

Lepton Flavour Universality tests using semitauonic decays at LHCb

Antonio Romero Vidal
on behalf of the LHCb collaboration

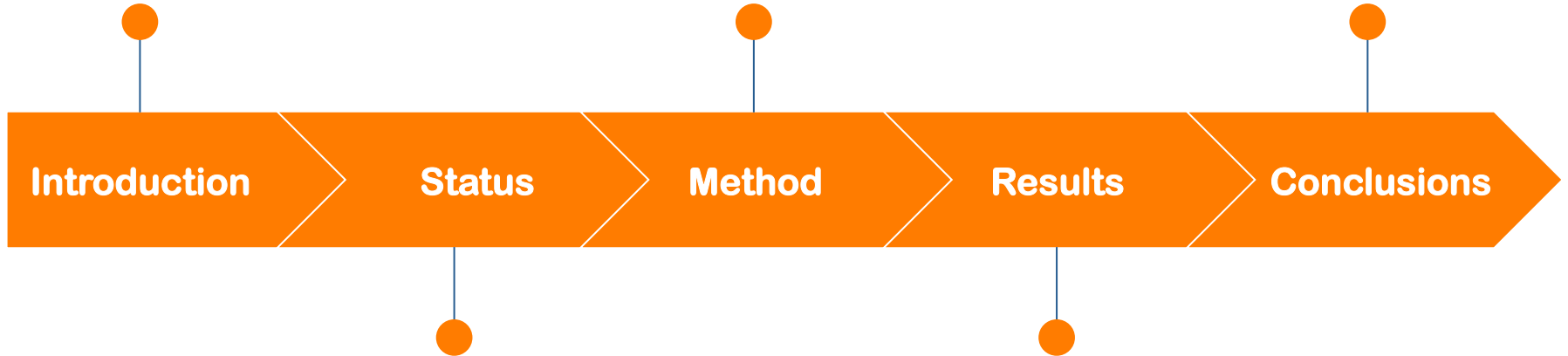
Universidade de Santiago de Compostela

CERN LHC seminar, 06/06/2017

Standard Model (CKM)
Lepton universality (LFU)
 $R(D^{(*)})$ and BSM physics

The LHCb experiment
Analysis method
Control samples

Prospects
Conclusions

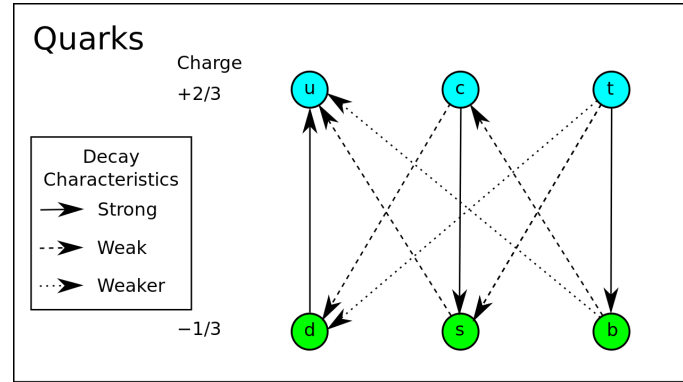
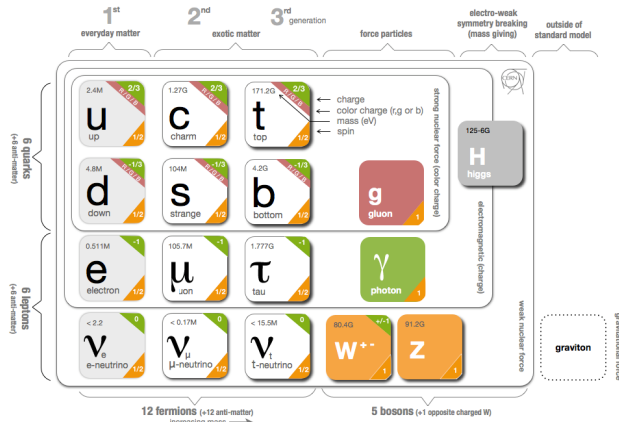


Previous $R(D^{(*)})$ measurements
World average

Fit results
 $R(D^{(*)})$ results
Cross-checks
Systematic uncertainties

Standard Model

CKM mechanism



- In the SM, quarks and leptons are divided in **3 families** (or generations).

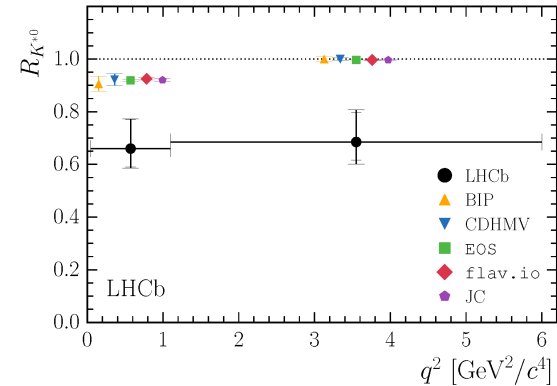
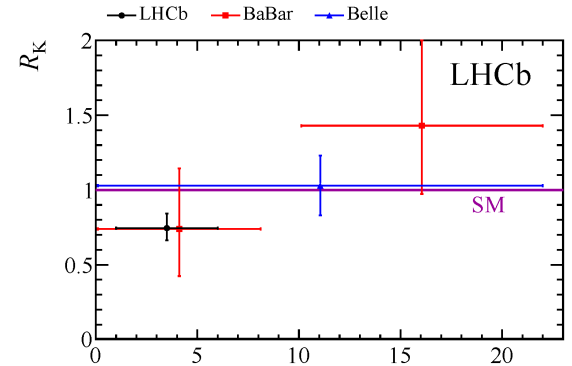
- Transitions between quarks (i.e **b**→**c**) of different flavour mediated by a W boson.
- Transitions between quarks of different families suppressed ($|V_{tb}| \sim 1$, $|V_{cb}| \sim 0.04$, $|V_{ub}| \sim 0.004$).

Lepton Flavour Universality

- In the SM, charged lepton flavours are identical copies of one another:
 - Amplitudes for processes involving e, μ, τ must be identical up to effects depending on lepton mass.
 - Lepton universality in the SM might be broken by mass-dependent couplings.**
- Observation of violations of lepton universality would be a clear sign for new physics.**
- Searches have been underway for violations in a number of different systems. For instance R_K and R_{K^*} :

$$R_{K^{(*)}} = \frac{BR(B \rightarrow K^{(*)} \mu \mu)}{BR(B \rightarrow K^{(*)} e e)}$$

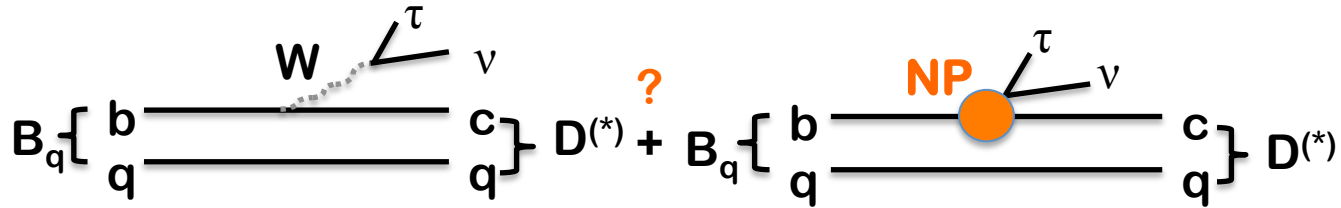
- A lot of interest in this area generated by $b \rightarrow s \ell \ell$ LHCb measurements. [\[PRL 113, 151601 \(2014\)\]](#) [\[arXiv:1705.05802\]](#)



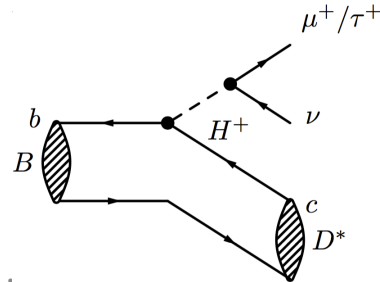
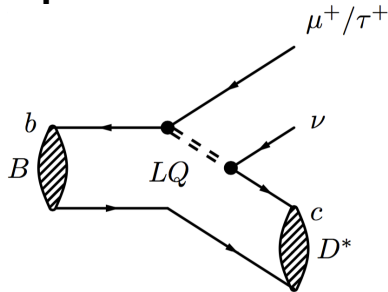
[S. Bifani LHC seminar, 18/04/2017]

The $B^0 \rightarrow D^{*-} \tau^+ \nu_\tau$ decay

- **Tree level transition** mediated by a W in the SM:

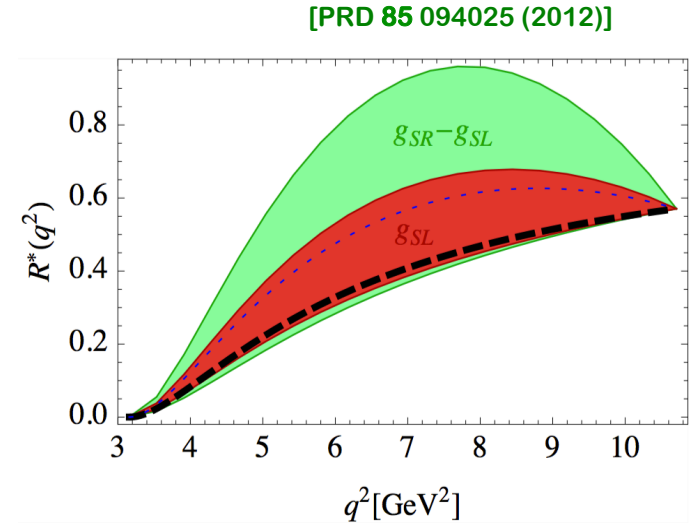


- New physics (NP) could couple only to the 3th generation (τ).
- Comparison between semitauonic (τ) and semimuonic (μ) decays sensitive to NP.
- If NP present \rightarrow **Modified BR and angular distributions.**



Predictions on $R(D^*)$

- What we want to measure:
 - $R(D^*) = \text{BR}(B^0 \rightarrow D^* \tau^+ \nu) / \text{BR}(B^0 \rightarrow D^* \mu^+ \nu)$
- Very clean SM prediction due to cancellation of $B \rightarrow D^*$ form-factor uncertainties.
 - $R_{\text{SM}}(D^*) = 0.252 \pm 0.003$
- Deviation from unity due to different μ/τ masses (available phase space).
- $R(D^*)$ enhanced/reduced in many NP scenarios (2HDM [Z.Phys. C67 (1995) 321-326] and leptoquarks [Z.Phys.C61:613-644,1994])

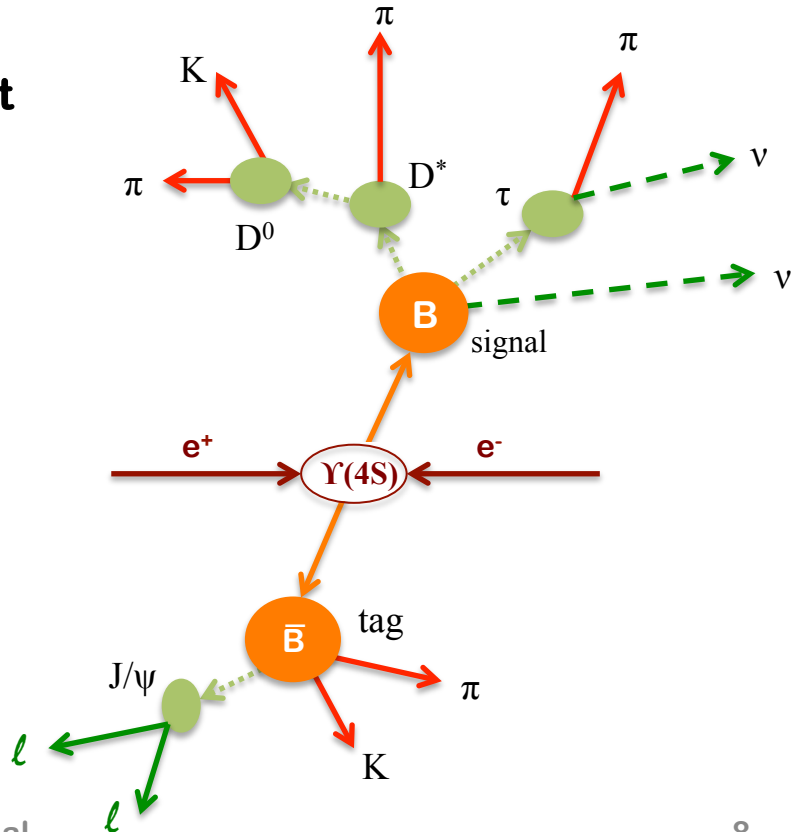


$R(D^*)$ in SM and 2
NP scenarios.

Experimental status

$R(D^{(*)})$ measurements at the B-factories

- e^+e^- collisions producing $\Upsilon(4S) \rightarrow B\bar{B}$.
- Using fully reconstructed B-tag and a constraint to the $\Upsilon(4S)$ mass, possible to measure the **momentum** of the B-signal.
- Then, the **missing mass** (neutrinos) can be measured with high precision.
- At B-factories, semitauonic B decays studied using:
 - **Leptonic:** $\tau \rightarrow \mu\nu\nu$ and $\tau \rightarrow e\nu\nu$. $R(D^{(*)})$ measured with respect to $[\text{BR}(B \rightarrow D^{(*)}\mu\nu) + \text{BR}(B \rightarrow D^{(*)}e\nu)]/2$.
 - **Hadronic:** $\tau \rightarrow \pi\nu$ and $\tau \rightarrow \rho\nu$.
 - **Hadronic and semileptonic B-tag.**



BaBar measurement

[Phys. Rev. D 88, 072012 (2013)]

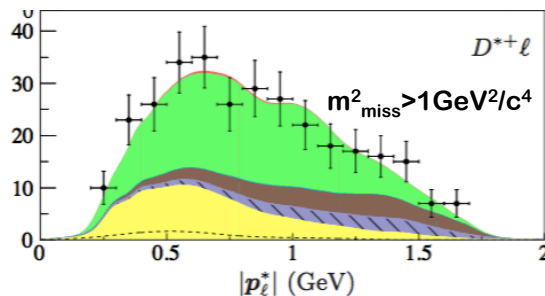
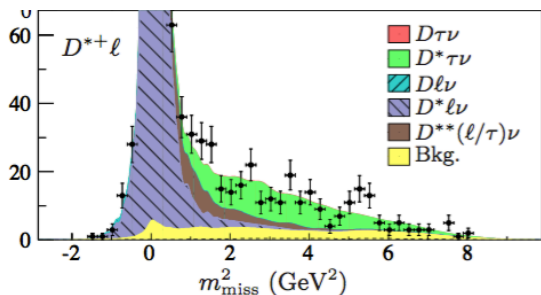
- Use of $\tau \rightarrow \mu \nu \nu$ and $\tau \rightarrow e \nu \nu$ to reconstruct the τ lepton.
- Simultaneous analysis $R(D^*)$ vs $R(D)$ using $B^0 \rightarrow D^{*-} \tau \nu$, $B^+ \rightarrow D^{*0} \tau \nu$, $B^0 \rightarrow D^+ \tau \nu$, $B^+ \rightarrow D^0 \tau \nu$.
- Unbinned maximum likelihood fit to m_{miss}^2 and $|p_\ell^*|$:
 - $R(D) = 0.440 \pm 0.058 \pm 0.042$ (2.0σ from SM).
 - $R(D^*) = 0.332 \pm 0.024 \pm 0.018$ (2.7σ from SM).
 - Combination at 3.4σ above SM.

$$m_{\text{miss}}^2 = (p_B - p_{D^*} - p_\ell)^2 = m_{3\nu}^2$$

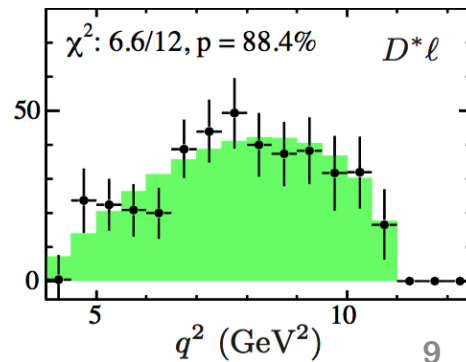
$|p_\ell^*|$: Lepton (e/μ) momentum in B rest frame.

$$q^2 = (p_B - p_{D^*})^2 = m_{W^*}^2$$

Fit projections on m_{miss}^2 and $|p_\ell^*|$:



Measured q^2 distributions ($m_{\text{miss}}^2 > 1.5 \text{ GeV}^2/c^4$) vs SM:



06/06/17

A. Romero Vidal

Belle measurements

- $\tau \rightarrow \mu \nu \nu$ and $\tau \rightarrow e \nu \nu$, hadronic B-tag [Phys. Rev. D 92, 072014

(2015)]:

- $R(D^*) = 0.293 \pm 0.038(\text{stat}) \pm 0.015(\text{syst})$
- $R(D) = 0.375 \pm 0.064(\text{stat}) \pm 0.026(\text{syst})$

- $\tau \rightarrow \mu \nu \nu$ and $\tau \rightarrow e \nu \nu$, semileptonic B-tag [Phys. Rev. D 94,

072007 (2016)]:

- $R(D^*) = 0.302 \pm 0.030(\text{stat}) \pm 0.011(\text{syst})$

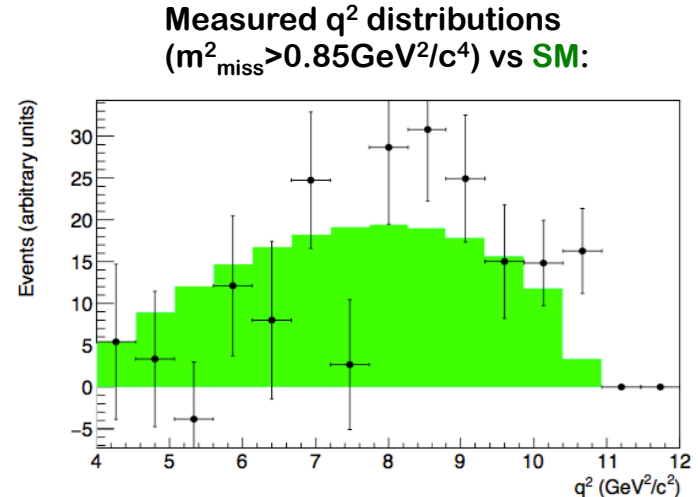
- $\tau \rightarrow \pi \nu$ and $\tau \rightarrow \rho \nu$, [Phys. Rev. Lett. 118, 211801 (2017)]:

- $R(D^*) = 0.270 \pm 0.035(\text{stat})^{+0.028}_{-0.025}(\text{syst})$
- $P_\tau(D^*) = -0.38 \pm 0.51(\text{stat})^{+0.21}_{-0.16}(\text{syst})$

- All $R(D^{(*)})$ measurements consistent but above SM.

06/06/17

A. Romero Vidal

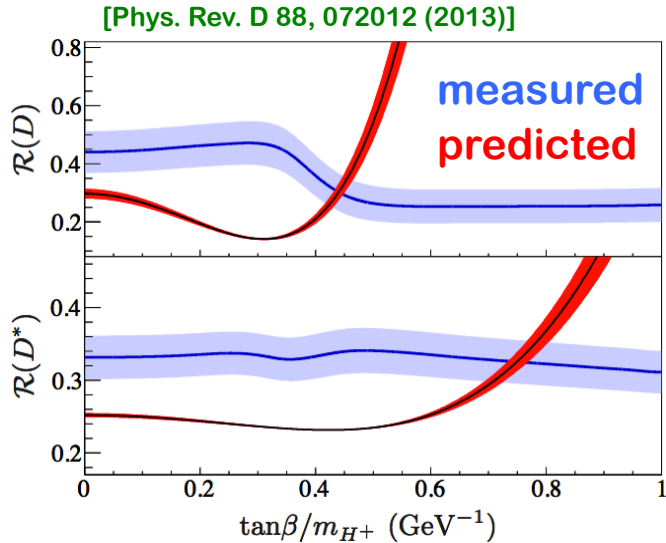


[Phys. Rev. D 92, 072014 (2015)]

10

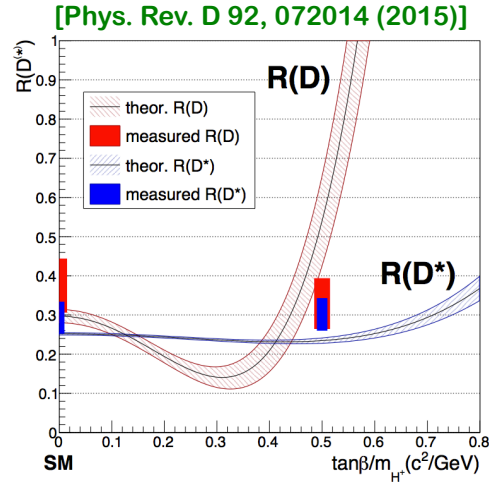
B-factories results: interpretation

BaBar:



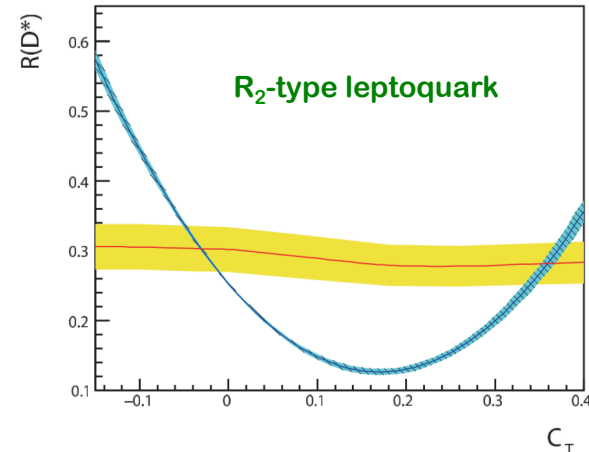
BaBar measurement disfavors Type-II 2HDM.

Belle:



Compatible with Type-II 2HDM in the region around $\tan\beta/m_{H^+} = 0.5$ c²/GeV

[Phys. Rev. D 94, 072007 (2016)]

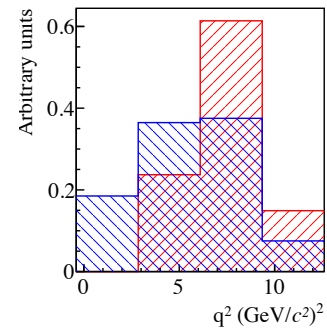
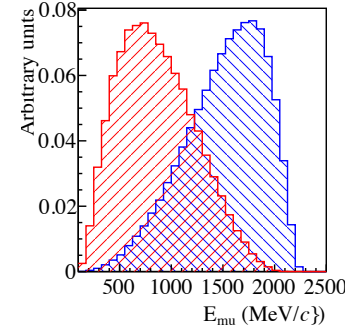
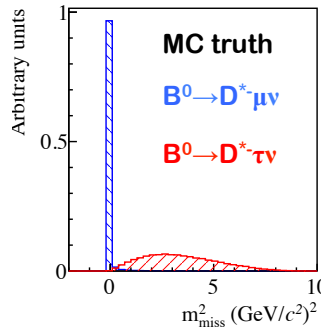


Studied 2 types of leptoquark models. Results allow additional contributions from scalar and vector operators.

LHCb muonic $R(D^*)$

[Phys. Rev. Lett. 115, 111803 (2015)]

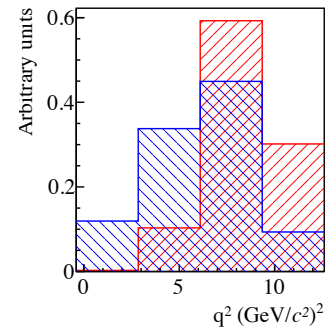
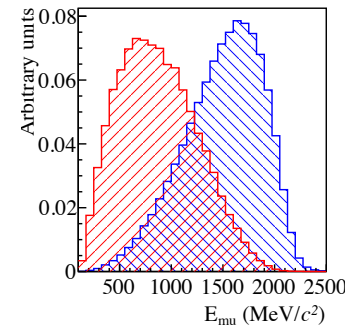
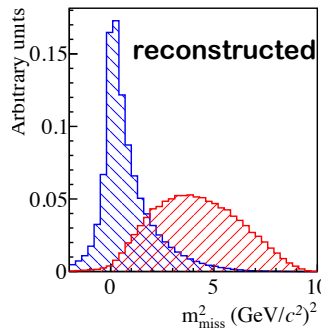
- First measurement of $R(D^*)$ in a hadron collider.
- τ reconstructed with $\tau \rightarrow \mu\nu\nu$.
- Difficult, due to missing kinematic constraints ($Y(4S)$).
- B boost along $z \gg$ boost of decay products in B rest frame.



- The B momentum approximated by:

$$(\gamma\beta_z)_B = (\gamma\beta)_{D^*\mu} \Rightarrow (p_z)_B = \frac{m_B}{m(D^*\mu)} (p_z)_{D^*\mu}$$

- **18% resolution on p_B** good enough to preserve signal and background discrimination in m_{miss}^2 , E_{μ}^* and q^2 .



[LHCb-PAPER-2015-025]

LHCb muonic $R(D^*)$

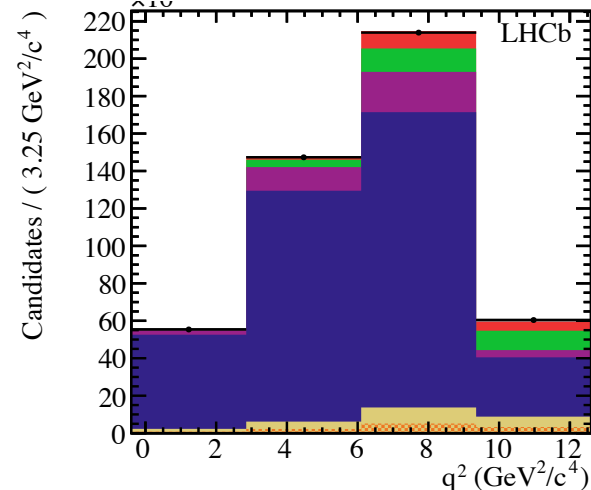
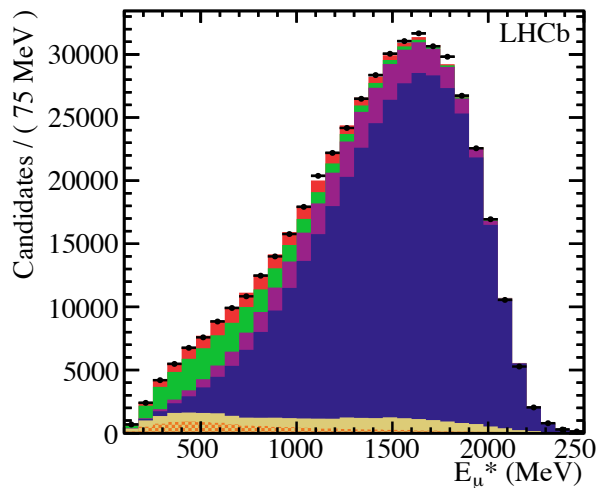
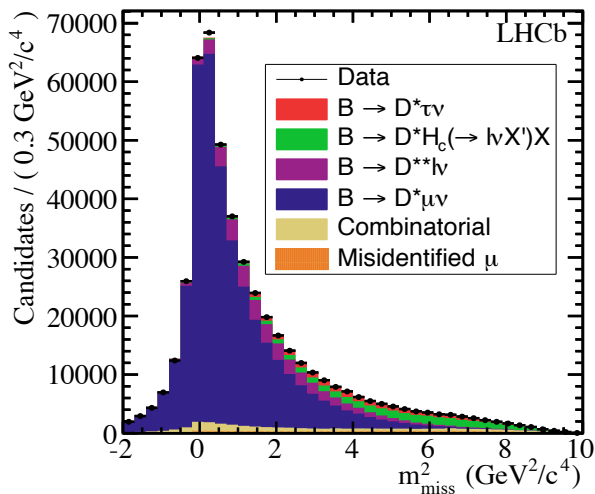
[Phys. Rev. Lett. 115, 111803 (2015)]

- $R(D^*)$: fit parameter obtained from a **3-dimensional** template fit to m_{miss}^2 , E_{μ}^* and q^2 :
 - $R(D^*) = 0.336 \pm 0.027 \pm 0.030$
- Result is 2.1σ above SM.

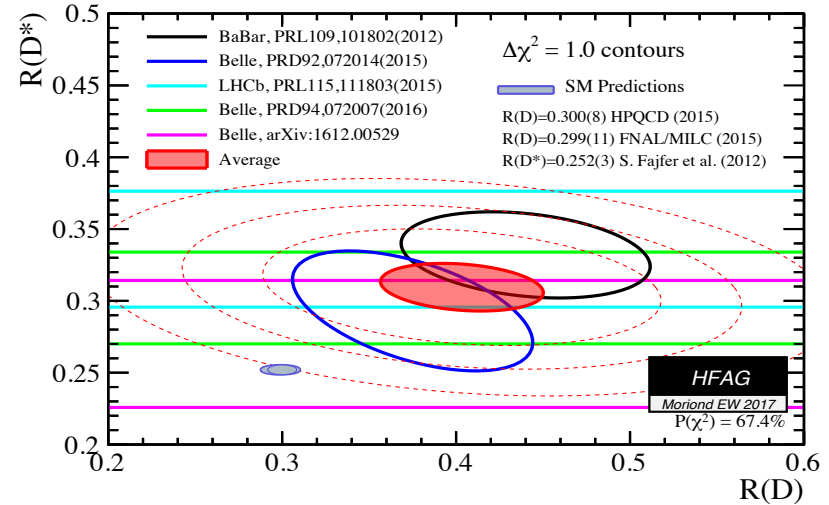
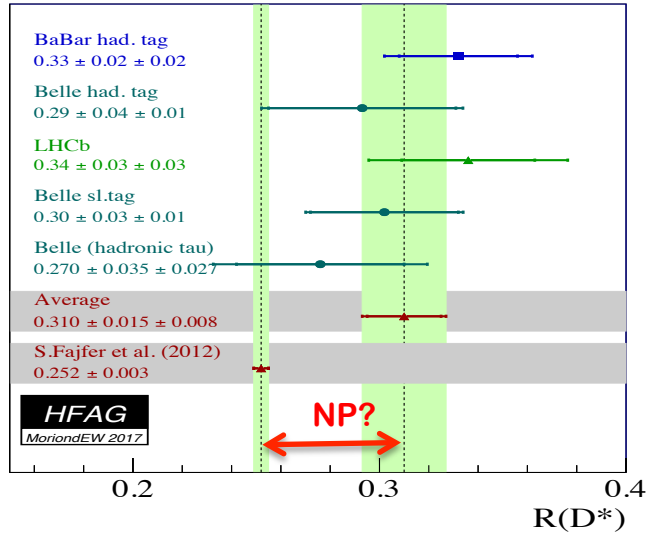
$$m_{\text{miss}}^2 = (\mathbf{p}_B - \mathbf{p}_{D^*} - \mathbf{p}_{\mu})^2 = m_{3\nu}^2$$

E_{μ}^* : muon energy in B rest frame.

$$q^2 = (\mathbf{p}_B - \mathbf{p}_{D^*})^2 = m_{W^*}^2$$



R(D^(*)) status



- R(D^{*}) in tension with SM at 3.4 σ level.
- R(D) and R(D^{*}) combination in tension with SM at the level of 3.9 σ .

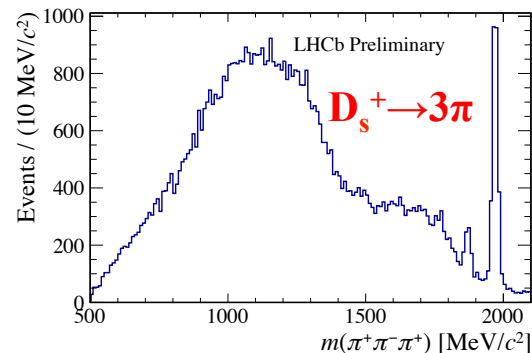
Measuring $R(D^*)$ using 3-prong $\tau^- \rightarrow \pi^- \pi^+ \pi^- (\pi^0) \nu$ decays

LHCb-PAPER-2017-017, in preparation

Features of this analysis

- τ lepton reconstructed using the $\tau \rightarrow \pi^- \pi^+ \pi^- (\pi^0) \nu_\tau$ decay mode.
- A semileptonic decay without charged leptons in final state (pions and kaons).
- **Zero background** from normal **semileptonic** decays ($B^0 \rightarrow D^{*-} \mu^+ \nu_\mu X$).
- In this analysis, it is the background ($B \rightarrow D^{*-} DX$) that leads to nice mass peaks and not the signal. This provides key handle to control the various backgrounds.
- **Only 1 neutrino** emitted at the τ vertex ($\tau^- \rightarrow \pi^- \pi^+ \pi^- (\pi^0) \nu_\tau$ vs $\tau^- \rightarrow \mu^- \nu_\mu \nu_\tau$). Fit variables can be reconstructed with reasonable precision.

τ decay mode	BR (%) [PDG-2017]
$\tau^- \rightarrow \mu^- \nu_\mu \nu_\tau$	17.39 ± 0.04
$\tau^- \rightarrow e^- \nu_e \nu_\tau$	17.82 ± 0.04
$\tau^- \rightarrow \pi^- \pi^+ \pi^- \nu_\tau$	9.31 ± 0.05
$\tau^- \rightarrow \pi^- \pi^+ \pi^- \pi^0 \nu_\tau$	4.62 ± 0.05
$\tau^- \rightarrow \pi^- \nu_\tau$	10.82 ± 0.05
$\tau^- \rightarrow \rho^- \nu_\tau$	25.49 ± 0.09



Method for measuring $R(D^*)$

- What we measure:

$$K_{had}(D^*) = \frac{BR(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)}{BR(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)} = \frac{N(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)}{N(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)} \times \frac{1}{BR(\tau^+ \rightarrow \pi^+ \pi^- \pi^+ (\pi^0) \bar{\nu}_\tau)} \times \frac{\varepsilon(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)}{\varepsilon(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)}$$

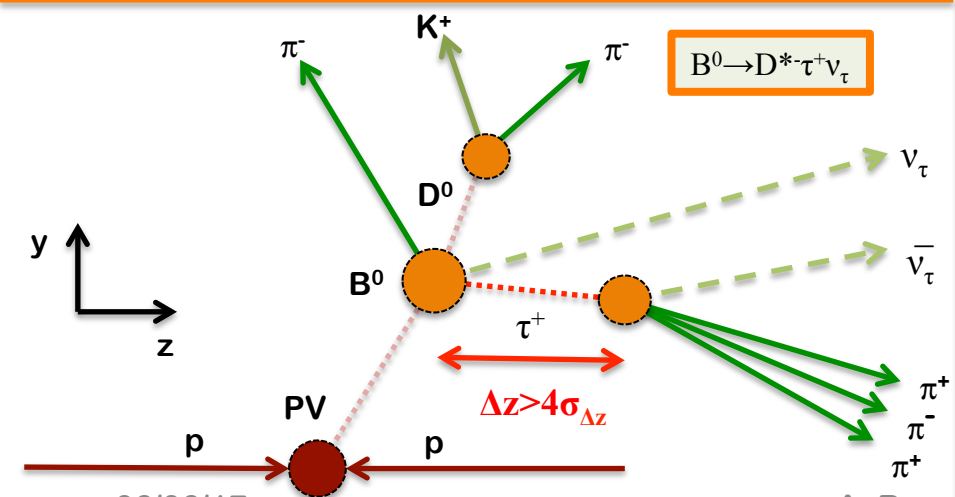
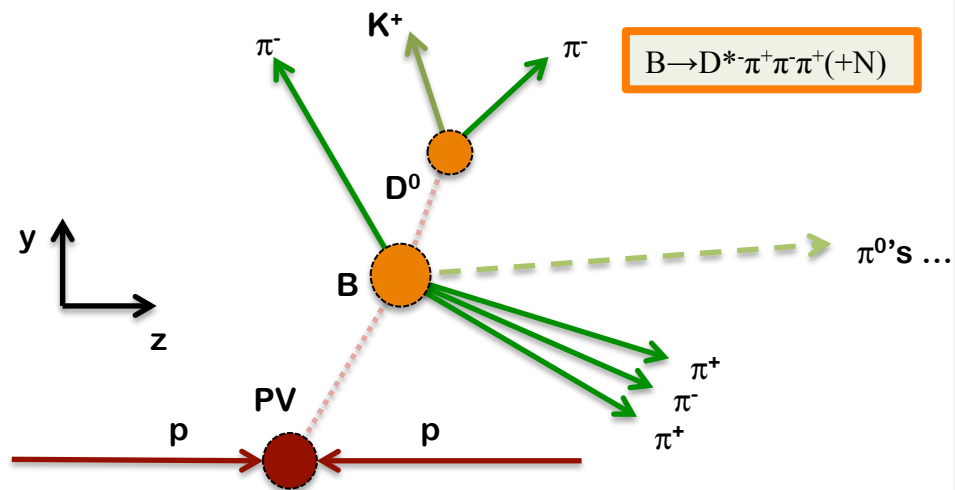
- Signal and normalization share **same visible final state** ($D^{*-} \pi^+ \pi^- \pi^+$).
- Most of the systematic uncertainties cancel in the ratio (PID, trigger ...).
- $R(D^*)$ obtained from:

$$R(D^*) = K_{had}(D^*) \times \frac{BR(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)}{BR(B^0 \rightarrow D^{*-} \mu^+ \nu_\mu)} \quad \begin{array}{l} \text{[~4\% precision]} \\ \text{[~2\% precision]} \end{array} \quad \text{[PDG 2016]}$$

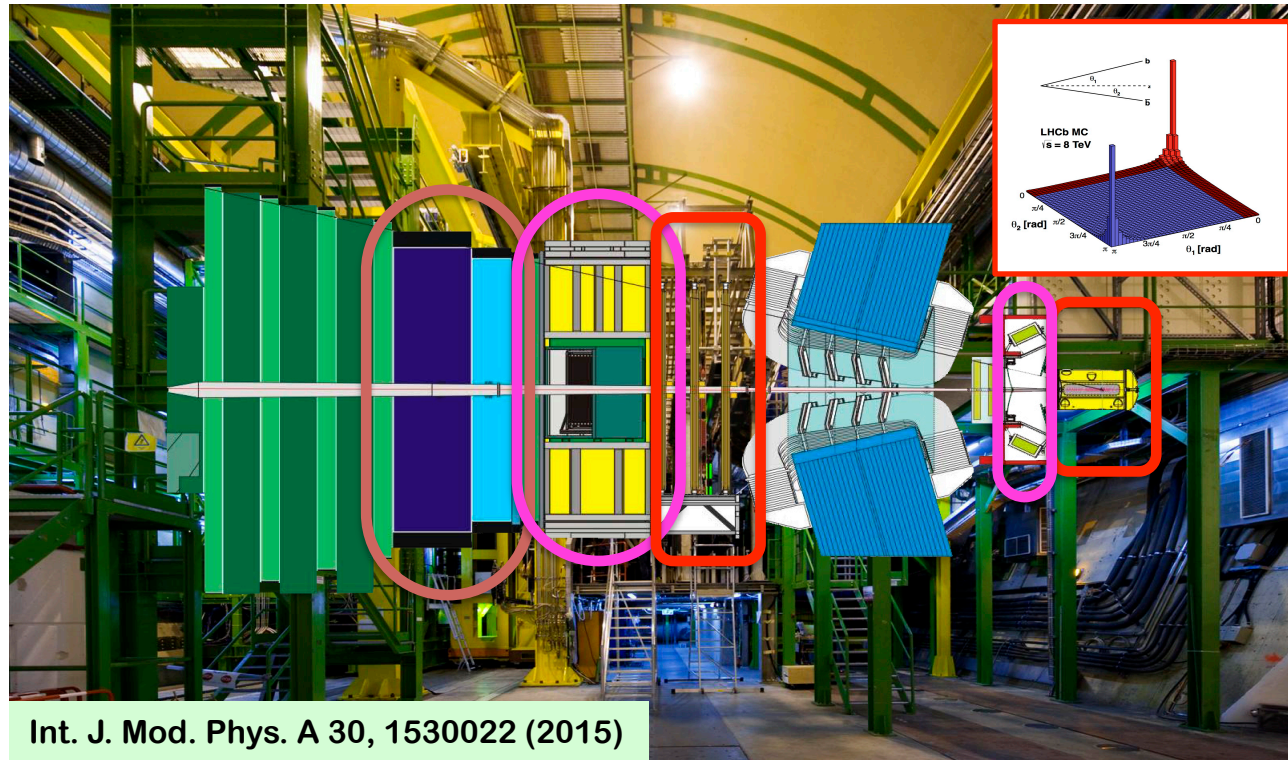
- $N(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)$ from an un-binned likelihood fit to $m(D^{*-} \pi^+ \pi^- \pi^+)$.
- $N(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)$ from a 3-dimensional template fit.

Displaced vertex

- The most abundant background is due to (“prompt”) $X_b \rightarrow D^* \pi^+ \pi^- \pi^+ + N$ (neutrals) where the 3 pions come from the X_b vertex (BR ≈ 100 times higher than signal).
- Suppressed by requiring minimum distance between X_b and τ vertices ($>4\sigma_{\Delta z}$).
- This background suppressed by 3 orders of magnitude. 35% efficient on signal.
- Possible due to the excellent LHCb vertex resolution.



The LHCb detector

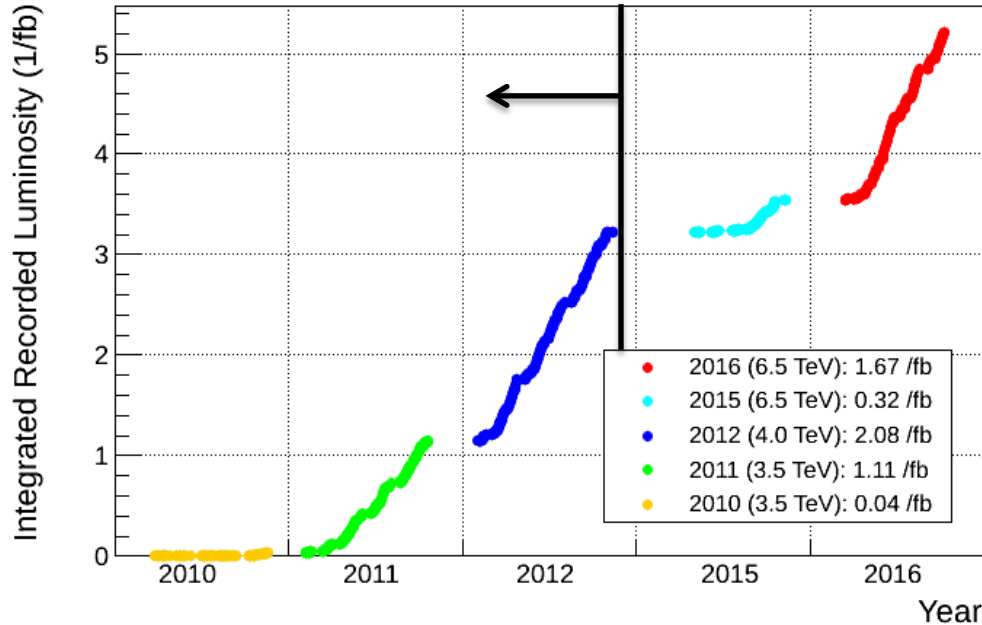


Int. J. Mod. Phys. A 30, 1530022 (2015)

- **Excellent vertex resolution:** $20\mu\text{m}$ resolution on impact parameter.
- **Excellent particle identification.**
- **Calorimeter systems:** in this analysis used to suppress events with missing neutral energy: π^0, K^0, γ .

Dataset

LHCb Cumulative Integrated Recorded Luminosity in pp, 2010-2016

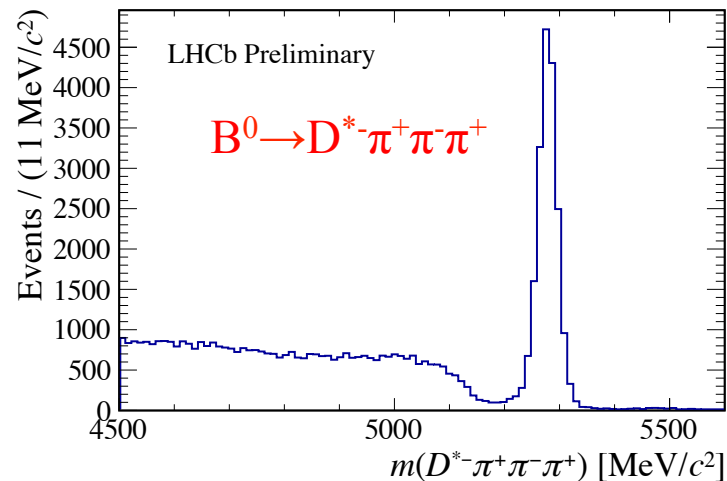


- **>90% data taking efficiency with >99% of collected data good for analysis.**
- **Luminosity collected:**
 - **1fb⁻¹ at 7 TeV**
 - **2fb⁻¹ at 8 TeV**

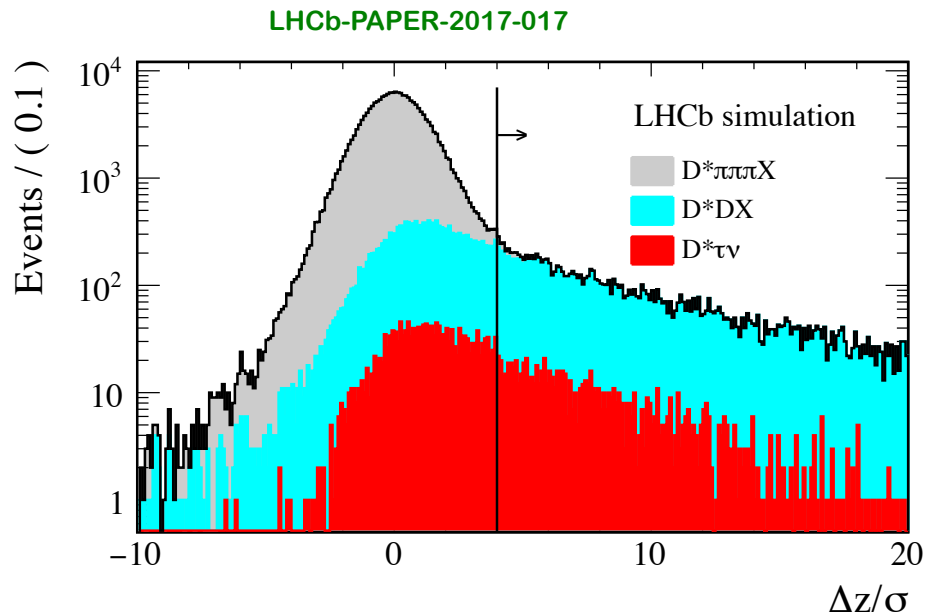
The normalization mode

- Normalization channel as similar as possible to the signal (same visible final state) → $B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+$.
- This cancels production yield and systematics linked to trigger, PID and selection.
- In PDG 2014, $\text{BR}(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)$ known with 11% precision.
- New BaBar measurement 4.3% precision. [Phys. Rev. D94 (2016) 091101]
- In this analysis ~ 17000 events (1% precision).

LHCb-PAPER-2017-017



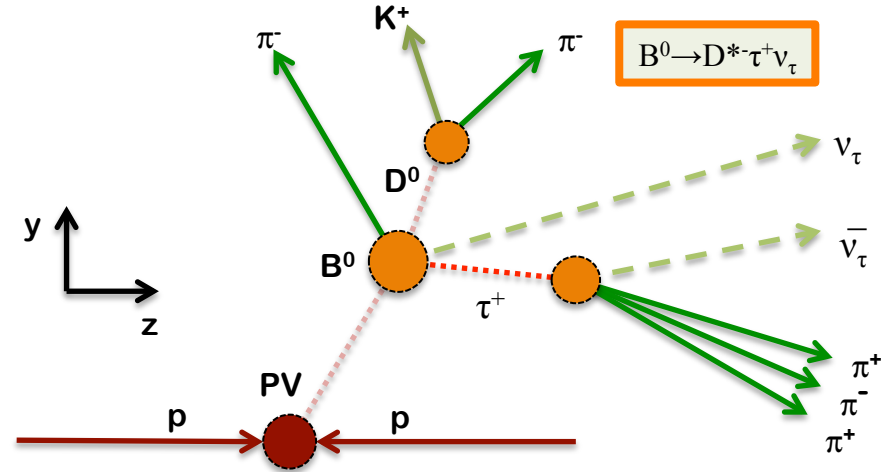
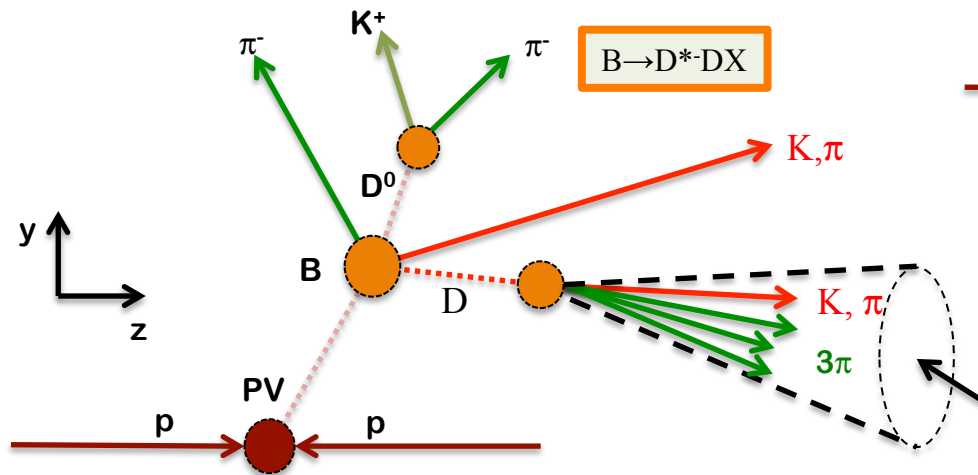
Selection: displaced vertex



- The $4\sigma_{\Delta z}$ vertex cut suppresses $X_b \rightarrow D^* \pi^+ \pi^- \pi^+ X$ events by 3 orders of magnitude.
- Remaining **background** due to doubly charmed decays $X_b \rightarrow D^* D_s^+ X$, $X_b \rightarrow D^* D^+ X$, $X_b \rightarrow D^* D^0 X$, i.e. mediated by particles with **non-negligible lifetime**.
 - $X_b \rightarrow D^* D_s^+ X$: **~ 10 x signal**
 - $X_b \rightarrow D^* D^+ X$: **~ 1 x signal**
 - $X_b \rightarrow D^* D^0 X$: **~ 0.2 x signal**

Isolation

- Signal candidates are required to be well isolated.
- Events with **extra charged particles** pointing to the B and/or τ vertices are **vetoed**.
- Events with **neutral energy** (signal in calorimeters) suppressed by a BDT.



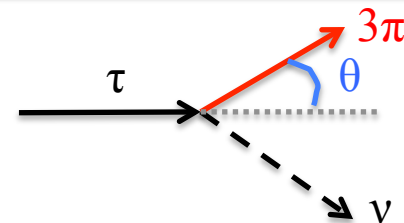
Missing (neutral) energy in a cone around the 3π direction due to missing π^0 , K^0 , γ .

Signal reconstruction

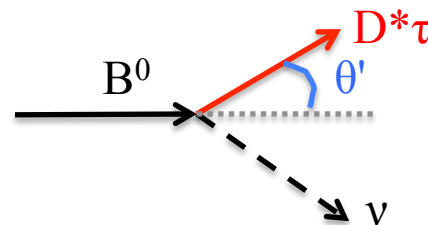
- 4-fold ambiguity:

$$|\vec{p}_\tau| = \frac{(m_{3\pi}^2 + m_\tau^2)|\vec{p}_{3\pi}| \cos \theta \pm E_{3\pi} \sqrt{(m_\tau^2 - m_{3\pi}^2)^2 - 4m_\tau^2 |\vec{p}_{3\pi}|^2 \sin^2 \theta}}{2(E_{3\pi}^2 - |\vec{p}_{3\pi}|^2 \cos^2 \theta)}$$

$$|\vec{p}_{B^0}| = \frac{(m_{D^*\tau}^2 + m_{B^0}^2)|\vec{p}_{D^*\tau}| \cos \theta' \pm E_{D^*\tau} \sqrt{(m_{B^0}^2 - m_{D^*\tau}^2)^2 - 4m_{B^0}^2 |\vec{p}_{D^*\tau}|^2 \sin^2 \theta'}}{2(E_{D^*\tau}^2 - |\vec{p}_{D^*\tau}|^2 \cos^2 \theta')}$$



Lab. frame



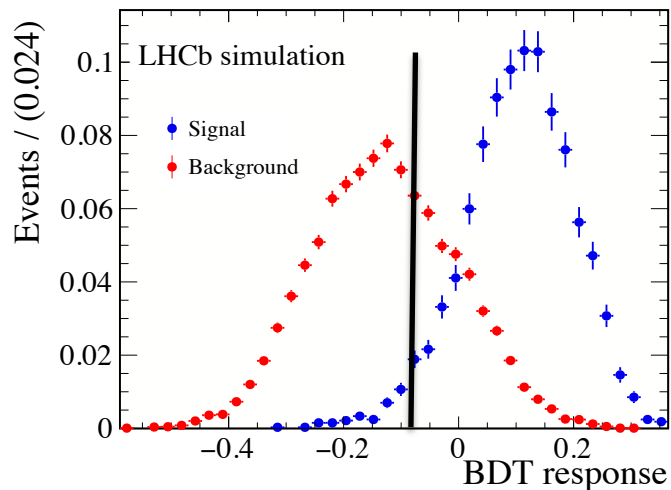
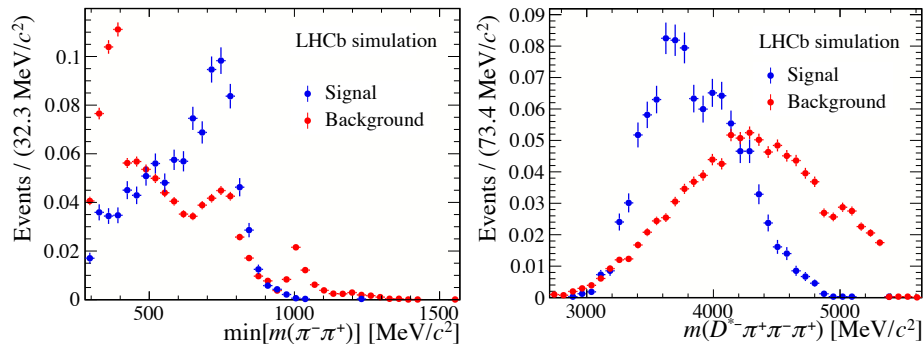
- Can be approximated by doing:

$$\theta_{max} = \arcsin \left(\frac{m_\tau^2 - m_{3\pi}^2}{2m_\tau |\vec{p}_{3\pi}|} \right) \quad \theta'_{max} = \arcsin \left(\frac{m_{B^0}^2 - m_{D^*\tau}^2}{2m_{B^0} |\vec{p}_{D^*\tau}|} \right)$$

- Possible to reconstruct rest frame variables such as **tau decay time** and **q²**.
- These variables have negligible biases, and sufficient resolution to preserve good discrimination between signal and background.

Rejecting $X_b \rightarrow D^* D_s^+ X$ events using a BDT

- BDT trained to suppress main background: $X_b \rightarrow D^* D_s^+ X$ events.
- Training: background MC vs signal MC. Input variables:
 - 3π dynamics.
 - $D^* 3\pi$ dynamics.
 - Neutrals isolation variables.
- BDT is used as a variable in the fit to extract signal yield.
- Tightening BDT cut, $\sim 50\%$ purity can be achieved. Important for (future) angular analysis.



The $D_s \rightarrow 3\pi X$ decay model: low-BDT fit

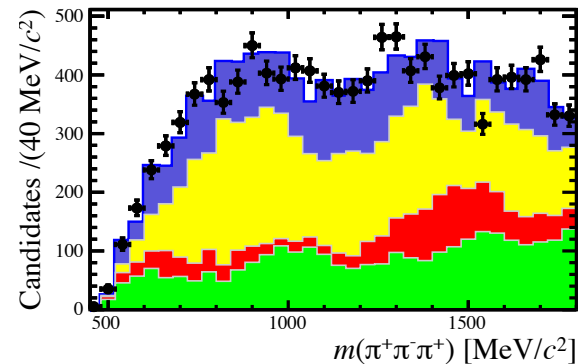
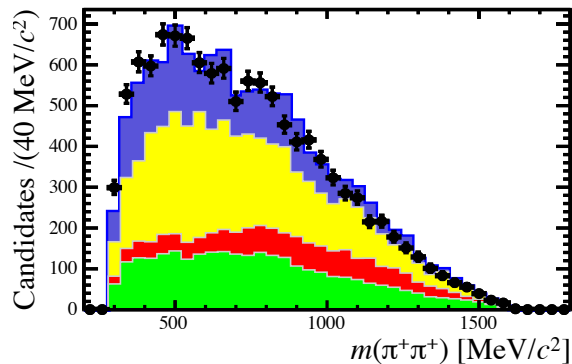
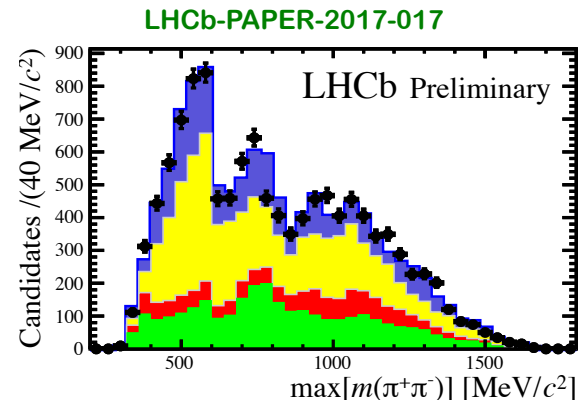
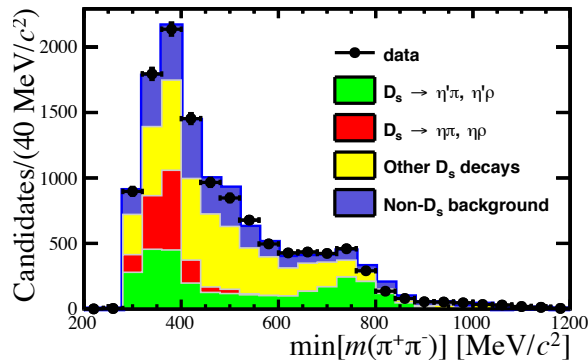
- D_s decay modes with 3 pions + neutrals not very well measured.
- Exclusive $D_s \rightarrow 3\pi$ is only 1/15 of the inclusive $D_s \rightarrow 3\pi X$.
- $D_s \rightarrow 3\pi X$ decay model obtained from data.
- Low BDT region (not used for signal extraction) is used to **measure the $D_s \rightarrow 3\pi X$ composition.**
- **Simultaneous fit to:**
 - $\min[m(\pi^+\pi^-)]$**
 - $\max[m(\pi^+\pi^-)]$**
 - $m(\pi^+\pi^+)$**
 - $m(3\pi)$**

The $D_s \rightarrow 3\pi X$ decay model: low-BDT fit

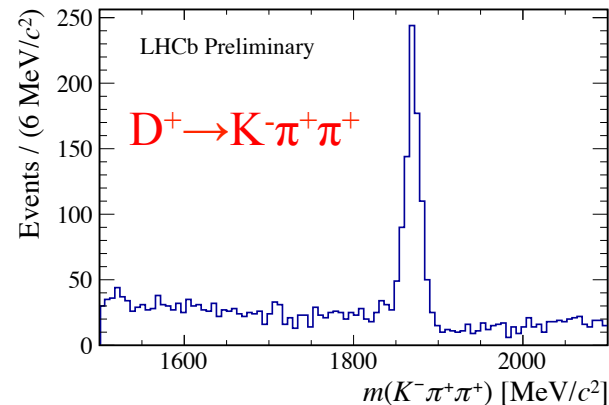
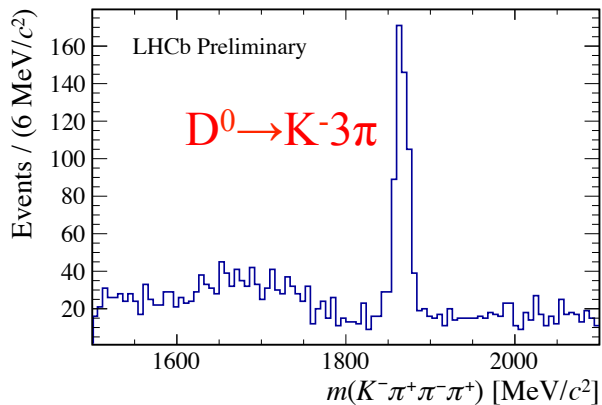
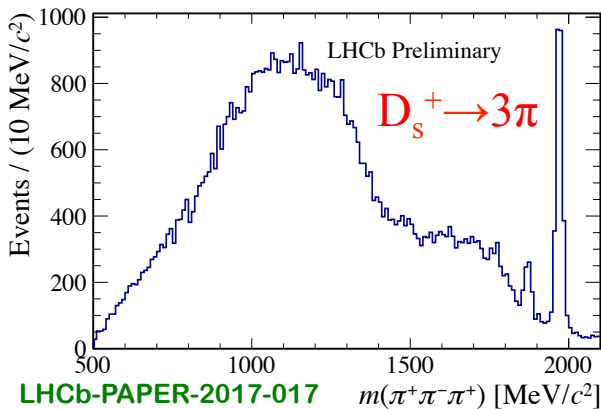
Fit components:

- D_s decays with **at least 1 pion from η or η'** : $\eta^{(\prime)}\pi^+$, $\eta^{(\prime)}\rho^+$.
- D_s decays with **at least 1 pion from an intermediate state (IS) other than η or η'** : ω or ϕ .
- D_s decays where **none of the 3 pions come from a IS**: $K^0 3\pi$, $\eta 3\pi$, $\eta' 3\pi$, $\omega 3\pi$, $\phi 3\pi$, non-resonant.

Fit results used to describe the $D_s \rightarrow 3\pi X$ model at high BDT.



Control samples

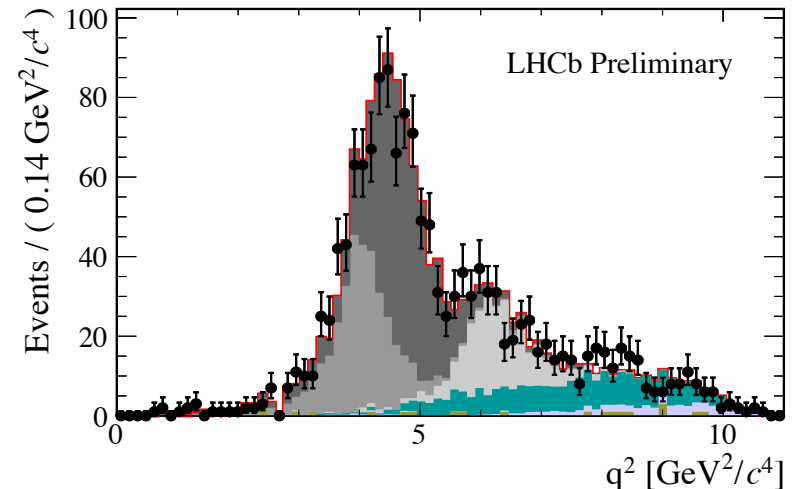
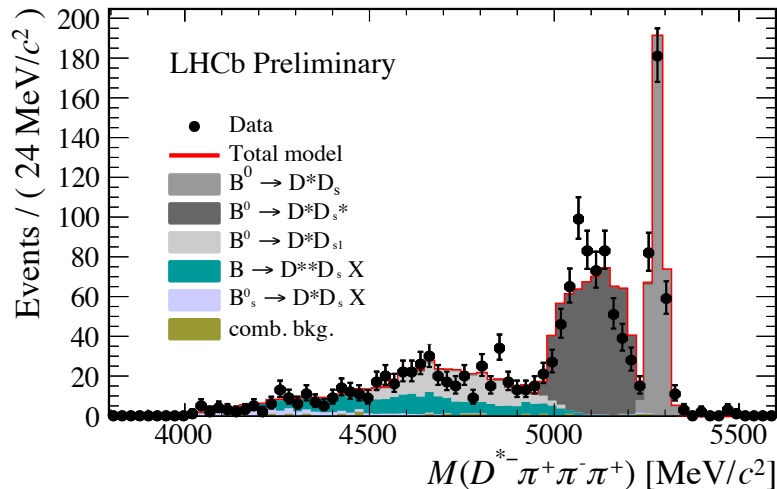


- Different control samples are used to study background components:
 - $D_s^+ \rightarrow \pi^+\pi^-\pi^+$: control sample for $X_b \rightarrow D^* D_s X$.
 - $D^0 \rightarrow K^- \pi^+ \pi^- \pi^+$ (kaon recovered by isolation tools) : control sample for $X_b \rightarrow D^* D^0 X$.
 - $D^+ \rightarrow K^- \pi^+ \pi^+$ (mis-ID kaon/pion) : control sample for $X_b \rightarrow D^* D^+ X$.
- Simulation corrected to match these data.

$X_b \rightarrow D^* D_s X$ control sample

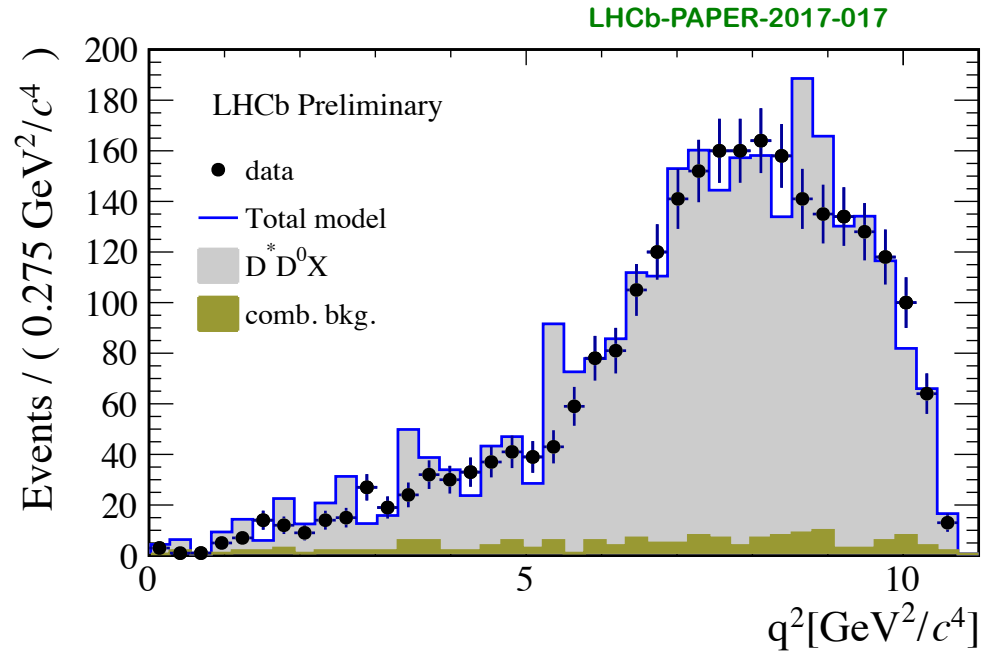
- A pure $X_b \rightarrow D^* D_s X$ control sample obtained by selecting exclusive $D_s \rightarrow 3\pi$ decays.
- Allows to know the different $X_b \rightarrow D^* D_s X$ contributions from a fit to $m(D^* D_s)$:
 - $B^0 \rightarrow D^* D_s$, $B^0 \rightarrow D^* D_s^*$, $B^0 \rightarrow D^* D_{s0}^*$, $B^0 \rightarrow D^* D_{s1}^*$, $B_s^0 \rightarrow D^* D_s X$, $B \rightarrow D^{**} D_s X$
- Uncertainties in the fit parameters propagated to final analysis.

LHCb-PAPER-2017-017



$X_b \rightarrow D^* D^0 X$ control sample

- $X_b \rightarrow D^* D^0 X$ decays can be isolated by selecting exclusive $D^0 \rightarrow K 3\pi$ decays (kaon recovered using isolation tools).
- A correction to the q^2 distribution is applied to the simulation to match the data.



Signal extraction: fit model

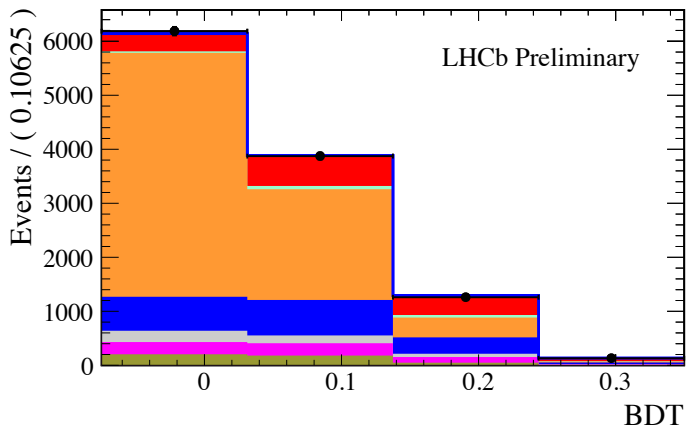
- 3D extended maximum likelihood fit to data.
- Fit components described by **templates** obtained from simulation (and corrected from control samples):
 - q^2 (**8 bins**).
 - 3π **decay time** (**8 bins**): important to separate D^+ component (large lifetime).
 - **BDT** (**4 bins**).

Model components	
$\tau^- \rightarrow \pi^- \pi^+ \pi^- \nu_\tau$	Ratio constrained using known BR and efficiencies.
$\tau^- \rightarrow \pi^- \pi^+ \pi^- \pi^0 \nu_\tau$	
$X_b \rightarrow D^{**} \tau \nu$	Ratio to signal fixed to 0.11 ± 0.04 from theory.
$B^0 \rightarrow D^{*-} D_s^+$	Relative yields constrained from $X_b \rightarrow D^* D_s^+ X$ control sample.
$B^0 \rightarrow D^{*-} D_s^{*+}$	
$B^0 \rightarrow D^{*-} D_{s0}^{*+}$	
$B^0 \rightarrow D^{*-} D_{s1}^{*+}$	
$B_s^0 \rightarrow D^{*-} D_s^+ X$	
$B \rightarrow D^{**} D_s^+ X$	
$X_b \rightarrow D^{*-} D^+ X$	
$X_b \rightarrow D^{*-} D^0 X$	Yields constrained from control samples.
$X_b \rightarrow D^{*-} \pi^+ \pi^- \pi^+ X$	
Comb. Bkg.	

Fit results

- Signal yield: 1300 events.
- Leads to $K_{had}(D^*) = 1.93 \pm 0.13(\text{stat}) \pm 0.17(\text{syst})$
- Using measured $BR(B^0 \rightarrow D^* 3\pi) = (7.26 \pm 0.11 \pm 0.31) \times 10^{-3}$:
[Phys. Rev. D94 (2016) 091101]

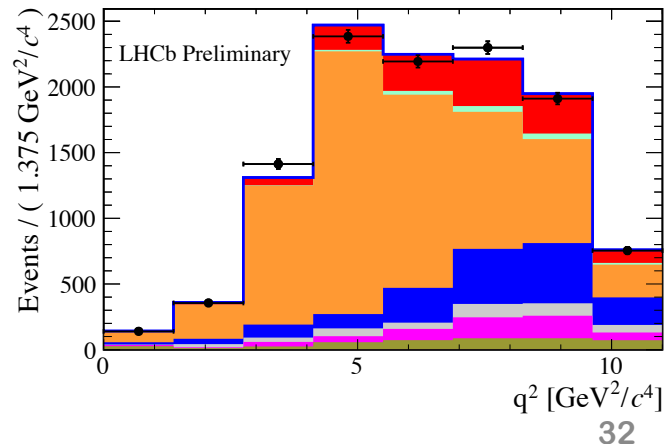
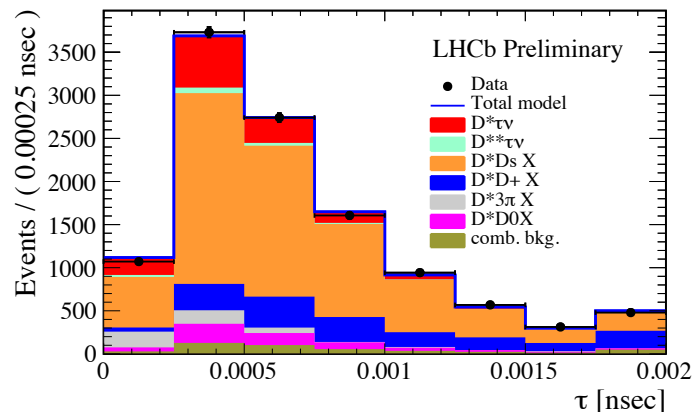
$$BR(B^0 \rightarrow D^* \tau \nu) = (1.40 \pm 0.09(\text{stat}) \pm 0.12(\text{syst}) \pm 0.06(\text{ext}))\%$$



06/06/17

LHCb-PAPER-2017-017

A. Romero Vidal



32

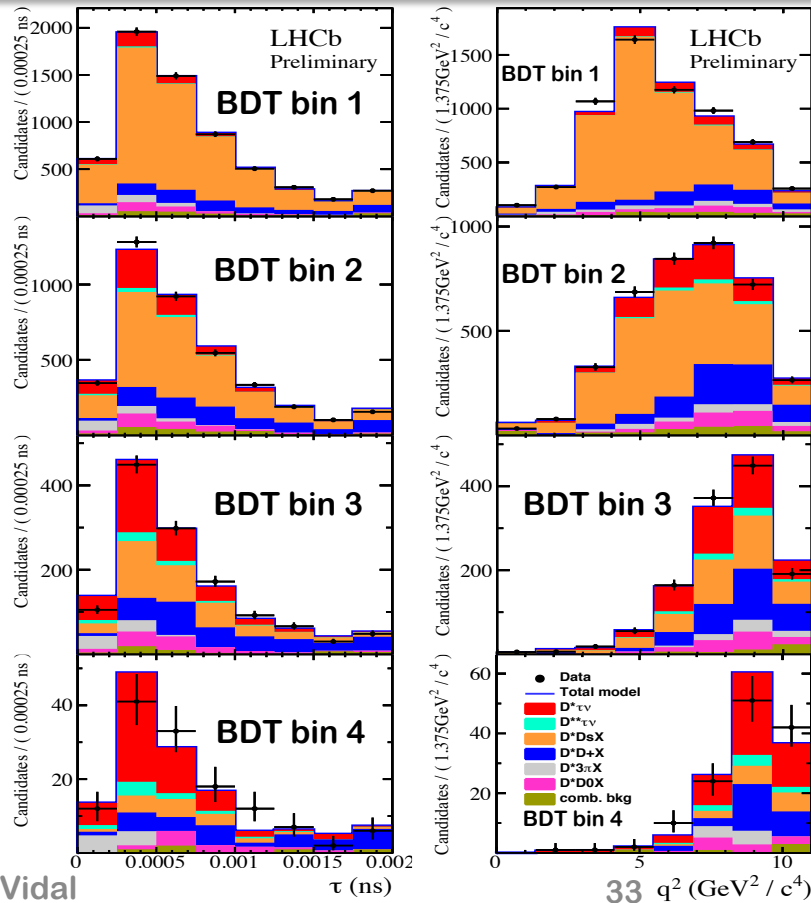
Fit projections in BDT bins

- Important to check the quality of the model as a function of the BDT output.
- Good agreement in BDT bins.
- High signal purity at high BDT.

LHCb-PAPER-2017-017

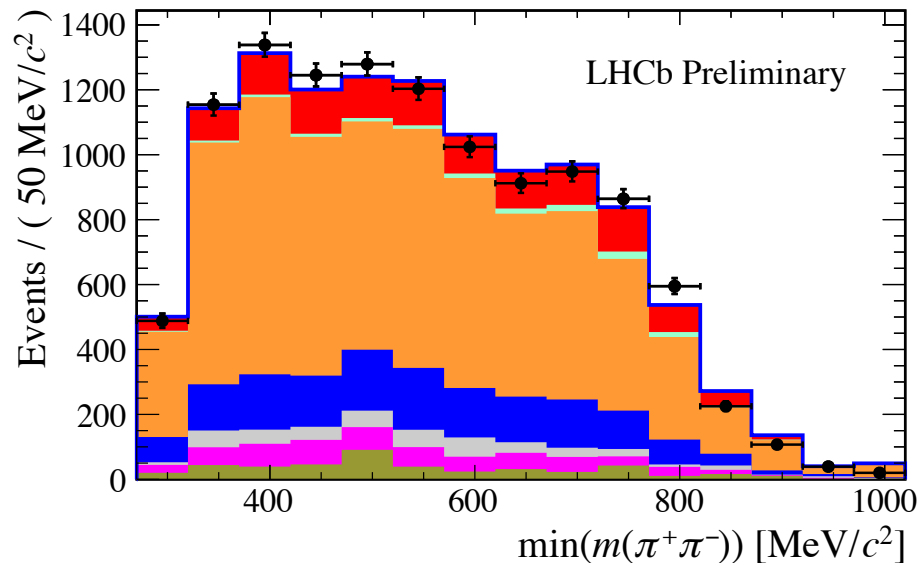
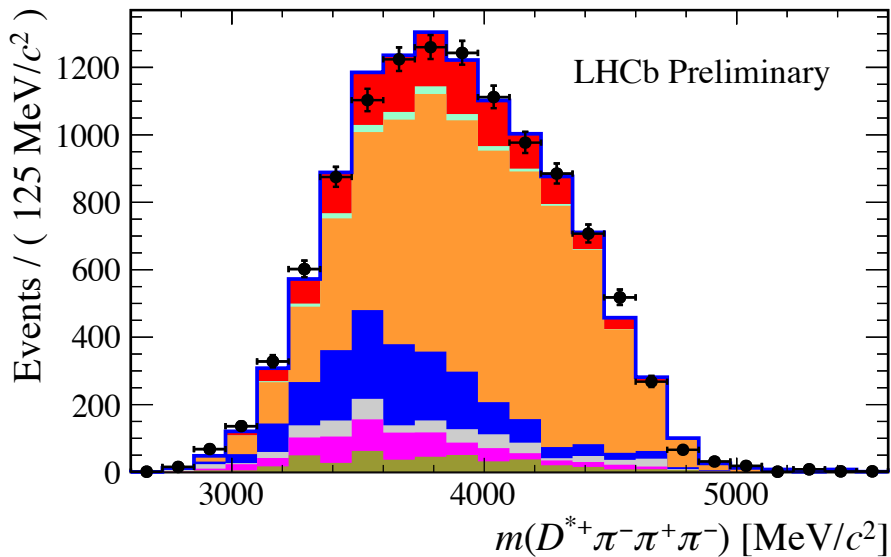
06/06/17

A. Romero Vidal



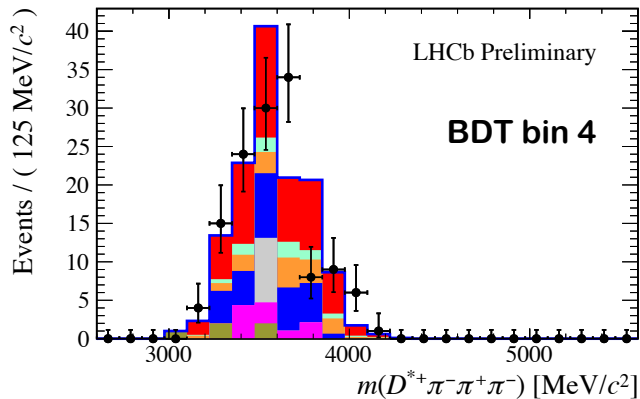
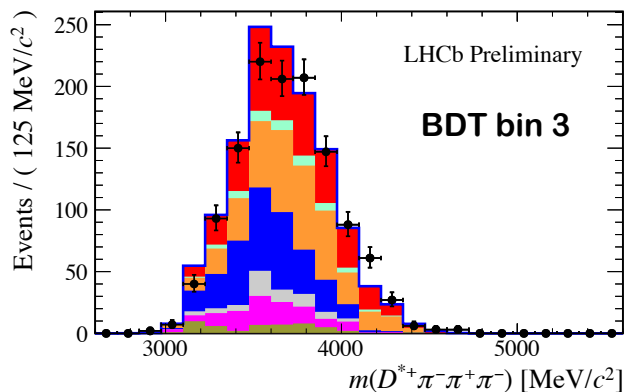
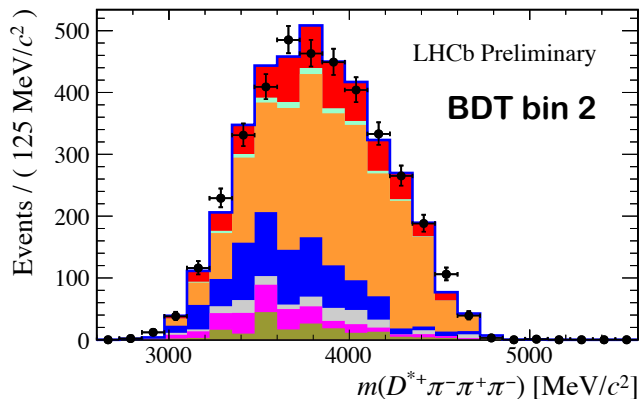
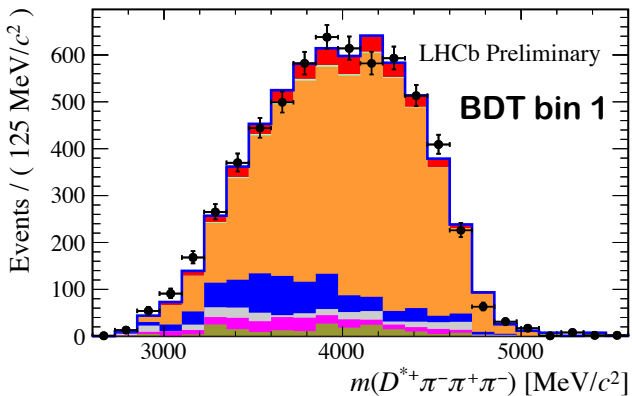
Fit projections on $m(D^*\pi\pi\pi)$ and $\min[m(\pi^+\pi^-)]$

- Important variables in BDT training.



- Good agreement with data.

Fit projections in BDT bins



LHCb-PAPER-2017-017

- Important check: $m(D^*3\pi)$ vs BDT bin.
- Good agreement.

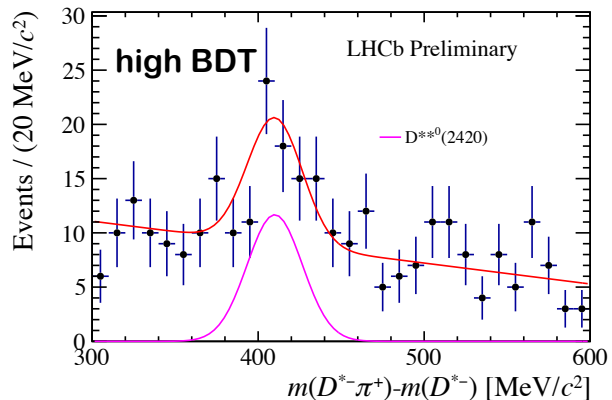
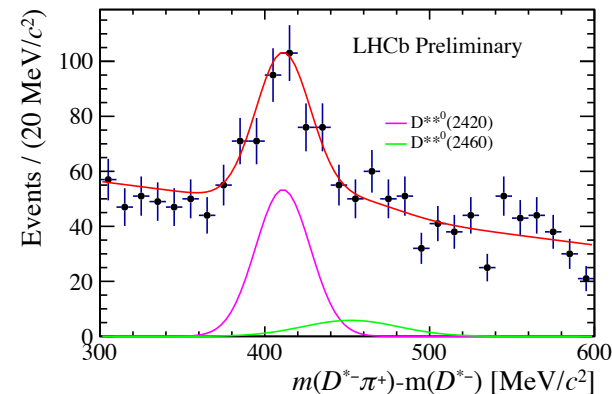
Systematic uncertainties and cross-checks

Additional cross-checks: splitting samples

- We have split the data in:
 1. **Different trigger configurations:**
 - Event triggered by our candidate (trigger on signal, TOS).
 - Event triggered by other tracks in the event (not-TOS).
 2. **Different year (beam energy).**
- Both decompositions correspond to 2/3-1/3 of both data samples. Bias corrections are needed to take into account the lack of MC statistics in the 1/3 samples.
- Found consistent results in all sub-samples.

Additional cross-checks: $X_b \rightarrow D^{**} \tau \nu$

- $B^0 \rightarrow D^{**} \tau \nu$ and $B^+ \rightarrow D^{**0} \tau \nu$ constitute potential feed-down to the signal.
- $D^{**}(2420)^0$ is reconstructed using its decay to $D^{*+} \pi^-$ as a **cross-check**.
- The observation of the $D^{**}(2420)^0$ peak allows to compute the D^{**} BDT distribution and to deduce a $D^{**} \tau \nu$ upper limit. This upper limit is consistent with the theory.
- Ratio of $D^{**} \tau \nu$ yield with respect to signal yield of 0.11 ± 0.04 from theory leads to a systematic uncertainty of 2.3%.



Summary of systematic uncertainties

Source	$\delta R(D^{*-})/R(D^{*-})[\%]$
Simulated sample size	<u>4.7</u>
Signal decay model	1.8
$D^{**}\tau\nu$ and $D_s^{**}\tau\nu$ feeddowns	2.7
$D_s^+ \rightarrow 3\pi X$ decay model	2.5
$B \rightarrow D^{*-}D_s^+X$, $B \rightarrow D^{*-}D^+X$, $B \rightarrow D^{*-}D^0X$ backgrounds	3.9
Combinatorial background	0.7
$B \rightarrow D^*3\pi X$ background	2.8
Empty bins in templates	1.3
Efficiency ratio	3.9
Total internal uncertainty	8.9
$\mathcal{B}(B^0 \rightarrow D^*3\pi)$ and $\mathcal{B}(B^0 \rightarrow D^*\mu\nu_\mu)$	4.8

- **Effect of MC statistics studied by performing toys studies.**
- **Templates fluctuated according to Poisson statistics.**
- **Small bias of 3% used to correct the signal yield.**

Summary of systematic uncertainties

Source	$\delta R(D^{*-})/R(D^{*-})[\%]$
Simulated sample size	4.7
Signal decay model	1.8
$D^{**}\tau\nu$ and $D_s^{**}\tau\nu$ feeddowns	2.7
$D_s^+ \rightarrow 3\pi X$ decay model	<u>2.5</u>
$B \rightarrow D^{*-}D_s^+X$, $B \rightarrow D^{*-}D^+X$, $B \rightarrow D^{*-}D^0X$ backgrounds	3.9
Combinatorial background	0.7
$B \rightarrow D^*3\pi X$ background	2.8
Empty bins in templates	1.3
Efficiency ratio	3.9
Total internal uncertainty	8.9
$\mathcal{B}(B^0 \rightarrow D^*3\pi)$ and $\mathcal{B}(B^0 \rightarrow D^*\mu\nu_\mu)$	4.8

- $D_s \rightarrow 3\pi X$ decay model, obtained from a fit to low-BDT events, is varied using toys.
- Future BESIII measurements on inclusive $D_{(s)} \rightarrow 3\pi X$ decays can help to reduce this error.

Summary of systematic uncertainties

Source	$\delta R(D^{*-})/R(D^{*-})[\%]$
Simulated sample size	4.7
Signal decay model	1.8
$D^{**}\tau\nu$ and $D_s^{**}\tau\nu$ feeddowns	2.7
$D_s^+ \rightarrow 3\pi X$ decay model	2.5
$B \rightarrow D^{*-}D_s^+X$, $B \rightarrow D^{*-}D^+X$, $B \rightarrow D^{*-}D^0X$ backgrounds	<u>3.9</u>
Combinatorial background	0.7
$B \rightarrow D^*3\pi X$ background	2.8
Empty bins in templates	1.3
Efficiency ratio	3.9
Total internal uncertainty	8.9
$\mathcal{B}(B^0 \rightarrow D^*3\pi)$ and $\mathcal{B}(B^0 \rightarrow D^*\mu\nu_\mu)$	4.8

- Templates shape allowed to vary using “histogram interpolation” technique.
- Allows to change templates shape depending on external variables.
- Same method applied for the combinatorial background. 41

Summary of systematic uncertainties

Source	$\delta R(D^{*-})/R(D^{*-})[\%]$
Simulated sample size	4.7
Signal decay model	1.8
$D^{**}\tau\nu$ and $D_s^{**}\tau\nu$ feeddowns	2.7
$D_s^+ \rightarrow 3\pi X$ decay model	2.5
$B \rightarrow D^{*-}D_s^+X$, $B \rightarrow D^{*-}D^+X$, $B \rightarrow D^{*-}D^0X$ backgrounds	3.9
Combinatorial background	0.7
$B \rightarrow D^*3\pi X$ background	2.8
Empty bins in templates	1.3
Efficiency ratio	3.9
Total internal uncertainty	<u>8.9</u>
$\mathcal{B}(B^0 \rightarrow D^*3\pi)$ and $\mathcal{B}(B^0 \rightarrow D^*\mu\nu_\mu)$	<u>4.8</u>

- **Total systematic uncertainty 8.9%.**
- **Additional external uncertainty due to precision in $\text{BR}(B^0 \rightarrow D^{*-}\pi^+\pi^-\pi^+)$ and $\text{BR}(B^0 \rightarrow D^*\mu\nu)$.**

World average

- Using $\text{BR}(B^0 \rightarrow D^* \mu \nu) = (4.93 \pm 0.11)\%$ [PDG-2016] we measure:

$$R(D^*) = 0.285 \pm 0.019(\text{stat}) \pm 0.025(\text{syst}) \pm 0.014(\text{ext})$$

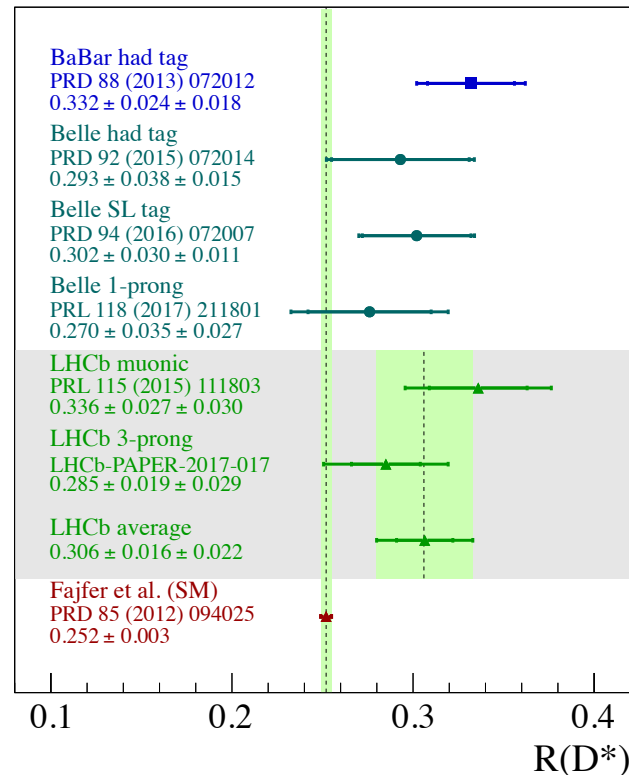
- In combination with the muonic LHCb measurement:

$$R(D^*) = 0.336 \pm 0.027 \pm 0.030,$$

the LHCb average is:

- $R_{\text{LHCb}}(D^*) = 0.306 \pm 0.016 \pm 0.022$
- 2.1 σ above the SM.
- Naïve new WA:
 - $R(D^*) = 0.305 \pm 0.015$
 - 3.4 σ above the SM.
- Naïve $R(D)/R(D^*)$ combination at 4.1 σ from SM.

LHCb-PAPER-2017-017



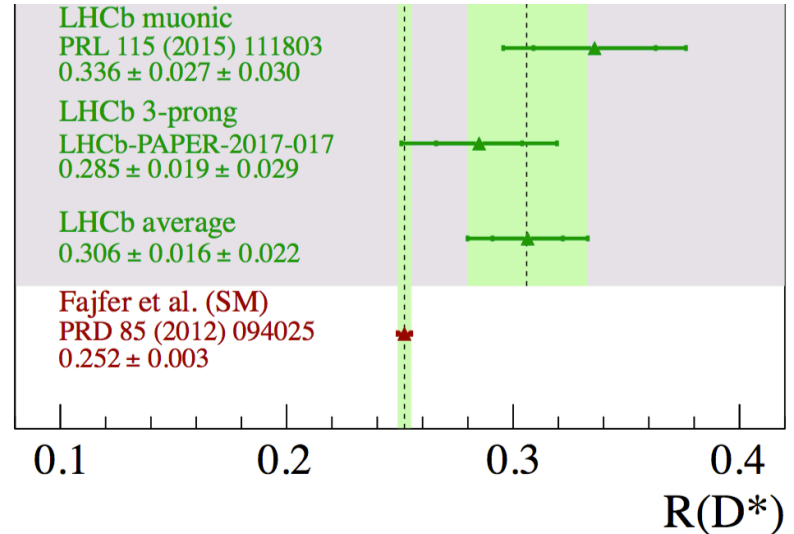
Prospects

- For $R(D^*)$, Run-2 will ~quadruple the dataset, the statistical uncertainty can decrease by a factor of ≈ 2 .
- The internal systematic uncertainty can also decrease by a factor of ≈ 2 .
- Other measurements on going (including run-2 data) using:

Decay	Observable
$B^0 \rightarrow D^{*-} \tau^+ \nu_\tau$	$R(D^{*-})$
$B^0 \rightarrow D^- \tau^+ \nu_\tau$	$R(D^-)$
$B^+ \rightarrow D^0 \tau^+ \nu_\tau$	$R(D^0)$
$B_s^0 \rightarrow D_s^{(*)} \tau^+ \nu_\tau$	$R(D_s^{(*)})$
$B_c^+ \rightarrow J/\psi \tau^+ \nu_\tau$	$R(J/\psi)$
$\Lambda_b \rightarrow \Lambda_c^{(*)} \tau^+ \nu_\tau$	$R(\Lambda_c^{(*)})$

Conclusions

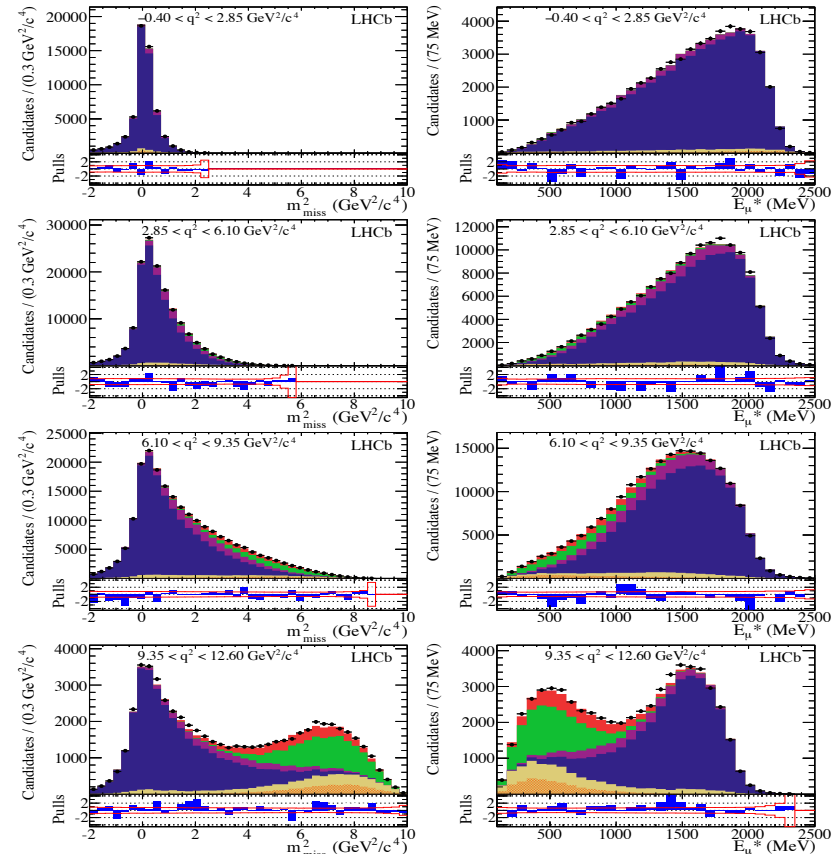
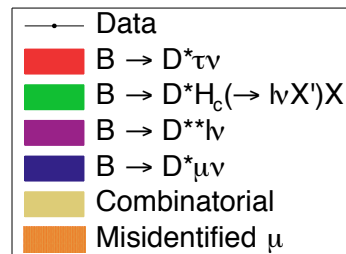
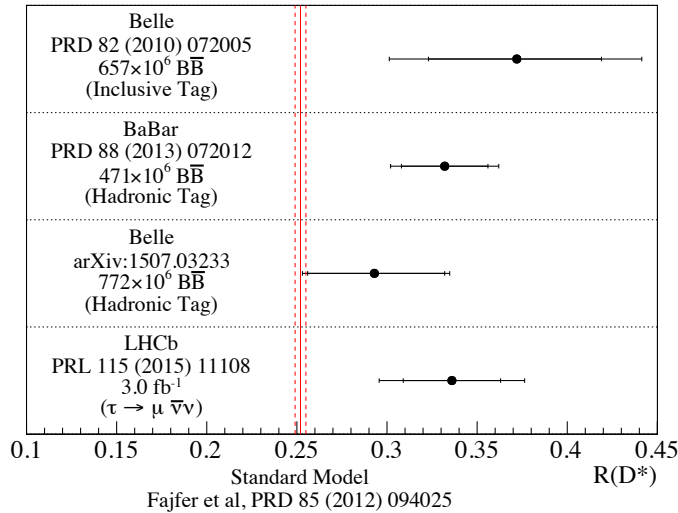
- We have measured the ratio $K_{\text{had}}(D^*) = \text{BR}(B^0 \rightarrow D^{*-} \tau \nu) / \text{BR}(B^0 \rightarrow D^{*-} 3\pi)$ using the $3\pi(\pi^0)$ hadronic decay of the τ lepton.
- The result regarding $R(D^*)$ is compatible with all other measurements and with the SM, having the smallest statistical error.
- This analysis was made possible due to the unique **LHCb** capabilities for separating secondary and tertiary vertices with **excellent resolution**.



BACKUP

LHCb muonic $R(D^*)$

[Phys. Rev. Lett. 115, 111803 (2015)]



BDT variables

