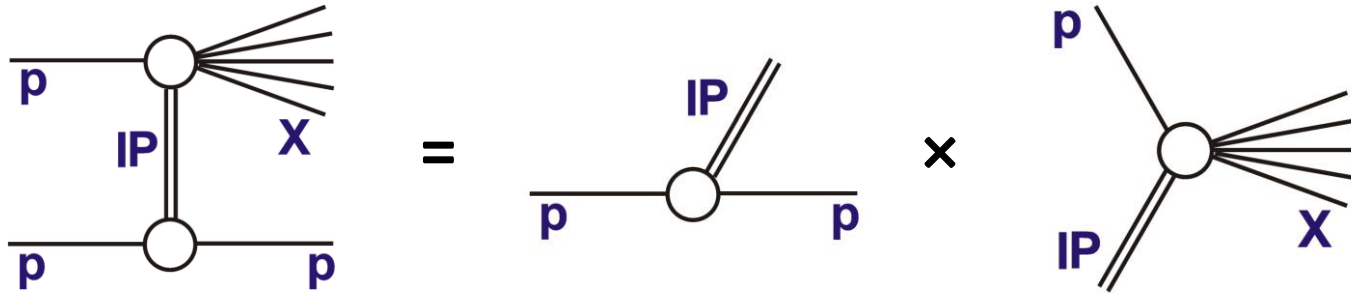
- overlay background subtraction
- CD background shape and normalization
- ALFA alignment and reconstruction
- hadronization uncertainty
- luminosity (1.5%)
- MBTS thresholds
- ID track reconstruction
- trigger efficiencies
- unfolding



- overlay background dominates in many bins
- hadronization uncertainty significant for  $\xi$
- unfolding and CD normalization also important in some regions



# interpretation of results in terms of Regge theory



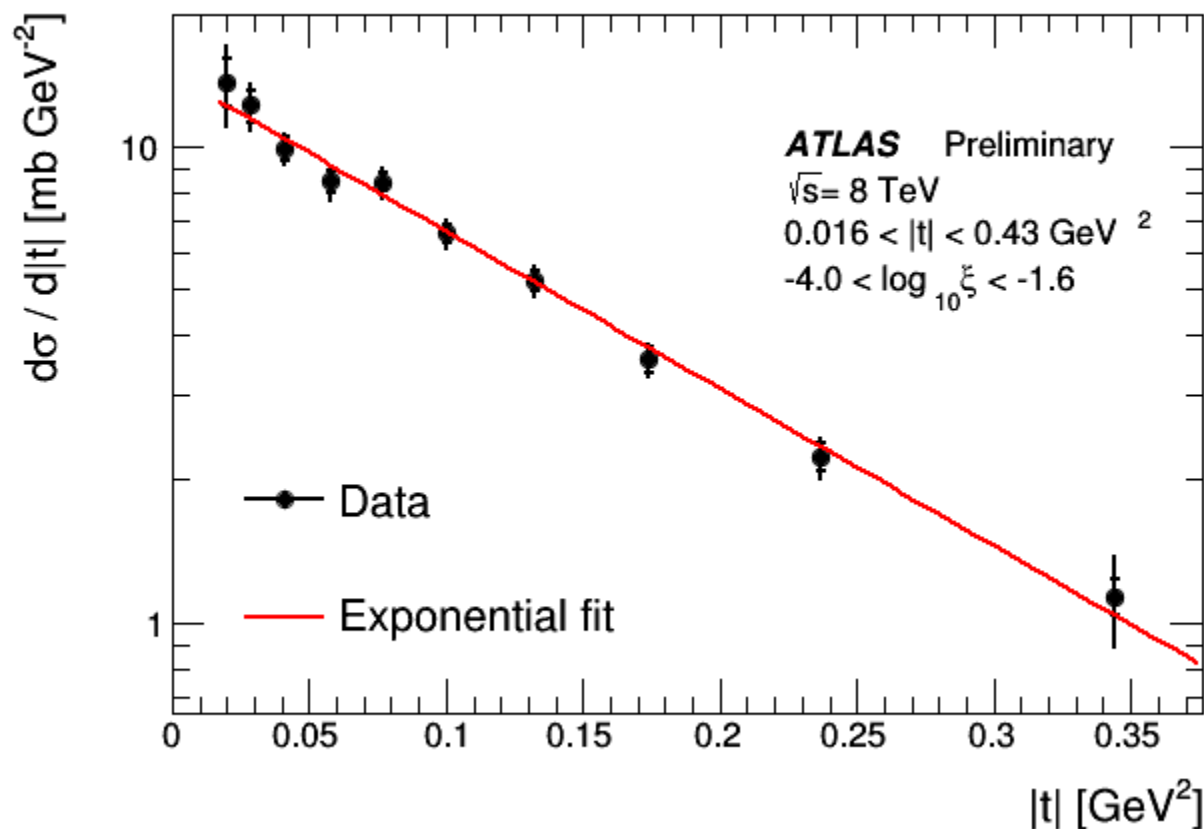
triple pomeron

for fixed  $s$

$$\frac{d\sigma_{SD}}{dt d\xi} \propto \left( \frac{1}{\xi} \right)^{2\alpha(t) - \alpha(0)} e^{Bt}; \alpha(t) = \alpha(0) + \alpha' \cdot t - \text{pomeron Regge trajectory}$$

for a detailed description see e.g. K. Goulianos and J. Montanha  
 PHYSICAL REVIEW D 59 114017

## differential cross section as function of $t$



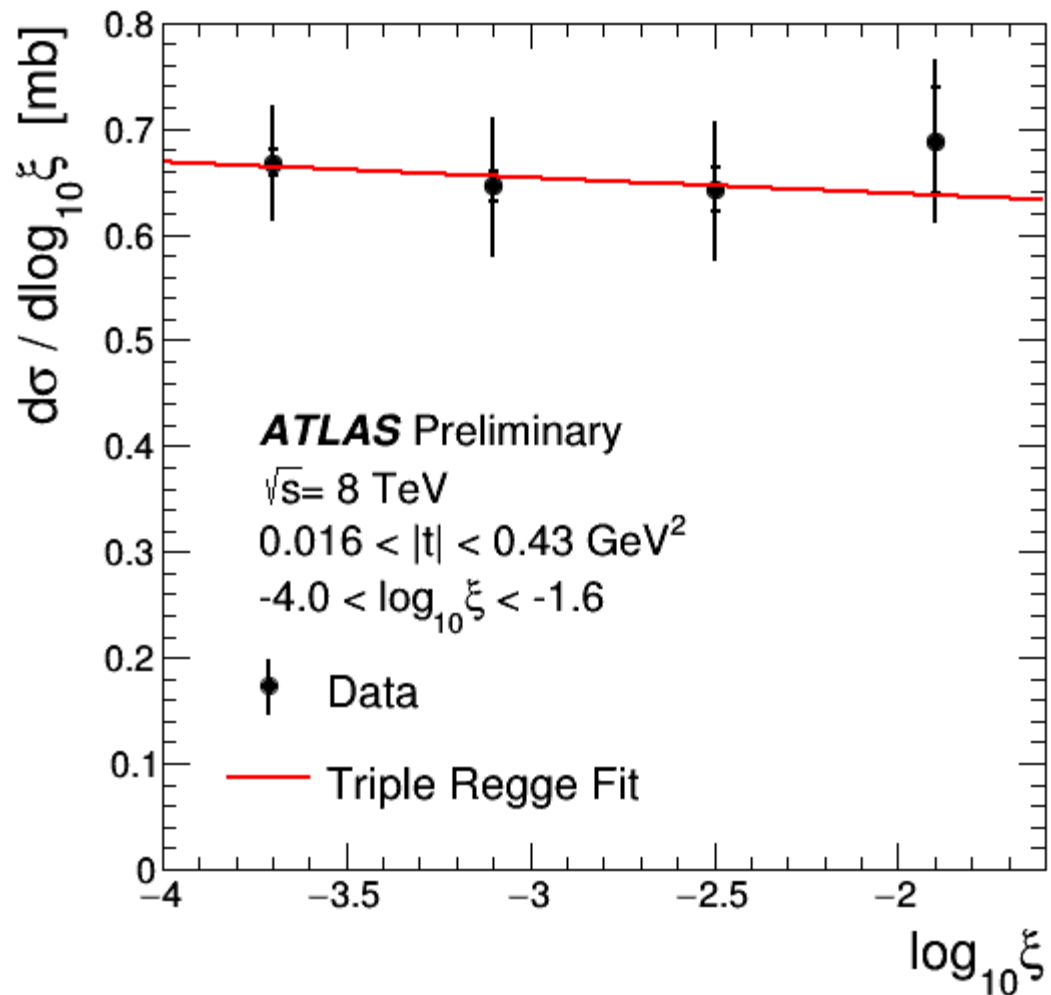
- data corrected using Bayesian unfolding
- expected fit in exponential form

$$d\sigma_{SD}/dt \propto e^{Bt}$$

$$B = 7.60 \pm 0.23 \text{ (stat.)} \pm 0.22 \text{ (syst.) GeV}^{-2}$$

- the largest contribution to the uncertainty on  $B$  arises from the overlay background subtraction
- cf.  $B_{\text{PYTHIA 8 A3}} = 7.10 \text{ GeV}^{-2}$ ,  $B_{\text{PYTHIA 8 A2}} = 7.82 \text{ GeV}^{-2}$
- in line with expectations; high precision

## differential cross section as function of $\xi$



- data corrected using Bayesian unfolding

- expected behaviour of differential cross section

$$d\sigma_{SD}/d\xi \propto \int_{t_{\min}}^{t_{\max}} \left( \frac{1}{\xi} \right)^{2\alpha(t) - \alpha(0)} e^{Bt} dt$$

$t_{\min}, t_{\max}$  – fiducial region

- fit gives

$$\alpha(0) = 1.07 \pm 0.02 \text{ (stat.)} \pm 0.06 \text{ (syst.)} \pm 0.06 \text{ (}\alpha'\text{)}$$

- triple Pomeron model form

$$d\sigma_{SD}/d\xi \propto (1/\xi)^{\alpha(0)-1}$$

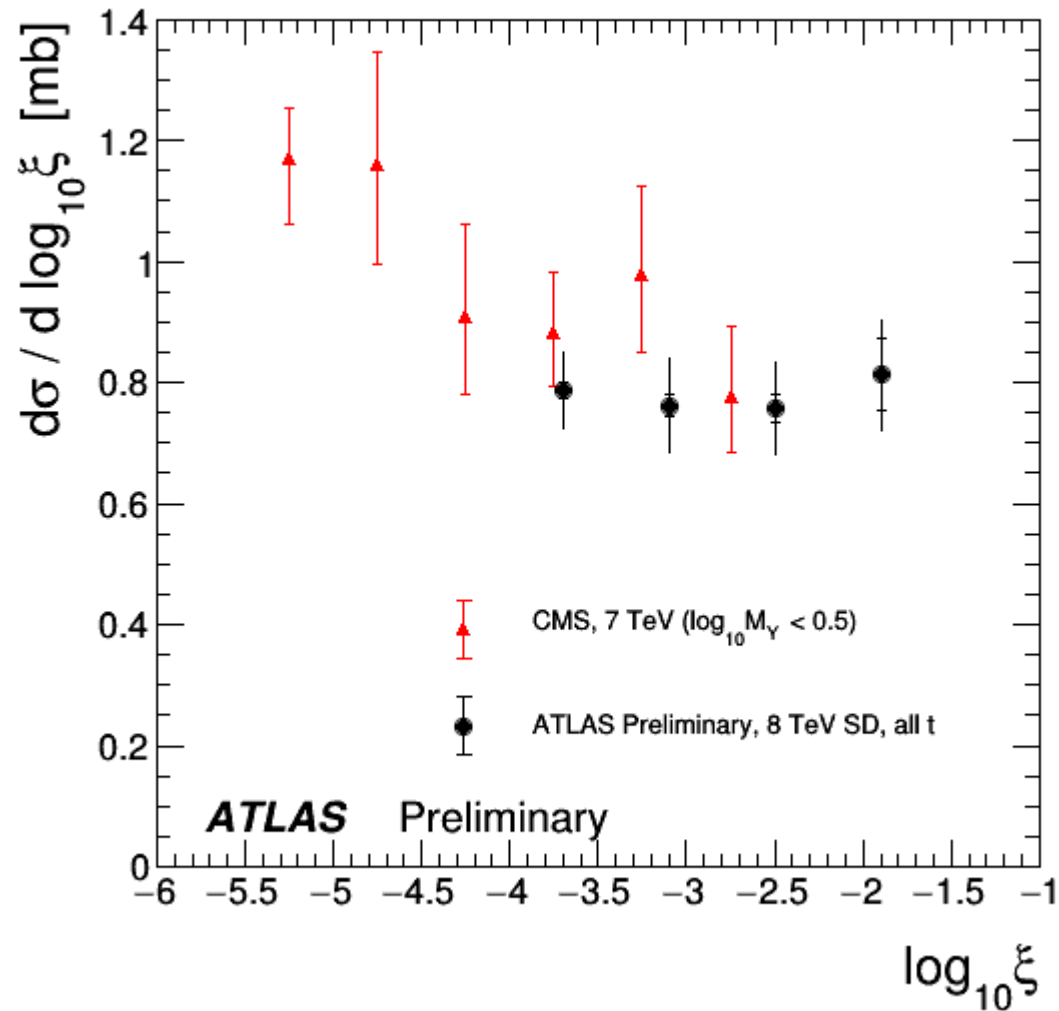
- dominant uncertainty comes from  $\alpha' = 0.25 \pm 0.25 \text{ GeV}^{-2}$  in fit

- unfolding, hadronization & overlay background systematics also significant

- cf.  $\alpha(0)_{\text{PYTHIA 8 A3}} = 1.14$ ,  $\alpha(0)_{\text{PYTHIA 8 A2}} = 1.00$



# ATLAS – CMS comparison of $d\sigma_{SD}/d\log_{10}\xi$



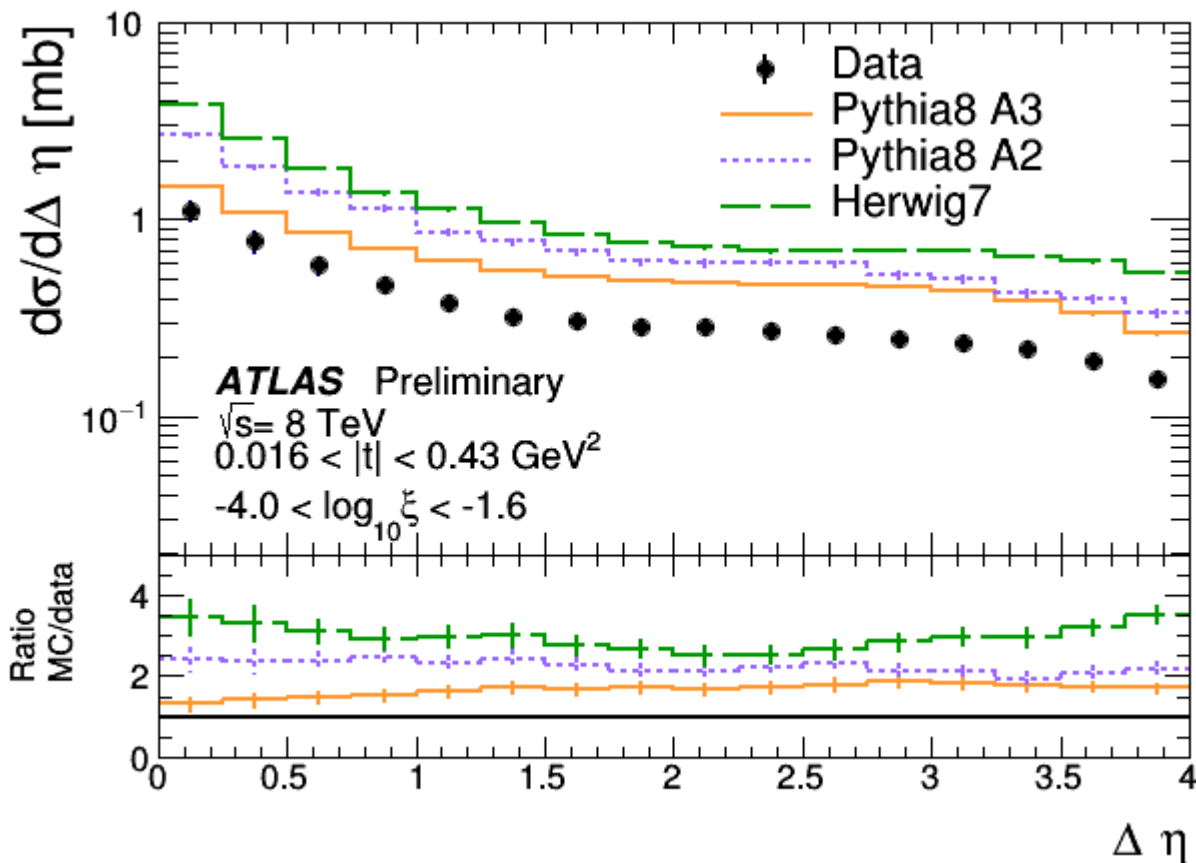
**ATLAS** data (at **8** TeV) extrapolated to full  $t$ -range using the  $t$ -slope measured in **this analysis**

**CMS** data (at **7** TeV) rapidity gap analysis using CASTOR as a veto, some contamination from DD, assumed to be small

**Phys. Rev. D92 (2015) 012003**

- good agreement in overlapping  $\xi$ -range
- complementary  $\xi$  ranges

# differential cross section as function of $\Delta\eta$



- data corrected using Bayesian unfolding
- gap defined by particles with  $p_T > 200 \text{ MeV}$  &  $|\eta| < 2.5$
- diffractive plateau visible ( $\Delta\eta \sim -\ln \xi$ )
- shape at low gaps due to stacking up of high  $\xi$  events with small gaps beyond acceptance
- shape at high gaps due to edge of  $\xi$

all MC generator tunes predict larger cross sections than data, Pythia8 A3  $\sim 1.5x$ , Pythia8 A2  $\sim 2.3x$ , Herwig7  $\sim 3x$

## integrated cross section, data and data-MC comparison

- the cross section integrated over the full fiducial range of the analysis

$$\sigma_{SD}^{\text{fiducial}(\xi,t)} = 1.59 \pm 0.03 \text{ (stat.)} \pm 0.13 \text{ (syst.) mb}$$

- extrapolating to the full  $t$  range assuming the measured slope parameter  $B$  leads to a cross section

$$\sigma_{SD}^{\text{fiducial}(\xi)} = 1.88 \pm 0.15 \text{ mb}$$

- data vs MC comparison

Distribution	$\sigma_{SD}^{\text{fiducial}(\xi,t)}$ [mb]	$\sigma_{SD}^{t\text{-extrap}}$ [mb]
Data	$1.59 \pm 0.13$	$1.88 \pm 0.15$
PYTHIA8 A2 (Schüler-Sjostrand)	3.69	4.35
PYTHIA8 A3 (Donnachie-Landshoff)	2.52	2.98
HERWIG7	4.96	6.11

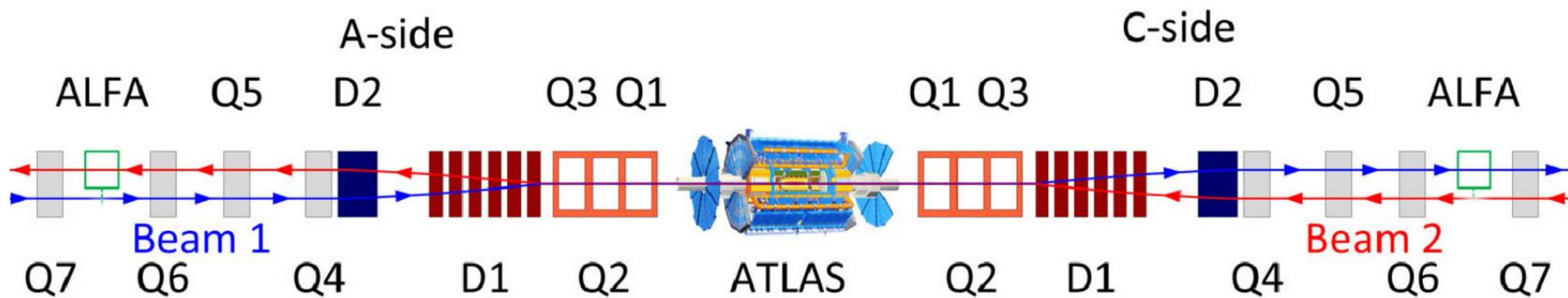
## summary

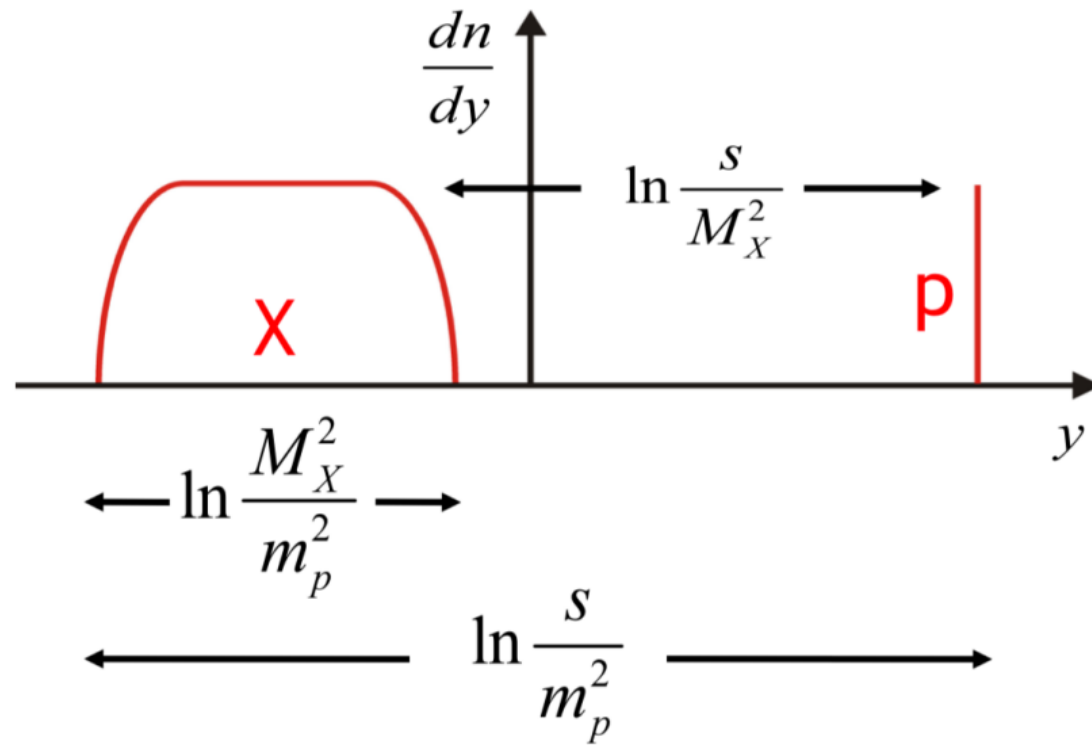
- **ATLAS** performed a measurement of the inclusive single diffractive dissociation process  $p + p \rightarrow X + p$  at  $\sqrt{s} = 8 \text{ TeV}$  using **proton tagging**
- for the first time at LHC the final state protons in **SD** measurement are directly reconstructed, greatly reducing backgrounds from ND and DD compared to previous LHC analyses based on rapidity gaps
- differential cross sections are measured as a function of  $t$ ,  $\xi$  and the visible gap size  $\Delta\eta$
- normalization of all MC generators (PYTHIA 8 A2, A3, and HERWIG 7) significantly exceed the data
- shapes more or less described by models
  - from a fit to  $t$  distribution the measured  $B = 7.60 \pm 0.23 \text{ (stat.)} \pm 0.22 \text{ (syst.) GeV}^{-2}$
  - from a fit to  $\xi$  distribution the measured  $\alpha(0) = 1.07 \pm 0.02 \text{ (stat.)} \pm 0.06 \text{ (syst.)} \pm 0.06 \text{ (}\alpha')$
- good agreement in the overlap  $\xi$  region with the CMS results
- details available in **ATLAS-CONF-2019-012**

backup

$$\left| \sum_{i,X} \text{Diagram}_1 \right|^2 = \sum_{i,j,X} \text{Diagram}_2 = \sum_{i,j,k} \text{Diagram}_3$$

The diagrammatic equation shows the decomposition of the squared sum of single-pomeron exchange diagrams into a sum of double-pomeron exchange diagrams, which are then further decomposed into a sum of triple-pomeron exchange diagrams.







## systematics uncertainty sources

- overlay background subtraction (from control region)
- unfolding (residual non-closure when taking PYTHIA8 after reweight to match data, unfolded using un-reweighted MC)
- hadronization uncertainty (PYTHIA8 vs HERWIG7)
- CD background shape (reweight or not) and normalization (cf. CDF data)
- ALFA alignment and reconstruction (follows elastics methods)
- luminosity (1.5%)
- MBTS thresholds (vary threshold)
- ID track reconstruction (follow  $n_{\text{ch}}$  analysis methods)
- trigger efficiencies (vary reference sample)

## integrated cross section, data and data-MC comparison

- the cross section integrated over the full fiducial range of the analysis

$$\sigma_{SD}^{\text{fiducial}(\xi,t)} = 1.59 \pm 0.03 \text{ (stat.)} \pm 0.13 \text{ (syst.) mb}$$

- extrapolating to the full  $t$  range assuming the measured slope parameter  $B$  leads to a cross section

$$\sigma_{SD}^{\text{fiducial}(\xi)} = 1.88 \pm 0.15 \text{ mb}$$

- data lies between PYTHIA 8 A2 and PYTHIA 8 A3 → extrapolation to the full  $t$  and full  $\xi$  ranges done by scaling data by averaged extrapolation factors from A2 and A3 in the measured range

$$\sigma_{SD}^{\text{extrap}} \sim 6.6 \text{ mb} \quad \text{uncertainties are deemed inestimable due to very poorly constrained low and high } \xi \text{ behaviour}$$

- data vs MC comparison

Distribution	$\sigma_{SD}^{\text{fiducial}(\xi,t)}$ [mb]	$\sigma_{SD}^{t\text{-extrap}}$ [mb]	$\sigma_{SD}^{\xi,t\text{-extrap}}$ [mb]
Data	$1.59 \pm 0.13$	$1.88 \pm 0.15$	6.6
PYTHIA8 A2 (Schüler-Sjostrand)	3.69	4.35	12.48
PYTHIA8 A3 (Donnachie-Landshoff)	2.52	2.98	12.48
HERWIG7	4.96	6.11	24.0

