

WHITE PAPER ON NEW OPPORTUNITIES AT THE NEXT-GENERATION NEUTRINO EXPERIMENTS

(PART 1: BSM NEUTRINO PHYSICS AND DARK MATTER)

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Executive Summary

With the advent of a new generation of neutrino experiments which leverage high-intensity neutrino beams for precision measurements, it is timely to explore physics topics beyond the standard neutrino-related physics. Given that the realm of beyond the standard model (BSM) physics has been mostly sought at high-energy regimes at colliders, such as the LHC at CERN, the exploration of BSM physics in neutrino experiments will enable complementary measurements at the energy regimes that balance that of the LHC. This, furthermore, is in concert with new ideas for high-intensity beams for fixed target and beam-dump experiments world-wide, e.g., those at CERN.

The combination of the high intensity proton beam facilities and massive detectors for precision neutrino oscillation parameter measurements and for CP violation phase measurements will help make BSM physics reachable even in low energy regimes in the accelerator based experiments. Large mass detectors with highly precise tracking and energy measurements, excellent timing resolution, and low energy thresholds will enable the searches for BSM phenomena from cosmogenic origin, as well. Therefore, it is also conceivable that BSM topics in the next-generation neutrino experiments could be the dominant physics topics in the foreseeable future, as the precision of the neutrino oscillation parameter and CPV measurements continues to improve.

In this spirit, this white paper provides a review of the current landscape of BSM theory in neutrino experiments in two selected areas of the BSM topics - dark matter and neutrino related BSM - and summarizes the current results from existing neutrino experiments to set benchmarks for both theory and experiment. This paper then provides a review of upcoming neutrino experiments throughout the next 10 – 15 year time scale and their capabilities to set the foundation for potential reach in BSM physics in the two aforementioned themes. One of the most important outcomes of this white paper is to ensure theoretical and simulation tools exist to carry out studies of these new areas of physics, from the first day of the experiments, such as DUNE and Hyper-K. Tasks to accomplish this goal, and the time line for them to be completed and tested to become reliable tools in a timely fashion, are also laid out.

Preamble

The authors of this paper primarily consist of those who participated in the *New Opportunities at Next-Generation Neutrino Experiments* workshop held on the campus of the University of Texas at Arlington. Given the anticipated and growing importance of BSM topics that can be explored in the next-generation neutrino experiments, the authors of this white paper are strong advocates of these topics and aim to become a *de facto* working group for the upcoming decadal study of the APS Division of Particles and Fields. This white paper is the first step to accomplish these goals, and provides a benchmark for ourselves to check progress toward making BSM physics a primary, yet complementary, topical area to precision neutrino oscillation parameter measurements. The authors plan to follow up this white paper and workshop with the subsequent series to ensure continued improvement and broadening of the BSM topics accessible to neutrino experiments, and to draw increasing attention to this field throughout the future.

1 Introduction

Beyond the Standard Model physics is often associated with the realm of high-energy colliders, such as the Tevatron and the LHC. However, there is also an increasing interest to search for new physics in the lower mass regime with high-intensity beams for fixed-target and beam-dump experiments. Similar opportunities can be explored at the planned high-intensity neutrino beam facilities.

The current bread-and-butter physics topics in neutrino experiments which aim to perform precision neutrino oscillation parameter measurements and the CP violation phase measurements require control over both statistical and systematic uncertainties. Thus, the next-generation neutrino experiments, such as DUNE [1–3] and Hyper-Kamiokande [4], equipped with high-intensity proton beams, large detector mass, and precision measurement capabilities make BSM physics more reachable. In order to explore these newly accessible physics topics and to prepare tools and infrastructure necessary for physics from day 1, the Workshop on *New Opportunities at the Next-Generation Neutrino Experiments* was held at the University of Texas at Arlington on April 12 and 13, 2019.

This white paper is a tangible outcome to document the work done at the workshop. This white paper also establishes the benchmark for 1) what BSM results look like at present, 2) what results of BSM physics are expected in the next-generation neutrino experiments on what time scale, and 3) what tasks need to be accomplished in order to enable BSM physics on day 1 and on what time scale these tasks must be accomplished. The structure of this white paper reflects the three benchmark issues listed above. Various working groups consisting of a combination of theorists and experimentalists are expected to form and accomplish the tasks in a timely manner and to keep track of progress. These benchmarks will also help the community to review progress at the subsequent workshops for continued advancement toward physics on day 1.

Of the many accessible BSM physics topics at neutrino experiments, this white paper is centered on two themed topics, as to facilitate focused discussion and limit the scope of documentation generated on them to a manageable level. The two themed topics covered in this white paper are searches of dark matter and BSM topics involving neutrinos. It is anticipated that other BSM topics not covered

in this white paper will be addressed in subsequent workshops in the series and the associated white papers. It is also expected that a variation of this white paper will become part of the upcoming Snowmass strategy document.

2 Landscape of BSM Physics at Neutrino Experiments

2.1 Neutrino BSM

Despite their ubiquity, there are still many unknowns surrounding neutrinos. Most egregious is the fact that the Standard Model cannot account for neutrino masses. This fact guarantees some level of BSM physics in the neutrino sector. However, given the difficulties in detecting many neutrino properties, a number of additional possibilities exist as well, including the coupling of neutrinos to new force carriers. Many BSM possibilities can be introduced in the framework of effective field theory. In this framework, the new BSM physics energy scale Λ is assumed large compared to accessible energies, $E \lesssim \Lambda$. Then BSM physics can be encoded as non-renormalizable interactions among SM particles which are suppressed by a new physics scale Λ

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \frac{c^{d=5}}{\Lambda} \mathcal{O}^{d=5} + \frac{c^{d=6}}{\Lambda^2} \mathcal{O}^{d=6} + \dots, \quad (1)$$

where the sum continues, but terms are increasingly suppressed by additional powers of Λ . In Eq. (1) it is well-known that there is one unique dimension-5 interaction: the Weinberg operator $\mathcal{O}^{d=5} = (LH)^2$, with L and H the lepton and Higgs doublets respectively [5]. After the Higgs develops a vacuum expectation value (VEV), neutrinos acquire Majorana masses. There are several possibilities for the new physics responsible for the Weinberg operator, depending on the heavy particle which mediates this new operator at high energies. Heavy neutral fermions are introduced in the see-saw type I mechanism [6–9], while see-saw type II requires scalar triplets and see-saw type III fermionic triplets. Further extensions, e.g. inverse, extended and linear see-saw [10, 11], or new interactions, invoke a more extended fermionic or gauge sector leading to a richer phenomenology.

- **Sterile Neutrinos**– The addition of neutral fermions, singlets with respect to the Standard Model gauge interactions, constitutes a minimal extension of the SM and, at the same time, can provide a mechanism for neutrino masses. Being neutral, a Majorana mass is not forbidden by the SM gauge symmetry and would break lepton number by 2 units. There is no strong theoretical guidance on their mass which can go from the highest scales of GUTs to the eV scale. In the former case, sterile neutrinos can arise as part of the fermionic content of GUTs, for example in $SO(10)$ -based models and are a main candidate for the generation of the baryon asymmetry of the Universe via leptogenesis [12–15]. Conversely, naturalness arguments may favour low scales, making them accessible in present and future experiments. For instance, eV sterile neutrinos can be searched for in short baseline neutrino oscillation experiments, and some hints have been found although they are at odds with muon neutrino disappearance experiments and cosmological observations. MeV to GeV scales provide specific signatures via production and decay in meson and beam dump experiments. In this mass range they could also be at origin of the baryon asymmetry of the Universe via a different mechanism of leptogenesis [16, 17]. For heavier masses up to the TeV, colliders can test their existence looking for lepton

number and lepton flavour violating signatures and displaced vertices. If these sterile neutrinos have additional interactions, e.g. with new dark gauge bosons, see e.g. Ref.s [18, 19], their phenomenology could be significantly different and new type of signatures could be present [20, 21].

- **Non-Standard Neutrino Interactions (NSIs)**– Neutrino NSI (see e.g. the review [22]) refers to the dimension-6 interactions between neutrinos and matter. These appear in two broad classes: charged current, $(\ell_\alpha \gamma_\mu \nu_\beta)(f \gamma^\mu f')$ and neutral current types $(\nu_\alpha \gamma_\mu \nu_\beta)(f \gamma^\mu f)$, where ℓ_α is a charged lepton and f, f' are any SM fermions. NC NSI alters the matter potential neutrinos experience, and therefore results in modified oscillations, whereas CC NSI leads to novel source and detection modifications. NC NSI is less well-constrained at present, but DUNE will be sensitive to flavor-changing NSI at the $\mathcal{O}(0.05 - 0.5)$ level [23]. Detectably large neutrino NSI arises in many light Z' models [24–27]. Light Z' models include other notable BSM phenomenology such as trident events [28, 29] and modified production channels for heavy sterile neutrinos [30] and heavy neutral leptons [31]. Future bounds on NC NSI will come from long-baseline oscillation data [22, 23], atmospheric neutrinos [32], and COHERENT [22, 33, 34].
- **Non-Standard Neutrino Interactions with Dark Matter**– Given that both neutrinos and dark matter (DM) require BSM physics, it is natural to consider models in which they are connected. Models with light DM and light mediators have been explored, with detectable modifications to neutrino oscillation probabilities [35–41].
- **Neutrino Dipole Operators**– Although neutral under the electromagnetic force, neutrinos may couple to the photon via a higher dimensional operator such as a dipole moment. Bounds from neutrino-electron scattering data provide the strongest direct laboratory bounds, and depend strongly on the neutrino flavor used. For example, GEMMA reactor bounds on $\bar{\nu}_e - e$ scattering places the strongest bounds on electron flavor dipole moment [42], while LSND [43] and DONUT [44] provides the best bounds on μ -flavor and τ -flavor dipoles respectively. Currently Borexino and Super-K use the shape of the electron spectrum in solar neutrino data to provide bounds on an effective magnetic moment [45, 46]. Additionally, magnetic moments may provide a portal to sterile neutrinos via active-to-sterile transition moments. Existing bounds have been studied in [31, 47]. Future bounds on these transition moments may come from IceCube [47], SHiP, SBND [31], and DM direct detection experiments [48].

2.2 Dark Matter

In recent years, the search for DM with neutrino facilities has become a blooming research area presenting many new opportunities. Next-generation neutrino experiments offer particular advantages over existing experiments, including sensitivity to energetic DM scattering, large volume, and high-intensity beams for potential DM production. We briefly summarize and classify these opportunities as follows, with further details in Sec. 5.

I. Detect cosmogenic DM.

- **Neutrinos from DM annihilation/decay.** This is the traditional indirect detection of DM using neutrino experiments. The DM signal is revealed as a flux of neutrinos from DM anni-

hilation/decay in DM-rich astrophysical objects such as the Galactic Center (GC) or the Sun. Super-Kamiokande and IceCube have existing/ongoing searches in this direction, as explained in Sec. 3.

- **Boosted DM and related variations.** Boosted DM (BDM) is a novel, well-motivated type of DM phenomena, that was recently proposed [49–53]. Unlike the conventional assumption that all DM today is cold, a small non-thermal component of late-time produced DM can be relativistic, namely BDM. This small component nevertheless can be the smoking-gun of the DM sector. BDM was originally motivated by a new realization of WIMP miracle DM through assisted freeze-out [49, 54] in a two-component DM model. BDM is also generally motivated in other scenarios: DM with Z_3 symmetry which induces semi-annihilation processes [55], DM-induced nucleon decays [50], decaying massive particles [56–58], or energetic cosmic-ray induced fast DM [59–62].

The phenomenology of boosted DM has two features: a small flux and energetic final state electrons or hadrons upon BDM scattering on SM targets. Because of these, large volume neutrino experiments are generally most suitable for BDM detection [49, 51–53, 63, 64], while complementary searches in WIMP direct detection experiments [58, 65, 66] and the intermediate-volume surface-based neutrino experiments [67, 68] are possible, covering wide parameter regions.

BDM signals are distinguishable from neutrino signals with dedicated considerations [49, 51]. Some model variations also feature distinct secondary signatures, for example from inelastic scattering of incoming BDM producing an unstable heavier state in an inelastic Boosted Dark Matter (iBDM) model [53, 66, 67] and from a dark gauge boson bremsstrahlung (dark-strahlung) emitted by the scattered BDM in a minimal model [69].

- **Self-destructing DM.** Another example of cosmogenic DM able to be probed in neutrino experiments is self-destructing DM [70]. A dark-matter component can have a transition from a long-lived state to a short-lived one by scattering off of material in the Earth. The latter then can decay to SM particles, e.g., back-to-back e^+e^- , inside a far detector with a visible energy of order of the DM mass.

II. Produce DM beam with accelerator neutrino facilities.

- **Low mass (MeV-GeV) DM.** The beams producing neutrinos in fixed target accelerator can also produce hidden states containing low mass DM [71]. The interactions of DM with the SM particles can be probed through renormalizable portals with complementary sensitivity to colliders. Near detectors at neutrino experiments are essentially able to probe these light DM signals [72–90].
- **Other DM scenarios: millicharged particles.** Millicharged particles can be a DM candidate where a massless dark photon mediates the interaction between the dark sector and the SM sector, or from breakdown of electric charge quantization [91]. The electron scattering data from MiniBooNE and LSND provided new leading constraints in certain mass window [92].

3 BSM Physics Results from Current Neutrino Experiments

Two distinct subjects of BSM physics are considered: effects of BSM physics on neutrino oscillations and dark matter signatures in neutrino experiments.

3.1 Effects of BSM Physics on Neutrino Oscillations

There are several categories whose signals would manifest themselves as deviations from the known measured “standard model” of neutrinos [93, 94]. It is clear that any search for deviations of this model is limited by our knowledge of the standard parameters and will always be so. The present lead in the search for sterile neutrinos, those which couple to standard neutrinos but not to the weak interaction, comes from disappearance experiments such as muon-neutrino accelerators and reactor anti-neutrino experiments, where unitarity is a necessary assumption. All the most precise measurements of the standard oscillation parameters have been made by disappearance experiments as shown in the left panel of Figure 1. The LSND and MiniBooNE anomalies are expected to be elucidated by MicroBooNE due to its unprecedented event reconstruction capabilities. After the recent measurement from MINOS+ and IceCube are combined with unitarity constraints (see e.g. [95]), most of the favored parameter space to explain LSND and MiniBooNE, with a sterile neutrino, is now disfavored as shown in the right panel of Figure 1. Addressing the apparent excess of electron events appearing in the muon-neutrino beam at MiniBooNE and LSND is also the main goal for the future SBN program at Fermilab.

Searches for Non-Standard Interactions (NSI) have also been carried out using different neutrino event samples. As an example, recent results from IceCube and Super-Kamiokande are summarized in Figure 2. Different global analyses of the neutrino oscillation and neutrino scattering data have been used to search for and constrain the strength of NSI’s [27, 97, 98]. More details are presented and discussed in Sec. 5.2.2.

The first observation of coherent elastic neutrino-nucleus scattering ($CE\nu NS$) [33] was performed by the COHERENT collaboration [99] in 2017 using π -decay-at-rest neutrinos produced by the Spallation Neutron Source (SNS) at ORNL and a 14.6-kg CsI detector located 19.3 m from the SNS target. Using the same data, COHERENT calculated limits in the ϵ_{ee}^{dV} and ϵ_{ee}^{uV} NSI parameter space (setting the rest of the NSI couplings to 0) at 90% confidence level (the blue region in figure 3) that significantly improved on the previous limit (the CHARM constraint is the gray region in Figure 3) and is working on constraining other NSI couplings. NSI studies (as well as studies of other BSM topics) with the published COHERENT data have also been performed independently [34, 100–103].

3.2 Dark Matter Signatures in Neutrino Experiments

The production of DM in the scope of this white paper can be categorized as cosmogenic and accelerator-produced DM, as introduced in Sec. 2.2. The cosmogenic DM signatures can be further split into two subsets: the search for neutrinos produced by WIMP-WIMP annihilation and the search for “Boosted” (relativistic) Dark Matter which would interact directly in the neutrino detectors.

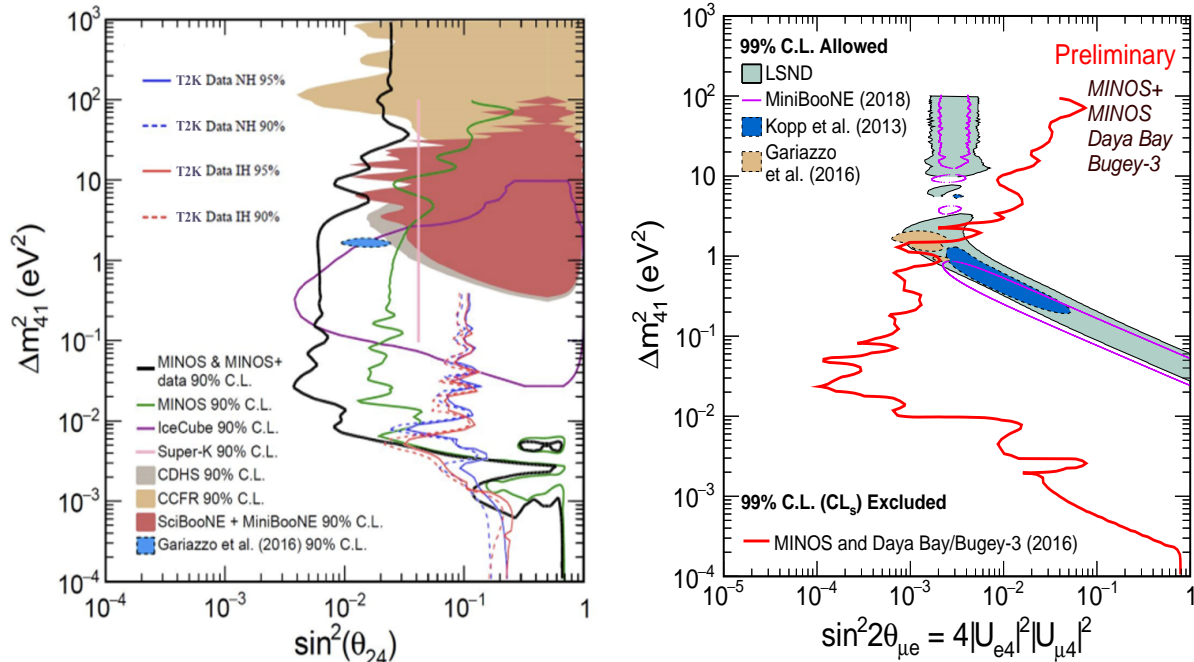


Figure 1: Left panel: Comparison of present exclusion limits from various experiments obtained through searches for disappearance of muon neutrinos into sterile species assuming a 3+1 model. The Gariazzo et al. region represents a global fit to neutrino oscillation data [96]. Right panel: The combined results of the disappearance measurements from MINOS+, Daya Bay, and Bugey, compared to the appearance measurements from LSND and MiniBooNE.

Super-Kamiokande [104] and IceCube [105] lead these searches presently, while the first search for iBDM models has been performed in COSINE-100 [106]. KamLAND has the potential to contribute, but presently has no results.

Comprehensive comparisons of the WIMP-WIMP annihilation searches to direct WIMP searches can be found in the left and right panels of Figure 4 for spin-dependent and spin-independent WIMP scenarios, respectively.

As shown in the left panel of Figure 5 [104], the BDM limit is currently held by Super-Kamiokande, where the BDM coupling to electrons is probed. With the data corresponding to 161.9 kiloton-years exposure analyzed, the BDM is assumed to originate in the Galactic Center or the Sun, and the energy of the scattered electrons ranges from 100 MeV to 1 TeV. The right panel of Figure 5 [106], on the other hand, shows the recent result of the iBDM search from COSINE-100.

Searches for low-mass DM produced in accelerator beams have been demonstrated by MiniBooNE [113], and an example of the parameter space probing can be found in Figure 15. A dedicated beam-dump configuration, where the proton beam is steered past the beryllium target, was deployed to greatly eliminate the neutrino flux, which is the main background for this search.

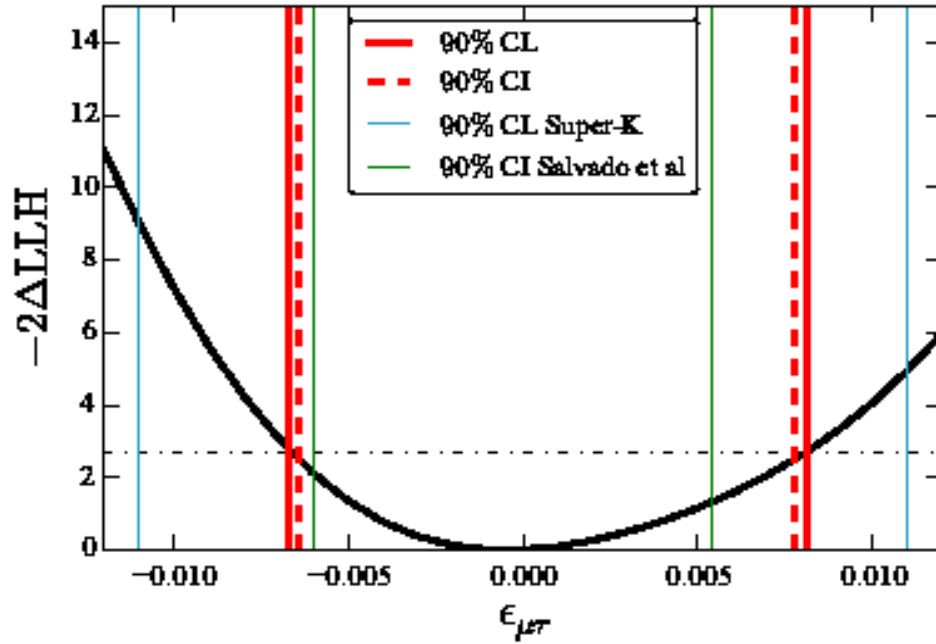


Figure 2: Recent limits on $\epsilon_{\mu\tau}$ from IceCube and Super-K from [32].

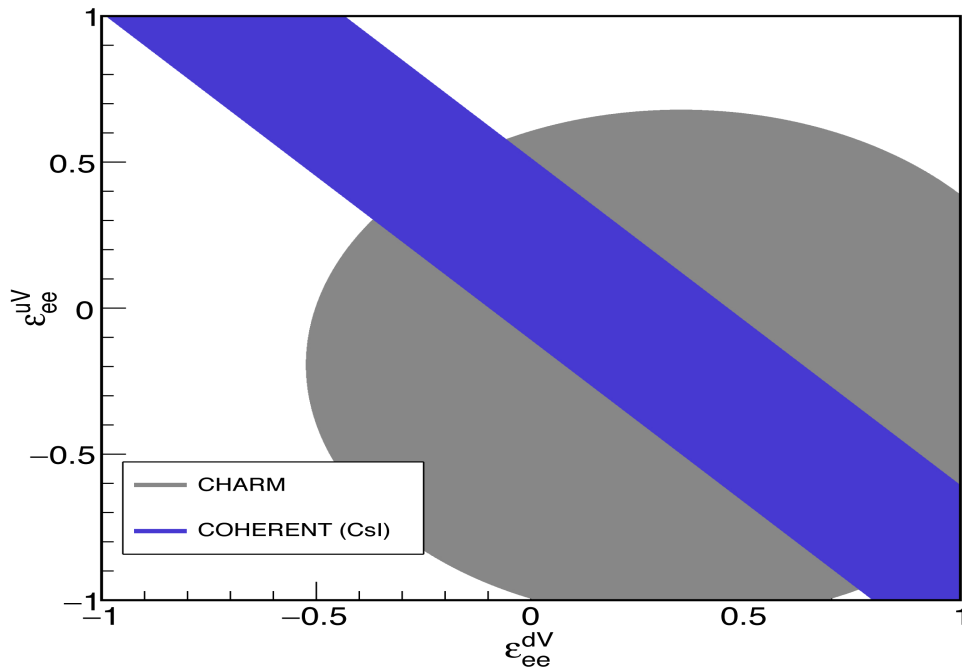


Figure 3: The COHERENT NSI constraint compared to the previous allowed region from CHARM from [33].

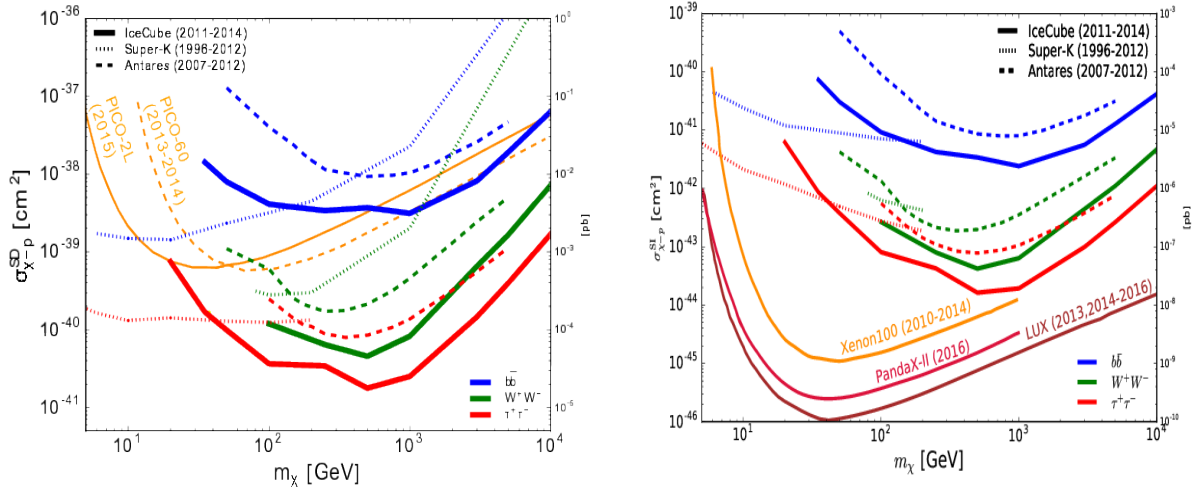


Figure 4: Current limit on high-energy neutrinos produced by spin-dependent proton scattering (left panel) and spin-independent nucleon scattering (right panel) of dark matter in the Sun [107].

4 Next-Generation Neutrino Experiments and Their Capabilities

4.1 Neutrino Sources and BSM Physics

The design of neutrino experiments - and subsequently the BSM and dark matter models accessible to such experiments - are specific to the neutrino source used. Neutrino sources are either man-made (accelerators, nuclear reactors, radioactive sources) or natural (geological, solar, atmospheric, Supernova, Big-bang, etc). A short survey of neutrino sources and their characteristics are discussed in this section.

4.1.1 Accelerator Sources

Accelerator sources of neutrinos are mostly divided into three categories:

1. **Pion decay-in-flight:** These sources are primarily from high-intensity GeV-scale proton accelerators which produce beams of high-purity ν_μ or $\bar{\nu}_\mu$ in the hundreds of MeV to hundreds of GeV energy range. Examples of current and future neutrino sources are the 8 GeV Booster Beam at Fermilab (~ 800 MeV ν_μ); the 60-120 GeV highly tunable/configurable NuMI and LBNF beamlines at Fermilab (wide-band on-axis ν_μ beams or narrow off-axis in the range of a few to tens of GeV) and the 30 GeV J-PARC neutrino beamline (600 MeV ν_μ off-axis). BSM physics searches from these sources are focused on searches for new effects in ν_μ oscillations beyond the 3-flavor paradigm at both short and long baselines. These sources are also powerful tools to search for dark matter or heavy neutrinos produced in the proton beam target and/or beam dump.
2. **Muon decay-in-flight:** Muons produced from pion decay-in-flight at high power proton accelerators can be captured and accelerated in a muon storage ring. The decay of $\mu \rightarrow e\bar{\nu}_e\nu_\mu$ produces

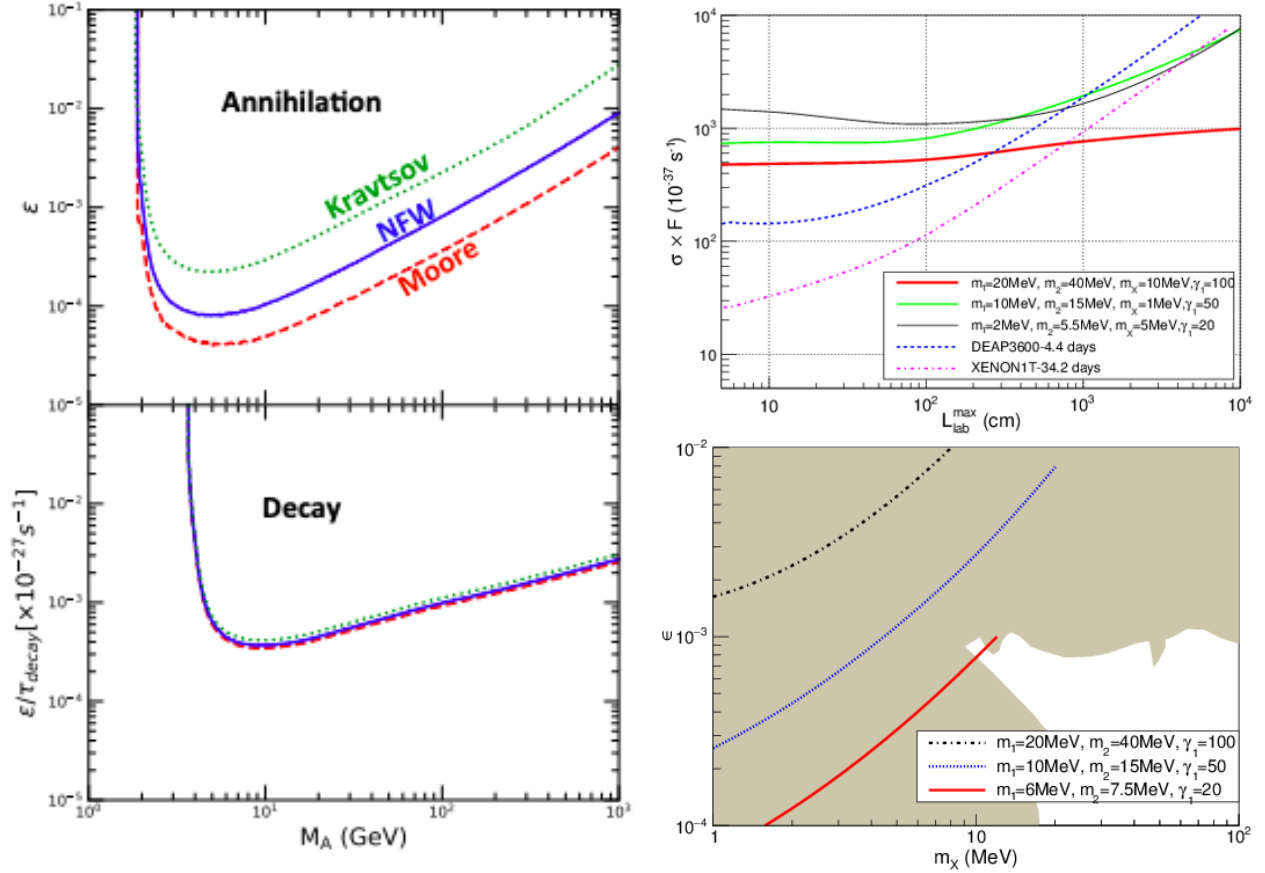


Figure 5: Left panel: 90% Confidence Interval upper limits from the Super-Kamiokande result, with the mass of the BDM, $m_B = 200$ MeV, the mass of the dark photon, $m'_\gamma = 20$ MeV, and the coupling between the BDM and the dark photon, $g'=0.5$, for BDM produced by annihilation (top) and decay (bottom) [104]. Right panel: Measured 90% Confidence Interval upper limits (lines) from 59.5 days of COSINE-100 data in the $L_{\text{lab}}^{\text{max}}\text{-}\sigma$ plane (upper panel) in terms of the mass of a mediator, m_χ , and the mixing parameter between the standard model particle and the dark sector particle, ϵ , assuming the mediator to be a dark photon (lower panel) are presented for three different benchmark models. In the upper panel the results are compared with the expected experimental sensitivities of XENON1T and DEAP-3600 calculated in Ref. [66], while in the lower panel done with the currently excluded parameter space (shaded region) from direct dark photon search experiments E141 [108], NA48 [109], NA64 [110], BaBar [111], and bounds from the electron anomalous magnetic moment $(g-2)_e$ [112].

a source of equal parts ν_e and ν_μ with a precisely known spectrum for both. Short baseline experiments such as the proposed nuSTORM [114] can search for sterile neutrinos. nuSTORM does not require muon cooling and is therefore in principal technically feasible in the near future. With muon cooling - which is still in the R&D phase - a Neutrino Factory source coupled with an appropriate detector at a long baseline is the most accurate way to probe unitarity of the PMNS matrix through accurate measurements of $\nu_e \rightarrow \nu_\mu$ oscillations. There are many technical hurdles - as well as significant cost - to the realization of Neutrino Factories for long-baseline experiments and are therefore considered a far future option.

3. **Pion decay-at-rest:** Pion decay-at-rest in beam dumps are sources of muon-type neutrinos in the range of a few tens of MeV. As the power of proton accelerators grows, the inten-

sity of neutrinos from these sources has increased markedly, opening up new opportunities for new physics searches in ν_μ oscillation searches (for e.g. OscSNS [115] or, more ambitiously, DAE δ ALUS [116]), neutrino scattering (for eg. coherent ν -nucleus scattering), and dark matter or other new particles produced in the beam dumps and detected by the neutrino detectors deployed nearby. Studies and realizations of muon decay-at-rest and kaon decay-at-rest beams are also under serious investigation (see, for example, JSNS² [117]).

4. **Isotope decay-at-rest:** Isotope decay-at-rest experiments use the beta-decay of unstable isotopes, produced by a powerful accelerator, to generate a high-intensity and high-purity $\bar{\nu}_e$ beam. The current proposal is called IsoDAR and is in the R&D phase [118].

Other sources of accelerator neutrinos of note are the 400 GeV CERN SPS that was used to produce the ~ 20 GeV ν_μ beam from the CNGS beamline for the Opera and ICARUS experiments in Gran Sasso. The SHiP experiment is proposing to use the SPS beam to generate a beam of ν_τ from charm decays. This would allow a more accurate measurement of the ν_τ and $\bar{\nu}_\tau$ cross-sections and enable searches for new physics in $\nu_\mu \rightarrow \nu_\tau$ oscillations in experiments such as LBNF/DUNE as well as experiments studying ν_τ atmospheric appearance. SHiP also proposes to search for heavy neutrinos and dark matter produced in a specially designed dump by the SPS beam. In addition, several other experiments have proposed measuring long-lived particles including neutrinos at the LHC such as FASER [119], which was recently approved and funded, and MATHUSLA [120].

4.1.2 Reactor Sources

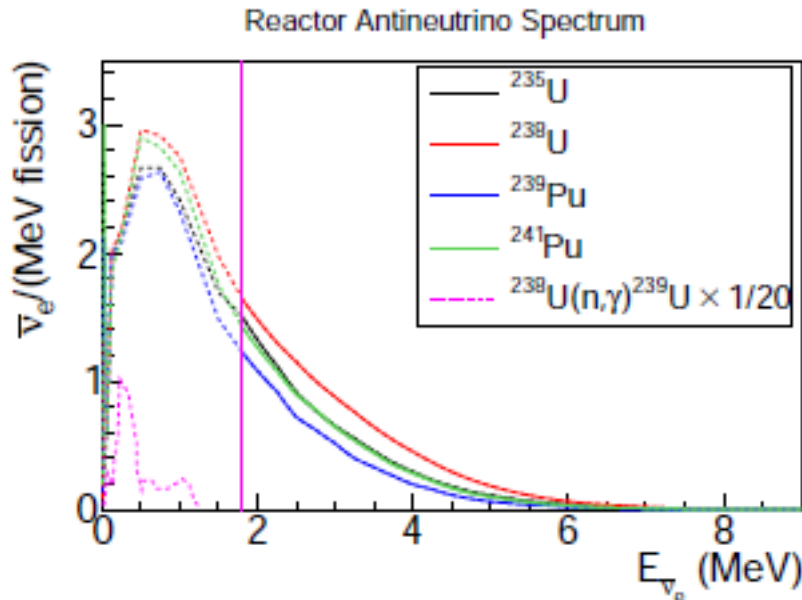


Figure 6: The $\bar{\nu}_e$ flux produced from the fission chain of the most common nuclear reactor isotopes [121]. The inverse beta decay threshold is indicated by the magenta vertical line.

Nuclear reactors produce a flux of pure $\bar{\nu}_e$ with energy ranges from keV to a few MeV as shown in Figure 6. Commercial reactors produce a large flux of neutrinos ($\approx 10^{20}$ neutrinos per second per gigawatt thermal power). Neutrinos with energies above 1.8 MeV can be detected through inverse beta-decay (IBD) which has a well-known cross-section and enables high-precision measurement of the

neutrino energy through its unique signature of a prompt positron annihilation followed by a delayed neutron capture. There are two main methods to predict the reactor anti-neutrino flux above the IBD threshold:

1. *Ab-initio method*: The four main fission isotopes contributing to the reactor anti-neutrino flux in a commercial reactor are ^{235}U , ^{239}Pu , ^{238}U , and ^{241}Pu . The ab-initio method utilizes nuclear data tables and theoretical calculations to estimate the neutrino spectrum from beta-decays of all the fission daughters of each of the main four isotopes in a reactor [122]. Over 6000 decay branches are included in the calculations. The precision of the predicted spectrum is limited by systematic uncertainties in the nuclear databases used and the typical precision from the ab-initio method is around 10%.
2. *Conversion method*: The conversion method uses a fit to the measured total electron spectrum from the fission and subsequent beta decays of each of the four main isotopes. The theoretical beta decay spectrum of ~ 30 "virtual" branches with equidistant end point spacing and effective Z values is used to fit the measured electron spectrum [123, 124]. The neutrino spectrum can then be inferred from the fits. This method is limited by the experimental uncertainties in the beta decay spectrum measurements and theoretical uncertainties in the conversion method, in particular the spectrum shape associated with forbidden beta-decays. Typical precision of this method is $\sim 2 - 5\%$.

Recent precision measurements of the reactor $\bar{\nu}_e$ spectrum above the inverse-beta-decay threshold by modern reactor experiments like Daya Bay, RENO, and Double Chooz have significantly improved our understanding of the reactor flux calculations and the so-called "reactor anti-neutrino anomaly (RAA)". The new data indicate that incorrect modeling of the different fission isotope fluxes is favored as the main source of the RAA [125]. Very-short-baseline reactor experiments like PROSPECT will further improve the precision of the reactor anti-neutrino flux and spectrum measurements and increase the sensitivity to sterile searches with reactor neutrino oscillations. Reactor neutrino sources are also hosts to many experiments measuring coherent neutrino-nucleus scattering on a variety of nuclear targets. Measurements of $\text{CE}\nu\text{NS}$, a process with a well-known cross-section - are also rich sources of BSM searches. In addition to the experimental challenges of detecting low-energy neutrinos, the sensitivity to BSM at these experiments is limited by the reactor flux uncertainties.

4.1.3 Cosmogenic Neutrinos

Cosmogenic neutrinos come from many different sources with a vast variation in characteristics and abundance. Some notable sources of cosmogenic neutrinos and their role in searches for BSM physics are summarized as follows.

1. **Solar**: Solar neutrinos are produced by nuclear fusion reactions in the sun. Their propagation is unique in that the electron neutrinos experience a very strong matter potential that modifies their oscillation via the MSW effect. This, combined with their very large flux at Earth, makes them an excellent tool to probe neutrino NSI and other exotic oscillation phenomena. The sun may also be a source of exotic particles, for example, exotic particle annihilation to boosted dark matter in the solar core, or of high energy neutrinos through WIMP annihilation to neutrinos. Solar neutrinos are also one of the limiting backgrounds to direct dark matter detection

experiments and therefore need to be precisely understood.

2. **Atmospheric:** Atmospheric neutrinos are produced via the decays of charged pions, kaons, and (at the highest energies) charmed hadrons, in the upper atmosphere of the Earth. Sufficiently high energy (\geq GeV) atmospheric neutrinos interacting in detectors provide boosted signatures with sufficient pointing information to infer the baseline from production, and topologies that allow for containment within the detector provide a capability to measure energy. The ability to study dependencies upon both L and E in a continuous manner naturally makes atmospheric neutrinos a powerful tool to use to search for non-standard neutrino oscillation physics. It is also possible to consider BSM particles co-produced with neutrinos within the air shower, which may themselves introduce signatures in experiments.
3. **High-energy astrophysical:** High-energy astrophysical neutrinos are produced in violent astrophysical environments such as active galactic nuclei. They have the longest baselines and highest energies of any detected neutrinos, which provides a unique handle on certain BSM phenomena including Lorentz violation and neutrino decay. An extragalactic component of high-energy astrophysical neutrinos was detected by the IceCube Neutrino Observatory, but the sources of these neutrinos is still a mystery. The first candidate astrophysical neutrino source, TXS 0506+056, a very-high-energy Blazar, was announced recently. There is also a known yet undetected flux of ultra-high energy neutrinos that are the byproducts of ultra-high energy cosmic ray interactions off background photon fields.
4. **Supernovae:** Neutrinos have been detected from one Supernova, 1987A, which provided strong constraints on a great number of BSM phenomena including secret neutrino interactions, tachyonic nature, neutrino decay, Lorentz violation, equivalence principle violations, and others. The detection of neutrinos from future supernovae with higher statistics, better precision and a different baseline will allow for further enhancements to these constraints. When coupled with an improved understanding of supernova explosion mechanisms, the effects of novel neutrino interactions or exotic particle production on the explosion itself is also constraining. Finally, it may be possible in the next generation of experiments to detect the diffuse supernova neutrino background, which could offer new opportunities to probe new physics.
5. **Solar Atmospheric:** Cosmic rays impinging upon the back of the sun produce showers that create neutrinos. The very low density environment, relative to the Earth's atmosphere, ensures that essentially all pions and kaons decay rather than interacting hadronically, producing a harder flux. At energies of interest to neutrino telescopes, only the neutrinos from the solar limb escape without absorption. This standard model neutrino production mechanism implies that the Sun is in fact a high energy neutrino point source. These neutrinos provide a neutrino floor to experiments searching for WIMP annihilation or boosted dark matter produced in the solar core, and are likely to come into reach by the next generation of experiments.
6. **Big Bang:** One second after the Big Bang, neutrinos decoupled from matter. These relic Big Bang Neutrinos (BBN) form a diffuse cosmic background with a temperature of $\sim 1.95\text{K}$ and a density of around 300 per cubic centimeter. Cosmological models and BSM physics impact both the BBN and the Cosmic Microwave Background (CMB) measurements [126]. While there is very strong indirect evidence of their existence, BBN have never been directly observed.

4.2 Detector Technologies and BSM Signatures

The detector technologies and designs planned for the next generation neutrino experiments are chosen to fulfill the primary purpose of an experiment for e.g., detect ν_e signatures in the range of a few 100 MeV to a few GeV in long-baseline oscillation experiments; detect few MeV $\bar{\nu}_e$ from reactors; detect ν_μ signatures of UHE cosmogenic neutrinos...etc. The advanced capabilities of the next generation of detectors can also open up sensitivity to BSM physics or dark matter signatures beyond the primary purpose of the experiment. A short survey of the different detector technologies and capabilities deployed in the next generation of neutrino experiments and their impact on sensitivity to BSM signatures is presented.

4.2.1 Scintillator Detectors

Scintillation technologies have been used in HEP experiments for a long time and therefore are well proven technologies. This section summarizes experiments utilizing scintillation technologies.

JUNO is a followup to the Daya Bay Reactor Neutrino Experiment, consists of a large acrylic sphere detector filled with 20 kton of liquid scintillator and surrounded by 20" PMTs with the possibility of using smaller, 3" PMTs to fill in the gaps. This yields an excellent energy resolution of $\sim 3\%/\sqrt{E}$. The detector will be situated approximately 700 m deep underground and submerged in a cylindrical water pool instrumented with 20" PMTs and top scintillator trackers for accurate cosmic muon reconstruction and veto. JUNO measures oscillation rates of reactor anti-neutrinos after travelling around 53 km. Besides the precision neutrino oscillation measurement including determining the neutrino mass ordering, JUNO also has a large BSM program in solar and atmospheric neutrinos, supernova burst and diffuse supernova neutrinos, nucleon decay, dark matter, and non-standard interactions. Details can be found in Ref. [127].

COSINE-100 aims to search for inelastic Boosted Dark Matter (iBDM) signals, such as the relativistically produced BDM χ_1 in the Galactic Center by annihilation of a heavier dark matter χ_0 , which in turn scatters inelastically to produce a heavier dark-sector state χ_2 which produces a secondary signature [106]. The experiment comprises 106 kg of NaI(Tl) crystal detectors immersed in 2,200 liters of linear alkylbenzene (LAB)- based liquid scintillator (LS) that are surrounded by layers of shielding materials. The shielding includes plastic scintillators, copper and lead to reduce backgrounds from external radiation and cosmic muons. The dominant background comes from cosmic muons which sneak in through tiny gaps between the muon taggers and stop in the LS or crystals. The 21 candidate events observed from the first 59.5 days of COSINE-100 data were consistent with the cosmic muon background prediction, so no signal observation has been made. The limits set are beginning to explore parameter regions that have not been covered by previous experiments.

Theia is a proposed very low-threshold novel scintillator detector for long-baseline neutrino oscillation, double beta decay, solar, geo and supernova neutrino studies [128]. Theia is a large water-based liquid scintillator (WbLS) detector. WbLS is a cost effective, highly tunable, novel medium benefiting from the characteristics of both scintillator and water Cherenkov detectors. It allows the detection of charged particles below the Cherenkov threshold in a very large 100 kton scale detector at a lower cost compared to purely scintillator detectors. The base design for the Theia target is a 50 kton volume instrumented with more than 100,000 photosensors (conventional PMTs and LAPPDs) in order to

reach an effective photocoverage of more than 90%. The use of very fast Large Area Picosecond PhotoDetectors (LAPPDs) enable the large photo-coverage with very fast timing (10ps scale). In addition to the lower detection thresholds achievable, Theia would enable improved background reduction (for proton decay or solar neutrinos for example) due to improved photodetector timing and utilisation of below Cherenkov threshold scintillation light. Placing Theia in the Sanford Underground Research Facility (SURF) in addition to the DUNE detectors would significantly expand on the physics reach of the DUNE LArTPC detectors.

Very Short Baseline Reactor Neutrino Detectors

There is an ongoing world-wide program of very short baseline (~ 10 m) reactor neutrino experiments in search of sterile neutrino oscillations [121, 129]. Almost all of these experiments use liquid or solid scintillator detectors at shallow depth constrained by the locations of the reactor complex. Most detectors are doped with Gd or ^6Li to increase the capture cross section of the delayed neutrons and improve pulse shape discrimination to reject backgrounds. In addition to sterile neutrino searches, these experiments will provide precision and high-resolution reactor neutrino spectrum measurements, mostly from highly-enriched ^{235}U research reactors, which will largely reduce the flux uncertainty in other reactor experiments such as JUNO and the CE ν NS program.

4.2.2 Gas Detectors

The DUNE near detector complex is proposed to include a high pressure (10 bar) argon / methane TPC. This may in principle give access to novel BSM signatures beyond those accessible with liquid argon detectors, as the lower density enables a more precise measurement of vertex substructure in neutrino scattering, which may also enable detection of electromagnetic BSM signatures which would be difficult to detect in liquid argon. The gas detector also allows enhancement of reconstruction of BSM signatures such as tridents, and the inclusion of a magnetic field dramatically improves capability by sign-selecting muons and other charged particles. Finally, the gas detector will enhance sensitivity to BSM signatures by providing detailed characterizations of neutrino interactions and more precisely constraining all components of the neutrino flux.

4.2.3 Noble Liquid Detectors

The next generation neutrino experiments utilizing Noble Liquid detectors are dominated by the Liquid-Argon Time-Projection Chambers (LArTPC) of the Short Baseline Neutrino program (SBN) at the Fermilab Booster Beam and the Deep Underground Neutrino Experiment (DUNE) detectors.

The Booster Neutrino Beam Neutrino Detectors:

The Short-Baseline Neutrino (SBN) Program [130] at Fermilab comprises three single-phase Liquid Argon TPC detectors located at different distances on-axis from the 8 GeV Booster Neutrino Beamline (BNB) as summarized in Table 1. The MiniBooNE detector is also still operational and collecting data in the BNB [131]. In addition to the 4 neutrino detectors, the Accelerator Neutrino Neutron Interaction Experiment (ANNIE) is also deployed in the Booster Beam. The primary goal of the SBN program is a high precision search for sterile neutrinos using $\nu_\mu \rightarrow \nu_{\mu,e}$ oscillations in a wide

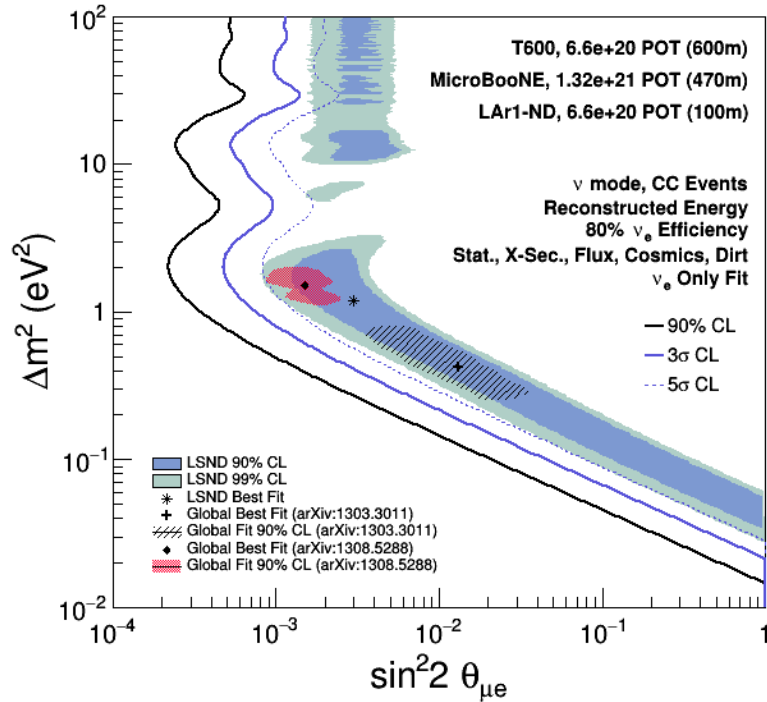


Figure 7: SBN Program sensitivity to short-baseline neutrino oscillations in Δm^2 vs $\sin^2 2\theta_{\mu e}$. Two sets of global fit confidence intervals are shown for reference.

Detector	Distance from BNB target	Mass
ANNIE	100 m (off-axis)	26 ton Gd-loaded Water total
SBND *	110 m	112 ton LAr active
MicroBooNE	470 m	87 ton LAr active
MiniBooNE	541 m	818 ton Mineral Oil total
ICARUS-T600*	600 m	476 ton LAr active

Table 1: The location and active masses of the current and under construction (*) neutrino detectors located on-axis to the Booster Neutrino Beam at Fermilab. The ANNIE detector - which studies neutron interactions - is also indicated.

range of L/E using multiple detectors at different baselines ranging from 100 to 600m with neutrino energies of 200 MeV to 2 GeV. Figure 7 summarizes the sterile sensitivity of the SBN program in the ν_e appearance channel. The MicroBooNE detector is the first LArTPC detector built in the SBN program. MicroBooNE has been operating since 2015 with the goal of determining the nature of the excess of ν_e -like events observed in the much larger MiniBooNE Cherenkov Detector [132].

The promise of much improved e/γ separation and higher ν_e reconstruction efficiency expected using the LArTPC technology will enable MicroBooNE to determine whether the MiniBooNE excess is due to ν_e or other backgrounds, and also provide better imaging of the interactions that are giving rise to the excess. The MicroBooNE collaboration is currently refining the LArTPC simulation and neutrino reconstruction techniques for the low-energy excess analysis. The ICARUS detector has been refurbished and installed at Fermilab and is expected to start collecting BNB data by the end of 2019. SBND is still under construction but data is expected with all three LArTPC detectors operational by late 2020.

There are several BSM searches envisioned using the detectors deployed in the Booster Neutrino Beamline:

1. *Sterile neutrino searches using multiple detectors at different baselines:* Sterile neutrinos can be detected by looking for anomalies in ν_μ CC disappearance signatures, ν_e CC appearance signatures and ν NC disappearance. This is the primary physics program of SBN (see Figure 7).
2. *Searches for Dark matter and heavy leptons produced in the BNB target:* BSM searches in the SBN detectors at different distances from the target are planned. These searches are limited by the neutrino background and the surface location of the slow LArTPC detectors (significant cosmic backgrounds). All the LArTPC detectors are equipped with photon sensors in the TPC which enables time tagging of interactions when matched to the ionization clusters reconstructed in the TPC. In addition ICARUS is also equipped with a Cosmic Ray Tagger (CRT) system to further reduce cosmogenic backgrounds. A beam off-target run with the ICARUS detector similar to the MiniBooNE special run [113] could be attempted after the SBN program has reached its goals. It should be noted that the ANNIE detector currently deployed off-axis in the BNB is primarily focused on measuring neutrons in neutrino-nucleus interactions. The detector is quite small, but in later phases is expected to be instrumented with very fast LAPPD photon detectors (10 ps resolution). The results from ANNIE could open up an opportunity to use a fast off-axis detector to search for DM and BSM signatures produced in the BNB target or proton dump which could take advantage of the timing structure of the short BNB pulse.

Deep Underground Neutrino Experiment (DUNE) Detectors: The DUNE experiment [1–3] is a long-baseline neutrino experiment located on the future LBNF neutrino beamline from the Fermilab 120 GeV Main Injector. The experiment comprises both a near and far detector complex. The far detector is envisioned to be 4 LAr TPC modules each with 10 kton fiducial LAr. The FD is located 1,297km from the LBNF target, in the Sanford Underground Research Facility in Lead, SD. Currently two LAr TPC technologies are envisioned for the FD modules: a single-phase LArTPC utilizing projective wire planes and cryogenic readout electronics in the LAr; and a dual-phase LArTPC which detects the ionization charge in the gas phase above the LAr using Large Electron Multipliers (LEMS) that amplify the electrons in avalanches that occur in the gas phase. The DUNE experiment is currently projected to start taking data with the first 10 kton module (single-phase) around ≈ 2027 . The near detector complex plans to include an unmagnetized single-phase LArTPC with pixelated

readout followed by a magnetized low density tracker. The DUNE experimental complex is sensitive to BSM physics through different approaches:

1. *Direct BSM searches in the near detector complex* similar to those in the SBN LAr detectors: For example heavy neutral lepton (HNL) production from decays of mesons produced in the target. The HNL travel to the ND and the cleanest signature are decays to two charged particles - like $N \rightarrow \mu^+ \pi^-$. The DUNE ND complex is still being defined, but an unmagnetized LArTPC with pixelated readout is a core component. The pixelization improves the performance of the DUNE ND compared to the existing projective wire plane readout used in the SBN program detectors and addresses the high rate of neutrino interactions expected in the DUNE ND LArTPC. Improved vertex resolution and $e\gamma$ separation is expected. The pixelated readout also addresses loss of tracking efficiency and dE/dX resolution for tracks at angles aligned with one of the projective wire planes in the standard designs. Tracks perpendicular to the wire planes produce prolonged signals on the induction planes which can be difficult to extract. Exact performance numbers are not yet available, and recent advances in signal processing and 3-D imaging using projective wire readout [133] has potentially narrowed the performance gap.

In the current DUNE ND complex the unmagnetized LArTPC is complemented by a highly capable magnetized low-density tracker - currently realized by a gas Ar TPC in a magnetized volume. This enables the DUNE ND complex to achieve better momentum resolution for charged tracks produced in the LArTPC target volume but which enter the magnetized high precision tracker. A proposal is currently underway to move several components of the DUNE ND - including the LArTPC - off-axis up to $\sim 3.5^\circ$ (DUNEPrism) to enable measurements of neutrino fluxes with different energies. Improved sensitivities to BSM signatures would be possible in the off-axis locations due to the lower neutrino background [134]. The higher energy range, better vertex resolution, charge measurements and improved momentum resolution of the DUNE ND complex will extend the range of BSM searches in the LArTPC beyond that achievable by the SBN program. The actual gain will depend on the specific signature.

2. *Indirect BSM searches through precision measurements of neutrino scattering* properties in the ND complex for example through precision measurements of $\sin^2 \theta_W$.
3. *BSM searches using the ND as a short-baseline oscillation experiment*: The DUNE ND is located at a distance of 570m from the neutrino beam target, but is illuminated by a neutrino beam with energy peaked at 2.5 GeV (reference beam design) and a beam with 5-10 GeV neutrinos (high energy beam tune option). The L/E range is thus different from the SBN program based on the Booster Neutrino beamline.
4. *Long-baseline interferometry*: using precision measurements of long baseline $\nu_\mu \rightarrow \nu_x$ neutrino oscillations, where $x = e, \mu, \tau$ with the 40 kton LAr far detector. The far detector is designed to be sensitive to electron and muon neutrino signatures in the energy range of few 100 MeV up to 10's of GeV. Electrons and photons leave large EM showers in the LArTPCs and are differentiated using dE/dX and topology (gap before photon conversion for example).

Precision measurements of the shape of the oscillation signal is the key to many BSM searches (NSI, sterile neutrinos, etc) and totally active LArTPC are expected to have both excellent EM energy resolutions (order of few $\%/\sqrt{E}$) and muon momentum resolution from range (order of few $\%$) for contained muons and ($\sim 10\%$) from multiple-scattering measurements for exiting

muons. Hadronic energy resolution is of the order $30\%/\sqrt{E}$. Protons are easily identified and measured through range and dE/dX . ν_τ signatures are detected using τ decay to electron, muons and hadrons and are similar to ν_μ, ν_e CC and ν NC signatures but with slightly different event topologies and energy distributions. While neutron detection is somewhat possible in LArTPCs - through hadronic interactions or scattered protons - it is difficult to associate neutron signatures to the original interaction.

5. *Searches for nucleon decay*: both proton decay and $n - \bar{n}$ oscillations. The most promising proton decay signatures are based on modes with charged kaons in the final state with energy ranges in range of a few 10s-100s MeV. The kaons are identified by range and dE/dX followed by its signature decays. The largest background to the nucleon decay searches in the DUNE LArTPCs are atmospheric neutrinos.
6. *Direct searches for non-accelerator BSM signatures in the far detector*: A representative example would be searches for boosted dark matter with mass in the range of a few to 10's of GeV, the characteristic signals of which are recoil electrons and protons.

4.2.4 Water Cherenkov Detectors

Super-K/Hyper-K Super-Kamiokande (Super-K, SK) is the world's largest underground water Cherenkov experiment, comprising 50 kiloton (22.5 kiloton fiducial) volume. It is located beneath a one-km rock overburden (2700 meter water equivalent) within the Kamioka mine in Japan. The SK detector is composed of an inner (11,146 inward-facing 20-inch PMTs, providing 40% photo-coverage) and an outer (1,855 8-inch outward-facing PMTs) detector, which are optically separated. Cherenkov radiation produced by charged particles traveling through water is collected by the PMTs and is used to reconstruct the physics events. Data collected by Super-Kamiokande (SK) during the run periods of SK-I to SK-IV, starting in 1996, corresponds to a combined exposure of more than 350 kiloton-years. Details of the detector design, performance, calibration, data reduction, and simulation can be found in Ref. [135, 136]. The detector has energy threshold of ~ 5 MeV.

Among many other advances, Super-Kamiokande has made a fundamental discovery of neutrino oscillations (1998) [137]. Due to its very general detection capabilities, the experiment allows one to study an expansive variety of physics phenomena, ranging MeV - TeV in energies. These include leading results for solar and atmospheric neutrinos (oscillations [138, 139], tests of Lorentz invariance [140], first evidence for day-night asymmetry and terrestrial neutrino matter-effects [141], neutrino magnetic moment tests [46], sterile neutrino searches [142], indirect dark matter searches [104, 143]), supernovae relic neutrino searches [144], exotics searches [145, 146] as well as probes of baryon number violating-processes [147] such as nucleon decays (e.g. [148]), di-nucleon decays and neutron-anti-neutron ($n - \bar{n}$) oscillations [149].

The Super-K detector has recently undergone a successful refurbishment. Very soon (2019 planned) gadolinium will be dissolved in water [150] and the SK-Gadolinium (SK-GD) experiment will start. The upgrade will allow neutron tagging with $\sim 90\%$ efficiency. This will drastically improve sensitivity to supernovae neutrinos through inverse beta decay ($\bar{\nu} + p \rightarrow e^+ + n$) and allow for further background reduction in proton decay studies. SK-GD is capable of first detection of supernova relic neutrinos within few years of operation.

Hyper-Kamiokande (Hyper-K, HK) [4] is the next-generation water Cherenkov experiment and successor of the running Super-K. The experimental construction, to begin 2020, will take place in Tochibora mine in Japan. The initial experiment will consist of a single water tank of 260 kiloton (187 kiloton fiducial) volume, surrounded by PMTs with higher detection efficiency than in SK. The experiment will have ten times more statistics than SK and will allow for unprecedented sensitivity to studies of neutrino oscillation, proton decay and astro-particle phenomena. After successful deployment of the first tank, construction of a second detector tank is foreseeable [151].

T2K/T2HK uses SK/Hyper-K as a far detector for neutrinos produced from the J-PARC 30 GeV Main Ring located 2° off-axis and 295 km away. The J-PARC off-axis neutrino beam is narrow-band with a peak energy at 600 MeV. The Super-K and Hyper-K Cherenkov detectors detect the muons and electrons from quasi-elastic beam $\nu_{\mu,e}$ interactions with high efficiency and purity. The shorter baseline of SK/Hyper-K compared to DUNE increases the sensitivity to BSM that manifests in long-baseline oscillations when the results from both experiments are combined. Both experiments cover similar L/E range, although the DUNE experiment has more coverage on the second oscillation maximum. The detectors and neutrino energies detected are very different and have very different systematic uncertainties. T2HK will collect neutrino oscillation statistics at a much higher rate compared to DUNE due to the larger size of the detector. The wide-band spectrum of the on-axis beams available from the LBNF beamline at DUNE means that more shape information is available to extricate BSM effects that are degenerate with 3-flavor mixing [152]. The combination with T2HK will further enhance the ability to tease out BSM effects.

ANTARES/KM3NeT Astronomy with a Neutrino Telescope and Abyss environmental RESearch (ANTARES) project [153] is located 2.5km under the Mediterranean Sea and floats 40 km off the coast of Toulon, France. It is composed of twelve 350 meter tall strings, spaced 70 meters apart, that are anchored to the sea floor. The top of each string is held aloft by a buoy. Each string has 75 pressure-resistant digital optical modules (DOMs) containing a single PMT for detecting Cherenkov radiation produced by particles resulting from neutrino interactions.

While primarily serving as a high-energy neutrino telescope (10 TeV - 10 PeV), it is designed to indirectly detect dark matter interactions through the observation of neutrinos produced in WIMP dark matter annihilations via direct processes or Kaluza-Klein scenarios. It currently has great angular resolution for ν_μ CC events ($\sim 0.4^\circ$) and ($\sim 2-15^\circ$) resolution for NC and ν_e and ν_τ CC. However, ANTARES suffers from poor energy resolution ($\sim 100\%$), high absorption rates, and a significant background arising from ^{40}K and bio-luminescence. Due to the energy resolution constraints, efforts to study neutrino oscillations have been hindered.

The Cubic Kilometer Neutrino Telescope (KM3NeT) [154] hopes to expand on the initial design of ANTARES and address several issues encountered. In the new design, detector components will be distributed across three sites off the coasts of France, Greece, and Italy: totalling six hundred DOM strings. The DOMs themselves will be expanded to contain 31 PMTs each. Angular and energy resolution for track reconstruction have been improved in the new design to $\sim 0.2^\circ$ and 86%, respectively. There is no predicted change in the resolution of cascade reconstruction.

BDUNT/Baikal-GVD The Baikal Deep Underwater Neutrino Telescope (BDUNT), a similarly sized detector as ANTARES, finished deployment in 1998 and has been operating since, with an upgrade in 2005. The experiment's successor, Baikal Gigaton Volume Detector (Baikal-GVD), started deployment in 2015 and currently has three of the planned eight clusters [155] deployed. When completed

in 2020 it will have an effective volume of 0.4 km^3 .

CHIPS is a water Cherenkov detector that uses multiple locations within lakes created by disused mine pits to cheaply create a megaton neutrino oscillation experiment in the line of site of a neutrino beam. Being surrounded by water reduces the need for structural supports while simultaneously providing a cosmic ray shield. Arrays of PMTs within the detector allows reconstruction of the neutrino events with a higher energy threshold and similar energy resolution to Super-K .

4.2.5 Ice Detectors

IceCube and Its Upgrades The IceCube experiment is a pioneering, cubic-kilometer scale, ice Cherenkov neutrino telescope at the South Pole. IceCube’s power to constrain BSM physics stems from its vast size, which allows access to fluxes of high-energy atmospheric ($\sim 5 \text{ GeV} - 100 \text{ TeV}$) and astrophysical ($\geq 100 \text{ TeV}$) neutrinos. IceCube’s event sample divides the data into two broad categories: tracks, with precise directional resolution $O(0.1)^\circ$ but poor energy resolution ($\sim 50\%$), and cascades with good energy resolution ($\sim 50\%$ at 10 GeV , $\sim 10\%$ at 1 PeV) and poor directional resolution ($\sim 60^\circ$ at 10 GeV and $\sim 10^\circ$ at 1 PeV), which provide two complimentary channels in which to search for new physics. A third potential morphology, double-bangs, have been explored and only recently detected. Additional morphologies may also be explored, such as tridents, lolipops, inverted lolipops, sugar-daddys, and tautie-pops.

IceCube is the only experiment which has convincingly observed a high-energy astrophysical neutrino flux, and this handful of events with uniquely long propagation distance and high energy allow constraints to be placed on Lorentz violation, long range interactions, and other modifications to standard oscillations. Increasing the size of the detector, as conceived for the future IceCube Generation Two (IceCube-Gen2) program, will dramatically increase the sample size of astrophysical neutrino candidates and enhance capabilities to constrain new physics via measurements of the astrophysical neutrino flavor composition and energy spectrum.

IceCube also has great power to constrain lower energy phenomena using the atmospheric neutrino sample. Matter-enhanced resonant oscillations of eV sterile neutrinos have been explored and constrained in the TeV range. At lower energies still, non-standard interaction effects, neutrino decay, and other sterile neutrino signatures have been explored. The deployment of denser arrays, beginning with the IceCube Phase-1 upgrade, will lower the energy threshold in a section of the detector. The IceCube Phase-1 upgrade will allow for much tighter control of ice-related systematics, such as the properties of the refrozen hole-ice column and the nature of the anisotropic scattering and absorption within the bulk ice, which both limit low energy searches. This is because shorter DOM-to-DOM distances and a wide variety of calibration distances will measure the properties of the ice local to the DOMs and constrain models of the bulk ice structure. Improved understanding of the ice properties within IceCube also enhances the capability to search for double-bangs, both from high-energy ν_τ but also potentially from neutral heavy leptons and other BSM scenarios at lower energy. Better understanding of ice properties can also enhance the directional resolution for cascades, and can be applied retroactively to data collected by the first generation IceCube detector.

Radio Experiments pursuing the ultra-high energy (UHE) frontier are able to probe neutrino physics and BSM scenarios at EeV scales. Such experiments look for radio showers caused by tau lepton decays

from earth- or mountain-skimming tau neutrinos. This channel is sensitive to extreme non-thermal sources of neutrinos, and can measure the cosmogenic flux. The cosmogenic flux is a guaranteed flux of UHE neutrinos coming from the interactions of ultra-high energy cosmic rays (UHECRs) with the CMB. A measurement of the cosmogenic flux will provide orthogonal constraints of UHECRs [156,157], but could also be used as unique probes of new physics, in particular in the tau neutrino sector. Current experiments such as AUGER [158], ANITA [159], and ARA [160] have set limits on the UHE neutrino flux, and future detectors like GRAND [161], RNO (the merger of ARA [162] and ARIANNA [163]), and POEMMA [164] will likely detect them. In addition, water Cherenkov neutrino telescopes such as IceCube and KM3NeT in principle have sensitivity to the UHE neutrino flux as well, although the effective areas covered are much less [165–167].

Recently, ANITA reported two anomalous upward-going UHE air showers [159, 168] with energies approaching EeV. Standard Model explanations have been ruled out at more than 5σ level [169–175]. However, a wide range of Beyond SM interpretations were proposed, including heavy dark matter decay [176–178] and sterile neutrino mixing [179, 180] as well as connections to supersymmetry [181–184].

4.2.6 Neutrinoless Double Beta Decay Detectors and Direct Dark Matter Searches

Neutrinoless Double Beta Decay and Dark Matter experiments were outside the scope of this workshop. However, the central mission of these experiments involves searching for BSM phenomena related to dark matter and neutrinos, and their precision detection techniques obviously allow for sensitivity to other BSM interactions.

The needs of dark matter and $0\nu\beta\beta$ experiments are distinct from one another, although there is much technological overlap between them. The primary goals of both experiments are the minimization of backgrounds in the energy range of interest. For $0\nu\beta\beta$, this is a monoenergetic peak comprised of two electrons around the Q-value of the isotope of interest, a few MeV. Thus experiments with strong energy resolution, topological capabilities, and potentially also daughter ion tagging capabilities are required. Next generation neutrinoless double beta decay proposals include ton-scale or larger arrays of germanium diodes (LEGEND), high pressure xenon gas (NEXT), liquid xenon (nEXO/Darwin), and scintillating bolometers (CUORE/CUPID). For dark matter experiments, the goal is detection of very low energy events, implying a distinct suite of backgrounds and somewhat different detection needs including low thresholds, electron vs nuclear recoil discrimination (for example via pulse shape discrimination). Next generation technologies include liquid xenon (LZ/XenonNT/Darwin), liquid argon (DarkSide), bubble chambers (PICO) and silicon and germanium detectors (SuperCDMS).

Dark matter and double beta decay experiments may also have sensitivity to exotic Majoron emitting decay modes, millicharges, nucleon decays and other BSM phenomena.

4.2.7 $CE\nu NS$ detectors

The Coherent Elastic Neutrino Nucleus ($CE\nu NS$) cross-section is a well-known SM process. There is a wide variety of experiments utilizing very different detector technologies and neutrino sources to measure this process on different nuclei in progress and planned. Deviation of the cross-section measurements from expectations are signatures of BSM. In addition, the experiments can also search

for other BSM signatures such as sterile neutrinos or NSI. Experiments based at beam dumps are also sensitive to dark matter produced in the dump/target. **COHERENT** The COHERENT experiment [99] operates a suite of detectors with its primary goal being observation and characterization of coherent elastic neutrino-nucleus scattering ($\text{CE}\nu\text{NS}$). The detectors are located about 20–30 m from the target of the Spallation Neutron Source (SNS) at the Oak Ridge National Laboratory. The SNS bombards a mercury target with 1-GeV protons at 1.4 MW which serves as a very clean and intensive source of π -decay-at-rest neutrinos. The COHERENT detectors currently taking $\text{CE}\nu\text{NS}$ data are 14.6-kg CsI and 22-kg LAr detectors, and the collaboration is planning to install a 3388-kg NaI detector, a 612-kg LAr detector, and Ge detectors with the total mass of 16 kg in the next few years.

Numerous upcoming experiments are also hoping to measure $\text{CE}\nu\text{NS}$ soon. **MINER** Mitchell Institute Neutrino Experiment at Reactor (MINER) [185] utilizes SuperCDMS detectors to detect neutrinos produced by a 1-MW nuclear reactor with a possibility of changing the baseline from meters to tens of meters. **TEXONO** Taiwan EXperiment ON neutrino (TEXONO) [186]: point-contact Ge detectors 28 m from a 2.9-GW reactor at Kuo-Sheng Reactor Neutrino Laboratory in Taiwan. **CONNIE** COherent Neutrino Nucleus Interaction Experiment (CONNIE) [187]: gram-scale Si CCD detectors 30 m from a 4-GW nuclear reactor near Rio de Janeiro, Brazil. **CONUS** COherent NeUtrino Scattering experiment (CONUS) [188]: 4-kg p-type point-contact HPGe detectors 17 m from a 3.9-GW reactor at the Brokdorf nuclear power plant in Germany.

4.3 Other Beam Dump and Related High-Intensity Opportunities

Over the past two years, CERN has been investigating how to upgrade its existing accelerator park for high intensity beams and a beam dump facility for searches of BSM physics in the low-mass low-coupling sector. A study was launched in 2016 to prepare for the European Strategy for Particle Physics (ESPP) meeting that takes place in 2019-2020, called the Physics Beyond Colliders (PBC) initiative. The findings are summarized in a report submitted to the ESPP and submitted to the arXiv [189].

The PBC initiative is an exploratory study aimed at exploiting the full scientific potential of the CERN's accelerator complex and scientific infrastructures through projects complementary to the LHC and other possible future colliders. These projects will target fundamental physics questions in modern particle physics. The document presents the status of the proposals presented in the framework of the Beyond Standard Model physics working group, and explores their physics reach and the impact that CERN could have in the next 10-20 years on the international landscape.

About 15 proposals are discussed for experiments searching for axions or axion-like particles, dark photons, dark scalars, millicharge particles and heavy neutral leptons. A beam dump facility is proposed at the 400 GeV SPS accelerator which could accommodate several experiments, the most outspoken one to be the SHiP experiment, which is proposed to receive 2×10^{20} protons on target over a time span of 5 years.

In this document sensitivity plots are reported that show the reach in couplings versus mass (typically below 10 GeV) for the different proposals and are compared to the already experimentally established limits. Certainly in the longer term the study proposed in this document should take into account these

sensitivities and, where relevant, compare with the potential of the neutrino near detector proposals.

4.4 Radioactive Source Experiments

There are several experiments that study neutrinos from radioactive sources. Two technologically very different experiments are discussed below as examples of the potential for BSM searches using neutrinos from radioactive sources:

PTOLEMY (Princeton Tritium Observatory for Light, Early-Universe, Massive-Neutrino Yield):

The PTOLEMY experiment proposes to search for relic Big-Bang neutrinos through capture on a β -decaying nucleus, in this case Tritium. The signature of BB neutrinos are electrons that are clustered above the β -decay endpoint. The Tritium source is challenging since it requires a 100 g of Tritium trapped in a single atomic layer of Graphene to maximize surface area. The electron capture and spectrometry techniques are similar to Tritium end-point experiments targeted at measuring the neutrino mass through accurate measurements of the β -decay end point. In PTOLEMY sensitivity to low mass sterile neutrinos that mix with electron neutrinos would manifest as a narrow peak of electrons at an energy equivalent to the end point energy plus the mass of the heaviest mass eigenstate. Directional detection of MeV Dark Matter may also be possible with PTOLEMY.

HUNTER (Heavy Unseen Neutrinos from Total Energy-momentum Reconstruction):

The HUNTER experiment proposes to search for keV sterile neutrinos by energy-momentum reconstruction of atomic K-capture events. The HUNTER experiment uses cloud of decaying ^{131}Cs atoms in an atomic trap. The ^{131}Cs absorbs a K-shell atomic electron and emits a neutrino, the nucleus recoils to conserve momentum and is followed by emission of an atomic X-ray and one or two Auger electrons as it de-excites. By measuring accurately both the recoil energy of the nucleus and the energy of the X-rays and Auger electrons the neutrino invariant mass can be reconstructed. Reconstructed neutrino masses in the keV range would constitute a signal for sterile neutrinos.

5 BSM Physics Prospects at the Next-Generation Neutrino Experiments

Current and next generation neutrino experiments will have unprecedented experimental capabilities, ranging from the superb event reconstruction of liquid argon time projection chambers [2, 130], to the large oscillation data statistics of water Cherenkov [190] and liquid scintillator [127] detectors, the unparalleled probe of very high energy neutrinos in Antarctic observatories [191, 192], and beyond. In this section, a compendium of several beyond standard model scenarios that can be probed in current and future neutrino experiments is presented.

5.1 BSM Physics Tools and Prospect for Future Improvements

In order to accurately assess the capabilities of current and future neutrino experiments to discover BSM physics and to develop appropriate analysis strategies, accurate simulation of both SM and BSM phenomenology is required. This proves challenging, particularly in the energy regime most relevant to accelerator and atmospheric neutrino experiments, where strongly coupled QCD effects are present. Simulation of the beam-generated fluxes, non-standard-neutrino and dark matter interactions, and atmospheric neutrino backgrounds are all subject to large uncertainties. In this section, we provide an overview of the current status of tools for simulating these phenomena, discuss areas that are challenging, and assess the potential for future improvement.

5.1.1 Beam-generated Fluxes

While accelerator neutrinos are typically produced in the decays of mesons and muons, dark sector states can be produced either in meson decays or directly from the quarks through Drell-Yan process. In the case that they are produced in the decays of mesons, standard neutrino flux generation tools such as `g4bnb` (Booster) [193] and `g4numi` (NuMI) [194] can be adapted to have mesons decay to dark sector particles instead of neutrinos. For direct production, simulations can be done directly using collider-oriented codes like `MadGraph` [195] and `Pythia` [196], but dedicated codes such as `BdNMC` [82] and `MadDump` [197] are of greater interest. In both cases, simulation is subject predominantly to the same hadronic and beam uncertainties that plague neutrino flux simulations, so improvements in understanding those will lead to commensurate improvements in understanding the beam-generated fluxes of dark sector particles. In this regard, it is worth noting that the Drell-Yan production process becomes meaningless below the dark sector mediator mass of about 3GeV due to these uncertainties. Models based on other mediators should also be explored as soon as possible and should be implementable quickly based on existing tools.

5.1.2 Dark Sector Interactions

Dark matter and dark sector particles produced either astrophysically or in the beam can be detected by their interactions in the active volume of a neutrino detector. Upcoming neutrino detectors, particularly those based on LArTPC technology, will have unprecedented sensitivity to these interactions in the theoretically challenging region of energy deposits in the 10 MeV to few GeV range. Nuclear and hadronic modeling are required in this regime, with much of the physics being understood only empirically or based on ad hoc models, rather than first principles. Neutrino Monte Carlo codes, such as `GENIE` [198, 199], `NEUT` [200], `NuWRO` [201], and `GiBUU` [202] already incorporate modeling of this physics. The implementation of dark matter interactions in these codes will be of increasing importance given the novel capabilities of upcoming detectors.

To date, dark matter interactions within a vector-mediated model have been implemented [203] in the `GENIE` Monte Carlo used by a large number of experimental collaborations. This widespread use allows it to easily be connected to detector simulation frameworks. The implementation is mostly complete, but simulation of baryon resonance excitation is in progress. Further models, such as inelastic boosted dark matter, should be implemented as soon as possible as well in order to set a broad target for BSM

searches at upcoming experiments.

Beyond the usual uncertainties with studying rare interactions with nuclei that affect neutrino Monte Carlo, several new challenges affect dark matter simulation, as no dark matter interactions with nuclei have been observed to date. The quark-level structure of interactions is not known and must be left as a free parameter in modeling. This leads to the introduction of form factors and baryon resonance helicity amplitudes that have not and cannot be studied in data based on standard interactions. Improved studies of these objects on the lattice could help (see Refs. [204–212] for a selection of recent studies of the relevant form factors). More recent nuclear models for baryon resonances could also be interesting to explore [202, 213].

5.1.3 Machine Learning at Next Generation Experiments

A key element in data analysis is developing selection criteria to separate signal and background. In their simplest form, selection criteria are a collection of thresholds on quantities derived from data which have different characteristics between signal and background. These are known as *rectangular cuts*. To achieve higher efficiencies and purities than rectangular cuts can achieve, over the last 20 years, High Energy Physics has increasingly turned to machine learning techniques [214].

Until recently, the most commonly used machine learning techniques were k-nearest neighbors [215], boosted decision trees [216], multi-layer perceptions or feed-forward neural networks [217, 218], and support vector machines [219]. All of these techniques are *shallow* methods, which means that they take hand-crafted features, or high-level selection variables, as inputs. Advances in machine learning architectures have made it possible to train networks with many more layers than previously possible. In these *deep* methods, the large number of layers act as feature extractors which take in nearly raw data and construct optimal abstract features instead of using hand-crafted features [220].

Deep methods have revolutionized the field of Computer Vision starting with the creation of convolutional neural networks (CNNs). CNNs have existed as early as the Neocognitron developed in 1980 [221] and LeNet-5 developed in 1998 [222], but they did not demonstrate their full power until the development of AlexNet in 2012 [223]. Due to the overwhelming success of AlexNet, CNNs have almost entirely replaced the use of hand-crafted features in shallow methods for image classification.

The success of CNNs has led to rapid progress in high energy physics applications. The first published use of CNNs in high energy physics improved the selection efficiency ν_e interactions at the NOvA experiment. The statistical effect of using CNNs was equivalent to increasing the detector mass by 30% [224]. Due to this tremendous success, this network was adopted by all NOvA analyses. MicroBooNE used similar techniques to separate cosmic rays from neutrino interactions [225].

In future experiments, deep learning methods show promise for reconstruction, in addition to selection. MicroBooNE has recently implemented *semantic segmentation*, a technique which uses the series of convolutional filters used for categorization to make predictions about what particle produced each energy deposit [226]. They use this to identify all the reconstructed hits likely produced by electromagnetic particles. The HEP.TrkX collaboration has demonstrated using graph neural networks (GNNs) to successfully build tracks in a generic detector [227]. GNNs generalize the concept of a CNN to irregular grids by operating on general structures described by nodes and edges. Taking energy

deposits in three dimensions as nodes and the collection of plausible causal connections between them as edges, GNNs should be able to infer the true connects between energy deposits without having to also store large numbers of empty pixels. GNNs have been used by the IceCube collaboration to improve event classification [228].

5.2 BSM Physics with Neutrinos

This section summarizes prospects on BSM physics involving neutrinos.

5.2.1 Sterile Neutrinos

Electron-volt scale sterile neutrinos have been motivated by the observation of $\bar{\nu}_e$ appearance in $\bar{\nu}_\mu$ at LSND [229] and MiniBooNE [132], which probe oscillations at an $L/E \sim 1\text{km}/\text{GeV}$. These sterile neutrinos extend the PMNS 3×3 mixing matrix by one row and column. In the two-flavor approximation the oscillation probability is given by

$$P(\nu_\alpha \rightarrow \nu_\beta) = \delta_{\alpha\beta} - 4|U_{\alpha 4}|^2(\delta_{\alpha\beta} - |U_{\beta 4}|^2) \sin^2(\Delta m^2 L/4E) \quad (2)$$

where $\alpha, \beta = e, \mu, \tau$, and $U_{\alpha 4}$ denotes the active components of the new neutrino mass state, ν_4 . If the LSND anomaly is indeed produced by an eV-scale sterile neutrino oscillations $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$, signals must be present in both muon and electron neutrino disappearance searches.

Neutrino-electron appearance searches. The MiniBooNE experiment was designed to search for appearance of electron neutrinos arising at the same L/E as LSND. Recently the MiniBooNE experiment reported a significant excess of neutrino-electron-like events over background compatible with the LSND anomaly in the neutrino and antineutrino modes [132]. The MicroBooNE experiment, operating at the same beamline as MiniBooNE, is targeted on confirming if the excess is indeed due to electrons and not mismodeled backgrounds or processes, e.g. photon emission. Next generation neutrino-electron appearance using pion and kaon decay at rest neutrino fluxes will be performed by the *JSNS²* experiment, providing a third check to the LSND claim. The array of detectors that make up the short-baseline neutrino program at Fermilab will also be able to perform very precise measurements of neutrino-electron appearance.

Neutrino-muon disappearance searches. MINOS+ has searched for muon-neutrino disappearance in the NuMI baseline and has found no evidence, placing strong constraints on eV-scale sterile neutrinos [230]. Future muon-neutrino disappearance experiments using human-made sources are the rest of the SBN program, DUNE, and T2HK. IceCube has also searched for muon-neutrino disappearance induced by sterile neutrinos in the TeV-energy range using atmospheric neutrinos. In the latter case the oscillation probability is modified due to the matter potential difference between active and sterile neutrinos; see [231] for a detailed explanation. Using this effect and one year of data IceCube has placed constraints on the $|U_{\mu 4}|^2$ that are competitive with MINOS+ in the eV-mass range. Results using the full IceCube data set are still pending at the time of writing this white paper. Muon neutrino disappearance using atmospheric neutrinos is also possible in the sub-100 GeV regime and limits on the plane of $|U_{\mu 4}|^2$ and $|U_{\tau 4}|^2$ have been placed by Super-Kamiokande, ANTARES, and IceCube-DeepCore. Next generation neutrino telescopes such as Km3Net and GVD will perform

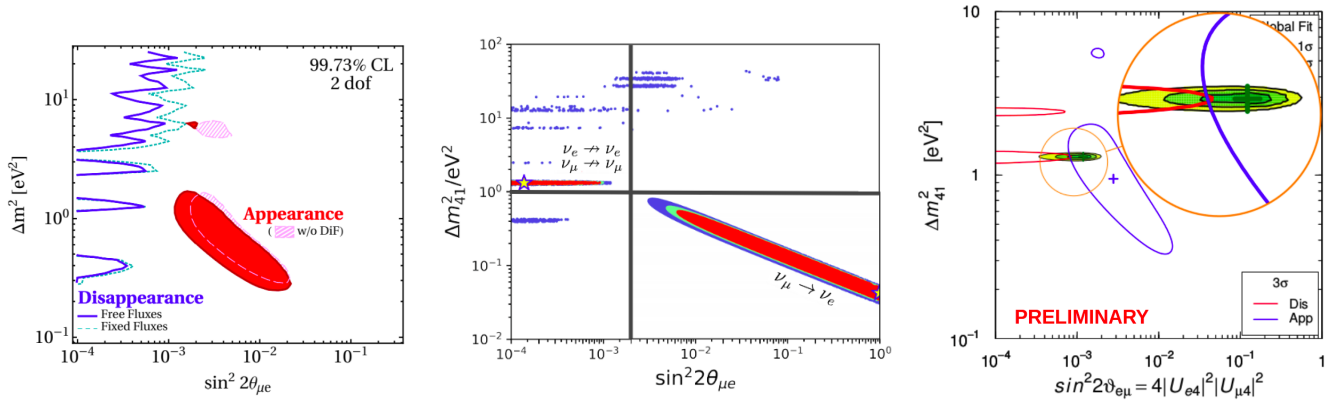


Figure 8: The muon-to-electron neutrino appearance amplitude in a 3+1 model is shown in the horizontal axis. Allowed or preferred regions for appearance and disappearance global combinations from three different global-fit groups are shown in left [240], center [243], and right [241,242] plots. In the left panel the red blob is the preferred appearance region and the blue lines to the left are the allowed region from disappearance experiments. In the center panel the lower-right quadrant contains the preferred parameter space of appearance data, while the other quadrants contain the preferred region from disappearance experiments. In the right panel, red corresponds to the allowed regions from disappearance and blue to the preferred appearance regions; the combination of both regions is shown in green. A zoom into the interesting region is shown in the top-right of this panel. The small differences between the three global fits are due to the inclusion or exclusion of certain datasets.

similar searches as IceCube high-energy analysis with an improved energy resolution. At the same time, next generation atmospheric detectors such as Hyper-K and DUNE will produce an improved characterization of the atmospheric neutrino oscillation.

Neutrino-electron disappearance searches: A revisit of the neutrino reactor flux in [232], hinted that there was an overall deficit of electron neutrinos in the global reactor data. This is known as the reactor antineutrino anomaly (RAA). The RAA can also be explained in terms of disappearance induced by sterile neutrinos. Uncertainties in the reactor neutrino flux suggest a reduction in the strength of this anomaly [233, 234], but the effects of flux uncertainty presently appear insufficient to explain the full extent of the observed disappearance [235, 236]. Recent reactor experiments have measured the electron-neutrino flux as a function of distance. Results from DANSS, NEOS, STEREO, and PROSPECT are in tension with the sterile neutrino interpretation of the RAA. On the other hand, these experiments have themselves new anomalous neutrino electron disappearance at the $\sim 3\sigma$ level. Large intensity neutrino-electron beams would be needed to further test neutrino electron disappearance. Beta beams required for this purpose are being developed as part of the IsoDAR experiment. In addition, there is an observed deficit in the number of neutrinos measured from radioactive sources by GALLEX [237] and SAGE [238] known as the Gallium anomaly [239] that is in a similar parameter space as the RAA.

Global combinations of the above channels have been performed by multiple global-fit groups [240–243]. All groups find that there is significant tension between the appearance and disappearance results as shown in Fig. 8, which places a severe burden on the 3+1 model. Attempts to alleviate this tension, in the context of the 3+1 model, have been studied; e.g. by adding non-standard interactions [244–247] or allowing the sterile neutrino to decay [243, 248, 249].

5.2.2 Non-standard Neutrino Interactions

Non-standard neutrino interactions (NSIs) provide a general effective field theory (EFT) framework to parameterize new physics in the neutrino sector [250]. NSIs can be neutral current (NC) or charged current (CC) interactions with matter particles, e , u , and/or d . Charged-current NSI leads to modification of both the production and detection of neutrinos, but also leads to charge-lepton flavor violation which is strongly constrained from measurements like $\mu \rightarrow e\gamma$ [251]. While NC NSI does not modify production or detection –when charged leptons are involved–, it does alter propagation leading to a rich phenomenology in oscillation experiments that experience the matter effect. Detection of neutrinos via neutral current processes provides signatures in scattering experiments. This EFT approach can be extended to a simplified model approach by considering the role of the mediator. While NSIs typically refer to vector mediators, scalar mediators can also be explored [252] or other spin structures [253]. Beyond the realm of an EFT approach or a simplified model approach, UV complete NSI models also exist [25, 26, 247, 254–257].

In the context of propagation, NSIs result in the following modification of the oscillation Hamiltonian,

$$H = \frac{1}{2E} U_{\text{PMNS}} \begin{pmatrix} 0 & & \\ & \Delta m_{21}^2 & \\ & & \Delta m_{31}^2 \end{pmatrix} U_{\text{PMNS}}^\dagger + \sqrt{2} G_F N_e \begin{pmatrix} 1 + \epsilon_{ee} & \epsilon_{e\mu} & \epsilon_{e\tau} \\ \epsilon_{e\mu}^* & \epsilon_{\mu\mu} & \epsilon_{\mu\tau} \\ \epsilon_{e\tau}^* & \epsilon_{\mu\tau}^* & \epsilon_{\tau\tau} \end{pmatrix}, \quad (3)$$

where G_F is the Fermi constant, N_e is the electron density in matter, and the ϵ terms parameterize the scale of the new interaction in terms of the weak interaction, with respect to the electron-number density. The ϵ limits can be re-scaled in order to consider interactions with u or d . While some constraints are at the \sim few % level, some terms can be about as large as the weak interaction ($|\epsilon| \sim 1$), in particular ϵ_{ee} due to an exact degeneracy in the Hamiltonian [258–260] that can only be broken by measurements in matter with different neutron densities such as the sun and the Earth. In addition, $\epsilon_{e\tau}$ is also quite unconstrained due to comparatively few appearance measurements, see fig. 9. Next generation oscillation experiments are expected to improve the current constraints, although some partial degeneracies will still exist [23, 261–271]. The leading constraints on vector NSI parameter $\epsilon_{e\mu}$ come from IceCube, IceCube-DeepCore, and Super-Kamiokande. Improved constraints on vector NSI ought to be possible in next-generation atmospheric neutrino experiments such as Km3Net, GVD, and IceCube-Upgrade, as well as at DUNE [152] and T2HK [272]. Scalar NSI effects appear as changes in the mass-square-difference and can be studied with e.g. JUNO and GeV atmospheric neutrinos.

Both NC and CC NSIs can also be probed at scattering experiments, although only for mediator masses \gtrsim the energy scale of the experiment. Strong constraints come from NuTeV [97, 273–275] for $m_{Z'} \gtrsim 10$ GeV while COHERENT [33] via the coherent elastic neutrino nucleus scattering (CE ν NS) channel [276] can constrain NSIs down to ~ 10 MeV [27, 101]. Future CE ν NS measurements by several different reactor experiments currently in progress can push this limit down by about one order of magnitude by leveraging even lower threshold detectors and a lower energy flux of neutrinos [185, 187, 277–281].

5.2.3 Neutrino Tridents

Neutrino trident production is a rare weak process in which a neutrino, scattering off the Coulomb field of a heavy nucleus, generates a pair of charged leptons [282–289]. The typical final state of a

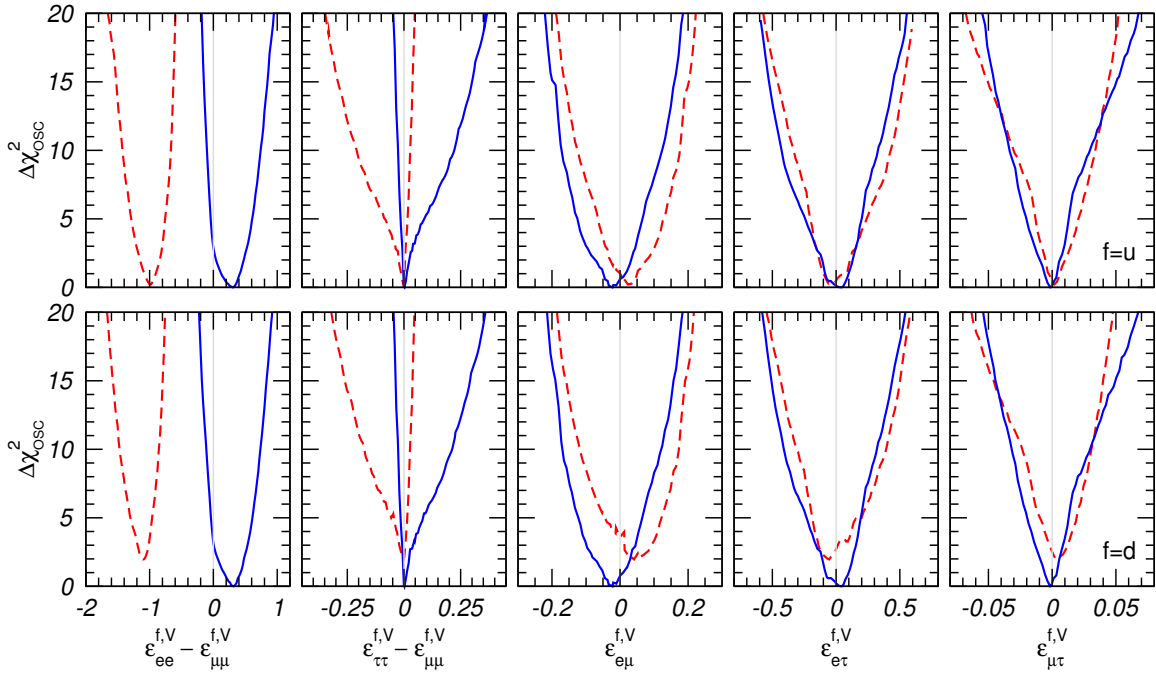


Figure 9: Constraints on NSI terms from oscillation data alone taken one term at a time; the upper (lower) row corresponds to NSI with up (down) quarks. The blue curves correspond to the standard solution with $\theta_{12} < 45^\circ$ while the red curve corresponds to a degenerate solution with $\theta_{12} > 45^\circ$ and large $|\epsilon_{ee}| \sim 1$ NSI [97].

neutrino trident interaction contains two leptons of opposite charge. Measurements of dimuon tridents ($\nu_\mu \rightarrow \nu_\mu \mu^+ \mu^-$) have been carried out at the CHARM-II [290] and CCFR [291] experiments. Later, a dimuon measurement at NuTeV [292] was shown to be consistent with no trident events at the 1σ level. These results are consistent with Standard Model predictions, but leave ample room for improvement as well as for potential contributions from new physics. Beyond measuring dielectron and mixed flavor channels for the first time, one can envisage probing the SM weak interactions through more precise measurements of dimuon tridents, where interference effects between the CC and NC are significant. In fact, it was recently shown that a class of Z' models can modify the dimuon trident cross sections. These models introduce a Z' boson by gauging an anomaly-free global symmetry of the Standard Model, with a particular interesting case realized by gauging $L_\mu - L_\tau$ [293, 294]. Such a Z' is not very tightly constrained and could address the observed discrepancy between the Standard Model prediction and measurements of the anomalous magnetic moment of the muon, $(g - 2)_\mu$ [295, 296]. The near detectors of future neutrino experiments offer an excellent environment to generate a sizeable number of trident events, offering excellent prospects to both improve the above measurements and to look for an excess of events above the SM prediction, which would be an indication of New Physics. Figure 10 shows the expected sensitivity of DUNE to the Z' model described above through a dimuon trident measurement. Finally, such Z' models can also impact neutrino-electron scattering measurements, providing an additional handle in the search for new physics at the DUNE ND [297].

Trident measurements also serve as a probe of several other dark sectors at neutrino experiments. Charged lepton pairs may arise from the decay-in-flight of dark photons, dark scalars or sterile neutrinos, from dark bremsstrahlung in neutrino interactions [89] or from upscattering into highly unstable dark states. The latter scenario, for instance, typically arises in dark neutrino sectors. In these models SM neutrinos mix with heavier SM singlet fermions (dark neutrinos), which in turn possess

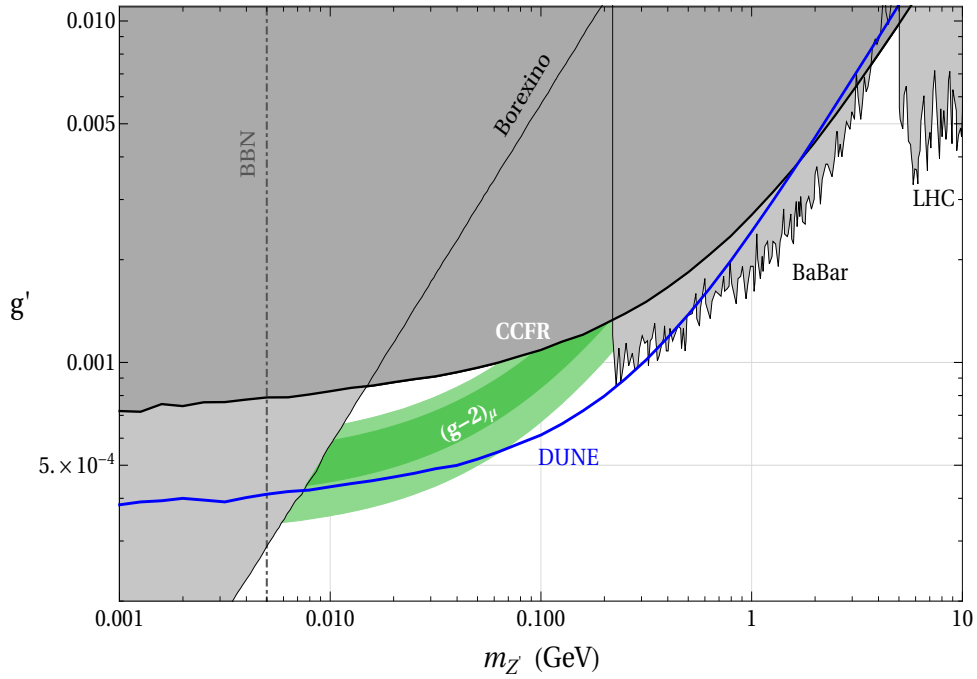


Figure 10: Projected DUNE sensitivity in the $L_\mu - L_\tau$ parameter space. The green region shows where the $(g - 2)_\mu$ anomaly can be explained at the 2σ level. Other regions already excluded are shaded in gray and are described in [29].

new BSM interactions. Due to this mixing, light neutrinos inherit some of these new interactions and may upscatter into dark neutrino states. These heavy states in turn typically decay back to a pair of charged-leptons, giving rise to trident signatures of the type $\nu A \rightarrow NA \rightarrow \nu \ell^+ \ell^- A$. These scenarios may explain the smallness of neutrino masses [298, 299] and possibly the MiniBooNE low energy excess of electron-like events [19–21, 300, 301].

5.2.4 Large Extra-Dimensions

The model of Large Extra-Dimensions in Ref. [302], which is based on seminal works that also focused on the generation of the neutrino mass (see references included in Ref. [302]), implies right-handed neutrinos propagating in the extra dimensions that couple, via Yukawa couplings, to the active neutrinos (defined in the four space-time dimension, in the bulk) which thus acquire a Dirac Mass in this framework. After compactification of the large extra dimension, an infinite number of sterile neutrinos appear from the Kaluza Klein modes n in the bulk point of view. The sterile-active mixing and the new oscillation frequencies modify the three flavor active neutrino oscillations. Departures from the standard oscillations can then probe the LED model at neutrino oscillation experiments [302–307]. The measured mass-squared differences and mixing angles constrain the parameter space of the LED model i.e. the absolute mass scale m_0 and the radius of compactification R . For $n = 0$, which corresponds to the more active case, and for $m^D R \ll 1$, the standard three neutrino oscillations should be recovered. The more sterile case will then corresponds to $n \gg 1$ and oscillations will appear smeared at the far detector since large n implies large oscillation phases and also the active-sterile mixing will be suppressed.

Figure 11 shows the DUNE and MINOS [308] sensitivities to LED at 90% of C.L. for 2 d.o.f given

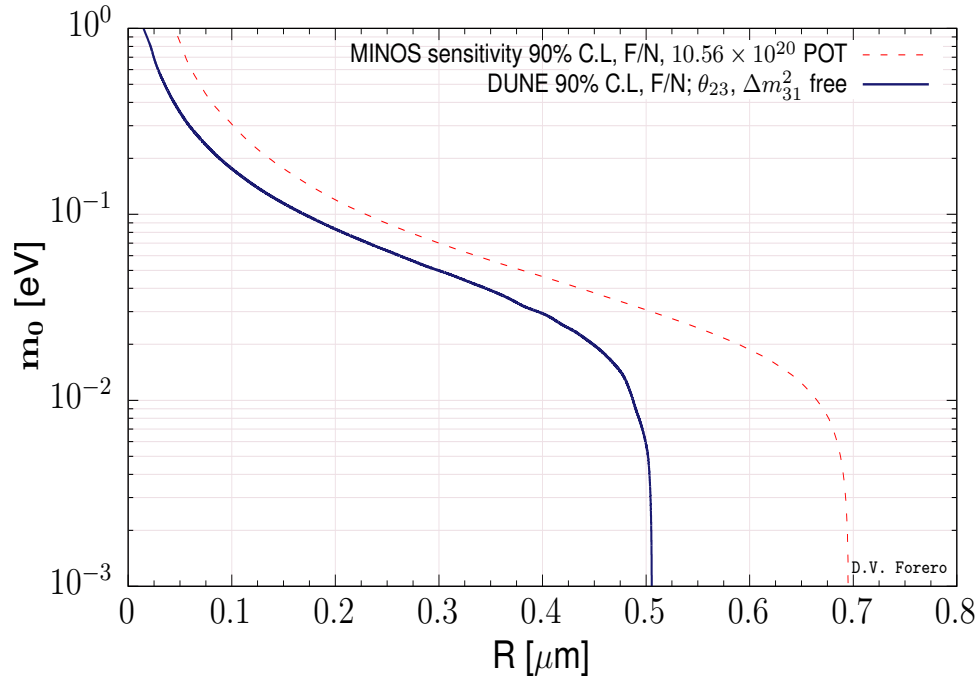


Figure 11: DUNE sensitivity to the free parameters of the LED model in Ref. [302] through its impact on the neutrino oscillations expected in DUNE. See text for details of the analysis.

by the full and dashed lines, respectively. In the case of DUNE, an exposure of 300 kt MW year was assumed and spectral information from the four oscillation channels, (anti)neutrino appearance and disappearance, were included in the analysis. In the analysis, the ‘true’ event energy spectrum corresponds to the standard three neutrino case (which is recovered in the limit $R \rightarrow 0$) and the LED model parameters are then fitted. In the fit, the solar parameters were kept fixed, and also the reactor mixing angle, while the atmospheric parameters were considered as free. For an earlier study of the DUNE sensitivity to LED model free parameters see Ref. [306]. In general, DUNE improves over the MINOS sensitivity for all values of m_0 and this is more noticeable for the lowest m_0 values where a conservative sensitivity limit to R is obtained.

5.2.5 High Energy Cross Sections

An important test of the SM and, as such, a probe of BSM neutrino physics, comes in the form of measuring the neutrino-nucleon cross section at extreme energies. The highest energy cross sections from accelerators are at $E_\nu \sim 350$ GeV [309]. The only higher energy cross section measurement comes from IceCube at energies of $E \sim 100$ TeV [174, 310]. Upgrades of IceCube and KM3NeT can improve the measurement for 10 TeV $\lesssim E \lesssim 1$ PeV and future radio experiments such RNO, POEMMA, and GRAND [160, 161, 163, 164] could push this to the EeV scale. Finally, LHC neutrino experiments such as FASER [311] could measure the neutrino-nucleon cross section at $E \sim 1$ TeV filling in the energy gap between accelerator measurements and neutrino telescope measurements.

Such a measurement provides not only a check of the neutrino cross section at energies not accessible anywhere else, but it can also probe new physics models such as extra dimensions [169], leptoquarks [312], sphalerons, and others [313]. These kinds of measurements also provide an opportunity to tomographically measure the Earth and probe its weak charge [314], something that could also be

significantly improved at upcoming experiments.

5.2.6 BSM Physics with Tau Neutrinos

To date, the only identified charged-current ν_τ scattering events have been measured by the DONUT [315], OPERA [316, 317], and Super-Kamiokande [318] experiments. In the next generation of neutrino experiments, the only one expected to collect a sample of these events is DUNE. In seven years of data collection, $\mathcal{O}(10^3)$ ν_τ charged-current events are expected to occur in the DUNE Far Detector. Using the methods developed in Ref. [319], the DUNE collaboration expects to identify roughly 30% of hadronically-decaying τ leptons and only having $\sim 0.5\%$ of neutral current scattering events as background contamination.

Ref. [320] explored the capabilities of performing physics measurements with this ν_τ sample, both in the three-massive-neutrinos paradigm and in the context of BSM searches. While not as powerful as the ν_e appearance and ν_μ disappearance channels at DUNE, this sample allows for complementary measurements that operate as a consistency check. Fig. 12 displays the capability of each channel individually measuring the effective mixing angle for neutrino oscillations and the atmospheric mass splitting at DUNE. This will be the first such measurement using ν_τ events. In Fig. 12, the mixing

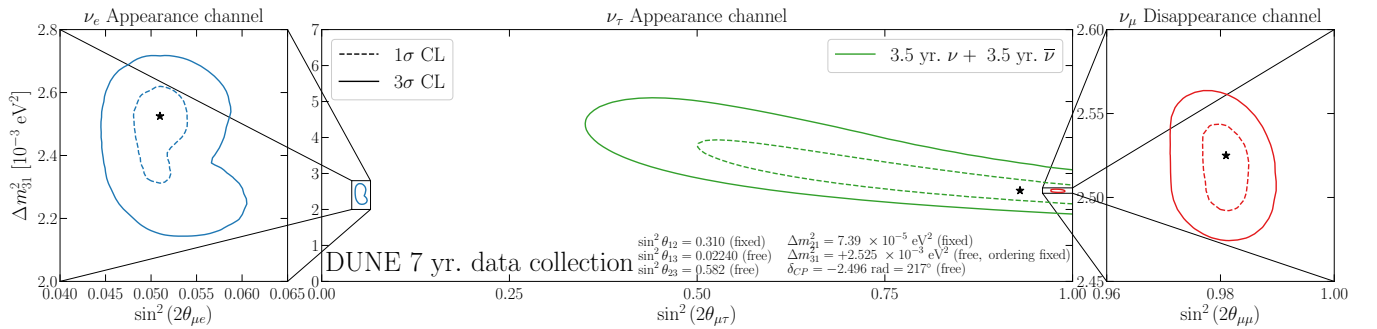


Figure 12: Expected measurement potential of seven years of data collection at DUNE, assuming separate analyses of ν_e appearance (left), ν_τ appearance (center), or ν_μ disappearance (right). Each panel displays 1σ (dashed) and 3σ (solid) CL regions of the measurement of the mass splitting Δm_{31}^2 and the effective mixing angle $\sin^2(2\theta_{\mu\beta})$ (see text for detail). The solar neutrino parameters have been fixed, but all other parameters have been marginalized in the fit.

angle $\sin^2(2\theta_{\mu\beta})$ is defined as $4|U_{\mu 3}|^2|U_{\beta 3}|^2$ (for $\beta \neq \mu$) or $4|U_{\mu 3}|^2(1 - |U_{\mu 3}|^2)$ (for $\beta = \mu$).

Ref. [320] also explored sensitivity to several BSM scenarios: a non-unitary lepton mixing matrix, the existence of neutrino nonstandard interactions, and the existence of a light sterile neutrino. The sample can also provide nontrivial information on particular mixing angles in the sterile neutrino hypothesis, demonstrated in Fig. 13.

5.2.7 Non-Unitarity

A popular mechanism to generate neutrino masses is the so called type-I see-saw model where extra singlet fermions are introduced to the SM. In the low-scale version of this models the energy scale of the

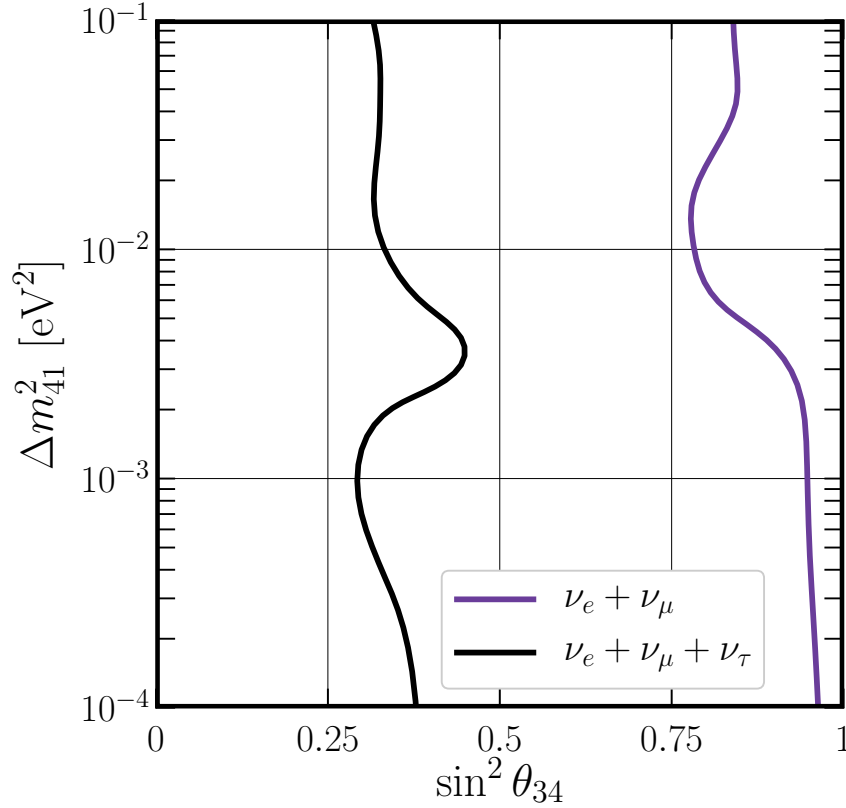


Figure 13: Expected DUNE sensitivity to the sterile neutrino mixing parameter $\sin^2 \theta_{34}$ and new mass-squared splitting Δm_{41}^2 assuming data collection using only the ν_e appearance and ν_μ disappearance channels (purple) or a combined analysis including the ν_τ appearance channel (black). Lines shown are 95% CL for seven years of data collection at DUNE. See Refs. [320, 321] for more detail.

heavy leptons can be set around the TeV without the tuning of the Yukawa couplings. In this low-scale see-saw models [322–324] sizable deviation of the effective lepton mixing matrix unitarity can be at the percent level [325] and therefore with the potential to impact neutrino oscillations. While mentioned heavy leptons will be decoupled from neutrino oscillations, its mixing will be given by a non-unitary lepton mixing matrix, which can be conveniently parametrized as a lower triangular matrix [326] (of dimension three), correcting the usually assumed unitary neutrino mixing matrix [309].

The subject of neutrino oscillations with a non-unitarity neutrino mixing matrix have recently received some attention, see for instance Refs. [326–331] for the main different approaches and views of the matter. It is worth to mention that electroweak precision tests and charge lepton flavor violation (cLFV) constraints reduce the margin for unitarity deviation [332–337] although these are highly model dependent. Adopting a more model independent approach, and separating constraints from neutrino oscillations (see for instance Ref. [95]) to the ones involving also charged leptons (electroweak and cLFV), the impact of having a non-unitary lepton mixing matrix in the CP sensitivity in the DUNE experiment has been considered in Ref. [330].

Even in the case of having into account electroweak and charge lepton flavor violation constraints a very small decrease of the CP sensitivity results from the freedom in the unknown new phases (additional to the Dirac CP phase) encoded in the lower triangular matrix accounting for non-unitarity. As a final

remark, the unitarity of the lepton mixing matrix has to be established experimentally, which should be one of the main goals in the future neutrino program. This might provide us with information on the existence of neutral heavy leptons predicted in see-saw type neutrino mass models.

5.2.8 Lorentz Violation

Violation of Lorentz and CPT symmetry may be present in several extensions of the Standard Model such as string theory [338]. In scenarios where Lorentz/CPT symmetries are broken dynamically, a non-trivial space-time dependence of the vacuum of the theory, such as a preferred direction, leads to an apparent violation of these symmetries. In neutrino experiments, Lorentz/CPT violation may arise as modifications of oscillation probabilities, like time or direction dependent effects, neutrino-antineutrino mixing, or energy dependent effects on mass splittings [339–342]. The effect of Lorentz/CPT violation can be organized in terms of effective operators [343]

$$H \sim \frac{m^2}{2E} + \hat{a}^{(3)} - E \cdot \hat{c}^{(4)} + E^2 \cdot \hat{a}^{(5)} - E^3 \cdot \hat{c}^{(4)} \dots \quad (4)$$

where the $\hat{a}^{(n)}$ is the strength of Lorentz violation induced by a CPT-odd interaction with using a n-dimension operator to relate the LV-field and the neutrino field, while the $\hat{c}^{(n)}$ represent the same thing for CPT-even interactions. The lowest-order interaction has the similar phenomenology as an NSI in constant density [344, 345], while higher-order operators effects grow as the neutrino energy increases. In fact, current measurements of the difference between neutrinos and antineutrino oscillation probability parameters already provides some of the strongest test of CPT invariance [346].

The oscillation probability scales like $P(\hat{a}^{(n)} L E^{n-3})$ for CPT-odd interactions, similarly for the CPT-even term. For this reason high-dimensional operators are better constrained by high-energy atmospheric neutrino measurements [347]. For similar reasons precise measurements of the astrophysical neutrino flavor ratio will provide very constrains on this operators [348]. Lorentz violation can also induce time variability of the neutrino oscillation [349]. Effects could be observed in short and long baseline neutrino oscillation experiments which ought to provide strong constraints lower-dimensional operators [347, 349–359].

Lorentz violation effects can produce kinematic effects, e.g. neutrino bremsstrahlung to an electron-positron pair is allowed in the case of superluminal neutrinos. This can be search by time-of-flight measurements [360, 361] and vacuum cherenkov emissions [362, 363]. This process will allow for high-energy astrophysical neutrinos to rapidly lose energy. This will introduce a characteristic cut-off in the energy spectrum [364]. Next generation neutrino telescopes such as IceCube-Gen2, ARA, ARIANNA, GRAND, and POEMMA.

Forms of Lorentz violation can also be probed by neutrinoless double beta decay experiments. One operator of the SME has the effect of modifying the two-neutrino double beta decay spectrum. The time-like component has been probed in [355]. Next generation experiments will improve upon this constraint, and experiments such as xenon gas TPCs with sensitivity to track opening angle may also be able to probe the space-like components of this operator.

5.2.9 Heavy Neutral Leptons

Heavy Neutral Leptons (HNLs, also sometimes called heavy sterile neutrinos) with masses below the electro-weak scale are a prime target for current and next generation neutrino experiments. Such particles can be directly tied to the generation of neutrino masses and may also play an important role in the dark matter and the matter-antimatter asymmetry puzzles [16, 17].

HNLs lead to a rich set of signatures that can be searched for in a variety of experiments [365, 366]. Accelerator-based neutrino experiments with near detectors provide one promising venue for HNL searches. Out of the initial proton-target collisions, GeV-scale HNLs can be produced through the subsequent rare decays of mesons. Due to the small active-sterile mixing angle and off-shell weak boson mediating its decay, such HNLs are typically long lived. Therefore, a flux of HNLs can travel to the near detector and decay to visible SM particles. Typical decay channels are two-body decays into a charged lepton and a pseudo-scalar meson, or a vector meson if the mass allows it, two-body decays into neutral mesons and neutrinos, and three-body leptonic decays. It should be pointed out that if these HNLs have additional interactions, e.g. due to new dark gauge sector, the phenomenology can be significantly modified [299] and dedicated searches should be carried out.

A search for HNL decays in the T2K near detector ND280 has been negative and has yielded constraints [367]. Furthermore, searches for HNLs have been proposed at the Fermilab SBN experiments [368], and a search is currently underway within MicroBooNE. A similar strategy can be utilized at other accelerator based neutrino experiments to probe HNLs. Beyond conventional neutrino experiments, proposed experiments such as SHiP [369] and MATHUSLA [120], as well as the recently approved FASER [311] are dedicated long lived particle detectors that can probe interesting regions in the HNL mass - mixing angle parameter space [189, 370]. DUNE ND, thanks to the high intensity of the beam and the large near detector complex, can achieve a competitive sensitivity to HNL with masses below GeV as other dedicated experiments [371]. If an HNL is discovered, the study of its decays could tell us whether all neutrinos are Majorana particles or Dirac particles [372].

The experimental signature for these decays in a beam dump experiment is a decay-in-flight event with no interaction vertex, typical of neutrino–nucleon scattering, and a rather forward direction with respect to the beam. The main background to this search comes from SM neutrino–nucleon scattering events in which the hadronic activity at the vertex is below threshold. Charged current quasi-elastic events with pion emission from resonance are background to semi-leptonic decay channels, whereas mis-identification of long pion tracks into muon can constitute a background to three-body leptonic decays, as the light neutrino track is not reconstructed. Neutral pions are often emitted in neutrino scattering events and can be a challenge for decays into neutral meson or channels with electrons in the final state.

We report in Fig. 14 the physics reach of the DUNE ND in its current configuration without backgrounds and for a Majorana and a Dirac HNL. The sensitivity is compared to the predictions for SBN, SHiP, NA62, and FASER. We also point out that a significant number of D_s mesons are produced thanks to the sufficiently high proton energy of the beam. As a result, HNLs with masses up to 2 GeV can be searched for in all flavour-mixing channels.

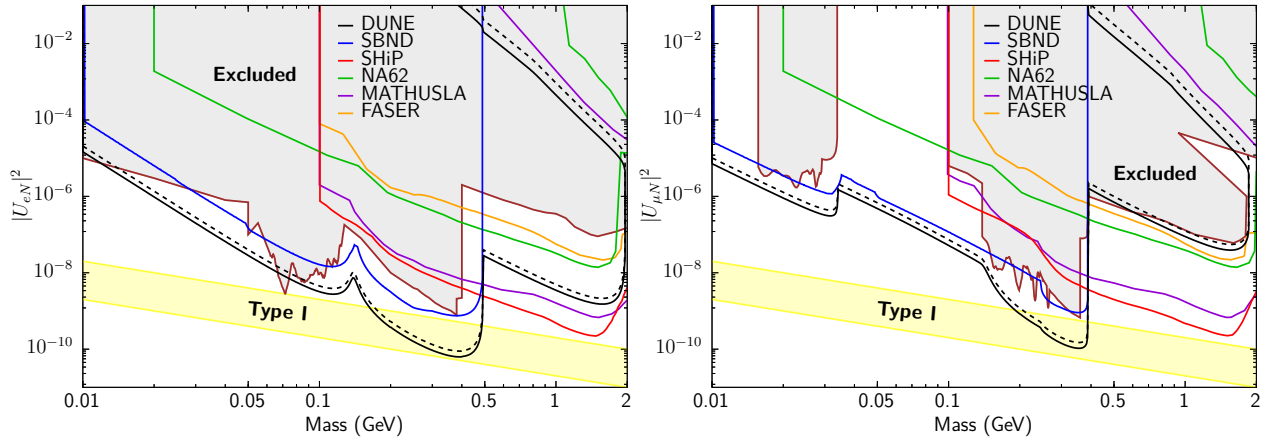


Figure 14: The 90% C.L. sensitivity regions for dominant mixings $|U_{eN}|^2$ (top left), $|U_{\mu N}|^2$ (top right), and $|U_{\tau N}|^2$ (bottom) are presented for DUNE ND (black) [371]. The regions are a combination of the sensitivity to HNL decay channels with good detection prospects. These are $N \rightarrow \nu e e$, $\nu e \mu$, $\nu \mu \mu$, $\nu \pi^0$, $e \pi$, and $\mu \pi$. The study is performed for Majorana neutrinos (solid) and Dirac neutrinos (dashed), assuming no background. The region excluded by experimental constraints (grey/brown) is obtained by combining the results from PS191 [373, 374], peak searches [375–379], CHARM [380], NuTeV [381], DELPHI [382], and T2K [367]. The sensitivity for DUNE ND is compared to the predictions of future experiments, SBN [368] (blue), SHiP [383] (red), NA62 [384] (green), MATHUSLA [120] (purple), and the Phase II of FASER [385] (orange). For reference, a band corresponding to the contribution light neutrino masses between 20 meV and 200 meV in a single generation see-saw type I model is shown (yellow). Larger values of the mixing angles are allowed if an extension to see-saw models is invoked, for instance, in an inverse or extended see-saw scheme.

5.2.10 Neutrino Decays

While neutrino decay exists in the SM, it is extremely suppressed. Various new physics models predict neutrino decay with lifetimes probable in various terrestrial and astrophysical experiments with strongest constraints coming from experiments probing the largest distances and the lowest energies. Constraints are in terms of τ/m , the lifetime over the mass of the neutrino, and SN1987A places extremely strong constraints on $\bar{\nu}_e$ decay at $\tau/m \gtrsim 10^5$ s/eV [386]. Constraints from IceCube are at $\tau/m \gtrsim 10$ s/eV for all flavors [387–389], although a $> 3 \sigma$ tension points towards neutrino decay with $\tau/m \sim 10^2$ s/eV for ν_2 and ν_3 alone as a possible solution [390]. This model also predicts a deficit of ν_τ 's that could be tested with additional IceCube data. Solar neutrinos constrain the lifetime of ν_2 's to $\tau/m \gtrsim 10^{-3}$ s/eV [391] and long-baseline and atmospheric experiments constrain the lifetime of ν_3 to $\tau/m \gtrsim 10^{-9.5}$ s/eV [392]. Finally, the strongest constraints available come from the early universe at $\tau/m \gtrsim 10^{11}$ s/eV [393].

Numerous models for neutrino decay exist, and most include a very light (< 1 eV) or massless majoron, a new scalar particle [394]. Depending on the details of the model, majorons can be related to neutrino mass generation. In addition, neutrino decay can be visible or invisible [395–398] where invisible means that either the decay products are sterile (right-handed) neutrinos, or are sufficiently low energy to be not detected. Visible neutrino decay involves regeneration of lower energy neutrinos and provides additional detection signatures and are also a function to the absolute neutrino mass scale. Other models involve neutrino decay only in the sterile sector [248] could provide a possible explanation for the light sterile neutrino anomalies.

5.2.11 Ultra-light dark matter

Ultra-light scalar fields, with masses much below the electronvolt scale, arise as key ingredients in several well-motivated extensions of the standard model. A well-known example is the Peccei-Quinn axion that addresses the strong CP problem. Typically, such scalar fields are produced non-thermally in the early universe via a misalignment mechanism, where the field is dynamically displaced from its minimum in the potential and begins to oscillate about this minimum when the Hubble expansion rate becomes comparable to its mass.

If such scalar field couples to neutrinos, several novel phenomenological signatures could be observed in neutrino experiments, such as time modulation of oscillation parameters, distortions of oscillation probabilities, non-trivial matter effects, and distinct high energy astrophysical neutrino flavor composition in neutrino telescopes [35–40, 399, 400].

5.2.12 Resonant $\nu_\mu \rightarrow \nu_e$ oscillations.

By invoking interactions with background particles via light intermediates, matter effects with non-trivial energy dependencies can be generated. These models are motivated in part by resolving tensions between appearance and disappearance experiments that arise when their oscillations are analyzed universal function of L/E . A light scalar boson coupled only to neutrinos, for example, can introduce forward scattering from the cosmic neutrino background. For scalar masses in the natural regime for Higgs-like Dirac neutrino mass generation, this produces resonant oscillations in a comparable energy range to the MiniBooNE low energy excess. This model fits MiniBooNE data slightly better than the 3+1 sterile neutrino model, and significantly alleviates tensions with world data. On the other hand, the justification of a neutrinophilic light Higgs boson is theoretically challenging, and the required over-density of the CNB, while not ruled out, is not especially well motivated. Considered as a general property of models with light scalars, however, energy dependent matter effects with resonances may be considered as compelling phenomenological approaches to resolving short baseline tensions via new physics scenarios, explorable in depth at next generation of short baseline and near-detector experiments.

5.3 Dark Matter and Dark Sector Searches

5.3.1 Dark Matter Indirect Detection in Neutrino Experiments

Dark matter annihilation at the galactic center: The goal of dark matter indirect detection is to find the products of dark matter annihilation or decay such as photons, ν , e^\pm , and p^\pm . Dark matter is highly concentrated around the Galactic Center. So, a large number of dark matter candidate signals are expected from the galactic center region. A neutrino travels along an almost straight line from where it is created. However, one of the main weak points of detecting neutrino signals is the need for a large volume detector due to its weak interaction. Currently, various large volume experiments are already in operation or planned including DUNE [1, 3], IceCube [401], and SK [135]/HK [4, 151]. Due to their improved sensitivities and large volumes, DUNE seems to have better chance to get

the information for extracting dark matter properties in the near future. For a given dark matter model, one can easily calculate the expected neutrino flux from dark matter annihilation. Then, the search strategy is to find an excess of neutrinos from the Galactic Center direction compared to the expected atmospheric neutrino background. No excess of neutrinos has been observed yet, which currently provides upper limits on dark matter annihilation cross sections depending on annihilation channels [402–404].

Dark matter annihilation at the Sun: Dark matter can be accumulated inside the Sun through DM-nuclei and/or DM-DM scatterings [405–407], and the captured dark matter may annihilate into Standard Model particles in the Sun. Thus, the Sun can be a good point-like source of neutrino flux from dark matter annihilation due to the dark matter solar capture and the relatively short distance from the Earth compared to the Galactic Center. Searches for an excess of neutrinos from the direction of the Sun over the atmospheric neutrino background also have been conducted. Upper limits on spin-independent and spin-dependent DM-nucleon scattering cross sections are now available [143, 404, 408] since the dark matter capture processes rely on DM-nucleus scattering. Interestingly, neutrino detectors provide more stringent limits on the spin-dependent DM-proton scattering cross section than those from dark matter direct detection experiments depending on annihilation channels and dark matter masses.

5.3.2 Light Dark Matter and Dark Sectors

While there are in general many interesting and viable scenarios for dark matter, the paradigm of a light dark sector has risen to prominence in recent years. In this framework, dark matter is part of a hidden sector of neutral particles that couples weakly to the SM through a portal interaction involving a light mediator. A compelling aspect of this framework is the possibility of a thermal relic origin of dark matter for mass scales as light as $\mathcal{O}(\text{MeV})$ [409]. Dark sector models predict a host of novel phenomena, requiring new experimental approaches beyond those used to search for WIMPs. These include new low mass direct detection technologies, sensitive searches for rare decays of SM particles, and fixed target/beam dump experiments; for a nice overview we refer the reader to [410]. Future neutrino experiments will have an important role to play in exploring the space of dark sector theories.

Light Dark Matter: Accelerator based neutrino oscillation experiments have significant sensitivity to light dark matter [71–79, 81–84, 86–88, 411, 412]. The basic experimental principle is simple: light dark matter particles are produced in the proton-target collisions and subsequently travel to a detector downstream where they can scatter with electrons or nuclei, leaving a neutral current-like signature. This capability has recently been demonstrated in a dedicated search by MiniBooNE [113, 413], which placed new limits on the well-motivated vector portal dark matter model [414], as shown in Figure 15. There are a number of future research directions in the search for light dark matter at accelerator based neutrino experiments. Besides the simplest vector portal model, variations of the dark sector models utilizing different mediators can be explored, see e.g., [75, 415–419]. Furthermore, there can be striking signatures beyond the basic neutral-current-like scattering signal, see e.g., [83, 89, 420]. Looking beyond the MiniBooNE experiment, dark matter searches can be carried out at NO ν A [76, 87], MicroBooNE [81], JSNS² [86], DUNE [134, 421], and COHERENT [79, 90], among others.

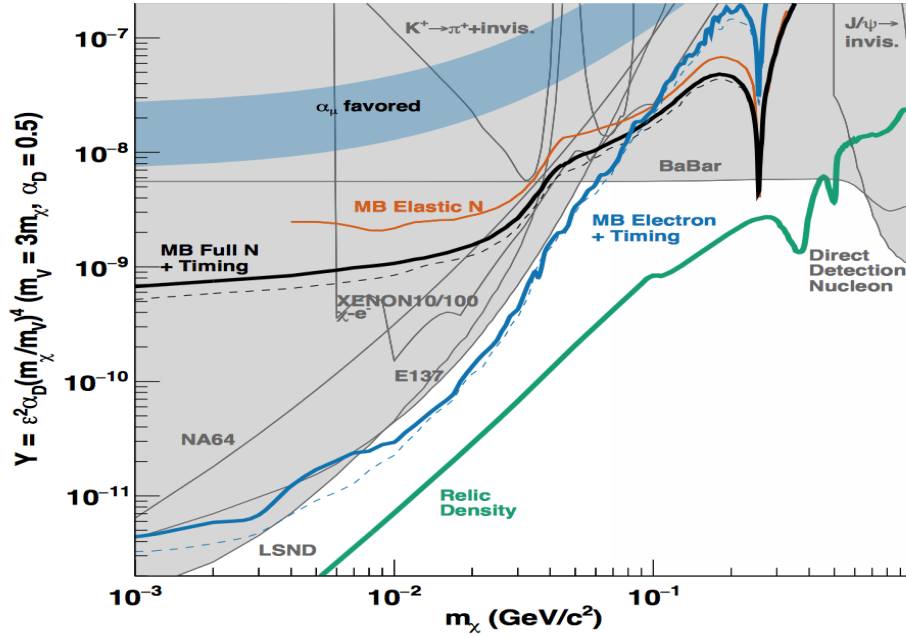


Figure 15: Results from the MiniBooNE-DM search for light dark matter from Ref. [113]

Dark sector mediators: It is also possible to directly probe the mediator particle of the dark sector in accelerator- and reactor-based neutrino experiments. There are a number of well motivated possibilities for the mediator particle and interactions, including dark photons (vector portal), dark scalars (Higgs portal), heavy neutral leptons (neutrino portal), pseudo-scalars (axion portal), new gauge bosons ($B - L$, $L_\mu - L_\tau$). One strategy applicable to neutrino detectors is to search for the visible decays of the mediator particle to SM final states [71,411,422]. This complements other probes such as rare meson decays, electron beam fixed target experiments, B-factories, and astrophysical sources such as e.g., SN1987A (for a broad overview, see Ref. [423]). In a related direction, new strategies and dedicated experiments to search for long lived particles at CERN can also probe dark sector mediators [189,370].

5.3.3 Boosted Dark Matter

Elastic BDM: As discussed in Sec. 2, a component of dark matter could be boosted in the present universe. In the original boosted dark matter(BDM) model with two components [49,54], for example, the lighter component χ_1 produced by pair-annihilation of the heavier component χ_0 acquires a large Lorentz boost factor given by the mass ratio of the two components. Semi-annihilating dark matter (charged under e.g., Z_3 symmetry) can be decently boosted if the other annihilation product is light enough [55]. If such BDM has a sizable coupling to Standard Model particles, it may leave relativistic scattering signatures at terrestrial detectors. The simplest possibility is its elastic scattering-off either electron or nucleon, i.e., $\chi_1 + e^-/N \rightarrow \chi_1 + e^-/N$. Since the expected flux of BDM from annihilation is generally small (suppressed by n_{DM}^2) [49], large-volume detectors are desirable. Prospective signal sensitivities are investigated in Super-K/Hyper-K [49,50,52,63,64], DUNE [63,64], IceCube/PINGU [49,52], and LUX [65]. Surface-based detectors such as ProtoDUNE and SBN detectors may have sensitivity, restricting to the upward-going signals [68].

The signature of elastic BDM bears background from atmospheric-neutrino-induced events. Such neutrino background can be reduced by using directional information as BDM typically come from DM concentrated region such as the galactic center and the Sun. Relativistic nature of incident χ_1 renders typical recoil target moving in the forward direction so that the associated signal source can be traced back in track-based detectors. Therefore, an angle cut allows to focus on signal-rich regions hence improve signal sensitivities. Example studies include Sun-originating BDM [51, 52, 64] via the annihilation of solar-captured heavier dark matter and dwarf-galaxy-originating BDM [63]. Further background rejection for elastic scattering may be achieved by dedicated analysis on event kinematics and using the fact that unlike neutrinos, BDM events have no correlated charged current events [49].

Variations and extensions to the original BDM models may lead to specific signals that are easier to distinguish from neutrino background. Some examples are described as follows.

Inelastic BDM (iBDM): If the dark sector of interest contains additional, presumably unstable state, say χ_2 heavier than χ_1 and boosted χ_1 is allowed to up-scatter to χ_2 . By construction, χ_2 disintegrates back into χ_1 potentially along with additional visible particles, e.g., an e^-e^+ pair. Due to the existence of extra features, the associated signal may suffer from substantially less background contamination, and as a consequence, great signal sensitivities would be achieved. Associated signal searches have been proposed in various experiments such as Super-K/Hyper-K [53], DUNE [53], Xenon1T/LZ/DEAP3600 [66], and ProtoDUNE [67].

BDM with dark-strahlung: In the scenarios in which dark photon mediates the interactions between the dark-sector and Standard Model particles, the best background rejection may be achieved by searching for the signal processes involving additional dark photon radiation (namely dark-strahlung) since similar higher-order processes are (nearly) unavailable in the neutrino scenario [69]. Indeed, the dark-strahlung process gets more efficient in increasing energy of BDM and cosmogenic BDM can be energetic with a decent signal flux. So, this channel can then provide complementarity to the leading-order process.

Expectations for BDM searches in existing neutrino experiments: As the existing BDM searches are conducted at completely different sizes of detectors by Super-K [104] and COSINE-100 [106], other large-volume next-generation detectors are potentially capable of testing models yielding BDM/iBDM signals. Available are electron and nucleon scattering channels. Depending on the energy transfer, the target nucleon may break apart, hence BDM-induced deep inelastic scattering processes come into play. BDM-induced DIS processes are included in the latest version of GENIE [203] (see also Sec. 5.1.2), and some useful guiding principles in searches for BDM/iBDM are elaborated in Ref. [424].

5.3.4 Millicharged Particles

The search for millicharged particles (MCP), or fractionally charged particles, is closely related to fundamental mysteries in nature: electric charge quantization and the nature of dark matter. The Standard model $U(1)_Y$ hypercharge in principle allows arbitrarily small real number charges, but based on current observations, we have only seen the charges built up based on discrete unit of $e/3$.¹ This fact has motivated the development of ideas like Dirac quantization, extra-dimension, as well as

¹Although the anomaly cancellation fixes the $U(1)_Y$ charge assignments in each family, vector-like particles could have any $U(1)_Y$ charge

grand unification theory (GUT) models [425–427].

In [428], it has been proposed that the kinetic mixing between a dark gauge boson (often referred to as dark photon), coupled to additional matter field, could give rise to MCP in the low energy. This paper would also demonstrate an important example of “dark sector”, meaning that dark matter is a part of a sector that contains the dark mediator that is also weakly interacting, and plays a role in determining the relic abundance or direct and indirect searches.

The search for MCP is especially challenging for the mass regime above MeV, where current lab, astrophysical, and cosmological bounds runs out [429, 430]. Traditionally, the probe of this mass range is done in electron facilities and collider experiments [429, 431, 432]. A dedicated experiment, milliQan, is proposed at LHC to search for MCP [433], and can reach unprecedented sensitivity. The possibility of MCP search in the neutrino facilities has long been proposed [434–436]. In the past, a dedicated search for fractionally charged particles was performed in Kamiokande-II [437] water Cherenkov experiment. Large-scale neutrino experiments are sensitive to probing millicharged particles as dark matter, which can be accelerated in astrophysical sources, with competitive limits placed by Super-Kamiokande [438].

In Ref. [92], searches for MCP in numerous past (LSND, MiniBooNE, and MiniBooNE DM run), current (MicroBooNE), and future (SBND, DUNE and SHiP) proton fixed target and neutrino experiment was performed. A more involved background analysis based on double-hit signatures is discussed in [439, 440] based on the ArgoNeuT experiment. Recently, a dedicated experiment, FerMINI [441], is proposed utilizing the neutrino facilities and a dedicated scintillator-based detector to search for MCP. The FerMINI facility would provide the leading sensitivity comparing to milliQan for low-mass MCP. It also does not rely on the LHC upgrade and can be implemented at both NuMI beam and LBNF beam.

6 Tasks and Timelines

With so many areas of opportunity for BSM searches at current and upcoming neutrino experiments, it is imperative that efforts in this direction be increased. The development of a rich program of searches will require renewed efforts on the part of the experimental, theoretical, and tools communities.

With the array of models and tools already developed, robust analyses can and have been developed at current experiments. The development of these searches in turn sheds light on the remaining challenges for theory and tools. A better understanding of the capabilities of relatively new detector technologies, such as LArTPCs will continue to greatly aid the effort to understand the best areas of opportunity for upcoming experiments.

On the theory side, there has been much progress in the last few years on the development of new, well-motivated models that would yield novel signals at neutrino experiments. Of particular importance here are models that yield signals missed by current analyses.

The development of new tools for BSM physics at neutrino facilities has seen great progress, with the development of BSM physics such as $n\bar{n}$ oscillation and boosted dark matter in GENIE, the imple-

mentation of fixed-target event generation in `MadGraph` via `MadDump`, and the implementation of dark sector generators in dedicated beam Monte Carlo codes like `g4numi` and `g4bnb`. In the coming year, further improvements to the BSM capabilities of `GENIE` should be made, with the implementation of missing nuclear processes, as well as new BSM models such as inelastic boosted dark matter. In addition, the beam simulation program should be made more flexible, allowing for new BSM models as they develop, and more robust, including better modeling of heavy flavor processes. Fast simulation tools have been invaluable to the BSM phenomenology community for searches at the LHC and the development of such tools for neutrino facilities is an absolute must to the community.

While the current tools are sufficient to generate several interesting signals and backgrounds for imminent experiments such as the SBN experiments at Fermilab and upcoming experiments such as DUNE and Hyper-Kamiokande, further developments would help to broaden the scope of BSM searches going forward. More concretely, the following goals should be achievable in the coming years in the time line specified in each item:

- Implementation of resonant scattering for gauge-mediated dark matter interactions in `GENIE` (**2020**).
- Implementation in `GENIE` of further models such inelastic boosted dark matter and scalar mediated models as required to for imminent experiments (**2021**).
- Development of a standard “pipeline” from BSM `ROOT` [442] event files to detector simulation frameworks such as `LArSoft` [443] (**2021**).
- Improvement of current tools for producing BSM states in the beam such as `MadDump`, as well as non-BSM-oriented, facility specific tools such as `g4bnb` and `g4numi`, to have increased flexibility and ease of use for BSM physics. The former is oriented toward production in pure beam dumps and neglects the effect of magnetic horns, while the latter currently requires hand insertion of BSM physics. A more complete framework will be developed (**2021**).
- Improvement of the underlying hadronic and nuclear models in all tools, based on information from the SBN experiments. These improvements should be incorporated in time for the subsequent generation of experiments, including DUNE and Hyper-Kamiokande (**2025**).
- A flexible suite of tools for BSM event generation should be in place by **2026**.

Finally, sensitivity plots should be made for different benchmark models, to compare the reach of different neutrino experiments and other proposed projects for the next 5-20 years. Examples are at beam dump facilities or high intensity fixed target experiments, as well as special detectors planned for the LHC, that cover similar physics channels. As was discussed in section 4, at CERN a two year effort of comparing proposals for experiments that will further explore the low-coupling low-mass region has delivered a number of sensitivity plots. These sensitivity plots exist for certain benchmark models that cover in part the physics discussed in this document, and will be a good starting point for more detailed comparisons.

7 Conclusions

Over the last twenty years, extraordinary discoveries in neutrino physics have led to the development of new, intense neutrino sources and new, very sensitive and very large neutrino detectors. The unique capabilities of these sources and detectors allow one to pursue a rich and exciting program that extends beyond the pursuit of the physics of neutrino oscillations.

Here, we provide a summary of current, near-future, and future neutrino sources and detectors, concentrating on the characteristics of the different detector technologies and the impact these might have in the pursuit of new phenomena. As far as new phenomena are concerned, we focus on (1) the possibility that neutrinos have new, unexpected properties, or participate in interactions beyond those dictated by the Standard Model, and (2) the possibility that dark matter produced either in nature or in the beam-dump-like set-ups that produce intense neutrino fluxes can be studied with large neutrino detectors.

The search for new phenomena in neutrino experiments, broadly defined, is a very active and vibrant area of current particle physics phenomenology. In this document, we summarized a variety of existing research efforts along several different directions that may lead to new discoveries at the next-generation of neutrino experiments. We also discussed the computational and physics tools available for understanding the search of new phenomena in neutrino experiments and tried to identify outstanding practical issues that need to be addressed in the near-future if the community is to optimize the physics reach of these types of experiments.

We further expect this document will help facilitate future workshops on additional probes and topics, such as nucleon decay or neutrinoless double beta decay, providing both a general forum and reference for discussion of BSM Physics searches at future neutrino experiments within the context of the upcoming decadal study of the APS Division of Particles and Fields.

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