# Influence of 3D Effects on Field Quality in the Straight Part of Accelerator Magnets for the High Luminosity Large Hadron Collider

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Abstract— A dedicated D1 beam separation dipole is currently being developed at KEK for the Large Hadron Collider Luminosity upgrade (HL-LHC). Four 150 mm aperture, 5.6 T magnetic field and 6.7 m long Nb-Ti magnets will replace resistive D1 dipoles. The development includes fabrication and testing of 2.2 m model magnets. The dipole has a single layer coil and thin spacers between coil and iron, giving a non-negligible impact of saturation on field quality at nominal field. The magnetic design of the straight section coil cross section is based on 2D optimization and a separate optimization concerns the coil ends. However, magnetic measurements of the short model showed a large difference (tens of units) between the sextupole harmonic in the straight part and the 2D calculation. This difference is correctly modelled only by a 3D analysis: 3D calculations show that the magnetic field quality in the straight part is influenced by the coil ends, even for the 6.7 m long magnets. The effect is even more remarkable in the short model. We investigate similar 3D effects for other magnets, namely the 11 T dipole for HL-LHC. We also consider the case of the 4.5 T recombination magnets for HL-LHC (D2), where the larger space between coil and iron makes this effect less important, but still visible. We conclude the paper by outlining the different classes of accelerator magnets where this coupling between 3D effects and iron saturation can be relevant.

*Index Terms*— Superconducting magnets, accelerator magnets, magnetic analysis.

# I. INTRODUCTION

IN PARTICLE ACCELERATORS such as the Large Hadron Collider (LHC) the particle beam is guided in its circular orbit by the magnetic field generated by dipole magnets. Requirements on the magnetic field are extremely high as field errors can be detrimental for the beam dynamics. As a first approach the magnetic design of superconducting accelerator magnets is usually based on numerical optimization by 2D modelling of the cross section in the transverse plane [1]. Once the cross section is designed, the coil ends are optimized separately with the objective to minimize the total field errors

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integrated along the magnet length. The main accelerator magnets in the LHC typically have a length of the order of meters, and clear aperture radius of less than 0.1 m. Until now, these magnets were typically considered long enough for a 2D model to be a valid description of the field in the straight part. However, recent magnetic measurements on the 2.2 m D1 separation dipole indicate that field contribution from the extremities reach into the center and strongly modify the field calculated with the 2D model [2]. In this paper we show that for some classes of dipoles the magnetic field quality in the straight part is significantly affected by the coil ends and a 3D analysis is required.

We focus on 3D modelling of four superconducting dipole magnets currently developed for the High Luminosity upgrade of the LHC (HL-LHC): the D1, D2 and the 11 T dipole in single and twin aperture [3]. The main parameters of the magnets are summarized in Table I along with cross sections in Fig. 1. The dipoles are rather different in terms of field, aperture and layout, which makes them interesting to compare to each other in this study.

Resistive D1 separation dipoles are being replaced by superconducting 5.6 T, single layer Nb-Ti magnets with 150 mm aperture [4]. The design study and development of the 2.2 m short model is currently ongoing in collaboration between CERN and KEK. It is wound from spare Nb-Ti cable of the outer layer conductor of the LHC main dipoles, in a single layer in order to maximize the cooling and allow for a larger yoke, which reduces fringe fields. In Sec. II two independent numerical models are presented to explain the origin of the tens of additional sextupole units seen on the magnetic measurements of the D1 short model magnet. The 3D model predicts that even the full length 6.7 m D1 magnet is affected by the coil end effects, but less severely.

TABLE I. MAIN PARAMETERS OF THE DIPOLES CONSIDERED IN THIS WORK.

	11T 1in1	11T 2in1	D1	D2
Bore field at I <sub>nom</sub> (T)	11.2	11.2	5.6	4.5
Nr. of apertures	1	2	1	2
Beam separation (mm)		194		188
Aperture radius (mm)	30	30	75	53
Coil width (mm)	31	31	15	15
Distance coil to yoke (mm)	31	31	21	36
Yoke inner radius (mm)	92		111	
Yoke outer radius (mm)	255	275	275	307
Short model magnetic length (m)	) 1.7	1.7	1.7	1.4
Full magnet magnetic length (m)	5.3	5.3	6.3	7.8

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Fig. 1. Cross section layouts of the (a) D1 separation dipole, (b) D2 recombination dipole, and the 11 T dipole in single (c) and double (d) aperture.

These findings are compared in Sec. III to the 11 T dipole in its single and double aperture. The 5.6 m long 11 T Nb<sub>3</sub>Sn magnets will replace some of the 8.3 T 15 m long Nb-Ti LHC main dipoles to clear longitudinal space for additional collimators. The 2 m short models are tested in single and double aperture configuration.

The final magnet studied is the double aperture, Nb-Ti, 4.5 T D2 recombination dipole [5]. Its fields are directed in the same direction in both apertures, as opposed to the 11 T dipole. In Sec. IV the 3D effects of the two types of field configurations are compared.

In Sec. V the impact of critical design parameters on 3D effects is explored, with the aim to identify layouts for which coil end effects are minimized and to learn why certain dipole magnets are more sensitive to these 3D effects.

## II. THE D1 SEPARATION DIPOLE

#### A. Modelling of the short model D1 magnet

Normal  $(b_n)$  and skew  $(a_n)$  components of the field harmonic expansion are defined as

$$B(x, y) = \sum_{n=1}^{\infty} \left( B_n + iA_n \left( \frac{x + iy}{R_{ref}} \right)^{n-1} = 10^{-4} B_1 \sum_{n=1}^{\infty} \left( b_n + ia_n \left( \frac{x + iy}{R_{ref}} \right)^{n-1} \right)^{n-1},$$
(1)

where  $B_1$  is the main field and  $R_{ref}$  is the reference radius typically defined as 2/3 of the aperture radius. Integrated multipoles over the longitudinal direction *z* are expressed in

TABLE II. MAGNETIC FIELD COMPARISON OF THE D1 SHORT MODEL CALCULATED IN ROXIE 2D and 3D at nominal current (12 kA) at

R <sub>REF</sub> =50 MM, INCLUDING THE KEK IRON PIT.							
ROXIE at Inom	2D		3D		Diff 2D→ 3D		
units at R <sub>ref</sub> =50 mm	<b>b</b> <sub>3</sub>	$b_5$	<b>b</b> <sub>3</sub>	$b_5$	$\Delta b_3$	$\Delta b_5$	
Coil only	-32.2	8.6	-32.4	8.4	-0.3	-0.2	
Simplified yoke	1.8	-2.4	28.1	-0.1	26.4	2.3	
Full yoke geometry	-1.3	-2.0					



Fig. 2. Comparison of 2D and 3D calculations of the D1 dipole. Different saturation behavior is found on the transfer function (top) and the sextupole component (bottom).

units (10<sup>-4</sup>) of the main integrated dipole component:

$$\overline{b}_n = \frac{\int_{-\infty}^{\infty} B_n(I, z) dz}{B_1^{ss}(I) l_{mag}(I)},$$
(2)

where  $B_I^{SS}$  is the main field in the straight section and  $l_{mag}$  is the magnetic length and I is the current:

$$l_{mag}(I) = \frac{1}{B_1^{ss}(I)} \int_{-\infty}^{+\infty} B_1(I, z) dz.$$
 (3)

The different saturation behavior between 2D and 3D was already noticed in the design phase of the full length 6.7 m magnet, where  $b_3$  in the center exceeds the 2D value by around one unit and 0.02 T for the main field at the reference radius R<sub>ref</sub>=50 mm [6]. In the short model the effect of the ends is far more significant: around 30 additional units are predicted in the center of the magnet. If the iron yoke is excluded in the model, the 3D effect is negligible and  $b_3$  is constant along the longitudinal axis in the straight section. However, with the iron yoke there is a strong, long-range disturbance from the magnet ends, and even though b<sub>3</sub> decreases towards the magnet center, it is around 30 units higher than the 2D result (Fig. 2). Magnetic measurements are closer to the 3D than 2D predictions. Lower iron saturation is clearly seen on the transfer function (Fig. 1), where additional 180 units, or 0.08 T, are found at nominal current for the 3D model. The magnetic field calculations done with ROXIE [7] are summarized in Table I. The KEK iron pit, different from the LHC cryostat, is also included in the model. A simplified iron geometry is used for the calculations, to ease convergence

TABLE III. THE D1 SHORT MODEL MAGNETIC FIELD MODELLED IN OPERA AND
ROXIE AT NOMINAL CURRENT (12 KA) AT $R_{REF}$ =50 mm. The rectangular
NOTCH ON THE YOKE INNER DIAMETER AND IRON PIT ARE EXCLUDED.

	2D		3D	Diff. 2D $\rightarrow$ 3D		
	$B_1(T)$	b3 (units)	B <sub>1</sub> (T)	b <sub>3</sub> (units)	$\Delta B_1$	$\Delta$ b <sub>3</sub>
OPERA	5.556	-5.9	5.683	24.5	0.13	30.4
ROXIE	5.567	-6.8	5.675	21.3	0.11	28.1
diff. models	-0.01	0.8	0.01	3.2		

of the 3D model. This offsets  $b_3$  by 3 units according to the 2D calculations (Table II).

To benchmark the results from the D1 short model, a separate simulation is set up with the OPERA software from Cobham Vector Fields [8]. The OPERA-2D and -3D software are finite element models (FEM), whereas ROXIE uses a hybrid approach of boundary element model (BEM) and FEM. In the OPERA model the conductor geometry is imported from ROXIE and the same iron yoke nonlinear permeability is used. For a better convergence the rectangular notch at the inner diameter of the iron yoke is excluded, as well as the KEK iron pit (Fig. 3). A close agreement is found between the OPERA and ROXIE models of the 2.2 m simplified D1 model (Table III). The 1.5 unit offset of b<sub>3</sub> in the OPERA model is explained by the homogeneous current density in the conductors. In ROXIE, on the other hand, the current density is graded for a more realistic description of the inhomogeneous current density in keystoned cables. Applying also constant current density in the ROXIE 2D model results in agreement with the OPERA-2D model of b<sub>3</sub> within 0.4 units. Although the magnetic measurements are closer to the 3D than 2D model results, there are details at intermediate to high current that remain to be understood.



Fig. 3. The OPERA-3D model with simplified iron yoke geometry.

## B. Modelling of the full length D1 magnet

The short model magnet is housed in the KEK iron pit, as opposed to the full length magnet, which is inserted in a LHC cryostat. For comparison of the magnetic 3D models of short and full length magnet, only the iron yoke is included in the results presented in this section. Integral field harmonics at nominal current are shown in Table IV. The multipole content differs not only between the short model and full length magnet in the straight section, but also in the magnet ends, which indicates that the extremities in the short model not only affect the straight section, but also the multipole content

TABLE IV. INTEGRATED FIELD HARMONICS AT NOMINAL CURRENT. SAME YOKE GEOMETRY IS USED FOR SHORT AND FULL LENGTH MAGNET (CRYOSTATS EXCLUDED).

	Straight part Ends				Integral			
			C.S.		N.C.S.			
	short model	full length	short model	full length	short model	full length	short model	full length
L <sub>m</sub> (m)	0.1	4.7	0.8	0.8	0.8	0.8	1.7	6.3
$\mathbf{b}_3$	27.2	3.1	0.6	-20.0	-4.9	-26.6	-0.1	-3.7
$\mathbf{b}_5$	-0.2	-2.4	0.3	-1.9	-3.3	-5.5	-1.4	-2.7
$\mathbf{b}_7$	0.2	0.3	-1.5	-1.3	-3.3	-3.2	-2.2	-0.4
$\mathbf{a}_1$	0.3	0.1	-34.8	-30.4	0.2	0.0	-16.2	-4.0
a <sub>3</sub>	0.1	0.0	12.5	11.8	0.0	0.0	5.9	1.6

in the opposite magnet end.

Magnet ends compensate the enhanced multipole content in the straight section. The integrated  $b_3$  in Table IV can be compared to the 2D calculation for the same geometry  $b_3$ =-1.2 units (Fig. 2). For the short model, including the KEK iron pit, the integrated value is one unit *higher* than its 2D prediction. For the full length magnet the integrated  $b_3$  is 2.5 unit *lower* than for the 2D calculation.



Fig. 4. Comparison of axial distribution, or pseudo multipole, of  $b_3$  for short and full length D1 magnets. For increased length  $b_3$  approaches the 2D result, but additional 1.5 units of  $b_3$  are found in the magnet center for the full length magnet.

The simplifications of the iron geometry for the 3D calculations add around 3 units on  $b_3$  at nominal current. Hence the  $b_3$  values in Table IV should be compensated by -3 units. The KEK iron pit (short model) adds another 3 units in 2D and the LHC cryostat (full length magnet) adds around 8 units in 2D. With all the above accounted for the short model is expected to have integrated  $b_3$  near 0 and the full length magnet around +1 unit. As the integral field harmonics are within tolerances, re-optimization to correct for global effect of the coil ends is not necessary.

## III. THE 11 T DIPOLE

The 3D effects for 11 T are much less significant than for the D1 dipole, but still visible. The transfer function of the 3D calculation is compared to the measurements of single and double aperture models (Fig. 5). The measurements of the single aperture models MBHSP102 and MBHSP103 show different saturation behavior compared to the 2D calculation [9]. The discrepancy in the transfer function at nominal current is 70 units. The 3D calculation results in much closer agreement with magnetic measurements. For single aperture 2 additional units on b<sub>3</sub> are found in the 3D model compared to 2D. Owing to persistent current effects on the harmonics, the 3D effect has not been validated on magnetic measurements. On the other hand, for the double aperture magnet the iron saturation is well captured in the 2D model (Fig. 3). The double aperture 3D and 2D analysis show no difference in saturation, apart from a constant offset of 15-20 units at all current levels. When the same collared coils used in MBHSP102 and SP103 are assembled in double aperture configuration (MBHDP102), the measurements yield good agreement with the 2D model. This result indicates that the end effects of the 11 T influences field quality in the center of the magnet in single aperture, but not in double aperture.

The absence of coil end effects on the double aperture 11 T dipole could possibly be explained qualitatively by the

opposite directions of field in the two apertures, which would allow for magnetic connection between the two apertures. This hypothesis can be easily tested by reversing the magnetic field in one of the apertures, such that  $B_y$  is directed in the same way in both apertures. Indeed, in such a configuration a significant difference is found between the 2D and 3D models. The difference appears at lower current than in the single aperture case, namely at 3 kA and the 3D model adds  $\Delta b_3=3.5$ units at 11.85 kA. Such a layout is reminiscent of the D2 dipole, treated in the following section.



Fig. 5. Good agreement between the ROXIE model and measurements is found for the transfer function of the double aperture 11 T dipole. For single aperture, there is a significant discrepancy between the 2D and 3D model and measurements are understood only with the 3D model.

## IV. THE D2 RECOMBINATION DIPOLE

Comparison of its 2D and 3D models shows that 3D effects are visible on the short model but less important, namely  $\Delta b_3=1$  unit at nominal current (12 kA). This small correction appears to be a consequence of the large distance between the coil and the iron yoke, as when placing the iron closer to the coil, the 3D-effects grow more significant. For the full length D2 dipole, the coil end effects are negligible in the center.

#### V. PARAMETRIC STUDY FOR SINGLE APERTURE DIPOLES

As shown in Sec. II-IV the field enhancement in the magnet center between 2D and 3D models for single aperture dipoles may range from a few units of  $b_3$  (11 T) to tens of units (the D1 short model). The magnet length is a critical parameter, and hence there will be a length at which the magnetic field in the central part of the magnet is unperturbed by the coil ends. As the available longitudinal space in accelerators is tightly constrained, it is useful to explore the impact of design parameters in the transverse plane to understand why the 3D effect is much more significant for D1 than for 11 T.

Parametric studies show that the 3D effects are strongly dependent on (1) the distance between the coil and yoke, (2) the coil aperture and (3) the area of the yoke cross section. For

the first point, increased space between coil and iron yoke strongly reduces the 3D effects. In Fig. 6 the inner radius of the yoke is varied, and the outer radius is adjusted to keep the nominal yoke area for 11 T and D1. The parameter scan reveals that the small space between inner yoke radius and coil is the main reason behind the strong 3D effects in D1. Imagining a D1 dipole with the same ratio between coil aperture and yoke inner dimension as in 11 T, the inner yoke radius would be 230 mm, at which the  $\Delta b_3$  between 3D and 2D model would be one unit rather than tens of units.

Regarding the amount of iron yoke in the 2D cross section, there exists an area for which 3D effects are maximized (Fig. 6). In order to separate the strong effect of the distance between yoke and coil, the inner radius is kept constant and the area is adjusted by modifying only the outer yoke dimension. In the absence of iron yoke  $\Delta b_3=0$ , but adding a small iron yoke the difference increases, up until a critical yoke cross section area at which  $\Delta b_3$  is maximized. The nominal yoke area of D1 unfortunately maximizes  $\Delta b_3$ , which combined with the small spacer between coil and yoke explains its strong 3D-effects. The 11 T dipole on the other hand, has a yoke area beyond the maximum, which is more favorable for reduced 3D effects.



Fig. 6. The difference in  $b_3$  of 2D and 3D model in the magnet center for D1 and 11 T (single aperture), as a function of inner yoke radius, for the nominal yoke areas. Reference radius  $R_{ref}$  is 50 mm and 17 mm respectively. The difference in  $b_3$  also varies strongly with the yoke area, given an inner yoke radius. The enlarged square and triangle represents nominal configuration of D1 and 11 T respectively.

## VI. CONCLUSION

The field in the straight part of accelerator magnets requires special attention in certain magnet configurations due to perturbations by its coil ends. In this work the influence of coil end effects on field quality in the straight part of the D1, D2 and 11 T dipoles is studied. Non-negligible difference between 2D and 3D models are found on the single aperture short models for transfer function and sextupole component, in particular in the D1 dipole where tens of additional units were measured in the magnet center of the 2 m model. The effect is explained only by 3D modelling. For the single aperture 11 T dipole a weaker, but still visible effect is found. In double aperture 11 T the effect is negligible.

Single aperture dipoles are prone to coil end effects, but the effect decreases strongly with the distance between coil and yoke. Parametric studies show the feasibility of optimizing single aperture dipoles in order to minimize the influence of magnet ends on the magnetic field in the straight section.

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