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PRODUCTION IN DEEP INELASTIC SCATTERING MONTE CARLO SIMULATIONS FOR LEADING PROTON

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Abstract

charm and beauty, separately) are considered. erence frames and different types of events (minimum bias events and events with various possibilities, different structure functions, different Q^2 -values, different refverse momentum distributions. In order to get a comprehensive picture of the erators, i.e. LEPTO, HERWIG and PYTHIA, in terms of Feynman- x and trans-HERA energies are presented in the framework of different Monte Carlo event gen-Predictions for "leading" proton production in Deep Inelastic Scattering at

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1. **INTRODUCTION**

of the "leading effect", discovered and first studied at ISR [2-27]. essential tool to continue, in ep Deep Inelastic Scattering (DIS) at high energies, the study The Leading Proton Spectrometer (LPS) of the ZEUS detector [1] at HERA is an

Model. based on different physical ideas, being the Monte Carlo version of a particular Cluster scale. HERWIG contains the real Q^2 -dependence of DIS processes (as LEPTO), but it is It represents the effective hardness of the interaction and provides the structure function directly related to the four-momentum transfer of DIS in terms of kinematical variables. γ^* is the exchanged neutral vector-particle. In PYTHIA the so-called Q^2 -parameter is not ep frame (the real laboratory frame) and in the γ^*p frame (a more physical one), where LEPTO allows one to consider the DIS process as a function of Q^2 and separately in the Lund String Model, but they are differently structured at the stage of hard processes. hadronization is concerned. LEPTO and PYTHIA are both based on the ideas of the There is a significant difference between these event generators, in particular as far as LEPTO (version 6.1) [28], HERWIG (version 5.5) [29] and PYTHIA (version 5.6) [30]. of secondary protons as obtained with three different Monte Carlo event generators: In the present paper we consider the predicted x_F (Feynman-x) and p_T^2 -distributions

interesting experimental tests. real difference in the physical pictures described by the three models and therefore suggest view on high-energy neutral-current DIS phenomena. Any disagreement can reflect the The agreement among the various predictions can illustrate today's generally accepted A detailed comparison of the results from these three generators is presented herein.

2. FEYNMAN-x DISTRIBUTION OF SECONDARY PROTONS IN DIS

 $x_F > 0$ region, centred around $x_F \sim 0.5$ (as in the case of proton-proton collisions, in each proton). The LEPTO and PYTHIA distributions are overlapped and show a plateau in the most of the protons are produced at positive x_F -values (i.e. in the direction of the incident of the events have $Q^2 < 1$ GeV². A well-defined "leading effect" appears in fig. 1a, since GeV² and to a distribution of DIS Q^2 -values with $\langle Q^2 \rangle \sim 2$ GeV², where roughly 1/2 we use the default Q^2 -parameter setting: this corresponds to an effective Q^2 -scale ≥ 4 minimum scale value usable for most of structure function parametrizations. In PYTHIA 4 GeV². Notice that 4 GeV² is the minimum Q^2 -value allowed in LEPTO and also the following, unless differently specified, LEPTO and HERWIG events are generated at $Q^2 \geq$ HERWIG and PYTHIA in the ep centre-of-mass frame for all DIS events. Here and in the section, for each class of events considered. In fig. la we present the predictions of LEPTO, energy and longitudinal momentum, respectively) and σ_{ev} is the total inelastic crosssection¹⁾ $x_E/\sigma_{ev} \cdot d\sigma/dx_F$, where $x_E = 2E/\sqrt{s}$, $x_F = 2p_L/\sqrt{s}$ (*E* and p_L being the proton in ep collisions at HERA energy ($\sqrt{s} = 314$ GeV). We use the invariant inclusive cross-Let us first consider the Feynman-x (x_F) distribution of secondary protons produced

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¹⁾ For this cross-section, Feynman scaling should be approximately valid.

proton contribution clearly shows up. as in fig. 1a are presented in terms of $1/\sigma_{ev} \cdot d\sigma/dx_F$ in fig. 1d where the "non-leading" consider inclusive distributions, relative to all secondary protons. The same distributions figures, in particular in the forward region at $x_F > 0.5$. In the following we will always subtraction in the positive x_F -hemisphere, say at $x_F > 0.1$ (fig. 1c), and keeps its relevant relative to all antiprotons. The proton spectrum of fig. 1a is practically unaffected by this subtract from the inclusive x_F -spectrum relative to all protons the corresponding spectrum in the central region together with antiprotons, has been subtracted. To do so we actually once the contribution of "non—leading" protons, i.e. those protons which are pair—produced beauty production in pp collisions [35]. In fig. 1c we show the same spectrum as in fig. 1a of PYTHIA calculations when these are compared with low-energy data on charm and set EHLQ1. This set is rather "old", however it seems to be more adequate in the case between fig. 1a and fig. 1b is not significant and in the following we will always use MT (S-DIS) [34]: although the shapes of the distributions slightly change, the difference of structure functions, in fig. 1b we present the same distributions obtained with set 1a the EHLQ1 [33] set of parton structure functions was used. To check the influence decreasing at relatively high x_F , thus showing a less pronounced "leading effect". In fig. The HERWIG distribution has a narrower plateau, centred around $x_F \sim 0.35$ and rapidly the x_F -distributions of protons and antiprotons measured in μp DIS at lower energy [32]. x_F -hemisphere [31]). It should be pointed out that LEPTO predictions fit reasonably well

There is no significant difference between PYTHIA and LEPTO predictions. mentioned Q^2 -parameter in the following intervals: $4 \div 10$, $80 \div 120$ and $800 \div 1200$ GeV². of Q^2 (7, 100 and 1000 GeV²) actually correspond to the selection of the previously obtained with PYTHIA at different Q^2 are presented in fig. 2c. Here the nominal values In LEPTO this shift is more significant than in HERWIG. The same x_F -distributions forward production in the positive x_F -region decreases, i.e. the "leading effect" decreases. x_F as Q^2 increases: in fact the central production (at $x_F < 0.1$) increases, while the very values: 7, 100 and 1000 GeV^2 . In both cases, the distributions are shifted towards smaller and HERWIG. These are shown in figs. 2a and 2b, respectively, at three different Q^2 incident and scattered electron kinematical variables, can only be performed with LEPTO mentioned, the calculations at different Q^2 -values, with Q^2 directly connected with the Let us now consider the Q^2 -dependence of the proton x_F -distribution. As already

valence quarks which can recombine into a "leading" proton decreases. quark of the initial proton with higher probability, so the average number of spectator tiquark) produced via gluon cascade. When x_B increases, the γ^* interacts with a valence section at small x_B (Bjorken-x) comes from the γ^* interaction with a sea quark (or an-The reason for the shift is rather simple. The main contribution to the DIS cross

in table 1 for the three event generators considered herein. According to LEPTO, the be better visualized when considering the average x_F of secondary protons, as reported while it is clearly reduced in LEPTO. The overall effect of heavy flavour production can production at high positive x_F is only slightly affected by the presence of heavy flavours, with those in fig. 1a, it appears that in both HERWIG and PYTHIA the "leading" proton are presented in figs. 3a and 3b, for charm and beauty, respectively. When comparing them heavy flavours ($c\bar{c}$ and $b\bar{b}$) are produced. The results with LEPTO, HERWIG and PYTHIA It is also interesting to study the behaviour of secondary protons in DIS events when

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are closer and significantly differ from the PYTHIA ones. Notice that, contrary to fig. 1a, in figs. 3a and 3b the LEPTO and HERWIG predictions of the three event generators, thus showing a weak dependence on the heavy quark mass. $b\bar{b}$ events, $\langle x_F \rangle$ slightly decreases (by only a few %, within the statistical errors) in any events. This shift is only 11% in PYTHIA and 6% in HERWIG. When going from $c\bar{c}$ to proton $\langle x_F \rangle$ decreases by 35% in $c\bar{c}$ events with respect to all (i.e. minimum bias) DIS

structure functions seem to play a role in this respect, as discussed elsewhere [36]. appears when simulating pp interactions. Moreover, the energy level and the choice of respectively. The influence of heavy flavour production on the proton x_F -distribution also $Q^2 >$ = 27, 40 and 77 GeV² in all, $c\bar{c}$ and $b\bar{b}$ events containing at least one proton, the γ^* interacts with a heavier sea quark or antiquark. In fact with LEPTO one obtains respect to all DIS events, which is likely related to the higher values of Q^2 involved when indicates a change in the recombination mechanism of spectator valence quarks with production in the presence of heavy flavours, as observed in particular with LEPTO, pairs which hardly decay into protons (and antiprotons). A reduced "leading" proton produced in the central (or photon fragmentation) region²⁾. And these are mostly meson the photon-gluon fusion subprocess $\gamma^*g \to Q\overline{Q}$, $Q\overline{Q}$ ($c\overline{c}$ or $b\overline{b}$) pairs are predominantly In *ep* interactions, if the main contribution to heavy flavour production is given by

on the production of "leading" protons at high x_F . as in fig. 2a for all DIS events. Contrary to fig. 2a, in fig. 4 there is no clear effect of Q^2 distribution in events with charm, obtained with LEPTO at $Q^2 = 7$, 100 and 1000 GeV² different for these two classes of events. In fig. 4 we show for instance the proton x_F is also instructive to check whether the Q^2 -dependence of the proton x_F -distribution is If $\langle Q^2 \rangle$ increases when going from minimum bias to heavy-flavoured events, it

valence quarks cannot depend significantly on Q^2 . The same holds true for b (or \bar{b}) quarks. with the γ^* always interacting with a c (or \bar{c}) sea quark, the average number of spectator observed in fig. 2a: for charm production, when the γ^*q fusion subprocess is at work, This fact gives an additional argument to our previous explanation of the shift

spectra, shown in fig. 5b, are peaked exactly in the same x_F -region. leading" protons from centrally produced $p\bar{p}$ pairs. In fact the corresponding antiproton which slightly grows as Q^2 increases. This shoulder contains the already mentioned "nonsimilar. At $x_F < 0$, the spectra of fig. 5a show a small "shoulder" (around $x_F \sim -0.1$) ones in fig. 2a. Namely the "leading effect" is still evident and the Q^2 -dependence is the γ^*p frame. At $x_F > 0$ the curves of fig. 5a compare well with the corresponding different Q^2 -values in the ep centre-of-mass frame, are again presented in fig. 5a but in Finally, the proton spectra of fig. 2a, obtained with LEPTO for all DIS events at

to all secondary protons produced in the various conditions discussed so far. To summarize our results, in table 2 we give the average x_F -values vs. Q^2 relative

2) We will further discuss this point in section 3 (see fig. 7).

PROTONS 3. TRANSVERSE MOMENTUM DISTRIBUTION OF SECONDARY

table 3. p_T^2 -values of the distributions presented in fig. 6 for $x_F > 0$ and $x_F > 0.5$, are reported in almost the same since the bulk of secondary protons are produced at low x_F . The average 6c). Notice that the spectra corresponding to $0 < x_F < 1$ and $0 < x_F < 0.5$ are obviously decreases when going from PYTHIA (fig. Gb) to LEPTO (fig. Ga) and to HERWIG (fig. other two which are degenerate. In the whole $x_F > 0$ range, the slope of the p_T^2 -spectrum $x_F > 0.5$ are superimposed for comparison: the HERWIG curve is much less steep than the the PYTHIA, LEPTO and HERWIG p_T^2 -distributions relative to "leading" protons with HERWIG (fig. 6c) the p_T^2 -distributions at low and high x_F are really the same. In fig. 6d significantly large. In PYTHIA (fig. Gb) this difference still exists, but smaller, while in for protons with $x_F > 0.5$: the difference in slope of the corresponding distributions is are quite different. LEPTO (fig. 6a) predicts higher p_T for protons with $x_F < 0.5$ than frame, with EHLQ1 structure functions. The predictions from the three event generators $0.5 < x_F < 1$ and $0 < x_F < 1$ (i.e. the whole positive x_F -range). The results refer to the ep are shown in figs. 6a, 6b and 6c, respectively, for three x_F -intervals: $0 < x_F < 0.5$, final states, in terms of $1/\sigma_{ev} \cdot d\sigma/dp_T^2$. LEPTO, PYTHIA, and HERWIG predictions Let us consider the transverse momentum distribution of protons produced in DIS

should have higher transverse momenta. fusion of sea quarks from the upper and middle parts of the diagram, so these protons too. On the other hand, the production of secondary protons with small x_F is due to the of "leading" secondary hadrons (protons with high x_F) should be comparatively small comparatively small transverse momenta. As a result, the average transverse momentum probably recombine with sea quarks from the lower part of the diagram in fig. 7, which have exponentially with increasing rapidity gap between these quarks. So valence quarks most hadrons and the probability for two or three quarks to recombine into one hadron decreases are converted into $q_s\bar{q}_s$ pairs. On the last step, all produced quarks are converted into lower gluon is absorbed by a valence quark q_v of the proton. The emitted gluons also upper gluon emits other gluons and looses its virtuality and transverse momentum. The interaction in the top part of the diagram with the production of a sea $q_s\bar{q}_s$ pair. The An example of QCD diagram is presented in fig. 7. Here we can see the gamma-gluon x_B the γ^* mainly interacts with a sea quark (or antiquark) produced via gluon cascade. by LEPTO and PYTHIA generators, seems to be clear enough. We know that at small The nature of the difference in p_T for protons having large and small x_F , as predicted

 x_F (and therefore for all protons), $\langle p_T^2 \rangle$ increases very rapidly with Q^2 ; for "leading" mass frame. LEPTO and HERWIG results are quite similar: for protons produced at low 2. Unless differently specified, the data refer to all DIS events and to the ep centre-ofand $0 < x_F < 1$. Three Q²-values are considered (7, 100 and 1000 GeV²), as in section illustrate the variation of $\langle p_T^2 \rangle$ vs. Q^2 for protons with $0 \langle x_F \rangle \langle 0.5, 0.5 \rangle \langle x_F \rangle \langle 1$ the average p_T^2 . Figures 8a and 8b, corresponding to LEPTO and HERWIG, respectively, generators turn out to be more or less Q^2 -independent, we will focus the discussion on LEPTO or HERWIG. Since the shapes of the p_T^2 -spectra obtained with these two event Let us now study how the proton p_T varies as a function of Q^2 , using first either

can be partly related with the particular definition of Q^2 in PYTHIA. obtained with PYTHIA are shown in fig. 8c. They differ from LEPTO predictions, which protons with higher x_F , $\langle p_T^2 \rangle$ does not practically depend on Q^2 . Analogous results

LEPTO in the $\gamma^* p$ frame are summarized in fig. 10. and it is absent, as in the ep frame, for protons with $x_F > 0.5$ (fig. 9b). The results from Q^2 for secondary protons with $x_F < 0.5$ (fig. 9a) is much weaker than in the ep frame in the central and forward regions, separately. In the γ^*p frame, the growth of $\langle p_T^2 \rangle$ with predictions of LEPTO for $\langle p_T^2 \rangle$ vs. Q^2 in both ep and γ^*p frames, for protons produced this effect is practically absent. To illustrate this point, in figs. 9a and 9b we present the in the ep frame for all secondaries produced at small x_F . For secondaries with large x_F , boost relative to the ep frame. This translates into an increase of transverse momentum As a consequence, the γ^*p frame (which is the physical one) acquires some transverse kinematical reasons. When Q^2 increases, the transverse momentum of γ^* also increases. The growth of $\langle p_T^2 \rangle$ for protons with $x_F < 0.5$ is mainly connected with pure

with Q^2 for protons at small x_F but not as strongly as in fig. Sa, relative to all DIS events. charm and beauty events generated with LEPTO. On the other hand, $\langle p_T^2 \rangle$ increases not depend on Q^2 for secondary protons with $x_F > 0.5$, as shown in figs. 11a and 11b for trend applies to DIS events containing heavy flavours. Namely the values of $\langle p_T^2 \rangle$ do Let us turn now to heavy flavour production. Qualitatively the same $\langle p_T^2 \rangle$ vs. Q^2

with heavy flavours should be smaller. proton. Therefore physical as well as kinematical Q^2 -effects for secondary protons in events coming from heavy baryon decays) are produced on the average closer to the "target" fragmentation and recombination effects are such that the bulk of secondary protons (not in fig. 7. Since c or b-mesons are much more abundantly produced than c or b-baryons, is $\gamma^*g \to Q\bar{Q}$, then the $Q\bar{Q}$ pair is produced in the upper part of the diagram shown In fact, assuming again that the main subprocess for heavy quark pair-production

section are reported all together in tables 3 and 4. Again, to summarize our results, the average p_T^2 -values vs. Q^2 discussed in this

4. CONCLUSION

Inelastic Scattering at HERA energies. LEPTO and HERWIG) to simulate the production of secondary protons in ep Deep We have considered three Monte Carlo event generators based on QCD (PYTHIA,

independently from the heavy quark mass. In addition, as observed with LEPTO, there effect" is also reduced, more in LEPTO than in either PYTHIA or HERWIG, almost only events containing heavy flavours (charm and beauty) are considered, the "leading LEPTO and PYTHIA it decreases as Q^2 increases, more rapidly than in HERWIG. When this "leading effect" is more pronounced in LEPTO and PYTHIA than in HERWIG. In forward region at $x_F > 0.5$. When all DIS events (i.e. minimum bias events) are considered, at $x_F > 0$ in the ep centre-of-mass reference frame, with a sizable fraction of protons in the All of them manifest the "leading proton effect", i.e. an abundant proton production

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is no clear Q^2 -dependence of the effect in this case.

HERWIG there is no clear dependence on the mass. protons and in particular for "leading" protons at high x_F (see table 3). In PYTHIA and in LEPTO the proton p_T increases with the mass of the produced heavy quark for all above p_T -increase with Q^2 is much smaller. Finally, compared to the minimum bias case, in HERWIG. The same features remain when heavy flavours are produced, although the turns out to be Q^2 -independent in LEPTO and slightly decreasing with increasing Q^2 the p_T considerably increases with Q^2 for all protons, but for protons with $x_F > 0.5$ it predict lower p_T (as one would expect, see section 3). In both LEPTO and HERWIG $x_F > 0.5$, HERWIG predicts the same p_T as for all protons, while LEPTO and PYTHIA p_T than LEPTO and PYTHIA for all protons with positive x_F . For "leading" protons with As far as the proton transverse momentum is concerned, HERWIG predicts higher

comparison with experimental data cannot but improve the existing Monte Carlo models. generators are likely related to differences existing at the hadronization level. A detailed All the differences observed herein among the results from the various QCD event

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Table 1

The average x_F -values of secondary protons produced in ep interactions at $\sqrt{s} = 314$ GeV calculated with LEPTO, PYTHIA and HERWIG using EHLQ1 [33] or MT(S-DIS) [34] structure functions, for all DIS events (i.e. minimum bias) and for events with charm and beauty. The data refer to the ep centre-of-mass frame.

Table 2

The average x_F -values of secondary protons produced in ep interactions at $\sqrt{s} = 314$ GeV calculated with LEPTO and HERWIG using EHLQ1 [33] structure functions, for different values of Q^2 . The data refer to all DIS events (i.e minimum bias) and to events with charm and beauty production. Both the ep and $\gamma^* p$ centre-of-mass frames are considered, as specified.

Table 3

The average p_T^2 -values of secondary protons produced in ep interactions at $\sqrt{s} = 314$ GeV calculated with LEPTO, PYTHIA and HERWIG using EHLQ1 [33] structure functions, for all DIS events (i.e. minimum bias) and for events with charm and beauty. The data refer to the ep centre-of-mass frame.

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Table 4

The average p_T^2 -values of secondary protons produced in ep interactions at $\sqrt{s} = 314$ GeV calculated with LEPTO and HERWIG using EHQL1 [33] structure functions, for different values of Q^2 . The data refer to all DIS events (i.e minimum bias) and to events with charm and beauty, in the ep centre-of-mass frame.

9

Figure captions

- scaling (d) . "leading" protons with EHLQ1 structure functions (c); same as (a) without x_E structure functions (a); all protons with MT (S-DIS) structure functions (b); GeV, as obtained with LEPTO, PYTHIA and HERWIG: all protons with EHLQ1 Fig. 1: x_F -distributions for secondary protons produced in ep interactions at $\sqrt{s} = 314$
- tions. with LEPTO (a), HERWIG (b) and PYTHIA (c) using EHLQ1 structure funcduced in ep interactions at $\sqrt{s} = 314$ GeV and different Q^2 -values, as obtained Fig. 2: x_F -distributions in the ep centre-of-mass frame for all secondary protons pro-
- functions. (b), as obtained with LEPTO, PYTHIA and HERWIG using EHLQ1 structure duced in ep interactions at $\sqrt{s} = 314$ GeV in events with charm (a) and beauty Fig. 3: x_F -distributions in the ep centre-of-mass frame for all secondary protons pro-
- charm, as obtained with LEPTO using EHLQ1 structure functions. duced in ep interactions at $\sqrt{s} = 314$ GeV and different Q^2 -values in events with Fig. 4: x_F -distributions in the ep centre-of-mass frame for all secondary protons pro-
- Q^2 -values, as obtained with LEPTO using EHLQ1 structure functions. and antiprotons (b) produced in ep interactions at $\sqrt{s} = 314$ GeV and different Fig. 5: x_F -distributions in the γ^*p centre-of-mass frame for all secondary protons (a)
- distributions are also shown together for comparison (d) . tions; in the forward region (0.5 $\lt x_F \lt 1$), the LEPTO, PYTHIA and HERWIG with LEPTO (a), PYTHIA (b) and HERWIG (c) using EHLQ1 structure funcduced in ep interactions at $\sqrt{s} = 314$ GeV in different x_F-regions, as obtained Fig. 6: p_T^2 -distributions in the ep centre-of-mass frame for all secondary protons pro-
- Fig. 7 : An example of QCD diagram for ep DIS at small x_B .
- LEPTO (a), HERWIG (b) and PYTHIA (c) using EHLQ1 structure functions. in ep interactions at \sqrt{s} = 314 GeV in different x_F-regions, as obtained with Fig. $8:$ $\langle p_T^2 \rangle$ vs. Q^2 in the *ep* centre-of-mass frame for all secondary protons produced
- $0.5 < x_F < 1$ (b), as obtained with LEPTO using EHLQ1 structure functions. produced in ep interactions at $\sqrt{s} = 314$ GeV with $0 < x_F < 0.5$ (a) and Fig. 9: $\langle p_T^2 \rangle$ vs. Q^2 in the ep and $\gamma^* p$ centre-of-mass frames for all secondary protons
- LEPTO using EHLQ1 structure functions. in ep interactions at $\sqrt{s} = 314$ GeV in different x_F -regions, as obtained with Fig. 10 : $\langle p_T^2 \rangle$ vs. Q^2 in the γ^*p centre-of-mass frame for all secondary protons produced
- in ep interactions at $\sqrt{s} = 314$ GeV in different x_F -regions when charm (a) and Fig. 11 : $\langle p_T^2 \rangle$ vs. Q^2 in the ep centre-of-mass frame for all secondary protons produced

10

beauty (b) are also produced, as obtained with LEPTO using EHLQ1 structure functions.

 $\sim 10^{-1}$

 $\sim 10^7$

 \mathcal{A}

 $\frac{1}{2} \sigma^2$

 $\sim 10^7$

 \hat{p} , \hat{p} , \hat{p}

 \mathcal{L}_{max}

 $\ddot{}$

 11

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Fig.1a

 ~ 100

Fig.1b

 $\frac{1}{2}$

Fig.1c

Fig.1d

 $Fig. 2_q$

Fig.2b

Fig.2c

Fig.3a

Fig.3b

Fig.4

Fig.5a

Fig.5b

Fig. 6a

Fig. 6b

Fig.6c

Fig. 6d

Fig. 8a

Fig. 8b

Fig. 8c

Fig. 9a

Fig. 9b

Fig. 10

Fig. 11a

Fig. 11b