

## Coupled-cluster calculation of the ${}^{3-5}\text{He}$ isotopes with Gamow-Hartree-Fock basis

---

### G. Hagen\*

Physics Division, Oak Ridge National Laboratory, P.O. Box 2008, Oak Ridge, TN 37831, U.S.A.,  
Department of Physics and Astronomy, University of Tennessee, Knoxville, Tennessee 37996,  
U.S.A.

E-mail: [hageng@ornl.gov](mailto:hageng@ornl.gov)

### D. J. Dean

Physics Division, Oak Ridge National Laboratory, P.O. Box 2008, Oak Ridge, TN 37831, U.S.A.,  
E-mail: [deandj@ornl.gov](mailto:deandj@ornl.gov)

### M. Hjorth-Jensen

Department of Physics and Center of Mathematics for Applications, University of Oslo, N-0316  
Oslo, Norway

E-mail: [morten.hjorth-jensen@fys.uio.no](mailto:morten.hjorth-jensen@fys.uio.no)

### T. Papenbrock

Department of Physics and Astronomy, University of Tennessee, Knoxville, Tennessee 37996,  
U.S.A.,

Physics Division, Oak Ridge National Laboratory, P.O. Box 2008, Oak Ridge, TN 37831, U.S.A.  
E-mail: [papenbrocktf@ornl.gov](mailto:papenbrocktf@ornl.gov)

We present *ab-initio* CCSD calculations of the  ${}^{3-5}\text{He}$  ground states. We perform these calculations using a mixed basis of oscillator and complex Woods-Saxon states for chosen partial waves. From this starting point, we build a spherical Gamow-Hartree-Fock basis from a renormalized interaction of the low-momentum type generated from the  $N^3\text{LO}$  two-body potential. The Gamow-Hartree-Fock basis, which is a Berggren basis, treats bound, resonant, and continuum states on an equal footing, and is therefore optimal for the description of nuclear states which may be embedded in the continuum. Within this *ab-initio* approach, we are able to calculate that  ${}^{3-4}\text{He}$  are stable against particle decay, while  ${}^5\text{He}$  has a non-negligible width and is therefore unstable with respect to one neutron emission, as is the case experimentally. We illustrate from these calculations that the CCSD approach is as accurate for closed-shell nuclei as for open-shell nuclei with  $\pm 1$  nucleon outside a closed-shell. Finally, we perform various tests on the convergence of our CCSD results. We find that our results are well converged with respect to basis size and discretization of the continuum integral defining our Berggren basis.

*International Symposium on Nuclear Astrophysics — Nuclei in the Cosmos — IX*  
June 25-30 2006  
CERN, Geneva, Switzerland

---

\*Speaker.

## 1. Introduction

In nuclear physics one would ideally like to start from nucleon degrees of freedom. The dominant philosophy within the nuclear theory community is that the nucleus (at low energies), as a whole, may be fully described in terms of the interactions between these constituents. *Ab-initio* methods such as the Green's Function Monte Carlo (GFMC) approach [1] and the large-basis no-core Shell Model (NCSM) approach [2] have been successfully applied to the description of light nuclei. However, both approaches have limitations with respect to basis size and the number of active nucleons in the systems. More importantly, these methods have not been utilized to investigate nuclear widths in unstable nuclei.

It is well known that quantum systems which decay by emission of fragments cannot be described as closed quantum systems, in which particles are trapped in an infinite well such as a harmonic oscillator. In exotic nuclei near the drip lines the outermost nucleons are mainly occupying loosely bound or unbound single-particle orbitals, resulting in matter densities with halo characteristics. Such matter densities cannot easily be described by the use of oscillator functions which do not display the correct asymptotic behavior. A proper description of loosely bound and unbound nuclei should take into account the coupling of the internal with the external environment. The coupling of the 'external' continuum of positive energy states with the 'internal' nuclear states has, for a long time, been a basic ingredient in nuclear reaction theory. Feshbach was the first to formulate a unified description of direct and compound nuclear reactions within the projection operator method [3]. He showed that the coupling of the internal with the external environments could give rise to compound nuclear states, such as multi-channel resonances. Also, in atomic physics, Feshbach resonances are of great importance. In the early 60s, at the same time as Feshbach's work, Fano [4] discussed how the mixing of a configuration belonging to a discrete spectrum with configurations belonging to a continuous spectrum gives rise to the phenomena of *autoionization*, which is considered a multi-channel resonance.

In nuclear physics, the Gamow shell model [5, 6] has proven to be a reliable tool in the description of nuclei where continuum aspects play a dominant role. The basic idea in the Gamow shell model approach is to construct a many-body basis from a generalized single-particle basis which treats bound, resonant, and continuum states on equal footing. Berggren was the first to rigorously derive such a basis [7]. The Berggren basis is an analytic continuation of the usual completeness relation in the complex energy plane. Recently, we reported the first results on loosely bound and resonant states in nuclei, starting from a realistic interaction and a Gamow-Hartree-Fock basis [8]. However, an *ab-initio* description of such nuclei within the Gamow shell model approach might presently not be feasible since the computational cost scales combinatorially with the number of orbitals, and one typically needs a large number of orbitals for each partial wave in order to discretize the continuum integral accurately.

In order to be able to provide an *ab-initio* description of nuclei with loosely bound and unbound characteristics, we may need to depart from standard matrix diagonalization methods. In this work we discuss the *coupled-cluster* method [9–12] as a starting point for a description of exotic nuclei. Computational scaling of the coupled-cluster method enables one to reach accurate results in extremely large model spaces. At the coupled-clusters in singles and doubles (CCSD) level, floating-point operation counts scale as  $n_o^2 n_u^4$ , where  $n_o$  is the number of occupied orbitals

and  $n_u$  is the number of unoccupied orbitals in the single-particle basis. Such soft scaling, when compared to the nearly combinatorial scaling of diagonalization procedures (as a function of basis size and/or particle number), allows one to build an extension of an *ab-initio* description of nuclei to the medium-mass region starting with nucleon degrees of freedom. The coupled-cluster method is also capable of systematic improvements and amenable to parallel computing.

The outline of this paper is the following. In Sec. 2 we give a brief outline of the coupled-cluster theory. In Sec. 3 it is discussed how one may derive a renormalized interaction of the low-momentum type ( $V_{low-k}$ ) from similarity transformation techniques. In Sec. 4 it is outlined how a Gamow-Hartree-Fock basis may be derived from the bare nucleon-nucleon interaction, and in Sec. 5 we present CCSD results of the ground states of the  ${}^3\text{-}^5\text{He}$  isotopes using a Gamow-Hartree-Fock basis. Convergence with respect to basis size and number of discretization points is also analysed. Finally, in Sec. 6 we conclude and mention future perspectives for this work.

## 2. Coupled-Cluster Theory

In coupled-cluster theory, we make an exponential ansatz for the exact correlated ground state expressed through,

$$\hat{H}|\Psi\rangle = \hat{H}\exp(T)|\Phi_0\rangle = E\exp(T)|\Phi_0\rangle, \quad (2.1)$$

here  $|\Phi_0\rangle$  is a chosen non-correlated reference Slater determinant such as, for example, the Hartree-Fock state. The fully correlated many-body state  $|\Psi\rangle$  is constructed by letting the correlation operator  $\exp(T)$  operate on  $\Phi_0$ . The operator  $T$  is a linear combination of  $n$ -particle- $n$ -hole excitation operators  $T = T_1 + T_2 + \dots$  of the form,

$$T_n = \sum_{ab\dots ij\dots} t_{ij\dots}^{ab\dots} a_a^\dagger a_b^\dagger \dots a_j a_i. \quad (2.2)$$

In order to derive the coupled-cluster equations, we start by rewriting the Hamiltonian in normal-ordered form,

$$H = \sum_{pq} f_{pq} \{a_p^\dagger a_q\} + \frac{1}{4} \sum_{pqrs} \langle pq||rs\rangle \{a_p^\dagger a_q^\dagger a_s a_r\} + \langle \Phi_0|H|\Phi_0\rangle = H_N + E_0, \quad (2.3)$$

here  $H_N$  is the normal-ordered part of  $H$ , and  $E_0$  is just the vacuum expectation value of  $H$ . The Fock matrix element  $f_{pq}$  is given by,

$$f_{pq} = \langle p|t|q\rangle + \sum_i \langle pi||ri\rangle. \quad (2.4)$$

Using the normal-ordered Hamiltonian and projecting Eq. 2.1 from the left with  $\langle \Phi_0|\exp(-T)$  gives an equation for the coupled-cluster correlation energy,

$$E_{CC} = \langle \Phi_0|\exp(-T)\hat{H}_N\exp(T)|\Phi_0\rangle. \quad (2.5)$$

This equation may be simplified by using the Hausdorff commutator expansion of the similarity transformed Hamiltonian  $\exp(-T)\hat{H}_N\exp(T)$ , which truncates exactly at quadruply nested commutators for a two-body Hamiltonian. Using Wick's theorem for the commutators, it may be

shown that the only nonzero terms in the Hausdorff expansion are those in which  $H_N$  has at least one contraction with every cluster operator on its right, which is expressed through,

$$\exp(-T)\hat{H}_N\exp(T)|\Phi_0\rangle = [H_N\exp(T)]_C|\Phi_0\rangle. \quad (2.6)$$

Here  $C$  indicates terms in which the Hamiltonian is connected to every cluster operator on its right. The equation for the coupled-cluster energy is then simply given by,

$$E_{\text{CC}} = \langle\Phi_0| \left[ H_N T_1 + \frac{1}{2} H_N T_2 + \frac{1}{2} H_N T_1^2 \right]_C |\Phi_0\rangle = \sum_{ia} f_{ia} t_i^a + \frac{1}{4} \sum_{abij} \langle ij||ab\rangle t_{ij}^{ab} + \frac{1}{2} \sum_{ijab} \langle ij||ab\rangle t_i^a t_j^b. \quad (2.7)$$

This equation for the coupled-cluster correlation energy is an exact result which holds for two-body Hamiltonians and is independent of any truncations of the correlation operator  $T$ . In order to evaluate the coupled-cluster correlation energy, it is obvious that we need to determine the  $1p-1h$  and  $2p-2h$  excitation amplitudes,  $t_i^a$  and  $t_{ij}^{ab}$ , appearing in Eq. 2.7. Although only  $t_i^a$  and  $t_{ij}^{ab}$  amplitudes appear in the energy expression in Eq. 2.7, the higher order cluster equations will modify the equations for  $t_i^a$  and  $t_{ij}^{ab}$  and, therefore indirectly, the coupled-cluster energy equation. The algebraic equation for the excitation amplitudes  $t_{ij\dots}^{ab\dots}$  are obtained by left-projecting the similarity-transformed Hamiltonian with an  $n$ -particle- $n$ -hole excited Slater determinant giving

$$\langle\Phi_{ij\dots}^{ab\dots}| [H_N\exp(T)]_C |\Phi_0\rangle = 0, \quad (2.8)$$

When written in its full glory, one ends up with a non-linear set of equations for the excitation amplitudes. The derivation of the amplitude equations is a tedious task using Wick's theorem, but using a diagrammatic technique the amplitude and energy equations may be written down much more easily. The amplitude equations are nonlinear, and may be solved iteratively using convergence accelerators such as the direct inversion in the iterative subspace (DIIS) [13] technique or Krylov subspace accelerated inexact Newton (KAIN) techniques [14]. In our coupled-cluster calculations of open-shell nuclei, we have found that convergence is considerably much more difficult to obtain than for closed-shell nuclei. However, combining the iterative convergence accelerators with step-restriction and line-search in each iteration, convergence can be obtained within a reasonable number of iterations. Approximations in coupled-cluster theory appear only when the linear series is truncated at a level  $T_n$ , where  $n$  is smaller than the number of particles in the system, and in this work we report results for the  ${}^3\text{-}^5\text{He}$  isotopes truncating the correlation operator  $T$  at the CCSD level. We note that even though the  $T$ -operator is truncated at  $2p-2h$ , the exponential ansatz indirectly induces higher excitations since we have products of  $T_1$  and  $T_2$  operators when expanding the exponential.

### 3. Renormalized nucleon-nucleon interaction of the low-momentum type.

The nuclear many-body Hamiltonian we work with is given by,

$$H = T - T_{\text{CoM}} = \left( 1 - \frac{1}{A} \right) \sum_{i=1}^A \frac{\mathbf{k}_i^2}{2m} + \sum_{i<j}^A \left( V(i,j) - \frac{\mathbf{k}_i \cdot \mathbf{k}_j}{mA} \right), \quad (3.1)$$

here  $V$  is the nucleon-nucleon interaction given by the  $N^3\text{LO}$  effective field theory expansion [15], based on chiral perturbation theory next-to-next-to-next-to-leading order. One would need huge basis sets in order to capture the high-momentum modes of the interaction and achieve convergence for energies and wave functions. In order to make calculation feasible, the nucleon-nucleon interaction has to be renormalized in order to soften the core of the interaction. In this work we construct a renormalized interaction following the scheme outlined in [16]. We construct an effective interaction where the high momentum modes of the full two-body interaction have been integrated out. This interaction has become known as  $V_{\text{low-}k}$ .  $V_{\text{low-}k}$  is energy and nucleus independent, and reproduces exactly nucleon-nucleon data below a cutoff  $\Lambda$  determining the border between low and high momentum modes.

In the following, we briefly outline how to obtain a Hermitian interaction  $V_{\text{low-}k}$  based on the similarity transformation discussed in Ref. [17]. A unitary transformation can be parametrized in terms of the model space  $P$  and the excluded space  $Q$  via

$$U = \begin{pmatrix} P(1 + \omega^\dagger \omega)^{-1/2} P & -P\omega^\dagger(1 + \omega\omega^\dagger)^{-1/2} Q \\ Q\omega(1 + \omega^\dagger \omega)^{-1/2} P & Q(1 + \omega\omega^\dagger)^{-1/2} Q \end{pmatrix}, \quad (3.2)$$

where the wave operator  $\omega$  is defined to satisfy the condition

$$\omega = Q\omega P, \quad (3.3)$$

the so-called decoupling condition [18]. The above transformation depends only on the operator  $\omega$  which mixes the  $P$  and  $Q$  subspaces and is in some sense “the minimal possible” unitary transformation. Following the method of Ref. [19], one obtains

$$U = (1 + \omega - \omega^\dagger)(1 + \omega\omega^\dagger + \omega^\dagger\omega)^{-1/2}. \quad (3.4)$$

The operator  $U$  leads to the effective interaction  $\tilde{V}$  using the definition

$$\tilde{V} = U^{-1}(T + V)U - T, \quad (3.5)$$

where  $T$  is the kinetic energy of the nucleons and  $V$  is the free nucleon-nucleon interaction. If we can determine the wave operator  $\omega$ , the spectrum of the effective Hamiltonian will correspond to exactly  $N_P$  eigenvalues of the full problem. In order to derive the renormalized interaction in momentum space, one starts with the following definitions of the  $P$ - and  $Q$ -space,

$$P = \{|\bar{k}\rangle, |k| \leq \Lambda\}, \quad Q = \{|\bar{k}\rangle, \Lambda < |k| < \infty\}. \quad (3.6)$$

The model space  $P$  here defines all the low-momentum modes determined by the cutoff  $\lambda$ , while the complementary  $Q$ -space consists of the high-momentum modes. Solving the momentum space Schrödinger equation in the full space, one may obtain the solution of the wave-operator  $\omega$  in a plane-wave basis and finally obtain the effective low-momentum interaction  $V_{\text{low-}k}$ ,

$$\begin{aligned} \langle \bar{k} | P V_{\text{low-}k} P | \bar{k}' \rangle &= \sum_{k''} \sum_{k'''} \langle \bar{k} | P (P + \omega^\dagger \omega)^{1/2} P | \bar{k}'' \rangle \langle \bar{k}'' | P (T + V) P | \bar{k}''' \rangle \langle \bar{k}''' | P (P + \omega^\dagger \omega)^{-1/2} P | \bar{k}' \rangle \\ &\quad - \frac{k^2}{m} \delta_{k k'}, \end{aligned} \quad (3.7)$$

where  $|\bar{k}\rangle = k\sqrt{w}|k\rangle$ . See Ref. [19] for further details. Typically,  $\Lambda$  is chosen around  $2\text{ fm}^{-1}$ , in order to capture the physics of elastic nucleon-nucleon scattering for energies  $< 350\text{ MeV}$ . However, the construction of an effective two-body interaction in a model space for the  $A$ -body problem will necessarily generate three- and many-body forces. At the two-body level, it has been shown that all low-momentum interactions collapse onto the same curve for a cutoff  $\sim 2\text{ fm}^{-1}$ , and therefore displays a model independence. This is only true at the two-body level. Many-body calculations starting with a two-body low-momentum interaction display a considerable dependence on the particular interaction model from which it was derived. Further, many-body calculations starting with a two-body low-momentum interaction typically give rise to considerable cutoff dependence and over-binding, especially in the medium-mass regimes. The model-independence can only be restored at the  $A$ -body level by introducing all  $A$ -body effective interactions generated through a similarity transformation of the  $A$ -body problem. The hope is that three-body effective interactions are sufficient to restore model-independence, and that they can be treated perturbatively. Preliminary CCSD results for the ground state of  ${}^{16}\text{O}$  indicates that the low-momentum three-body force is indeed repulsive and can be treated perturbatively [20]. However, in this work we have truncated the Hamiltonian at the two-body level and neglect the effect of three-body forces.

#### 4. Gamow-Hartree-Fock single-particle basis

In our study of  ${}^5\text{He}$ , which is an unbound nucleus, the single-particle basis has to be constructed in such a way that correlation effects between nucleons in the scattering continuum can take place. To account for this non-negligible coupling with the continuum, we construct our basis using the Berggren formalism [7] in which bound, resonant, and continuum states are treated on an equal footing. This single-particle basis is therefore optimal for construction of a many-body basis in which loosely bound and unbound nuclear states may be expanded. For our study of the  ${}^{3-4}\text{He}$  ground states, a Berggren representation is not necessary since they are stable nuclei. However, we use the same Berggren basis for all nuclei studied here, so that we may be able to confirm the stability of  ${}^{3-4}\text{He}$  and the resonant character of  ${}^5\text{He}$ .

Further, we would like to construct our single-particle basis from the self-energy of the nucleon-nucleon interaction so that our basis is optimal for the particular nucleus under study. At lowest order in perturbation theory, the self-energy is just the Hartree-Fock basis. In order to construct the Hartree-Fock basis, one needs to transform the nucleon-nucleon interaction from the relative and center-of-mass frame to the laboratory frame. In the case of an oscillator basis this proceeds through the well-known Moshinsky transformation; for general bases, the transformation is carried out with the so-called vector brackets [21]. If our basis is defined in the complex energy plane, the vector-bracket transformation coefficients have to be analytically continued in the complex plane. However, the vector-bracket transformation coefficients are not easily continued in the complex  $k$ -plane, and we therefore proceed via the numerically and mathematically simpler route outlined in Ref. [8]. In Ref. [8] it was also illustrated how matrix elements of the nucleon-nucleon interaction may be obtained in a Berggren basis by expanding the interaction in a finite oscillator basis, i.e.

$$\langle ab|V_{\text{osc}}|cd\rangle \approx \sum_{\alpha\leq\beta}^N \sum_{\gamma\leq\delta}^N \langle ab|\alpha\beta\rangle \langle \alpha\beta|V_{\text{low-}k}|\gamma\delta\rangle \langle \gamma\delta|cd\rangle, \quad (4.1)$$

where the two-body oscillator completeness has been truncated at  $N$  for numerical purposes. Using a renormalized interaction of the low-momentum type, energies and wave functions of loosely bound and resonant systems were shown to converge quickly with respect to the oscillator basis size. The fast convergence is just another illustration of the fact renormalized interactions reduce infinite spaces to smaller model spaces, making calculations practical. From the matrix elements in Eq. 4.1, we may construct our Gamow-Hartree-Fock basis from

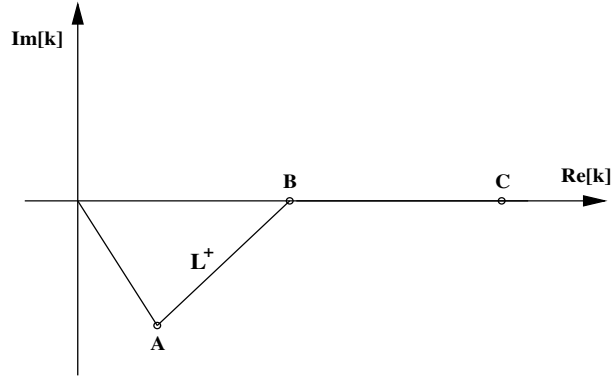
$$\langle a|h_{\text{HF}}|c\rangle = \langle a|t|c\rangle + \frac{1}{\hat{j}_a^2} \sum_J \sum_h \hat{J}^2 \langle ah|V_{\text{osc}}|ch\rangle, \quad (4.2)$$

where  $h$  labels all quantum numbers of the proton and neutron hole states, and  $\hat{J}^2 = J(J+1)$ . The Hartree-Fock equation is iterated until self-consistency is obtained. One typically needs  $f$ - $g$  shells in no-core calculations in addition to including the continuum for each partial wave  $lj$ . Therefore we are performing our calculations in an enormous many-body configuration space due to the dense discretization of the continuum integral, and even CCSD calculations become extremely computationally memory and time consuming.

To make calculations numerically feasible at present, we therefore initialize our Hartree-Fock calculations with a mixed basis consisting of a finite set of complex Woods-Saxon bound, resonant, and non-resonant continuum states and a finite set of harmonic oscillator basis states for chosen partial waves. For protons, we strictly define the space by a finite set of harmonic oscillator wave functions, while for the neutron space we use a complex Woods-Saxon basis for the  $s$ - $p$  partial waves and harmonic oscillator wave functions for the higher partial waves  $d$ - $g$ . This choice of mixed basis may be justified since we are dealing with the ground states of the  ${}^3\text{-}^5\text{He}$  isotopes. For these nuclei, the proton separation energy is typically on the order of 20 – 30 MeV, and they are mainly occupying deeply bound  $s$ -orbitals. On the other hand, it is well known that the  ${}^5\text{He}$  ground state is a resonance with width  $\sim 0.65$  MeV and with spin and parity  $J^\pi = 3/2^-$ , implying that the outermost neutron in  ${}^5\text{He}$  is mainly in the  $p_{3/2}$  shell.

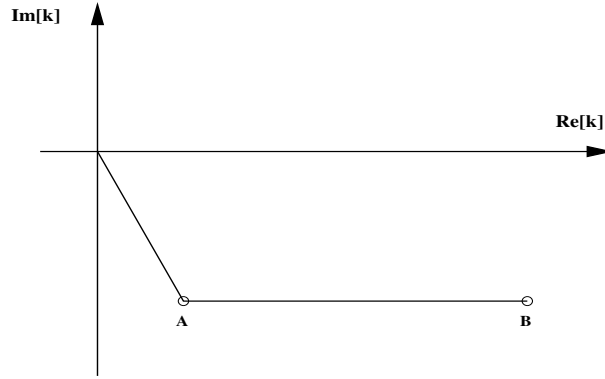
We construct the complex Woods-Saxon basis by employing the Contour Deformation Method in momentum space, as outlined in Ref. [22]. In order to check the validity and convergence of our results, we construct our single-particle Berggren basis on two very different contours in the complex  $k$ -plane. In Figs. 1 and 2 we sketch how the two different contours  $L_1^+$  and  $L_2^+$  are defined respectively. The contour 1 is of triangular shape and defined by three line segments joined by points  $A$  and  $B$  in the complex  $k$ -plane. The contour  $L_2^+$  consists of a rotated and a translated line segment joined at point  $A$  in the complex  $k$ -plane. Utilizing a contour of the type  $L_2^+$ , it was shown in Ref. [22] that both resonant and anti-bound states converge quickly with respect to the number of integration points. Further, it was shown that for the contour  $L_2^+$ , stable solutions of all physical scattering amplitudes, such as the  $t$ -matrix, may be obtained by a spectral representation of the Green's function. We discretize the contours  $L_1^+$  and  $L_2^+$  by Gauss-Legendre quadrature.

Ideally we would like to construct our many-body Berggren basis utilizing as few continuum states as possible. In Tables 1 and 2 we study the convergence of the  $s_{1/2}$  hole and  $p_{3/2}$  particle states in  ${}^4\text{He}$  at the Hartree-Fock level with respect to the number of integration points, using the two contours given in Figs. 1 and 2. For all results reported here our renormalized low-momentum interaction was derived for a cutoff  $\Lambda = 1.9 \text{ fm}^{-1}$  using the  $\text{N}^3\text{LO}$  potential. For the oscillator expansion and basis states, we used  $\hbar\omega = 20\text{MeV}$ . The total number of integration points is given



**Figure 1:** Contour  $L_1^+$  in the complex  $k$ -plane used in construction of the single-particle Berggren basis. The contour is specified by points  $A$ ,  $B$ , and  $C$  discussed in the text.

ä



**Figure 2:** Contour  $L_2^+$  in the complex  $k$ -plane used in construction of the single-particle Berggren basis. The contour is specified by points  $A$  and  $B$  discussed in the text.

ä

by  $n$ , while  $n_i$  gives the number of points used to discretize a given line segment on the contour. The  $L_1^+$  contour is defined by the points  $A = 1.5 - 2i\text{MeV}$  and  $B = 3\text{MeV}$ , and the contour  $L_2^+$  by the point  $A = -2i\text{MeV}$  in the complex energy plane. The corresponding points in the complex  $k$ -plane are proportional to the square root of the points in the energy plane. We see that the hole and particle energies converge to the same values for the two different contours; this is expected since the location of bound and resonant poles in the complex  $k$ -plane is given by the pole structure of the  $S$ -matrix and not by the particular choice of contour. Further, we see that satisfactory convergence is obtained with a total number of points given by  $n \sim 20$ . For the proton space we used  $5s5p$  oscillator wave functions with  $\hbar\omega = 20\text{MeV}$ . The  $p_{3/2}$  proton state does not have a width; this is a consequence of using oscillator functions for our proton-space.

From the above numerical analysis on convergence, we choose to discretize our contour with 20 points, consequently our Gamow-Hartree-Fock basis for each of the  $s$ - $p$  partial waves is given by 20 states including bound, resonant, and non-resonant continuum states. From this mixed Gamow-Hartree-Fock basis, we may go ahead and construct our reference state  $\Phi_0$  which initializes our CCSD calculations.



				Neutrons				Protons			
				$s_{1/2}$		$p_{3/2}$		$s_{1/2}$		$p_{3/2}$	
$n$	$n_1$	$n_2$	$n_3$	Re[E]	Im[E]	Re[E]	Im[E]	Re[E]	Im[E]	Re[E]	Im[E]
15	3	3	9	-24.323	0.000	1.027	-0.536	-23.350	0.000	2.933	0.000
20	5	4	11	-24.323	0.000	1.000	-0.546	-23.350	0.000	2.930	0.000
25	7	5	13	-24.323	0.000	1.002	-0.548	-23.350	0.000	2.930	0.000
30	8	6	16	-24.323	0.000	1.002	-0.548	-23.350	0.000	2.930	0.000

**Table 1:** Convergence of the  $s_{1/2}$  hole and the  $p_{3/2}$  particle states in  ${}^4\text{He}$  with increasing number of discretization points along the triangular contour  $L_1^+$ . The initial proton space was given by  $5s5p$  oscillator states.

			Neutrons				Protons			
			$s_{1/2}$		$p_{3/2}$		$s_{1/2}$		$p_{3/2}$	
$n$	$n_1$	$n_2$	Re[E]	Im[E]	Re[E]	Im[E]	Re[E]	Im[E]	Re[E]	Im[E]
15	3	12	-24.324	-0.001	1.003	-0.547	-23.352	0.001	2.926	0.001
20	4	16	-24.323	0.000	1.002	-0.547	-23.350	0.000	2.930	0.000
25	7	18	-24.323	0.000	1.002	-0.548	-23.350	0.000	2.930	0.000
30	8	16	-24.323	0.000	1.002	-0.548	-23.350	0.000	2.930	0.000

**Table 2:** Convergence of the  $s_{1/2}$  hole and the  $p_{3/2}$  particle states in  ${}^4\text{He}$  with increasing number of discretization points along the rotated+translated contour  $L_2^+$ . The initial proton space was given by  $5s5p$  oscillator states.

## 5. CCSD results for ${}^{3-5}\text{He}$ ground states

We turn to the calculations of the  ${}^{3-5}\text{He}$  isotopes within coupled cluster singles and doubles approximation. In this paper, we present results concerning various convergence criteria, and we compare to exact diagonalization of the many-body Hamiltonian using small basis sets. This will help us assess the accuracy of our CCSD results. We first compare the CCSD energy calculations of  ${}^{3-5}\text{He}$  with results obtained through diagonalization. In order to allow for an exact solution without particle-hole truncations in the wave function we define a small model space comprising the single-particle orbits  $4s3p1d$ , with harmonic oscillator states for protons and neutrons. The numbers in  $4s3p1d$  label the total number of nodes included. This means that we have five  $s$ -waves, four  $p$  waves and two  $d$  waves. In total there are 27 single-particle orbits in the  $j$ -scheme for each particle species. Our CCSD results are given both for a reference Slater determinant built from a spherical oscillator and a spherical Hartree-Fock basis. Since we are working with a spherical single-particle basis, each partial wave  $lj$  is degenerate with respect to spin projection  $m_j$ ; therefore, there is an ambiguity in defining a unique reference Slater determinant for open-shell nuclei. For a particular nuclei with known spin  $J$ , we define our reference state such that  $M = J$ ; further, the orbits with largest spin projection  $m_j$  are filled first. Table 3 reports exact results versus results obtained at the CCSD level of approximation. For the cases considered, the CCSD results do not differ more than  $\sim 500$  keV from the exact results, implying that higher-order corrections such as triples corrections to the coupled-cluster wave function should be small. It is also quite encouraging that the single-reference CCSD works as well for open as for closed-shell

nuclei, starting with a spherical basis. Table 4 gives the converged CCSD ground state energies for

Method	${}^3\text{He}$	${}^4\text{He}$	${}^5\text{He}$
CCSD (OSC)	-6.21	-26.19	-21.53
CCSD (HF)	-6.10	-26.05	-21.52
Exact	-6.45	-26.30	-22.01

**Table 3:** Comparison of CCSD and exact calculations of the  ${}^{3-5}\text{He}$  ground states using the low-momentum  $\text{N}^3\text{LO}$  nucleon-nucleon interaction. The single-particle model space consisted of  $4s3p1d$  oscillator states for the proton and neutron side. The energies  $E$  are given in MeV.

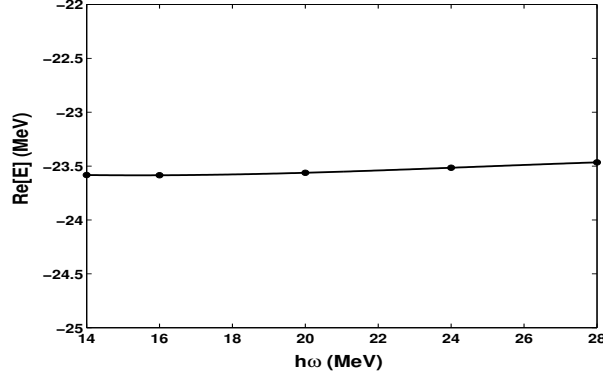
the  ${}^{3-5}\text{He}$  isotopes for an increasing number of partial waves in our single-particle basis.  $s$ - $p$  refers to a  $5s5p$  proton and  $20s20p$  neutron space;  $s$ - $d$  refers to a  $5s5p5d$  proton and  $20s20p5d$  neutron space;  $s$ - $f$  refers to a  $5s5p5d4f$  proton and  $20s20p5d4f$ ; and finally  $s$ - $g$  refers to a  $5s5p5d4f3g$  proton and  $20s20p5d4f3g$  neutron space, respectively. We see that the results are reasonably well

	${}^3\text{He}$		${}^4\text{He}$		${}^5\text{He}$	
$lj$	Re[E]	Im[E]	Re[E]	Im[E]	Re[E]	Im[E]
$s-p$	-4.94	0.00	-24.97	0.00	-20.08	-0.54
$s-d$	-6.42	0.00	-26.58	0.00	-23.56	-0.22
$s-f$	-6.81	0.00	-27.27	0.00	-24.56	-0.17
$s-g$	-6.91	0.00	-27.35	0.00	-24.87	-0.16
Expt.	-7.72	0.00	-28.30	0.00	-27.41	-0.33

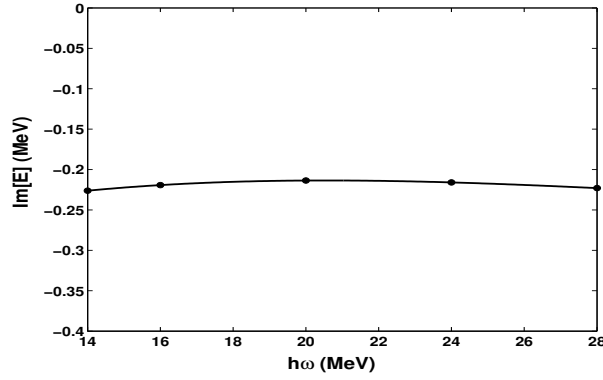
**Table 4:** CCSD calculation of the  ${}^{3-5}\text{He}$  ground states with the low-momentum  $\text{N}^3\text{LO}$  nucleon-nucleon interaction for increasing number partial waves. The energies  $E$  are given in MeV for both real and imaginary parts. Experimental data are from Ref. [23].

converged with respect to the number of partial waves in our basis. Going from the  $s$ - $f$  to the  $s$ - $g$  model space we only obtain 300 keV more binding for  ${}^5\text{He}$ , while the imaginary part only changes by 10 keV. Further, it is seen that the experimental binding energy of  ${}^3\text{He}$  and  ${}^4\text{He}$  is well reproduced using  $V_{\text{low-k}}$  derived from  $\text{N}^3\text{LO}$  and a cutoff  $\Lambda = 1.9\text{fm}^{-1}$ . Also we confirm that their ground states are stable with respect to particle emission since the calculated width is negligible. For the  ${}^5\text{He}$  calculation, we confirm that its ground state is unstable with respect to one-neutron emission; however, the width obtained is about half that of the experimental value,  $\Gamma \sim 0.65\text{MeV}$ . We are also missing  $\sim 2.5\text{MeV}$  for the real part of the  ${}^5\text{He}$  ground state energy. As seen from Table 3, this can hardly be assigned to triples correction in the coupled-cluster wave function. It is more likely that the extra binding comes from three-body forces. It is, however, interesting to observe that we get under-binding for  ${}^5\text{He}$  using  $V_{\text{low-k}}$ , while for heavier closed-shell nuclei such as  ${}^{16}\text{O}$ , a large over-binding is typically observed.

Although our results are reasonably well converged with respect to the number of partial waves in our basis, there are still other convergence aspects which must be considered. First, we have the energy truncation of the single-particle oscillator basis ( $2n + l \leq 10$ ) and a truncation of the expansion of the interaction in Eq. 4.1. If our basis is complete there will be no dependence on the particular choice of  $\hbar\omega$  defining the oscillator basis functions. In order to check whether the basis is complete in this sense, we performed calculations of the  ${}^5\text{He}$  ground state in the  $s$ - $d$  space



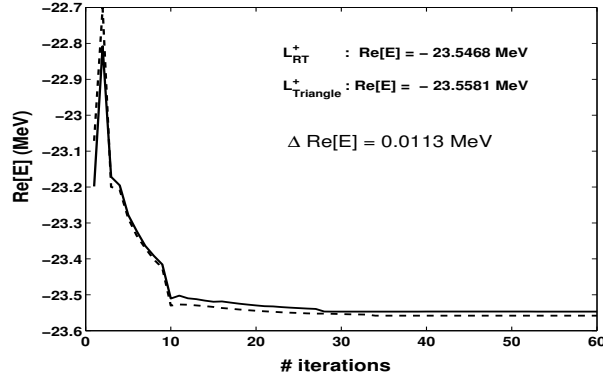
**Figure 3:**  $\hbar\omega$  dependence of the real part of the  $^5\text{He}$  ground state energy.



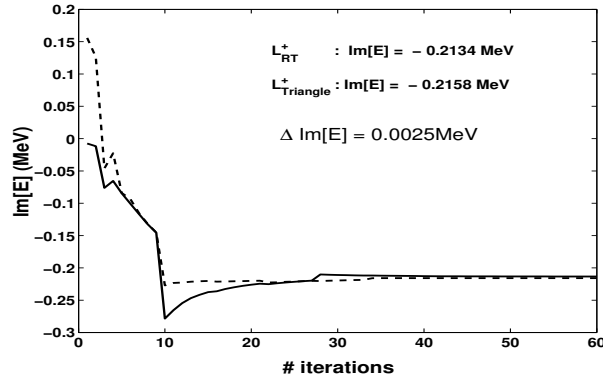
**Figure 4:**  $\hbar\omega$  dependence of the imaginary part of the  $^5\text{He}$  ground state energy.

for several different values of  $\hbar\omega$ . In Figs. 3 and 4 we plot the real and imaginary parts of the  $^5\text{He}$  ground state energy as we varied  $\hbar\omega$  in the energy interval  $\hbar\omega \in [14, 28]\text{MeV}$ . We observe that the dependence on  $\hbar\omega$  is very weak. For the real part it varies no more than  $\sim 100$  keV and for the imaginary part  $\sim 10$  keV in the range of  $\hbar\omega$  values. This is an indication that our basis is approximately complete, and the error introduced through our basis truncation is smaller than the expected accuracy of the CCSD approximation.

Finally, we assess whether we have convergence with respect to the number of discretization points ( $n = 20$ ) of the contour  $L_1^+$ , defining our complex Woods-Saxon basis. We have already shown in the previous Section that the Hartree-Fock energy for  $^4\text{He}$  is well converged with only  $n = 20$  points. In order to check whether the CCSD energy is converged, we performed calculations of the  $^5\text{He}$  ground state in the  $s$ - $d$  space using the two different contours given in Figs.1 and 2. If our discretization of the contours is dense enough, the two calculations should give exactly the same results for both the real and imaginary parts of the energy. In Figs.5 and 6 we give a plot of the convergence of the real and imaginary parts of the coupled-cluster energy for the two different contours. It is seen that the real part of the CCSD energy differs by 10 keV and the imaginary part differs by only  $\sim 3$  keV, indicating that our results are well converged with respect to the number of integration points used to derive the Berggren basis for the  $s$ - $p$  neutron space.



**Figure 5:** Convergence of the real part of the  ${}^5\text{He}$  ground state energy for two different contours  $L^+$ . The dashed line are represents convergence with a triangular contour and the solid for a rotated+translated contour.



**Figure 6:** Convergence of the imaginary part of the  ${}^5\text{He}$  ground state energy for two different contours  $L^+$ . The dashed line are represents convergence with a triangular contour and the solid for a rotated+translated contour.

## 6. Conclusion and future perspectives.

We presented CCSD calculations of the  ${}^3\text{-}^5\text{He}$  isotopes. Using a Gamow-Hartree-Fock basis, we depart from Hermitian to non-Hermitian representations, and are therefore able to calculate resonant widths starting with the bare nucleon-nucleon interaction and nucleon degrees of freedom. The calculated ground state energies of  ${}^3\text{-}^4\text{He}$  are very close to experimental values using a renormalized interaction of the low-momentum type ( $V_{\text{low-k}}$ ) derived from the  $N^3\text{LO}$  potential. For the  ${}^5\text{He}$  ground state, we are able to confirm that it is unstable with respect to one-neutron emission, we get a width  $\sim 0.35$  MeV compared to the experimental value  $\sim 0.65$  MeV. We have shown that single-reference CCSD is an accurate approximation for closed-shell nuclei and nuclei with  $\pm 1$  nucleon outside a closed-shell by direct comparison with exact results in a small model-space. Convergence with respect to model-space size and discretization of the deformed contour  $L^+$  defining our Berggren basis has been checked, and we are quite confident that our results are well converged. Future work will involve implementation of triples corrections, three-body forces, and the study of matter densities in loosely bound and unbound nuclei such as  ${}^{11}\text{Li}$ .

This work was supported in part by the U.S. Department of Energy under Contracts Nos. DE-FG02-96ER40963 (University of Tennessee), DE-FG05-87ER40361 (Joint Institute for Heavy Ion Research), and the Research Council of Norway (Supercomputing grant NN2977K). Oak Ridge National Laboratory is managed by UT-Battelle for the U.S. Department of Energy under Contract No. DE-AC05-00OR22725. Computational resources were provided by the National Energy Research Scientific Computing Center (Berkeley) and the Leadership Class Computing Facility (ORNL).

## References

- [1] S. C. Pieper and R. B. Wiringa *Quantum Monte Carlo Calculations of Light Nuclei*, Ann. Rev. Nucl. Part. Sci., **51**, 53, (2001).
- [2] P. Navrátil and J. P. Vary and B. R. Barrett *Properties of  $^{12}\text{C}$  in the Ab Initio Nuclear Shell Model*, Phys. Rev. Lett., **84**, 5728, 2000.
- [3] H. Feshbach, *A Unified Theory of Nuclear Reactions*, Ann. Phys., **5**, 357, (1958).
- [4] U. Fano, *Effects of Configuration Interaction on Intensities and Phase Shifts*, Phys. Rev., **124**, 1866 (1961).
- [5] N. Michel, W. Nazarewicz, M. Płoszajczak, K. Bennaceur, *Gamow Shell Model Description of Neutron-Rich Nuclei*, Phys. Rev. Lett., **89**, 042502 (2002).
- [6] R. IdBetan, R. J. Liotta, N. Sandulescu, T. Vertse, *Two-Particle Resonant States in a Many-Body Mean Field*, Phys. Rev. Lett., **89**, 042501, (2002).
- [7] T. Berggren, *On the Use of Resonant States in Eigenfunction Expansions of Scattering and Reaction Amplitudes*, Nucl. Phys.A, **109**, 265, (1968).
- [8] G. Hagen, M. Hjorth-Jensen, N. Michel, *Gamow shell model and realistic nucleon-nucleon interactions*, Phys. Rev. C, **73**, 064307, (2006).
- [9] F. Coester, *Bound States of a Many-Particle System*, Nucl. Phys., **7**, 421, (1958).
- [10] F. Coester, H. Kümmel, Nucl. Phys., **17**, 477, 1960.
- [11] D. J. Dean and M. Hjorth-Jensen, *Coupled cluster approach to nuclear physics*, Phys. Rev. C, **69**, 054320, (2004).
- [12] M. Wloch, D. J. Dean, J. R. Gour, M. Hjorth-Jensen, K. Kowalski, T. Papenbrock, P. Piecuch, *Coupled Cluster Calculations of Ground and Excited States of Nuclei*, Phys. Rev. Lett., **92**, 132501, (2004).
- [13] P. Pulay, *Convergence Acceleration in Iterative Sequences: The Case of SCF Iteration*, Chem. Phys. Lett., **73**, 393, (1980).
- [14] R. J. Harrison, *Krylov Subspace Accelerated Inexact Newton Method for Linear and Nonlinear Equations*, J. Comput. Chem., **25**, 328, 2004.
- [15] D. R. Entem, R. Machleidt, *Accurate Nucleon-Nucleon Potential Based upon Chiral Perturbation Theory*, Phys. Lett. B, **524**, 93, (2002).
- [16] S. K. Bogner, T. T. S. Kuo, A. Schwenk, *Model-independent low momentum nucleon interaction from phase shift equivalence*, Phys. Rep., **386**, 1, (2003).
- [17] K. Suzuki, *Construction of Hermitian Effective Interaction in Nuclei — General Relation between Hermitian and Non-Hermitian Forms —* Progr. Theor. Phys., **68**, 246, (1982).

- [18] S. Okubo, *Diagonalization of Hamiltonian and Tamm-Dancoff Equation*, Progr. Theor. Phys., **12**, 603, (1954).
- [19] S. Fujii, E. Epelbaum, H. Kamada, R. Okamoto, K. Suzuki, W. Glöckle, *Low-Momentum Nucleon-Nucleon Interaction and its Application to Few-Nucleon Systems*, Phys. Rev. C, **70**, 024003, (2004).
- [20] T. Papenbrock, G. Hagen, D. J. Dean, *Coupled cluster theory for three-body Hamiltonians*, in preparation.
- [21] C. W. Wong and D. M. Clement, *Vector bracket and transformed wave function of a few-body state*, Nucl. Phys. A, **183**, 210, (1972).
- [22] G. Hagen, J. S. Vaagen and M. Hjorth-Jensen, *The Contour Deformation Method in Momentum Space, Applied to Subatomic Physics*, J. Phys. A, **37**, 8991, (2004).
- [23] R. B. Firestone, V. S. Shirley, C. M. Baglin, S. Y. Frank Chu and J. Zipkin, *Table of Isotopes*, Eighth Edition (Wiley Interscience, New York, (1996).